



## **PUBLIC TRANSPORTATION**

# **UNIFIED GRANT APPLICATION GUIDANCE**


# **FY28**





# Introduction

Congratulations! If you have opened this interactive document, you are interested in submitting an application for public transportation funding from NCDOT.

As identified below, this guidance document is divided into three parts to guide you through the process of submitting one or more applications for funding. This document is also set up as an interactive document, **so you can click on buttons to navigate between pages as noted by the following popup icon:** 

## PART 1



### Background / Eligible Applicants

Part 1 provides basic information about NCDOT's Public Transportation grant programs and funding goals. Part 1 would be of most interest when a prospective applicant is wanting to learn about which funding programs they are eligible for.

## PART 2



### Eligible Expenditures

Part 2 details what type of expenditures are eligible within each funding program. Part 2 would be of most interest when a prospective applicant is wanting to understand how they can expend specific funding sources.

## PART 3



### Application Processes

Part 3 provides an overview of the grant application process along with linked step-by-step guidance for each funding program. Part 3 will be of most interest when a prospective applicant is wanting specific guidance on steps towards completing a public transportation funding application.

New to FY28

How to Use this Document

Terminology

# Introduction

## New to FY28

- 1 Added [FY28 Applicant Profile Smartsheet Form](#) to Application Phase (Phase 2) to minimize duplicated entries of the application profile information over multiple application documents.
- 2 As per the interim final rule, references to DBE, MBE, WBE, and EEO have been removed or revised from the document. Refer to [EEO Update](#) to acknowledge the change.
- 3 The revised [EEO Statement](#) and [Financial Health Statement](#) have been added to the FY28 Pre-Application Materials Smartsheet form.
- ⚠️ 4 [Propane conversion kits](#) are added as a separate G-code for ordering through the Combined Capital program.
- 5 [Public Hearing](#) is no longer required by state and federal regulations, but the public must be informed by other means, i.e., Facebook, Flyers, Notice on Buses.
- ⚠️ 6 5311 Designee Certification Form is due for FY28 UGA Application, if applying for 5311.
- 7 Information on the IMD Internship/Apprentice Program has been added to [Part 1.3](#) and [Part 2.2](#). Internship/Apprentice Program has a separate application process/timeline.
- 8 [Instructions on Salary & Wage Detail Report](#) have been added to the appendix and referenced from Step 7 and Step 12 on Part 3.3.
- 9 Links to FY28 application submission workspaces for systems are now accessible through a [Smartsheet grid](#).
- 10 Fully Allocated Cost model and Cost Allocation - TBD
- 11 DOT's Office of Civil Rights' Unified Certification Program - TBD
- 12 The FY29 Future Capital Needs Request Form is no longer a part of the UGA process. IMD will send out a separate request email with instructions.
- 13 Sample application document sets are posted on the [UGA website](#) for programs applied through the UGA process.
- 14 Removed Fax Number entries from program applications.
- 15 FTA Circular updates: 9050.1A(Info for 5339 and 5307 are combined into 9050.1A), 4220.1G, and 9040.1H

# Introduction

## New to FY27 (for record keeping purpose)

- 1 Capital Needs Request form has been added to Pre-Application phase. This form will aid IMD to make earlier funding decisions thus increasing application review efficiencies.
  - 2 Smartsheet Workspaces for previous years will be available to view and download for review purposes only.
  - 3 Urban State Match applications are now off-cycle and only submitted when vehicle(s) are received. The application will be open throughout the fiscal year.
  - 4 ~~Independent Cost Estimate (ICE) Form has been added to Combined Capital.~~
  - 5 NEPA Information Form has been added to Combined Capital.
  - 6 Removed Volunteer Reimbursement from 5310 Operating Program
  - 7 The date for requesting vehicle mileage will be changed to May 31st to ensure enough time for ITRE to collect information.
  - 8 Renamed 'Conflict of Interest Policy' document to 'Signed Conflict of Interest Statements'
  - 9 New color scheme for Part 3.3 phases.
  - 10 Added notes to public hearing notice: "Public hearing notices may be published either printed or online in a newspaper with a wide circulation. A copy of the digital or printed notice must be provided, along with the affidavit from the newspaper."
  - 11 Added notes to the 5310 Capital Purchase of Service program notifying this program is for non-transit agencies to apply for funds to purchase service from a public or private provider.
  - 12 Consolidated steps in Part 3.3 to reduce the number of pages within the UGA Guidance. Grant programs have been grouped into Rural grants and Urban grants for ease and coordination.
  - 13 Removed Master Documents required for Urban grants with exception of Travelers' Aid and ConcPT grants.
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# Introduction

## **New to FY26 (for record keeping purpose)**

- ① 5310 Operating grant is also eligible for Small Urban systems. Refer to Part 1.2 and 1.3 for details.
- ② No cap on vehicle insurance amount (previously \$2,500).
- ③ Emphasized that sub-recipients can skip the Pre-Application Phase if they have already applied for another grant during the same fiscal year.
- ④ Added new UGA Tools and Resources:
  - Smartsheet Quick Reference Guide
  - UGA Video Training Materials
  - UGA Progress Sheet
  - UGA Roadmap

# Introduction

## How to Use this Document

### 1 Interactive Buttons

Click buttons for more information. 

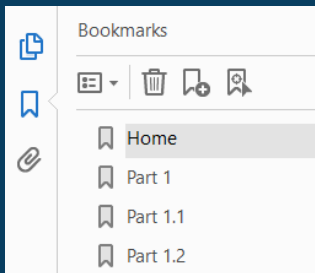
### 2 Hyperlinks

Links are also interactive. Some links will guide you to external links such as URLs and documents on the UGA Website.

### 3 Document Structure

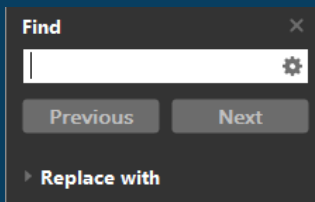
The document is structured in levels - Level 1 information "Part X" will apply universally. Level 2 information "Part X.X" will provide more detailed universal information. Level 3 information "Part X.X.X" will be specific to your situation, so be sure to click the links that apply to you.

### 4 Bookmarks

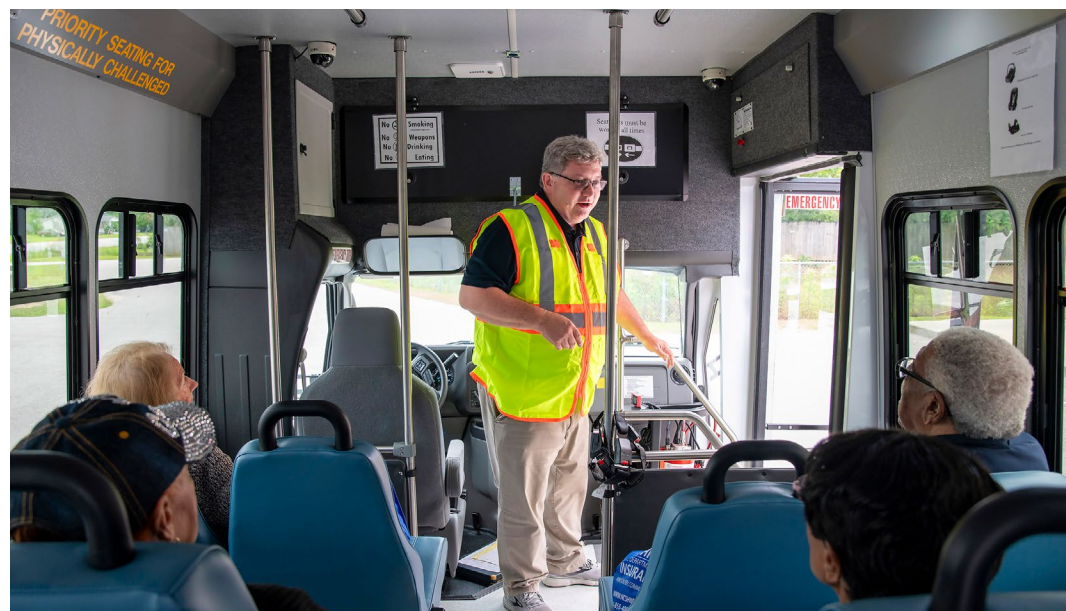


Bookmarks are set up for each section. You can use bookmarks to navigate through the document by clicking on the bookmark icon in the left panel.

### 5 Find Tool



You can use the Find tool (Ctrl + F) in PDF viewers and search keywords.



# **PART 1      Background / Eligible Applicants**



# PART 1

## Background / Eligible Applicants

The Integrated Mobility Division (IMD) administers state and federal funds for public transportation, bicycle, and pedestrian programs and provides subject matter expertise related to multimodal transportation planning, innovation, technology, and emerging mobility trends.

### 1.1.1 IMD Mission and Goals

▶ **IMD Mission –**

To provide leadership for safe, affordable, and innovative multimodal transportation throughout North Carolina.

▶ **IMD Core Goals –**

1. Increase Access – eliminate transportation barriers and ensure all North Carolinians have equal access to opportunities and services.
2. Enhance Quality of Life – offer a convenient network of multimodal choices to enhance the quality of life for North Carolinians.
3. Ensure Safety – ensure all road users can travel safely by building complete streets and proactively correcting areas susceptible to crashes involving vulnerable road users.

### 1.1.2 Program Authority

The Governor has designated the North Carolina Department of Transportation (NCDOT) as recipient and administrator of the program funds for projects in the small urban and rural areas of North Carolina. This authority was established through legislative action as set forth in Article 2B of Chapter 136 of the North Carolina General Statutes.

IMD shall have principal responsibility and legal authority for the administration of the state managed portions of funding programs outlined in this guidance. Where applicable, IMD shall administer the programs in accordance with the guidance published by the Federal Register and Federal Transit Administration (FTA) circulars and in accordance with existing federal and state regulations pertaining to the administration of federal grants by NCDOT.

### 1.1.3 Missed Deadline / Incomplete Application

An incomplete application will result in the application being returned for correction. Only complete applications will be reviewed and moved through the review process for approval. If you have questions, please contact your [Regional Grant Specialist \(RGS\)](#) who can provide more information and assistance. See Part 3 for details about the application process.

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# PART 1

## Background / Eligible Applicants

### 1.2 Funding Eligibility

The eligibility for any particular public transportation funding program in North Carolina varies significantly between different organization types. This interactive guidance document organizes information about funding program eligibility by organization type or organization characteristics. Choose your organization type below to learn more about funding eligibility.

**Disclaimer: Eligibility may vary on a case-by-case basis from what is stated in this guidance document.**

#### **CHOOSE YOUR ORGANIZATION TYPE**

(Click applicable boxes below)

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### MPO Funding Eligibility

#### 1.2.1 MPO Funding Eligibility

##### MPO

What funding programs could you manage directly as an MPO? (click the buttons below to open the MPO Eligibility Table)

Here are funding programs your partners/member organizations may manage:

5310, SMAP, Urban STI, Urban State Match, Travelers' Aid, ConCPT, and TDM

[Click here to see funding eligibility tables for Transit Systems](#)

# MPO Funding Eligibility

## 1.2.1.1 MPO Funding Eligibility Table

| Funding Program                  | Eligibility | Federal Share                                 | State Share | Local Match |
|----------------------------------|-------------|---|-------------|-------------|
| 5303                             | Yes         | 80%   | 0 - 10%     | 10 - 20%    |
| 5310 Capital Purchase of Service |             |   |             |             |
| 5310 Operating                   | -           | -   | -           | -           |
| 5311 Admin/Operating             | -           | -   | -           | -           |
| Urban Advanced Technology        | -           | -   | -           | -           |
| Urban STI                        | -           | -   | -           | -           |
| Rural STI                        | -           | -   | -           | -           |
| Combined Capital                 | -           | -   | -           | -           |
| ConCPT CN (Consolidation)        | -           | -   | -           | -           |
| ConCPT CO (Coordination)         | -           | -   | -           | -           |
| RO                               | -           | -   | -           | -           |
| ROAP                             | -           | -   | -           | -           |
| SMAP                             | -           | -   | -           | -           |
| TDM                              | Maybe       | There will be a separate application for FY28 |             |             |
| Travelers' Aid                   | -           | -   | -           | -           |
| Urban State Match                | -           | -   | -           | -           |
| Non-STI Rural Expansion Vehicle  | -           | -   | -           | -           |
| Mobility Manager                 | -           | -   | -           | -           |
| Capital Cost of Contracting      | -           | -   | -           | -           |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match



## Transit System Funding Eligibility

### 1.2.2 Transit System Funding Eligibility (1/2)

Click on the name of your system below (list continues on next page) for a list of eligible state and federal transportation funding sources administered by NCDOT and detailed through this guidance document.

#### **Coastal Plains**

[Albemarle Regional Health Services \(ICPTA\)](#)  
[Bladen County \(BARTS\)](#)  
[Brunswick Transit System, Inc.](#)  
[Cape Fear Public Transportation Authority \(Wave\)](#)  
[Carteret County](#)  
[Columbus County](#)  
[Craven County \(CARTS\)](#)  
[Cumberland County Transit](#)  
[Dare County](#)  
[Fayetteville Area System of Transit](#)  
[Gates County](#)  
[Harnett Area Rural Transit System \(HARTS\)](#)  
[Hoke Area Transit Service \(HATS\)](#)  
[Hyde County / Tyrrell County](#)  
[Jacksonville Transit](#)  
[Onslow United Transit System, Inc. \(OUTS\)](#)  
[Pender Adult Services Inc.\(PAS\)](#)

[Richmond Interagency Transportation, Inc.](#)  
[Robeson County \(SEATS\)](#)  
[Sampson County](#)  
[Scotland County \(SCATS\)](#)  
[Washington County \(Riverlight Transit\)](#)

#### **Eastern Piedmont**

[Beaufort County Developmental Center, Inc.](#)  
[Chapel Hill Transit](#)  
[Chatham Transit Network](#)  
[Choanoke Public Transportation Authority \(CPTA\)](#)  
[Duplin County](#)  
[GoCary](#)  
[GoDurham/Durham County](#)  
[GoRaleigh](#)  
[GoTriangle](#)  
[Goldsboro-Wayne Transportation Authority](#)  
[GoWake Access](#)

[Greene County](#)  
[Greenville Area Transit](#)  
[Johnston County Area Transit \(JCATS\)](#)  
[Kerr Area \(KARTS\)](#)  
[Lee County \(COLTS\)](#)  
[Lenoir County](#)  
[Lincoln County](#)  
[Martin County](#)  
[Moore County](#)  
[Orange County Public Transportation](#)  
[Person County \(PATS\)](#)  
[Pitt County/Pitt Area Transit System](#)  
[Tar River Transit](#)  
[Wilson County](#)  
[Wilson, City of](#)

List continues on next page

## Transit System Funding Eligibility

### 1.2.2 Transit System Funding Eligibility (2/2)

Click on the name of your system below (list continues on next page) for a list of eligible state and federal transportation funding sources administered by NCDOT and detailed through this guidance document.

#### Mountain

[Alleghany County](#)  
[AppalCART Boone](#)  
[Ashe County Transportation Authority](#)  
[Asheville Rides Transit](#)  
[Avery Co. \(ACT\)](#)  
[Buncombe County/Mountain Mobility](#)  
[Cherokee County](#)  
[Clay County](#)  
[EBCI](#)  
[Graham County](#)  
[Jackson County](#)  
[Macon County](#)  
[Madison County Transportation Authority](#)  
[McDowell County Transportation](#)  
[Mitchell County Transportation Authority](#)  
[Mountain Projects Inc. Haywood Co.](#)  
[Polk County](#)  
[Rutherford County Transit](#)  
[Swain County Focal Point on Aging Inc.](#)  
[Transylvania County](#)

[Western Carolina Community Action, Inc. \(WCCA-Apple Country \(Henderson County\)\)](#)  
[Western Carolina Community Action, Inc. \(WCCA-Apple Country \(Hendersonville\)\)](#)  
[Western Piedmont Regional Transit Authority](#)  
[Wilkes Transportation Authority \(WTA\)](#)  
[Yancey County Transportation](#)

#### Western Piedmont

[Alamance County Transportation Authority \(ACTA\)](#)  
[Anson County Transportation System](#)  
[Burlington Link Transit](#)  
[Cabarrus County Transportation Services \(CCTS\)](#)  
[Caswell County](#)  
[Charlotte Area Transit System](#)  
[Cleveland County \(Transportation Administration of Cleveland County, Inc.\)](#)  
[Concord CK Rider](#)  
[Davidson County Transportation System \(DCTS\)](#)

[Gaston County](#)  
[Gastonia City](#)  
[Greensboro Transit Authority](#)  
[Guilford County Transportation and Mobility Services \(TAMS\)](#)  
[High Point Transit](#)  
[Iredell County \(ICATS\)](#)  
[Mecklenburg County \(MTS\)](#)  
[Piedmont Authority for Regional Transportation](#)  
[Randolph County \(RCATS\)](#)  
[Rockingham \(ADTS\)](#)  
[Rowan Transit System \(RTS\)](#)  
[Salisbury Transit System \(STS\)](#)  
[Stanly County \(SCUSA\)](#)  
[Union County Transportation](#)  
[Winston-Salem Transit Authority \(WSTA\)](#)  
[Yadkin Valley Economic Development District](#)

**Other Agency Funding Eligibility**

**1.2.3 Other Agency Funding Eligibility**

**Other Agency**

**What funding programs could you manage directly as a non-transit agency?** (click the buttons below to open the Other Agency Eligibility Table):



## Other Agency Funding Eligibility

### 1.2.3.1 Other Agency Funding Eligibility Table

| Funding Program                  | Eligibility | Federal Share                                 | State Share | Local Match |
|----------------------------------|-------------|---|-------------|-------------|
| 5303                             | -           | -   | -           | -           |
| 5310 Capital Purchase of Service | Yes         | 80%   | 0 - 10%     | 10 - 20%    |
| 5310 Operating                   | -           | -   | -           | -           |
| 5311 Admin/Operating             | -           | -   | -           | -           |
| Urban Advanced Technology        | -           | -   | -           | -           |
| Urban STI                        | -           | -   | -           | -           |
| Rural STI                        | -           | -   | -           | -           |
| Combined Capital                 | -           | -   | -           | -           |
| ConCPT CN (Consolidation)        |             |   |             |             |
| ConCPT CO (Coordination)         |             |   |             |             |
| RO                               | -           | -   | -           | -           |
| ROAP                             |             |   |             |             |
| SMAP                             | -           | -   | -           | -           |
| TDM                              | Maybe       | There will be a separate application for FY28 |             |             |
| Travelers' Aid                   | Yes         | 0%  | 50%         | 50%         |
| Urban State Match                | -           | -   | -           | -           |
| Non-STI Rural Expansion Vehicle  | -           | -   | -           | -           |
| Mobility Manager                 | -           | -   | -           | -           |
| Capital Cost of Contracting      | -           | -   | -           | -           |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match



# PART 1

## Background / Eligible Applicants

### 1.3 Program Overview / Funding Formula

As described in Parts 1.1 and 1.2 of this guidance, IMD provides access for public transportation systems and partners to receive public transportation funding from both the state and federal government. As an administrator of state and federal public transportation funds, NCDOT administers the application process, application review, contracting, compliance, and claims processes associated with each state or federal public transportation funding source listed below.

Refer to Part 1.2 for your particular eligibility for the public transportation funding programs listed below.

***Click the buttons below to access information about particular public transportation funding sources administered by NCDOT.***

## 5303 Program Overview

### 1.3.1 Purpose of Funding Source

The Section 5303 program provides funding for multi-modal transportation planning in metropolitan areas and states. Planning needs to be cooperative, continuous, and comprehensive resulting in long-range plans and short-range programs reflecting transportation investment priorities.

### 1.3.2 Notes on Eligible Projects

IMD will provide one half the local match (10%) for FTA Section 5303 funded transit planning tasks if State funds are available. No state match will be provided for Section 5303 funds used for highway planning.

If the Section 5303 allocation for your urbanized area does not provide adequate funding to address the area's transit planning tasks, please indicate the additional source of funds in your Unified Planning Work Program (UPWP), such as Section 5307 Planning, Section 104(f) (PL), or local funds.

Note all of the Section 5303 and 5307 funds to be used for planning tasks must be shown in the UPWP.

### 1.3.3 Priority of Grant Award

Each urbanized area receives a Section 5303 allocation from NCDOT for MPO transit planning activities. The allocations are provided in the [5303 Allocation Table](#).

[5303 Allocation Table](#)

[MPO/RPO Important Dates](#)



5303 Program has a separate application process/ timeline than UGA. Refer to the [UGA website](#) for more instructions.

### 5310 Capital Purchase of Service Program Overview (1/2)

#### 1.3.1 Purpose of Funding Program

The goal of the Section 5310 program is to improve mobility for seniors and individuals with disabilities by removing barriers to transportation services and expanding the transportation mobility options available. Toward this goal, FTA provides financial assistance for transportation services planned, designed, and carried out to meet the special transportation needs of seniors and individuals with disabilities in all areas. A senior is an individual who is 65 years of age or older and the term 'disability' is defined in section 3(1) of the Americans with Disabilities Act (ADA) of 1990 (42 U.S.C. 12101). NCDOT provides access to Section 5310 funding for capital purchase of service expenditures through this application.



5310 Capital PoS Program is for non-transit agencies to apply for funds to purchase service from a public or private provider.

#### 1.3.2 Notes on Eligible Projects

Eligible projects include the purchase of public transportation trips/fares towards meeting the goals of the Section 5310 Program.

- ▶ Public transportation projects planned, designed, and carried out to meet the special needs of seniors and individuals with disabilities;
- ▶ 5310 Capital Purchase of Service is only for non-transit applicants i.e., non-profit agencies, county departments or division who are non-transit. Transit agencies must apply for 5310 Operating at a 50% federal and 50% local share amount;
- ▶ Funding is not guaranteed until programmed and is only partially reimbursed;
- ▶ Public transportation projects that exceed the requirements of the ADA of 1990 (42 U.S.C. 12101 et seq.);
- ▶ Public transportation projects that improve access to fixed route service and decrease reliance on complementary paratransit; and
- ▶ Alternatives to public transportation projects that assist seniors and individuals with disabilities with transportation.

The applicant must be the one administering the project, determining eligibility, arranging transit services, and/or operating the funded service. Passing through grant funding to another entity will not be allowed.

### 5310 Capital Purchase of Service Program Overview (2/2)

#### 1.3.3 Priority of Grant Award

In determining a fair and equitable process for project selection, IMD considers the needs of projects that are currently in operation and the need to allow for the implementation of new projects. To that end, IMD has created a systematic set of scoring criteria that will be used to determine an overall point value per project score submitted by transit subrecipients across the state. This comprehensive scoring selection process has been created in conjunction with the overall program goals and to advance transportation services for elderly and disabled individuals in North Carolina. This scoring and evaluation framework for Section 5310 projects will result in an overall composite score that is used to make funding determinations per project.

### 5310 Operating Program (Transit Applicants Only) Overview (1/2)

#### 1.3.1 Purpose of Funding Program

The goal of the Section 5310 program is to improve mobility for seniors and individuals with disabilities by removing barriers to transportation services and expanding the transportation mobility options available. Toward this goal, FTA provides financial assistance for transportation services planned, designed, and carried out to meet the special transportation needs of seniors and individuals with disabilities in all areas. A senior is an individual who is 65 years of age or older and the term 'disability' is defined in section 3(1) of the Americans with Disabilities Act (ADA) of 1990 (42 U.S.C. 12101). NCDOT provides access to Section 5310 funding for rural and small urban transit systems for operating expenditures through this application.

#### 1.3.2 Notes on Eligible Projects

The Section 5310 "Other Section 5310 Projects" provides grant funds for capital and operating expenses to recipients for:

- ▶ Public transportation projects planned, designed, and carried out to meet the special needs of seniors and individuals with disabilities;
- ▶ Public transportation projects that exceed the requirements of the ADA of 1990 (42 U.S.C. 12101 et seq.);
- ▶ Public transportation projects that improve access to fixed route service and decrease reliance on complementary paratransit; and
- ▶ Alternatives to public transportation projects that assist seniors and individuals with disabilities with transportation.

Only applications from transportation systems proposing projects benefiting seniors and individuals with disabilities living in rural areas and/or small urban areas will be reviewed for funding with this application. If you are interested in 5310 funding for services in a large urbanized area, you must contact your MPO to find out how their FTA-appropriated large urban 5310 funds are awarded.

The applicant must be the one administering the project, determining eligibility, arranging transit services, and/or operating the funded service. Passing through grant funding to another entity will not be allowed.

#### 1.3.3 Priority of Grant Award

In determining a fair and equitable process for project selection, IMD considers the needs of projects that are currently in operation and the need to allow for the implementation of new projects. To that end, IMD has created a systematic set of scoring criteria that will be used to determine an overall point value per project score submitted by transit subrecipients across the state. This comprehensive scoring selection process has been created in conjunction with the overall program goals and to advance transportation services for elderly and disabled individuals in North Carolina. This scoring and evaluation framework for Section 5310 projects will result in an overall composite score that is used to make funding

**Continues on next page**

### 5310 Operating Program (Transit Applicants Only) Overview (2/2)

determinations per project.

If operating projects are approved, they will be approved on a cost-per-trip reimbursement basis (50/50 cost sharing ratio – no state match will be provided for operating projects).

#### 1.3.4 Special Notes on Small Urban Projects

- ▶ Small Urban service area using 5310 funds cannot duplicate trips provided by a Rural Community Transportation (CT) system. It is eligible if they serve a different area.
- ▶ Written 5310 Operating application must provide detailed description of the project and all the supporting documentation required by the program, including Master Documents.
- ▶ Small Urban system is required to validate riders using 5310 Operating funds are either 65 years or older and/or have a documented disability. Documents must be kept on file for future validation.
- ▶ Small Urban system is required to maintain a list of eligible riders. 5310 riders are not included on general fixed-route stops.
- ▶ Projects will be scored along with other 5310 applications submitted.
- ▶ 5310 Operating funds are for trips (G-313) and not to offset or supplement the normal system operating budget. 5310 Operating-funded trips must be separate and documented with trip information and cost.
- ▶ Claims must contain back-up documentation, including passenger manifest and trip cost.
- ▶ Small Urban system receiving a 5310 Operating grant is subject to a compliance review by IMD up to every 3 years, regardless of a compliance review conducted by the FTA.

### 5311 Non-Urbanized Area Formula Program Overview

#### 1.3.1 Purpose of Funding Program

Section 5311 funding is a federal formula grant for rural areas established to provide assistance to support public transportation in areas with populations of less than 50,000. This funding is provided to address the needs of rural area residents who often rely on public transit to reach their destinations.

#### 1.3.2 Notes on Eligible Projects

Eligible transportation systems (see Part 1.2) can use Section 5311 funds for public transportation projects in non-urbanized areas. Because most rural trips end in urbanized areas, Section 5311 funds can be used for portions of trips in urbanized areas; however, the primary beneficiary of Section 5311-funded transportation activity must be rural areas. A rural transit provider should consider designing its Section 5311-funded services to maximize use by members of the general public who are transportation disadvantaged such as elderly people and people with disabilities.

#### 1.3.3 Priority of Grant Award

North Carolina's coordinated approach to service delivery currently allows for the award of a single application (as a sub-recipient of NCDOT) within each designated service area as identified in the transit system's Transportation Development Plan (TDP) currently on-file with NCDOT. Section 5311 funding is only provided to a single subrecipient within each geographical area (county or counties served by a regional transit system) as identified in that transit system's TDP. IMD will implement the adoption of a Governing Board resolution that designates the single designated 5311 applicant on a 5-year cycle. NCDOT's cycle is 2018, 2023, 2028 and so forth.

For the FY28 Call for Projects, the 5-year funding allocation formula developed for FY 2023 will continue to be used. The funding formula for FY28 provides 1) a base level of \$30,000 for each county in the 5311-funded transit system's service area, 2) a performance bonus carved from a 10% performance set-aside from the overall formula, and 3) a final apportionment based on the amount of funds that were generated by each transit system. The remaining funds are distributed based on the FTA apportionment formula which is how FTA determines the apportionment to each state. If the formula amount apportioned to a transit system was below FY 2023 totals, state or other federal funds were added to equal no more than a 10% reduction. This 10% reduction will continue each year until the funding matches the earned amounts. Ten percent (10%) of the funding will be based on performance as well. If you were above the apportioned amount, you will receive a slight increase. This funding formula allows for the future incorporation of performance criteria.

Identifying Your  
Reimbursable  
Operating  
Expenditure Amount

### Urban Advanced Technology Program Overview

#### 1.3.1 Purpose of Funding Program

Urban Advanced Technology funding is used to benefit transit systems in North Carolina utilizing a wide selection of technologies available today, enhancing the passenger experience enabling transit systems to improve safety and efficiencies in their operations. IMD encourages North Carolina's transit systems to employ advanced technologies fostering increased efficiencies throughout the state.

#### 1.3.2 Notes on Eligible Projects

IMD administers the Urban Advanced Technology grant available to the urban and regional transit systems of North Carolina on a competitive basis. Urban Advanced Technology grants provide funding for technology projects meeting the following criteria:

- ▶ The project must be included in the Regional Intelligent Transportation System (ITS) Strategic Deployment Plans
  - ▶ Depicts an understanding and implementation of the NCDOT/ITRE Definitions for Implementing Technologies
  - ▶ Adheres to the Advanced Technology Policy
  - ▶ Submits a Memorandum of Understanding for execution with IMD related to initial implementation and on-going maintenance of technologies purchased with funding from this program.
  - ▶ Adopts a resolution authorizing the transit system to enter into an agreement with NCDOT and verified in the local share certificate
- Projects may request up to 90% state match with a 10% local share.

#### 1.3.3 Priority of Grant Award

Funding is awarded to projects that can be completed within the period of performance and are ready to move forward as soon as the project period of performance begins. Proof of these activities must be submitted prior to the project being released. If this requirement cannot be met, the application should be postponed to future years. If you have active projects and are unable to complete another project on time or have not started your current project(s), please wait on another application cycle to apply.

Applications are evaluated based on:

- ▶ Addressing NCDOT mission, goals, values, and meeting focus areas of application
- ▶ Application quality, project goals, and measurements of success
- ▶ Past successes in project management and completions
- ▶ Successful past project management experience

### Urban STI Program Overview

#### 1.3.1 Purpose of Funding Program

Systems awarded a grant through NCDOT's Prioritization Process must complete an application in the fiscal year they are using the funds to receive the state match of Highway Trust Funds. The Urban-STI application is used by direct recipients of federal funds from the FTA to apply for and receive reimbursement of the State portion of STI.

#### 1.3.2 Notes on Eligible Projects

Transit systems have the opportunity to apply for an expansion vehicle using the Urban STI Capital application after successfully working with the local RPO, MPO, or NCDOT Division Engineer to submit for and win award of funding through NCDOT's Prioritization Process. The entire project must be submitted for funding consideration through this program (partial phases cannot be submitted for funding e.g., engineering and design). However, a segment including all phases (ROW, Utilities, Design, and Construction) for a fixed guideway project can be submitted. Only projects with a total cost of \$40,000 or greater are eligible.

Note that technology projects are not eligible to be submitted as an STI project. This includes projects such as transit signal prioritization and cameras.

Click the box to the right to see the summary of Urban-STI projects programmed in FY28.

#### 1.3.3 Priority of Grant Award

Funding allocations made through this program will be considered on a competitive basis and are not guaranteed.

**STIP Approved  
Project List**



### Rural STI Program Overview

#### 1.3.1 Purpose of Funding Program

Systems awarded a grant through STI in NCDOT's Prioritization Process must complete an application in the fiscal year they are using the funds to receive the state match of Highway Trust Funds. The Rural-STI application allows systems to add federal funds where IMD has oversight of the application for the project and receives reimbursement.

#### 1.3.2 Notes on Eligible Projects

Transit systems have the opportunity to apply for an expansion vehicle using the Rural STI Capital application after successfully working with the local RPO, MPO, or NCDOT Division Engineer to submit for and win award of funding through NCDOT's Prioritization Process. The entire project must be submitted for funding consideration through this program (partial phases cannot be submitted for funding e.g., engineering and design). However, a segment including all phases (ROW, Utilities, Design, and Construction) for a fixed guideway project can be submitted. Only projects with a total cost of \$40,000 or greater are eligible.

Note that technology projects are not eligible to be submitted as an STI project. This includes projects such as transit signal prioritization and cameras.

Click the box to the right to see the summary of Rural-STI projects programmed in FY28.

#### 1.3.3 Priority of Grant Award

Funding allocations made through this program will be considered on a competitive basis and are not guaranteed.

**STIP Approved  
Project List**

## Combined Capital Overview

### 1.3.1 Purpose of Funding Program

The Combined Capital program allows identified rural and small urban systems the opportunity to apply for funding for capital projects in a single application. This provides IMD the flexibility to fund the approved projects with the type of federal funding programs that best suit the desired projects while managing the funds in the most efficient and effective manner. The Combined Capital application utilizes funds from the 5311, 5307, and 5339 federal programs.

### 1.3.2 Notes on Eligible Projects

Section 5311 grantees and/or small urban Section 5307 grantees are allowed to request vehicles based on the replacement schedule provided by their assigned Regional Grant Specialist (RGS).

Replacement vehicles must meet the minimum useful life mileage outlined in Capital Replacement Schedule. Click the box to the right to access the Capital Replacement Schedule. Vehicles must meet useful life by May 31, 2026 to be replaced in FY28. Vehicles applied for that do not meet the required mileage criteria will be withdrawn from the list and from the system's individual application. Vehicles will not be replaced due to the age of the vehicle. Note in particular that assets that have met useful life will not automatically be replaced.

Systems can apply for EV's but are only eligible if they have initiated a feasibility study and EV infrastructure design OR already have charging infrastructure in place. Funding for the feasibility study may or may not be included in the grant award. Charging infrastructure must be used for transit vehicles only.

Applicants should consult the FY28 Technology Specification (Click the box to the right) before requesting any replacements of Other Capital Technology or Advanced Baseline Technology.

Transit systems should not use this application for expansion vehicle needs. Transit systems have the opportunity to apply for an expansion vehicle using either the Non-STI Rural Expansion Vehicle application or the Rural STI Capital application. Note that the Rural STI application is applicable only where an applicant has successfully worked with their local RPO, MPO, or NCDOT Division Engineer to submit for and win award of funding through NCDOT's Prioritization Process.

This application excludes Direct Purchase of Service requests, which should be included in the Capital Purchase of Service application.

### 1.3.2 Priority of Grant Award

Please note that this is a competitive program and, while every effort will be made to fund eligible capital needs identified through the application process, overall program funding is limited and award of all funding requests is not guaranteed.

[Capital Replacement Schedule](#)

[Technology Specifications](#)

[NEPA Information Form](#)



## ConCPT CN (Consolidation) Program Overview (1/2)

### 1.3.1 Purpose of Funding Program

The purpose of the consolidation portion (CN) of the ConCPT funding is to reduce the number of NCDOT IMD grantees while expanding the level of services offered in our communities. Funding will be provided for full-consolidations where two or more public transportation grantees merge into a single grantee.

### 1.3.2 Notes on Eligible Projects

ConCPT funds must be directly applied to public transportation projects and cannot be used to offset local funding.

Consolidation funds may only be used for operating expenses. The lead agency will be the applicant/recipient of the funds. Funding is not guaranteed and requires service for two or more counties for partial reimbursement.

ConCPT funding is limited to a maximum of \$200,000 per year for each grantee involved in the consolidation project. However, the actual funding levels will be based on the local funds used to pay for expenses according to the most recent NC Operating Statistics (OpStats) for the individual public transportation systems involved in the project. For FY28 applications, Fiscal Year 2023 Operating Statics will be used. The figures in Table 1 show the maximum funding level and are based on each individual system's OpStats data, not the combined total after consolidation. Thus, the maximum available funding is the sum of the figures for each system involved in the consolidation. For example, two-system consolidation where one system has greater than \$800,000 in local funding and the other has system has \$250,000 in funding will receive a maximum of \$325,000. Funds may be reduced depending on the number and quality of applications received.

Table 1. Maximum Funding Per Consolidating System

| Local Funding (OpStats) | ConCPT Funding (Maximum) |
|-------------------------|--------------------------|
| >\$800,000              | \$200,000                |
| \$601,000-\$800,000     | \$175,000                |
| \$400,001-\$600,000     | \$150,000                |
| \$200,000-\$400,000     | \$125,000                |
| <\$200,000              | 1/2 of Local Funding     |

Continues on next page

### ConCPT CN (Consolidation) Program Overview (2/2)

#### 1.3.3 Priority of Grant Award

Consolidation plans will be evaluated according to their potential for reducing the number of NCDOT IMD grantees while improving the quality of services in the local areas.

Because it is unlikely applications received will perfectly align to the targeted expenditure level (based on quality and quantity of applications), NCDOT reserves the right to adjust the funding levels below the maximum to enable all funds to be expended on projects meeting the goals of the ConCPT program.

### ConCPT CO (Coordination) Program Overview

#### 1.3.1 Purpose of Funding Program

The purpose of the coordination portion (CO) of the ConCPT funding is to enhance coordination between NCDOT IMD grantees. Funding will be provided for coordination activities where three or more public transportation grantees agree to establish formal relationships to maximize resources, gain efficiencies, and improve access to public transportation. The primary goal of this ConCPT funding is to establish formal relationships between the transit systems for long-distance routes.

#### 1.3.2 Notes on Eligible Projects

ConCPT funds must be directly applied to public transportation projects and cannot be used to offset local funding. Coordination funds may only be used for operating expenses. The lead agency will be the applicant/recipient of the funds. Funding is not guaranteed and requires service for three or more counties for partial reimbursement.

The routes should include at least three transit systems and must operate every weekday using a published schedule. The routes should be designed to provide access to major medical destinations and nearby regional and intercity transportation facilities such as multi-modal centers and intercity bus stops. Service to other regional destinations such as community colleges should also be considered.

NCDOT IMD's preferred method of reimbursement is to agree with the lead agency on the cost of service to be provided (miles/hours, hours and a wait time hourly rate) and agree on the base route of the service to be provided, preferably two times per day. Then, with appropriate documentation, NCDOT IMD will pay for ½ of the service provided.

ConCPT funding is limited to a maximum of \$200,000 per year for each grantee involved in the coordination project. However, it is anticipated that most projects will be funded at levels lower than the maximum.

#### 1.3.3 Priority of Grant Award

Criteria for evaluating the plans will be based on the increased mobility and cost savings the proposed service will provide.

Because it is unlikely applications received will perfectly align to the targeted expenditure level (based on quality and quantity of applications), NCDOT reserves the right to adjust the funding levels below the maximum to enable all funds to be expended.

### Rural State Operating Funds Program Overview

#### 1.3.1 Purpose of Funding Program

The Rural State Operating (RO) grants are available to Small Fixed Route, Regional, and Rural/Urban Consolidated systems to assist in delivering important transit service beyond that which is supported by federal formula funding programs. The purpose of the RO funding program is to extend general transportation opportunities and increase ridership in our rural areas.

#### 1.3.2 Notes on Eligible Projects

The RO grant is made up of state and local dollars. The state share will be 50% and the local share will also be 50%. Reimbursement will either be by route or trip as selected in the application. The state will pay 50% of the net operating expenses.

#### 1.3.3 Priority of Grant Award

Click the box to the right to see the instructions for identifying your reimbursable operating expenditure amount.

