

State of North Carolina
Department of Transportation



Small Business Enterprise (SBE) Form

Send completed form to:

Office of Civil Rights
ATTN: SBE Program Manager
1511 Mail Service Center
Raleigh, NC 27699-1511
Fax: 919-508-1818

(Name of Business)

(Owner's Name – First Middle Last)(Title)

(Street address) (City) (State) (Zip)

(Mailing address if different from the Street Address) (City) (State) (Zip)

(Business Telephone) (Fax Number) ()

(Email Address) (FEDERAL TAX I.D. NUMBER/ SOCIAL SECURITY NUMBER)

Is your business affiliated with any other firm(s)? Yes ___ No ___: If Yes, list company: _____

A Business with an annual gross income over \$1.5 million (exclusive of materials) is not eligible to participate in the North Carolina Department of Transportation (NCDOT) Small Business Enterprise (SBE) Program.

I hereby certify that the Business listed above meets the criteria for the NCDOT Small Business Enterprise Program in accordance with the NCAC and Small Business Enterprise Program Guidelines. Insurance as required by NCDOT shall be in the name of the Business and certificate of insurance shall be attached to the contract proposal as required. The above Business shall have its own financial resources. Information submitted may be subject to verification by NCDOT. False statements on this application or in contract submittals may result in all applicable civil and criminal penalties being imposed, including but not limited to the above firm being barred from doing business with NCDOT.

The Business shall comply with all terms and conditions of any contract awarded. The contract consists of, but is not necessarily limited to the proposal or "bid" submitted, the plans for the project, the specifications for the project and any supplemental agreements entered into.

NOTE - AFFIDAVIT SHALL BE NOTARIZED

STATE OF: _____

COUNTY OF _____

I _____, **A Notary Public for said State, County, do hereby certify that**

_____ **personally appeared before me this day and acknowledged the due execution of the foregoing instrument.**

Witness my hand and official seal, this _____ **day of** _____ **20** _____

(Signature Owner/Officer) _____ (Notary Signature) **Seal }**

_____ **My commission expires** _____ **20** _____

**State of North Carolina
Department of Transportation**



**Small Business Enterprise
Form**

Send completed form to:

Office of Civil Rights
ATTN: SBE Program Manager
1511 Mail Service Center
Raleigh, NC 27699-1511

Page 2 of 5

Directions: *Indicate the type(s) of work your firm is interested in bidding on:*

<p>Hauling</p> <ul style="list-style-type: none"> <input type="checkbox"/> Hauling (Asphalt) <input type="checkbox"/> Hauling (Gravel, sand, debris, etc – not asphalt) <p>Landscaping and Erosion Control</p> <ul style="list-style-type: none"> <input type="checkbox"/> Mowing <input type="checkbox"/> Temporary Silt Fence <input type="checkbox"/> Landscape Planting <input type="checkbox"/> Seeding and Mulching <input type="checkbox"/> Selective Vegetation Removal <input type="checkbox"/> Litter Removal <p>Concrete and Masonry</p> <ul style="list-style-type: none"> <input type="checkbox"/> Brick masonry construction <input type="checkbox"/> Concrete curb and gutter <input type="checkbox"/> Incidental concrete construction <input type="checkbox"/> Sidewalk, driveways, wheelchair ramps <input type="checkbox"/> Concrete Barrier <p>Drainage</p> <ul style="list-style-type: none"> <input type="checkbox"/> Subsurface Drainage Installation <input type="checkbox"/> Pipe Culverts / storm drain installation <p>Utility Installation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Waterline Installation <input type="checkbox"/> Sanitary Sewer Installation <p>Ferry Division</p> <ul style="list-style-type: none"> <input type="checkbox"/> Marine Welding <input type="checkbox"/> Roof Replacements <input type="checkbox"/> Utility Road Bores <input type="checkbox"/> Plumbing repair services <input type="checkbox"/> Refrigeration repairs <input type="checkbox"/> HVAC repairs <input type="checkbox"/> Marine HVAC repairs <input type="checkbox"/> Floor tile installation <input type="checkbox"/> Metal fabrication work <input type="checkbox"/> Janitorial services <input type="checkbox"/> Concrete repair work <input type="checkbox"/> Wastewater services 	<p>Highway Preparation and Grading</p> <ul style="list-style-type: none"> <input type="checkbox"/> Clearing and grubbing <input type="checkbox"/> Roadway grading and excavation <p>Paving</p> <ul style="list-style-type: none"> <input type="checkbox"/> Asphalt surface treatment <input type="checkbox"/> Concrete Pavement <input type="checkbox"/> Milling Asphalt Pavement <input type="checkbox"/> Crack and Joint Seal <p>Highway Finishing/Guardrail</p> <ul style="list-style-type: none"> <input type="checkbox"/> Pavement Marking <input type="checkbox"/> Permanent Signing <input type="checkbox"/> Fence <input type="checkbox"/> Guardrail <p>Safety and ITS</p> <ul style="list-style-type: none"> <input type="checkbox"/> Sign lighting systems <input type="checkbox"/> Signal traffic management system <input type="checkbox"/> Work Zone Signing <p>Aviation</p> <ul style="list-style-type: none"> <input type="checkbox"/> Vertical Construction <input type="checkbox"/> Clearing & Grubbing <input type="checkbox"/> Paving <input type="checkbox"/> Electrical Projects <p>Transit</p> <ul style="list-style-type: none"> <input type="checkbox"/> Commercial/Institutional Building Construction <input type="checkbox"/> Plumbing <input type="checkbox"/> Heating/Air Conditioning <input type="checkbox"/> Janitorial <input type="checkbox"/> Solid Waste Collection <p>Other</p> <ul style="list-style-type: none"> <input type="checkbox"/> Building removal and demolition <input type="checkbox"/> Construction Surveying <input type="checkbox"/> Painting Steel Structure
<p>The following information is collected for reporting purposes only</p>	
<p>Please indicate gender of firm's owner:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Male <input type="checkbox"/> Female 	<p>Please indicate ethnicity of firm's owner:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Asian/Pacific American <input type="checkbox"/> African American <input type="checkbox"/> Caucasian American <input type="checkbox"/> Hispanic American <input type="checkbox"/> Native American <input type="checkbox"/> Subcontinent Asian American

