

Addendum No. 5

December 5, 2017

Roadside Toll Collection System (RTCS) Request for Proposals (RFP)

Triangle Expressway and Complete 540

Prospective Respondents: You are hereby notified of the following information in regard to the referenced RFP:

- Section A - Official log of Proposers' questions and NCTA's responses
- Section B - Official revisions to the RTCS RFP

All other terms, conditions and requirements of the original RFP dated October 10, 2017 remain unchanged unless modified by this addendum, or previous addenda to this RFP.

Note that all changes to requirements are reflected as redlines to Section III Scope of Work and Requirements and also apply to Exhibit D-6, Requirements Conformance Matrix. The Requirements Conformance Matrix will be updated once all questions have been received.

A. QUESTIONS AND ANSWERS

Following are the answers to questions submitted in response to the above referenced RFP between November 2, 2017 and November 12, 2017. All of the questions have been listed in the order received by the North Carolina Turnpike Authority (NCTA).

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
2.	Page 12	Section I 3.0 Proposal Evaluation	Review Committee	Can you please provide the number of review committee members? Will the review committee members include any consultants and if so, can you please identify the consulting firm names?	The Evaluation Committee will be comprised of an undisclosed number of NCDOT/NCTA staff.
3.	Page 12	Section I 3.0 Proposal Evaluation	Review Committee	Will the committee members score the proposals as individuals or as a team? If they score individually how will the final scoring be accomplished?	The Evaluation Committee will work collaboratively to score proposals. Please refer to Section I Administrative, <i>Section 3.2 Technical Proposal Evaluation</i> for details on the evaluation process.
4.	Page 13	Section I 3.2 (4)	Oral Presentations and Interviews	Understanding that the NCTA may invite proposers to oral presentation and interviews. Is there an established number of proposers who will be asked to participate?	No, there is not a pre-determined number of Proposers that will be asked to participate. Please refer to Section I Administrative, <i>Section 3.2 Technical Proposal Evaluation</i> for details on the evaluation process.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
5.	Page 13	Section I 3.3	Price Proposal Evaluation	Will the same committee members who complete the technical proposals complete the price proposal evaluation? If not, who will evaluate and how many members?	The NCTA Evaluation Committee is responsible for developing the scores for both the Technical Proposal Evaluation and the Price Proposal Evaluation. Please refer to Section I Administrative, <i>Section 3.2 Technical Proposal Evaluation</i> and <i>Section 3.3 Price Proposal Evaluation</i> for details on the evaluation process.
6.	Page 13	Section I 3.3 (2-b)	Price Proposal Evaluation	The evaluation committee will review the price proposals for: Overall price proposals within reasonable proximity to the NCTA engineers' estimates. How did the NCTA determine their estimates?	NCTA's Engineers' Estimate is based on current industry pricing trends for unit costs and labor and then fine-tuned with best engineering judgment of reasonable costs. Please refer to Section I Administrative, <i>Section 3.3 Price Proposal Evaluation</i> for details on the evaluation process.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
7.	11 and 27	Section V	Terms and Conditions Sections 1.7 and 3.6	Will NCTA allow bidders to take exceptions to the RFP, contract terms and conditions, and specifically exceptions and/or the negotiation of any final Software Ownership / License / Escrow terms as currently provided in RFP Section V, Terms and Conditions, Sections 1.7 & 3.6?	As noted in Section IV Proposal Contents and Submission, <i>Section 1.2 Content of Technical Proposal, H. Proposal Section 6: Adherence to the Scope of Work and Requirements, Terms and Conditions and Requirements Conformance Matrix, #6</i> , the Proposer must clearly identify any proposed exceptions to the Terms and Conditions in this Proposal Section 6, which will be considered in accordance with Section I, Administrative, <i>Section 2.18 Contractual Obligations</i> . The Contractor waives the right to raise new exceptions and alternatives during negotiations, however, any details not yet defined in the documents by NCTA may still be negotiated.
8.	48	Section III	Section 2.1	Will NCTA allow for the Secondary RSS to be hosted in a cloud environment?	Yes, per Requirements 365 & 376, the secondary RSS may be located within the contiguous United States as Approved by NCTA. This includes hosting the secondary RSS in the cloud as long as all Requirements are met.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