[How to Calculate Net Operating Expense](#)

[Rural State Operating and Travelers' Aid Program Funding](#)



RO grants depend on the state funding availability.  
Funding might not be available for a certain fiscal year.

### Rural Operating Assistance Program Overview (1/2)

#### 1.3.1 Purpose of Funding Program

NCDOT provides state-funded transportation assistance for rural transit providers through the Rural Operating Assistance Program (ROAP). ROAP has three components outlined below:

Elderly and Disabled Transportation Assistance Program (EDTAP) :

Provides operating assistance for the transportation of the state's elderly and disabled citizens

Employment and Transportation Assistance Program (EMPL) :

- 1) Intended to help DSS clients that transitioned off Work First or Temporary Assistance for Needy Families (TANF) in the previous 12 months; or Workforce Development Program participants; or
- 2) Intended to help the transportation of disadvantaged public; and/or
- 3) Intended to help the general public travel to work, employment training, and/or other employment-related destinations

Rural General Public Program (RGP) :

Intended to provide transportation services for individuals from the county who do NOT have a human service agency or organization that will pay for the transportation service.

- 1) The passenger's origin or destination must be in the rural area.
- 2) RGP trips can be coordinated on vehicles with other human services trips.

#### 1.3.2 Notes on Eligible Projects

A county which uses any ROAP funds for non-public transportation trips will not receive further disbursements of ROAP funding until the amount of ROAP funds misspent has been repaid. ROAP funds spent will be accounted for by program on the monthly ROAP report form through Smartsheet.

The county or eligible authority may choose to maintain control of the ROAP funds and reimburse subrecipients for trip-based services after they have been provided. Or, the county or eligible authority may disburse the ROAP funds to the subrecipients prior to trips being provided, assuming the county or authority plans to monitor throughout the period of performance whether the trips and transportation services are being provided to eligible individuals and whether all expenditures are allowable.

Continues on next page

### Rural Operating Assistance Program Overview (2/2)

#### 1.3.3 Priority of Grant Award

The ROAP funding formulas remain the same as in previous years and are distributed across the following three funding project categories EDTAP, EMPL, and RGP. ROAP is a disbursement program and, as such, differs from other funding programs defined by this Unified Grant Application process.

**\*Refer to the ROAP guidance available on [Connect NCDOT Website](#) for the FY28 application. The guidance will be posted after the State budget is approved and certified.**

### SMAP Program Overview

#### 1.3.1 Purpose of Funding Program

The intention of this State Maintenance Assistance Program (SMAP) is to provide operating assistance to urban, small-urban, and urban regional fixed route and commuter bus systems through a state-funded disbursement that functions with low overhead and paperwork.

#### 1.3.2 Notes on Eligible Projects

Eligible uses of the funds are limited to a system's operating costs. Operating expenses are defined in the FTA Circular 9050.1A for the Section 5307 program. Preventive maintenance and ADA service costs now defined for federal grants as capital eligible expenses are still considered operating expenses for SMAP funds. Note: SMAP funds cannot be used as a local match to other state-funded programs, such as the Urban Technology Program grants or the Transportation Demand Management program. These funds support statewide mobility interests including cross-jurisdictional travel.

#### 1.3.3 Priority of Grant Award

The grant will be disbursed as follows:

- 10% equal share
- 30% local commitment
- 60% performance
  - o 30% trips relative to the statewide average
  - o 30% net cost per trip relative to the statewide average
  - o Total expenses minus fares and other operating revenues divided by total trips
  - o Regional providers handled separately

The connectivity portion of the formula is \$2M and distributed proportionally.

SMAP is a disbursement program and, as such, differs from other funding programs defined by this Unified Grant Application process.

**\*Refer to the SMAP guidance available on [Connect NCDOT Website](#) for the FY28 application. The guidance will be posted after the State budget is approved and certified.**

# Transportation Demand Management Application Overview

### 1.3.1 Purpose of Funding Program

Transportation Demand Management (TDM) programs promote alternative transportation options to the single occupant vehicle. Included among these alternatives are carpooling, vanpooling, telecommuting, transit, bicycle, flexible work hours, compressed workweeks and parking policies/pricing structures. NCDOT IMD is funding these TDM programs to continue supporting the provisions of the Ambient Air Quality Improvement Act of 1999 (Senate Bill 953), which addressed concerns over ground level ozone pollution from motor vehicles and the need to deal with increasing vehicle miles traveled (VMT) and nitrous oxide (NOx) emissions. The bill established a goal of reducing the growth of commuter VMT in the state by 25 percent from 2000 until 2009, which was achieved. Continuing strides have been made in the years following this achievement.

Urban and rural areas in the state interested in a TDM Program who do not currently receive state TDM funds should contact their regional planner ([Regional Contact Information](#)).

### Travelers' Aid Program Overview

#### 1.3.1 Purpose of Funding Program

The mission of the Travelers' Aid program is to advance and support a network of human service providers committed to assisting individuals and families who are in transition or crisis and are disconnected from their support systems. Travelers' Aid programs consist of a diverse group of human service non-profit organizations and a network of transportation providers. While each agency shares the core service of helping stranded travelers, many Travelers' Aid agencies provide shelter for the homeless, transitional housing, job training, counseling, local transportation assistance, and other programs to help people in crisis.

#### 1.3.2 Notes on Eligible Projects

The overall purpose of the Travelers' Aid Program is to provide intercity bus and/or train tickets for disadvantaged individuals, victims of domestic violence, and stranded travelers in need of transportation to other locations in times of distress. Funding is not guaranteed until programmed and is only partially reimbursed.

### Urban State Match Program Overview

#### 1.3.1 Purpose of Funding Program

NCDOT IMD responds to requests for Urban State Match Funding to be used as a match for both federal (FTA and FHWA) and locally funded urban transit projects. Federal funding sources which can be matched through these requests include 5307 Urbanized Area Formula Grants, 5339 Bus and Bus Facilities, 5337 State of Good Repair Grants, 5310 Elderly and Disabled, and Discretionary grants from the FTA. In addition, locally funded projects are eligible for a state match. Urban State Match funds are available from the upcoming State Fiscal Year 2028 appropriations and must be used in the fiscal year they are received. Applications should be submitted for Urban State Match funds after vehicle(s) have been received by applicant.

#### 1.3.2 Notes on Eligible Projects

Only facility projects included in the STIP in FY 2013 or before and not part of the STI Process are eligible for state match. Facility projects are limited to costs associated with a new building or rehabilitation/renovation of an existing building. They do not include other projects such as park and ride lots, bus shelters, etc. Vehicle replacements prioritized through the STI process are not eligible for state match. Note that funding is not guaranteed.

Note that any project where reimbursement requests will not be made during FY28 (July 1, 2027 to June 30, 2028) is not eligible for FY28 state match. Those projects can be submitted for a state match request during a year in which claims will be submitted. Urban State Match money must be spent in the fiscal year it is acquired. Urban State Match application in EBS will be open and available throughout the fiscal year."

#### 1.3.3 Priority of Grant Award

Projects will be evaluated based on transit system operating performance factors and vehicle fleet characteristics. In addition, whether the transit system has received state match funds over the past two years will be considered. Flexibility is important in the analysis of project submissions due to individual transit system characteristics and funding amounts of individual project submissions in relation to limits on funding totals.



New for FY27, Urban State Match applications are now off-cycle and only submitted when vehicle(s) are received. The application will be open throughout the fiscal year.

### Non-STI Rural Expansion Vehicle Program Overview

#### 1.3.1 Purpose of Funding Program

This funding opportunity is for rural systems who are interested in applying for an expansion vehicle. State matching funds will not be provided for projects funded through this program.

#### 1.3.2 Notes on Eligible Projects

Eligible projects are expansion vehicles and the security cameras, lettering and logos, and other such additions to the vehicle only. Computers, furniture, and other capital requests are not eligible under this grant.

All projects eligible for STI to be funded with FTA Section 5311 funds or 5307 funds must be submitted and scored under STI. Funding is not guaranteed until programmed and is only partially reimbursed.

#### 1.3.3 Priority of Grant Award

The opportunity for funding requests made through this program will only be considered after all Combined Capital funding requests. NCDOT will prioritize the funding of vehicle replacement requests over any requests made through this program. Funding allocations made through this program will be considered on a competitive basis.

### Mobility Manager Program Overview

#### 1.3.1 Purpose of Funding Program

The Mobility Manager Program consists of short-range planning and management activities, projects for improving coordination among public transportation and other transportation-service providers carried out by a recipient or subrecipient through an agreement entered into with a person, including a government entity, under 49 U.S.C. Chapter 53 (other than Section 5309).

#### 1.3.2 Notes on Eligible Projects

General marketing and administrative duties are not eligible activities for the Mobility Manager position. The Mobility Manager Program does not include operating public transportation services. Funds from the Rural Operating Assistance Program cannot be used as a match for a Mobility Manager project. Funding is not guaranteed until programmed and is only partially reimbursed.

#### 1.3.3 Priority of Grant Award

NCDOT IMD will consider all the Mobility Manager project applications, but may not fund all of them, if it is determined that funding more than one creates a duplication of effort within the same geographic and/or service area. Funding is not guaranteed for the Mobility Manager Program, and if a project is selected it could be partially funded.

In determining a fair and equitable process for project selection, IMD considers the needs of projects that are currently in operation and the need to allow for the implementation of new projects. To that end, IMD has created a systematic set of scoring criteria that will be used to determine an overall point value per project score submitted by transit subrecipients across the state. This comprehensive scoring selection process has been created in conjunction with the overall program goals and to advance transportation services for elderly and disabled individuals in North Carolina. This scoring and evaluation framework for Section 5310 projects will result in an overall composite score that is used to make funding determinations per project.

### Capital Cost of Contracting Program Overview

#### 1.3.1 Purpose of Funding Program

The Integrated Mobility Division (IMD) has created a separate grant for Capital activities related to Capital Cost of Contracting. This grant opportunity is a sub-section of the State's allocation of Section 5311 or 5339 funding and has been developed to promote the development and expansion of microtransit systems across the state.

Capital cost of contracting is used in cases when transit agencies elect to work with a contractor to provide transit operations or maintenance services or vehicles that will be used in public transportation service. The Federal Transit Administration (FTA) will provide funding assistance for the capital used for these types of transit service contracts with a private company. The capital consumed during the contract period typically includes a proportionate share of funding provided by the FTA, the private contractor along with the transit agency. FTA refers to this concept of assisting with the capital used in the contract period as "Capital Cost of Contracting."

Only costs that are attributed to the privately owned assets by contractor are eligible for funding assistance. Capital items, like rolling stock, purchased with federal, state or local funding assistance are not eligible expenses for capital cost of contracting.

#### 1.3.2 Notes on Eligible Projects

Current Section 5311 subrecipients are eligible to apply for funding for Capital Cost of Contracting, but application restrictions, i.e., complete project, duplicate project, regional participation, may apply. Transit agencies may utilize Capital Cost of Contracting when offering additional types of transit service like microtransit, that have not been traditionally offered in a particular location. Funding is not guaranteed until programmed and is only partially reimbursed.

### Internship/Apprentice Program Overview (1/2)

#### 1.3.1 Purpose of Funding Program

The Public Transportation Internship/Apprentice Program, administered by the N.C. Department of Transportation Integrated Mobility Division (NCDOT-IMD), provides work experience and learning opportunities for **students attending a college or university in North Carolina** (Internship) **or have earned a bachelor's degree** (Apprentice) who have the desire to work in the field of public transportation management.

The program provides exposure to all aspects of a transit system, allowing interns/apprentices to gain experience in managing public transportation operations. The program also assists with developing a pool of qualified workers for the future of the state's public transportation industry.

Sponsor transit systems benefit from the program by having interns/apprentices on staff to assist with everyday operational tasks and address special projects. A 12-month commitment is required for the Internship Program. Interns work approximately 12 weeks full time, 40 hours per week during the summer between their two years of graduate school, and 10 hours per week during the fall and spring semesters of their second year (880 Hours max). The Apprentice Program is a 12-month program where the apprentices will work 52 weeks full time, 40 hours per week (2080 Hours max).



Internship/Apprentice Program has a separate application process/timeline than UGA. Refer to the [UGA website](#) for more instructions.

#### 1.3.2 Notes on Eligible Projects

The Internship/Apprentice Program consists of two major components:

- Interns/apprentices participate in all aspects of public transportation services. They may participate in duties such as customer database maintenance, collecting service quality surveys, collecting and analyzing service data (i.e., ridership, revenue, hours and miles), and making revenue and expense estimates for short-range transportation plans. Additionally, the apprentices may spend time observing the general manager and the governing or advisory board as they carry out management and policy duties. Actual activities of the intern/apprentice will vary with each transportation system.

Continues on next page

### Internship/Apprentice Program Overview (2/2)

- Both interns and apprentices are required to do independent research or a special project. The transportation system and the intern/apprentice determine special projects based on the system's specific needs and the intern's/apprentice's abilities. Projects may include inventorying and organizing information about bus stop locations for GIS implementation, data analysis for the local area long-range transportation plan, and other studies involving market research or service productivity.

#### 1.3.3 Notes on Eligible Sponsors

Urban, regional, and rural public transportation systems and consolidated human services transportation systems with major transit planning responsibilities are eligible to participate in the internship program. Sponsors will recruit interns beginning **January 15, 2026 through April 30, 2026**.

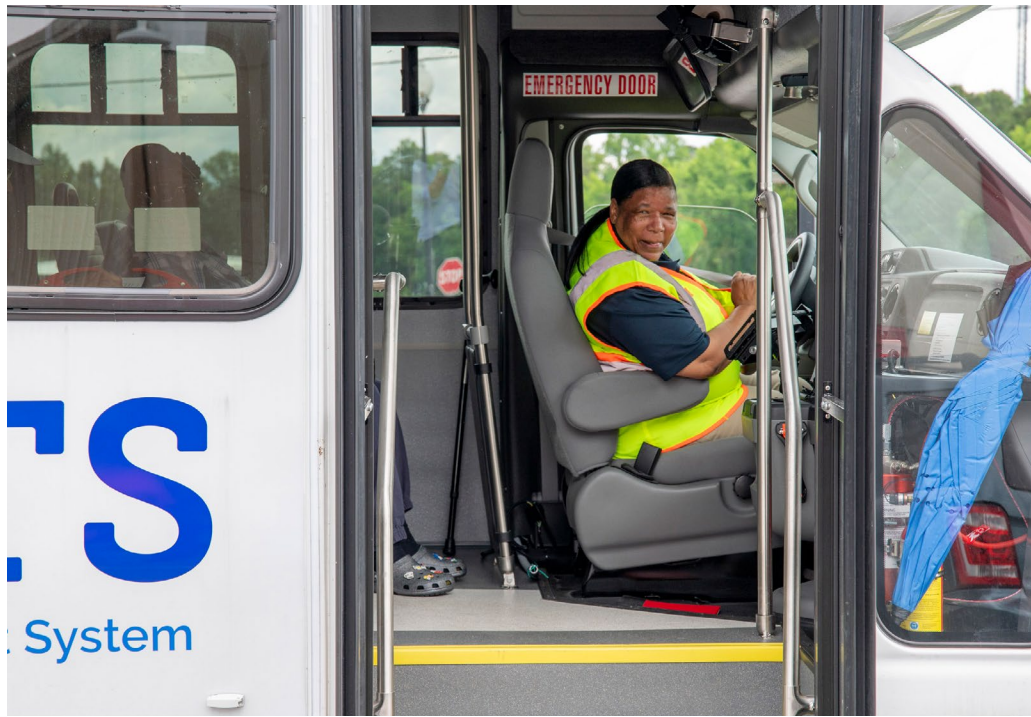
#### 1.3.4 Application Deadline

Eligible sponsors must submit proposals for sponsoring an apprentice no later than **5 p.m. on December 1, 2025**. Proposals must include:

- Completed Project Proposal Form;
- Project Budget and Financing Form; and
- Transmittal letter from the transit organization's authorized official committing to provide the local match funds of project costs.

Each system requesting state match funds for FY26/27 will complete the first page of the Project Funding Request Form. The form can be located on the Integrated Mobility Division (IMD) website, [www.ncdot.gov/nctransit](http://www.ncdot.gov/nctransit).

**Note: Proposals must be received with all documentation completed and signed by the deadline to be considered for selection.**



# **PART 2     Eligible Expenditures**



# PART 2

## Eligible Expenditures

### 2.1 G Codes & UPTAS Compliance

All community transportation systems are required to utilize the Uniform Public Transportation Accounting System (UPTAS) for budgeting and reporting. NCDOT created and maintains UPTAS to communicate in a standardized language with transportation partners (to include local governments and transit authorities) through a unified set of accounting codes specific to transportation expenditures. UPTAS outlines the codes to be used by applicants so that applicants can communicate with NCDOT accountants for budgeting, accounting, invoicing, and reporting purposes. Please refer to the UPTAS guidance ([link at right](#)) for further details.

In the following Part 2.2, this guidance document will detail which codes, as outlined in UPTAS, are eligible by associated public transportation grant funding source. Part 2.2 details the accounting codes that should be used when creating, submitting, and reporting on your public transportation grant applications. Although not detailed in the linked UPTAS document, Part 2.2 also provides information for programs that utilize M-Codes and other accounting mechanisms where managed by NCDOT.



UPTAS

# PART 2

## Eligible Expenditures

### 2.2 Eligible Expenses

While an applicant's governing body will determine the particular items for expenditure for any particular funding source within identified eligibility for each funding source; applicant reporting on expenditures/desired expenditures will need to utilize the correct UPTAS codes associated with those expenditures.

Based on the public transportation grants for which you will apply, click the links in the cells below to identify eligible expenditures listed by the associated UPTAS accounting codes. Note that reference to these applicable codes will be necessary in order to submit an application through the online application submittal process (through Electronic Business System or EBS). Please refer to the UPTAS guidance (link at right) for further details.

**Disclaimer: Eligible expenses may vary from what is stated in this guidance document.**

|  |   |   |  |  |  |
|--|---|---|--|--|--|
| <b>5303</b><br><ul style="list-style-type: none"> <li>5303 Eligible Planning Expenses <a href="#">[link]</a></li> </ul>                          | <b>5310 Capital Purchase of Service</b><br><ul style="list-style-type: none"> <li>Capital Purchase of Service Eligible Expenses <a href="#">[link]</a></li> </ul>   | <b>5310 Operating</b><br><ul style="list-style-type: none"> <li>5310 Eligible Operating Expenses <a href="#">[link]</a></li> </ul>  | <b>5311 Admin/ Operating*</b><br><ul style="list-style-type: none"> <li>5311 Eligible Administration Expenses <a href="#">[link]</a></li> <li>5311 Eligible Operating Expenses <a href="#">[link]</a></li> </ul> | <b>Urban Advanced Technology</b><br><ul style="list-style-type: none"> <li>Urban Advanced Technology Eligible Capital Expenses <a href="#">[link]</a></li> </ul> | <b>Urban STI</b><br><ul style="list-style-type: none"> <li>Urban STI Eligible Capital Expenses <a href="#">[link]</a></li> </ul>           |
| <b>Rural STI</b><br><ul style="list-style-type: none"> <li>Rural STI Eligible Capital Expenses <a href="#">[link]</a></li> </ul>                 | <b>Combined Capital</b><br><ul style="list-style-type: none"> <li>Combined Capital Eligible Expenses (Rural System) <a href="#">[link]</a></li> <li>Combined Capital Eligible Expenses (Small Urban System) <a href="#">[link]</a></li> </ul> | <b>ConCPT CN (Consolidation)</b><br><ul style="list-style-type: none"> <li>ConCPT CN Eligible Administration Expenses <a href="#">[link]</a></li> <li>ConCPT CN Eligible Operating Expenses <a href="#">[link]</a></li> </ul> | <b>ConCPT CO (Coordination)</b><br><ul style="list-style-type: none"> <li>ConCPT CO Eligible Operating Expenses <a href="#">[link]</a></li> </ul>  | <b>RO</b><br><ul style="list-style-type: none"> <li>RO Eligible Operating Expenses <a href="#">[link]</a></li> </ul>   | <b>Travelers' Aid</b><br><ul style="list-style-type: none"> <li>Travelers' Aid Eligible Capital Expenses <a href="#">[link]</a></li> </ul> |
| <b>Urban State Match</b><br><ul style="list-style-type: none"> <li>Urban State Match Eligible Capital Expenses <a href="#">[link]</a></li> </ul> | <b>Non-STI Rural Expansion Vehicle</b><br><ul style="list-style-type: none"> <li>Non-STI Rural Expansion Vehicle Eligible Capital Expenses <a href="#">[link]</a></li> </ul>  | <b>Mobility Manager</b><br><ul style="list-style-type: none"> <li>Mobility Manager Eligible Capital Expenses <a href="#">[link]</a></li> </ul>  | <b>Capital Cost of Contracting</b><br><ul style="list-style-type: none"> <li>Capital Cost of Contracting Expenses <a href="#">[link]</a></li> </ul>  | <b>Internship/Apprentice Program</b><br><ul style="list-style-type: none"> <li>Internship/Apprentice Program Expenses <a href="#">[link]</a></li> </ul>          |  |

#### UPTAS

\* May use 5311 allocation for any combination of Administrative and Operating expenses.

### 5303 Eligible Planning Expenses

#### 2.2.1 5303 Eligible Planning Expenses

Applicants eligible for Section 5303 funding may apply for eligible planning expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired 5303 expenditures by M-Code.

Applications not using valid FTA task codes will not be approved. Please be sure that codes used match FTA codes currently in use. Check the FTA website for possible updates to FTA codes prior to application submission: <https://www.transit.dot.gov/funding/grantee-resources/scope-activity-line-codes/scope-activity-line-codes>

In addition, please make sure these codes match the ones used in the budget within your EBS application.

#### Metropolitan Planning

M-Code - FTA task code - Description

M302 - 442100 - Program Support Administration

M303 - 442200 - General Development / Comprehensive Planning

M304 - 442301 - Longterm Trans Plan - System Level

M305 - 442302 - Longterm Trans Plan - Project Level

M306 - 442400 - Short Range Transportation Planning

M307 - 442500 - Transportation Improvement Program

M308 - 442612 - Coordination of Non- Emergency Human Service  
Transportation

M309 - 442613 - Participation of Transit Operators in Metropolitan  
& Statewide Planning

M310 - 442614 - Planning for Transit Systems Management /  
Operations to Increase Ridership

M311 - 442615 - Support Transit Capital Investment Decisions  
through Effective Systems Planning

M312 - 442616 - Incorporating Safety & Security in Transp  
Planning

M313 - 442700-Other Activities

Eligible Sources of  
Local Match

## PART 2 Eligible Expenditure

### 5310 Capital Purchase of Service Eligible Expenses (Non-Transit Applicants Only)

#### 2.2.1 5310 Capital Purchase of Service Eligible Expenses (Non-Transit Applicants Only)

Funding for the Capital Purchase of Service Program comes from the state's allocation of Section 5310. Funding is not guaranteed and will only be approved for the codes listed below. If an application is approved, full documentation will be required when submitting claims for reimbursement.

##### **G600 Contracts, Grants, Subsidies and Allocations**

- G610 Private Operator Contracts
  - G611 Direct Purchase of Service (Private)
- G640 Public Operator Contracts
  - G641 Direct Purchase of Service (Public)

**UPTAS**

**Eligible Sources of  
Local Match**

## PART 2 Eligible Expenditure

### 5310 Eligible Operating Expenses (Transit Applicants Only)

#### 2.2.1 5310 Eligible Operating Expenses (Transit Applicants Only)

Applicants eligible for Section 5310 funding may apply for eligible operating expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired 5310 expenditures by G-Code.

##### G300 Current Obligations And Services

G310 Travel and Transportation

G313 Transportation of Clients / Others for transit systems

UPTAS

Eligible Sources of  
Local Match



## 5311 Eligible Admin Expenses

### 2.2.1 5311 Eligible Administration Expenses (1/2)

Applicants eligible for Section 5311 funding may apply to use their allocated funding for either administration expenses, operating expenses, or a combination of both administration and operating expenses. The total of an applicant's Section 5311 Administration and Section 5311 Operating expenses cannot exceed the amount allocated to that applicant for Section 5311. Discuss option with Regional Grant Specialist to ensure not exceeding the amount allowed. The Federal allocation amount cannot be exceeded which will adjust the State and Local requirements.

#### G100 Personal Services (All)

- G120 Salaries and Wages
- G121 Salaries and Wages - Full-time
- G122 Salaries and Wages - Overtime
- G125 Salaries and Wages - Part-time (Receives Benefits)
- G126 Salaries and Wages-temp. & Part-time (No Benefits)
- G127 Salaries and Wages - Longevity
- G180\* Fringe Benefit
- G181 Social Security Contribution
- G182 Retirement Contribution
- G183\* Hospitalization Insurance Contribution
- G184 Disability Insurance Contribution
- G185 Unemployment Compensation Contribution
- G186 Worker's Compensation Contribution
- G189\* Other (Physicals, Bonus, Insurance, Etc.) Fringe Benefits
- G190 Professional Services
- G191 Accounting
- G192 Legal
- G195 Management Consultant
- G196 Drug Testing Contract
- G197 Drug Tests
- G198 Medical Review Officer

#### G199 Other - Professional Services

#### G200 Supplies And Materials

- G210 Household and Cleaning Supplies
- G211 Janitorial Supplies - (House-keeping)
- G212 Uniforms
- G260 Office Supplies and Materials
- G261 Office Supplies and Materials
- G270 Donated/Contributed Supplies / Equipment
- G271 Donated / Contributed Supplies/ Equipment
- G280 Heating and Utility Supplies
- G281 Air Conditioner / Furnace Filters
- G290 Other Supplies and Materials
- G291 Computer Supplies

#### G300 Current Obligations And Services

- G310 Travel and Transportation
- G311 Travel
- G312 Travel Subsistence
- G320 Communications
- G321 Telephone Service
- G322 Internet Service Provider Fee
- G323 Combined Service Fee
- G325 Postage
- G329 Other Communications
- G330 Utilities
- G331 Electricity

- G332 Fuel Oil
- G333 Natural Gas
- G334 Water
- G335 Sewer
- G336 Trash Collection
- G337 Single / Combined Utility Bill
- G339 Other Utilities
- G340 Printing and Binding
- G341 Printing & Reproduction
- G349 Other Printing & Binding
- G350 Repairs and Maintenance
- G354\* Shop Equipment
- G355 Office & Computer Equipment
- G357 Communications Equipment
- G359 Other Repairs and Maintenance
- G360 Donated / Contributed Labor/ Services
- G361 Donated / Contributed Labor/ Services
- G370 Advertising / Promotion Media
- G371 Marketing - Paid Advertisements
- G372\* Promotional Items
- G373 Other Advertising/Promotion Media (Specify)
- G380 Computer Support Services
- G381 Computer Programming Services

#### Eligible Sources of Local Match

- \* G180 - Benefits can only be reimbursable for the positions specifically listed in the grant
- \* G183 - Hospitalization is only for the associated employee cost; family coverage is not eligible
- \* G189 - Applicants must list specifically what the other fringe benefits are (for example, list the company name e.g. "Charles Schwab" and the type e.g. "401k"). Applicants can also list other insurance types such as "vision" or "dental" without the company name listed.
- \* G354 - Must have on-site maintenance shop
- \* G372 - Promotional items may make up a maximum of 25% of the requested amount of the overall application budget. (EBS will auto-calculate maximum)

List continues on next page

### 5311 Eligible Admin Expenses

#### 2.2.1 5311 Eligible Administration Expenses (2/2)

- G382 Computer Support / Technical Assistance Services
- G390 Other Services
  - G391 Legal Advertising
  - G392 Laundry and Dry Cleaning
  - G393 Temporary Help Services
  - G394 Cleaning Services
  - G395 Training - Employee Education Expense
  - G396 Management Services
  - G398 Security Services
  - G399 Other Services
- G400 Fixed Charges And Other Services**
  - G410 Rental of Real Property
  - G412 Rent of Building
  - G413 Rent of Offices
  - G419 Other Rentals
  - G420 Lease of Computer Equipment
    - G421 Lease of Computer Hardware
    - G422 Lease of Computer Software
  - G430 Lease of Other Equipment
    - G431 Lease of Reproduction Equipment
    - G432 Lease of Postal Meter
    - G433 Lease of Communication Equipment
    - G439 Lease Other Equipment
  - G440 Services And Maintenance Contracts
    - G441 Maintenance Contracts – Communications Equipment
    - G442 Maintenance Contracts - Office Equipment
    - G443 Maintenance Contracts - Reproduction Equipment
    - G444 Maintenance Contracts - Vehicles
    - G449 Other Service and Maintenance Contracts
  - G450 Insurance and Bonding
    - G451 Insurance - Property and General Liability
    - G452\* Insurance – Vehicles
    - G453 Insurance - Fidelity
    - G454 Insurance - Professional Liabilities
    - G455 Insurance - Special Liabilities
  - G480\* Indirect Costs
    - G481 Central Services
  - G490 Other Fixed Charges / Current Expenses
    - G491 Dues and Subscriptions
    - G499 Other Fixed Charges / Current Expenses

#### Eligible Sources of Local Match

\* G480 - Indirect costs must be verified by our compliance officer. Contact IMD's compliance officer during the application process if there is a desire to use this G-Code.

### 5311 Eligible Operating Expenses

#### 2.2.1 5311 Eligible Operating Expenses

Applicants eligible for Section 5311 funding may apply to use their allocated funding for either administration expenses, operating expenses, or a combination of both administration and operating expenses. The total of an applicant's Section 5311 Administration and Section 5311 Operating expenses cannot exceed the amount allocated to that applicant for Section 5311. Discuss option with Regional Grant Specialist to ensure not exceeding the amount allowed. The Federal allocation amount cannot be exceeded which will adjust the State and Local requirements.

Only net operating expenses are eligible for reimbursement. Click the link in the blue box below and to the right for detailed instructions to identify reimbursable operating expenses.

#### **G300 Current Obligations and Services**

G313 Transportation of Clients / Others for transit systems

**UPTAS**

**Eligible Sources of  
Local Match**

**Identifying your  
Reimbursable  
Operating  
Expenditure Amount**

# Urban Advanced Technology Eligible Capital Expenses

### 2.2.1 Urban Advanced Technology Eligible Capital Expenses

Applicants eligible for Urban Advanced Technology funding may apply for capital expenses. Use this information below to identify your desired Urban Advanced Technology expenditures by G-Code. Eligible expenditures may include but are not limited to:

1. Technologies designed to enhance safety and customer experience
2. Technologies designed to improve operational efficiency including advanced scheduling software and integration of on-demand micro-transit solutions (refer to Technology RFP)
3. Technologies designed to reduce the impacts of COVID-19 on passengers (i.e., contactless payment system, etc.)
4. Camera systems

#### G500 Capital Outlay

##### G520 Technology

G523 Computer Software

G524 Scheduling Software for AT

G525 Network Server

G527 Automatic Vehicle Location

G528 Data Communication Device

G529 Other Technology

UPTAS

Eligible Sources of  
Local Match

### Urban STI Eligible Capital Expenses

#### 2.2.1 Urban STI Eligible Capital Expenses

Applicants eligible for Urban STI funding may apply for eligible capital expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Urban STI expenditures by M-Code.

Applications not using valid FTA task codes will not be approved. Please be sure that codes used match FTA codes currently in use. Check the FTA website for possible updates to FTA codes prior to application submission: <https://www.transit.dot.gov/funding/grantee-resources/scope-activity-line-codes/scope-activity-line-codes>

In addition, please make sure these codes match the ones used in the budget within your EBS application.

##### Bus Rolling Stock

###### Buy Replacements - Capital Bus

- M100 - 111201 - Buy Bus 40ft Replacements
- M101 - 111202 - Buy Bus 35ft Replacements
- M102 - 111203 - Buy Bus 30ft Replacements
- M103 - 111204 - Buy Bus <30ft Replacements
- M105 - 111207 - Buy Bus Commuter / Suburban Replacements
- M106 - 111209 - Buy Bus Trolley Replacements
- M107 - 111215 - Buy Vans Replacements
- M108 - 111216 - Buy Sedan / Station Wagon Replacements

##### Bus Stations/ Stops / Terminals

- M165 - 113301 - Construction of Bus Terminal
- M175 - 113401 - Rehab / Renovation of Bus Terminal

##### Bus Support Equipments / Facilities

###### Acquisition

- M216 - 114209 - Acquisition of Surveillance / Security

###### Construction

- M221 - 114301 - Construction of Admin Building
- M222 - 114302 - Construction of Maintenance Facility
- M223 - 114303 - Construction of Admin / Maintenance Facility
- M224 - 114304 - Construction of Storage Facility
- M225 - 114305 - Construction of Yards & Shops

##### Rehab / Renovation

- M234 - 114401 - Rehab / Renovation of Admin Building
- M235 - 114402 - Rehab / Renovation of Maintenance Facility
- M236 - 114403 - Rehab / Renovation of Admin / Maintenance Facility
- M237 - 114404 - Rehab / Renovation of Storage Facility
- M238 - 114405 - Rehab / Renovation of Yards & Shops

Eligible Sources of Local Match

### Rural STI Eligible Capital Expenses

#### 2.2.1 Rural STI Eligible Capital Expenses

Applicants eligible for Rural STI funding may apply for eligible capital expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Rural STI expenditures by G-Code.

##### G500 Capital Outlay

- G530 New Construction and Land Purchase
  - G531 New Construction of Transit Facility
  - G532 Purchase Of Modular Structure
  - G535 Land Acquisition
- G550 Other Equipment
  - G557 Fareboxes
- G560 Motor Vehicles for Expansion of Services
  - G561 35 - To 40-Ft Transit Bus W/Lift (Expansion) 12-Yr
  - G562 30 - To 35-Ft Transit Bus W/Lift (Expansion) 10-Yr
  - G563 20-Ft Light Transit Vehicle (Expansion)
  - G564 22-Ft Light Transit Vehicle (Expansion)
  - G565 Van Conversion (Expansion)
  - G566 20-Ft Light Transit Vehicle W/Wheel Chair Lift (Expansion)
  - G567 25-Ft Light Transit Vehicle W/Lift (Expansion)
  - G568 Lift-Equipped Van (Expansion)
  - G569 Center-Aisle Van (Expansion)
- G570 Other Motor Vehicles
  - G571 Mini-Van (Replacement)
  - G572 Mini-Van/Crossover (Expansion)
  - G573 Support Vehicle (Replacement)
  - G574 Support Vehicle (Expansion)
  - G575 28-Ft Light Transit Vehicle W/Lift (Expansion)
  - G578 28-Ft Light Transit Vehicle W/Lift (Expansion)
  - G579 22-Ft Light Transit Vehicle W/Lift (Expansion)
- G580 Facility Acquisitions and Improvement
  - G581 Construction / Project Management Services
  - G582 Facility Acquisition

- G583\* Bus Stop Shelters / Benches
- G584 Park and Ride Lots
- G588 Engineering & Design Services
- G590 Other Improvements and Capital Outlay
  - G591 Vehicle Lettering and Logos
  - G595 Other Transit Vehicle Not Otherwise Identified (Expansion)
  - G596 Vehicle Security / Surveillance Equipment

**UPTAS**

**Eligible Sources of  
Local Match**

\* G583 - Must be \$40,000 or greater, or bundled along corridor

## Combined Capital Eligible Expenses


### 2.2.1 Combined Capital Eligible Expenses (Rural System)

This program allows eligible transit systems an opportunity to apply for funding capital projects on a single application while providing IMD the flexibility to fund the approved projects in the most efficient manner possible. Funding is prioritized for requests made for vehicles based on the replacement schedule provided by IMD. Other capital needs are funded on an as-available basis at the discretion of IMD.

#### G500 Capital Outlay

- G510 Office Furniture and Equipment
- G511 Office Furniture
- G512 Office Equipment
- G513 Audio Visual Equipment
- G514 Micro Portable Projector / Laptop
- G520 Technology
  - G521 Personal Computer System
  - G522 Printer
  - G523 Computer Software
  - G524 Telephone System
  - G525 Network Server
  - G526 Mobile Data Devices
  - G527 Automatic Vehicle Location
  - G528 Data Communication Device
  - G529 Other Technology
- G530 New Construction and Land Purchase
  - G531\* New Construction of Transit Facility
  - G532\* Purchase Of Modular Structure
  - G533\* Legal Fees, Appraisal, Survey
  - G535\* Land Acquisition
  - G536\* Sitework / Grading
  - G537\* Utility Work / Hook-ups
  - G538\* Fencing / Lighting
  - G539\* Landscaping / Accessway / Signage
- G540 Motor Vehicles for Replacement of

#### Existing Vehicle

- G541 35 - To 40-Ft Hd Low Floor Transit Bus (Replacement)
- G542 30 - To 35-Ft Md Low Floor Transit Bus (Replacement)
- G543 20-Ft Light Transit Vehicle (Replacement)
- G545 Van Conversion (Replacement)
- G546 20-Ft Light Transit Vehicle W/Lift (Replacement)
- G547 25-Ft Light Transit Vehicle W/Lift (Replacement)
- G548 Lift-Equipped Van (Replacement)
- G549 Center-Aisle Van (Replacement)
- G550 Other Equipment
  - G551\* Vehicle Spare Parts
  - G552\* Shop Equipment
  - G553 Repeater Station
  - G554 Radio Base Station
  - G555 Radio Unit (Mobile or Hand-held)
  - G556 Telephone Equipment
  - G557 Fare boxes
  - G559 Other Equipment (Specify)
-  **G569 Propane Conversion Kits**
- G570 Other Motor Vehicles
  - G571 Mini-van (Replacement)
  - G572 Mini-Van/Crossover (Expansion)

- G573 Support Vehicle (Replacement)
- G574 Support Vehicle (Expansion)
- G575 28-Ft Light Transit Vehicle W/Lift (Expansion)
- G578 28-Ft Light Transit Vehicle W/Lift (Expansion)
- G579 22-Ft Light Transit Vehicle W/Lift (Expansion)
- G580 Facility Acquisition and Improvements
  - G581\* Construction / Project Management Services
  - G582\* Facility Acquisition
  - G583\* Bus Stop Shelters / Benches
  - G584\* Park and Ride Lots
  - G585 Bus Stop Signs
  - G586 Building Security / Surveillance Equipment
  - G587\* Paving / Resurfacing
  - G588\* Engineering & Design Services
  - G589\* Facility Improvement
- G590 Other Improvements and Capital Outlay
  - G591 Vehicle Lettering and Logos
  - G596 Vehicle Security / Surveillance Equipment

#### Technology Specifications

#### Capital Replacement Schedule

#### NEPA Information Form

 New to FY28

\* G551; G552 - Require on-site maintenance shop

\* G531; G532; G535; G581; G582; G588 - Must be approved through STI and initiate a feasibility study and NEPA process to be included in the capital budget.

\* G589 - Charging infrastructure must be used for transit vehicles only.

Note - Systems can apply for EV's but are only eligible if they have initiated a feasibility study and EV infrastructure design OR already have charging infrastructure in place.

\* G531-G539, G581-G584, and G587-G589 may require NEPA Information Form. Check with your RGS.

### Combined Capital Eligible Expenses

#### 2.2.1 Combined Capital Eligible Expenses (Small Urban System)

This program allows eligible transit systems an opportunity to apply for funding capital projects on a single application while providing IMD the flexibility to fund the approved projects in the most efficient manner possible. Funding is prioritized for requests made for vehicles based on the replacement schedule provided by IMD. Other capital needs are funded on an as-available basis at the discretion of IMD.

