

Exhibits

- Exhibit A: Project Implementation Schedule
- Exhibit B-1: Price Proposal Instructions
- Exhibit B-2: Pricing Sheets
- Exhibit C-1: Proposal Cover Sheet
- Exhibit C-2: List of Subcontractors & RS-2 Form
- Exhibit C-3: Recent Client List
- Exhibit C-4: Proposer Questions Form
- Exhibit C-5: Non-Collusion Forms
- Exhibit C-6: Acknowledgement of Receipt of Addenda
- Exhibit C-7: HUB Supplemental Vendor Information Form
- Exhibit C-8: Requirements Conformance Matrix
- Exhibit C-9: Proposer Company Reference Form
- Exhibit C-10: Surety Commitment Letter
- Exhibit D: Payment Schedule
- Exhibit E: Estimated Transition Impact Fees

Exhibit A

Project Implementation Schedule

Exhibit A – Project Implementation Schedule		
Major Milestone Description	Projected Start	Projected End
Implementation Phase Notice to Proceed (NTP)	Nov 26	--
Project Kickoff Meeting	Nov 26	--
Project Planning Documentation (Project Schedule, Project Management Plan, Master Test Plan)	Nov 26	60 Calendar Days after NTP
System Design		
Requirements Review / Business Rules Workshops	Nov 26	Dec 26
Bill of Materials	Dec 26	Jan 27
Third Party Hardware and Software Documentation Submitted	Jan 27	Jan 27
System Detailed Design Document (SDDD) Approval	Feb 27	May 27
RTCS Installation Design and Documentation Package Approval	Mar 27	May 27
Installation Plan Approved	May 27	Jun 27
RTCS, Installation and Test		
Major Milestones: Factory Acceptance Test (FAT)	Jul 27	Aug 27
NCTA OBO Interface Test	Sep 27	Sep 27
Maintenance Plan and Training Plan	Sep 27	Oct 27
Installation	Oct 27	May 28
Installation and Commissioning Approved Ready for Go Live - Tolling Location 1 Installation and OIT	Oct 27	Nov 27
Major Milestone: Toll Zone commissioning for revenue service during Site Installation Test - Installation and Site Installation Test of Monroe Expressway Installation and Commissioning Approved Ready for Go Live - Tolling Locations 2 – 14.	Dec 27	May 28
Training Complete	Sep 27	May 28
Final Testing and Phase Closeout		
Start of Maintenance		Nov 27
System Acceptance Test (SAT)		Jun 28
As-Built System Detailed Design Document (SDDD) Approved		Aug 28
As-Built Drawing Package Approved		Aug 28

Exhibit B-1

Price Proposal Instructions

Table of Contents

Table of Contents.....	1
1 GENERAL INSTRUCTIONS	2
2 INSTRUCTIONS ON COMPLETING THE PRICE PROPOSAL FORMS	2
3 TOTAL PROJECT COSTS	4
4 COMPLETION OF THE RSS COST SUMMARY - SHEETS 2, 2-1 and 2-2.....	4
5 COMPLETION OF TOLL RECONCILIATION HOST COST - SHEETS 3 AND 3-1.....	5
6 COMPLETION OF TOLL SYSTEM IMPLEMENTATION COST SHEETS 4 and 4-1	5
7 COMPLETION OF ROADSIDE HARDWARE MAINTENANCE AND SOFTWARE SUPPORT SERVICES COST (BASE AND OPTIONAL EXTENSIONS) SHEETS 5, and 5-1..	6
8 COMPLETION OF TRH HARDWARE MAINTENANCE AND SOFTWARE SUPPORT SERVICES COST (BASE AND OPTIONAL EXTENSIONS) SHEETS 6, and 6-1.....	6
9 COMPLETION OF FACILITY MAINTENANCE (BASE AND EXTENSIONS) SHEETS 7 and 7-1.....	7
10 COMPLETION OF ADDITIONAL SERVICES RATES SHEET 8-1	7
11 COST ESCALATION	8

I GENERAL INSTRUCTIONS

Proposers shall complete their Price Proposals in accordance with the following instructions:

1. The Price Proposal Forms are provided in Excel format worksheets for ease of completion and checking.
2. Proposers shall submit their Price Proposals on the Price Proposal Forms included as Exhibit B-2. Price Proposals shall be sealed and submitted separate from the Technical Proposal.
3. The Price Proposal Forms shall constitute the full and complete Price Proposal for compensation for performance of the Contractor's obligations and Work under the Monroe Expressway RTCS Project.
4. Proposers must complete the Price Proposal Forms in their entirety. The Price Proposal Forms for the Project are as outlined in **Table I** below.
5. Proposers should not fill in any grayed-out cells on the Price Proposal Forms, nor shall Proposers make any other entry on or alteration to the Price Proposal Forms other than in accordance with these Price Proposal Instructions.
6. NCTA may waive or correct any error appearing in a Proposer's completed Price Proposal Forms if the correct amount can be clearly ascertained from the information provided; however, NCTA is under no obligation to do so. In the event of an inconsistency between the amount stated in numbers and the amount stated in written words, the amount stated in written words will be controlled. In the event of a mathematical miscalculation, the correct sum will be controlled.
7. An officer of the Proposer or an individual otherwise authorized in writing by an officer of the Proposer must sign and date Sheet I in the appropriate place as identified.
8. All elements of the Price Proposal must be completed. If zero quantities are included for a line item in the Proposal, a zero must be entered into the corresponding cell. In addition, all items identified by NCTA in the Price Proposal Forms will be assumed to be included in the Price Proposal.
9. NCTA reserves the right to reject Price Proposals that are not completed in accordance with the instructions set forth herein.
10. Instructions for completion of each of the Price Proposal Forms are provided in Sections 2 through 12 below.
11. No price escalation will be allowed above the costs provided on the Price Proposal Sheets to complete this Work except as set forth in Section 12.
12. The Price Proposal shall be inclusive of all costs, fees and applicable taxes needed to meet the requirements of the RFP, included in **Part III, Scope of Work and Requirements**. All pricing shall be provided in dollar values relative to the expected time the work will be performed. No price escalation will be allowed above the costs provided on the Price Proposal Forms.

2 INSTRUCTIONS ON COMPLETING THE PRICE PROPOSAL FORMS

1. The Price Proposal Forms, as detailed above, include pricing summary sheets (Sheets 1, 2, 3, 4, 5, 6, and 7) and associated Backup information on Backup sheets for each pricing sheet. Backup sheets for each summary sheet are labeled to identify the corresponding summary pricing sheet; for example, Sheet 2-1 and Sheet 2-2 are Backup sheets to pricing Sheet 2. Backup sheets are located following summary sheets 1 through 7. The Additional Services Rates sheet 8-1 are standalone sheets and do not require a summary sheet.
2. Table 1 below summarizes the Price Proposal forms that shall be completed by all Proposers. Each form is located on a unique sheet in an Excel workbook. The table provides the following information for each form:
 - a. The sheet number (e.g. 2, 2-1, etc.)
 - b. The sheet title is listed at the top of each sheet

Table 1 – Price Proposal Form Summary

Sheet Number	Sheet Title
1	Monroe Expressway RTCS Project Summary
2	RSS Cost Summary
2-1	Backup RSS
2-2	Backup RSS Spares
3	TRH Summary
3-1	Backup TRH
4	Toll System Implementation
4-1	Backup Toll System Implementation
5	RSS Maintenance
5-1	Backup Base Contract and Optional Extensions: Monroe Expressway RTCS RSS Maintenance Cost Summary – Labor and Other Direct Cost Items by Month
6	TRH Maintenance
6-1	Backup Base Contract and Optional Extensions: Monroe Expressway RTCS TRH Maintenance Cost Summary – Labor and Other Direct Cost Items by Month
7	Facility Maintenance
7-1	Backup Base Contract and Optional Extensions: Monroe Expressway RTCS Facility Maintenance Cost Summary – Labor and Other Direct Cost Items by Month
8-1	Labor Rates for Future Additional Services

3. The Price Proposal Forms are password protected and shall not be unlocked by Proposers. Only in the unlocked cells may the Proposers enter data.
4. Blue colored tabs represent summary sheets that do *not* require Proposer input. Green tabs represent worksheets that require Proposer input.
5. On most sheets there are formulas that are automatically calculated based on data entered from elsewhere in the sheet or workbook. Font and background colors are used to indicate different types of cells as follows:
 - Black font – Indicates the cell cannot be altered by Proposer.
 - Red font – Indicates the Proposer should enter data.