9.	189	Section III	Section 8.1.1 Performance Measurement Table 5	The RFP states that all Image Transactions must be transmitted to the Back Office within 72 hours of the transaction date/time. Does this include weekends as well as Business Days?	Yes, the Requirement is in effect for all Calendar days, which includes weekends and Business Days. See also Requirements 584 & 1403 for additional references to the 72-hour requirement.
10.	1 of 19 1 of 1	IV, 1.2 Exhibit D-1	Proposal Cover Sheet (Exhibit D-1)	Can the Proposal Cover Sheet be included as first page in our binder?	As noted in Section IV Proposal Contents and Submission, <i>Section 1.2 Content of Technical Proposal, Table 4-3: Forms and Submittal Checklist</i> , please submit Form D-1 Proposal Cover Sheet within the Technical Proposal Envelope with the Original Copy of the Proposal.
11.	3 of 19	IV, 1.2.C.6	Proposer Company Reference Forms	Should there be an item “b” here with more detail on the requirements for “b) Proposer Minimum Experience for Dynamically Priced Project with ITS Elements” as stated in Exhibit D-4?	No. Exhibit D-4 has been updated in this Addendum 5 to remove the request for “b) Proposer Minimum Experience for Dynamically Priced Project with ITS Elements”.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
12.	14 of 19	IV, 1.2.H	Adherence to the Scope of Work and Requirements Conformance Matrix	Page 1 of 19; Section IV, Table 4-1 references Section 6 as “Adherence to the Scope of Work and Requirements Conformance Matrix”. Page 14 of 19, Section IV, 1.2.H, Proposal references Section 6 as “Adherence to the Scope of Work and Requirements, Terms and Conditions and Requirements Conformance Matrix”. Which is the correct title?	Table 4-1 has been updated in this Addendum 5 to exactly match the proper title as “Proposal Section 6: Adherence to the Scope of Work and Requirements, Terms and Conditions and Requirements Conformance Matrix”
13.	15 of 19	IV, 1.2.I	Other Required Materials	Page 1 of 19; Section IV, Table 4-1 references Section 7 as “Other Required Materials”. Page 15 of 19, Section IV, 1.2.H references Section 7 as “Proposal Section 7: Forms and Submittals”. Which is the correct title?	Table 4-1 has been updated in this Addendum 5 to exactly match the proper title as “Proposal Section 7: Forms and Submittals”
14.	1 of 5	Exhibit D-4	Reference Forms Part 1 (Exhibit D-4)	Please provide a Word version of Form D-4: Reference Forms Part 1	Form D-4: Reference Forms Part 1 has been provided as a Word document and is “paper clipped” to the NCTA Exhibits file which is updated in this Addendum 5.
15.	1 of 5	Exhibit D-5	Reference Forms Part 2 (Exhibit D-5)	Please provide a Word version of Form D-5: Reference Forms Part 2	Form D-5: Reference Forms Part 2 has been provided as a Word document and is “paper clipped” to the NCTA Exhibits file which is updated in this Addendum 5.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
#	Page	Section	Section Description	Proposer Question	NCTA Response
16.		Appendix 2:	Audited Financial Statements	Page 1 of 19; Section IV, Table 4-1 references Appendix 2 as “Audited Financial Statements”. Page 16 of 19, Section IV, 1.2.J. references Appendix 2 as “Audited Financial Statements (Two Years)”. Which is the correct title?	Table 4-1 has been updated in this Addendum 5 to exactly match the proper title as “Appendix 2: Audited Financial Statements (Two Years)”
17.	19 of 19	2.2	Price Proposal Content and Format	Given the length of the forms, can we include the Price Proposal in a 3-ring binder?	Yes.
18.		Exhibit D-9	Form D-9: Non-Collusion Forms	The Non-Collusion Forms have the statement “Submit to the Prequalification Office”. Please clarify if the forms are to be submitted with the technical proposal to the addressee identified or directly to the Prequalification Office.	As noted in Section IV Proposal Contents and Submission, Section 1.2 Content of Technical Proposal, Table 4-3: Forms and Submittal Checklist, these forms are to be submitted in Technical Proposal Section 7.
19.			Acknowledgement of Receipt of Addenda	Please clarify what needs to be inserted in the “by” column – a signature, printed name, or checkmark.	The “By” column should include the signature of an authorized representative of the firm.
20.	17 of 19; 18 of 19	2.1.2	Submission of Technical Proposal	Please confirm that we are able to submit our company’s financials, marketing cut sheets and other pre-produced material (including documentation provided by a vendor) that may not meet NCTA’s solicitation’s font requirements as is since these documents cannot be altered.	Yes. Existing company documentation or cut sheets may be included in its native format.