Applications not using valid FTA task codes will not be approved. Please be sure that codes used match FTA codes currently in use. Check the FTA website for possible updates to FTA codes prior to application submission: <https://www.transit.dot.gov/funding/grantee-resources/scope-activity-line-codes/scope-activity-line-codes>

In addition, please make sure these codes match the ones used in the budget within your EBS application.

##### Bus Rolling Stock

###### Buy Replacements - Capital Bus

M100 - 111201 - Buy Bus 40ft Repl  
M101 - 111202 - Buy Bus 35ft Repl  
M102 - 111203 - Buy Bus 30ft Repl  
M103 - 111204 - Buy Bus <30ft Repl  
M104 - 111205 - Buy School Bus Repl  
M105 - 111207 - Buy Bus C/S Repl  
M106 - 111209 - Buy Bus Trley Repl  
M107 - 111215 - Buy Vans Repl  
M108 - 111216 - Buy Sdan/S-wgn Repl  
M112 - 111303 - Buy Bus 30ft Exp

##### Bus Stations/ Stops / Terminals

M160 - 113207 - Acq Surv/Sec Sys  
M175\* - 113401 - Reh/Ren Bus Trml

##### Bus Support Equipments / Facilities

###### Construction

M221\* - 114301 - Const Admin Bldg  
M222\* - 114302 - Const Maint Fac  
M223\* - 114303 - Const Adm/mnt Fac

M224\* - 114304 - Const Storage Fac  
M225\* - 114305 - Const Yards&shops  
M226 - 114306 - Const Shop Equip  
M227 - 114307 - Const Adp Hardware  
M228 - 114308 - Const Adp Software

Eligible Sources of  
Local Match

Capital Replacement  
Schedule

Technology  
Specifications

NEPA Information  
Form

\* M175, M221, M222, M223, M224, and M225 may require NEPA Information Form. Check with your RGS.

## ConCPT CN Eligible Expenses

### 2.2.1 ConCPT CN Eligible Administration/Operating Expenses (1/2)

Applicants eligible for ConCPT CN funding may apply for eligible administration/operating expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired ConCPT CN expenditures by G-Code.

#### G100 Personal Services (All)

- G120 Salaries and Wages
  - G121 Salaries and Wages - Full-time
  - G122 Salaries and Wages - Overtime
  - G125 Salaries and Wages - Part-time (Receives Benefits)
  - G126 Salaries and Wages-temp. & Part-time (No Benefits)
  - G127 Salaries and Wages - Longevity
- G180\* Fringe Benefit
- G181 Social Security Contribution
- G182 Retirement Contribution
- G183\* Hospitalization Insurance Contribution
- G184 Disability Insurance Contribution
- G185 Unemployment Compensation Contribution
- G186 Worker's Compensation Contribution
- G189\* Other (Physicals, Bonus, Insurance, Etc.) Fringe Benefits
- G190 Professional Services
  - G191 Accounting
  - G192 Legal
  - G195 Management Consultant
  - G196 Drug Testing Contract
  - G197 Drug Tests
  - G198 Medical Review Officer

#### G199 Other - Professional Services

#### G200 Supplies And Materials

- G210 Household and Cleaning Supplies
- G211 Janitorial Supplies - (House-keeping)
- G212 Uniforms
- G260 Office Supplies and Materials
- G261 Office Supplies and Materials
- G270 Donated/Contributed Supplies / Equipment
- G271 Donated / Contributed Supplies/ Equipment
- G280 Heating and Utility Supplies
- G281 Air Conditioner / Furnace Filters
- G290 Other Supplies and Materials
- G291 Computer Supplies

#### G300 Current Obligations And Services

- G310 Travel and Transportation
- G311 Travel
- G312 Travel Subsistence
- G320 Communications
  - G321 Telephone Service
  - G322 Internet Service Provider Fee
  - G323 Combined Service Fee
  - G325 Postage
  - G329 Other Communications
- G330 Utilities
  - G331 Electricity

- G332 Fuel Oil
- G333 Natural Gas
- G334 Water
- G335 Sewer
- G336 Trash Collection
- G337 Single / Combined Utility Bill
- G339 Other Utilities
- G340 Printing and Binding
- G341 Printing & Reproduction
- G349 Other Printing & Binding
- G350 Repairs and Maintenance
  - G354\* Shop Equipment
  - G355 Office & Computer Equipment
  - G357 Communications Equipment
  - G359 Other Repairs and Maintenance
- G360 Donated / Contributed Labor/ Services
- G361 Donated / Contributed Labor/ Services
- G370 Advertising / Promotion Media
  - G371 Marketing - Paid Advertisements
  - G372\* Promotional Items
  - G373 Other Advertising/Promotion Media (Specify)
- G380 Computer Support Services
- G381 Computer Programming

#### Eligible Sources of Local Match

- \* G180 - Benefits can only be reimbursable for the positions specifically listed in the grant
- \* G183 - Hospitalization is only for the associated employee cost; family coverage is not eligible
- \* G189 - Applicants must list specifically what the other fringe benefits are (for example, list the company name e.g. "Charles Schwab" and the type e.g. "401k"). Applicants can also list other insurance types such as "vision" or "dental" without the company name listed.
- \* G354 - Must have on-site maintenance shop
- \* G372 - Promotional items may make up a maximum of 25% of the requested amount of the overall application budget. (EBS will auto-calculate maximum)

List continues on next page

## ConCPT CN Eligible Expenses

### 2.2.1 ConCPT CN Eligible Administration/Operating Expenses (2/2)

#### Services

G382 Computer Support / Technical Assistance Services

G390 Other Services

G391 Legal Advertising

G392 Laundry and Dry Cleaning

G393 Temporary Help Services

G394 Cleaning Services

G395 Training - Employee Education Expense

G396 Management Services

G398 Security Services

G399 Other Services

#### **G400 Fixed Charges And Other Services**

G410 Rental of Real Property

G412 Rent of Building

G413 Rent of Offices

G419 Other Rentals

G420 Lease of Computer Equipment

G421 Lease of Computer Hardware

G422 Lease of Computer Software

G430 Lease of Other Equipment

G431 Lease of Reproduction Equipment

G432 Lease of Postal Meter

G433 Lease of Communication Equipment

G439 Lease Other Equipment

G440 Services And Maintenance Contracts

G441 Maintenance Contracts – Communications Equipment

G442 Maintenance Contracts - Office Equipment

G443 Maintenance Contracts -

#### Reproduction Equipment

G444 Maintenance Contracts - Vehicles

G449 Other Service and Maintenance Contracts

G450 Insurance and Bonding

G451 Insurance - Property and General Liability

G452\* Insurance – Vehicles

G453 Insurance - Fidelity

G454 Insurance - Professional Liabilities

G455 Insurance - Special Liabilities

G480\* Indirect Costs

G481 Central Services

G490 Other Fixed Charges / Current Expenses

G491 Dues and Subscriptions

G499 Other Fixed Charges / Current Expenses

### Eligible Sources of Local Match

\* G480 - Indirect costs must be verified by our compliance officer. Contact IMD's compliance officer during the application process if there is a desire to use this G-Code.

### ConCPT CO Eligible Expenses

#### 2.2.1 ConCPT CO Eligible Operating Expenses

Applicants eligible for ConCPT CO funding may apply for eligible operating expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired ConCPT CO expenditures by G-Code.

##### **G300 Current Obligations and Services**

G310 Travel and Transportation

G313 Transportation of Clients / Others for Transit Systems

**UPTAS**

**Eligible Sources of  
Local Match**



### RO Eligible Operating Expenses

#### 2.2.1 RO Eligible Operating Expenses

Applicants eligible for RO funding may apply for eligible operating expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired RO expenditures by G-Code.

##### **G300 Current Obligations and Services**

G310 Travel and Transportation

G313 Transportation of Clients / Others for Transit Systems

**UPTAS**

**Eligible Sources of  
Local Match**

**How to Calculate Net  
Operating Expense**



# Travelers' Aid Eligible Capital Expenses

### 2.2.1 Travelers' Aid Eligible Expenses

Applicants eligible for Travelers' Aid funding may apply for eligible capital expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Travelers' Aid expenditures by G-Code.

#### **G600 Contracts, Grants, Subsidies and Allocations**

G610 Private Operator Contracts

G612 User Subsidy

**UPTAS**

**Eligible Sources of  
Local Match**



## Urban State Match Eligible Expenses

### 2.2.1 Urban State Match Eligible Expenses

Applicants eligible for Urban State Match funding may apply for eligible capital expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Urban State Match expenditures by M-Code.

Applications not using valid FTA task codes will not be approved. Please be sure that codes used match FTA codes currently in use. Check the FTA website for possible updates to FTA codes prior to application submission: <https://www.transit.dot.gov/funding/grantee-resources/scope-activity-line-codes/scope-activity-line-codes>

In addition, please make sure these codes match the ones used in the budget within your EBS application.

#### Bus Rolling Stock

##### Buy Replacements - Capital Bus

- M100 - 111201 - Buy Bus 40ft Replacements
- M101 - 111202 - Buy Bus 35ft Replacements
- M102 - 111203 - Buy Bus 30ft Replacements
- M103 - 111204 - Buy Bus <30ft Replacements
- M105 - 111207 - Buy Bus Commuter / Suburban Replacements
- M106 - 111209 - Buy Bus Trolley Replacements
- M107 - 111215 - Buy Vans Replacements
- M108 - 111216 - Buy Sedan / Station Wagon Replacements

#### Bus Stations / Stops / Terminals

- M165 - 113301 - Construction of Bus Terminal
- M175 - 113401 - Rehab / Renovation of Bus Terminal

#### Bus Support Equipments / Facilities

##### Construction

- M221 - 114301 - Construction of Admin Building
- M222 - 114302 - Construction of Maintenance Facility
- M223 - 114303 - Construction of Admin / Maintenance Facility
- M224 - 114304 - Construction of Storage Facility
- M225 - 114305 - Construction of Yards & Shops

#### Rehab / Renovation

- M234 - 114401 - Rehab / Renovation of Admin Building
- M235 - 114402 - Rehab / Renovation of Maintenance Facility
- M236 - 114403 - Rehab / Renovation of Admin / Maintenance Facility
- M237 - 114404 - Rehab / Renovation of Storage Facility
- M238 - 114405 - Rehab / Renovation of Yards & Shops

Eligible Sources of Local Match

## PART 2 Eligible Expenditure

### Non-STI Rural Expansion Vehicle Eligible Capital Expenses

#### 2.2.1 Non-STI Rural Expansion Vehicle Eligible Capital Expenses

Applicants eligible for Non-STI Rural Expansion Vehicle funding may apply for eligible capital expenses. State funding is not included in this grant. Use this information below to identify your desired Non-STI Rural Expansion Vehicle expenditures by G-Code.

##### G500 Capital Outlay

###### G520 Technology

G526\* Mobile Data Devices

G527\* Automatic Vehicle Location

###### G550 Other Equipment

G554 Radio Base Station

G555 Radio Unit (Mobile or Hand-held)

G557 Fareboxes

G558 Telephone System

###### G560 Motor Vehicles for Expansion Of Services

G561 35 - To 40-Ft Transit Bus W/Lift (Expansion) 12-Yr

G562 30 - To 35-Ft Transit Bus W/Lift (Expansion) 10-Yr

G563 20-Ft Light Transit Vehicle (Expansion)

G564 22-Ft Light Transit Vehicle (Expansion)

G565 Van Conversion (Expansion)

G566 20-Ft Light Transit Vehicle W/Wheel Chair Lift (Expansion)

G567 25-Ft Light Transit Vehicle W/Lift (Expansion)

G568 Lift-Equipped Van (Expansion) G569 Center-Aisle Van (Expansion)

###### G570 Other Motor Vehicles

G571 Mini-Van (Replacement)

G572 Mini-Van/Crossover (Expansion)

G575 28-Ft Light Transit Vehicle W/Lift (Expansion)

G578 28-Ft Light Transit Vehicle W/Lift (Expansion)

G579 22-Ft Light Transit Vehicle W/Lift (Expansion)

###### G590 Other Improvements and Capital Outlay

G591 Vehicle Lettering and Logos

G595 Other Transit Vehicle Not Otherwise Identified (Expansion)

##### G596 Vehicle Security / Surveillance Equipment

UPTAS

Eligible Sources of Local Match

\* G526; G527 - require ITRE pre-approval

### Mobility Manager Eligible Expenses

#### 2.2.1 Mobility Manager Eligible Capital Expenses

Applicants eligible for Mobility Manager funding may apply for eligible capital expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Mobility Manager expenditures by G-Code.

##### **G100 Personal Services**

G120 Salaries and Wages

G121 Salaries and Wages - Full-Time

G122 Salaries and Wages - Overtime

G125 Salaries and Wages - Part-Time (Receives Benefits)

G126 Salaries and Wages-Temp. & Part-Time (No Benefits)

G127 Salaries and Wages - Longevity

G129 Salaries, Travel and Other Administrative Costs

G180\* Fringe Benefit

G181 Social Security Contribution

G182 Retirement Contribution

G183\* Hospitalization Insurance Contribution

G184 Disability Insurance Contribution

G185 Unemployment Compensation Contribution

G186 Worker's Compensation Contribution

G187 Payment for Released Time

G188 Flexible Benefit Administration Fee (Fringe Benefit Allocated)

G189\* Other (Physicals, Bonus, Insurance, Etc.) Fringe Benefits

##### **G200 Supplies And Materials**

G261 Office Supplies and Materials

##### **G300 Current Obligations And Services**

G311 Travel

G312 Travel Subsistence

#### Eligible Sources of Local Match

\* G180 - Benefits can only be reimbursable for the positions specifically listed in the grant

\* G183 - Hospitalization is only for the associated employee cost; family coverage is not eligible

\* G189 - Applicants must list specifically what the other fringe benefits are (for example, list the company name e.g. "Charles Schwab" and the type e.g. "401k"). Applicants can also list other insurance types such as "vision" or "dental" without the company name listed.

**Capital Cost of Contracting Eligible Expenses**

**2.2.1 Capital Cost of Contracting Eligible Expenses**

The Capital Cost of Contracting grant is targeted to project-specific activities typically included in common transit service contracts with a private provider. Both FTA and IMD will allow a transit agency to fund a percentage of leased service or contracted maintenance capital costs. The percentages are shown in the table below are based on the type of contract exercised by the transit agency. The allowed percent of funding assistance is based on the traditional 80 percent federal share, typical for most capital purchases. The percentages apply whether the service is local, express, shuttle, paratransit or demand-responsive service.

The eligible activities and the corresponding allowed funding percentages are found in [Chapter IV of the FTA Section 5311 circular 9040.1H](#), Formula Grants for Rural Areas and are included in the table below.

State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Capital Cost of Contracting eligible expenditures by G-Code.

| Type of Contract   | EBS G-Code | Federal Participation | State Participation | Local Funding Participation |
|--|------------|-----------------------|---------------------|-----------------------------|
| 1. Service Contract (Contractor provides maintenance and transit service; recipient provides vehicles)             | G-600      | 32%                   | 10%                 | 58%                         |
| 2. Service Contract (Contractor provides transit service only; recipient provides vehicles and maintenance)        | N/A        | 0%                    | 10%                 | 90%                         |
| 3. Vehicle Maintenance Contract (Contractor provides maintenance; recipient provides vehicles and transit service) | G-601      | 80%                   | 10%                 | 10%                         |
| 4. Vehicle Lease Contract (Contractor provides vehicles; recipient provides maintenance and transit service)       | G-602      | 80%                   | 10%                 | 10%                         |
| 5. Maintenance/Lease Contract (Contractor provides vehicles and maintenance; recipient provides transit service)   | G-603      | 80%                   | 10%                 | 10%                         |
| 6. Turnkey Contract (Contractor provides vehicles, maintenance, and transit service)                               | G-604      | 40%                   | 10%                 | 50%                         |
| 7. Vehicle/Service Contract (Contractor provides vehicles and transit service; recipient provides maintenance)     | G-605      | 8%                    | 10%                 | 82%                         |

**IMD Capital Cost of Contracting Toolkit**

**Eligible Sources of Local Match**



### Internship/Apprentice Expenses

#### 2.2.1 Internship/Apprentice Expenses

Applicants eligible for Internship/Apprentice Program may apply for eligible administrative expenses. State funding is not guaranteed until programmed and is only partially reimbursed depending on the availability. Use this information below to identify your desired Internship/Apprentice expenditures by G-Code.

##### **G100 Personal Services**

G180\* Fringe Benefit

G181 Social Security Contribution

G182 Retirement Contribution

G183\* Hospitalization Insurance Contribution

G186 Worker's Compensation Contribution

##### **Eligible Sources of Local Match**

\* G180 - A sponsor may offer a benefit package to an apprentice greater than 25 percent of the salary. However, any amount over the 25 percent maximum is not reimbursable as part of the NCDOT/IMD's 90 percent share of project costs.



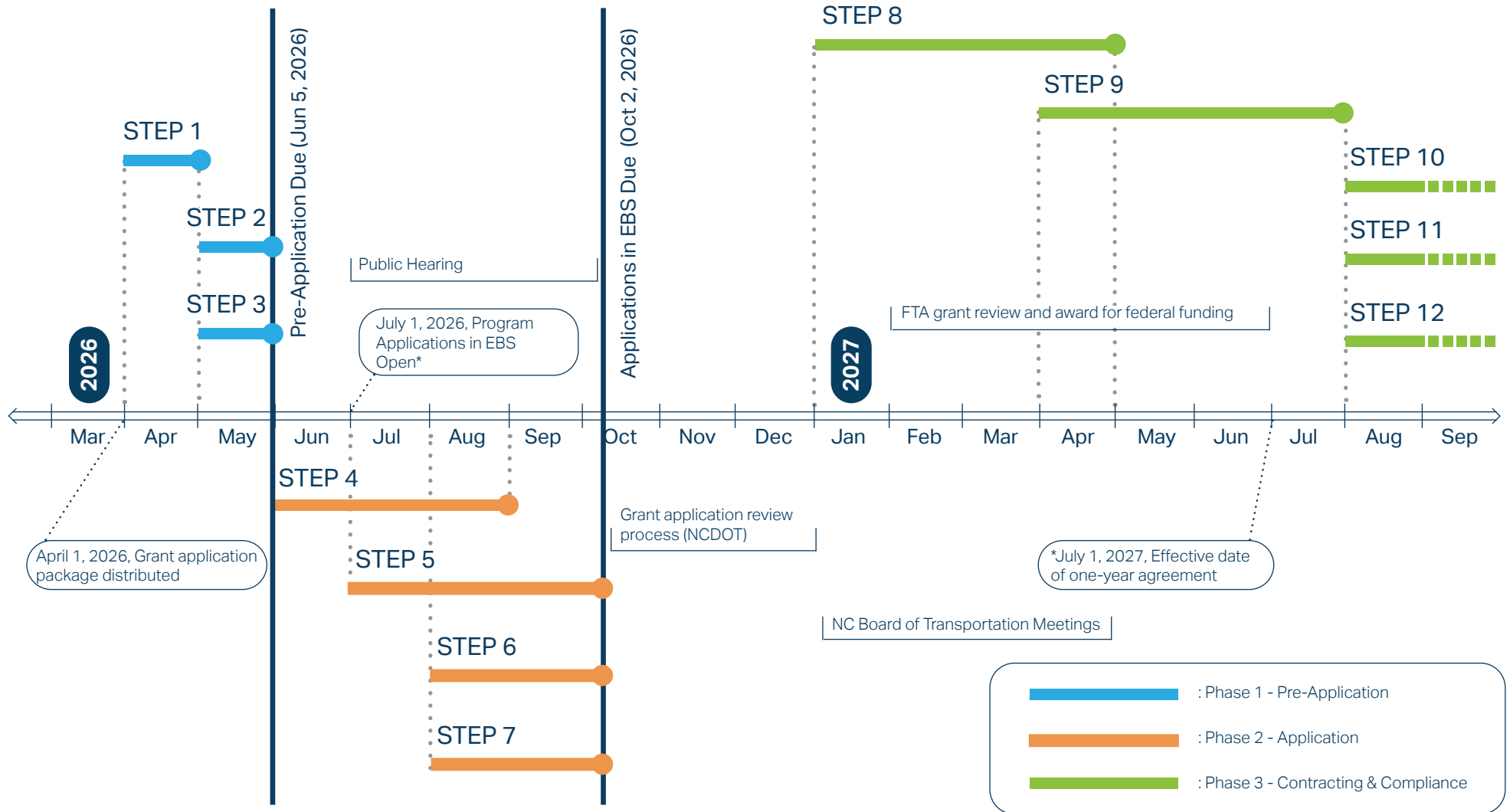
# **PART 3    Application Processes**



# PART 3

## Application Processes

### 3.1 Overall Program Timeline



\* Program applications in EBS for Combined Capital program will open mid-late July 2026

Note: Several programs may have a different schedule or a rolling grant award schedule. These programs include: TDM, ConCPT CN, ConCPT CO, and others.

Contact your RGS for more information about the timelines for these programs.

# PART 3

## Application Processes

### Key Dates Timetable

| DATES                     | TASK/EVENT   |
|---------------------------|--|
| April 1, 2026             | Grant Application Package Distributed                                  |
| April 1 – October 2, 2026 | Regional Grant Specialists Available to Assist Grantees with Questions |
| June 5, 2026              | Pre-Application Due to NCDOT   |
| July 1, 2026              | Program Applications in EBS Open                                       |
| October 2, 2026           | Program Applications in EBS Due to NCDOT                               |
| October – December 2026   | Grant Application Review Process                                       |
| January – April 2027      | IMD makes funding recommendation to NCDOT Board of Transportation      |
| February – June 2027      | FTA grant review and award for federal funding                         |
| July 1, 2027              | Effective date of one-year grant agreement                             |

# PART 3

## Application Processes

### 3.2 Generalized Unified Grant Application Process

You will find a graphic which displays an overview of the three-phase process defining the application process for the grants listed below in Part 3.2.



### 3.3 Fund-Specific Grant Application Processes

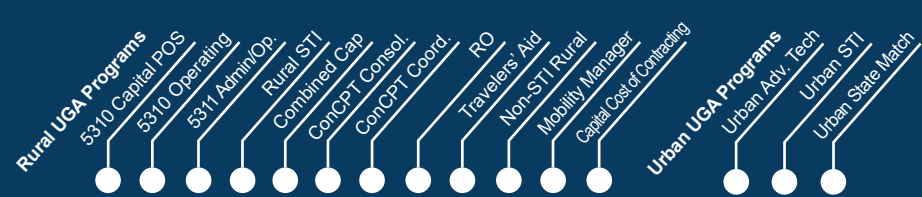
Click on the buttons below to access step-by-step instructions for how to apply for and receive each of the funds below. As a reminder, refer to Part 1 of this guidance document to identify your eligibility for any of these funds and Part 2 for the eligible expenditures for the use of these funds.

[Rural UGA Process](#)

[Urban UGA Process](#)

**Note :** Refer to Connect NCDOT Website for 5303, ROAP, SMAP, and TDM guidance. The guidance will be posted separately after the FTA 5303 allocation amount is received or the State budget is approved and certified.

## Rural Unified Grant Application Process



### STEP 1

### Pre-Application Registration Form


Pre-Application Phase

Application Phase

Contracting & Compliance Phase

(1 of 3)

#### Steps to Success

 If you have submitted this Pre-Application Registration Form for any other FY28 application you can skip directly to Step 4.

- 1-1. Access the FY28 Unified Grant Application Pre-Application Registration Form by clicking [here](#).
- 1-2. Complete all the required fields in the form and hit submit in order to be registered as a pre-applicant for FY28. If you have any trouble accessing this form, contact your RGS (see note to the right if you need assistance identifying contact information for your RGS).
- 1-3. Await an email from NCDOT with the subject line "FY28 Unified Grant Application Pre-Application Registration". This email will contain three key pieces of information:
  - (1) Confirmation of your registration as a pre-applicant.
  - (2) Contact information for your assigned RGS who will also be cc'd on the email.
  - (3) A hyperlink to a customized FY28 Application Submission Workspace assigned specifically to your organization. Note that this hyperlink is specifically customized to your organization and will allow you to upload information and documents specifically to your organization's digital file. Your FY28 Application Submission Workspace will include instructions for all of your required submittals.

#### Notes or Quick Tips

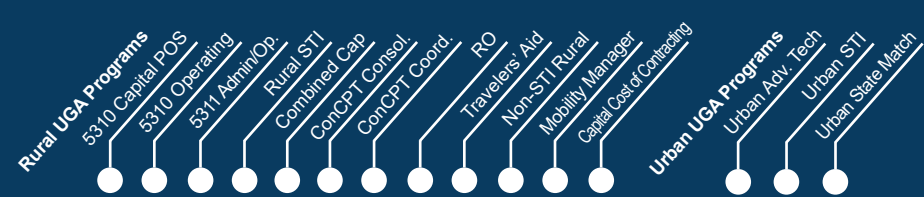
- If you cannot complete the Pre-Application by the due date, June 5, 2026, you can request a deadline exemption by providing the details (e.g. documents need approval from the board of commissioners) in the Part 3 of the Pre-Application Registration Form. Your RGS will inform you whether you are approved for the exemption or not.
- If you are not the only contact who will be uploading documents for the Pre-Application, you can and should note this in the Pre-Application Registration form.
- New Applicants who want to apply for a funding program must receive a favorable pre-award audit.

#### Resources and Links

- ▶ [FY28 Unified Grant Application Pre-Application Registration Form](#)
- ▶ [Regional Grant Specialist Contact Information](#)



## Rural Unified Grant Application Process



May

### STEP 2

### Gathering Pre-Application Materials

Pre-Application Phase  
(2 of 3)

Application Phase

Contracting & Compliance Phase

#### Steps to Success

- 2-1. On [UGA webpage](#) you will find the link to the Master document zipped folder. This zipped folder contains templates for the required FY28 Unified Grant Application Pre-Application Documents.
- 2-2. Click on each of the hyperlinks to the right in order to preview the submittal instructions for each document/set of documents for your FY28 Unified Grant Application Pre-Application Documents submittal (this links to the folder described in 2-1). Prepare documents for each as instructed:
  - Transportation Advisory Board (TAB) Composition List
  - Signed Conflict of Interest Statements
  - UEI Annual Registration
- 2-3. Ensure you submitted the following information through the FY28 Pre-Application Materials Smartsheet form using this [link](#). You will receive emails with pre-populated PDF forms from your RGS for signature.
  - Title VI Certification
  - Delegation of Authority
  - EEO Statement\*
  - Financial Health Statement\*

\*No separate form or signature is needed
- 2-4. If you are a non-profit, click [here](#) to identify the list of documents that are additionally required for you to save in this folder.

#### Notes or Quick Tips

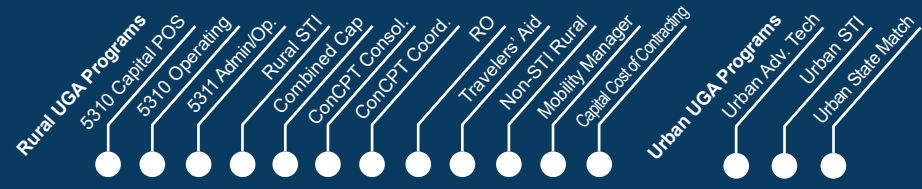
- Unless otherwise noted, Pre-Application Documents are not archived from prior annual submittals and must be submitted annually.

#### Resources and Links

- ▶ [Requirement for TAB or Governing Board](#)
- ▶ [Transportation Advisory Board Composition List Instructions](#)
- ▶ [Signed Conflict of Interest Statements Instructions](#)
- ▶ [UEI Annual Registration Instructions](#)
- ▶ [Title VI Certification Instructions](#)
- ▶ [Delegation of Authority Form Instructions](#)
- ▶ [EEO Update](#)
- ▶ [Non-Profit Additional Required Submittals](#)



# Rural Unified Grant Application Process



## STEP 3

### Pre-Application Submittal

Pre-Application Phase  
(3 of 3)

Application Phase

Contracting & Compliance Phase

#### Steps to Success

- 3-1. Upload all documents as instructed on your customized [FY28 Application Submission Workspace](#). IMD will be notified each time you upload a new or revised document and will provide comments if any changes are required through your customized FY28 Application Submission Workspace. (see Resources and Links to the right if you need assistance uploading documents to a Smartsheet grid).
- 3-2. You have now completed the submittal of your FY28 Unified Grant Application Pre-Application— await further instructions from your RGS with a Pre-Application Approval Letter.

#### Notes or Quick Tips

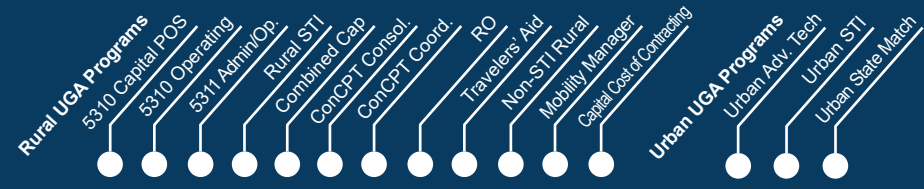
- If you need to make any changes on your FY28 Unified Grant Application Pre-Application document submittals you can make revisions directly in your FY28 Application Submission Workspace by clicking the direct link to your FY28 Application Submission Workspace from the email received in Step 1-3.

#### Resources and Links

- ▶ [Regional Grant Specialist Contact Information](#)
- ▶ [Smartsheet Quick Reference Guide](#)



# Rural Unified Grant Application Process



Jun  
Jul  
Aug

## STEP 4

### Coordination for Application Development


Pre-Application Phase

Application Phase  
(1 of 4)

Contracting & Compliance Phase

#### Steps to Success

- 4-1. Check to confirm that you have the required EBS Access. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in).
- 4-2. Identify your organization's FY28 funding need for this application. Use this information to identify your desired expenditures by G-Code and applicable local, state, and federal match allocations.

 See Part 1.2.2.1 Funding Eligibility Table and 1.3 Program Overview / Funding Formula for program specific funding information.

- 4-3. Draft the Public Transportation Program Resolution and applicable attachments towards obtaining your local Governing Body's approval for signed and notarized submittal by the application deadline (Click on the hyperlink to the right in order to preview the submittal instructions for this document).
- 4-4. Draft and publish your public hearing notice, if locally required, in advance of the public hearing held during your local Governing Body's upcoming meeting (Click on the hyperlink to the right in order to preview the submittal instructions for this document).
- 4-5. As a recommended but optional activity, prepare to bring the Public Transportation Program Resolution and applicable attachments for consideration to your upcoming TAB meeting for feedback in advance of your local Governing Body's meeting and public hearing.

#### Notes or Quick Tips

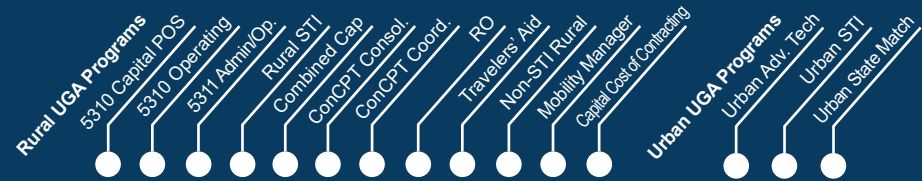
- If you forget your User ID, Password, or PIN number, call the NCDOT Help Desk at (919) 707-7000 or 800-368-2778. Please let the Help Desk technician know that you are an external user for the Grants System. You will be asked to provide the security code that you entered on the security form.
- If you need help identifying which G-Codes to use, return to 2.2 Eligible Expenses.
- While public hearing is not required by the state or federal regulations, it can be conducted if required locally.

#### Resources and Links

- ▶ [Public Hearing Notice](#)
- ▶ [Program Resolution](#)



# Rural Unified Grant Application Process



## STEP 5

### TAB Meeting & Governing Board Authorization

Pre-Application Phase

**Application Phase**  
(2 of 4)

Contracting & Compliance Phase

#### Steps to Success

- 5-1. Conduct your TAB Meeting (optional but recommended, see Step 4-6) and obtain TAB approvals towards forwarding the draft Public Transportation Program Resolution and applicable attachments to your local Governing Board.
- 5-2. Obtain approval of your draft Public Transportation Program Resolution and applicable attachments from your local Governing Board. The Program Resolution, Public Hearing Record, and Public Hearing Notice (if locally required) must all have notarized signatures (see note to the right for detailed instructions on preparing for and appropriately documenting your public hearing and obtaining your local Governing Body's necessary approvals).
- 5-3. Prepare the local Governing Board approval documentation as outlined below (click on the hyperlinks to the right in order to preview the submittal instructions for these documents):
  - Program Resolution
  - Local Share Certification for Funding
  - Public Hearing Outreach
  - Public Hearing Notice (if locally required)
  - Public Hearing Affidavit (if locally required)
  - Public Hearing Record
  - Public Hearing Minutes
- 5-4. Continue on to Step 6; however, continue to prepare to submit a notarized copy of the Public Hearing Minutes to document comments made at the public hearing. You will be required to submit a notarized copy of your Public Hearing Minutes as soon as they are available and before your application can be approved.

#### Notes or Quick Tips

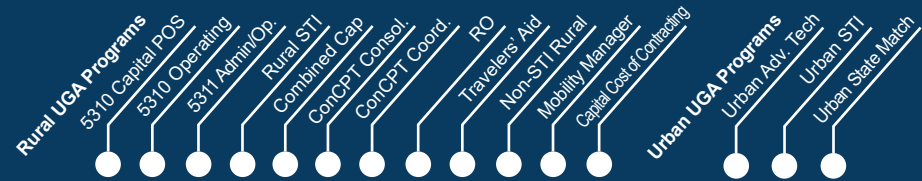
- Click [here](#) to see the requirement for TAB or Governing Board
- Click [here](#) for counties list that must publish a public hearing notice in English and other languages.
- While public hearing is not required by the state or federal regulations, it can be conducted if required locally.

#### Resources and Links

- ▶ [Program Resolution](#)
- ▶ [Local Share Certification for Funding](#)
- ▶ [Public Hearing Outreach](#)
- ▶ [Public Hearing Notice](#)
- ▶ [Public Hearing Affidavit](#)
- ▶ [Public Hearing Record](#)
- ▶ [Public Hearing Minutes](#)



# Rural Unified Grant Application Process



## STEP 6


### Organizing Submittal Materials

Pre-Application Phase

**Application Phase**  
(3 of 4)

Contracting & Compliance Phase

#### Steps to Success

- 6-1. Review your Pre-Application Approval Letter received in Step 3. Gather additional materials noted in the letter as required for your FY28 Application.
-  6-2. Ensure you submitted the FY28 Applicant Profile Smartsheet form using this [link](#). Gather the additional materials noted in the [Step 6-2 Document List](#) for each application.
- 6-3. Upload all documents as instructed to your customized [FY28 Application Submission Workspace](#).

#### Notes or Quick Tips

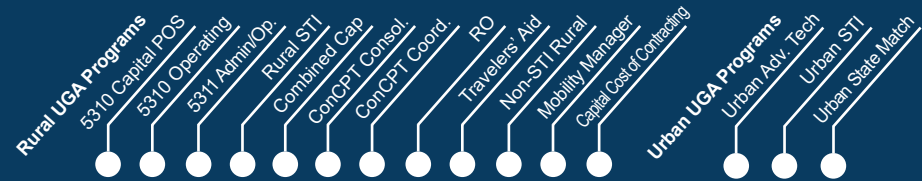
- If your Public Hearing Minutes are draft and have not been approved by your local Governing Board, you may submit a draft copy of minutes with your application. If this is the case, you must submit an approved official copy of your minutes through EBS when they become available.
- Optional materials such as map(s) of service area, charts/graphs, route schedules, pictures, etc. can help illustrate your funding needs. Consider attaching any of these optional submittal materials to strengthen your application.

#### Resources and Links

- ▶ [Smartsheet Quick Reference Guide](#)
- ▶ [FY28 Applicant Profile Smartsheet form](#)
- ▶ [Step 6-2 Document List](#)



# Rural Unified Grant Application Process



## STEP 7

### EBS Application Submittal

Pre-Application Phase

Application Phase  
**(4 of 4)**

Contracting & Compliance Phase

#### Steps to Success

- 7-1. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in). Access your FY28 Application by clicking 'New Application' to access the search application pop-up. Click 'Search'. Select the 'P2028\_ProgramName'
- 7-2. Ensure all contact information is correctly entered into EBS so that, through subsequent steps, your agreement can be quickly and correctly routed for signatures (see note to the right).
- 7-3. In the online budget in your EBS application, submit budgeted numbers as approved by your board in Step 5. Complete the online budget in your EBS application (see note to the right for detailed EBS instructions). Click 'Check' to ensure you have completed all required fields. Click 'Save' to save your work.
- 7-4. Once you are satisfied with all of the entries in your EBS application and you require no further revisions, click 'Submit' in order to finalize your FY28 application. Await further instructions from NCDOT or contact your RGS to continue on to Step 8.



You no longer need to attach documents from Step 6 to the EBS portal. Your RGS will review the documents through Application Submission Workspace.

#### Notes or Quick Tips

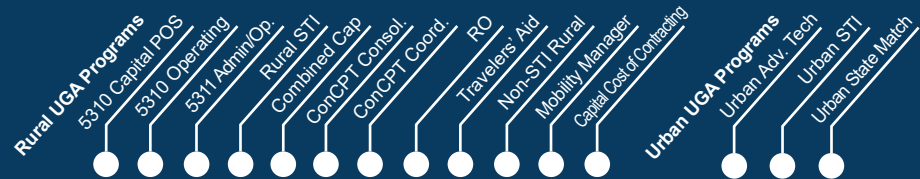
- To ensure that the application approval and agreement is routed correctly for your organization's signatures, enter the contact information for (1) the main application contact (likely yourself); (2) the authorized official for signatures; and (3) the local clerk to your Governing Board who can attest the authorized signature. Contact Faye McCullen if there are any changes so that NCDOT's Authorized Official Database can be updated.
- Your Approved 'Proposed Project Funding' total should match the anticipated allocation amount identified in Step 4, whereas your federal, NCDOT, and local amounts should match the percentage of the expenditure type you have chosen as identified in Part 1.2.2.1
- Click [here](#) for the FY28 EBS PDF budget application form

#### Resources and Links

- ▶ [Salary & Wage Detail Report](#)



# Rural Unified Grant Application Process



- Jan
- Feb
- Mar
- Apr

## STEP 8

### Federal and State Certifications & Assurances

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(1 of 5)

#### Steps to Success

- 8-1. Await an email from IMD with the following attached documents (typically these will arrive after the first of the year):
  - Federal Certifications and Assurances
  - Applicant and Attorney Affirmations
  - Certifications and Restrictions on Lobbying
  - Special Section 5333(b) Warranty
  - Certification of Equivalent Service (if applicable)
- 8-2. Present all documentation to the local governing board for approval within 90 days.
- 8-3. Upload the documents to FY28 Application Submission Workspace.

