C203126

STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

CONTRACT AND CONTRACT BONDS

FOR CONTRACT NO. C203126

WBS 5CR.10931.12, 5CR.20911.12, 5CR.20931.12 STATE FUNDED

COUNTY OF

WARREN, VANCE

THIS IS THE

ROADWAY CONTRACT

ROUTE NUMBER

US 158

LENGTH

47.550 MILES

LOCATION

US-158 BUS/BYP FROM US-1 TO US-158 BUS, US-158 BUS FROM

US-401 TO US-158 BYP, AND 11 SECTIONS OF SECONDARY ROADS.

CONTRACTOR

S. T. WOOTEN CORPORATION

ADDRESS

P.O. BOX 2408

WILSON, NC 278942408

BIDS OPENED

AUGUST 21, 2012

CONTRACT EXECUTION ___

SEP 2 0 2012

Vendor 1 of 2: S. T. WOOTEN CORPORATION (3760) Call Order 008 (Proposal: C203126)

Bid Information

County: VANCE

Address: PO Box 2408

3801 Black Creek Rd

Wilson , NC , 27894

Signature Check: Richard_E._Vick_3760 **Time Bid Received:** August 21, 2012 01:10 PM

Amendment Count: 0

Bid Checksum: E147F9C8

Bid Total: \$5,498,464.81

Items Total: \$5,498,464.81

Time Total: \$0.00

Bidding Errors:

Item Warning: item 1519000000-E (Line# 0011) quantity
DBE Warning: WBE Warning: WBE Commitment Goal not met

MBE GOAL SET 4.0

MBE GOAL MET 4.0

WBE GOAL SET 6.0

WBE GOAL OBT 3.7

Vendor 3760's Bid Information for Call 008, Letting L120821, 08/21/12

S. T. Wooten Corporation (3760) Call Order 008 (Proposal ID C203126)

LIST OF MBE PARTICIPANTS

VENDOR	DBE NAME	WORK	CERT		
NUMBER	ADDRESS	CODE TYPE OF WORK	TYPE	AMOUNT	
8708 MB	LLOYDS TRUCKING		Sub	39,000.00	committed
5856 MB	3710 STAGECOACH ROAD , HENDERSO CHARLES SHAW DBA CLSHAW#1	DN, NC 27537	Sub	30,000.00	committed
9357 MB	P.O. BOX 542 , NORLINA, NC 2756 Q BALL TRUCKING INC	53	Sub	30,000.00	
7624 MB	170 FISHERMANS LANE , MACON, NO KENNETH L HOWARD DBA KL HOWARD	27551	Sub	29,125.00	
	4037 LYN STREET , OXFORD, NC 27 KAP TRANSPORT INC	7565	Sub	30,000.00	_
4957 MB	5829 CHATMOSS DR , RALEIGH, NC DAVID L WILLIAMS DBA D L WILLIA	27610	Sub	30,000.00	committed
	255 MICHAEL QUARRY RD. , NORLIN OZONE W LEWIS DBA LEWIS HAULING	IA, NC 27563	Sub	36,020.00	
	4681 BELLTOWN RD , OXFORD, NC 2			\$224,145.00	
				4.08%	

Vendor 3760's Bid Information for Call 008, Letting L120821, 08/21/12

S. T. Wooten Corporation (3760) Call Order 008 (Proposal ID C203126)

LIST OF WBE PARTICIPANTS

VENDOR DBE NAME NUMBER ADDRESS	WORK CODE TYPE OF WOR	CERT RK TYPE	AMOUNT	
2446 WB HAROLD A. PURYEAR TRUCKING CO		Sub	108,190.28	committed
5844 LEASE LANE , RALEIGH, N		Sub	100, 190.20	Committeed
3765 WB STAY ALERT SAFETY SERVICES IN	IC	Sub	19,485.00	committed
POST OFFICE BOX 467 , KERNER	SVILLE, NC 27285	0	75 000 00	committed
9975 WB REYNOLDS HAULING INC 2275 N. LYNNBANK RD , HENDER:	SON NC 27537	Sub	75,000.00	commueu
2273 N. LINNDANK KD., HENDEK	30N, NO 27337	TOTAL:	\$202,675.28 3.69%	✓ arm