- Light yellow background – Indicates a cell where Proposer is expected to or may input data
 - Light green background – Indicates that data has been entered into the cell. Light red and light-yellow background will change to light green when any non-zero data is entered. The background for any cells where the Proposer enters zero (0) will not change colors in this manner.
6. While NCTA has made every effort to ensure the Price Proposal Forms contain accurate formulas and calculation, Proposers are required to independently verify that formulas and calculations are being performed correctly.

3 TOTAL PROJECT COSTS

The Proposer's proposed total price shall be the aggregate of all costs included in Sheet 1. Sheet 1 will automatically roll-up and present the totals from Sheets 2 through Sheet 8. The total cost for the Implementation and Base Maintenance phases are aggregated in the row labelled "Total Implementation and Base Term Maintenance and Operations Cost", which will be the value used for the selection process. The Optional Extension costs are informational and will be referenced at the time of Contract Extension.

4 COMPLETION OF THE RSS COST SUMMARY - SHEETS 2, 2-1 and 2-2

The Proposer's total price for the RSS Systems portion of the Implementation Phase shall be the aggregate of all costs included in Sheet 2 which covers all costs associated with the RSS System portion of the Work for the Monroe Expressway RTCS.

The costs for Sheet 2 shall include (without limitation) all Equipment, supplies, Software, parts and materials, overhead, burden, profit, taxes, duties, fees, Contractor-acquired permits, licenses, warranties, and other items necessary to meet the Contractor contractual requirements associated with the RSS portion of the System.

The prices on Sheet 2 and related Backup sheets shall not include charges and costs associated with the Operations and Maintenance Phase. These costs shall be provided on separate Price Proposal Sheets as described below.

To complete Sheets 2, 2-1, and 2-2 Proposers should do the following:

1. **Sheet 2.** This sheet will be automatically populated from Sheet 2-1 and 2-2. No Proposer input is required.
2. **Sheet 2-1.** In the columns provided under each cost component, enter a description for each price element for each component in as much detail as space allows. Moving to the right in the 2nd column (B) enter the quantity for each item and in the 3rd column (C) enter the unit costs. If the item is provided as a lump sum, the quantity should be shown as 1. Total item costs will be calculated automatically. Moving to the right, in the 5th column (E), enter the labor costs associated with each of the price elements. The costs for each price element will then automatically be calculated, and the summary will be shown in the appropriate line item on Sheet 2. The costs entered into this first table are to be costs for a Toll Location, each with two toll zones with the geometry described in this RFP, and a single toll vault.
3. **Sheet 2-2.** The table on this sheet, titled "Initial RSS Spares and Miscellaneous" is provided to capture the cost of initial spares, any additional costs to accommodate deviations from the

typical zone geometry (such as a wider shoulder) and any other roadside installation costs which do not fall into a per location structure (such as a local storage facility during installation).

5 COMPLETION OF TOLL RECONCILIATION HOST COST – SHEETS 3 AND 3-I

The Proposer's proposed total price for the Toll Reconciliation Host (TRH) portion of the Implementation Phase shall be the aggregate of all costs included in Sheet 3 which covers all costs associated with the TRH System portion of the Work for the Monroe Expressway RTCS.

The costs for Sheet 3 shall include (without limitation) all servers, supplies, software, communication equipment parts and materials, contractor-acquired lease, licenses, warranties, and other items necessary to meet the Contractor contractual requirements associated with the TRH portion of the System.

The prices on Sheet 3 and related Backup sheets shall not include charges and costs associated with the Operations and Maintenance Phase. These costs shall be provided on separate Price Proposal Sheets as described below.

1. **Sheet 3.** This sheet will be automatically populated from Sheet 3-I. No Proposer input is required.
2. **Sheet 3-I.** In the columns provided under each cost component, enter a description for each price element for each component in as much detail as space allows. Moving to the right in the 3rd column (C) enter the quantity for each item and in the 4th column (D) enter the unit costs. If the item is provided as a lump sum, the quantity should be shown as 1. Total item costs will be calculated automatically. Moving to the right, in the 6th column (F), enter the labor costs associated with each of the price elements. The costs for each price element will then automatically be calculated, and the summary will be shown in the appropriate line item on Sheet 3. There are 4 separate tables in this sheet, which cover the 3 components of the TRH and licenses and warranties to be included in the Implementation Phase. All tables must be completed. If Proposers find there is not adequate space or categories to detail the TRH pricing for their project, they may use the optional Price Proposal Backup document to detail prices and correlate the aggregate to the free form rows provided in the existing TRH pricing tables.

