

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

C203655

CONTRACT AND  
CONTRACT BONDS

FOR CONTRACT NO. C203655

WBS 45849.3.FR1 HSIP-095-2(128)46

T.I.P NO. W-5519

COUNTY OF CUMBERLAND  
THIS IS THE ROADWAY CONTRACT  
ROUTE NUMBER I95 LENGTH 3.681 MILES  
LOCATION I-95 BUS/US-301 FROM NC-87 SOUTH TO NC-59.

CONTRACTOR HIGHLAND PAVING COMPANY LLC  
ADDRESS P.O. BOX 1843  
FAYETTEVILLE, NC 28302

BIDS OPENED DECEMBER 15, 2015  
CONTRACT EXECUTION 2/3/2016

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

PROPOSAL

**INCLUDES ADDENDUM No. 1 DATED 12-03-15**

DATE AND TIME OF BID OPENING: **DECEMBER 15, 2015 AT 2:00 PM**

CONTRACT ID C203655  
WBS 45849.3.FR1

FEDERAL-AID NO. HSIP-095-2(128)46  
COUNTY CUMBERLAND  
T.I.P. NO. W-5519  
MILES 3.681  
ROUTE NO. I 95  
LOCATION I-95 BUS/US-301 FROM NC-87 SOUTH TO NC-59.

TYPE OF WORK GRADING, DRAINAGE, AND PAVING.

**NOTICE:**

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA. NOTWITHSTANDING THESE LIMITATIONS ON BIDDING, THE BIDDER WHO IS AWARDED ANY FEDERAL - AID FUNDED PROJECT SHALL COMPLY WITH CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA FOR LICENSING REQUIREMENTS WITHIN 60 CALENDAR DAYS OF BID OPENING.

**BIDS WILL BE RECEIVED AS SHOWN BELOW:**

**THIS IS A ROADWAY PROPOSAL**

**5% BID BOND OR BID DEPOSIT REQUIRED**

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**PROPOSAL FOR THE CONSTRUCTION OF  
CONTRACT No. C203655 IN CUMBERLAND COUNTY, NORTH CAROLINA**

Date \_\_\_\_\_ 20 \_\_\_\_\_

**DEPARTMENT OF TRANSPORTATION,  
RALEIGH, NORTH CAROLINA**

The Bidder has carefully examined the location of the proposed work to be known as Contract No. C203655; has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to be bound upon his execution of the bid and subsequent award to him by the Board of Transportation in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with *the 2012 Standard Specifications for Roads and Structures* by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete State Highway Contract No. C203655 in Cumberland County, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled *North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2012* with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

Accompanying this bid is a bid bond secured by a corporate surety, or certified check payable to the order of the Department of Transportation, for five percent of the total bid price, which deposit is to be forfeited as liquidated damages in case this bid is accepted and the Bidder shall fail to provide the required payment and performance bonds with the Department of Transportation, under the condition of this proposal, within 14 calendar days after the written notice of award is received by him, as provided in the *Standard Specifications*; otherwise said deposit will be returned to the Bidder.



State Contract Officer

DocuSigned by:  
  
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12/3/2015

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**PROJECT SPECIAL PROVISIONS****GENERAL****CONTRACT TIME AND LIQUIDATED DAMAGES:**

(4-17-12)

108

SP1 G07 C

The date of availability for this contract is **January 25, 2016**.

The completion date for this contract is **April 12, 2018**.

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **Two Hundred Dollars (\$ 200.00)** per calendar day. These liquidated damages will not be cumulative with any liquidated damages which may become chargeable under Intermediate Contract Time Number 1.

**INTERMEDIATE CONTRACT TIME NUMBER 1 AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 2-21-12)

108

SP1 G13 A

Except for that work required under the Project Special Provisions entitled *Planting, Reforestation* and/or *Permanent Vegetation Establishment*, included elsewhere in this proposal, the Contractor will be required to complete all work included in this contract and shall place and maintain traffic on same.

The date of availability for this intermediate contract time is **January 25, 2016**.

The completion date for this intermediate contract time is **October 15, 2017**.

The liquidated damages for this intermediate contract time are **One Thousand Five Hundred Dollars (\$ 1,500.00)** per calendar day.

Upon apparent completion of all the work required to be completed by this intermediate date, a final inspection will be held in accordance with Article 105-17 and upon acceptance, the Department will assume responsibility for the maintenance of all work except *Planting, Reforestation* and/or *Permanent Vegetation Establishment*. The Contractor will be responsible for and shall make corrections of all damages to the completed roadway caused by his planting operations, whether occurring prior to or after placing traffic through the project.