Vendor 3760's Bid Information for Call 008, Letting L120821, 08/21/12

S. T. Wooten Corporation (3760) Call Order 008 (Proposal ID C203126)

Miscelleneous Data Info - Contractor Responses:

NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:

NCDOT Page 68 of 255

NOT ANSWERED NOT ANSWERED NOT ANSWERED

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

Bid Bond Data Info - Contractor Responses:

BondID: DYMT-NV4V-76NV-FTXC

Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Liberty Mutual Insurance Company

Bond Execution Date: 8/21/2012

Bond Amount: \$274,923.24 (Five Percent of Bid)

NCDOT Page 69 of 255

State of NC Date: 07-23-12
Dept of Transportation Revised:

Contract ID: C203126 Project(s): STATE FUNDED

Letting Date: 08-21-12 Call Order: 008 Bidder: 3760 - S. T. Wooten Corporation

Section 0001 ROADWAY ITEMS

Alt Group

	Alt Group				,
 0001 	0000100000-N MOBILIZATIO N 	 LUMP)	LUMP	 165,000.00
	0106000000-E BORROW EXCAVATION	 CY	2,740.000 2,740	22.50000	61,650.00
	1220000000-E INCIDENTAL STONE BASE 	 TON	1,133.000	35.00000 35.00000	39,655.00
	1245000000-E SHOULDER RECONSTRUCTION	 SMI	25.800 25.800	1,850.00000 1,850.00000	47,730.00 47,730.00
0005	1297000000-E MILLING ASPHALT PAVEMENT, ***"DEPTH (1-1/2")	 SY	 253,510.000 	0.86000 0.86000	218,018.60
0006	1297000000-E MILLING ASPHALT PAVEMENT, ***"DEPTH (4")	 SY	46,447.000 	2.00000 	92,894.00
0007	1308000000-E MILLING ASPHALT PAVEMENT, ***"TO ******" (0" TO 4")	 SY	4,200.000 	3.00000 3.00000	12,600.00
	1330000000-E INCIDENTAL MILLING	 SY	6,610.000 	6.00000 6.00000	39,660.00
0009	1489000000-E ASPHALT CONC BASE COURSE, TYPE B25.0B	 TON	 800.000 	60.00000 	48,000.00
0010	1498000000-E ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	 TON	12,925.000 	35.00000	452,375.00
0011	1519000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5B	 TON	15,204.000 	33.00000 	501,732.00

Check: E147F9C8 Page 1

State of NC Date: 07-23-12 Dept of Transportation Revised:

Project(s): STATE FUNDED Contract ID: C203126

Letting Date: 08-21-12 Call Order: 008 Bidder: 3760 - S. T. Wooten Corporation

+	r: 3760 - S. T. Wooten Cor	poracion		
Line		Approx. Quantity	Unit Price	Bid Amount
l NO.	Description	and Units	Dollars Cts	 Dollars Ct
0012	1525000000-E ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	32,626.000	 33.00000 	 1,076,658.00
	1575000000-E ASPHALT BINDER FOR PLANT MIX 	 3,754.000 TON	 550.00000 .	 2,064,700.00
	1704000000-E PATCHING EXISTING PAVEMENT 	 1,255.000 TON	 115.00000 	 144,325.00
	283000000-N ADJUSTMENT OF MANHOLES 	 1.000 EA	 750.00000 	750.00
10016	4685000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (4", 90 MILS)			240,469.86
0017	4686000000-E THERMOPLAST IC PAVEMENT MARKING LINES (4", 120 MILS)		0.56000 0.56000	176,192.80
0018	4695000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (8", 90 MILS)	•	1.00000	1,500.00
0019	4705000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (16", 120 MILS)		4.50000 4.50000	450.00 450.00
0020	4710000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (24", 120 MILS)	•	5.25000 	2,178.75 2,178.75
0021	4721000000-E THERMOPLAST IC PAVEMENT MARKINGCHARACTER (120 MILS)	 34.000 EA	 100.00000 	3,400.00
0022	4725000000-E THERMOPLAST IC PAVEMENT MARKINGSYMBOL (90 MILS)	-	100.00000	2,300.00 2,300.00

State of NC Date: 07-23-12 Dept of Transportation Revised:

Project(s): STATE FUNDED Contract ID: C203126

Letting Date: 08-21-12 Call Order: 008 Bidder: 3760 - S. T. Wooten Corporation

Line	Item		Approx. Quantity	Unit Price	Bid Amount
No. De	escription		and Units	Dollars Cts	Dollars Ct
	000000-E PAINT MENT MARKING LINES	 LF	443,876.000	0.05000	 22,193.80
	000000-E PAINT MENT MARKING LINES	 LF	1,500.000 1,500.000	0.25000	 375.00
•	000000-E PAINT MENT MARKING LINES	 LF	285.000 285.000	2.00000	570.00
0026 PAVEN	000000-N PAINT MENT MARKING AC-TER	 EA	6.000 	40.00000 40.00000	240.00
	000000-N PAINT MENT MARKING SYMBOL	 EA	23.000 	40.00000 	920.00
	00000-N SNOWPLOWABL	 EA	850.000 850.000	23.65000 23.65000	20,102.50
60000 0029 SILT 		 LF	1,000.000	5.00000 5.00000	5,000.00
60840 60840 0030		 ACR	6.900 6.900	1,980.00000 1,980.00000	13,662.00
74440 031 LOOP 		 LF	6,270.000 	 6.75000 	42,322.50
	00000-E LEAD-IN (*******)	 LF	400.000 	2.10000	840.00
 Secti	on 0001 Total		1		5,498,464.81
 Bid T					5,498,464.81

NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION MBE COMMITMENT ITEMS

DATE:07-23-12 PAGE: 6

PROPOSAL: C203126

LETTING: L120821 CALL: 008
VENDOR: 3760 S. T. Wooten Corporation

MBE SUBCONTRACTOR: 8847 KAP TRANSPORT INC

Will Use Quote: Yes

LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT _____ MBE SUBCONTRACTOR: 8708 LLOYDS TRUCKING Will Use Quote: Yes 0009 1489000000-E ASP CONC BAS TON 800.000 5.00000 4000.00 Haul Asphalt 0010 1498000000-E ASP CONC INT TON 2000.000 5.00000 10000.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 5000.000 5.00000 25000.00 Haul Asphalt 39,000.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: MBE SUBCONTRACTOR: 5856 CHARLES SHAW DBA CLSHAW#1 Will Use Quote: Yes 0010 1498000000-E ASP CONC INT TON 4000.000 5.00000 20000.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt 30,000.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: MBE SUBCONTRACTOR: 9357 Q BALL TRUCKING INC Will Use Quote: Yes 0010 1498000000-E ASP CONC INT TON 4000.000 5.00000 20000.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt 30,000.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: MBE SUBCONTRACTOR: 7624 KENNETH L HOWARD DBA KL HOWARD TRUCKING Will Use Quote: Yes 0010 1498000000-E ASP CONC INT TON 925.000 5.00000 4625.00 Haul Asphalt 0011 1519000000-E ASP CONC SUR TON 2900.000 5.00000 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt ______ MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 29,125.00 committed

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DATE:07-23-12 MBE COMMITMENT ITEMS

LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT 5.00000 0011 1519000000-E ASP CONC SUR TON 4000.000 20000.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt 30,000.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: MBE SUBCONTRACTOR: 4957 DAVID L WILLIAMS DBA D L WILLIAMS DUMP TRUCK SERVI Will Use Quote: Yes 0011 1519000000-E ASP CONC SUR TON 4000.000 5.00000 20000.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt 30,000.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: MBE SUBCONTRACTOR: 8885 OZONE W LEWIS DBA LEWIS HAULING SERVICE Will Use Quote: Yes 0011 1519000000-E ASP CONC SUR TON 4204.000 5.00000 21020.00 Haul Asphalt 0012 1525000000-E ASP CONC SUR TON 2000.000 5.00000 10000.00 Haul Asphalt 0010 1498000000-E ASP CONC INT TON 1000.000 5.00000 5000.00 Haul Asphalt 36,020.00 committed MBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 224145.00 ✓ arm Entered: 4.08% or 224145.00 Required: 4.00% or 219938.59 TOTAL MBE COMMITMENT FOR VENDOR:

<GOAL MET>

PAGE: 7

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION WHE COMMITMENT ITEMS

WBE COMMITMENT TIEMS

PROPOSAL: C203126

LETTING: L120821 CALL: 008
VENDOR: 3760 S. T. Wooten Corporation

LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT

WBE SUBCONTRACTOR: 2446 HAROLD A. PURYEAR TRUCKING CO.