6 COMPLETION OF TOLL SYSTEM IMPLEMENTATION COST SHEETS 4 and 4-I

The Proposer's proposed total price for the RTCS (including RSS and TRH) portion of the Implementation Phase, excluding installation and materials costs, shall be the aggregate of all costs included in Sheet 4. This sheet covers all costs associated with the RTCS (RSS and TRH) for the Monroe Expressway RTCS to complete the implementation, such as project management, engineering and Design, and Testing.

The total price shall include (without limitation) all overhead, burden, profit, taxes, duties, fees, warranties, and other items necessary for the Contractor to complete the Work. The costs shall also include (without limitation) all Equipment, supplies, Software, parts and materials, Contractor-acquired permits, licenses, warranties, and other items necessary to meet the Contractor contractual requirements associated with the RTCS (including RSS and TRH) Cost applicable to these sheets.

The prices on Sheet 4 and related Backup shall not include charges and costs associated with the Operations and Maintenance Phase or costs otherwise covered on Sheets 2 and 3. These costs shall be

set forth on separate Price Proposal Forms as described in Section 2 above.

Proposers shall complete Sheets 4 and 4-I as follows:

1. **Sheet 4.** This sheet requires no input from the Proposer and will automatically be populated by values in Sheet 4-I Backup Toll System Implementation.
2. **Sheet 4-I.** This Sheet contains 4 Tables, Toll System Project, Design, Testing and Implementation Documentation; Toll System Testing; Development and Testing Environment; and Insurance and Bonding. Proposers shall provide a price for direct costs and labor for each item in these 4 Tables, in relation to the corresponding units provided.

7 COMPLETION OF ROADSIDE HARDWARE MAINTENANCE AND SOFTWARE SUPPORT SERVICES COST (BASE AND OPTIONAL EXTENSIONS) SHEETS 5, and 5-I

The Proposer's proposed total price for the RSS Hardware Maintenance and Software Support Services Cost shall be the aggregate of all costs included in Sheet 5. This sheet covers all costs associated with the Maintenance of the RSS for the Monroe Expressway RTCS.

The costs shall include (without limitation) all Contractor management, administrative and support labor costs, as well as all direct costs associated with maintaining the RSS Hardware Maintenance and Software Support Services Cost system. The total price shall include (without limitation) all overhead, burden, profit, taxes, duties, fees, warranties, Equipment, supplies, Software, parts and materials, Contractor-acquired permits, licenses, warranties, and all other items necessary to meet the Contractor contractual requirements associated with the RSS Hardware Maintenance and Software Support Services Cost Maintenance. All labor rates shall be final for each respective year. No Escalation will be allowed above the costs provided on these Price Proposal Forms.

Proposers shall complete Sheets 5, 5-I as follows:

1. **Sheet 5.** This sheet is automatically populated from Sheet 5-I; it requires no input from the Proposer.
2. **Sheet 5-I.** For the Base Contract for Maintenance (Years 1–5), as well as for the Optional Extension 1 (Years 6-8) and the Optional Extension 2 (Years 9-11) for Monroe Expressway RTCS, each year is identified with a corresponding set of Work elements. Starting in column (B), enter the monthly per-toll location cost associated with each price element for each year of the Base Contract Term and Optional Maintenance Phase. Proposers shall provide average per toll location cost for the project, considering the distribution of different zone geometries.

8 COMPLETION OF TRH HARDWARE MAINTENANCE AND SOFTWARE SUPPORT SERVICES COST (BASE AND OPTIONAL EXTENSIONS) SHEETS 6, and 6-I

The Proposer's proposed total price for the TRH Hardware Maintenance and Software Support Services Cost shall be the aggregate of all costs included in Sheet 6. This sheet covers all costs associated with the Maintenance of the TRH for the Monroe Expressway RTCS.