#### Notes or Quick Tips

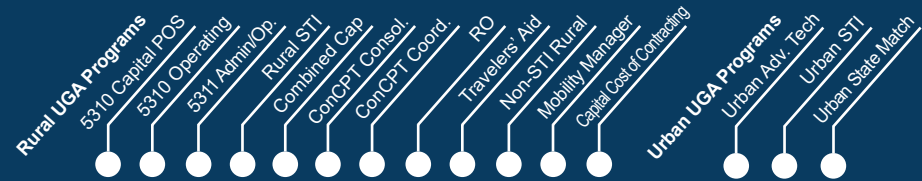
- If your organization has a vehicle fleet you will need to submit "Certification of Equivalent Service" if either (a) you are purchasing a non-lift equipped vehicle, or (b) your fleet includes at least one (1) non-lift equipped vehicle.

#### Resources and Links

- ▶ [Certifications and Assurances](#)



# Rural Unified Grant Application Process



- Apr
- May
- Jun
- Jul

## STEP 9

### Electronic Agreement Signature

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(2 of 5)

#### Steps to Success

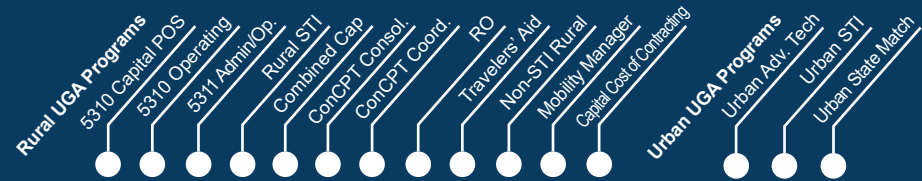
- 9-1. Verify that the Delegation of Authority (DoA) form submitted in Step 2 is updated with the correct contact. If you need to update the contact, please request and complete the DoA form from your RGS.
- 9-2. Access your draft agreement by awaiting an email notification from DocuSign; the agreement will come to you for electronic signature only after NCDOT's BOT has approved a program of projects and NCDOT leadership has reviewed a draft agreement. (See note to the right if your organization's legal counsel rejects use of NCDOT's DocuSign). NOTE OF CAUTION: If you apply for multiple funding sources from NCDOT, you will receive multiple different contracts that each need to be signed in order to receive a notice to proceed (NTP) to expend funds. For FY28 Capital Purchase of Service funds, check that you have received and duly process the FY28 agreement for your organization.
- 9-3. A signatory authority from your organization must electronically sign the agreement using DocuSign as instructed in the email from DocuSign referenced in 9-2. This signature must also be attested by your local Governing Board's clerk. After the signature has been electronically submitted, it will automatically be routed for further NCDOT signatures.
- 9-4. The application will now be reviewed by NCDOT again prior to final approval of the agreement. After approval, an email notification from DocuSign will be sent to you. This email will contain a finalized Agreement ID along with the agreement.

#### Notes or Quick Tips

- Does your organization have issues with NCDOT's DocuSign process? If so, contact the NCDOT Accounting Specialist assigned to your organization (their name and contact information can be found in the email you received from DocuSign).



# Rural Unified Grant Application Process



## STEP 10

### Prepare Workplan to Ensure Grant Agreement Compliance

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(3 of 5)

#### Steps to Success



If Travelers' Aid is your only NCDOT Public Transportation Grant application for FY28, you may skip ahead to Step 11.

- 10-1. After receipt of your approved executed agreement through DocuSign (9-4), complete the activities below to prepare for program auditing before expenditure of any grant funds. Completion of the activities outlined in Step 10 will include a review and potentially a revision to your internal organizational workplan. If your organization does not maintain a vehicle fleet, skip steps 10-2, 10-3, 10-5 & 10-6 below.
- 10-2. Implement automated scheduling software or ensure your existing automated scheduling software is in compliance with state requirements.
- 10-3. Update EAM (formerly known as AssetWorks) and implement a workplan to ensure 80% or greater continued compliance.
- 10-4. Implement a workplan to ensure invoices are submitted on a monthly or quarterly basis.
- 10-5. Prepare for grant oversight by IMD. Refer to the Business Guide for additional information. (see Resources and Links to the right)
- 10-6. Review procurement guidance to ensure all procurement processes follow state and federal standards (see note to the right).
- 10-7. Review your Safety and Security Plan. Identify your Safety Officer and Accountable Executive and ensure they are prepared to lead Safety and Security activities as outlined in your Safety and Security Plan.
- 10-8. Ensure compliance with Federal Drug & Alcohol Testing Requirements. If you only receive Section 5310 assistance, refer to Resources and Links for Federal Motor Carrier Safety Administration rule.
- 10-9. If the proposed service is within and urbanized area, confirm with your MPO that this project is included in the MTIP (only needed for Capital Purchase of Service, 5310 Operating, and ConCPT applications).

#### Notes or Quick Tips

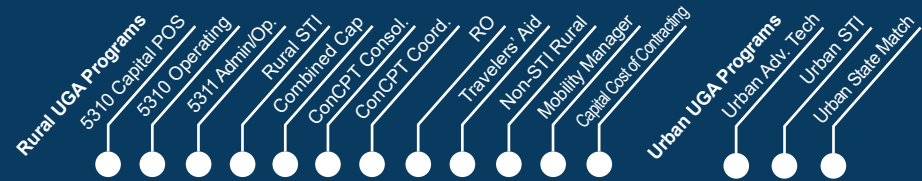
- Do NOT expend funds without a signed agreement (see Step 9-4) and explicit approval from NCDOT.
- Procurement and third party contracting activities are primarily the responsibility of the subrecipient and must be completed according to federal and state guidelines. All procurements >\$15,000 must be reviewed and approved by NCDOT's procurement section in order to be eligible for reimbursement.

#### Resources and Links

- ▶ [NCDOT Business Guide](#)
- ▶ [Procurement Guidance](#)
- ▶ [Federal Motor Carrier Safety Administration rule](#)



# Rural Unified Grant Application Process



## STEP 11

### Expend Grant Funds

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(4 of 5)

#### Steps to Success

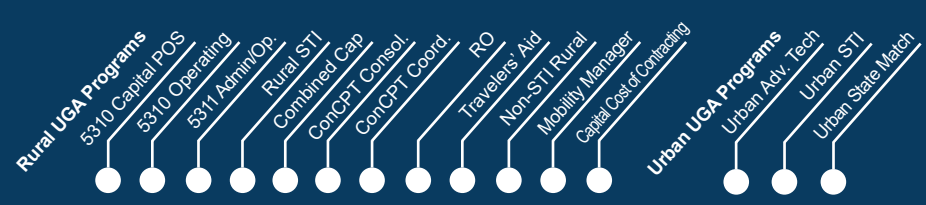
- 11-1. After receipt of your approved executed agreement through DocuSign (9-4), and preparation of your internal organizational workplan through Step 10, expend grant funds according to approved budget and agreement.
- 11-2. Follow your updated internal organizational workplan to ensure grant agreement compliance and to prepare for NCDOT program auditing.

#### Notes or Quick Tips

- Do NOT expend funds without a signed agreement (see Step 9-4) and explicit approval from NCDOT.



# Rural Unified Grant Application Process



## STEP 12

### Submit Claims

Pre-Application Phase

Application Phase

Contracting & Compliance Phase

(5 of 5)

### Steps to Success

- 12-1. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in).
- 12-2. Click 'PTD Claim' to access 'Request for Reimbursement' page. Click 'Create' button to submit a new claim.
- 12-3. Complete the blank items in the Claim Form to include: the invoice number, date prepared, invoice period, final invoice (yes or no), Expenses, and Revenue and Other Sources for Local Share Amount. Click 'Save'.
- 12-4. Prepare all required claim attachments correctly (See note to the right for detailed instructions on submittal of claim attachments). Attach all claim documents on "Attachment and Submit" tab by clicking '+' on 'Attachments' panel.
- 12-5. Click the check box beside "The information supplied in this claim is true to the best of my knowledge, and conforms with the terms and conditions of this agreement."
- 12-6. Click 'Check' to validate all entries. Click 'Submit'.
- 12-7. The required Project Progress Report will be submitted as part of the claim process in EBS. This report is now an automated action within each claim, noting information, included but limited to, the status of project milestones and anticipated dates for completion.

### Notes or Quick Tips

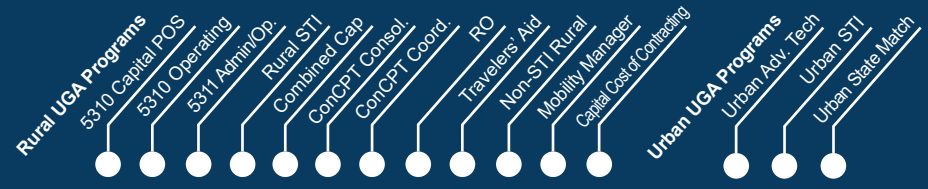
- If you forget your EBS User ID, Password, or PIN number, call the NCDOT Help Desk at (919) 707-7000 or 1-800-368-2778. Please let the Help Desk technician know that you are an external user for EBS. You will be asked to provide the security code that you entered on the security form.
- Click [here](#) for detailed instructions on the preparation of documentation (attachments) for your claims.
- Do NOT expend funds without a signed agreement (see Step 9-4) and explicit approval from NCDOT.
- For those submitting change request please submit the Salary & Wage Detail Report.

### Resources and Links

- ▶ [Fiori Training Material - How to submit a claim in the Grant Management System](#)
- ▶ [Salary & Wage Detail Report](#)
- ▶ [EEO Update](#)

# PART 3 Application Processes

## Urban Unified Grant Application Process



### STEP 1,2,3

### Pre-Application Phase

### Pre-Application Phase (1 of 1)

### Application Phase

### Contracting & Compliance Phase

#### Steps to Success

1-1. If Urban Advanced Technology, Urban STI, or Urban State Match are your only NCDOT Public Transportation Grant Application for FY28, you may skip ahead to Step 4.

If you are applying to other FY28 Unified Grant Application programs including those listed below through NCDOT for public transportation funding, you will be required to complete a pre-application through those other programs (see "Part 3.3 Fund-Specific Grant Application Processes" for other applicable FY28 programs)

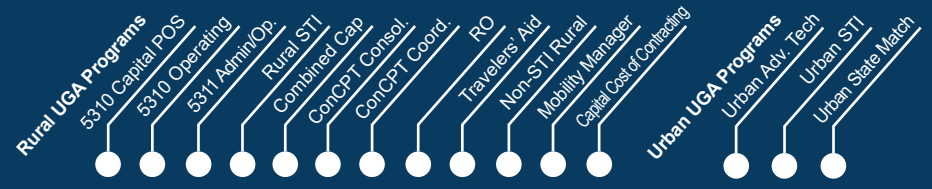
- 5310 Operating for Small Urban Systems
- Travelers' Aid
- ConCPT

#### Notes or Quick Tips

- New Applicants who want to apply for a funding program must receive a favorable pre-award audit



# Urban Unified Grant Application Process



Jun  
Jul  
Aug

## STEP 4

### Coordination for Application Development


Pre-Application Phase

Application Phase  
(1 of 4)

Contracting & Compliance Phase

#### Steps to Success

- 4-1. Create a file folder on your server named "YourSystemName.FY28.Application"
- 4-2. Check to confirm that you have the required EBS Access. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in).
- 4-3. Identify your organization's FY28 funding need for this application. Use this information to identify your desired expenditures by M-Code and applicable local, state, and federal match allocations.

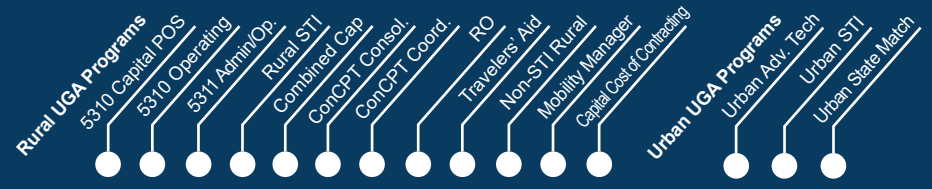
 See Part 1.2.2.1 Funding Eligibility Table and 1.3 Program Overview / Funding Formula for program specific funding information.

#### Notes or Quick Tips

- If you forget your User ID, Password, or PIN number, call the NCDOT Help Desk at (919) 707-7000 or 800-368-2778. Please let the Help Desk technician know that you are an external user for the Grants System. You will be asked to provide the security code that you entered on the security form.
- If you need help identifying which G-Codes and/or M-Codes to use, return to 2.2 Eligible Expenses.



# Urban Unified Grant Application Process



Jul  
Aug  
Sep

## STEP 5

### TAB Meeting & Governing Board Authorization

Pre-Application Phase

Application Phase  
**(2 of 4)**

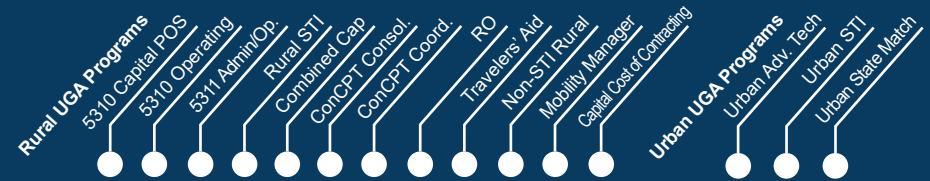
Contracting & Compliance Phase

#### Steps to Success

- 5-1. If Urban Advanced Technology, Urban STI, or Urban State Match are your only NCDOT Public Transportation Grant Application for FY28, you may skip ahead to Step 6.



# Urban Unified Grant Application Process



## STEP 6

### Organizing Submittal Materials

Pre-Application Phase

Application Phase  
**(3 of 4)**

Contracting & Compliance Phase

#### Steps to Success

- 6-1. Ensure you submitted the FY28 Applicant Profile Smartsheet form using this [link](#). Gather the additional materials noted in the [Step 6-2 Document List](#) for each application (click on the hyperlinks to the right in order to navigate to the list of documents), name the files as outlined below and place each in the file folder you created in 4-1.
- 6-2. Zip your folder named "YourSystemName.FY28.Application" in preparation for attachment to your application submittal.

#### Notes or Quick Tips

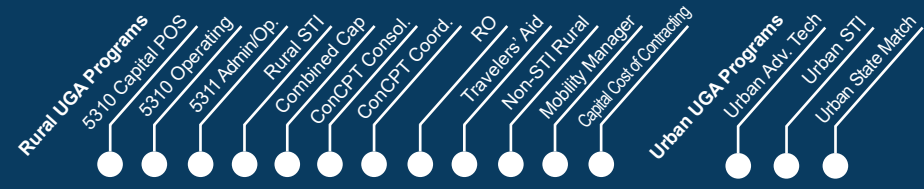
- Optional materials such as charts/graphs, route schedules, pictures, letter of support, marketing plan etc. can help illustrate your funding needs. Consider attaching any of these optional submittal materials to strengthen your application.

#### Resources and Links

- ▶ [Smartsheet Quick Reference Guide](#)
- ▶ [FY28 Applicant Profile Smartsheet Form](#)



# Urban Unified Grant Application Process



## STEP 7

### EBS Application Submittal

Pre-Application Phase

**Application Phase**  
**(4 of 4)**

Contracting & Compliance Phase

#### Steps to Success

- 7-1. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in). Access your FY28 Application by clicking 'New Application' to access the search application pop-up. Click 'Search'. Select the 'P2028\_ProgramName'
- 7-2. Ensure all contact information is correctly entered into EBS so that, through subsequent steps, your agreement can be quickly and correctly routed for signatures (see note to the right).
- 7-3. Complete the online budget in your EBS application (see note to the right for detailed EBS instructions). Click 'Check' to ensure you have completed all required fields. Click 'Save' to save your work.
- 7-4. Once you are satisfied with all of the entries in your EBS application and you require no further revisions, click 'Submit' in order to finalize your FY28 EBS application. You will now need to prepare to submit required application attachments.
- 7-5. Click 'Grants Home'. Click 'Review Application'. Click 'Search'. Select your FY28 Application. Click 'Attachment' from the toolbar. Click 'Browse' and then select 'Other'. Navigate to the location of the FY28 Application Documents zipped folder that you created in Step 6 on your server and double-click to upload this zipped folder. Select 'Attach'. Click 'Grants Home' to start the workflow process. You have now completed the submittal of your FY28 Application in EBS – await further instructions from NCDOT or contact your RGS to continue on to Step 8.

#### Notes or Quick Tips

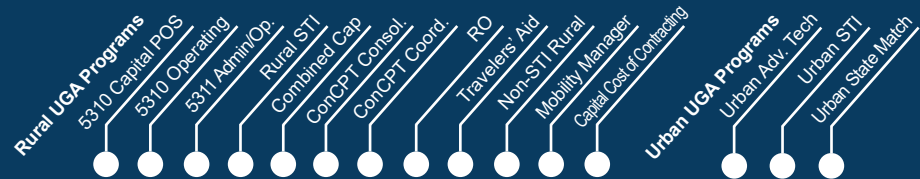
- If you forget your EBS User ID, Password, or PIN number, call the NCDOT Help Desk at (919) 707-7000 or 1-800-368-2778. Please let the Help Desk technician know that you are an external user for EBS. You will be asked to provide the security code that you entered on the security form.
- To ensure that the application approval and agreement is routed correctly for your organization's signatures, enter the contact information for (1) the main application contact (likely yourself); (2) the authorized official for signatures; and (3) the local clerk to your Governing Board who can attest the authorized signature. Contact Faye McCullen if there are any changes so that NCDOT's Authorized Official Database can be updated.
- Click [here](#) for the FY28 EBS PDF budget application form

#### Resources and Links

- ▶ [Salary & Wage Detail Report](#)



# Urban Unified Grant Application Process



- Jan
- Feb
- Mar
- Apr

## STEP 8

### Federal and State Certifications & Assurances

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(1 of 5)

#### Steps to Success

- 8-1. Await an email from IMD with the following attached documents (typically these will arrive after the first of the year):
  - Federal Certifications and Assurances
  - Applicant and Attorney Affirmations
  - Certifications and Restrictions on Lobbying
  - Special Section 5333(b) Warranty
  - Certification of Equivalent Service (if applicable)
- 8-2. Present all documentation to the local governing board for approval within 90 days.
- 8-3. Upload the documents to EBS.

#### Notes or Quick Tips

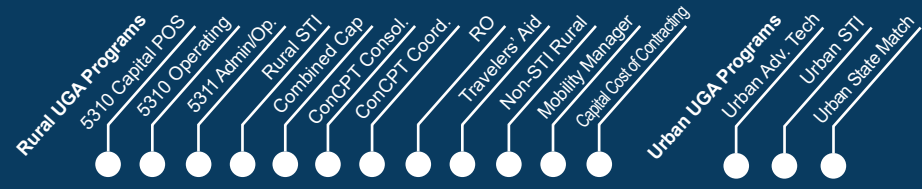
- If your organization has a vehicle fleet you will need to submit "Certification of Equivalent Service" if either (a) you are purchasing a non-lift equipped vehicle, or (b) your fleet includes at least one (1) non-lift equipped vehicle.

#### Resources and Links

- ▶ [Certifications and Assurances](#)



# Urban Unified Grant Application Process



## STEP 9

### Electronic Agreement Signature

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(2 of 5)

#### Steps to Success

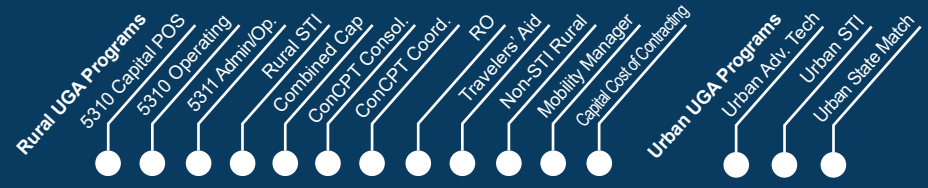
- 9-1. Access your draft agreement by awaiting an email notification from DocuSign; the agreement will come to you for electronic signature only after NCDOT's BOT has approved a program of projects and NCDOT leadership has reviewed a draft agreement. (See note to the right if your organization's legal counsel rejects use of NCDOT's DocuSign). NOTE OF CAUTION: If you apply for multiple funding sources from NCDOT, you will receive multiple different contracts that each need to be signed in order to receive a notice to proceed (NTP) to expend funds. For FY28 Urban STI funds, check that you have received and duly process the FY28 Urban STI agreement for your organization.
- 9-2. A signatory authority from your organization must electronically sign the agreement using DocuSign as instructed in the email from DocuSign referenced in 9-1. This signature must also be attested by your local Governing Board's clerk. After the signature has been electronically submitted, it will automatically be routed for further NCDOT signatures.
- 9-3. The application will now be reviewed by NCDOT again prior to final approval of the agreement. After approval, an email notification from DocuSign will be sent to you. This email will contain a finalized Agreement ID along with the agreement.

#### Notes or Quick Tips

- Does your organization have issues with NCDOT's DocuSign process? If so, contact the NCDOT Accounting Specialist assigned to your organization (their name and contact information can be found in the email you received from DocuSign).



# Urban Unified Grant Application Process



## STEP 10

### Prepare Workplan to Ensure Grant Agreement Compliance

Pre-Application Phase

Application Phase

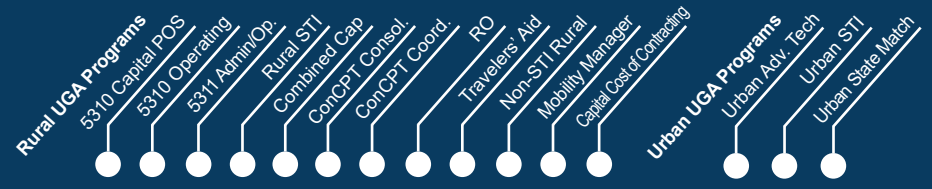
Contracting & Compliance Phase  
(3 of 5)

#### Steps to Success

10-1. If this program is your only NCDOT Public Transportation Grant Application for FY28, you may skip ahead to Step 11.



# Urban Unified Grant Application Process



## STEP 11

### Expend Grant Funds

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(4 of 5)

#### Steps to Success

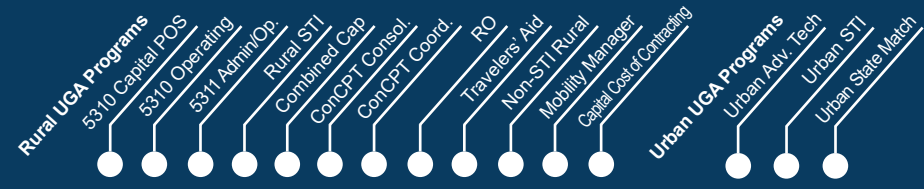
- 11-1. After receipt of your approved executed agreement through DocuSign (9-3), expend grant funds according to approved budget and agreement.
- 11-2. Follow your updated internal organizational workplan to ensure grant agreement compliance and to prepare for NCDOT program auditing.

#### Notes or Quick Tips

- Do NOT expend funds without a signed agreement (see Step 9-3) and explicit approval from NCDOT.



# Urban Unified Grant Application Process



## STEP 12

### Submit Claims

Pre-Application Phase

Application Phase

Contracting & Compliance Phase  
(5 of 5)

### Steps to Success

- 12-1. Access the EBS portal by using the URL <https://www.ebs.nc.gov/irj/portal>. Enter your EBS User ID and Password, then click 'Log On' (see note to the right if you have trouble logging in).
- 12-2. Click 'PTD Claim' to access 'Request for Reimbursement' page. Click 'Create' button to submit a new claim.
- 12-3. Complete the blank items in the Claim Form to include: the invoice number, date prepared, invoice period, final invoice (yes or no), Expenses, and Revenue and Other Sources for Local Share Amount. Click 'Save'.
- 12-4. Prepare all required claim attachments correctly (See note to the right for detailed instructions on submittal of claim attachments). Attach all claim documents on "Attachment and Submit" tab by clicking '+' on 'Attachments' panel.
- 12-5. Click the check box beside "The information supplied in this claim is true to the best of my knowledge, and conforms with the terms and conditions of this agreement."
- 12-6. Click 'Check' to validate all entries. Click 'Submit'.
- 12-7. The required Project Progress Report will be submitted as part of the claim process in EBS. This report is now an automated action within each claim, noting information, included but limited to, the status of project milestones and anticipated dates for completion.

### Notes or Quick Tips

- If you forget your EBS User ID, Password, or PIN number, call the NCDOT Help Desk at (919) 707-7000 or 1-800-368-2778. Please let the Help Desk technician know that you are an external user for EBS. You will be asked to provide the security code that you entered on the security form.
- Click [here](#) for detailed instructions on the preparation of documentation (attachments) for your claims.
- Do NOT expend funds without a signed agreement (see Step 9-3) and explicit approval from NCDOT.
- For those submitting change request please submit the Salary & Wage Detail Report

### Resources and Links

- ▶ [Fiori Training Material - How to submit a claim in the Grant Management System](#)
- ▶ [Salary & Wage Detail Report](#)
- ▶ [EEO Update](#)



# UGA Tools and Resources

## 3.4 UGA Tools and Resources (1/2)

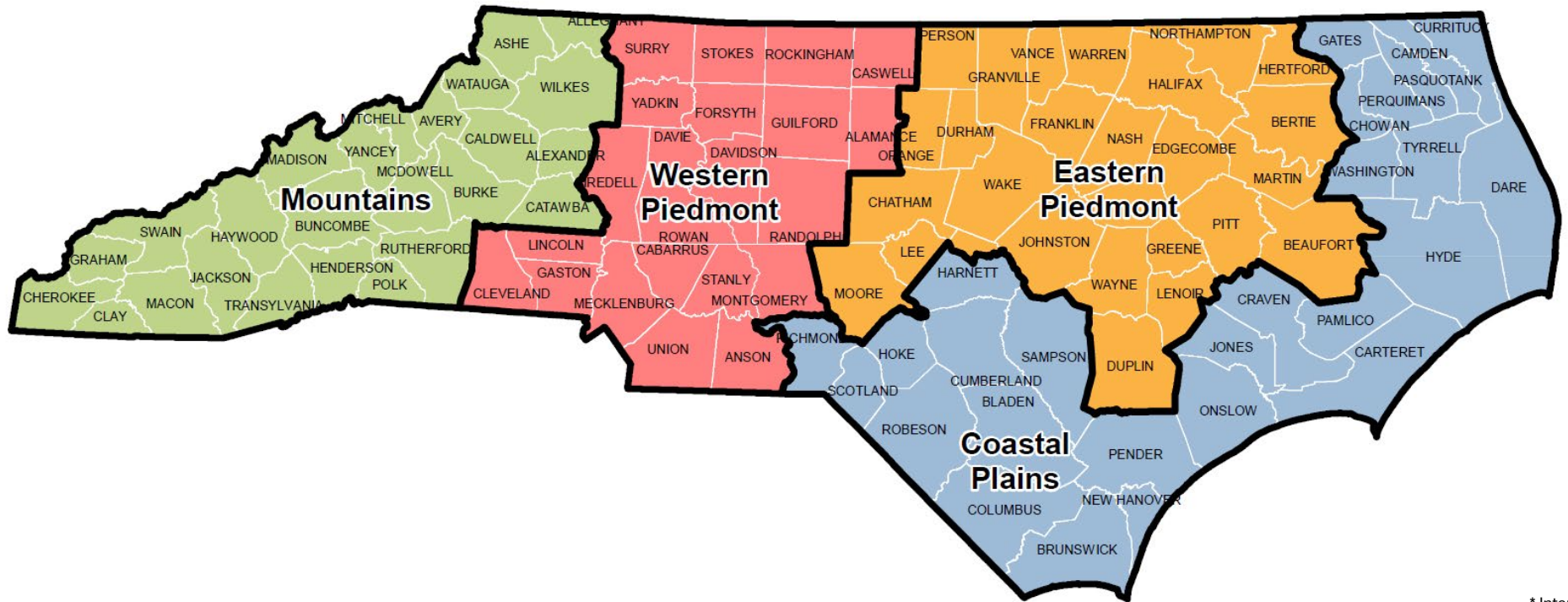


# UGA Tools and Resources

## 3.4 UGA Tools and Resources (2/2)



# Regional Grant Specialist Contact Information



\* Interim

| Mountains                         |                   |               |                        |
|-----------------------------------|-------------------|---------------|------------------------|
| Section                           | Contact           | Office Number | Email Address          |
| Finance                           | Monique Frasier   | 919-707-4681  | msfrasier@ncdot.gov    |
| Grant Administration              | Brandi Claybrooks | 919-707-4679  | blclaybrooks@ncdot.gov |
| Planning                          | Nikki Abija       | 919-707-2608  | anabija@ncdot.gov      |
| Safety, Education, and Compliance | Kevin Edwards     | 919-707-4695  | kbedwards2@ncdot.gov   |

| Eastern Piedmont                  |                 |               |                      |
|-----------------------------------|-----------------|---------------|----------------------|
| Section                           | Contact         | Office Number | Email Address        |
| Finance                           | Tanisha O'Keefe | 919-707-4689  | ttokeefe@ncdot.gov   |
| Grant Administration              | Anne Galamb     | 919-707-4674  | asgalamb@ncdot.gov   |
| Planning                          | Bryan Lopez     | 919-707-2606  | balopez@ncdot.gov    |
| Safety, Education, and Compliance | Kevin Edwards   | 919-707-4695  | kbedwards2@ncdot.gov |

| Western Piedmont                  |                |               |                          |
|-----------------------------------|----------------|---------------|--------------------------|
| Section                           | Contact        | Office Number | Email Address            |
| Finance                           | Jocelyn Valdez | 919-707-4683  | jpetatanvaldez@ncdot.gov |
| Grant Administration              | Lisa Avery     | 919-762-3734  | ext-lnavery@ncdot.gov    |
| Planning                          | Bryan Lopez    | 919-707-2606  | balopez@ncdot.gov        |
| Safety, Education, and Compliance | Kevin Edwards  | 919-707-4695  | kbedwards2@ncdot.gov     |

| Coastal Plains                    |                  |               |                       |
|-----------------------------------|------------------|---------------|-----------------------|
| Section                           | Contact          | Office Number | Email Address         |
| Finance                           | Equila Drakeford | 919-707-4680  | ezdrakeford@ncdot.gov |
| Grant Administration              | Irene Johnson    | 919-707-4670  | iwjohnson@ncdot.gov   |
| Planning                          | Kim Nguyen       | 919-707-4676  | kpnguyen1@ncdot.gov   |
| Safety, Education, and Compliance | Kevin Edwards    | 919-707-4695  | kbedwards2@ncdot.gov  |

Follow this link for the most up-to-date contact information: <https://connect.ncdot.gov/business/Transit/Pages/Regional-Support-Map.aspx>

# Eligible Sources of Local Match

## ***Instructions***

As with all FTA formula program grants administered by NCDOT, the local match must be provided from sources other than United States Department of Transportation funds (USDOT). Examples of possible local match sources include:

- Undistributed cash surplus
- Replacement cash fund or reserve
- Service agreement with a state or local social service agency or a private social service organization
- Amounts appropriated or otherwise made available to a department or agency of the Government (other than the USDOT) that are eligible to be expended for transportation
- Employment training, aging, community services, vocational rehabilitation services
- TANF
- Other non-USDOT federal funds for local match (click [here](#) for more instruction)
- Non-Federal sources that may be used for any or all of the local share include:
  - State or local appropriations
  - Dedicated tax revenues
  - Private donations
  - Net income generated from advertising and concessions

## ***Notes***

- Farebox revenue is not an applicable source of local match.
- Applicants are responsible for verifying the eligibility of non-USDOT federal funds the applicant proposes to use as their local match.
- Income from contracts to provide human service transportation may be used either to reduce the net project cost (treated as revenue) or to provide local match for operating assistance. In either case, the cost of providing the contracted service must be included in the total project cost. No FTA program funds can be used as a source of local match for other FTA programs, even when used to contract for service.
- The state-funded Rural Operating Assistance Program (ROAP) funds can also be used as matching funds. These funds are allocated to the county or to a transportation authority. Applicants should inquire with the county manager and/or county finance office about the availability of the ROAP funds.

# Step 6-2 Document List (1/2)

## **Rural UGA Programs**

### **5310 Capital of Purchase of Service**

- 5310 Application - Capital Purchase of Service
- MPO/RPO Letter(s) of Support
- Locally Coordinated Human Service Plan
- Estimate(s) or Proposal(s) - as applicable
- Map(s) of Service Area (not primarily urban service)
- Unified Application Checklist

### **5310 Operating**

- 5310 Application - Operating
- MPO/RPO Letter(s) of Support
- Locally Coordinated Human Service Plan
- Unified Application Checklist

### **5311 Admin/Operating**

-  • 5311 Designee Certification Form (Due FY28)
- Vehicle Insurance Certification
- Insurance Auto Schedule
- Job Description (if changed) - as applicable
- Retail Estimate(s) or Proposal(s) - as applicable
- Deviated Fixed Route Material - as applicable
- Cost Allocation/Indirect Cost Plan - as applicable
- Indirect Cost Rate Verification - as applicable
- Facility Insurance Verification - as applicable
- Vehicle Lease Agreement - as applicable
- Third Party Provider Contract - as applicable
- Rental Lease Agreement - as applicable
- Software Lease Agreement- as applicable
- Unified Application Checklist

## **Rural STI**

- Rural STI State Match Request Form
- Unified Application Checklist

## **Combined Capital**

- Combined Capital Application
- Fleet Replacement Plan
- Independent Cost Estimate (ICE) Form - as applicable
- Estimate(s) or Proposal(s) - as applicable
- NEPA Information Form - as applicable
- Unified Application Checklist

## **ConCPT CN (Consolidation)**

- ConCPT Funds Application
- Memorandum of Agreement (MOA)
- Unified Application Checklist

## **ConCPT CO (Coordination)**

- ConCPT Funds Application
- IMD Approved Billing Rate
- Memorandum of Agreement (MOA)
- Unified Application Checklist

## **Rural State Operating**

- Rural State Operating Grant (RO) Application
- Unified Application Checklist

## **Travelers' Aid**

- Project Funding Justification Form
- Project Description
- Local Funding Commitment Form
- Project Analysis Form
- Unified Application Checklist

## Step 6-2 Document List (2/2)

### Rural UGA Programs (continued)

#### **Non-STI Rural Expansion Vehicle**

- [Non-STI Rural Expansion Vehicle application](#)
- [5311 Designee Certification Form](#)
- [Unified Application Checklist](#)

#### **Mobility Manager**

- [Mobility Manager Application](#)
- [Locally Coordinated Human Service Plan](#)
- [MPO/RPO Letter of Support](#)
- [Job Description w/ % of Time Assigned](#)
- [Unified Application Checklist](#)

#### **Capital Cost of Contracting**

- [IMD Capital Cost of Contracting Toolkit](#)
- [Contract Type - as applicable](#)
- [Unified Application Checklist](#)

### Urban UGA Programs

#### **Urban Advanced Technology**

- [Advanced Technology Application](#)
- [Memorandum of Understanding for the Project](#)
- [Urban Advanced Technology Policy and Requirements Form](#)
- [Current Projects](#)
- [Budget 3-Year Plan Quarterly Report Cash Flow](#)
- [UAT Cover Letter](#)
- [Unified Application Checklist](#)

#### **Urban STI**

- [STI Urban State Match Request Form](#)
- [TrAMS Application for the project showing any federal funds that will be used](#)
- [BOT Agenda from month project approved](#)
- [Local Funding Commitment](#)
- [Feasibility Study \(facility projects\)](#)
- [Facility Insurance Verification \(facility projects\)](#)
- [Vehicle Purchase Orders - as applicable](#)
- [Unified Application Checklist](#)

#### **Urban State Match**

- [Urban State Match Request Form](#)
- [Local Funding Commitment Form](#)
- [TrAMS Application](#)
- [Feasibility Study \(facility project\) - as applicable](#)
- [Facility Insurance Verification - as applicable](#)
- [Vehicle Purchase Orders \(If locally funded only\)](#)
- [Unified Application Checklist](#)

## Other Non-USDOT Federal Funds for Local Match

### *Instructions*

The local match may be derived from federal funding programs other than DOT programs. Federal programs used as match must include funding for transportation. **To use these funds as local match for FTA funds, the cost of the activities funded by the non-DOT federal funds must be integrated into the total net project costs of the FTA grant.** The transportation activities funded by the non-USDOT federal funds must be inside the scope of the project to be used as part of your local match.

### *Notes*

- Applicants are responsible for verifying the eligibility of non-USDOT federal funds the applicant proposes to use as their local match.