Will Use Quote: Yes

0013 1575000000-E ASP FOR PLAN TON 3754.000 28.82000 108190.28

Haul Liquid Asphalt

WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 108,190.28 committed

WBE SUBCONTRACTOR: 3765 STAY ALERT SAFETY SERVICES INC

Will Use Quote: Yes

0001 0000100000-N MOBILIZATION LS 1.000 19485.00000 19485.00

Stationary Work Zone Signs

WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 19,485.00 committed

WBE SUBCONTRACTOR: 9975 REYNOLDS HAULING INC

Will Use Quote: Yes

0012 1525000000-E ASP CONC SUR TON 15000.000 5.0000.0 75000.00

Haul Asphalt

WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 75,000.00 committed

TOTAL WBE COMMITMENT FOR VENDOR: Entered: 3.69% or 202675.28 Required: 6.00% or 329907.89

<GOAL NOT MET>

DATE:07-23-12

PAGE: 8

North Carolina Department Of Transportation Contract Item Sheets For C203126

Page: 1 of 2

Line ItemNumber Sec Description Quantity Unit Bid Amount						Amount
#	emwumber	#	Description	Unit	Price	Bio
			ROADWAY ITEMS			
0001	0000100000-N	800	MOBILIZATION	Lump Sum LS	165,000.00	165,000.00
0002	0106000000-E	230	BORROW EXCAVATION	2,740 CY	22.50	61,650.00
0003	1220000000-E	545	INCIDENTAL STONE BASE	1,133 TON	35.00	39,655.00
0004	1245000000-E	SP	SHOULDER RECONSTRUCTION	25.8 SMI	1,850.00	47,730.00
0005	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (1-1/2")	253,510 SY	0.86	218,018.60
0006	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (4")	46,447 SY	2.00	92,894.00
0007	1308000000-E	607	MILLING ASPHALT PAVEMENT, ***" TO ******" (0" TO 4")	4,200 SY	3.00	12,600.00
0008	133000000-E	607	INCIDENTAL MILLING	6,610 SY	6.00	39,660.00
0009	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	800 TON	60.00	48,000.00
0010	1498000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	12,925 TON	35.00	452,375.00
0011	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	15,204 TON	33.00	501,732.00
0012	1525000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	32,626 TON	33.00	1,076,658.00
0013	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	3,754 TON	550.00	2,064,700.00
0014	1704000000-E	SP	PATCHING EXISTING PAVEMENT	1,255 TON	115.00	144,325.00
0015	283000000-N	858	ADJUSTMENT OF MANHOLES	1 EA	750.00	750.00
0016	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	511,638 LF	0.47	240,469.86
0017	4686000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)	314,630 LF	0.56	176,192.80
0018	4695000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	1,500 LF	1.00	1,500.00

Sep 04, 2012 12:15 pm

North Carolina Department Of Transportation Contract Item Sheets For C203126

Line	ItemNumber	Sec	Description	Quantity	Unit Bid	Amount
		#		Unit	Price	Bid
0019	4705000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (16", 120 MILS)	100 LF	4.50	450.00
0020	4710000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	415 LF	5.25	2,178.75
0021	4721000000-E	1205	THERMOPLASTIC PAVEMENT MARKING CHARACTER (120 MILS)	34 EA	100.00	3,400.00
0022	4725000000-E	1205	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	23 EA	100.00	2,300.00
0023	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	443,876 LF	0.05	22,193.80
0024	4820000000-E	1205	PAINT PAVEMENT MARKING LINES (8")	1,500 LF	0.25	375.00
0025	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	285 LF	2.00	570.00
0026	4840000000-N	1205	PAINT PAVEMENT MARKING CHARAC- TER	6 EA	40.00	240.00
0027	4845000000-N	1205	PAINT PAVEMENT MARKING SYMBOL	23 EA	40.00	920.00
0028	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	850 EA	23.65	20,102.50
0029	6000000000-E	1605	TEMPORARY SILT FENCE	1,000 LF	5.00	5,000.00
0030	6084000000-E	1660	SEEDING & MULCHING	6.9 ACR	1,980.00	13,662.00
0031	7444000000-E	1725	INDUCTIVE LOOP SAWCUT	6,270 LF	6.75	42,322.50
0032	7456000000-E	1726	LEAD-IN CABLE (***********) (14-2)	400 LF	2.10	840.00