The costs shall include (without limitation) all Contractor management, administrative and support labor costs, as well as all direct costs associated with maintaining the Software Support Services. The total price shall include (without limitation) all overhead, burden, profit, taxes, duties, fees, warranties,

Equipment, supplies, Software, parts and materials, Contractor-acquired permits, licenses, warranties, and all other items necessary to meet the Contractor contractual requirements associated with the TRH Hardware Maintenance and Software Support Services Cost Maintenance. All labor rates shall be final for each respective year. No Escalation will be allowed above the costs provided on these Price Proposal Forms.

Proposers shall complete Sheets 6, 6-I as follows:

1. **Sheet 6.** This sheet is automatically populated from Sheet 6-I; it requires no input from the Proposer.
2. **Sheet 6-I.** For the Base Contract for Maintenance (Years 1–5), as well as for the Optional Extension 1 (Years 6-8) and the Optional Extension 2 (Years 9-11) for Monroe Expressway RTCS, each year is identified with a corresponding set of Work elements. Enter the monthly cost associated with each price element. The Total Monthly costs for each year will then automatically be calculated, and the summary will be shown in the appropriate line item on Sheet 6-I. Rates must be provided for each year of the Base Term and Optional Extension Maintenance Phases. *There are three expansion rows provided for each year of maintenance.

9 COMPLETION OF FACILITY MAINTENANCE (BASE AND EXTENSIONS) SHEETS 7 and 7-I

The Proposer's proposed total price for the Facility Maintenance Cost shall be the aggregate of all costs included in Sheet 7. This sheet covers all costs associated with the Facility Maintenance for the Monroe Expressway RTCS.

The costs shall include (without limitation) all Contractor management, administrative and support labor costs, as well as all direct costs associated with fulfilling all Facility Maintenance requirements in the Contract. The total price shall include (without limitation) all overhead, burden, profit, taxes, duties, fees, warranties, Equipment, supplies, Software, parts and materials, Contractor-acquired permits, licenses, warranties, and all other items necessary to meet the Contractor contractual requirements associated with Facility Maintenance. All labor rates shall be final for each respective year. No Escalation will be allowed above the costs provided on these Price Proposal Forms.

Proposers shall complete Sheets 7, 7-I as follows:

1. **Sheet 7.** This sheet is automatically populated from Sheet 7-I; it requires no input from the Proposer.
2. **Sheet 7-I.** For the Base Contract for Maintenance (Years 1–5), as well as for the Optional Extension 1 (Years 6-8) and the Optional Extension 2 (Years 9-11) for Monroe Expressway RTCS, each year is identified with a corresponding set of Work elements. Starting in column (C), enter the monthly per-toll location (qty. 7) cost associated with each price element. The Total Monthly costs for each year will then automatically be calculated, and the summary will be shown in the appropriate line item on Sheet 7-I. Enter the appropriate monthly rates for each year of the Base Contract Maintenance Term and the Optional Extension Maintenance Phases.

10 COMPLETION OF ADDITIONAL SERVICES RATES SHEET 8-I

On Sheet 9-I, the Proposer shall provide a listing of staff positions and loaded hourly labor rates for the purpose of providing pricing for future Work not currently included in **Part III, Scope of Work and Requirements**. All changes to the Contract involving labor shall use the hourly labor rates in this table.

All hourly labor rates shall be stated for the year 2028 and shall be inclusive of burden/overhead and profit. Hourly labor rates may be adjusted as detailed in Section 12 Cost Escalation.

II COST ESCALATION

No escalation shall be permitted toward any costs provided in the Pricing Sheet for the Implementation and Base Maintenance Term. Optional Extension pricing will be finalized at the time of extension, and these values do not contribute toward the total contract value during initial procurement. The Additional Service Rates provided on sheet 9-1 may be adjusted through Change Orders, as needed.

Exhibit B-2

Pricing Sheets

(An Excel version is “paper clipped” to this Exhibits file for ease of completion.)

Exhibit C-I

Proposal Cover Sheet

(A Word version of the Proposal Cover Sheet is “paper clipped” to this Exhibits file for ease of completion.)

NORTH CAROLINA TURNPIKE AUTHORITY
MONROE EXPRESSWAY 2026 ROADSIDE TOLL COLLECTION SYSTEM
REQUEST FOR PROPOSALS
Proposal Cover Sheet

EXECUTION: In compliance with this Request for Proposal, and subject to all the conditions herein, the undersigned offers and agrees to furnish any or all Services or goods upon which prices are offered, at the price(s) offered herein, within the time specified herein. By executing this offer, I certify that this offer is submitted competitively and without collusion.