# Capital Replacement Schedule

| CATEGORY<br>CAPITAL ITEMS  | MINIMUM<br>REQUIREMENTS | MINIMUM DOCUMENTATION REQUIRED   |
|--|-------------------------|--|
| <b>MAJOR FACILITY RENOVATIONS AND NEW CONSTRUCTION</b>   | 40 years                | <b>Note:</b> Major Renovation involves the purchase of an existing building and complete refurbishing of the building. <b>Needs Assessment required.</b> Plans and specs required. <b>At least 1 retail estimate required.</b>   |
| ▪ Building Purchase<br>▪ Facility Construction   |                         |  |
| <b>OFFICE/BREAKROOM FURNITURE</b>  | 12 Years                |  |
| ▪ Desk ▪ Chairs<br>▪ Bookcase ▪ Conference Table<br>▪ File Cabinet ▪ Safe (Fireproof) (25 yrs.)<br>▪ Lockers   |                         | <input type="checkbox"/> Explanation of need for replacement   |
| <b>OFFICE EQUIPMENT</b>  | 5 Years                 |  |
| ▪ Fax Machine ▪ Calculator<br>▪ Copier ▪ Etc.  |                         | <input type="checkbox"/> Explanation of need for replacement   |
| <b>AUDIO VISUAL EQUIPMENT</b>  | 10 Years                |  |
| ▪ VCR/DVD ▪ Camcorder<br>▪ TV ▪ Etc  |                         | <input type="checkbox"/> Explanation of need for replacement   |
| <b>BASELINE TECHNOLOGY</b>   | 5 Years                 |  |
| ▪ Projector ▪ Laptop<br>▪ Desktop ▪ Server<br>▪ Printer ▪ Scanner (6 yrs.)   |                         | <input type="checkbox"/> Explanation of need for replacement in item #14 of project description<br>* Presentations may be required.  |
| <b>SECURITY &amp; SURVEILLANCE</b>   | 7 Years                 |  |
| ▪ Video (facility and vehicles)<br>▪ Cameras ▪ Wireless unit<br>▪ DVR ▪ Antenna  |                         | <input type="checkbox"/> Explanation of need for replacement   |
| <b>COMMUNICATIONS EQUIPMENT</b>  | 6 Years                 |  |
| ▪ Radio units ▪ Antenna<br>▪ Base Station ▪ Repeater<br>▪ Cell phones  |                         | <input type="checkbox"/> Explanation of need for replacement in item #14 of project description  |
| <b>MAINTENANCE EQUIPMENT &amp; FIXTURES</b>  | 12 Years                |  |
| ▪ Roller cabinets ▪ Diagnostic equip<br>▪ Portable tool stands ▪ Lift truck<br>▪ Compressors- (5 yrs.) ▪ Engine stands<br>▪ Hoists - (10 yrs.) ▪ Brake lathes<br>▪ Bus washers- (10-15 yrs.) |                         | <input type="checkbox"/> Only Transit Systems with in-house maintenance garages are eligible   |
| <b>SUPPORT VEHICLES</b>  |                         |  |
| ▪ Trucks/Sedans - Light Duty   | 100,000 miles           | <input type="checkbox"/> Only Systems with in-house maintenance garages are eligible   |
| <b>REVENUE VEHICLES</b>  |                         |  |
| <b>Vans</b>  |                         |  |
| ▪ Center Aisle Van (2010 or older)<br>▪ Mini-Van<br>▪ Conversion Van or Raised Roof Van  | 100,000 miles           | <input type="checkbox"/> Updated PTMS<br><input type="checkbox"/> Current VUD<br><input type="checkbox"/> Once required fleet size has been determined through the capital assessment process, local vehicles may be designated for disposition and not be eligible for replacement. |
| <b>Buses</b>   |                         |  |
| Light Transit Vehicle (medium duty)<br>20ft, 22ft, 25ft and 28 ft. LTVs (body on cut-a-way chassis)  | 100,000 miles           |  |
| LTV (heavy duty)<br>Approximately 30 ft. (body on truck chassis)   | 350,000 miles           |  |
| Large Bus (heavy duty)<br>35-40 ft.  | 500,000 miles           |  |

## Notes

- Assets that have met their useful life will not automatically be replaced. This schedule represents the minimum threshold for replacement consideration. Listed capital items are illustrative and not exhaustive. *Updated 1/2021*

# Technology Specifications: Standards for Hardware, Software, and Networking

## Desktop

|                                     |  |
|-------------------------------------|--|
| <b>Operating System:</b>            | Windows 7  |
| <b>Processor:</b>                   | Intel I5-750   |
| <b>Memory:</b>                      | 4.0GB or higher  |
| <b>Hard Drive (s):</b>              | 80G, partitioned so C: drive is for programs and D: drive is for data  |
| <b>Software:</b>                    | Microsoft Office Professional 2010   |
| <b>Video Card:</b>                  | GeForce GTS250 1GB/Radeon HD4850 512MB   |
| <b>Network Card:</b>                | 100/1000 Mbps  |
| <b>UPS Backup/Surge Protection:</b> | Multi-outlet AC Surge Protector with power supply backup (if necessary)  |
| <b>Multimedia Devices:</b>          | Pair of desktop speakers (if not included with monitor), Microphone, optional Camera   |
| <b>Monitor:</b>                     | Any standard monitor capable of display in 1024x768 or greater. Purchase larger monitors if required by specific applications. |
| <b>Other Drives:</b>                | CD/DVD ROM Drive   |
| <b>Anti-Virus Software:</b>         | Any industry standard anti-virus software  |
| <b>Service Program:</b>             | 3-year warranty with on-site service   |

## Network

|                       |   |
|-----------------------|---|
| <b>Configuration:</b> | 100/1000 MB using switches (no hubs), TCP/IP Protocol |
|-----------------------|---|

## Server

All server specifications are minimums only. Servers should be expandable to enable increases in memory, processors, hard drive, etc.

|                             |   |
|-----------------------------|---|
| <b>Operating System:</b>    | Microsoft Windows Server 2008                                 |
| <b>Database Software:</b>   | Microsoft SQL Server 2005 SP2 or 2008 Standard (if necessary) |
| <b>Network Card:</b>        | (2) 100/1000 MB   |
| <b>Processor Type:</b>      | Intel Xenon 2.5Ghz or higher                                  |
| <b>Memory:</b>              | 12 GB   |
| <b>Hard Drive(s):</b>       | 300 GB  |
| <b>Monitor:</b>             | 15" or larger   |
| <b>Graphics Card:</b>       | 64MB or greater   |
| <b>Other Drives:</b>        | CD/DVD ROM  |
| <b>Anti-Virus Software:</b> | Any industry standard anti-virus software                     |
| <b>Service Program:</b>     | 3-year warranty with on-site service                          |

## **Notes**

- These are MINIMUM standards only. NCDOT guidelines require that each computer last at least 5 years. If you plan on installing automated scheduling software or other technologies during the lifetime of the computer, please consult with the appropriate resource to determine minimum requirements.

# Identifying Your Reimbursable Operating Expenditure Amount

## ***Instructions***

Applicants must ensure their 5311 operating and 5311 administrative budget requests match the FY28 approved budgets (see Part 1.3 Program Overview/ Funding Formula- 5311 Overview for more information). Section 5311 reimbursable operating expenses must account for specific restrictions as detailed below. Section 5311 operating funds can ONLY be used to support rural general public routes (RGP).

Only net operating expenses are eligible for reimbursement (click [here](#) to see how to calculate net operating expenses).

Funds received pursuant to a service agreement with a State or local social service agency or a private social service organization may be used as local match. Income from contracts to provide human service transportation may be used either to reduce the net project cost (treated as revenue) or to provide local match for Section 5311 operating assistance. In either case, the cost of providing the contract service is included in the total project cost.

Note that the manner in which a subrecipient applies income from human service agencies to a project affects the calculation of net operating expenses and, therefore, the amount of Section 5311 operating assistance the project is eligible to receive.

## ***Notes***

- RGP Routes - Services intended to provide transportation for individuals from the county who do not have a human service agency or organization that will pay for the transportation service. The passenger's origin or destination must be in the rural area.
  - Operating Expenses - Operating expenses are considered those costs directly related to system operations. Eligible items are defined as stated in the UPTAS manual and State Management Plan.
-

# How to Calculate Net Operating Expense

## ***Instructions***

Net operating expenses are those expenses remaining after the provider subtracts operating revenues from eligible operating expenses. Operating revenues must include farebox revenues. Farebox revenues include fares paid by riders who are later reimbursed by a human service agency or other user-side subsidy arrangement. Farebox revenues do not include payments made directly to the transit system by human service agencies to purchase service. However, purchase of transit passes or other fare media for clients would be considered farebox revenue. A voluntary or mandatory fee that a college, university, or similar institution imposes on all its students for free or discounted transit service is not farebox revenue. Farebox revenue must be used to reduce total operating expenses (treated as revenue).

Net Operating Expenses = Total Eligible Operating Expenses – Fare Revenues

## Rural State Operating and Travelers' Aid Program Funding

**RO Eligible System List :** AppalCart, ARHS, CARTS, CPTA, GWTA, KARTS, RCATS, TRT, WPRTA, YVEDDI, and Tyrell Hyde



The Rural State Operating and Travelers' Aid programs are 100% State funded and the available amount of funding fluctuates from year to year. At times this fluctuation has caused the approved amount of a grant to be reduced. To prevent this situation for FY28 and forward, the Rural State Operating and Travelers' Aid eligible funding amounts will be determined after the UGA cycle has opened but prior to the budget applications are opened in EBS. The individual grant amounts will be based upon the amount of State funding available for each program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist. Please note, IMD intends to honor each approved grant commitment, but unexpected situations may prevent a grant being fully funded.

# Requirement for TAB or Governing Board (1/2)

Each applicant is REQUIRED to have a Transportation Advisory Board (TAB) or a Governing Board if an Authority or non-profit organization. A TAB is made up of stakeholders from the service area that care about the services provided by the transit system. The make-up of the TAB is representative of the various target audiences in the service area and includes one or more actual passengers of the transit system. An "ACTIVELY ENGAGED" TAB is expected to discuss unmet needs in the service area, service design and scheduling, billing rates and fares, and to resolve complaints. They also monitor compliance with federal regulations and the status of any deficiencies noted in any official federal, state, or local review or report. The TAB is a locally formed advisory group based on the following guidelines and requirements:

## TAB Composition

| CATEGORIES  | PUBLIC HUMAN SERVICE AGENCY   | TRANSPORTATION PROVIDERS   | PUBLIC AND BUSINESS SECTORS   | GOVERNMENT AND GOVERNMENTAL AFFILIATES   | TRANSIT USER   |
|---|---|--|---|--|--|
| Suggested number of representatives per category  | 3-5   | 1-2  | 4-5   | 3-5  | 1-3  |
| NOTE: TAB members should be individuals that know about the transit needs of the general public including the elderly, minorities, people with disabilities, Limited English Proficiency (LEP), or low income populations living in the service area. | <ul style="list-style-type: none"> <li>▪ Senior Services</li> <li>▪ DSS</li> <li>▪ Vocational Rehabilitation</li> <li>▪ Head Start</li> <li>▪ Shelter Workshop</li> <li>▪ Health Dept.</li> <li>▪ Veterans Admin</li> <li>▪ Smart Start</li> <li>▪ Mental Health</li> <li>▪ Housing Authority</li> <li>▪ Human Service Transit Users</li> </ul> | <ul style="list-style-type: none"> <li>▪ Private transportation providers</li> <li>▪ Intercity bus providers</li> <li>▪ Ambulance Service</li> <li>▪ Regional Authority</li> <li>▪ Urban System</li> <li>▪ Faith based services</li> <li>▪ Volunteers</li> </ul> | <ul style="list-style-type: none"> <li>▪ Chamber of Commerce</li> <li>▪ Major employers</li> <li>▪ Hospital/Dialysis Ctr. Staff</li> <li>▪ Non-profit organizations</li> <li>▪ Employment Transit Users</li> <li>▪ General Public Transit Users</li> <li>▪ Public Citizens</li> </ul> | <ul style="list-style-type: none"> <li>▪ *MPO</li> <li>▪ *RPO</li> <li>▪ *Emergency Management</li> <li>▪ Economic Development</li> <li>▪ Employment Security Commission</li> <li>▪ Job Link and/or Career Centers</li> <li>▪ Elected Officials</li> <li>▪ County Government staff</li> <li>▪ Community</li> </ul> | <ul style="list-style-type: none"> <li>▪ Passenger(s) that currently utilize the transit system</li> </ul> |

Continues on next page

## Requirement for TAB or Governing Board (2/2)

\*Representatives from Emergency Management and the local Rural Planning Organization or Metropolitan Planning Organization are encouraged to attend at least 1 TAB meeting per year if possible to understand the priorities of the transit system; offer advice on what they can do for, or need from, public transportation; and to build strong working relationships.

- The TAB must include representatives from the elderly, minority, Limited English Proficiency (LEP), disabled and/or low-income populations in the service area or include individuals that represent these consumer groups that will challenge the transit system to be more sensitive to their needs or to discuss unmet needs of their consumer group. Census data should be consulted to determine which groups should be represented and the size of the representation needed.
  - If the applicant serves as an “umbrella” agency for programs in addition to transportation services, then the Executive or Governing Board may not serve as the TAB. There may be overlapping of members from the Executive or Governing Board, but there must be a separate TAB that meets the requirements.
  - If the applicant is a transportation authority or a non-profit organization that only provides transportation, the Executive or Governing Board may serve as the TAB. In this case, the composition of the Executive or Governing Board will have to meet the 5311 Program requirements to serve as the TAB or consider creating a separate TAB that does meet the requirements.
  - IMD expects, at a minimum, a quarterly TAB meeting for the community transportation system to maintain ongoing communications as one means of seeking public involvement, and ongoing administrative oversight. TAB meetings must be open to the public and the public must be notified of the scheduled meetings through such means as posting notices on agency websites; local news media; flyers; etc. Additionally, meeting minutes must be published and distributed to IMD Regional Grant Specialists, with original file copies maintained by the transportation system for a minimum of five (5) years.
  - All TAB or Governing Board members must sign an annual Conflict of Interest form and the signed form must be attached as an application document (see Step 2 and Step 3 in Part 3).
-

# STIP Approved Projects List

## Urban Projects (apply through Urban STI)

| STIP #  | System                                     | Description  | Total Funds | Type |
|---------|--|--|-------------|------|
| TP-0005 | Fayetteville Area System of Transit (FAST) | Add shelter packages to existing stops.              | \$43,000    | -    |
| TD-5307 | Go Raleigh                                 | Construct transit center and park and ride facility. | \$8,158,000 | -    |

# Procurement Guidance

BEFORE making a \$15,000 or greater (procurement) purchase, contact IMD's Procurement Section Staff to obtain approval to make the purchase and to ensure proper procedures are followed for project costs to be reimbursed.

A quick reference of requirements associated with different procurement thresholds is provided by clicking the links below. The following procurement thresholds meet dollar amounts required by IMD. Your agency may set more stringent requirements.

- ▶ **[Micro-Purchases \(Procurements under \\$15,000\)](#)**
- ▶ **[Price Quotes \(Procurements greater than or equal to \\$15,000, but less \\$30,000\)](#)**
- ▶ **[Informal Bids \(Procurements greater than or equal to \\$30,000, but less \\$90,000\)](#)**
- ▶ **[Formal \(Sealed\) Bids \(Procurements equal to or over \\$90,000\)](#)**

When submitting Claim for reimbursement in EBS, an approved Procurement Checklist is required as part of your documentation.

A written record of procurement history must be maintained for at least five (5) years after project closeout. Minimum documentation requirements are listed above with each procurement threshold.

---

## Micro-Purchases (Procurements under \$15,000)

Submittals to NCDOT IMD generally not required, but documentation noted below must be compliant with requirements and available upon request.

- May be made without obtaining price quotes
  - Must document how price was determined to be fair and reasonable (comparison of catalog/online prices, etc.)
  - [Procurement History Form](#) may be used to document
  - Obtain written approval (in accordance with local policy) prior to purchase—submit Procurement History Form and other documentation to:
    - Executive Director/Other – up to \$XXX
    - Board of Directors – \$XXX or more
  - Vendor does not have to comply with federal requirements, except if federal funds are used for construction. The Davis-Bacon Act applies to costs of \$2,000 or more, and this requirement must be included with solicitation.
-

## Price Quotes (Procurements greater than or equal to \$15,000, but less \$30,000)

### *IMD Submittals Required:*

- 1) Price Quote Procurement Checklist
- 2) [Procurement History Form](#) and Applicable Documentation (read form for list of applicable documentation)

### **Notes**

- IMD must review new or adapted specifications prior to solicitation of all “new type” rolling stock.
  - “New-Type” Rolling Stock excludes standard minivans or vehicles available on IMD contracts.
- Solicit from at least three suppliers/vendors by email, mail, or in person.
- Applicable federal requirements and certifications must be included with solicitation (if funded in part with federal funds).
- Document quotes solicited and received (at least three quotes should be received) on Procurement History Form and complete IMD Procurement Checklist
- If vendor with lowest price is not selected, include basis/reason for selection (delivery date; better warranty/service; etc.); prior IMD approval is required.
- Obtain written approval (in accordance with local policy) prior to purchase—submit Procurement History Form and other documentation to:
  - Executive Director/Other – up to \$XXX
  - Board of Directors – \$XXX or more
- Procurement Checklist must be submitted to IMD for prior approval on all purchases over \$15,000.

## Informal Bids (Procurements greater than or equal to \$30,000, but less \$90,000)

### *IMD Submittals Required:*

- 1) Informal Bids Procurement Checklist
- 2) [Procurement History Form](#) and Applicable Documentation (read form for list of applicable documentation)

### **Notes**

- IMD must review new or adapted specifications prior to solicitation of all “new type” rolling stock and ALL construction related procurements.
  - “New-Type” Rolling Stock excludes vehicles available on IMD contracts.
- Solicit written quotes from at least three suppliers/vendors.
- Applicable federal requirements and certifications must be included with solicitation (if funded in part with federal funds)
- Document quotes solicited and quotes received (at least three quotes should be received) on Procurement History Form, attach written quotes, and complete IMD Procurement Checklist and submit to IMD for prior approval.
- Obtain written approval (in accordance with local policy) prior to award—submit Procurement History Form, Procurement Checklist, and any other documentation to:
  - Executive Director/Other – up to \$XXX
  - Board of Directors – \$XXX or more
- IMD requires pre-award approval for all procurements > \$30,000. Submit Procurement Checklist (History form may be included), and any other related documentation for review.
- Maintain written documentation to support that each requirement was met.

## Formal (Sealed) Bids (Procurements equal to or over \$90,000)

### IMD Submittals Required:

- 1) Formal Bids Procurement Checklist
- 2) [Procurement History Form](#) and Applicable Documentation (read form for list of applicable documentation)

### More Specific Threshold Requirements May Apply:

- If only state funds are used:
  - \$90,000 or more – Equipment & Supplies
  - \$500,000 or more – Construction
- If federal & state funds are used
  - \$90,000 or more – Equipment & Supplies
  - \$150,000 or more – Construction

### Notes

- IMD must review all specifications prior to solicitation of all types of procurement.
- Publish advertisement (electronic ad may be used) for the bid, at least once, seven days prior to opening.
- All bids must be sealed and opened at stated time and place in advertisement.
- At least three suppliers/contractors must be solicited (in addition to advertisement, at least three suppliers/contractors must be notified)
- Applicable federal requirements and certifications must be included with solicitation (if funded in part with federal funds).
- Additional requirements for construction procurements:
  - A minimum of three sealed bids must be received. If less than three bids are received, agency must re-advertise for bids; and if as a result of such second advertisement, less than three competitive bids are received from reputable and qualified contractors, the agency may open bids.
  - Bid guarantee bond (5% of bid price)
  - Performance and payment bonds (100% of contract price)
  - Any of the following methods may be used for construction contracts (over \$300,000):
    - Separate-prime bidding
    - Single-prime bidding
    - Dual bidding pursuant to subsection (d1) of G.S. 143-128
    - Construction management at risk contracts pursuant to G.S. 143-128.1
    - Alternative contracting methods authorized pursuant to G.S. 143-135.26(9)
- Obtain written approval (in accordance with local policy) prior to award—submit Procurement History Form, Procurement Checklist, and any other documentation to:
  - Executive Director/Other – up to \$XXX
  - Board of Directors – \$XXX or more
- Procurement Checklist must be submitted to IMD for review and approval prior to award for all formal bids.
- Maintain written documentation to support that each requirement was met

## Federal Motor Carrier Safety Administration (FMCSA) Rule

Grantees that receive only Section 5310 assistance are not subject to FTA's Drug and Alcohol testing rules, but must comply with the Federal Motor Carrier Safety Administration (FMCSA) rule for employees who hold Commercial Driver's Licenses (49 CFR part 382). Section 5311 grantees that also receive funding under one of the covered FTA programs should include any employees funded under Section 5310 projects in their testing program. An FTA compliant testing program, as required by the receipt of FTA operating or capital funding (5307, 5309, 5311), can be used for Section 5310 employees; there is no need to have two testing programs. Employees of a grantee of Section 5310 funds from a designated recipient of another FTA program (such as 5307 or 5311) should also be included in the designated recipient's testing program.

---

# Subrecipient Request

Urban State Match requests submitted for projects being funded through 5339 funds must include documentation of the official request as an attachment submitted as part of the application phase (see Step 6).



# Claim Attachments List

For each claim submission, you must attach the following five (5) document sets to your EBS claim submission. Click the hyperlinks above to access blank forms or to obtain more information (as applicable).

**1) Claim Cover Sheet (Signed)**

**2) Claim Reimbursement Letter (Signed)**

**3) Progress Report (Signed) :**

|   |                                 |  |
|---|---------------------------------|--|
| 5310 Reporting Form                             | Mobility Manager Reporting Form | Progress Report - 5303 projects only     |
| 5311 Administration Reporting Form              | Operating Grant Reporting Form  | Progress Report - RS, 5307, 5309, DG, AT |
| Capital Grant Reporting Form - 5310, 5311, 5339 | State Operating Progress Report |  |

**4) DBE form (Signed)**

**5) Other backup documents :**

Vendor invoices or receipts supporting claims

Check copies, receipts, accounting detail reports, or invoices stamped "PAID" with account numbers and date paid

| If you are purchasing a vehicle | If you apply for salary reimbursement | If you apply for mileage reimbursement | If your project is over procurement threshold |
|---------------------------------|---------------------------------------|--|---|
| Check                           | Detailed Payroll Register             | Mileage Reimbursement Form             | Check   |
| Final Invoice                   |                                       |  | Invoice                                       |
| Title Application MVR1          |                                       |  | Procurement Agreement                         |
| Order Form                      |                                       |  |   |

# Signed Conflict of Interest Statements

## Instructions

- 1 Type the applicant's organization name.
- 2 Type date that the Board adopted this policy.
- 3 Enter any applicable local policy that addresses the following - *Grantees may set minimum rules when the financial interest is not substantial or the gift is an unsolicited item of nominal intrinsic value. To the extent permitted by state or local law or regulations, such standards of conduct will provide for penalties, sanctions, or other disciplinary action for violation of such standards by the grantee's officers, employees, or agents, or by contractors or their agents.*
- 4 Complete this form by printing the board member's name, typing the date of the board member's signature, and obtaining the board member's signature.
- 5 Upload the completed form to your Application Submission Workspace.

## Notes

- All TAB and/or Governing Board Members must sign an annual Conflict of Interest Form.
- Signed forms must be scanned and uploaded as part of the Pre-Application phase.
- Refer to Step 2 and Step 3 in Part 3 for instructions for document submission.

## Example

The image shows a sample form titled "UNIFIED GRANT APPLICATION" from the "INTEGRATED MOBILITY DIVISION". The form is for a "CONFLICT OF INTEREST POLICY". It includes numbered steps 1 through 5, corresponding to the instructions. Step 1 is the organization name, "County Area Transit System". Step 2 is the date, "12/15/2015". Step 3 is a highlighted box containing the text: "[Highlight this entire entry and enter any applicable local policy that addresses the following--Grantees may set minimum rules when the financial interest is not substantial, or the gift is an unsolicited item of nominal intrinsic value. To the extent permitted by state or local law or regulations, such standards of conduct will provide for penalties, sanctions, or other disciplinary action for violation of such standards by the grantee's officers, employees, or agents, or by contractors or their agents.]" Step 4 is the signature line, with "John Doe" printed and "12/15/2015" typed. The form ends with "Page 1 of 1".

**INTEGRATED MOBILITY DIVISION**

**UNIFIED GRANT APPLICATION**

**1 CONFLICT OF INTEREST POLICY**  
1 (Add County name here) County Area Transit System  
2 (Type Date that Board adopted policy) 12/15/2015

In accordance with Board policy and related legislation, no employee, officer, agent, immediate family member, or Board member of the agency shall participate in the selection, award, or administration of a contract supported by Federal and/or State funds if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when any of the following has a financial or other interest in the firm selected for award:

- The employee, officer, agent, or Board member,
- Any member of his/her immediate family,
- His or her partner, or
- An organization that employs, or is about to employ, any of the above.

The agency's officers, employees, agents, or Board members will neither solicit nor accept gifts, gratuities, favors, or anything of monetary value from contractors, potential contractors, or parties to sub-agreements.

**3** [Highlight this entire entry and enter any applicable local policy that addresses the following--Grantees may set minimum rules when the financial interest is not substantial, or the gift is an unsolicited item of nominal intrinsic value. To the extent permitted by state or local law or regulations, such standards of conduct will provide for penalties, sanctions, or other disciplinary action for violation of such standards by the grantee's officers, employees, or agents, or by contractors or their agents.]

The undersigned hereby acknowledges, understands, and agrees to abide by this policy.

**4** \_\_\_\_\_  
(Printed Name)  
\_\_\_\_\_  
(Signature)  
\_\_\_\_\_  
(Date)

Page 1 of 1

# Unique Entity ID (UEI) Annual Registration (Formerly DUNS)

## Instructions

- 1 Open a web browser to [www.SAM.gov](http://www.SAM.gov) and click "Search".
- 2 Sign in or create a login account to begin a search.
- 3 Enter your UEI (DUNS #) in the search box and hit enter.
- 4 Click your system's profile.
- 5 Download the verification PDF.
- 6 Save the PDF to your local drive.
- 7 Upload the PDF to your Application Submission Workspace.

## Resources & Links

- ▶ [Quick Start Guide for New SAM Registrations](#)
- ▶ [Quick Start Guide for Updating and Entity Registration](#)

## Notes

- UEI (Unique Entity Identified) is a 12 character value that the federal government primarily uses to identify entities and track how federal grant funds are allocated and spent.
- All applicants must verify their UEI (DUNS #) has been verified and is active. A copy of the verification is a document to be uploaded as part of the Pre-Application phase.
- Refer to Step 2 and Step 3 in Part 3 for instructions for document submission.

## Screenshots

The screenshots illustrate the process of finding and viewing entity information on SAM.GOV. Screenshot 1 shows the homepage with a search bar and navigation links. Screenshot 2 shows the search results page for the UEI 'TPF3F49RAK23', displaying details for the North Carolina Department of Transportation. Screenshot 3 shows the 'Entity Information' page for the same entity, including contact and mailing addresses.

**Screenshot 1: SAM.GOV Homepage**

- Navigation: Home, Search, Data Bank, Data Services, Help
- Search Bar: "All Words" dropdown, search input "e.g. 1600N0000000", search button
- Buttons: Search Results, Saved Searches, Actions

**Screenshot 2: Search Results**

- Showing 1 of 1 results
- Entity: NORTH CAROLINA DEPARTMENT OF TRANSPORTATION (Active Registration)
- Unique Entity ID: TPF3F49RAK23
- CAGE Code: SRBJ2
- Physical Address: 1 SOUTH WILMINGTON STREET, RALEIGH, NC 27601 USA
- Expiration Date: Apr 3, 2024
- Purpose of Registration: Federal Assistance Awards

**Screenshot 3: Entity Information**

- Entity Registration: Core Data, Business Information, Entity Types, Financial Information, Points of Contact, Assertions, Reqs and Certs, Exclusions, Responsibility / Qualification
- Entity Information: Unique Entity ID (TPF3F49RAK23), CAGE/NCAGE (SRBJ2), Expiration Date (Apr 3, 2024)
- Physical Address: 1 South Wilmington Street, Raleigh, North Carolina, 27601-1425, United States
- Mailing Address: 1500 Mail Service Center, Raleigh, North Carolina, 27699-1500, United States
- Purpose of Registration: Federal Assistance Awards Only

# Delegation of Authority Form

## Instructions

- 1 Complete the FY28 Pre-Application Materials Smartsheet form using this [link](#). Type the information of primary and alternative designees and check any authority that will be given to the primary designee.
- 2 Await emails with prepopulated PDF forms from your RGS for signature.
- 3 Confirm if all information in the PDF is correct and get the signature from an authorized official.
- 4 Upload the signed PDF to your Application Submission Workspace.

\* You only need to complete one combined Smartsheet form that includes information for Title VI Certification and Delegation of Authority Form.

## Notes

- Refer to Step 2 and Step 3 in Part 3 for instructions for document submission.

## Snapshot

**INTEGRATED MOBILITY DIVISION**

**UNIFIED GRANT APPLICATION**

**DELEGATION OF AUTHORITY**  
Date: \_\_\_\_\_

I \_\_\_\_\_ (Printed Name of Authorized Official)  
\_\_\_\_\_ (Title of Authorized Official)  
of \_\_\_\_\_ (Authorized Official's Agency)  
as the designated party for \_\_\_\_\_  
(Grant Recipient/Application Agency) with authority to submit funding applications and enter into contracts with the North Carolina Department of Transportation and execute all agreements and contracts with the NCDOT Integrated Mobility Division, hereby delegate authority to the individual(s) filling the positions as indicated below:

**Primary Designee: (Name and Primary Designee's Position Title)**  
(Primary Designee's Agency)

|                                   |                              |
|-----------------------------------|------------------------------|
| Reimbursement Requests:           | <input type="checkbox"/> YES |
| Budget Revisions:                 | <input type="checkbox"/> YES |
| Budget Amendments:                | <input type="checkbox"/> YES |
| Period of Performance Extensions: | <input type="checkbox"/> YES |
| Other                             | <input type="checkbox"/> YES |

**Alternate Designee #1: (Name and Primary Designee's Position Title)**  
(Primary Designee's Agency)

|                                   |                              |
|-----------------------------------|------------------------------|
| Reimbursement Requests:           | <input type="checkbox"/> YES |
| Budget Revisions:                 | <input type="checkbox"/> YES |
| Budget Amendments:                | <input type="checkbox"/> YES |
| Period of Performance Extensions: | <input type="checkbox"/> YES |
| Other                             | <input type="checkbox"/> YES |

**Alternate Designee #2: (Name and Primary Designee's Position Title)**  
(Primary Designee's Agency)

|                                   |                              |
|-----------------------------------|------------------------------|
| Reimbursement Requests:           | <input type="checkbox"/> YES |
| Budget Revisions:                 | <input type="checkbox"/> YES |
| Budget Amendments:                | <input type="checkbox"/> YES |
| Period of Performance Extensions: | <input type="checkbox"/> YES |
| Other                             | <input type="checkbox"/> YES |

Signature of Authorized Official \_\_\_\_\_

## EEO Update

The Federal Transit Laws, 49 U.S.C. 5332(b), provide that “no person in the United States shall on the grounds of race, color, religion, national origin, sex, or age be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any project, program or activity funded in whole or in part through financial assistance under this Act.” This applies to employment and business opportunities and is considered to be in addition to the provisions of Title VI of the Civil Rights Act of 1964.

Per Executive Order 11246 of September 24, 1965 (Equal Employment Opportunity), is hereby revoked.

# Local Share Certification Form


## Instructions

- 1 Type the legal name of an applicant.
- 2 Type the total amount of funding requested for the fiscal year and the local share match amount. Fill in the blanks in the "Project" row for additional funding programs if needed.
- 3 Type sources of local funds, project (grant) name that each source is to be used for, and the amount of local share funds to be certified for this purpose.
- 4 Type the name and the title of authorized official.
- 5 Obtain the authorized official's signature and type the date of their signature to complete this form and prepare it for submittal.
- 6 Upload the completed form to your Application Submission Workspace.

## Notes

- The Legal Applicant must certify to the North Carolina Department of Transportation that the required local funds for the FY28 Community Transportation Program will be available as of July 1, 2027 for FY28, which has a period of performance of July 1, 2027 – June 30, 2028.
- Signed forms must be scanned and uploaded as part of the Application phase.
- Refer to Step 5 through Step 7 in Part 3 for instructions for document submission.

## Snapshot



**INTEGRATED  
MOBILITY  
DIVISION**

UNIFIED GRANT APPLICATION

**LOCAL SHARE CERTIFICATION FOR FUNDING**

**1** \_\_\_\_\_  
(Legal Name of Applicant)

**Requested Funding Amounts**

|                                  | Total Amount                  | Local Share**            |
|----------------------------------|-------------------------------|--------------------------|
| <b>2</b> Project                 |                               |                          |
| 5311 Administrative              | \$ _____                      | \$ _____ (15%)           |
| 5311 Operating (No State Match)  | \$ _____                      | \$ _____ (50%)           |
| 5310 Operating (No State Match)  | \$ _____                      | \$ _____ (50%)           |
| Combined Capital                 | \$ _____                      | \$ _____ (10%)           |
| Mobility Management              | \$ _____                      | \$ _____ (50%)           |
| 5310 Capital Purchase of Service | \$ _____                      | \$ _____ (10%)           |
| ConCPT                           | \$ _____                      | \$ _____ (50%)           |
| Capital Cost of Contracting      | \$ _____                      | \$ _____ (%)             |
| Traveler's Aid                   | \$ _____                      | \$ _____ (50%)           |
| _____                            | \$ _____                      | \$ _____ (%)             |
| _____                            | \$ _____                      | \$ _____ (%)             |
| _____                            | \$ _____                      | \$ _____ (%)             |
| <b>TOTAL</b>                     | <b>\$ _____</b>               | <b>\$ _____</b>          |
|                                  | <b>Total Funding Requests</b> | <b>Total Local Share</b> |

Funding programs covered are 5311, 5310, 5339 Bus and Bus Facilities, 5307 (Small fixed route, regional, and consolidated urban-rural systems)

**\*\*NOTE:** Applicants should be prepared for the entire Local Share amount in the event State funding is not available.

The Local Share is available from the following sources:

| Source of Funds | Apply to Grant | Amount   |
|-----------------|----------------|----------|
| <b>3</b> _____  | _____          | \$ _____ |
| _____           | _____          | \$ _____ |
| _____           | _____          | \$ _____ |
| _____           | _____          | \$ _____ |
| _____           | _____          | \$ _____ |
| _____           | _____          | \$ _____ |

Page 1 of 2

\* Click 'the link to the form' to see the all pages of this form.

# Program Resolution

## Instructions

- 1 Type applicable information in the blank boxes. (Look to the italicized note preceding the gray blank boxes for a prompt for the appropriate information needed).
- 2 Print this form and obtain a notarized signature from the authorized official.
- 3 Ensure that the notary affixes their seal in the appropriate location.
- 4 Upload the completed form to your Application Submission Workspace.

## Notes

- Verify that the certifying official has signed the resolution and the signature has been notarized.
- Signed forms must be scanned and uploaded as part of the Application phase. You can lightly shade over a seal with a pencil so the seal is more easily read on a scanner on a computer screen.
- Refer to Step 4 through Step 7 in Part 3 for instructions for document submission.

## Snapshot

**INTEGRATED MOBILITY DIVISION**

**UNIFIED GRANT APPLICATION**

requirements related to the applications made to and grants received from the Federal Transit Administration, as well as the provisions of Section 1001 of Title 18, U. S. C.

WHEREAS, the applicant has or will provide all annual certifications and assurances to the State of North Carolina required for the project; **1**

NOW, THEREFORE, be it resolved that the (Authorized Official's Title)\* of (Name of Applicant's Governing Body) is hereby authorized to submit grant application (s) for federal and state funding in response to NCDOT's calls for projects, make the necessary assurances and certifications and be empowered to enter into an agreement with the NCDOT to provide rural, small urban, and urban public transportation services.

I (Certifying Official's Name)\* \_\_\_\_\_ (Certifying Official's Title) \_\_\_\_\_ do hereby certify that the above is a true and correct copy of an excerpt from the minutes of a meeting of the (Name of Applicant's Governing Board) \_\_\_\_\_ duly held on the \_\_\_\_\_ day of \_\_\_\_\_.

**2** \_\_\_\_\_  
Signature of Certifying Official

*\*Note that the authorized official, certifying official, and notary public should be three separate individuals.*

Seal Subscribed and sworn to me (date) \_\_\_\_\_ **3**

Notary Public\* \_\_\_\_\_

Printed Name and Address \_\_\_\_\_

My commission expires (date) \_\_\_\_\_

Affix Notary Seal Here

Page 2 of 2

\* Note the snapshot above shows the second page of the form.

# Public Hearing Minutes

## ***Instructions***

To support the combined program Resolution for FY28, a copy of the minutes from your public hearing, if locally required, reflecting the grant funds applied for must be uploaded into Application Submission Workspace as a supporting document.

While you may submit draft (unapproved) meeting minutes as part of and so as not to delay the submittal of your application, the final board-approved minutes must be uploaded as soon as possible but no later than January 15, 2027.

## ***Notes***

- A copy of the board approved minutes must be submitted to support the Transportation Program Resolution. The approved minutes should include documentation of any applicable public comments made as part of the official record.
- Refer to Step 4 through Step 7 in Part 3 for instructions for document submission.

# Public Hearing Record

## Instructions

- 1 Fill in the blank boxes.
- 2 Check one of the check boxes.
- 3 Type the legal name of an applicant.
- 4 Check one of the check boxes.
- 5 Print and this form and obtain appropriate signatures.
- 6 Upload the completed form to your Application Submission Workspace.

## Notes

- Conduct of the public hearings should include addressing each proposed grant application funding request individually. The minutes should reflect the opening of each public hearing, statement of public comments, and the closing of each public hearing individually for each grant application funding request. During each hearing the public should explicitly be asked if they wish to comment on the proposed funding. The public hearings, if locally required, must be held before the governing board.
- Signed forms must be scanned and uploaded as part of the Application phase. You can lightly shade over a seal with a pencil so the seal is more easily read on a scanner on a computer screen.
- Refer to Step 4 through Step 7 in Part 3 for instructions for document submission.

## Snapshot

The image shows a digital form titled "PUBLIC HEARING RECORD" from the "INTEGRATED MOBILITY DIVISION" and "UNIFIED GRANT APPLICATION". The form includes an "Important" note about state and federal regulations, a section for "Section 5311" funding, and fields for "APPLICANT:", "DATE:", "PLACE:", and "TIME:". It also has checkboxes for "BOARD MEMBERS" and "PUBLIC" attendance, and "Public Attendance Surveys" with options for "(Attached)" and "(Offered at Public Hearing but none completed)". A certification statement follows, and a "During the Public Hearing" section with checkboxes for "(NO public comments)" and "(Public Comments were made and meeting minutes will be submitted after board approval)". There are fields for "The estimated date for board approval of meeting minutes is:", "Signature or Clerk to the Board", "Printed Name and Title", and "Date". A large box on the right is labeled "Affix Seal Here". At the bottom, it says "PUBLIC HEARING RECORD Last Updated: 03/05/2026".

# Public Hearing Notice

## Instructions

- 1 Fill in the blank boxes.
- 2 Type the total amount of funding requested for the fiscal year and the local share match amount. Fill in the blanks in the "Project" row for additional funding programs if needed.
- 3 Upload the completed form to your Application Submission Workspace.


## Resources & Links

- ▶ [Public Hearing Notice in Spanish and/or Other Languages Required](#)

## Notes

- It is recommended that the Public Hearing Notice provide a minimum of seven (7) calendar days' notice and a maximum of fourteen (14) calendar days' notice between the time that the Public Hearing Notice is published in the newspaper and the actual public hearing date. A copy of the digital or printed notice must be provided, along with the affidavit from the newspaper.
- A public notice will also be published in Spanish and/or other languages in counties that have 1,000 people or 5% of their population that speaks Spanish and/or other languages at home, but speaks English less than well (See Resources & Links above).
- Refer to Step 4 through Step 7 in Part 3 for instructions for document submission.
- While public hearing is not required by the state or federal regulations, it can be conducted if required locally.

## Snapshot



INTEGRATED  
MOBILITY  
DIVISION

UNIFIED GRANT APPLICATION

### PUBLIC HEARING NOTICE

**Important** – While the state or federal regulations do not require a public hearing, it can be conducted if required locally.

**Section 5311 (ADTAP), 5310, 5339, 5307 and applicable State funding, or combination thereof.**

This is to inform the public that a public hearing will be held on the proposed \_\_\_\_\_ Community Transportation Program Application to be submitted to the North Carolina Department of Transportation no later than \_\_\_\_\_. The public hearing will be held on \_\_\_\_\_ at \_\_\_\_\_ before the (governing board) \_\_\_\_\_.

Those interested in attending the public hearing and needing either auxiliary aids and services under the Americans with Disabilities Act (ADA) or a language translator should contact \_\_\_\_\_ on or before \_\_\_\_\_ at telephone number \_\_\_\_\_ or via email at \_\_\_\_\_.

The Community Transportation Program provides assistance to coordinate existing transportation programs operating in \_\_\_\_\_ as well as provides transportation options and services for the communities within this service area. These services are currently provided using \_\_\_\_\_. Services are rendered by \_\_\_\_\_.

The total estimated amount requested for the period July 1, 2027 through June 30, 2028.