TOTAL AMOUNT OF BID FOR ENTIRE PROJECT

\$5,498,464.81

Page: 2 of 2

1215/Sep04/Q1663788.7/D108909100000/E32

County Warren / Vance

EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

CORPORATION

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Contractor intends to do the work with its own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion Affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

been offered, accepted, or promised by any employees of your organization.
SIGNATURE OF CONTRACTOR
S. T. Wooten Corporation
Full name of Corporation
PO Box 2408, Wilson, NC 27894-2408
Address as Prequalified
Attest W. Resident By By President/Assistant Vice President
Secretary/Assistant Secretary Select appropriate title President/Vice President/Assistant Vice President Select appropriate title
W. Reade Dawson Print or type Signer's name Henry D. Butts Jr. Print or type Signer's name
Trine of type signer's name
CORPORATE SEAL
AFFIDAVIT MUST BE NOTARIZED
Subscribed and sworn to before me this the
day of September 2012 NOTARY SEAL Signature of Notary Public Jennie F Holland NOTARY SEAL
of Wilson County
State of North Carolina
My Commission Expires: February 12, 2013

Contract No. C203126
County Warren / Vance

DEBARMENT CERTIFICATION

Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification

Contract No. C203126

County (ies): Warren, Vance

ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION

Contract Officer

Execution of Contract and Bonds Approved as to Form:

Attorney General

Signature Sheet (Bid - Acceptance by Department)

Contract No. C203126

County Warren/Vance

CONTRACT PAYMENT BOND

Date of Payment Bond Execution	September 10, 2012
Name of Principal Contractor	S. T. Wooten Corporation
	Liberty Mutual Insurance Company
Name of Surety:	175 Berkeley St; Boston, MA 02117
Name of Contracting Body:	North Carolina Department of Transportation
	Raleigh, North Carolina
Amount of Bond:	\$5,498,464.81
Contract ID No.:	C203126
County Name:	Warren / Vance

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No.	C203126	
County	Warren / Vance	
		CO

CONT	TRACT PAYMENT BOND
Affix Seal of Surety Company	Liberty Mutual Insurance Company Print or type Surety Company Name
	By Debra S. Ritter. Attorney-in-Fact Print, stamp or type name of Attorney-in-Fact
	Signature of Attorney-in-Fact

Signature of Witness

Ramona Fewell
Print or type Signer's Name

Rutherfoord A Marsh & McLennan Agency LLC Company 6230 Fairview Road Suite 230 Charlotte, NC 28210

Address of Attorney-in-Fact

Print or type Signer's name

County C203126

Warren / Vance

CONTRACT PAYMENT BOND

CORPORATION

SIGNATURE OF CONTRACTOR (Principal)

S. T. Wooten Corporation					
Full name of Corporation					
Post Office Box 2408; Wilson, North Carolina 27894-2408					
Address as prequalified					
By:					
signature of President, Vice President, Assistant Vice President					
Select appropriate title					
Henry D. Butk Ir					

Affix Corporate Seal

Attest

Signature of Secretary, Assistant Secretary

Select appropriate title

Print or type Signer's name

County County C203126

Warren / Vance

CONTRACT PERFORMANCE BOND

Date of Performance Bond Execution:	September 10, 2012			
Name of Principal Contractor:	S. T. Wooten Corporation			
•	Liberty Mutual Insurance Company			
Name of Surety:	175 Berkeley Street; Boston, MA 02117			
Name of Contracting Body:	North Carolina Department of Transportation			
_	Raleigh, North Carolina			
Amount of Bond:	\$5,498,464.81			
Contract ID No.:	C203126			
County Name:	Warren / Vance			
-				

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by it undersigned representative, pursuant to authority of its governing body.