**INTERMEDIATE CONTRACT TIME NUMBER 2 AND LIQUIDATED DAMAGES:**

(2-20-07)

108

SP1 G14 A

The Contractor shall complete the required work of installing, maintaining, and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow a lane of traffic on **I-95 Business / US 301 and Gillespie Street** during the following time restrictions:

**DAY AND TIME RESTRICTIONS**

**Monday thru Friday  
7:00 a.m. to 9:00 a.m.  
and  
4:00 p.m. to 6:00 p.m.**

In addition, the Contractor shall not close or narrow a lane of traffic on **I-95 Business / US 301 and Gillespie Street**, detain and/or alter the traffic flow on or during holidays, holiday weekends, special events, or any other time when traffic is unusually heavy, including the following schedules:

**HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS**

1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
2. For **New Year's Day**, between the hours of **7:00 a.m.** December 31st and **6:00 p.m.** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **6:00 p.m.** the following Tuesday.
3. For **Easter**, between the hours of **7:00 a.m.** Thursday and **6:00 p.m.** Monday.
4. For **Memorial Day**, between the hours of **7:00 a.m.** Friday and **6:00 p.m.** Tuesday.
5. For **Independence Day**, between the hours of **7:00 a.m.** the day before Independence Day and **6:00 p.m.** the day after Independence Day.  
  
If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **7:00 a.m.** the Thursday before Independence Day and **6:00 p.m.** the Tuesday after Independence Day.
6. For **Labor Day**, between the hours of **7:00 a.m.** Friday and **6:00 p.m.** Tuesday.
7. For **Thanksgiving Day**, between the hours of **7:00 a.m.** Tuesday and **6:00 p.m.** Monday.
8. For **Christmas**, between the hours of **7:00 a.m.** the Friday before the week of Christmas Day and **6:00 p.m.** the following Tuesday after the week of Christmas Day.

9. For events occurring at the **Crown Complex**, between two (2) hours before the start of the event, and two (2) hours after the end of the event.

Holidays and holiday weekends shall include New Year's, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas. The Contractor shall schedule his work so that lane closures will not be required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated above and place traffic in the existing traffic pattern.

**The Day and Time Restrictions of this Intermediate Contract Time do not apply during Phase II; however, Holiday and Holiday Weekend Lane Closure Restrictions do apply throughout the entire duration of this Contract.**

The liquidated damages are **One Thousand Dollars (\$ 1,000.00)** per hour.

**INTERMEDIATE CONTRACT TIME NUMBER 3 AND LIQUIDATED DAMAGES:**

(2-20-07)

108

SP1 G14 D

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for road closures and restoring traffic to the existing traffic pattern. The Contractor shall not close **I-95 Business / US 301 and Gillespie Street** during the following time restrictions:

**DAY AND TIME RESTRICTIONS**

**Monday thru Sunday  
6:00 a.m. to 10:00 p.m.**

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for road closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the road closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern

The liquidated damages are **Five Hundred Dollars (\$500.00)** per fifteen (15) minute time period.

**PERMANENT VEGETATION ESTABLISHMENT:**

(2-16-12) (Rev. 10-15-13)

104

SP1 G16

Establish a permanent stand of the vegetation mixture shown in the contract. During the period between initial vegetation planting and final project acceptance, perform all work necessary to establish permanent vegetation on all erodible areas within the project limits, as well as, in borrow and waste pits. This work shall include erosion control device maintenance and installation, repair seeding and mulching, supplemental seeding and mulching, mowing, and fertilizer topdressing, as directed. All work shall be performed in accordance with the applicable section of the *2012 Standard Specifications*. All work required for initial vegetation planting shall be performed as a part of the work necessary for the completion and acceptance of the Intermediate Contract Time (ICT). Between the time of ICT and Final Project acceptance, or otherwise referred to as the vegetation establishment period, the Department will be responsible for preparing the required National Pollutant Discharge Elimination System (NPDES) inspection records.

Once the Engineer has determined that the permanent vegetation establishment requirement has been achieved at an 80% vegetation density (the amount of established vegetation per given area to stabilize the soil) and no erodible areas exist within the project limits, the Contractor will be notified to remove the remaining erosion control devices that are no longer needed. The Contractor will be responsible for, and shall correct any areas disturbed by operations performed in permanent vegetation establishment and the removal of temporary erosion control measures, whether occurring prior to or after placing traffic on the project.