Proposer Questions			North Carolina Turnpike Authority (NCTA)		
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21.	18 of 19	2.1.2	Submission of Technical Proposal	Are headers and footers required on documents such as company's financials, marketing cut sheets and other pre-produced material (including documentation provided by a vendor)?	No. Headers and footers are not required on these pre-produced materials; however, appropriate title pages and section labels are highly recommended throughout the proposal.
22.	17 of 19; 18 of 19	2.1.2	Submission of Technical Proposal	Can complex graphics be subjected to a smaller font requirement?	Yes. Graphics inserted into the proposal may use smaller fonts.
23.	1 of 1	Exhibit D-11	Acknowledgment of Receipt of Addenda	Please change the year on the form from 2016 to 2017.	Form D-11 has been updated in this Addendum 5 to replace 2016 with 2018 for the date in the confirm receipt signature section.
24.	7 of 19	I	2.1 Schedule	Would NCTA please consider extending the proposal due date four weeks to January 18, 2018 to provide vendors with adequate time to prepare a complete and thorough proposal response? Resources are significantly limited during the holidays, and a one to three-week extension would not effectively provide any additional time.	In Addendum 4, NCTA revised the Proposal Due Date to January 18, 2018.

B. REVISIONS (Deletions are shown in red text strikeout mode and additions are in red text and underlined)

1. Section I, Section 2.1 Schedule, Table I-1 Procurement Schedule, page 7 of 19 (14 of 318 in original RFP PDF file) has been revised as follows:

Table I-1 Procurement Schedule

Event or Due Date	Date
RFP Issued	October 10, 2017
Mandatory Pre-Proposal Scope of Services Meeting <u>Mandatory Triangle Expressway Site Visit</u>	October 24, 2017 (2:00 p.m. to 4:00 p.m. EDT) October 25, 2017 (8:00 a.m. to 12:00 p.m. <u>EDT</u>)
Proposer Questions Due	November 13, 2017 <u>December 8, 2017</u> (4:00 p.m. <u>EDST</u>)
NCTA Responses to all Questions Completed	November 28, 2017 <u>December 19, 2017</u>
Proposals (Technical and Price) Due	December 21, 2017 <u>January 18, 2018</u> (4:00 p.m. <u>EDST</u>)
Notification of Proposers Shortlisted for Oral Presentations	January 19, 2018 <u>February 9, 2018</u>
Oral Presentations (Proposers to be notified as to the specific schedule within the time period identified)	Week of February 12, 2018 <u>March 5, 2018</u>
Ranking of Proposers for Negotiations	February <u>March</u> 2018
Award of Contract	April 2018
Notice to Proceed	April <u>May</u> 2018

2. Section IV, Section 1.2, Table 4-1 Proposal Page Limitations, page 1 of 19 (257 of 318 in original RFP PDF file) has been revised as follows:

Table 4-1 Proposal Page Limitations

Proposal Sections to be Completed by a Proposer	Page Count Limitations
Cover Letter	1 to 2 pages
Executive Summary	2 to 5 pages
Section 1: Firm Qualifications	Limited to a combined total of 80 printed pages (excluding all table of contents, table of tables, or table of figures)
Section 2: Key Team Qualifications	
Section 3: Approach to Scope of Work and Requirements	
Section 4: Approach to Project Plan and Implementation	
Section 5: Approach to Operations and Maintenance	
Section 6: Adherence to the Scope of Work and Requirements, <u>Terms and Conditions and Requirements</u> Conformance Matrix	No limitations
Section 7: Other Required Materials <u>Forms and Submittals</u>	No limitations
Price Proposal	No limitations
Appendix 1: Hardware Cut Sheets	No limitations
Appendix 2: Audited Financial Statements (<u>Two Years</u>)	No limitations
Appendix 3: Preliminary Bill of Materials (BOM)	No limitations
Appendix 4: Sample Reports	No Limitations

3. Form D-4: Reference Forms Part I and Form D-5: Reference Forms Part 2 has been provided in the MS Word file format and paper-clipped to the NCTA Exhibits file.
 - a. Form D-4: Reference Forms Part I has been updated to remove part b) Proposer Minimum Experience for Dynamically Priced Project with ITS Elements.

4. Form D-11: Acknowledgement of Receipt of Addenda has been revised as follows and updated in the NCTA Exhibits file:

ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA

The Proposer shall acknowledge receipt of each addendum to this Request for Proposal by completing this form and including same in the Technical Proposal.

<u>Addenda</u>	<u>Date</u>	<u>By</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Failure to confirm receipt of addenda may result in rejection of the Proposer's Proposal.

Dated _____, 20186

Legal Name of Firm

By _____

Signature

Title

NOTE: Attach additional pages as necessary