			Rev 5-17-11							
Contract No.	C203126									
County	Warren / Vance									
,	vallell/ valle									
	CONTRACT PERFORMANCE BOND									
Affix Seal of Surety Company		-	Liberty Mutual Insurance Co.							
			Print or type Surety Company Name							
		By	Debra S. Ritter, Attorney-in-Fact							
			Print, stamp or type name of Attorney-in-Fact							
		_	Debra S. Ritter							
			Signature of Attorney-in-Fact							
	amas Jeunel Signature of witness									
Prin	Ramona Fewell nt or type Signer's Name									

Rutherfoord A Marsh & McLennan Agency LLC Company 6230 Fairview Road Suite 230 Charlotte, NC 28210 Address of Attorney-in-Fact

Contract No. C203126 County Warren / Vance

CONTRACT PERFORMANCE BOND

CORPORATION

SIGNATU	URE OF CONTRACTOR (Principal)			
S. T. Wooten Corporation				
Full name of Corporation				
Post Office Box 2408; Wilson, North Carolina 27894-2408				
	Address as prequalified			
Ву:	AAA			
	Signature of President, Vice President, Assistant Vice President			
	Select appropriate title			
	Henry D. Butts Jr.			
	Print or type Signer's name			

Affix Corporate Seal

Signature of Secretary, Assistant Secretary Select appropriate title

1-610-832-8240 between 9:00 am and 4:30

validity

confirm the

Not valid for mortgage, note, loan, letter of credit, bank deposit, guarantees resid

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

LIBERTY MUTUAL INSURANCE COMPANY **BOSTON, MASSACHUSETTS POWER OF ATTORNEY**

KNOW ALL PERSONS BY THESE PRESENTS: That Liberty Mutual Insurance Company (the "Company"), a Massachusetts stock insurance company, pursuant to and by authority of the By-law and Authorization hereinafter set forth, does hereby name, constitute and appoint

RAYMOND J. GARRUTO, DEBRA S. RITTER, RAMONA FEWELL, MARTIN D. PALLAZZA, BRAD W. GIBSON, ANGELA M. YOUNT, ALL OF THE CITY OF CHARLOTTE, STATE OF NORTH CAROLINA......

each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its _) each, and the execution of such undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents, shall be as binding upon the Company as if they had been duly signed by the president and attested by the secretary of the Company in their own proper persons.

That this power is made and executed pursuant to and by authority of the following By-law and Authorization:

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

By the following instrument the chairman or the president has authorized the officer or other official named therein to appoint attorneys-in-fact:

Pursuant to Article XIII, Section 5 of the By-Laws, Garnet W. Elliott, Assistant Secretary of Liberty Mutual Insurance Company, is hereby authorized to appoint such attorneys-in-fact as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

That the By-law and the Authorization set forth above are true copies thereof and are now in full force and effect.

of this Power of Attorney call IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Company and the corporate seal of Liberty Mutual Insurance Company has been affixed thereto in Plymouth Meeting, Pennsylvania this <u>1st</u> day of <u>'November</u> 2010

LIBERTY MUTUAL INSURANCE COMPANY

Garnet W. Elliott, Assistant Secretary

COMMONWEALTH OF PENNSYLVANIA **COUNTY OF MONTGOMERY**

day of November , 2010, before me, a Notary Public, personally came Garnet W. Elliott, to me known, and acknowledged that he is an Assistant Secretary of Liberty Mutual Insurance Company; that he knows the seal of said corporation; and that he executed the above Power of Attorney and affixed the corporate seal of Liberty Mutual Insurance Company thereto with the authority and at the direction of said corporation.

IN TESTIMONY WHEREAR, Phave necently and at the direction of said corporation.

IN TESTIMONY WHEREAR, Phave necently and at the direction of said corporation.

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastelia, Notary Public Plymouth Twp., Montgomery County My Commission Expires March 28, 2013

CERTIFICATE

I, the undersigned, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy, is in full force and effect on the date of this certificate; and I do further certify that the officer or official who executed the said power of attorney is an Assistant Secretary specially authorized by the chairman or the president to appoint attorneys-in-fact as provided in Article XIII, Section 5 of the By-laws of Liberty Mutual Insurance Company.

This certificate and the above power of attorney may be signed by facsimile or mechanically reproduced signatures under and by authority of the following vote of the board of directors of Liberty Mutual Insurance Company at a meeting duly called and held on the 12th day of March, 1980.

VOTED that the facsimile or mechanically reproduced signature of any assistant secretary of the company, wherever appearing upon a certified copy of any power of attorney issued by the company in connection with surety bonds, shall be valid and binding upon the company with the same force and effect as though manually affixed.

TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said company, this September 2012day of



David M. Carey, Assistant Secretary