State of North Carolina Department of Transportation
Small Business Enterprise Form

Please check the Divisions or Counties in which you are seeking work			
Division	District 1	District 2	District 3
<input type="checkbox"/> One	<input type="checkbox"/> Camden <input type="checkbox"/> Currituck <input type="checkbox"/> Dare <input type="checkbox"/> Gates <input type="checkbox"/> Pasquotank <input type="checkbox"/> Perquimans	<input type="checkbox"/> Bertie <input type="checkbox"/> Hertford <input type="checkbox"/> Northampton	<input type="checkbox"/> Chowan <input type="checkbox"/> Hyde <input type="checkbox"/> Martin <input type="checkbox"/> Tyrrell <input type="checkbox"/> Washington
<input type="checkbox"/> Two	<input type="checkbox"/> Beaufort <input type="checkbox"/> Pitt	<input type="checkbox"/> Carteret <input type="checkbox"/> Craven <input type="checkbox"/> Pamlico	<input type="checkbox"/> Greene <input type="checkbox"/> Jones <input type="checkbox"/> Lenoir
<input type="checkbox"/> Three	<input type="checkbox"/> Onslow <input type="checkbox"/> Pender	<input type="checkbox"/> Duplin <input type="checkbox"/> Sampson	<input type="checkbox"/> Brunswick <input type="checkbox"/> New Hanover
<input type="checkbox"/> Four	<input type="checkbox"/> Edgecombe <input type="checkbox"/> Halifax	<input type="checkbox"/> Nash <input type="checkbox"/> Wilson	<input type="checkbox"/> Johnston <input type="checkbox"/> Wayne
<input type="checkbox"/> Five	<input type="checkbox"/> Wake	<input type="checkbox"/> Durham <input type="checkbox"/> Granville <input type="checkbox"/> Person	<input type="checkbox"/> Franklin <input type="checkbox"/> Vance <input type="checkbox"/> Warren
<input type="checkbox"/> Six	<input type="checkbox"/> Robeson	<input type="checkbox"/> Cumberland <input type="checkbox"/> Harnett	<input type="checkbox"/> Bladen <input type="checkbox"/> Columbus
<input type="checkbox"/> Seven	<input type="checkbox"/> Alamance <input type="checkbox"/> Orange	<input type="checkbox"/> Guilford	<input type="checkbox"/> Caswell <input type="checkbox"/> Rockingham
<input type="checkbox"/> Eight	<input type="checkbox"/> Chatham <input type="checkbox"/> Randolph	<input type="checkbox"/> Hoke <input type="checkbox"/> Lee <input type="checkbox"/> Moore	<input type="checkbox"/> Montgomery <input type="checkbox"/> Richmond <input type="checkbox"/> Scotland
<input type="checkbox"/> Nine	<input type="checkbox"/> Davidson <input type="checkbox"/> Rowan	<input type="checkbox"/> Davie <input type="checkbox"/> Forsyth <input type="checkbox"/> Stokes	
<input type="checkbox"/> Ten	<input type="checkbox"/> Cabarrus <input type="checkbox"/> Stanly	<input type="checkbox"/> Mecklenburg	<input type="checkbox"/> Anson <input type="checkbox"/> Union
<input type="checkbox"/> Eleven	<input type="checkbox"/> Alleghany <input type="checkbox"/> Surry <input type="checkbox"/> Yadkin	<input type="checkbox"/> Avery <input type="checkbox"/> Caldwell <input type="checkbox"/> Watauga	<input type="checkbox"/> Ashe <input type="checkbox"/> Wilkes
<input type="checkbox"/> Twelve	<input type="checkbox"/> Cleveland <input type="checkbox"/> Gaston	<input type="checkbox"/> Alexander <input type="checkbox"/> Iredell	<input type="checkbox"/> Catawba <input type="checkbox"/> Lincoln
<input type="checkbox"/> Thirteen	<input type="checkbox"/> Burke <input type="checkbox"/> McDowell <input type="checkbox"/> Mitchell <input type="checkbox"/> Rutherford	<input type="checkbox"/> Buncombe <input type="checkbox"/> Madison <input type="checkbox"/> Yancey	
<input type="checkbox"/> Fourteen	<input type="checkbox"/> Henderson <input type="checkbox"/> Polk <input type="checkbox"/> Transylvania	<input type="checkbox"/> Haywood <input type="checkbox"/> Jackson <input type="checkbox"/> Swain	<input type="checkbox"/> Cherokee <input type="checkbox"/> Clay <input type="checkbox"/> Graham <input type="checkbox"/> Macon

<input type="checkbox"/> Public Transportation Division	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide
<input type="checkbox"/> Rail Division	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide
<input type="checkbox"/> Aviation Division	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide
<input type="checkbox"/> Bicycle/Pedestrian Division	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide	<input type="checkbox"/> Statewide
<input type="checkbox"/> Ferry Division	<input type="checkbox"/> Brunswick	<input type="checkbox"/> New Hanover	<input type="checkbox"/> Other
<input type="checkbox"/> Purchasing	<input type="checkbox"/> General	<input type="checkbox"/>	<input type="checkbox"/>