**NOTE: Local share amount is subject to State funding availability.**

| Project                          | Total Amount | Local Share    |
|----------------------------------|--------------|----------------|
| 5311 Administrative              | \$ _____     | \$ _____ (15%) |
| 5311 Operating (No State Match)  | \$ _____     | \$ _____ (50%) |
| 5310 Operating (No State Match)  | \$ _____     | \$ _____ (50%) |
| Combined Capital                 | \$ _____     | \$ _____ (10%) |
| Mobility Management              | \$ _____     | \$ _____ (10%) |
| 5310 Capital Purchase of Service | \$ _____     | \$ _____ (10%) |
| ConCET                           | \$ _____     | \$ _____ (50%) |
| Capital Cost of Contracting      | \$ _____     | \$ _____ (%)   |
| Travelers' Aid                   | \$ _____     | \$ _____ (50%) |
| _____                            | \$ _____     | \$ _____ (%)   |
| _____                            | \$ _____     | \$ _____ (%)   |
| _____                            | \$ _____     | \$ _____ (%)   |

Funding programs covered are 5311, 5310, 5339 Bus and Bus Facilities, 5307 (Small fixed route, regional, and consolidated urban-rural systems)

| TOTAL | \$ _____                      | \$ _____                 |
|-------|-------------------------------|--------------------------|
|       | <b>Total Funding Requests</b> | <b>Total Local Share</b> |

This application may be inspected at \_\_\_\_\_ from \_\_\_\_\_. Written comments should be directed to \_\_\_\_\_ before \_\_\_\_\_.  
End of Notice

PUBLIC HEARING NOTICE  
Last Updated: 3/5/2026

# Title VI Certification

## Instructions

- 1 Complete the FY28 Pre-Application Materials Smartsheet form using this [link](#).
- 2 Await emails with prepopulated PDF forms from your RGS for signature.
- 3 Confirm if all information in the PDF is correct and get the signature from an authorized official.
- 4 Upload the signed PDF to your Application Submission Workspace.


\* You only need to complete one combined Smartsheet form that includes information for Title VI Certification and Delegation of Authority Form.

## Notes

- Only complete and sign either Part A or Part B. Submission will be returned if both are completed.
- All Recipients of FTA and State funds must comply with Title VI of the 1964 Civil Rights Act, Section 601. Title VI states that “No person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.”
- Additional federal information may be found in FTA Circular 4702.1B “Title VI Requirements and Guidelines for Federal Transit Administration Recipients,” dated October 1, 2012 at: [http://www.fta.dot.gov/documents/FTA\\_Title\\_VI\\_FINAL.pdf](http://www.fta.dot.gov/documents/FTA_Title_VI_FINAL.pdf)

## Snapshot

UNIFIED GRANT APPLICATION



INTEGRATED  
MOBILITY  
DIVISION

**TITLE VI PROGRAM REPORT**  
SECTION 5311, 5310, 5339, Combined Capital, 5307 or State Funds Call for Projects

(Complete and sign either Part A or B, whichever is applicable; and Part C)

**Part A – No complaints or Lawsuits Filed**  
I certify that to the best of my knowledge, **No complaints or lawsuits** alleging discrimination have been filed against \_\_\_\_\_ during the period July 1, 2023 through June 30, 2024. (Legal Name of Transit Agency or Organization)

Printed Name of Authorized Official \_\_\_\_\_ Title of Authorized Official \_\_\_\_\_  
Signature of Authorized Official \_\_\_\_\_ Date \_\_\_\_\_

**Part B – Complaints or Lawsuits Filed**  
I certify that to the best of my knowledge, the below described complaints or lawsuits alleging discrimination have been filed against \_\_\_\_\_ during the period July 1, 2023 through June 30, 2024. (Legal Name of Transit Agency or Organization)

| Complainant Name/Address/Telephone Number               | Date | Description | Status/Outcome |
|---|------|-------------|----------------|
| <small>(Attach an additional page if required.)</small> |      |             |                |
|   |      |             |                |
|   |      |             |                |

Printed Name of Authorized Official \_\_\_\_\_ Title of Authorized Official \_\_\_\_\_  
Signature of Authorized Official \_\_\_\_\_ Date \_\_\_\_\_

Part C - Title VI Plan  
Do you currently have a Title VI Plan: \_\_\_\_\_ Date of last plan update: \_\_\_\_\_

# Public Hearing Notice in Spanish and/ or Other Languages Required (1/5)

## Instructions

In accordance with the DOT LEP Guidance, 70 FR 74087, (December 14, 2005), a public notice will also be published in Spanish and/or other languages in counties that have 1,000 people or 5% of their population that speaks Spanish and/or other languages at home, but speaks English less than well. See below list of counties that may publish a public hearing notice in English and/or other languages.

| Counties         | Spanish LEP | Other Indo-Euro LEP* | Asian/Pacific LEP* | Other LEP* |
|------------------|-------------|----------------------|--------------------|------------|
| Alamance County  | X           |                      |                    |            |
| Alexander County |             |                      |                    |            |
| Alleghany County |             |                      |                    |            |
| Anson County     |             |                      |                    |            |
| Ashe County      |             |                      |                    |            |
| Avery County     |             |                      |                    |            |
| Beaufort County  |             |                      |                    |            |
| Bertie County    |             |                      |                    |            |
| Bladen County    |             |                      |                    |            |
| Brunswick County | X           |                      |                    |            |
| Buncombe County  | X           | X                    |                    |            |
| Burke County     | X           |                      |                    |            |
| Cabarrus County  | X           | X                    | X                  |            |
| Caldwell County  | X           |                      |                    |            |
| Camden County    |             |                      |                    |            |
| Carteret County  |             |                      |                    |            |
| Caswell County   |             |                      |                    |            |

\* Local research is needed to determine which specific languages are spoken by these communities. Please refer to [LEP.gov](http://LEP.gov) for guidance on identifying specific languages spoken by LEP groups and ensuring meaningful access.

## Public Hearing Notice in Spanish and/ or Other Languages Required (2/5)

| Counties          | Spanish LEP | Other Indo-Euro LEP* | Asian/Pacific LEP* | Other LEP* |
|-------------------|-------------|----------------------|--------------------|------------|
| Catawba County    | X           |                      | X                  |            |
| Chatham County    | X           |                      |                    |            |
| Cherokee County   |             |                      |                    |            |
| Chowan County     |             |                      |                    |            |
| Clay County       |             |                      |                    |            |
| Cleveland County  | X           |                      |                    |            |
| Columbus County   |             |                      |                    |            |
| Craven County     | X           |                      |                    |            |
| Cumberland County | X           | X                    | X                  |            |
| Currituck County  |             |                      |                    |            |
| Dare County       |             |                      |                    |            |
| Davidson County   | X           |                      |                    |            |
| Davie County      |             |                      |                    |            |
| Duplin County     | X           |                      |                    |            |
| Durham County     | X           |                      | X                  | X          |
| Edgecombe County  |             |                      |                    |            |
| Forsyth County    | X           | X                    | X                  |            |
| Franklin County   | X           |                      |                    |            |
| Gaston County     | X           |                      |                    |            |
| Gates County      |             |                      |                    |            |
| Graham County     |             |                      |                    |            |

\* Local research is needed to determine which specific languages are spoken by these communities. Please refer to LEP.gov for guidance on identifying specific languages spoken by LEP groups and ensuring meaningful access.

## Public Hearing Notice in Spanish and/ or Other Languages Required (3/5)

| Counties         | Spanish LEP | Other Indo-Euro LEP* | Asian/Pacific LEP* | Other LEP* |
|------------------|-------------|----------------------|--------------------|------------|
| Granville County | X           |                      |                    |            |
| Greene County    | X           |                      |                    |            |
| Guilford County  | X           | X                    | X                  | X          |
| Halifax County   |             |                      |                    |            |
| Harnett County   | X           |                      |                    |            |
| Haywood County   |             |                      |                    |            |
| Henderson County | X           |                      |                    |            |
| Hertford County  |             |                      |                    |            |
| Hoke County      | X           |                      |                    |            |
| Hyde County      |             |                      |                    |            |
| Iredell County   | X           |                      | X                  |            |
| Jackson County   |             |                      |                    |            |
| Johnston County  | X           |                      |                    |            |
| Jones County     |             |                      |                    |            |
| Lee County       | X           |                      |                    |            |
| Lenoir County    | X           |                      |                    |            |
| Lincoln County   | X           |                      |                    |            |
| McDowell County  |             |                      |                    |            |
| Macon County     |             |                      |                    |            |
| Madison County   |             |                      |                    |            |
| Martin County    |             |                      |                    |            |

\* Local research is needed to determine which specific languages are spoken by these communities. Please refer to LEP.gov for guidance on identifying specific languages spoken by LEP groups and ensuring meaningful access.

# Public Hearing Notice in Spanish and/ or Other Languages Required (4/5)

| Counties           | Spanish LEP | Other Indo-Euro LEP* | Asian/Pacific LEP* | Other LEP* |
|--------------------|-------------|----------------------|--------------------|------------|
| Mecklenburg County | X           | X                    | X                  | X          |
| Mitchell County    |             |                      |                    |            |
| Montgomery County  |             |                      |                    |            |
| Moore County       | X           |                      |                    |            |
| Nash County        | X           |                      |                    |            |
| New Hanover County | X           | X                    |                    |            |
| Northampton County |             |                      |                    |            |
| Onslow County      | X           |                      | X                  |            |
| Orange County      | X           |                      | X                  |            |
| Pamlico County     |             |                      |                    |            |
| Pasquotank County  |             |                      |                    |            |
| Pender County      | X           |                      |                    |            |
| Perquimans County  |             |                      |                    |            |
| Person County      |             |                      |                    |            |
| Pitt County        | X           |                      |                    |            |
| Polk County        |             |                      |                    |            |
| Randolph County    | X           |                      |                    |            |
| Richmond County    | X           |                      |                    |            |
| Robeson County     | X           |                      |                    |            |
| Rockingham County  | X           |                      |                    |            |
| Rowan County       | X           |                      |                    |            |

**\* Local research is needed to determine which specific languages are spoken by these communities. Please refer to LEP.gov for guidance on identifying specific languages spoken by LEP groups and ensuring meaningful access.**

## Public Hearing Notice in Spanish and/ or Other Languages Required (5/5)

| Counties            | Spanish LEP | Other Indo-Euro LEP* | Asian/Pacific LEP* | Other LEP* |
|---------------------|-------------|----------------------|--------------------|------------|
| Rutherford County   |             |                      |                    |            |
| Sampson County      | X           |                      |                    |            |
| Scotland County     |             |                      |                    |            |
| Stanly County       | X           |                      |                    |            |
| Stokes County       |             |                      |                    |            |
| Surry County        | X           |                      |                    |            |
| Swain County        |             |                      |                    |            |
| Transylvania County |             |                      |                    |            |
| Tyrrell County      |             |                      |                    |            |
| Union County        | X           | X                    | X                  |            |
| Vance County        |             |                      |                    |            |
| Wake County         | X           | X                    | X                  | X          |
| Warren County       |             |                      |                    |            |
| Washington County   |             |                      |                    |            |
| Watauga County      |             |                      |                    |            |
| Wayne County        | X           |                      |                    |            |
| Wilkes County       |             |                      |                    |            |
| Wilson County       | X           |                      |                    |            |
| Yadkin County       | X           |                      |                    |            |
| Yancey County       |             |                      |                    |            |

Source: US Census Bureau, American Community Survey 5-year Estimates (2019-2023), Table B16004, "Age by Language Spoken at Home by Ability to Speak English for the Population 5 Years and Over."

**\* Local research is needed to determine which specific languages are spoken by these communities. Please refer to LEP.gov for guidance on identifying specific languages spoken by LEP groups and ensuring meaningful access.**

# Public Hearing Outreach

## Instructions

Outreach efforts beyond holding a public hearing must be conducted to inform the public including minorities, women, elderly, disabled, Limited English Proficiency (LEP), low income individuals, and persons who are not human service agency clients, about the availability of public transportation funds and to discuss transportation service needs. These efforts should include, but are not limited to surveys, presentations to groups, committees, fliers and/or posters. The intent is to remove barriers and conditions that prevent these groups from receiving access, participation and benefits of the funded services.

Services and benefits must be distributed in a non-discriminatory manner. Title VI of the Civil Rights Act of 1964 applies. This form should include a detailed description of public hearing outreach efforts by the applicant to inform the public (including minority, women, elderly, disabled, limited English proficiency (LEP) and low income individuals) about the public hearing to comment on the application.

## Notes

- Refer to Step 4 through Step 7 in Part 3 for instructions for document submission.

## Snapshot

The image shows a snapshot of a form titled "PUBLIC HEARING OUTREACH" under the heading "UNIFIED GRANT APPLICATION". The form is from the "INTEGRATED MOBILITY DIVISION". It includes a section for "APPLICANT:" followed by a gray box. Below this is a paragraph of instructions: "Provide a detailed description of public hearing outreach efforts by the applicant to inform the public **ESPECIALLY MINORITY, WOMEN, ELDERLY, DISABLED, LIMITED ENGLISH PROFICIENCY- (LEP) AND LOW INCOME INDIVIDUALS** about the scheduled public hearing and the opportunity to comment on the proposed Community Transportation grant application. Outreach may include efforts such as distribution of information on vehicles, at human service agencies, at local community events, at public events, local organization, website, fliers distributed to nutrition site, riders, library etc." Below the instructions is a line with the text "Click on gray box and begin typing the detailed description." followed by five numbered gray boxes (1-5) for providing examples. At the bottom, there is a note: "\*Please attach evidence of each example to the Public Hearing Outreach Form." and a footer: "PUBLIC HEARING OUTREACH Last Updated: 3/5/2026".

# Public Hearing Affidavit

## Instructions

- 1 Fill in the blank areas.
- 2 Print the form and attach a Public Hearing Notice published in a newspaper or copy from online post.
- 3 Obtain appropriate notarized signatures.
- 4 Upload the completed form to your Application Submission Workspace.

## Notes

- An Affidavit of Publication is provided by the newspaper staff.
- If the notice was published in English and other languages, there should be an affidavit(s) that all notices were published.

## Example

**AFFIDAVIT OF PUBLICATION**

STATE OF NORTH CAROLINA

1 \_\_\_\_\_ (organization name)  
\_\_\_\_\_ (legal name and title of newspaper staff) being duly sworn,  
says that at the time the attached notice was published in the \_\_\_\_\_,  
said newspaper met all of the requirements and qualifications prescribed by North  
Carolina General Statute 1-597; that said newspaper had a general circulation to actual  
paid subscribers; and was admitted to the United States mail as second class matter in  
\_\_\_\_\_ (organization name); and further, that the attached notice  
was published in the \_\_\_\_\_ on  
\_\_\_\_\_ (date)  
\_\_\_\_\_ (signature of newspaper staff)

Sworn to and subscribed before me this the \_\_\_\_ (date) day of  
\_\_\_\_\_ (date)

3 \_\_\_\_\_ (signature of notary public)

NOTARY PUBLIC

2

Public Hearing Notice Published on a Newspaper

# Certifications and Assurances

## ***Instructions***

In accordance with 49 U.S.C. 5323(n), Certifications and Assurances have been compiled for the North Carolina Community Transportation Program. NCDOT requires subrecipients to certify to all applicable categories.

Certifications and Assurances documents are received from the FTA. All State and Federal certification documents will be distributed as a package upon receipt of federal documents.

- **Compliance Checklist** (check boxes): Applicants can choose all the check boxes that apply to them or check the single check box at the top of the page. Bus testing should be checked if buses will be procured locally, not off state contract.
- **Affirmation of Applicant** (signature page), legal name of applicant, not the transit system's name, has to be entered and must be signed and dated by Authorized Official. This form must be notarized and include the notary's seal.
- **Attorney Affirmation** (signature page), legal name of applicant, not the transit system's name, has to be entered; must be signed by legal counsel of applicant. This form must be notarized and include the notary's seal.
- **Certification and Restrictions on Lobbying** (signature page) printed name of Authorized Official and legal name of applicant, not the transit system's name; must be signed by the Authorized Official. This form must be notarized and include the notary's seal.
- **Certification of Equivalent Service** (signature page) is required of all applicants that plan to procure inaccessible vehicles or have inaccessible vehicles in their fleet. Legal name of applicant, not the transit system's name, has to be entered and must be signed by the Authorized Official. This form must be notarized and include the notary's seal. Note: New FTA standard, the Certification of Equivalent Service must be completed for the lifetime of the vehicle.

## ***Notes***

- The entire federal Certifications and Assurances document, not just the pages with check boxes or requiring signatures, must be scanned in to one file and attached.
- Refer to Step 8 in Part 3.3 for instructions for document submission.

# TAB Composition List


## Instructions

- 1 Choose or type an applicant name from the dropdown list.
- 2 Type the number of projected TAB meetings and TAB meetings held as of today.
- 3 List all TAB members in the table. Use the second tab if your organization has more than 30 TAB members.
- 4 Verify if the five categories are represented on the TAB based on the service area demographics provided.
- 5 Upload the completed form to your Application Submission Workspace.

## Notes

- Verify and compare TAB Composition matrix to the signed Conflict of Interest forms to ensure all members are listed on the TAB Composition matrix.
- Refer to Step 2 and Step 3 in Part 3 for instructions for document submission.

## Snapshot



**UNIFIED GRANT APPLICATION**

5311 Transit Advisory Board (TAB)/Governing Board Composition

1 Applicant:

Number of Projected TAB Meetings for FY26:  2

Number of TAB Meetings held in FY25 as of:

| Service Area Demographics |                          |                          |                          |                          |
|---------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Elderly                   | Minority                 | Disabled                 | Low Income               | Hispanic or Latino       |
| <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

2000 Census data used for Disabled Calculations  
 2005-2009 ACS Estimates used for Elderly & Low Income Calculations  
 2010 Census data used for Minority & Origin Calculations

| TAB Member's Name | What best describes the role or position of this board member in the community? |                         |          |                        |              | This person knows the transportation needs of this group or groups. |                          |                          |                             |                          | Board Service       |                |                       | Conflict of Interest Form |           |     |
|-------------------|---|-------------------------|----------|------------------------|--------------|---|--------------------------|--------------------------|-----------------------------|--------------------------|---------------------|----------------|-----------------------|---------------------------|-----------|-----|
|                   | Select only one description per board member                                    |                         |          |                        |              | Check all that apply  |                          |                          |                             |                          | Current Term Status |                |                       | Submission                |           |     |
|                   | Human Service or Non-Profit Agency  | Transportation Provider | Business | Gvmt or Gvmt Affiliate | Transit User | General Public  | Elderly                  | Disabled                 | Minority or Limited English | Low Income               | Year Term Began     | Year Term Ends | Appointed or Selected | # Years Served            | Applicant | MMD |
| 1                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 2                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 3                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 4                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 5                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 6                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 7                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 8                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 9                 |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 10                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 11                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 12                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 13                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 14                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 15                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 16                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 17                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 18                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 19                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 20                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 21                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 22                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 23                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 24                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 25                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 26                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 27                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 28                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 29                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |
| 30                |   |                         |          |                        |              | <input type="checkbox"/>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |                     |                |                       |                           |           |     |

# EBS Application Template - Admin

## (1/4)

### Snapshots

Enterprise Business Services Application Details 1000020314

Budget Summary

| General Information            |                      | Contact Information |                      |
|--------------------------------|----------------------|---------------------|----------------------|
| Legal Name:                    | <input type="text"/> | Contact Person:     | <input type="text"/> |
| Address:                       | <input type="text"/> | Telephone:          | <input type="text"/> |
| County:                        | <input type="text"/> | Fax:                | <input type="text"/> |
| Congressional District:        | <input type="text"/> | Email:              | <input type="text"/> |
| Period of Performance (from):  | <input type="text"/> | Website:            | <input type="text"/> |
| Period of Performance (to):    | <input type="text"/> | Federal ID Number:  | <input type="text"/> |
| Federal Billable/Non-Billable: | <input type="text"/> | DUNS Number:        | <input type="text"/> |
|                                |                      | CFDA:               | <input type="text"/> |
|                                |                      | Project Number:     | <input type="text"/> |

#### Salaries and Wages (G120)

| Code | Description                                    | Total Cost | NCDOT Cost |
|------|--|------------|------------|
| G121 | Full-time Employees                            | 92,088.00  | 92,088.00  |
| G122 | Overtime                                       | 0.00       | 0.00       |
| G125 | Part-time (Receives Benefits)                  | 0.00       | 0.00       |
| G126 | Temporary and Part-time (Receives no Benefits) | 0.00       | 0.00       |
| G127 | Longevity                                      | 0.00       | 0.00       |

#### Fringe Benefits (G180)

| Code | Description  | Total Salaries | %    | Cost Per Month | # Of Employees | No Of Months | Total Cost | NCDOT Cost |
|------|--|----------------|------|----------------|----------------|--------------|------------|------------|
| G181 | Social Security Contribution (7.65% of Total Salaries)                                     |                |      |                |                |              | 7,045.00   | 7,045.00   |
| G182 | Retirement Contribution (Total Salaries X Participating Percentage)                        | 92,088.00      | 7.50 |                |                |              | 6,907.00   | 6,907.00   |
| G183 | Hospitalization Insurance (Cost per Month X No. of Months X No. of Employees) / Describe - |                |      | 840.00         | 1.60           | 12           | 16,128.00  | 16,128.00  |
| G184 | Disability Insurance (Cost per Month X No. of Months X No. Of Employees)                   |                |      | 0.00           | 0.00           | 0            | 0.00       | 0.00       |

Continues on next page

#### Professional Services (G190)

| Code | Description                     | No Of Employees | Total Cost | NCDOT Cost |
|------|---------------------------------|-----------------|------------|------------|
| G191 | Accounting                      |                 | 0.00       | 0.00       |
| G192 | Legal                           |                 | 0.00       | 0.00       |
| G195 | Management Consultant           |                 | 0.00       | 0.00       |
| G196 | Drug & Alcohol Testing Contract |                 | 0.00       | 0.00       |

#### Supplies and Materials (G200)

| Code | Description                          | Total Cost | NCDOT Cost |
|------|--------------------------------------|------------|------------|
| G211 | Janitorial Supplies - (Housekeeping) | 0.00       | 0.00       |
| G212 | Uniforms                             | 1,000.00   | 1,000.00   |
| G261 | Office Supplies and Materials        | 0.00       | 0.00       |
| G281 | Air Conditioner / Furnace Filters    | 0.00       | 0.00       |

#### Travel and Transportation (other than employee development) (G300)

| Code | Description   | Total Cost | NCDOT Cost |
|------|---|------------|------------|
| G311 | Travel: Anticipated trips -   | 0.00       | 0.00       |
| G312 | Travel Subsistence  | 0.00       | 0.00       |
| G314 | Travel - Motor-pool or Leased Vehicles (Does NOT include vehicles used in the provision of contracted transportation services.) | 0.00       | 0.00       |

#### Communications (G320)

| Code | Description            | Total Cost | NCDOT Cost |
|------|------------------------|------------|------------|
| G321 | Telephone Service      | 1,306.00   | 1,306.00   |
| G322 | Internet Service Fee   | 1,300.00   | 1,300.00   |
| G323 | Combined Service Fee   | 0.00       | 0.00       |
| G325 | Postage                | 0.00       | 0.00       |
| G329 | Other Communications - | 0.00       | 0.00       |

#### Utilities (G330)

| Code | Description | Total Cost | NCDOT Cost |
|------|-------------|------------|------------|
| G331 | Electricity | 0.00       | 0.00       |
| G332 | Fuel Oil    | 0.00       | 0.00       |
| G333 | Natural Gas | 0.00       | 0.00       |
| G334 | Water       | 0.00       | 0.00       |

# EBS Application Template - Admin

## (2/4)

### Snapshots (continued)

#### Repairs and Maintenance (G350)

| Code | Description                   | Total Cost | NCDOT Cost |
|------|-------------------------------|------------|------------|
| G355 | Office and Computer Equipment | 0.00       | 0.00       |
| G357 | Communications Equipment      | 0.00       | 0.00       |
| G359 | Other -                       | 0.00       | 0.00       |

#### Advertising/Promotion (G370)

| Code | Description   | Maximum/Minimum Amount | Total Cost | NCDOT Cost |
|------|---|------------------------|------------|------------|
| G371 | Marketing (Paid Ads, Marketing Firm, etc.)                  |                        | 4,500.00   | 4,500.00   |
| G372 | Promotional Items (Maximum Amount - (% of G371 Total Cost)) | 1,125.00               | 0.00       | 0.00       |
| G373 | Other -   |                        | 0.00       | 0.00       |

#### Other Services (G390)

| Code | Description  | Total Cost | NCDOT Cost |
|------|--|------------|------------|
| G391 | Legal Advertising  | 0.00       | 0.00       |
| G392 | Laundry and Dry Cleaning   | 0.00       | 0.00       |
| G393 | Temporary Help services  | 0.00       | 0.00       |
| G394 | Cleaning Services  | 3,255.00   | 3,255.00   |
| G395 | Training - Employee Education Expense                                | 1,000.00   | 1,000.00   |
| G396 | Management services (Contracted Transit System Manag/Admin Services) | 0.00       | 0.00       |

#### Service and Maintenance Contracts (G440)

| Code | Description              | Total Cost | NCDOT Cost |
|------|--------------------------|------------|------------|
| G441 | Communications Equipment | 0.00       | 0.00       |
| G442 | Office Equipment         | 0.00       | 0.00       |
| G443 | Reproduction Equipment   | 0.00       | 0.00       |
| G445 | Computer Equipment       | 0.00       | 0.00       |
| G449 | Other -                  | 0.00       | 0.00       |

#### Indirect Costs (G480) (Prior approval of Indirect Cost Percentage Rate required. Questions should be directed to NCDOT Financial Management)

| Code | Description   | Direct Cost | Percentage | Maximum Amount | Total Cost | NCDOT Cost |
|------|---|-------------|------------|----------------|------------|------------|
| G481 | Central Services: (Budget Direct Cost Base) X (Percentage rate) | 123,133.00  | 20.00      | 24,626.60      | 24,626.00  | 24,626.00  |

#### Other Fixed Charges (G490)

| Code | Description                | Total Cost | NCDOT Cost |
|------|----------------------------|------------|------------|
| G491 | Dues and Subscriptions - I | 0.00       | 0.00       |
| G499 | Other -                    | 0.00       | 0.00       |

#### Summary

##### Summary Information

| Description             | Total Cost | NCDOT Cost |
|-------------------------|------------|------------|
| Total Salaries          | 92,088.00  | 92,088.00  |
| Total Fringe            | 31,047.00  | 31,047.00  |
| Total Salary and Fringe | 123,135.00 | 123,135.00 |
| Total Contra Accounts   | 0.00       | 0.00       |
| Total Fare Revenues     | 0.00       | 0.00       |

#### Cash Flow

\*Please enter anticipated spending per quarter. Projections are only estimates. Projected cash flow will assist IMD in financial planning throughout the year. If the funding request is adjusted per NCDOT column, the projections will be adjusted to scale NCDOT changes. Please contact your Regional Grant Specialist for further assistance.

##### Projected Cash Flow

| Year (YYYY)  | 1st Quarter Jul 1 - Sep 30 | 2nd Quarter Oct 1 - Dec 31 | 3rd Quarter Jan 1 - Mar 31 | 4th Quarter Apr 1 - Jun 30 | Total                 |
|--------------|----------------------------|----------------------------|----------------------------|----------------------------|-----------------------|
| 2025         | 48,131.00                  | 48,131.00                  | 48,131.00                  | 48,129.00                  | 192,522.00            |
| <b>Total</b> | <b>48,131.00 USD</b>       | <b>48,131.00 USD</b>       | <b>48,131.00 USD</b>       | <b>48,129.00 USD</b>       | <b>192,522.00 USD</b> |

#### Supporting Documents

##### Attachments (2)

 [FY25 EBS Documents zip](#)  
Created By: BLCLAYBROOKS

 [FY25 Unified Application Checklist\\_WNCSo](#)  
Created By: BLCLAYBROOKS

# EBS Application Template - Capital (3/4)

## Snapshots

Enterprise Business Services Application Details 1000020314

Budget Summary

**General Information**

Legal Name:

Address:

County:

Congressional District:

Period of Performance (from):

Period of Performance (to):

Federal Billable/Non-Billable:

**Contact Information**

Contact Person:

Telephone:

Fax:

Email:

Website:

Federal ID Number:

DUNS Number:

CFDA:

Project Number:

### G511

**Office Furniture** - Cost of tables, desks, chairs, file cabinets, and related furniture for transportation offices or facilities.

List one item per line, the no. of units per item, and the estimated cost.

(provide one cost estimate for each item requested.)

| Description  | Budgeted Cost | Quantity | Budgeted Total | DOT Rate | Quantity | NCDOT Total |
|--------------|---------------|----------|----------------|----------|----------|-------------|
| No data      |               |          |                |          |          |             |
| <b>Total</b> |               |          | <b>0.00</b>    |          |          | <b>0.00</b> |

### G512

### OTHER EXPENSES

| Code         | Code Description                      | Budgeted Total | NCDOT Total |
|--------------|---------------------------------------|----------------|-------------|
| G600         | Service Contract Maint & Service Only | 600,000.00     | 600,000.00  |
| <b>Total</b> |                                       |                |             |

### Proposed Budget Expenses

#### Other Fixed Charges (G600)

| Code | Description  | Total Cost | NCDOT Cost |
|------|--|------------|------------|
| G611 | Direct Purchase of Service from Privately Owned Provider | 0.00       | 0.00       |
| G641 | Direct Purchase of Service from Publicly Owned Provider  | 200,000.00 | 200,000.00 |

### Project Expenditures

| NCDOT Maximum Participation Amounts | Requested         | NCDOT Use Only    |
|-------------------------------------|-------------------|-------------------|
| Replacement Vehicles                | 401,000.00        | 401,000.00        |
| Expansion Vehicles                  | 0.00              | 0.00              |
| Other Capital                       | 23,057.00         | 23,057.00         |
| Advanced Technology                 | 9,708.00          | 9,708.00          |
| Baseline Technology                 | 0.00              | 0.00              |
| Facility Budget                     | 0.00              | 0.00              |
| Other Expenses                      | 0.00              | 0.00              |
| <b>Total</b>                        | <b>433,765.00</b> | <b>433,765.00</b> |

### Cash Flow

\*Please enter anticipated spending per quarter. Projections are only estimates. Projected cash flow will assist IMD in financial planning throughout the year. If the funding request is adjusted per NCDOT column, the projections will be adjusted to scale NCDOT changes. Please contact your Regional Grant Specialist for further assistance.

#### Projected Cash Flow

| Year (YYYY)  | 1st Quarter<br>Jul 1 - Sep 30 | 2nd Quarter<br>Oct 1 - Dec 31 | 3rd Quarter<br>Jan 1 - Mar 31 | 4th Quarter<br>Apr 1 - Jun 30 | Total                 |
|--------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-----------------------|
| 2025         | 48,131.00                     | 48,131.00                     | 48,131.00                     | 48,129.00                     | 192,522.00            |
| <b>Total</b> | <b>48,131.00 USD</b>          | <b>48,131.00 USD</b>          | <b>48,131.00 USD</b>          | <b>48,129.00 USD</b>          | <b>192,522.00 USD</b> |

# EBS Application Template - Operating (4/4)

## Snapshots

Enterprise Business Services Application Details 1000020314

Budget Summary

| General Information                                 | Contact Information                     |
|---|---|
| Legal Name: <input type="text"/>                    | Contact Person: <input type="text"/>    |
| Address: <input type="text"/>                       | Telephone: <input type="text"/>         |
| County: <input type="text"/>                        | Fax: <input type="text"/>               |
| Congressional District: <input type="text"/>        | Email: <input type="text"/>             |
| Period of Performance (from): <input type="text"/>  | Website: <input type="text"/>           |
| Period of Performance (to): <input type="text"/>    | Federal ID Number: <input type="text"/> |
| Federal Billable/Non-Billable: <input type="text"/> | DUNS Number: <input type="text"/>       |
|   | CFDA: <input type="text"/>              |
|   | Project Number: <input type="text"/>    |

Travel and Transportation (other than employee development) (G300)

| Code | Description                      | Total Cost | NCDOT Cost |
|------|----------------------------------|------------|------------|
| G313 | Transportation of Clients/Others | 28,000.00  | 28,000.00  |

Miscellaneous Revenue and Income

| Code | Description                     | Total Cost | NCDOT Cost |
|------|---------------------------------|------------|------------|
| R385 | Advertising Profits             | 0.00       | 0.00       |
| R497 | Investment Income               | 0.00       | 0.00       |
| R811 | Sale of Materials and Scrap     | 0.00       | 0.00       |
| R821 | Sale proceeds from Fixed Assets | 0.00       | 0.00       |
| R844 | Cash Donations                  | 0.00       | 0.00       |

Contract Service Revenue (R400)

| Code | Description                   | Total Cost | NCDOT Cost |
|------|-------------------------------|------------|------------|
| R411 | Aging Program                 | 0.00       | 0.00       |
| R412 | Department of Social Services | 0.00       | 0.00       |
| R413 | Sheltered Workshop            | 0.00       | 0.00       |
| R414 | Mental Health Program(s)      | 0.00       | 0.00       |
| R415 | Health Department             | 0.00       | 0.00       |

Operating Revenues

Fare Revenue (F500)

| Code | Description                  | Total Cost | NCDOT Cost |
|------|------------------------------|------------|------------|
| F511 | General Public Fares         | 0.00       | 0.00       |
| F521 | Prepaid Fares/Bulk Discounts | 0.00       | 0.00       |
| F522 | Senior Citizen Fares         | 0.00       | 0.00       |
| F523 | Student Fares                | 0.00       | 0.00       |
| F524 | Child Fares                  | 0.00       | 0.00       |

Proposed Budget Expenses

Other Fixed Charges (G600)

| Code | Description       | Total Cost | NCDOT Cost |
|------|-------------------|------------|------------|
| G612 | User Side Subsidy | 39,000.00  | 39,000.00  |

Cash Flow

\*Please enter anticipated spending per quarter. Projections are only estimates. Projected cash flow will assist IMD in financial planning throughout the year. If the funding request is adjusted per NCDOT column, the projections will be adjusted to scale NCDOT changes. Please contact your Regional Grant Specialist for further assistance.

Projected Cash Flow

| Year (YYYY)  | 1st Quarter<br>Jul 1 - Sep 30 | 2nd Quarter<br>Oct 1 - Dec 31 | 3rd Quarter<br>Jan 1 - Mar 31 | 4th Quarter<br>Apr 1 - Jun 30 | Total          |
|--------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|----------------|
| 2025         | 48,131.00                     | 48,131.00                     | 48,131.00                     | 48,129.00                     | 192,522.00     |
| <b>Total</b> | 48,131.00 USD                 | 48,131.00 USD                 | 48,131.00 USD                 | 48,129.00 USD                 | 192,522.00 USD |

# 5303 Allocation Table

## ***Instructions***

We will be posting the allocation table and the finalized schedule for the draft and final UPWP to our website later in 2026, and notification of information will be made to 5303 applicants.



# MPO/RPO Important Dates

July 1, 2024 - June 26, 2026

| Dates                    | Tasks  |
|--------------------------|--|
| July 1, 2024             | State FY25 begins  |
| July 25 2025             | Last date to submit 4th Quarter 5303 Claim (April 1, 2023 – June 30, 2023)<br>IMD provides MPO 5303 planning funds allocations for FY25 and application instructions   |
| <b>August 7, 2025</b>    | <b>4th Quarter (Final) invoice, work summary and annual performance report due for FY25</b>  |
| October 1, 2025          | Federal FY25 begins  |
| October 31, 2025         | 1st Quarter 5303 Claim (July 1, 2025 to October 31, 2025) due  |
| November 6, 2025         | MPO Evaluation Report due to Transportation Oversight Committee (NCGS 136-200.4)   |
| November 6, 2025         | TPD provides MPO/RPO planning fund allocations for FY26  |
| <b>November 20, 2025</b> | <b>1st Quarter invoice and work summary due</b>  |
| January 30, 2026         | 2nd Quarter 5303 Claim (October 1, 2025 to December 31, 2025) due  |
| January 30, 2026         | Draft for FY27 UPWP due  |
| <b>February 6, 2026</b>  | <b>2nd Quarter invoice and work summary due</b>  |
| February 24, 2026        | Indirect Cost Allocation Plan for FY27 due (for those MPO's who choose to develop an Indirect Cost Allocation Plan) Note: indirect costs must be shown as a new row in UPWP expenditure table, for both STBG-DA and PL funds.                  |
| February 24, 2026        | Draft PWP and Indirect Cost Allocation Plan for FY27 due   |
| March 27, 2026           | Last day to submit adopted FY27 (U)PWP with resolution, 5-year planning calendar, and MPO self-certification of long range planning. Documents are to be submitted with separate uploads for (U)PWP, self-certification, and 5-year work plan. |
| April 17, 2026           | Last day to submit revisions to TPD for FY26 (U)PWP with signatures  |
| April 24, 2026           | 3rd Quarter 5303 Claim (January 1, 2026 to March 31, 2026) due   |
| <b>May 8, 2026</b>       | <b>3rd Quarter invoice and work summary deadline</b>   |
| May 15, 2026             | Last day to submit adopted FY27 PWP  |
| June 26, 2026            | TDP approves FY27 (U)PWPs and Indirect Cost Allocation Plan  |
| June 26, 2026            | Last day to submit revised FY27 PWPs with signatures   |

## Notes

- MPO-only tasks are in blue, RPO-only tasks are in red, and Common tasks are in black.
- IMD will announce the final dates for FY28 when the 5303 funding allocation table is posted later in 2026.
- All (U)PWP, Indirect Cost Plans and invoices should be submitted online via the MPO/RPO Planning Grants Site.

## Copy of Locally Coordinated Human Service Plan

To be eligible for Section 5310 funding, the FAST Act requires projects and services funded through Section 5310 be derived from or included in a locally developed, Coordinated Public Transit – Human Service Transportation Plan; and that the plan was developed and approved through a process that includes the participation of seniors and individuals with disabilities, public and private transportation providers, community agencies, and others stakeholders. FTA maintains flexibility in how projects appear in the coordination plan. The applicant's proposed project may be identified as filling a transportation need, or as strategies and activities addressing an identified service gap or transportation coordination objective articulated and prioritized within the plan. This plan cannot be more than four (4) years old in air quality non-attainment and maintenance areas and five (5) years in air quality attainment areas.

The locally developed, Coordinated Public Transit – Human Service Transportation Plan includes 1) an assessment of available services that identifies current transportation providers, 2) an assessment of transportation needs for individuals with disabilities and seniors, 3) strategies, activities, and/or projects to address the identified gaps between current services and needs, as well as opportunities to improve efficiencies in service delivery, and 4) priorities for implementation based on resources, time, and feasibility.

The applicant will be asked to provide the page number from the locally developed, Coordinated Public Transit - Human Services Transportation Plan that mentions or describes the strategy or action included in the application. The application will not be considered unless a copy of the locally coordinated plan from the applicant's service area is attached with the application documents.

---

## MPO/RPO Letter of Support

The FTA requires that projects receiving funds under Sections 5310 – Enhanced Mobility of Seniors and Individuals with Disabilities Program address the unmet transportation needs or gaps in service as described in the locally developed, Coordinated Public Transportation - Human Service Plan. If the proposed service is within an urbanized area and funded, the project must be listed in the MTIP. Grantees preparing to operate a 5310-funded service in a small urbanized area should notify the MPO staff immediately that they have applied for funding from these programs. This will allow the MPO staff and committee to begin the process of amending the MTIP and requesting an amendment of the STIP before the project is presented to FTA.

---

# Estimate(s) or Proposal(s)

If the applicant intends to include capital items in the funding request, an Estimate(s) or Proposal(s) must be submitted along with other required documents.