Failure to execute/sign offer prior to submittal shall render Proposal invalid. Late offers are not acceptable.

Offer valid for one hundred and eighty (180) calendar days from Proposal Due Date.

Proposer Information

- Legal Name of Proposer:
- Street Address:
- P.O. Box (if applicable):
- City, State, and Zip Code:
- Primary Telephone Number:
- E-mail Address:

Authorization

- Print Name and Title of Signatory:
- Authorized Signature:
- Signature Date:

Exhibit C-2

List of Subcontractors and RS-2 Form

(PDFs of all forms are presented below. A fillable PDF of the RS-2 Form and a Word version of the List of Subcontractors Form are both “paper clipped” to this Exhibits file for ease of completion.)

**NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
SUBCONSULTANT
TO BE USED WITH PROFESSIONAL SERVICES CONTRACT ONLY
RACE AND GENDER NEUTRAL**

TIP No. and/or Type of Work (Limited Services)

(Consultant/Firm Name and Federal Tax Id)

(Subconsultant/Firm Name and Federal Tax Id)

<i>SERVICE / ITEM DESCRIPTION</i>		<i>Anticipated Utilization</i>
	TOTAL UTILIZATION:	
SUBMITTED BY: SUBCONSULTANT:	RECOMMENDED BY: CONSULTANT:	
*BY:	*BY:	
TITLE:	TITLE:	
SPSF Status: Yes ☐ No ☐		

“SUBCONCONSULTANT” (FORM RS-2)
RACE AND GENDER NEUTRAL

Instructions for completing the Form RS-2:

1. Complete a Subconsultant Form RS-2 for each Subconsultant firm to be utilized by your firm.
2. Insert TIP Number and /or Type of Work (Limited Services)
3. Complete the Consultant/Firm name and Federal Tax ID Number for the primary firm information.
4. Complete the Subconsultant/Sub Firm name and Federal Tax ID Number for the sub firm information.
5. Enter Service/Item Description – describe work to be performed by the Sub Firm
6. Enter Anticipated Utilization – Insert dollar value or percent of work to the Subconsultant/Sub Firm
7. *Signatures of both Subconsultant and Prime Consultant **are required** on each RS-2 Form to be submitted with the Letter of Interest (LOI) to be considered for selection
8. Complete “SPSF Status” section - Subconsultant shall check the appropriate box regarding SPSF Status, check Yes if SPSF or No if not SPSF

In the event the firm has no subconsultant, it is required that this be indicated on this Subconsultant Form RS-2 form by entering the word “None” or the number “ZERO” and signing the form.

List of Subcontractors

Please duplicate this page as necessary to provide the requested information.

Requested Info.	SUBCONTRACTOR	SUBCONTRACTOR	SUBCONTRACTOR
Legal Name of Company			
Company's FEID Number			
Company Contact Name			
Company Address			
City, State, Zip Code			
Company Telephone No.			
Company Fax Number			
Company E-mail address			
Legal Name of Principal(s)			
Address of Principal(s)			
City, State, Zip Code			
Telephone No. of Principal(s)			
Fax Number of Principal(s)			
E-mail address of Principal(s)			
Corporate Number (if applicable)			
License Number (if applicable)			
Status of License (if applicable)			

Requested Info.	SUBCONTRACTOR	SUBCONTRACTOR	SUBCONTRACTOR
Work to be Performed			
Expected Percentage of Total Work			

By: _____
President or Vice President of Proposer

Signature: (1) _____

Attest: _____
Secretary (or Assistant Secretary) of Proposer

Signature: (2) _____

AFFIX CORPORATE SEAL IN THE SPACE PROVIDED BELOW:

Exhibit C-3

Recent Client List

(A Word version of the Recent Client List is “paper clipped” to this Exhibits file for ease of completion.)

Exhibit C-3, Page 1 of 1

Exhibit C-4

Proposer Questions Form

(A Word version of the Proposer Questions Form is “paper clipped” to this Exhibits file for ease of completion.)

Proposer Questions Form

Proposers are to submit questions using this form. Insert more rows as necessary.

