

NORTH CAROLINA

Department of Transportation



Compliance

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Compliance and Procurement Branch

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Safety and Education Unit Areas of Responsibility

- Education and Technical Support
- Compliance Oversight – State and Federal
- Compliance Reviews
- Drug and Alcohol Program Management Compliance Oversight
- **P**ublic **T**ransportation **A**gency **S**afety **P**lan (PTASP)
- Driver Minimum Training Standards
- Enterprise Asset Management – Formerly AssetWORKS
- Vehicle Preventative Maintenance Compliance
- Accessible Lift Preventative Maintenance Compliance
- Incident/Accident Review and Follow-Up (new procedure coming)
- Transit Asset Management (TAM)

Maintenance Activities & Documentation

- EAM (AssetWORKS) is NCDOT-IMD's Vehicle Inventory and Maintenance Database
- All Vehicles Funded With State and/or Federal Funds Must Be Entered
- New, Replacement and Disposed Vehicle Information Must Be Entered Within 30-Days of Date Received/Disposed
- Accessible Lift Information Must Be Entered as Either Meter 2 or as Components
- Camera Preventative Maintenance Should be completed regularly and **may** Be Entered into EAM for Tracking
- Camera Lens Cleaning Should Be Completed Daily – No Data Entry Required

Vehicle and Accessible Lift Preventative Maintenance Compliance

- **Vehicle service**
- Service Data **Must** Be Entered Into AssetWORKS
- Service is **REQUIRED** at Manufacturers Minimum Service Intervals
- +/- 500 Mile Service Window or 10% of Service Interval, whichever is greater
- **Lift Service**
- 80% On Time Service is Considered in Compliance
- Service Data **Must** Be Entered Into AssetWORKS
- Service is **REQUIRED** Every 750 Lift Cycles (no service window)
- 80% On Time Service is Considered in Compliance
- Compliance is Calculated Quarterly
- Consistent Non-Compliance May Result in Withheld Funding

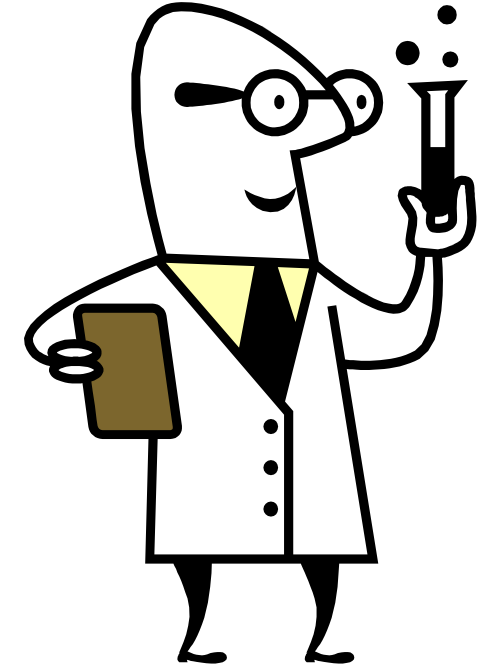
Drug and Alcohol Management

Drug and Alcohol Testing

Types of Drug and Alcohol Test

- Pre-Employment
- Post-Accident
- Random Testing
- Reasonable Suspicion
- Return-to-Duty
- Follow-Up

Each type should be defined in your Substance Abuse Policy.



- **Drug and Alcohol Management information System (DAMIS)**
- In order to ensure that your future FTA Section 5311 funds are not jeopardized, any public transit system, whether operated directly or a third-party subcontractor, is required to comply with FTA drug and alcohol testing regulations (49 CFR Parts 40 & 655). This includes compiling annual reports of the results of testing by recipients and any third-party subcontractors that perform safety-sensitive functions.
- Once you have completed your electronic report, NCDOT-IMD, as the Grantee for the FTA Section 5311 program, is required to review and electronically approve the report for final submission to FTA.
- NCDOT-IMD contracts with RLS & Associates, Inc. to provide training and technical assistance on Drug & Alcohol Testing Programs.
- RLS will be conducting the DAMIS review for NCDOT-IMD with Sean Oswald as RLS' lead staff member on this project. He and other RLS staff will be contacting you if there is a question or problem with the MIS report.

Training Opportunities

Drug and Alcohol Program Management & Compliance

June 27 – 29, 2022 from 9:00 AM – 12:00 PM Each Day

Attendance for ALL 3 days is REQUIRED to receive a certificate

Designed for transit administrators and Drug and Alcohol Program Managers

Presented by RLS and Associates

Training Opportunities

Reasonable Suspicion Determination Training

June 30, 2022 from 9:00 AM – 12:00 PM

Attendance, Participation and Passing a “post-webinar” quiz is REQUIRED to receive a certificate

ALL agencies should have at least one individual, that comes into contact with safety sensitive employees prior to the beginning of their shift, “certified” in Reasonable Suspicion Determination

Presented by RLS and Associates

Compliance Reviews

- The Integrated Mobility Division's Safety, Education and Compliance unit is responsible for ensuring the federal and state financial assistance received is being used to provide a safe public transportation network for all North Carolinians. The SEC unit, utilizing its consultant base, performs approx. 38 Compliance Reviews per year of systems receiving 5307, 5311, 5310, 5339 and Cares Act funding. Areas of review include :
 - Financial Management and Capacity
 - Technical Capacity
 - Maintenance
 - Americans With Disabilities Act (ADA)

Compliance Reviews

- Title VI
- Procurement
- DBE
- Satisfactory Continuing Control
- Planning and Coordination
- Public Comment Process
- Charter Bus & School Bus
- Safety and Security

Compliance Reviews

- Drug Free Workplace/Drug and Alcohol Testing Program
- Equal Employment Opportunity (EEO)
- Other Service Requirements
- 5307 Program Requirements

Compliance Reviews

- Compliance Reviews are conducted at least every three years or sooner depending on various factors.
- Our Compliance Review web page is located here:
<https://connect.ncdot.gov/business/Transit/Pages/Compliance-Reviews.aspx>

Compliance Reviews

Compliance Reviews

[Home](#) > [Connect NCDOT](#) > [Doing Business](#) > [Public Transit](#) > [Compliance Reviews](#)

Compliance Review Cycles

Compliance Reviews are conducted at least every three years or sooner depending on various factors. Below is a list of transit systems that will have Compliance Reviews within the year at the top.

If you would like to prepare for your review early or learn what is covered within these reviews, please click on this document:

Compliance Review Workbook

(Workbook is reviewed and changes made on a yearly basis)

Calendar Year 2022

| | | |
|---|-----------------------------|---|
| Alamance County Transportation Authority (ACTA) | Cumberland County Transit | MONARCH - Moore County |
| Alexander County (DSS) | Dare County | MONARCH - Stanly County |
| Anson County Council on Aging | Davidson County | Moore County |
| Anson County Transportation System | Gates County | Orange County |
| Avery Association of Exceptional Youth | GoWake Access | Person County (PATS) |
| Avery Co. (ACT) | Graham County | Polk County |
| Beaufort County Developmental Center, Inc. | Graham County-Senior Center | Richmond Interagency Transportation, Inc. |
| Bladen County (BARTS) | Harnett County | Robeson County (SEATS) |
| Brunswick Transit System, Inc. | Hoke County | Rockingham (ADTS) |

QUESTIONS



Previous slides, recordings and FAQs:

<https://connect.ncdot.gov/business/Transit/Pages/Transit-Meeting-Resources.aspx>