## Sample

Date: September 3, 2022  
Expiry Date: November 4, 2022

PREPARED FOR:  
John Doe

PREPARED BY:  
XXXXX XXXXXX

SOLD TO DETAIL      BILLING DETAILS      SHIPPING DETAILS  
Community Transit, 1 Transit      Community Transit, 1 Transit      Community Transit, 1 Transit  
Center Way, Any City, NC      Center Way, Any City, NC      Center Way, Any City, NC  
12345      12345      12345

**Audio Visual Equipment**

| <u>Qty</u> | <u>Description</u>   | <u>Unit Price</u> | <u>Qty Price</u> |
|------------|--|-------------------|------------------|
| 25         | Audio Visual Equipment<br>Data Projectors, Wireless Microphones, Powered Speakers,<br>Microphone Mixers, Podiums | \$1,461.00        | \$36,525.00      |

**Office Furniture**

| <u>Qty</u> | <u>Description</u>  | <u>Unit Price</u> | <u>Qty Price</u> |
|------------|---|-------------------|------------------|
| 15         | Office Furniture<br>Office desks, conference tables, office chairs, executive chair | \$3,398.33        | \$50,975.00      |

**On-board Data Communication Device**

| <u>Qty</u> | <u>Description</u>  | <u>Unit Price</u> | <u>Qty Price</u> |
|------------|---|-------------------|------------------|
| 16         | Navigation and Communications Capability<br>Cellular telephones, direction finding equipment, gyro compass, hand-held<br>radios | \$2,125.00        | \$34,000.00      |

**Software / Server**

| <u>Qty</u> | <u>Description</u>  | <u>Unit Price</u> | <u>Qty Price</u> |
|------------|---|-------------------|------------------|
| 15         | Video Management Software (VMS)<br>On-premise video management software, Cloud-based video surveillance<br>software, Cloud-managed security camera software | \$1,900.00        | \$28,500.00      |

**Grand Totals:**  
Total: \$ 150,000.00 USD

### Notes

- Refer to Step 6 through Step 7 in Part 3 for instructions for document submission.

# 5311 Designee Certification Form

## Instructions

- 1 Fill in blank areas.
- 2 Print this form and obtain the notarized signature of a recording officer.

## Notes

- This form is a 5-year document.
- Refer to Step 6 and Step 7 in Part 3 for more instructions for document submission.

## Snapshot

The image shows a snapshot of the '5311 CERTIFICATION FORM' document. At the top left, there is a logo for the 'INTEGRATED MOBILITY DIVISION' with a stylized 'M' icon. Below the logo, the text 'UNIFIED GRANT APPLICATION' is displayed in a blue header bar. The main title of the form is '5311 CERTIFICATION FORM'. The form contains several sections: a 'Resolution No.' field, a paragraph of text authorizing the filing of applications with the North Carolina Department of Transportation, a 'WHEREAS' clause delegating authority to award federal financial assistance, and a 'NOW, THEREFORE, BE IT RESOLVED BY' section followed by three numbered points. The first point authorizes the applicant to file an application for federal assistance. The second point authorizes the applicant to file annual certifications and assurances. The third point authorizes the applicant to execute grant and cooperative agreements. Below these points, there are lines for the undersigned to provide their name, title, and the date of the meeting. At the bottom right, there are three lines for the recording officer's signature, title, and date.

INTEGRATED MOBILITY DIVISION

UNIFIED GRANT APPLICATION

**5311 CERTIFICATION FORM**

Resolution No. \_\_\_\_\_

Resolution authorizing the filing of applications with the North Carolina Department of Transportation– Integrated Mobility Division for grant years FY2028 – FY2032, for federal transportation assistance authorized by 49 U.S.C. 5311, United States Code, other federal statutes administered by the Federal Transit Administration or state statutes administered by the State of North Carolina.

WHEREAS, the North Carolina Department of Transportation has delegated authority to award federal financial assistance for transit projects as allocated throughout North Carolina by County;

NOW, THEREFORE, BE IT RESOLVED BY \_\_\_\_\_

1. That \_\_\_\_\_ is authorized to execute and file an application for federal assistance on behalf of \_\_\_\_\_ with the State of North Carolina for federal assistance authorized by 49 U.S.C. Chapter 5311 United States Code, other federal statutes or state statutes authorizing a project administered by the Federal Transit Administration.
2. That \_\_\_\_\_ is authorized to execute and file with its applications the annual certifications and assurances and other documents the State of North Carolina requires before awarding a federal assistance grant or cooperative agreement.
3. That \_\_\_\_\_ is authorized to execute grant and cooperative agreements with the State of North Carolina on behalf of \_\_\_\_\_.

The undersigned duly qualified \_\_\_\_\_, acting on behalf of the \_\_\_\_\_, certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the \_\_\_\_\_ held on \_\_\_\_\_ [If the Applicant has an official seal, impress here.]

\_\_\_\_\_  
(Signature of Recording Officer)

\_\_\_\_\_  
(Title of Recording Officer)

\_\_\_\_\_  
(Date)

# Vehicle Insurance Certification

If you are applying for 5311 Non-Urbanized Area Formula Program, a Vehicle Insurance Certification must be submitted along with other required documents. This insurance documentation will certify that the existing vehicle fleet is fully ensured.

## Notes

- Refer to Step 6 through Step 7 in Part 3 for instructions for document submission.

## Sample

| LIABILITY AND PROPERTY COVERAGE CERTIFICATE   |   |   |                 |              |  |       |            |               |         |
|---|---|---|-----------------|--------------|--|-------|------------|---------------|---------|
| <b>COVERAGE PROVIDER:</b><br>North East Insurance Pool<br>3 Community St, Any City, NC<br>12345 |   | This certificate is provided by the North East Insurance Pool and is issued as a matter of information only. This certificate confers no rights upon the certificate holder other than those provided in the coverage document. This certificate does not amend, extend, or alter the coverage afforded by the coverage documents listed herein.  |                 |              |  |       |            |               |         |
| <b>MEMBER:</b><br>ABC County<br>1 Transit Center Way<br>Any City, NC 12345                      |   |   |                 |              |  |       |            |               |         |
| COVERAGES   |   |   |                 |              |  |       |            |               |         |
| Type of Coverage  | Coverage Contract #                                       | Effective Date  | Expiration Date | Limits       |  |       |            |               |         |
| <b>Liability</b>  |   |   |                 |              |  |       |            |               |         |
| X   | General Liability – Each Occurrence, No Aggregate applies | NE-AB-123-22  | July 1, 2022    | July 1, 2023 | \$3,000,000 occurrence   |       |            |               |         |
| X   | Public Officials Liability, Each Wrongful Act             | NE-AB-124-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| X   | Law Enforcement Liability, Each Occurrence                | NE-AB-125-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| <b>Cyber Liability</b>  |   | NE-AB-125-22  | July 1, 2022    | July 1, 2023 | \$1,500,000 aggregate Claims Made  |       |            |               |         |
| <b>Excess Liability</b>   |   | NE-AB-126-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| <b>Automobile Liability</b>   |   |   |                 |              |  |       |            |               |         |
| X   | All Owned Autos, Each Accident                            | NE-AB-127-22  | July 1, 2022    | July 1, 2023 | \$2,500,000 occurrence   |       |            |               |         |
| X   | Hired Autos, Each Accident                                | NE-AB-128-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| X   | Excess Auto Liability for Non-Owned Autos                 | NE-AB-129-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| <b>Automobile Physical Damage</b>   |   |   |                 |              |  |       |            |               |         |
| X   | Scheduled Vehicles  | NE-AB-130-22  | July 1, 2022    | July 1, 2023 | Actual Cash Value at the time of the Loss, unless otherwise specified in the Coverage Document; Deductible Applies   |       |            |               |         |
| X   | Hired Autos (if coverage is not purchased elsewhere)      | NE-AB-131-22  | July 1, 2022    | July 1, 2023 |  |       |            |               |         |
| <b>Property – Risks of Direct Physical Loss, Blanket Limit</b>                                  |   |   |                 |              | <table border="1"> <thead> <tr> <th>Limit</th> <th>Deductible</th> </tr> </thead> <tbody> <tr> <td>\$124,102,315</td> <td>\$1,500</td> </tr> </tbody> </table> | Limit | Deductible | \$124,102,315 | \$1,500 |
| Limit   | Deductible  |   |                 |              |  |       |            |               |         |
| \$124,102,315   | \$1,500   |   |                 |              |  |       |            |               |         |
| <b>Additional Information:</b><br>Evidence of coverage.   |   | Certificate Mailed/E-Mailed or Faxed to:  |                 |              |  |       |            |               |         |
| <b>CERTIFICATE HOLDER:</b><br>Evidence of coverage.   |   | Cancellation: Should any of the described coverage documents herein be cancelled before the expiration date shown, the North East Insurance Pool will endeavor to mail written notice to the Certificate Holder named herein, but failure to mail such notice shall impose no obligation or liability of any kind upon the North East Insurance Pool, its agents or representatives, or the issuer of this certificate.<br>By: XXXXXX |                 |              |  |       |            |               |         |

Evidence of coverage.  
0  
0



## Job Description

If the applicant intends to include salary reimbursement in the funding request, a Job Description must be submitted along with other required documents. A job description is only required if changes are made to the job or if it is a new position.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3 for instructions for document submission.

## Retail Estimate(s) or Proposal(s)

If the applicant intends to include retail purchase costs in the funding request, Retail Estimate(s) or Proposal(s) must be submitted along with other required documents.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3 for instructions for document submission.

## Deviated Fixed Route Material

If the applicant intends to include costs for deviated fixed routes in the funding request, additional documentation about the proposed deviated fixed route including advertising/informational materials prepared for the public about the deviated fixed route must be submitted along with other required documents.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3 for instructions for document submission.

## Cost Allocation/Indirect Cost Plan

If the applicant intends to include indirect overhead costs in the funding request, a Cost Allocation Plan (CAP)/Indirect Cost Plan must be submitted and approved by the governing board along with a signed and notarized Cost Allocation Plan (CAP)/Indirect Cost Plan to NCDOT with the administrative grant application. Documentation to support the requested indirect cost rate must be submitted along with the 5311 Administrative application. The documentation needed includes: Signed Certification page from the CAP, page(s) from the CAP showing indirect costs allocated to the transportation department, page(s) from the transit system's financial report showing total salaries & fringes paid to the entire transportation department staff and an official statement verifying the Cognizant Agency. Requests for the 15% de-minimis rate will be approved if FTA requirements are met. The applicant may request less funding in the indirect cost line item than that which is approved. Central Services G481 funds may not be re-allocated to other line items through Change Requests.

NOTE: Indirect Overhead Costs are only allowable under 5311 Administrative grants.

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## Indirect Cost Rate Verification

If the applicant intends to include indirect overhead costs in the funding request, an Indirect Cost Rate Verification must be submitted along with a signed and notarized Cost Allocation Plan/Indirect Cost Plan (CAP) to NCDOT with the 5311 Administrative grant application.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Facility Insurance Verification

If the applicant intends to include facility costs in the funding request, a Facility Insurance Verification must be submitted along with other required documents.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Vehicle Lease Agreement

If the applicant intends to include vehicle lease costs in the funding request, a Vehicle Lease Agreement must be submitted along with other required documents.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

## Third Party Provider Contract

If the applicant intends to include third party contracting costs in the funding request, a Third Party Provider Contract must be submitted along with other required documents. Please note that procurement and third party contracting activities are primarily the responsibility of the subrecipient and must be completed according to federal and state guidelines. All procurements >\$15,000 must be reviewed and approved by NCDOT's procurement section in order to be eligible for reimbursement.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Rental Lease Agreement

If the applicant intends to include rental lease costs in the funding request, a Rental Lease Agreement must be submitted along with other required documents. A rental agreement could cover multiple years, ensure the year applied for is within the time span. An automatic renewal could also be included in the agreement.

## **Notes**

- Refer to Step 6 and Step 7 in Part 3.3 for more instructions for document submission.

# Software Lease Agreement

If the applicant intends to include software lease costs in the funding request, a Software Lease Agreement must be submitted along with other required documents.

## **Notes**

- Refer to Step 6 and Step 7 in Part 3.3 for more instructions for document submission.

# UAT Cover Letter

Cover Letter with total request include Statements of Understanding regarding the below three items:

- 1) Application meets focus area timeline requirements;
- 2) Application meets technology policy;
- 3) FY28 Budget\_3-Year Plan\_Quarterly Report\_Cash Flow in Urban application FY28 Excel workbook will be submitted even if no activity. The report is to include a cash flow plan that is to be updated each quarter. The progress reports and cash flow plans are to be attached in EBS on a quarterly basis.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Vehicle Purchase Orders

If the applicant intends to include vehicle purchase costs in the funding request outside of the state contract for vehicle procurement, Vehicle Purchase Orders must be submitted along with other required documents.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Feasibility Study

If the applicant intends to include facility siting, development, or renovation costs in the funding request, a Feasibility Study must be submitted along with other required documents. Requirement for a feasibility study is noted in the Combined Capital G-code list for certain line items.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.
- Consider meeting with IMD depending on the scale of the project.

# Fleet Replacement Plan

If the applicant intends to include vehicle replacement costs in the funding request, a Fleet Replacement Plan must be submitted along with other required documents.

## **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

## IMD Approved Billing Rate

If you are applying for the ConCPT Coordination Program, an IMD Approved Billing Rate must be submitted along with other required documents.

### **Notes**

- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

# Local Funding Commitment Form

## Instructions

- 1 Only submit a Local Funding Commitment form for the grant applied for, not Federal projects applied for.
- 2 Type the official name of an applicant.
- 3 Fill in the project description and the year the federal funding is approved in STIP.
- 4 Fill in application information.
- 5 Print and this form and obtain the signature of the authorized official.

## Notes

- Signed forms must be scanned and uploaded as part of the Application phase.
- Refer to Step 6 through Step 7 in Part 3.3 for instructions for document submission.

## Example

The image shows a sample of a 'UNIFIED GRANT APPLICATION' form. At the top left is the logo for the 'INTEGRATED MOBILITY DIVISION'. The title 'UNIFIED GRANT APPLICATION' is in a blue header bar. Below that, the form is titled 'LOCAL FUNDING COMMITMENT FORM'. It includes instructions to attach the form to an application in the Enterprise Business System. The form is divided into three numbered sections: 1. APPLICANT NAME; 2. DESCRIPTION OF PROJECT AND YEAR APPROVED IN STIP FOR FUNDING; 3. COMMITMENT OF LOCAL FUNDS AND APPLICANT INFORMATION. Section 3 is further divided into 'PART 1: Applicant Information' and 'PART 2: Commitment of Local Funds'. Section 4 at the bottom is for the signature and date of the authorized official.

**INTEGRATED MOBILITY DIVISION**

**UNIFIED GRANT APPLICATION**

**LOCAL FUNDING COMMITMENT FORM**

Attach Completed Form To: Application in Enterprise Business System

**1** APPLICANT NAME: \_\_\_\_\_

**2** DESCRIPTION OF PROJECT AND YEAR APPROVED IN STIP FOR FUNDING:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**3** COMMITMENT OF LOCAL FUNDS AND APPLICANT INFORMATION:  
Total State Highway Trust Funds Approved In STI \$ \_\_\_\_\_ STIP # \_\_\_\_\_

**PART 1: Applicant Information**

Official Name of Applicant: \_\_\_\_\_  
Name of Official Responsible for Project: \_\_\_\_\_  
Title of Official Responsible for Project: \_\_\_\_\_  
Official Address: \_\_\_\_\_  
\_\_\_\_\_  
Official Telephone Number: \_\_\_\_\_ FAX: \_\_\_\_\_

**PART 2: Commitment of Local Funds**

I hereby confirm that (Name of Applicant) is committed to the local matching share of \$ \_\_\_\_\_ required for this project as funds are available during the requested project year. I further confirm the applicant is duly authorized to commit and enter into an Agreement with the North Carolina Department of Transportation during the appropriate Fiscal Year.

**4** Signature of Authorized Official: \_\_\_\_\_  
Title: \_\_\_\_\_ Date: \_\_\_\_\_

# Non-Profit Additional Required Submittals

## ***Instructions***

If you are a non-profit, prepare documents listed below in addition to other pre-application required documents.

- IRS Letter
- Articles of Incorporation
- By-Laws
- Members of Board of Directors

## ***Notes***

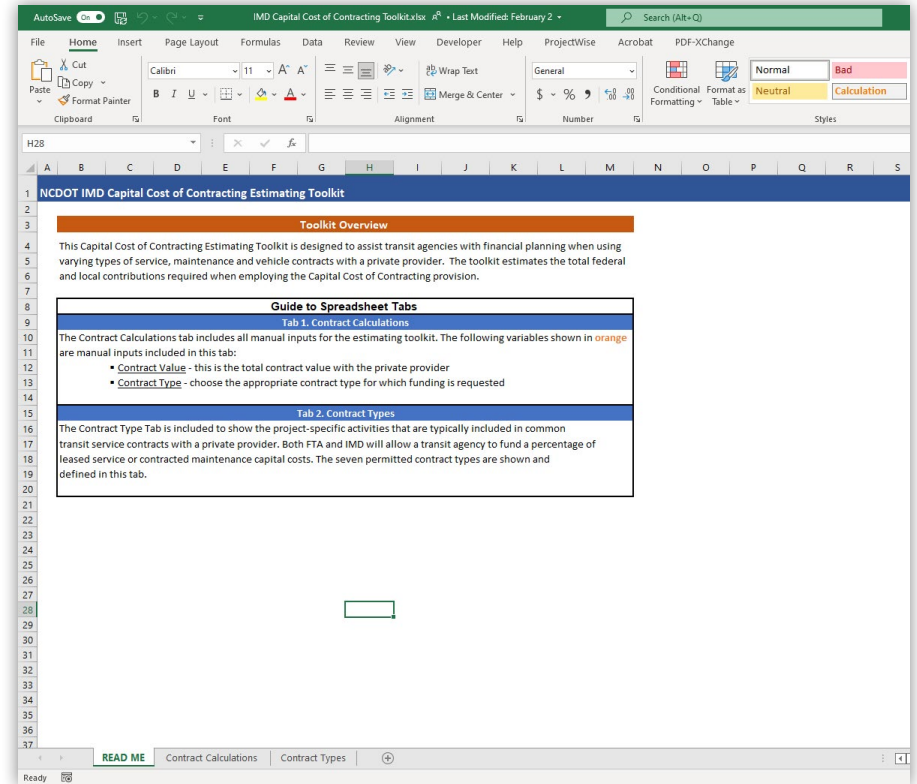
- Signed forms must be scanned and uploaded as part of the Pre-Application phase.
  - Refer to Step 2 through Step 3 in Part 3.3 for instructions for document submission.
-

# IMD Capital Cost of Contracting Toolkit

## Instructions

A templated Calculation Spreadsheet will be provided to each transit agency to be used for calculation of the required local match. The completed Calculation Spreadsheet will be a required attachment in the application process. Services shall only be reimbursed once, and not for duplicative services. Please contact your Regional Grant Specialist for additional information.

## Snapshot



# NEPA Information Form

## Instructions

NEPA (the National Environmental Policy Act) is the primary federal law governing the environmental review process for projects that are anticipated to have an impact on the natural environment. IMD has chosen a list of G-codes that may have environmental impacts that may require additional study prior to approval of the project. When choosing one of the G-codes, please complete the NEPA information form so that IMD may evaluate if the proposed project will require additional environmental study.

If you apply for one of the G-codes below through Combined Capital, you need to provide the following information: Project name; Project address; Jurisdiction (city/county); Project description; Associated planning documents previously completed (if any); Estimated project cost.

### List of G-codes:

- |   |   |
|---|---|
| G530 New Construction and Land Purchase   | G580 Facility Acquisition and Improvements      |
| G531 New Construction of Transit Facility | G581 Construction / Project Management Services |
| G532 Purchase of Modular Structure        | G582 Facility Acquisition                       |
| G533 Legal Fees, Appraisal, Survey        | G583 Bus Stop Shelters / Benches                |
| G535 Land Acquisition                     | G584 Park and Ride Lots                         |
| G536 Sitework / Grading                   | G587 Paving / Resurfacing                       |
| G537 Utility Work / Hook-ups              | G588 Engineering & Design Services              |
| G538 Fencing/Lighting                     | G589 Facility Improvement                       |
| G539 Landscaping / Accessway / Signage    |   |

## Snapshot

The image shows a snapshot of the NEPA Information Form. At the top left is the logo for the Integrated Mobility Division (IMD). Below the logo is the text 'INTEGRATED MOBILITY DIVISION'. To the right of the logo is the text 'UNIFIED GRANT APPLICATION'. The main title of the form is 'NEPA INFORMATION FORM'. Below the title is a paragraph of text explaining the purpose of the form. Below the paragraph is a section titled 'Please provide the following information if applicants apply for the G-codes specified below:'. Below this section is a table with five rows and two columns. The rows are labeled: 'PROJECT NAME:', 'PROJECT ADDRESS:', 'JURISDICTION (CITY/COUNTY):', 'PROJECT DESCRIPTION:', and 'ASSOCIATED PLANNING DOCUMENTS PREVIOUSLY COMPLETED (IF ANY):'. The last row is labeled 'ESTIMATED PROJECT COST:'. Below the table is a section titled 'List of G-codes:' followed by the text 'G530 New Construction and Land Purchase'. At the bottom of the form is the text 'NEPA Information Form Last Updated: 3/5/2028'.

**INTEGRATED MOBILITY DIVISION**

**UNIFIED GRANT APPLICATION**

**NEPA INFORMATION FORM**

NEPA (the National Environmental Policy Act) is the primary federal law governing the environmental review process for projects that are anticipated to have an impact on the natural environment. IMD has chosen a list of G-codes that may have environmental impacts that may require additional study prior to approval of the project. When choosing one of the G-codes, please complete the NEPA information form so that IMD may evaluate if the proposed project will require additional environmental study.

Please provide the following information if applicants apply for the G-codes specified below:

|  |  |
|--|--|
| PROJECT NAME:  |  |
| PROJECT ADDRESS:   |  |
| JURISDICTION (CITY/COUNTY):                                  |  |
| PROJECT DESCRIPTION:   |  |
| ASSOCIATED PLANNING DOCUMENTS PREVIOUSLY COMPLETED (IF ANY): |  |
| ESTIMATED PROJECT COST:                                      |  |

List of G-codes:

**G530 New Construction and Land Purchase**

NEPA Information Form  
Last Updated: 3/5/2028

# Independent Cost Estimate (ICE) Form (1/6)

## Instructions

When conducting local purchases, the Federal Transit Administration (FTA) requires subrecipients to determine price reasonableness. In addition to FTA requirements, NCDOT-IMD requires subrecipients to provide documentation supporting their determination of price reasonableness. An Independent Cost Estimate (ICE) is the first step in determining price reasonableness. FTA Circular 4220.1G states:


FTA Circular 4220.1G, Chapter VI, 4.:

4. COST AND PRICE ANALYSIS. The Common Grant Rules require the recipient to perform a cost analysis or price analysis in connection with every procurement action, including contract modifications. The method and degree of analysis depends on the facts and circumstances surrounding each procurement, but as a starting point, the recipient must make independent estimates before receiving bids or proposals.

An ICE is a benchmark for evaluating the reasonableness of the contractor's proposed cost or price, and may range from a simple budgetary estimate to a complex estimate, based on inspection of the product, review of drawings or specifications, and prior procurement data.

An ICE is an independent assessment of what you would expect to pay for goods or services, based on reliable sources, such as paid historical prices, industry standard, or market survey. Subrecipients may also request informal cost estimates from suppliers or manufacturers when developing an ICE. If any outside party assists in developing the ICE, appropriate steps must be taken to ensure that

## Snapshot



INTEGRATED  
MOBILITY  
DIVISION

UNIFIED GRANT APPLICATION

### INDEPENDENT COST ESTIMATE (ICE)

An ICE is not completed using quotes; it is completed using estimates from an independent source, other than for this project. Obtaining invoices from previous similar projects or from projects from other systems or agencies is required.

**Project Grantee Information:**

Grantee/Subrecipient Name: \_\_\_\_\_

Grantee/Subrecipient Address: \_\_\_\_\_

Grantee/Subrecipient Project Contact Name: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Project Description (please provide G-code within description):  
\_\_\_\_\_  
\_\_\_\_\_

Grantee/Subrecipient local P.O. #: \_\_\_\_\_

**Project Detail:**

| Procurement Type:   | Date ICE Completed:  | Estimated Date of Project Completion:   |
|---|--|---|
| <input type="checkbox"/> Materials & Supplies<br><small>(EX: bi-fuel conversion kits, radios, computers vehicle cameras, etc.)</small>                                | <b>Procurement Threshold:</b><br><input type="checkbox"/> Micro Purchase <\$15,000<br><input type="checkbox"/> Small Purchase \$15,000-\$150,000 (\$90,000 threshold when involving state funds) | <b>Project funding Source:</b><br><input type="checkbox"/> State Funding<br><input type="checkbox"/> Federal Funds<br><input type="checkbox"/> Local Funds<br><input type="checkbox"/> Combination of Funding Sources (percentages):<br><input type="checkbox"/> State: _____<br><input type="checkbox"/> Federal: _____<br><input type="checkbox"/> Local: _____ |
| <input type="checkbox"/> Professional Services<br><small>(typically under Admin/Operating)</small>  | <input type="checkbox"/> Request For Proposals (RFP) over \$150,000 (over \$90,000 when involving state funds)   | <input type="checkbox"/> Grant Application #: _____<br><input type="checkbox"/> Grant Period: _____   |
| <input type="checkbox"/> Architecture & Engineering   | <input type="checkbox"/> Invitations For Bid (IFB) over \$150,000 (over \$90,000 when involving state funds)   |   |
| <input type="checkbox"/> Operations & Management<br><small>(3<sup>rd</sup> party agreements under Admin/Operating or potentially Capital Cost of Contracting)</small> | <input type="checkbox"/> Other: _____<br><input type="checkbox"/> Sole Source<br><input type="checkbox"/> Piggyback  |   |
| <input type="checkbox"/> Construction   |  |   |
| <input type="checkbox"/> Facility Repair/Rehabilitation   |  |   |
| <input type="checkbox"/> Rolling Stock (Bus, Fleet Vehicles, etc.) ONLY USE ICE FORM IF NOT   |  |   |

It is required that all procurements must submit the ICE and the Solicitation Draft (Scope of Work/RFO/RFP/Bid) to the NCDOT-IMD Procurement Unit for approval PRIOR to any solicitation to occur. You will not be in contract compliance if this step is not reviewed and approved prior to solicitation.

Independent Cost Estimate (ICE) Form  
Last Updated: 3/5/2026

# Independent Cost Estimate (ICE) Form (2/6)

organizational conflicts of interests are avoided and that the outside party does not obtain any competitive advantage from advance knowledge of the estimate. For contracts with optional extensions or other modifications, a subrecipient must make an ICE before executing the option or modification.

An ICE must be completed before receiving bids or proposals. FTA is flexible as how a subrecipient typically documents and determines a fair and reasonable price range. All prices must be documented in the procurement file and submitted to NCDOT-IMD for FTA audit purposes. The most common methods that an ICE is performed are as follows:

- Publicly published price lists
- Recently invoiced price/prior project purchase orders
- Outreach/market survey

#### A. Publicly published price lists

Typically appropriate for goods and materials. Price lists, other existing government contracts or catalogs are acceptable price sources for off-the-shelf items such as technology, office supplies, vehicle equipment, etc... Price lists are often available online or in printed advertisements. Price quotes that are posted in-store are acceptable as well.

#### B. Recently invoiced price

Typically appropriate for goods or services. If a subrecipient recently purchased similar goods or services, a recent invoice may help determine a fair and reasonable price. NCDOT-IMD may consider outdated quotes (older than a year or potentially 6 months in the case of technology) to be insufficient documentation to support a fair and reasonable price determination.

#### C. Outreach/market survey

Typically appropriate for goods or services. A subrecipient may contact other agencies to determine a fair and reasonable price, so long as the agency has a similar project scope and the system is relatively comparable.

#### Cost Analysis:

A cost analysis typically applies to situations where the product or services being offered are not susceptible to being evaluated against other commercially available items of similar products or services. Examples include architectural engineering (A&E) services where only one cost proposal is solicited from the highest ranking firm, or a sole source procurement for other types of services (see FTA Best Practices Procurement Manual, Chapter 5.2, Cost and Price Analysis for further information)

# Independent Cost Estimate (ICE) Form (3/6)

## HOW TO PREPARE INDEPENDENT COST ESTIMATE

### 1. Prepare the ICE for submittal:

A subrecipient attaches the ICE to your Combined Capital Grant Application (an ICE is needed for each individual line item/G-code where applicable)

- a. Project point of contact information
- b. Project description and scope of work (including associated G-codes)
- c. FTA Grant program/funding split
- d. Grant application number
- e. Documentation of price reasonableness gathered from the agency's procurement research in addition to the pricing that is determining from the documentation to be fair and reasonable
- f. Signature or person identified as completing the ICE form

### 2. Submittal to NCDOT-IMD

Forms will be attached to the subrecipients Combined Capital Application electronically through EBS. If the Ice is for a new contract, include other documents and forms for concurrent review. These may include: micro purchase, small purchase, or RFP/RFQ/IFB forms and documents. If the ICE is for the exercise of an option or for an amendment/modification of an existing contract, include a copy of the contract and of the proposed modification.

### 3. NCDOT-IMD Review

After NCDOT-IMD determines the ICE to be complete, establishing the price range for your project, this request will move forward to review and approval by NCDOT-IMD management.

## Quick-Reference Purchasing Guide/When to Submit a Formal Independent Cost Estimate

Note: The following procurement thresholds meet dollar amounts required by IMD. Your agency may set more stringent requirements.

### **< \$15,000 Micro-Purchases** - NEW

- May be made without obtaining price quotes
- Must document how price was determined to be fair & reasonable (comparison of catalog/online prices, etc.) NO FORMAL INDEPENDENT

# Independent Cost Estimate (ICE) Form (4/6)

COST ESTIMATE FORM NEEDED.

- Procurement History Form may be used to document
- Obtain written approval (in accordance with local policy) prior to purchase—submit Procurement History Form & other documentation to:
  - Executive Director/Other
  - Board of Directors
- Vendor does not have to comply with Federal requirements, except if federal funds are used for construction. The Davis-Bacon Act applies to costs of \$2,000 or more, and this requirement must be included with solicitation.

## **Price Quotes (\$15,000 - \$29,999) - NEW**

- IMD must review new or adapted specifications prior to solicitation of all “new type” rolling stock.
  - “New-Type” Rolling Stock excludes vehicles available on IMD contracts.
  - Solicit from at least 3 suppliers/vendors by email, mail, or in person.
  - FORMAL INDEPENDENT COST ESTIMATE FORM NEEDED WHEN SUBMITTING APPLICATION UNLESS PROCURING OFF AN EXISTING NCDOT CONTRACT (SUCH AS CONTRACT# SG-50602022 THAT INCLUDES RAISED ROOF VANS AND CUTAWAYS/LTVS)
  - Applicable Federal requirements & certifications must be included with solicitation (if funded in part with Federal funds).
  - Document quotes solicited and received (at least 3 quotes should be received) on Procurement History Form & complete IMD Procurement Checklist
  - If vendor with lowest price is not selected, include basis/reason for selection (delivery date; better warranty/service; etc.); prior IMD approval is required.
  - Obtain written approval (in accordance with local policy) prior to purchase—submit Procurement History Form & other documentation to:
    - Executive Director/Other
    - Board of Directors
  - Procurement Checklist must be submitted to IMD for prior approval on all purchases over \$15,000.
-

# Independent Cost Estimate (ICE) Form (5/6)

## **Informal Bids (\$30,000 - \$89,999)**

- IMD must review new or adapted specifications prior to solicitation of all “new type” rolling stock and ALL construction related procurements.
  - “New-Type” Rolling Stock excludes vehicles available on IMD contracts.
  - FORMAL INDEPENDENT COST ESTIMATE FORM NEEDED WHEN SUBMITTING APPLICATION UNLESS PROCURING OFF AN EXISTING NCDOT CONTRACT (SUCH AS CONTRACT# SG-50602022 THAT INCLUDES RAISED ROOF VANS AND CUTAWAYS/LTVS)
- Solicit written quotes from at least 3 suppliers/vendors.
- Applicable Federal requirements & certifications must be included with solicitation (if funded in part with Federal funds)
- Document quotes solicited and quotes received (at least 3 quotes should be received) on Procurement History Form, attach written quotes, & complete IMD Procurement Checklist and submit to IMD for prior approval.
- Obtain written approval (in accordance with local policy) prior to award—submit Procurement History Form, Procurement Checklist, & any other documentation to:
  - Executive Director/Other
  - Board of Directors
- IMD requires pre-award approval for all procurements > \$30,000. Submit Procurement Checklist (History form may be included), and any other related documentation for review.
- Maintain written documentation to support that each requirement was met.

## Formal (Sealed) Bids - NEW

(State funds only-----\$90,000 or more – Equipment & Supplies; \$500,000 or more – Construction)

(Federal & State funds-- \$90,000 or more – Equipment & Supplies; \$150,000 or more – Construction)

- IMD must review all RFPs/RFQs or any other solicitation documents along with specifications prior to solicitation.
  - FORMAL INDEPENDENT COST ESTIMATE FORM NEEDED WHEN SUBMITTING APPLICATION UNLESS PROCURING OFF AN EXISTING NCDOT CONTRACT (SUCH AS CONTRACT# SG-50602022 THAT INCLUDES RAISED ROOF VANS AND CUTAWAYS/LTVS)
  - Publish advertisement (electronic ad may be used) for the bid, at least once, 7 days prior to opening.
-

# Independent Cost Estimate (ICE) Form (6/6)

- All bids must be sealed and opened at stated time and place in advertisement.
- At least 3 suppliers/contractors must be solicited (in addition to advertisement, at least 3 suppliers/contractors must be notified)
- Applicable Federal requirements & certifications must be included with solicitation (if funded in part with Federal funds).
- Additional requirements for construction procurements:

A minimum of 3 sealed bids must be received. If less than 3 bids are received, agency must re-advertise for bids; and if as a result of such second advertisement, less than 3 competitive bids are received from reputable and qualified contractors, the Agency may open bids.

- Bid guarantee bond (5% of bid price)
- Performance and payment bonds (100% of contract price)
- Any of the following methods may be used for construction contracts (over \$300,000):
  - Separate-prime bidding
  - Single-prime bidding
  - Dual bidding pursuant to subsection (d1) of G.S. 143-128
  - Construction management at risk contracts pursuant to G.S. 143-128.1
  - Alternative contracting methods authorized pursuant to G.S. 143-135.26(9)
- Obtain written approval (in accordance with local policy) prior to award—submit Procurement History Form, Procurement Checklist, & any other documentation to:
  - Executive Director/Other
  - Board of Directors
- Procurement Checklist must be submitted to IMD for review and approval prior to award for all formal bids.
- Maintain written documentation to support that each requirement was met

Note: When submitting Claim for reimbursement in EBS, an approved Procurement Checklist is required as part of your documentation.

A written record of procurement history must be maintained for at least five (5) years after project closeout. Minimum documentation requirements are listed above with each procurement threshold.

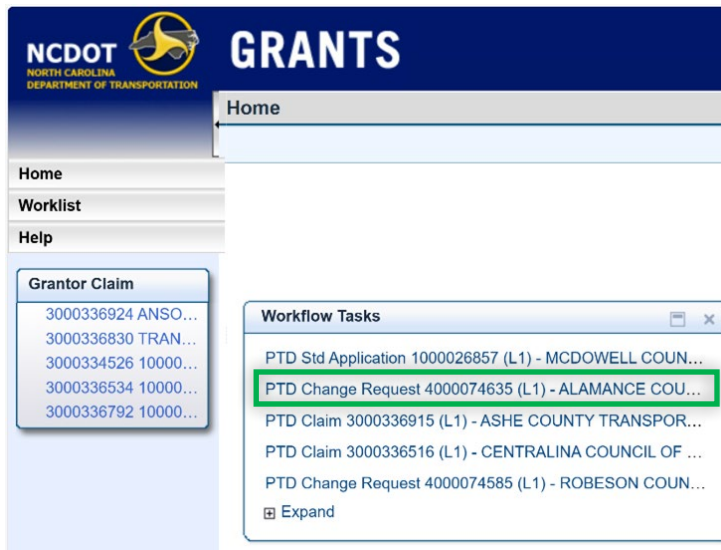
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# Salary & Wage Detail Report (1/2)

## Instructions

To reach the Salary & Wage Detail Report you will first log into the EBS portal at [www.ebs.nc.gov](http://www.ebs.nc.gov). Click on DOT Grants. You will see the home screen of your Workflow Tasks listing all pending L1 claims, change requests, and grant applications.

- 1 Click on one of the change requests in the Workflow Tasks section .



- 2 Scroll down to the Attachments section and click on Salary & Wage Detail report.

| Attachment Type | Name                              | Type                             |
|-----------------|-----------------------------------|----------------------------------|
|                 | doc00504820250609095855.pdf       | PDF File (Adobe Acrobat Excha... |
|                 | Salary & Wage Detail changes f... | MS Excel File                    |

- 3 Both the "Salary and Wage Detail" and "Salary & Wage Revision" tabs must be completed.

| DEPT. 4521 OBJECT CODE              | POSITION                | FTE | RATE | TOTAL      |
|-------------------------------------|-------------------------|-----|------|------------|
| DEPT. 4521 OBJECT CODE 121          | Transportation Director | 01  | 100% | \$ 56,650  |
|                                     | Admin Asst.             | 01  | 60%  | \$ 34,278  |
|                                     | Assistant Director      | 01  | 60%  | \$ 38,563  |
|                                     | TOTAL - OBJECT CODE 121 |     | 0%   | \$ -       |
| DEPT. 4521 OBJECT CODE 125          |                         |     |      | \$ 20,567  |
| DEPT. 4521 OBJECT CODE 126          |                         |     |      | \$ 23,138  |
| TOTAL DEPT. 4521 SALARIES AND WAGES |                         |     |      | \$ 100,355 |

- 4 Fill in the Salary & Wage detail report on the first tab.

It must list:

- Project number in cell C5.
- Agency name in cell C6.
- List all positions funded by this award in column C, even if no changes are being made to that position in column C.
- The percentage of each position's annual cost that is being applied to this award should be listed in column G.
- The Full-Time salary rate should be listed in column I.
- Column K is automatically calculated by multiplying the rate times the percentage listed in column I.
- The totals should match the Approved EBS budget.

| SQ NO                               | POSITION                | NO | %    | FTE RATE  | BUDGET AMOUNT |
|-------------------------------------|-------------------------|----|------|-----------|---------------|
| DEPT. 4521 OBJECT CODE 121          |                         |    |      |           |               |
| 01                                  | Transportation Director | 01 | 100% | \$ 56,650 | \$ 56,650     |
| 02                                  | Admin Asst.             | 01 | 60%  | \$ 34,278 | \$ 20,567     |
| 03                                  | Assistant Director      | 01 | 60%  | \$ 38,563 | \$ 23,138     |
| 04                                  |                         | 01 | 0%   | \$ -      | \$ -          |
| TOTAL - OBJECT CODE 121             |                         |    |      |           | \$ 100,355    |
| DEPT. 4521 OBJECT CODE 125          |                         |    |      |           |               |
| TOTAL - OBJECT CODE 125             |                         |    |      |           | \$ -          |
| DEPT. 4521 OBJECT CODE 126          |                         |    |      |           |               |
| TOTAL - OBJECT CODE 126             |                         |    |      |           | \$ -          |
| TOTAL DEPT. 4521 SALARIES AND WAGES |                         |    |      |           | \$ 100,355    |

# Salary & Wage Detail Report (2/2)

## 5 Fill in the Salary & Wage Revision on the second tab.

It must list:

- Agency name in row 2.
- Effective date in row 4.
- Positions and current budget must match the first tab.
- The proposed budget changes must be added to column H by position.
- The total change must match what the subrecipient entered in EBS.
- The "APPROVED/REVISED BUDGET" must match EBS.

| OBJECT TITLE              | CURRENT BUDGET    | + / - CHANGE       | APPROVED/REVISED BUDGET |
|---------------------------|-------------------|--------------------|-------------------------|
| 1 Transportation Director | \$ 56,650         | \$ (21,842)        | \$ 34,808               |
| 2 Admin Asst.             | \$ 20,567         | \$ (20,567)        | \$ -                    |
| 3 Assistant Director      | \$ 23,138         | \$ (11,923)        | \$ 11,215               |
| <b>121 TOTAL</b>          | <b>\$ 100,355</b> | <b>\$ (54,332)</b> | <b>\$ 46,023</b>        |
| 125                       | \$ -              | \$ -               | \$ -                    |
| <b>125 TOTAL</b>          | <b>\$ -</b>       | <b>\$ -</b>        | <b>\$ -</b>             |
| 126                       | \$ -              | \$ -               | \$ -                    |
| <b>126 TOTAL</b>          | <b>\$ -</b>       | <b>\$ -</b>        | <b>\$ -</b>             |
| <b>TOTAL</b>              | <b>\$ 100,355</b> | <b>\$ (54,332)</b> | <b>\$ 46,023</b>        |

## 6 Prepare the cover letter.

- Go back to the change request window and scroll down to the Attachments section.
- Click on the cover letter.
- Fill out the cover letter form.