#	Page	Section	Section Description	Proposer Question	NCTA Response
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					

Exhibit C-5

Non-Collusion Forms

(Please complete a single form that is applicable to your firm structure. Fillable PDFs of the form are “paper clipped” to this Exhibits file for ease of completion.)

Exhibit C-6

Acknowledgement of Receipt of Addenda

(A Word version is “paper clipped” to this Exhibits file for ease of completion.)

Acknowledgement of Receipt of Addenda

The Proposer shall acknowledge receipt of each addendum to this Request for Proposal by completing this form and including it in the Technical Proposal.

Failure to confirm receipt of addenda may result in rejection of the Proposer's Proposal.

Note: Fill out both tables below and insert more rows as necessary.

Addenda Number	Addenda Date	Acknowledged By

Proposer Information and Signature of Acknowledgement
Legal Name of Proposer:
Print Name and Title of Signatory:
Authorized Signature:
Signed Date:

Exhibit C-7

HUB Supplemental Vendor Information Form

(A fillable PDF version is “paper clipped” to this Exhibits file for ease of completion.)

Exhibit C-8

Requirements Conformance Matrix

(An Excel version is “paper clipped” to this Exhibits file for ease of completion.)

Updated Per Addendum 2 (July 28, 2026)

Exhibit C-9

Proposer Company Reference Form

(A Word version of the Proposer Company Reference Form is “paper clipped” to this Exhibits file for ease of completion.)

Proposer Minimum Experience for AET/ORT

Proposer shall use this form to clearly demonstrate how it meets the minimum qualification requirements for Proposals with regard to AET or ORT Proposer project experience. Each reference provided may be contacted by NCTA. Copy this form as needed to comply with the requirements outlined in the RFP for each of the Implementation and Operations and Maintenance Phase minimum requirements. NCTA may or may not contact references to obtain more information about the Proposer experience at NCTA sole discretion.

Proposer's Name: _____

Please check off which qualifications requirement this reference is intended to address (you may check more than one box to cover both Implementation and Maintenance requirements as long as the explanation below is sufficiently detailed to cover both).

☐

Implementation

☐

Operations and Maintenance

Reference Company/Agency Name:	
Address:	
City:	State: Zip Code:
Phone Number:	Fax Number:
Project Manager Reference:	
E-mail:	
Alternate Reference*:	
Phone Number:	Fax Number:
E-mail:	
Alternate Reference Role on Reference Project:	
*Must be completed in addition to the Project Manager reference	
Proposer's role on project and years of participation (mm/dd/yy to mm/dd/yy):	

Project location, scope, cost, start / end dates:
Operational functionality, facility types (AET, ORT) number of lanes / plazas, revenue collected, etc.:
Relevant equipment and systems used:
Comparison to NCTA requirements:
Installed System and Operations and Maintenance documented performance, as applicable:
Key Personnel involved and role who are also proposed on NCTA project:

Exhibit C-10

Surety Commitment Letter

(A Word version of the Surety Commitment Letter is “paper clipped” to this Exhibits file for ease of completion.)

CONSENT OF SURETY

TO: North Carolina Turnpike Authority

We have reviewed the Proposal of _____
(Proposer)

(Address)

for the Monroe Expressway 2026 RTCS RFP for which Proposals will be received on:

(Proposal Due Date) _____

and wish to advise that should this Proposal of the Proposer be accepted, and the Contract awarded to, such Proposer, this company agrees to become the surety and provide the Payment and Performance Bonds required by the Contract for both the Implementation Phase and Operations and Maintenance Phase. Such bonds will be in the amounts identified in the Price Proposal as referenced in the RFP Part I, Section 5.I Notice of Award with terms of the bonds as also provided in that Section.

We are duly authorized to do business in the State of North Carolina.

Surety Company/Address:

(Authorized Signature)

ATTEST:

[Attach Power of Attorney]

(Affix Corporate Seal below this line. If no seal, write "No Seal" below this line and sign.)