Payment for *Response for Erosion Control, Seeding and Mulching, Repair Seeding, Supplemental Seeding, Mowing, Fertilizer Topdressing, Silt Excavation, and Stone for Erosion Control* will be made at contract unit prices for the affected items. Work required that is not represented by contract line items will be paid in accordance with Articles 104-7 or 104-3 of the *2012 Standard Specifications*. No additional compensation will be made for maintenance and removal of temporary erosion control items.

**DELAY IN RIGHT OF ENTRY:**

(7-1-95) (Rev. 7-15-14)

108

SP1 G22

The Contractor will not be allowed right of entry to the following parcel(s) prior to the listed date(s) unless otherwise permitted by the Engineer.

| <b><u>Parcel No.</u></b> | <b><u>Property Owner</u></b> | <b><u>Date</u></b> |
|--------------------------|------------------------------|--------------------|
| 002                      | Luberta Jacobs               | 12-31-15           |
| 010                      | Sandra T. Oates, Custodian   | 12-31-15           |
| 011                      | Fayetteville Business Park   | 12-31-15           |
| 012                      | Susan Surles Sherrill        | 12-31-15           |
|                          |                              |                    |

**MAJOR CONTRACT ITEMS:**

(2-19-02)

104

SP1 G28

The following listed items are the major contract items for this contract (see Article 104-5 of the 2012 Standard Specifications):

| Line # | Description                                       |
|--------|---|
| 33     | Asphalt Concrete Base Course, Type B25.0C         |
| 34     | Asphalt Concrete Intermediate Course, Type I19.0C |
| 36     | Asphalt Concrete Surface Course, Type S9.5C       |
| 58     | 5" Monolithic Concrete Islands (Keyed In)         |

**SPECIALTY ITEMS:**

(7-1-95)(Rev. 1-17-12)

108-6

SP1 G37

Items listed below will be the specialty items for this contract (see Article 108-6 of the 2012 Standard Specifications).

| Line #       | Description                 |
|--------------|-----------------------------|
| 61-63        | Guardrail                   |
| 68-71, 96-97 | Signing                     |
| 82-89        | Long-Life Pavement Markings |
| 95           | Permanent Pavement Markers  |
| 98-108       | Utility Construction        |
| 109-136      | Erosion Control             |

**FUEL PRICE ADJUSTMENT:**

(11-15-05) (Rev. 2-18-14)

109-8

SP1 G43

Revise the 2012 Standard Specifications as follows:

**Page 1-83, Article 109-8, Fuel Price Adjustments,** add the following:

The base index price for DIESEL #2 FUEL is \$ **1.5065** per gallon. Where any of the following are included as pay items in the contract, they will be eligible for fuel price adjustment.

The pay items and the fuel factor used in calculating adjustments to be made will be as follows:

| Description                                      | Units   | Fuel Usage Factor Diesel |
|--|---------|--------------------------|
| Unclassified Excavation                          | Gal/CY  | 0.29                     |
| Borrow Excavation                                | Gal/CY  | 0.29                     |
| Class IV Subgrade Stabilization                  | Gal/Ton | 0.55                     |
| Aggregate Base Course                            | Gal/Ton | 0.55                     |
| Sub-Ballast                                      | Gal/Ton | 0.55                     |
| Asphalt Concrete Base Course, Type _____         | Gal/Ton | 2.90                     |
| Asphalt Concrete Intermediate Course, Type _____ | Gal/Ton | 2.90                     |
| Asphalt Concrete Surface Course, Type _____      | Gal/Ton | 2.90                     |

|  |         |       |
|--|---------|-------|
| Open-Graded Asphalt Friction Course            | Gal/Ton | 2.90  |
| Permeable Asphalt Drainage Course, Type ____   | Gal/Ton | 2.90  |
| Sand Asphalt Surface Course, Type ____         | Gal/Ton | 2.90  |
| Aggregate for Cement Treated Base Course       | Gal/Ton | 0.55  |
| Portland Cement for Cement Treated Base Course | Gal/Ton | 0.55  |
| __" Portland Cement Concrete Pavement          | Gal/SY  | 0.245 |
| Concrete Shoulders Adjacent to __" Pavement    | Gal/SY  | 0.245 |

**SCHEDULE OF ESTIMATED COMPLETION PROGRESS:**

(7-15-08) (Rev. 5-19-15)