Change Request: 4000074585, ROBESON COUNTY

Save | X Cancel | Refresh | View Form

Request

Change Request Overview Edit

General Data

Description: ROBESON COUNTY  
 Grantee ID/Name: 100000428 / ROBESON COUNTY  
 Grantee Contact: Sharon Robinson  
 Agreement #/Desc.: 2000060985 , ROBESON COUNTY  
 Program ID/Description: P2023\_CAPITAL / FY23 Capital Program 5339 & 5311  
 FA Project Number: 23-CT-074  
 NCDOT Hway Division:  
 TIP number:  
 Airport ID:

Posting Date: 08/13/2025  
 Effective Date:  
 Employee Responsible: Kenetta Spence  
 Last Changed By/On: 2SROBINSON1 / 08/13/2025  
 External Reference:  
 Status: Submitted  
 WBS Element: 36233.67.24.3  
 Rejected:

Notes

Attachments Attachment URL With Template Download Advanced Filter:

| Name                                  | Type                                     | Created By  | Created On       |
|---------------------------------------|--|-------------|------------------|
| Robeson Co FY23 Change Request Coy... | PDF File (Adobe Acrobat Exchange/Read... | 2SROBINSON1 | 08/13/2025 14:19 |

Upload Document(s) From Local Hard Disk: No file chosen. Browse Upload

Transaction History Filter:

| Transaction   | Document Type           | Description              | Transaction Type       | Created On | Created By      | Status                  | Relationship Type  |
|---------------|-------------------------|--------------------------|------------------------|------------|-----------------|-------------------------|--------------------|
| 2000060985    | CRM Grantor agreement   | ROBESON COUNTY           | PTD Std Agreement      | 08/01/2022 | Myra Freeman    | L3-Approved-Cont. Ma... | Preceding document |
| P2023_CAPITAL | Grantor Management ...  | FY23 Capital Program ... | Grantor Management ... | 07/09/2021 | Myra Freeman    | Released                | Preceding document |
| 4000074585    | CRM Grantor application | ROBESON COUNTY           | PTD Change Reuquest    | 08/13/2025 | Sharon Robinson | Submitted               | Next document      |

## Terminology (1/3)

- **Accessible Taxi** – An accessible taxi is a vehicle that is used by a private provider of on-demand transportation service to the public that is regulated and licensed for such use by the municipality, county, or other government entity. An accessible taxi is one which has the capacity to accommodate a passenger who uses a wheelchair as defined in DOT Final Rule.
  - **Americans with Disabilities Act (ADA)** – Public Law 336 of the 101st Congress, enacted July 26, 1990 (42 U.S.C. 12101 et seq.) The ADA prohibits discrimination and ensures equal opportunity for persons with disabilities in employment, State and local government services, public accommodations, commercial facilities, and transportation.
  - **Applicant**- An eligible entity that has submitted an application for funding, but which has not yet been awarded a grant for the funding cycle.
  - **Authorized Official** – An authorized official is a person who has been formally granted the legal or organizational authority to act on behalf of an entity.
  - **Certifying Official** –A certifying official is a person who is formally authorized to review, verify, and attest that information, documents, or statements submitted by an organization are accurate, complete, and compliant with applicable requirements.
  - **Compensation (For Purposes of FFATA Reporting)** – all cash and noncash dollar value earned by the executive during the applicant’s preceding fiscal year.
  - **Competitive Selection Process** - A process to rank and choose which projects will be funded. The projects selected must be derived from a locally developed, Coordinated Public Transit- Human Services Transportation Plan.
  - **DBA (Doing Business As) Name** – Preferred name of organization, what the entity goes by on invoices/records
  - **Disability** – The term ‘disability’ has the same meaning as in section 3(l) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101) The term “disability” means, with respect to an individual:
    - A. A physical or mental impairment that substantially limits one or more major life activities of such individual;
    - B. A record of such an impairment; or
    - C. Being regarded as having such an impairment.
  - **Federal Transit Administration** – The agency under the U.S. Department of Transportation that provides financial assistance to develop new transit systems and improve, maintain, and operate existing systems.
  - **Grantee** – Also referred to as a subrecipient, a grantee is an eligible entity that has applied for funds, has been awarded funds, and has executed a contract with the designated recipient of FTA funds.
-

## Terminology (2/3)

- **Human Service Transportation** – means transportation services provided by or on behalf of a human service agency to provide access to agency services and/or to meet the basic, day-to-day mobility needs of transportation-disadvantaged populations, especially individuals with disabilities, older adults, and people with low incomes.
  - **Lead Planning Agency** - The agency selected at the local level to lead the planning process for development of the coordinated public transit-human services transportation plan.
  - **Legal Name of the Applicant** - The formal name of the applicant group, ie: "County of ABC" vs. "ABC County".
  - **Locally Developed, Coordinated Public Transit-Human Services Transportation Plan** - Means a plan that identifies the transportation needs of individuals with disabilities, older adults and people with low incomes and provides strategies for meeting those local needs and prioritizes transportation services for funding and implementation.
  - **Mass Transportation or Mass Transit** – Synonymous with public transportation.
  - **Mobility Manager** - Consists of short-range planning and management activities and projects for improving coordination among public transportation and other transportation- service providers carried out by a recipient or subrecipient through an agreement entered into with a person, including a government entity, under 49 U.S.C. Chapter 53 (other than Section 5309). Mobility Manager does not include operating public transportation services.
  - **Non-profit Organization** - Means a corporation or association determined by the Secretary of the Treasury to be an organization described by 26 U.S.C. 501(c) which is exempt from taxation under 26 U.S.C. 501(a) or one which has been determined under state law to be non-profit and for which the designated state agency has received documentation certifying the status of the non-profit organization.
  - **Notary Public** – A notary public is an official commissioned by the state government to help prevent fraud by serving as an impartial witness when important documents are signed.
  - **Paratransit** – Type of passenger transportation which is more flexible than conventional fixed- route transit but more structured than the use of private automobiles. Most often refers to wheelchair-accessible, demand response service.
  - **Preventive Maintenance** – All maintenance costs related to vehicles and non-vehicles. Specifically, it is defined as all the activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the asset in a cost effective manner, up to and including the current state of the art for maintaining such an asset.
  - **Public Transportation** – Regular, continuing shared ride surface transportation services that are open to the general public or open to a segment
-

## Terminology (3/3)


of the general public defined by age, disability, or low income, and does not include: intercity passenger rail provided by Amtrak, intercity bus service, charter bus service, school bus service, sightseeing service, courtesy shuttle service for patrons of one or more specific establishments, or intra-terminal or intra-facility shuttle services.

- **Purchase of Services or Purchased Transportation** - Transportation services provided to an entity from a public or private transportation provider based on a written contract. The provider is obligated in advance to provide a transportation service for the entity using its own employees in vehicles operated by the provider. Purchased transportation does not include franchising, licensing operations, management services, cooperate agreements, or private conventional bus service.
  - **Recipient** - Means a state agency designated by the governor to receive funds apportioned by formula to the states under Federal Section 5310, 5316, or 5317. NCDOT is the designated agency in North Carolina for the Section 5310 Program in rural areas and small urban areas.
  - **Rural Area** – The term ‘rural area’ means an area encompassing a population of fewer than 50,000 people that has not been designated in the most recent decennial census as an “urbanized area” by the Secretary of Commerce.
  - **Senior** – The term ‘senior’ means an individual who is 65 years old or older.
  - **Service Area** – The entire area being served by an agency, even if funds are only being used in a certain sector.
  - **Small urbanized areas** - As used in the context of FTA formula grant programs are urbanized areas (UZA) with a population of at least 50,000 but less than 200,000 as determined by the Bureau of Census. The small urbanized areas in North Carolina include the areas of Burlington- Graham, Gastonia, Goldsboro, Greenville, High Point, Jacksonville, New Bern and Rocky Mount.
  - **Subrecipient** - Refers to a state or local governmental agency, non-profit organization or operator of public transportation services, including private operators of public transportation services that receives a grant under Federal Section 5310, 5316, or 5317 indirectly through a recipient.
  - **Traditional Section 5310 Projects** – Traditional Section 5310 projects are those public transportation capital projects planned, designed and carried out to meet the special needs of seniors and individuals with disabilities. From FY2020 there will be a separate Capital application for Purchase of Service projects from non-Community Transportation systems.
  - **Urbanized Area** - Means an area encompassing a population of not less than 50,000 people that has been defined and designated in the most recent decennial census as an “urbanized area” by the Secretary of Commerce. Small urbanized areas as used in the context of FTA formula grant programs are urbanized areas with a population of at least 50,000 but less than 200,000.
-

# PART 1 Background / Eligible Applicants

## Albemarle Regional Health Services (ICPTA) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match



The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Bladen County (BARTS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Brunswick Transit System, Inc. (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Cape Fear Public Transportation Authority (Wave) (Urban System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                  | Federal Share                                 | State Share                     | Local Match               |
|---|--|---|---------------------------------|---------------------------|
| 5303                                    | Yes - May be suballocated through MPO        | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>5310 Capital Purchase of Service</i> | -  | -   | -                               | -                         |
| 5310 Operating - Direct Apportionment   | Yes - Direct apportionment [not NCDOT]       | 50%   | 0%                              | 50%                       |
| <i>5311 Admin/Operating</i>             | -  | -   | -                               | -                         |
| Urban Advanced Technology               | Yes  | -   | 90%                             | 10%                       |
| Urban STI*                              | Yes  | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>Rural STI*</i>                       | -  | -   | -                               | -                         |
| <i>Combined Capital</i>                 | -  | -   | -                               | -                         |
| ConCPT CN (Consolidation)               | 2-system coordination required               | 0%  | 50%                             | 50%                       |
| ConCPT CO (Coordination)                | 3-system coordination required               | 0%  | 50%                             | 50%                       |
| <i>RO</i>                               | -  | -   | -                               | -                         |
| ROAP**                                  | Yes - May be suballocated through the County | 0%  | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0% |
| SMAP**                                  | Yes  | 0%  | 50%                             | 50%                       |
| TDM                                     | Yes  | There will be a separate application for FY28 |                                 |                           |
| Travelers' Aid                          | Yes  | 0%  | 50%                             | 50%                       |
| Urban State Match                       | Yes  | 0%  | 10%                             | Variable                  |
| <i>Non-STI Rural Expansion Vehicle</i>  | -  | -   | -                               | -                         |
| <i>Mobility Manager</i>                 | -  | -   | -                               | -                         |
| <i>Capital Cost of Contracting</i>      | -  | -   | -                               | -                         |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Carteret County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.


\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Choanoke Public Transportation Authority (CPTA) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match



The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Columbus County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**



\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




**Craven County (CARTS) (Small Urban System) Funding Eligibility**


**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating  | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI*   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| Rural STI  | -  | -                                     | -                               | -                                       |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO              | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP**   | Yes  | 0%                                    | 50%                             | 50%                                     |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | Yes  | 0%                                    | 10%                             | Variable                                |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Cumberland County Transit (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Dare County (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




# Fayetteville Area System of Transit (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                                 | State Share                     | Local Match               |
|--|--|---|---------------------------------|---------------------------|
| 5303   | Yes - May be suballocated through MPO        | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>5310 Capital Purchase of Service</i>  | -  | -   | -                               | -                         |
| 5310 Operating - Direct Apportionment  | Yes - Direct apportionment [not NCDOT]       | 50%   | 0%                              | 50%                       |
| <i>5311 Admin/Operating</i>  | -  | -   | -                               | -                         |
| Urban Advanced Technology  | Yes  | -   | 90%                             | 10%                       |
| Urban STI*   | Yes  | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>Rural STI</i>   | -  | -   | -                               | -                         |
| <i>Combined Capital</i>  | -  | -   | -                               | -                         |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%  | 50%                             | 50%                       |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%  | 50%                             | 50%                       |
| RO  | Yes  | 0%  | 50%                             | 50%                       |
| ROAP**   | Yes - May be suballocated through the County | 0%  | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0% |
| SMAP**   | Yes  | 0%  | 50%                             | 50%                       |
| TDM  | Yes  | There will be a separate application for FY28 |                                 |                           |
| Travelers' Aid   | Yes  | 0%  | 50%                             | 50%                       |
| Urban State Match  | Yes  | 0%  | 10%                             | Variable                  |
| <i>Non-STI Rural Expansion Vehicle</i>   | -  | -   | -                               | -                         |
| <i>Mobility Manager</i>  | -  | -   | -                               | -                         |
| <i>Capital Cost of Contracting</i>   | -  | -   | -                               | -                         |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Gates County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Harnett Area Rural Transit System (HARTS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Hoke Area Transit System (HATS) (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.



# PART 1 Background / Eligible Applicants

## Hyde County / Tyrrell County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match



Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


# Jacksonville Transit (Small Urban System) Funding Eligibility


## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                    | Federal Share | State Share | Local Match |
|--|--------------------------------|---------------|-------------|-------------|
| 5303   | -                              | -             | -           | -           |
| 5310 Capital Purchase of Service   | -                              | -             | -           | -           |
| 5310 Operating    | Yes                            | 50%           | 0%          | 50%         |
| 5311 Admin/Operating   | -                              | -             | -           | -           |
| Urban Advanced Technology  | -                              | -             | -           | -           |
| Urban STI*   | Yes                            | 80%           | 0 - 10%     | 10 - 20%    |
| Rural STI  | -                              | -             | -           | -           |
| Combined Capital  | -                              | -             | -           | -           |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%            | 50%         | 50%         |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%            | 50%         | 50%         |
| RO   | -                              | -             | -           | -           |
| ROAP   | -                              | -             | -           | -           |
| SMAP**   | Yes                            | 0%            | 50%         | 50%         |
| TDM  | -                              | -             | -           | -           |
| Travelers' Aid   | Yes                            | 0%            | 50%         | 50%         |
| Urban State Match  | Yes                            | 0%            | 10%         | Variable    |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%           | 0%          | 20%         |
| Mobility Manager   | -                              | -             | -           | -           |
| Capital Cost of Contracting  | -                              | -             | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 5339 SU funds are directly allocated to the SU transit systems through a separate process.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Onslow United Transit System, Inc. (OUTS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match


Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


**Pender Adult Services Inc. (PAS)  
(Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   |                                |                                       |                        |   |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**


**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.


**Richmond Interagency Transportation, Inc. (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   | -                              | -                                     | -                      | -                                       |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**


**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.


**Robeson County (SEATS) (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   | -                              | -                                     | -                      | -                                       |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**


**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.


**Sampson County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   | -                              | -                                     | -                      | -                                       |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**


**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.


**Scotland County (SCATS) (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   | -                              | -                                     | -                      | -                                       |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

# PART 1 Background / Eligible Applicants

## Washington County (Riverlight Transit) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Beaufort County Developmental Center, Inc. (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Chapel Hill Transit (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.

# Chatham Transit Network (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Duplin County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# GoCary (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made.

# PART 1 Background / Eligible Applicants


## GoDurham / Durham County (Urban System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                                 | State Share                     | Local Match               |
|--|--|---|---------------------------------|---------------------------|
| 5303   | Yes - May be suballocated through MPO        | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>5310 Capital Purchase of Service</i>  | -  | -   | -                               | -                         |
| 5310 Operating - Direct Apportionment  | Yes - Direct apportionment [not NCDOT]       | 50%   | 0%                              | 50%                       |
| <i>5311 Admin/Operating</i>  | -  | -   | -                               | -                         |
| Urban Advanced Technology  | Yes  | -   | 90%                             | 10%                       |
| Urban STI*   | Yes  | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>Rural STI*</i>  | -  | -   | -                               | -                         |
| <i>Combined Capital</i>  | -  | -   | -                               | -                         |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%  | 50%                             | 50%                       |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%  | 50%                             | 50%                       |
| RO  | Yes  | 0%  | 50%                             | 50%                       |
| ROAP**   | Yes - May be suballocated through the County | 0%  | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0% |
| SMAP**   | Yes  | 0%  | 50%                             | 50%                       |
| TDM  | Yes  | There will be a separate application for FY28 |                                 |                           |
| Travelers' Aid   | Yes  | 0%  | 50%                             | 50%                       |
| Urban State Match  | Yes  | 0%  | 10%                             | Variable                  |
| <i>Non-STI Rural Expansion Vehicle</i>   | -  | -   | -                               | -                         |
| <i>Mobility Manager</i>  | -  | -   | -                               | -                         |
| <i>Capital Cost of Contracting</i>   | -  | -   | -                               | -                         |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# GoRaleigh (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# GoTriangle (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**



\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made.

# PART 1 Background / Eligible Applicants


## Goldsboro-Wayne Transportation Authority (Small Urban System) Funding Eligibility


### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | Yes - May be suballocated through MPO        | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| <i>5310 Capital Purchase of Service</i>  | -  | -                                     | -                               | -                                       |
| 5310 Operating  | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| <i>Urban Advanced Technology</i>   | -  | -                                     | -                               | -                                       |
| Urban STI*   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| <i>Rural STI</i>   | -  | -                                     | -                               | -                                       |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO              | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP**   | Yes  | 0%                                    | 50%                             | 50%                                     |
| <i>TDM</i>   | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | Yes  | 0%                                    | 10%                             | Variable                                |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# GoWake Access (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Greene County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match



Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


# Greenville Area Transit (Small Urban System) Funding Eligibility


## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                    | Federal Share | State Share | Local Match |
|--|--------------------------------|---------------|-------------|-------------|
| 5303   | -                              | -             | -           | -           |
| 5310 Capital Purchase of Service   | -                              | -             | -           | -           |
| 5310 Operating    | Yes                            | 50%           | 0%          | 50%         |
| 5311 Admin/Operating   | -                              | -             | -           | -           |
| Urban Advanced Technology  | -                              | -             | -           | -           |
| Urban STI*   | Yes                            | 80%           | 0 - 10%     | 10 - 20%    |
| Rural STI  | -                              | -             | -           | -           |
| Combined Capital  | -                              | -             | -           | -           |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%            | 50%         | 50%         |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%            | 50%         | 50%         |
| RO   | -                              | -             | -           | -           |
| ROAP   | -                              | -             | -           | -           |
| SMAP**   | Yes                            | 0%            | 50%         | 50%         |
| TDM  | -                              | -             | -           | -           |
| Travelers' Aid   | Yes                            | 0%            | 50%         | 50%         |
| Urban State Match  | Yes                            | 0%            | 10%         | Variable    |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%           | 0%          | 20%         |
| Mobility Manager   | -                              | -             | -           | -           |
| Capital Cost of Contracting  | -                              | -             | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 5339 SU funds are directly allocated to the SU transit systems through a separate process.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made.



# Johnston County Area Transit (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




**Kerr Area (KARTS) (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Lee County (COLTS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Lenoir County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# Lincoln County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Martin County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




**Moore County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                    | Federal Share                         | State Share            | Local Match                             |
|--|--------------------------------|---------------------------------------|------------------------|---|
| 5303   | -                              | -                                     | -                      | -                                       |
| 5310 Capital Purchase of Service   | -                              | -                                     | -                      | -                                       |
| 5310 Operating   | Yes                            | 50%                                   | 0%                     | 50%                                     |
| 5311 Admin/Operating   | Yes                            | Admin 80%, Operating 50%              | Admin 5%, Operating 0% | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -                              | -                                     | -                      | -                                       |
| Urban STI  | -                              | -                                     | -                      | -                                       |
| Rural STI*   | Yes                            | 80 - 90%                              | 0 - 10%                | 10 - 20%                                |
| Combined Capital   | Yes                            | 80%                                   | 0 - 10%                | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%                                    | 50%                    | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%                                    | 50%                    | 50%                                     |
| RO  | Yes                            | 0%                                    | 50%                    | 50%                                     |
| ROAP   | -                              | -                                     | -                      | -                                       |
| SMAP   | -                              | -                                     | -                      | -                                       |
| TDM  | -                              | -                                     | -                      | -                                       |
| Travelers' Aid   | Yes                            | 0%                                    | 50%                    | 50%                                     |
| Urban State Match  | -                              | -                                     | -                      | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%                                   | 0%                     | 20%                                     |
| Mobility Manager   | Yes                            | 80%                                   | 10%                    | 10%                                     |
| Capital Cost of Contracting  | Yes                            | 0 - 80% (Varies by Types of Contract) | 0 - 10%                | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

**Orange County Public Transportation  
(Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Person County (PATs) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Pitt County/Pitt Area Transit System (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match



Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


## Tar River Transit (Small Urban System) Funding Eligibility


### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating  | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI*   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| Rural STI  | -  | -                                     | -                               | -                                       |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO              | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP**   | Yes  | 0%                                    | 50%                             | 50%                                     |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | Yes  | 0%                                    | 10%                             | Variable                                |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Wilson County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Wilson, City of (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Allegheny County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




# AppalCART Boone (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP**   | Yes  | 0%                                    | 50%                             | 50%                                     |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Ashe County Transportation Authority  
(Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Asheville Rides Transit  
(Urban System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                       | Federal Share                                 | State Share                        | Local Match               |
|---|---|---|------------------------------------|---------------------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO        | 80%   | 0 - 10%                            | 10 - 20%                  |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -                                  | -                         |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT]       | 50%   | 0%                                 | 50%                       |
| <i>5311 Admin/Operating</i>             | -   | -   | -                                  | -                         |
| Urban Advanced Technology               | Yes   | -   | 90%                                | 10%                       |
| Urban STI*                              | Yes   | 80%   | 0 - 10%                            | 10 - 20%                  |
| <i>Rural STI</i>                        | -   | -   | -                                  | -                         |
| <i>Combined Capital</i>                 | -   | -   | -                                  | -                         |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required                 | 0%  | 50%                                | 50%                       |
| ConCPT CO (Coordination)                | 3-system<br>coordination required                 | 0%  | 50%                                | 50%                       |
| <i>RO</i>                               | -   | -   | -                                  | -                         |
| ROAP**                                  | Yes - May be suballoca-<br>ted through the County | 0%  | EDTAP 100%, EMPL 100%,<br>RGP 100% | EDTAP 0%, EMPL 0%, RGP 0% |
| SMAP**                                  | Yes   | 0%  | 50%                                | 50%                       |
| TDM                                     | Yes   | There will be a separate application for FY28 |                                    |                           |
| Travelers' Aid                          | Yes   | 0%  | 50%                                | 50%                       |
| Urban State Match                       | Yes   | 0%  | 10%                                | Variable                  |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -                                  | -                         |
| <i>Mobility Manager</i>                 | -   | -   | -                                  | -                         |
| <i>Capital Cost of Contracting</i>      | -   | -   | -                                  | -                         |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Avery Co. (ACT) (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Buncombe County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Cherokee County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# Clay County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



## EBCI (Non-Profit) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                    | Federal Share | State Share | Local Match |
|----------------------------------|--------------------------------|---------------|-------------|-------------|
| 5303                             | -                              | -             | -           | -           |
| 5310 Capital Purchase of Service | -                              | -             | -           | -           |
| 5310 Operating                   | -                              | -             | -           | -           |
| 5311 Admin/Operating             | -                              | -             | -           | -           |
| Urban Advanced Technology        | -                              | -             | -           | -           |
| Urban STI                        | -                              | -             | -           | -           |
| Rural STI                        | -                              | -             | -           | -           |
| Combined Capital                 | -                              | -             | -           | -           |
| ConCPT CN (Consolidation)        | 2-system coordination required | 0%            | 50%         | 50%         |
| ConCPT CO (Coordination)         | 3-system coordination required | 0%            | 50%         | 50%         |
| RO                               | -                              | -             | -           | -           |
| ROAP**                           | Yes (RGP only)                 | 0%            | RGP 100%    | RGP 0%      |
| SMAP                             | -                              | -             | -           | -           |
| TDM                              | -                              | -             | -           | -           |
| Travelers' Aid                   | Yes                            | 0%            | 50%         | 50%         |
| Urban State Match                | -                              | -             | -           | -           |
| Non-STI Rural Expansion Vehicle  | -                              | -             | -           | -           |
| Mobility Manager                 | -                              | -             | -           | -           |
| Capital Cost of Contracting      | -                              | -             | -           | -           |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Graham County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# Jackson County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Macon County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Madison County Transportation Authority (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## McDowell County Transportation (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Mitchell County Transportation Authority (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Mountain Projects Inc. Haywood Co. (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Polk County (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Rutherford County Transit (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Swain County Focal Point on Aging Inc. (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Transylvania County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## WCCA-Apple Country (Henderson County) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**WCCA-Apple Country (Hendersonville)  
(Urban System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI*</i>                       | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.




# WPRTA (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




**Wilkes Transportation Authority  
(Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Yancey County Transportation  
(Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Alamance County (ACTA) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# PART 1 Background / Eligible Applicants

## Anson County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match


Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


# Burlington Link Transit (Small Urban System) Funding Eligibility


## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                    | Federal Share | State Share | Local Match |
|--|--------------------------------|---------------|-------------|-------------|
| 5303   | -                              | -             | -           | -           |
| 5310 Capital Purchase of Service   | -                              | -             | -           | -           |
| 5310 Operating    | Yes                            | 50%           | 0%          | 50%         |
| 5311 Admin/Operating   | -                              | -             | -           | -           |
| Urban Advanced Technology  | -                              | -             | -           | -           |
| Urban STI*   | Yes                            | 80%           | 0 - 10%     | 10 - 20%    |
| Rural STI  | -                              | -             | -           | -           |
| Combined Capital  | -                              | -             | -           | -           |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%            | 50%         | 50%         |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%            | 50%         | 50%         |
| RO   | -                              | -             | -           | -           |
| ROAP   | -                              | -             | -           | -           |
| SMAP**   | Yes                            | 0%            | 50%         | 50%         |
| TDM  | -                              | -             | -           | -           |
| Travelers' Aid   | Yes                            | 0%            | 50%         | 50%         |
| Urban State Match  | Yes                            | 0%            | 10%         | Variable    |
| Non-STI Rural Expansion Vehicle  | -                              | -             | -           | -           |
| Mobility Manager   | -                              | -             | -           | -           |
| Capital Cost of Contracting  | -                              | -             | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

 5339 SU funds are directly allocated to the SU transit systems through a separate process.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made.



**Cabarrus County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Caswell County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Charlotte Area Transit System (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.

# Cleveland County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Concord CK Rider (Urban System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.

# PART 1 Background / Eligible Applicants

## Davidson County (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

# Gaston County (Rural System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



**Gastonia City (Urban System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| Combined Capital                        | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.

# Greensboro Transit Authority (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                            | Federal Share                                 | State Share | Local Match |
|---|--|---|-------------|-------------|
| 5303                                    | Yes - May be suballocated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -                                      | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct apportionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -                                      | -   | -           | -           |
| Urban Advanced Technology               | Yes                                    | -   | 90%         | 10%         |
| Urban STI*                              | Yes                                    | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI*</i>                       | -                                      | -   | -           | -           |
| <i>Combined Capital</i>                 | -                                      | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system coordination required         | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system coordination required         | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -                                      | -   | -           | -           |
| <i>ROAP</i>                             | -                                      | -   | -           | -           |
| SMAP**                                  | Yes                                    | 0%  | 50%         | 50%         |
| TDM                                     | Yes                                    | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes                                    | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes                                    | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -                                      | -   | -           | -           |
| <i>Mobility Manager</i>                 | -                                      | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -                                      | -   | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made.

# PART 1 Background / Eligible Applicants

## Guilford County (TAMS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.


# High Point Transit (Small Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program  | Eligibility                    | Federal Share | State Share | Local Match |
|--|--------------------------------|---------------|-------------|-------------|
| 5303   | -                              | -             | -           | -           |
| 5310 Capital Purchase of Service   | -                              | -             | -           | -           |
| 5310 Operating  | Yes                            | 50%           | 0%          | 50%         |
| 5311 Admin/Operating   | -                              | -             | -           | -           |
| Urban Advanced Technology  | -                              | -             | -           | -           |
| Urban STI*   | Yes                            | 80%           | 0 - 10%     | 10 - 20%    |
| Rural STI  | -                              | -             | -           | -           |
| Combined Capital   | -                              | -             | -           | -           |
| ConCPT CN (Consolidation)  | 2-system coordination required | 0%            | 50%         | 50%         |
| ConCPT CO (Coordination)   | 3-system coordination required | 0%            | 50%         | 50%         |
| RO   | -                              | -             | -           | -           |
| ROAP   | -                              | -             | -           | -           |
| SMAP**   | Yes                            | 0%            | 50%         | 50%         |
| TDM  | -                              | -             | -           | -           |
| Travelers' Aid   | Yes                            | 0%            | 50%         | 50%         |
| Urban State Match  | Yes                            | 0%            | 10%         | Variable    |
| Non-STI Rural Expansion Vehicle  | Yes                            | 80%           | 0%          | 20%         |
| Mobility Manager   | -                              | -             | -           | -           |
| Capital Cost of Contracting  | -                              | -             | -           | -           |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

 5310 Operating grant eligibility for small urban systems has changed since FY26. Click [here](#) for details.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made.



# PART 1 Background / Eligible Applicants

## Iredell County (ICATS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | Yes  | 0%                                    | 10%                             | Variable                                |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Mecklenburg County  
(Urban System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**


**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.


# PART (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program   | Eligibility                            | Federal Share                                 | State Share | Local Match |
|---|--|---|-------------|-------------|
| 5303  | Yes - May be suballocated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i>   | -                                      | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct apportionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>   | -                                      | -   | -           | -           |
| Urban Advanced Technology   | Yes                                    | -   | 90%         | 10%         |
| Urban STI*  | Yes                                    | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>  | -                                      | -   | -           | -           |
| <i>Combined Capital</i>  | -                                      | -   | -           | -           |
| ConCPT CN (Consolidation)   | 2-system coordination required         | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)  | 3-system coordination required         | 0%  | 50%         | 50%         |
| <i>RO</i>   | -                                      | -   | -           | -           |
| <i>ROAP</i>   | -                                      | -   | -           | -           |
| SMAP**  | Yes                                    | 0%  | 50%         | 50%         |
| TDM   | Yes                                    | There will be a separate application for FY28 |             |             |
| Travelers' Aid  | Yes                                    | 0%  | 50%         | 50%         |
| Urban State Match   | Yes                                    | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -                                      | -   | -           | -           |
| <i>Mobility Manager</i>   | -                                      | -   | -           | -           |
| <i>Capital Cost of Contracting</i>  | -                                      | -   | -           | -           |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


 5339 SU funds are directly allocated to the SU transit systems through a separate process.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made.


**Randolph County (RCATS) (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Rockingham (ADTS) (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# PART 1 Background / Eligible Applicants

## Rowan Transit System (RTS) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Salisbury Transit System (STS)  
(Urban System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                         | Eligibility                                 | Federal Share                                 | State Share | Local Match |
|---|---|---|-------------|-------------|
| 5303                                    | Yes - May be suballo-<br>cated through MPO  | 80%   | 0 - 10%     | 10 - 20%    |
| <i>5310 Capital Purchase of Service</i> | -   | -   | -           | -           |
| 5310 Operating - Direct Apportionment   | Yes - Direct appor-<br>tionment [not NCDOT] | 50%   | 0%          | 50%         |
| <i>5311 Admin/Operating</i>             | -   | -   | -           | -           |
| Urban Advanced Technology               | Yes   | -   | 90%         | 10%         |
| Urban STI*                              | Yes   | 80%   | 0 - 10%     | 10 - 20%    |
| <i>Rural STI</i>                        | -   | -   | -           | -           |
| <i>Combined Capital</i>                 | -   | -   | -           | -           |
| ConCPT CN (Consolidation)               | 2-system<br>coordination required           | 0%  | 50%         | 50%         |
| ConCPT CO (Coordination)                | 3-system<br>coordination required           | 0%  | 50%         | 50%         |
| <i>RO</i>                               | -   | -   | -           | -           |
| <i>ROAP</i>                             | -   | -   | -           | -           |
| SMAP**                                  | Yes   | 0%  | 50%         | 50%         |
| TDM                                     | Yes   | There will be a separate application for FY28 |             |             |
| Travelers' Aid                          | Yes   | 0%  | 50%         | 50%         |
| Urban State Match                       | Yes   | 0%  | 10%         | Variable    |
| <i>Non-STI Rural Expansion Vehicle</i>  | -   | -   | -           | -           |
| <i>Mobility Manager</i>                 | -   | -   | -           | -           |
| <i>Capital Cost of Contracting</i>      | -   | -   | -           | -           |

**Eligible Sources of  
Local Match**

**Other Non-USDOT  
Federal Funds for  
Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* SMAP grants have a different application and award schedule, which is subject to an approved State budget before disburse-  
ments can be made.

# PART 1 Background / Eligible Applicants

## Stanly County (SCUSA) (Rural System) Funding Eligibility

### 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

Eligible Sources of Local Match

Other Non-USDOT Federal Funds for Local Match

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

**Union County (Rural System)  
Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program                  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|----------------------------------|--|---------------------------------------|---------------------------------|---|
| 5303                             | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service | -  | -                                     | -                               | -                                       |
| 5310 Operating                   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating             | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology        | -  | -                                     | -                               | -                                       |
| Urban STI                        | -  | -                                     | -                               | -                                       |
| Rural STI*                       | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital                 | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)        | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)         | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO                               | -  | -                                     | -                               | -                                       |
| ROAP**                           | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP                             | -  | -                                     | -                               | -                                       |
| TDM                              | -  | -                                     | -                               | -                                       |
| Travelers' Aid                   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match                | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager                 | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting      | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.



# WSTA (Urban System) Funding Eligibility

## 1.2.2.1 Transit System Funding Eligibility Table

| Funding Program                         | Eligibility                                  | Federal Share                                 | State Share                     | Local Match               |
|---|--|---|---------------------------------|---------------------------|
| 5303                                    | Yes - May be suballocated through MPO        | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>5310 Capital Purchase of Service</i> | -  | -   | -                               | -                         |
| 5310 Operating - Direct Apportionment   | Yes - Direct apportionment [not NCDOT]       | 50%   | 0%                              | 50%                       |
| <i>5311 Admin/Operating</i>             | -  | -   | -                               | -                         |
| Urban Advanced Technology               | Yes  | -   | 90%                             | 10%                       |
| Urban STI*                              | Yes  | 80%   | 0 - 10%                         | 10 - 20%                  |
| <i>Rural STI</i>                        | -  | -   | -                               | -                         |
| <i>Combined Capital</i>                 | -  | -   | -                               | -                         |
| ConCPT CN (Consolidation)               | 2-system coordination required               | 0%  | 50%                             | 50%                       |
| ConCPT CO (Coordination)                | 3-system coordination required               | 0%  | 50%                             | 50%                       |
| <i>RO</i>                               | -  | -   | -                               | -                         |
| ROAP**                                  | Yes - May be suballocated through the County | 0%  | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0% |
| SMAP**                                  | Yes  | 0%  | 50%                             | 50%                       |
| TDM                                     | Yes  | There will be a separate application for FY28 |                                 |                           |
| Travelers' Aid                          | Yes  | 0%  | 50%                             | 50%                       |
| Urban State Match                       | Yes  | 0%  | 10%                             | Variable                  |
| <i>Non-STI Rural Expansion Vehicle</i>  | -  | -   | -                               | -                         |
| <i>Mobility Manager</i>                 | -  | -   | -                               | -                         |
| <i>Capital Cost of Contracting</i>      | -  | -   | -                               | -                         |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**


\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP and SMAP grants have a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.




**YVEDDI (Rural System) Funding Eligibility**

**1.2.2.1 Transit System Funding Eligibility Table**

| Funding Program  | Eligibility                                  | Federal Share                         | State Share                     | Local Match                             |
|--|--|---------------------------------------|---------------------------------|---|
| 5303   | -  | -                                     | -                               | -                                       |
| 5310 Capital Purchase of Service   | -  | -                                     | -                               | -                                       |
| 5310 Operating   | Yes  | 50%                                   | 0%                              | 50%                                     |
| 5311 Admin/Operating   | Yes  | Admin 80%, Operating 50%              | Admin 5%, Operating 0%          | Admin 15%, Operating 50%                |
| Urban Advanced Technology  | -  | -                                     | -                               | -                                       |
| Urban STI  | -  | -                                     | -                               | -                                       |
| Rural STI*   | Yes  | 80 - 90%                              | 0 - 10%                         | 10 - 20%                                |
| Combined Capital   | Yes  | 80%                                   | 0 - 10%                         | 10 - 20%                                |
| ConCPT CN (Consolidation)  | 2-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| ConCPT CO (Coordination)   | 3-system coordination required               | 0%                                    | 50%                             | 50%                                     |
| RO  | Yes  | 0%                                    | 50%                             | 50%                                     |
| ROAP**   | Yes - May be suballocated through the County | 0%                                    | EDTAP 100%, EMPL 100%, RGP 100% | EDTAP 0%, EMPL 0%, RGP 0%               |
| SMAP   | -  | -                                     | -                               | -                                       |
| TDM  | -  | -                                     | -                               | -                                       |
| Travelers' Aid   | Yes  | 0%                                    | 50%                             | 50%                                     |
| Urban State Match  | -  | -                                     | -                               | -                                       |
| Non-STI Rural Expansion Vehicle  | Yes  | 80%                                   | 0%                              | 20%                                     |
| Mobility Manager   | Yes  | 80%                                   | 10%                             | 10%                                     |
| Capital Cost of Contracting  | Yes  | 0 - 80% (Varies by Types of Contract) | 0 - 10%                         | 10% - 90% (Varies by Types of Contract) |

**Eligible Sources of Local Match**

**Other Non-USDOT Federal Funds for Local Match**

 The Rural State Operating program is 100% State funded. The individual grant amounts will be based upon the amount of State funding available for the program and the number of applications received. Applicants will receive their specific funding amount from their Regional Grant Specialist.

\* State funding is subject to State appropriations and availability of funds. State funding is not guaranteed until appropriated and disbursed.

\*\* ROAP grant has a different application and award schedule, which is subject to an approved State budget before disbursements can be made. ROAP funding goes to each individual County.