Exhibit D

Payment Schedule

Exhibit D: Payment Schedule				
A. Payments for Implementation Roadside Toll Collection System Design and Development (Notice to Proceed)				
Payment Number	Payment Milestone	Pay Items (Paid as a percent of implementation costs, excluding costs of installation, materials and transition fees)	% Paid	Cum. % Paid
A-1	Notice to Proceed	Implementation Phase Notice to Proceed	5.00%	5.00%
A-2	Roadside Toll System Development and Administration	Project Management Documents Approved (PMP, Project Schedule and Baseline RTM)	3.00%	8.00%
A-3	Roadside Toll System Design	Design Documents Approved (System Detailed Design Document, Installation Drawings, Bill of Materials and RTM tracking all requirements to their design details)	12.00%	20.00%
A-4	Roadside Toll System Factory Acceptance Testing (FAT) and Installation Readiness	Testing Documentation, Installation Planning and FAT Approved (MTP, All Test Procedures, updated RTM aligning all test procedures with requirements, Installation Plan, Transition Plan and Factory Acceptance Testing and Test Report Approved)	15.00%	35.00%
A-5	Operational Readiness Documentation	All Manuals and Third Part Documentation Approved and Training Plan, Training Materials Approved, Maintenance Plan and Disaster Recovery Plan Approved	5.00%	40.00%
A-6	Roadside Toll System Onsite Installation Testing (OIT)	Onsite Installation Testing Approved - First Site and Production TRH	7.00%	47.00%
A-7	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Contractor provides test results to NCTA proving operational readiness, Transition revenue impacts have been finalized, agreed to and paid to NCTA by the Contractor.		
A-7a	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 1	2.50%	49.50%
A-7b	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 2	2.50%	52.00%
A-7c	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 3	2.50%	54.50%
A-7d	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 4	2.50%	57.00%
A-7e	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 5	2.50%	59.50%
A-7f	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 6	2.50%	62.00%
A-7g	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 7	2.50%	64.50%
A-7h	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 8	2.50%	67.00%
A-7i	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 9	2.50%	69.50%
A-7j	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 10	2.50%	72.00%
A-7k	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 11	2.50%	74.50%
A-7l	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 12	2.50%	77.00%
A-7m	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 13	2.50%	79.50%

Payment Number	Payment Milestone	Pay Items (Paid as a percent of implementation costs, excluding costs of installation, materials and transition fees)	% Paid	Cum. % Paid
A-7n	Roadside Toll System Commissioning	Installation and Commissioning Approved Ready for Go Live - Tolling Location 14	2.50%	82.00%
A-8	Training Execution	All Training Complete	3.00%	85.00%
A-9	Roadside Toll System Acceptance	Operational and System Acceptance Test Approved, As-builts Approved and Implementation Phase Closed Out	15.00%	100.00%
B. Payments Related to Hardware, Equipment and Installation				
Payment Number	Hardware and Installation Pay Items (Paid as % of hardware and installation costs)		% Paid	Cum.% Paid
B-1	Materials Ordered and Verified: All Materials (including spares) Ordered (Purchase Order data correlated to the BOM and submitted to NCTA for approval)		22.00%	22.00%
B-2	Materials Received and Verified: All Materials (including spares) Received (Packing slips correlated to Purchase Orders correlated to the BOM and submitted to NCTA for approval. Subject to onsite verification of materials by NCTA)		22.00%	44.00%
B-3	Installation Approved (Installation Checklist completed by Contractor and Approved by NCTA)			
B-3a	Installation Approved - Tolling Location 1		4.00%	48.00%
B-3b	Installation Approved - Tolling Location 2		4.00%	52.00%
B-3c	Installation Approved - Tolling Location 3		4.00%	56.00%
B-3d	Installation Approved - Tolling Location 4		4.00%	60.00%
B-3e	Installation Approved - Tolling Location 5		4.00%	64.00%
B-3f	Installation Approved - Tolling Location 6		4.00%	68.00%
B-3g	Installation Approved - Tolling Location 7		4.00%	72.00%
B-3h	Installation Approved - Tolling Location 8		4.00%	76.00%
B-3i	Installation Approved - Tolling Location 9		4.00%	80.00%
B-3j	Installation Approved - Tolling Location 10		4.00%	84.00%
B-3k	Installation Approved - Tolling Location 11		4.00%	88.00%
B-3l	Installation Approved - Tolling Location 12		4.00%	92.00%
B-3m	Installation Approved - Tolling Location 13		4.00%	96.00%
B-3n	Installation Approved - Tolling Location 14		4.00%	100.00%

Exhibit E

Estimated Transition Impact Fees

(An Excel version is “paper clipped” to this Exhibits file for completion.)