108-2

SP1 G58

The Contractor's attention is directed to the Standard Special Provision entitled *Availability of Funds Termination of Contracts* included elsewhere in this proposal. The Department of Transportation's schedule of estimated completion progress for this project as required by that Standard Special Provision is as follows:

|      |                     |                                 |
|------|---------------------|---------------------------------|
| 2016 | (7/01/15 - 6/30/16) | <b>34</b> % of Total Amount Bid |
| 2017 | (7/01/16 - 6/30/17) | <b>57</b> % of Total Amount Bid |
| 2018 | (7/01/17 - 6/30/18) | <b>9</b> % of Total Amount Bid  |

The Contractor shall also furnish his own progress schedule in accordance with Article 108-2 of the *2012 Standard Specifications*. Any acceleration of the progress as shown by the Contractor's progress schedule over the progress as shown above shall be subject to the approval of the Engineer.

**DISADVANTAGED BUSINESS ENTERPRISE:**

(10-16-07)(Rev. 12-17-13)

102-15(J)

SP1 G61

**Description**

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts financed in whole or in part with Federal funds. This provision is guided by 49 CFR Part 26.

**Definitions**

*Additional DBE Subcontractors* - Any DBE submitted at the time of bid that will not be used to meet the DBE goal. No submittal of a Letter of Intent is required.

*Committed DBE Subcontractor* - Any DBE submitted at the time of bid that is being used to meet the DBE goal by submission of a Letter of Intent. Or any DBE used as a replacement for a previously committed DBE firm.

*Contract Goal Requirement* - The approved DBE participation at time of award, but not greater than the advertised contract goal.

*DBE Goal* - A portion of the total contract, expressed as a percentage, that is to be performed by committed DBE subcontractor(s).

*Disadvantaged Business Enterprise (DBE)* - A firm certified as a Disadvantaged Business Enterprise through the North Carolina Unified Certification Program.

*Goal Confirmation Letter* - Written documentation from the Department to the bidder confirming the Contractor's approved, committed DBE participation along with a listing of the committed DBE firms.

*Manufacturer* - A firm that operates or maintains a factory or establishment that produces on the premises, the materials or supplies obtained by the Contractor.

*Regular Dealer* - A firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, cement, gravel, stone, and petroleum products need not keep such products in stock, if it owns and operates distribution equipment for the products. Brokers and packagers are not regarded as manufacturers or regular dealers within the meaning of this section.

*North Carolina Unified Certification Program (NCUCP)* - A program that provides comprehensive services and information to applicants for DBE certification, such that an applicant is required to apply only once for a DBE certification that will be honored by all recipients of USDOT funds in the state and not limited to the Department of Transportation only. The Certification Program is in accordance with 49 CFR Part 26.

*United States Department of Transportation (USDOT)* - Federal agency responsible for issuing regulations (49 CFR Part 26) and official guidance for the DBE program.

### **Forms and Websites Referenced in this Provision**

*DBE Payment Tracking System* - On-line system in which the Contractor enters the payments made to DBE subcontractors who have performed work on the project.  
<https://apps.dot.state.nc.us/Vendor/PaymentTracking/>

*DBE-IS Subcontractor Payment Information* - Form for reporting the payments made to all DBE firms working on the project. This form is for paper bid projects only.  
<http://www.ncdot.org/doh/forms/files/DBE-IS.xls>

*RF-1 DBE Replacement Request Form* - Form for replacing a committed DBE.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/DBE%20MBE%20WBE%20Replacement%20Request%20Form.pdf>

*SAF Subcontract Approval Form* - Form required for approval to sublet the contract.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/Subcontract%20Approval%20Form%20Rev.%202012.zip>

*JC-1 Joint Check Notification Form* - Form and procedures for joint check notification. The form acts as a written joint check agreement among the parties providing full and prompt disclosure of the expected use of joint checks.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/Joint%20Check%20Notification%20Form.pdf>

*Letter of Intent* - Form signed by the Contractor and the DBE subcontractor, manufacturer or regular dealer that affirms that a portion of said contract is going to be performed by the signed DBE for the amount listed at the time of bid.  
<http://connect.ncdot.gov/letting/LetCentral/Letter%20of%20Intent%20to%20Perform%20as%20a%20Subcontractor.pdf>

*Listing of DBE Subcontractors Form* - Form for entering DBE subcontractors on a project that will meet this DBE goal. This form is for paper bids only.  
[http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20\(Federal\).docx](http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20(Federal).docx)

*Subcontractor Quote Comparison Sheet* - Spreadsheet for showing all subcontractor quotes in the work areas where DBEs quoted on the project. This sheet is submitted with good faith effort packages.  
<http://connect.ncdot.gov/business/SmallBusiness/Documents/DBE%20Subcontractor%20Quote%20Comparison%20Example.xls>

## **DBE Goal**

The following DBE goal for participation by Disadvantaged Business Enterprises is established for this contract:

Disadvantaged Business Enterprises **14.0 %**

- (A) *If the DBE goal is more than zero*, the Contractor shall exercise all necessary and reasonable steps to ensure that DBEs participate in at least the percent of the contract as set forth above as the DBE goal.
- (B) *If the DBE goal is zero*, the Contractor shall make an effort to recruit and use DBEs during the performance of the contract. Any DBE participation obtained shall be reported to the Department.

## **Directory of Transportation Firms (Directory)**

Real-time information is available about firms doing business with the Department and firms that are certified through NCUCP in the Directory of Transportation Firms. Only firms identified

in the Directory as DBE certified shall be used to meet the DBE goal. The Directory can be found at the following link. <https://partner.ncdot.gov/VendorDirectory/default.html>

The listing of an individual firm in the directory shall not be construed as an endorsement of the firm's capability to perform certain work.

### **Listing of DBE Subcontractors**

At the time of bid, bidders shall submit all DBE participation that they anticipate to use during the life of the contract. Only those identified to meet the DBE goal will be considered committed, even though the listing shall include both committed DBE subcontractors and additional DBE subcontractors. Additional DBE subcontractor participation submitted at the time of bid will be used toward the Department's overall race-neutral goal. Only those firms with current DBE certification at the time of bid opening will be acceptable for listing in the bidder's submittal of DBE participation. The Contractor shall indicate the following required information:

#### **(A) Electronic Bids**

Bidders shall submit a listing of DBE participation in the appropriate section of Expedite, the bidding software of Bid Express<sup>®</sup>.

- (1) Submit the names and addresses of DBE firms identified to participate in the contract. If the bidder uses the updated listing of DBE firms shown in Expedite, the bidder may use the dropdown menu to access the name and address of the DBE firm.
- (2) Submit the contract line numbers of work to be performed by each DBE firm. When no figures or firms are entered, the bidder will be considered to have no DBE participation.
- (3) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the DBE goal.

#### **(B) Paper Bids**

- (1) *If the DBE goal is more than zero,*
  - (a) Bidders, at the time the bid proposal is submitted, shall submit a listing of DBE participation, including the names and addresses on *Listing of DBE Subcontractors* contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the DBE participation for the contract.

- (b) If bidders have no DBE participation, they shall indicate this on the *Listing of DBE Subcontractors* by entering the word “None” or the number “0.” This form shall be completed in its entirety. **Blank forms will not be deemed to represent zero participation.** Bids submitted that do not have DBE participation indicated on the appropriate form will not be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be rejected.
  - (c) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE’s participation will not count towards achieving the corresponding goal.
- (2) *If the DBE goal is zero, entries on the Listing of DBE Subcontractors are not required for the zero goal, however any DBE participation that is achieved during the project shall be reported in accordance with requirements contained elsewhere in the special provision.*

### **DBE Prime Contractor**

When a certified DBE firm bids on a contract that contains a DBE goal, the DBE firm is responsible for meeting the goal or making good faith efforts to meet the goal, just like any other bidder. In most cases, a DBE bidder on a contract will meet the DBE goal by virtue of the work it performs on the contract with its own forces. However, all the work that is performed by the DBE bidder and any other DBE subcontractors will count toward the DBE goal. The DBE bidder shall list itself along with any DBE subcontractors, if any, in order to receive credit toward the DBE goal.

For example, if the DBE goal is 45% and the DBE bidder will only perform 40% of the contract work, the prime will list itself at 40%, and the additional 5% shall be obtained through additional DBE participation with DBE subcontractors or documented through a good faith effort.

DBE prime contractors shall also follow Sections A and B listed under *Listing of DBE Subcontractor* just as a non-DBE bidder would.

### **Written Documentation – Letter of Intent**

The bidder shall submit written documentation for each DBE that will be used to meet the DBE goal of the contract, indicating the bidder’s commitment to use the DBE in the contract. This documentation shall be submitted on the Department’s form titled *Letter of Intent*.

The documentation shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids, unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

If the bidder fails to submit the Letter of Intent from each committed DBE to be used toward the DBE goal, or if the form is incomplete (i.e. both signatures are not present), the DBE participation will not count toward meeting the DBE goal. If the lack of this participation drops the commitment below the DBE goal, the Contractor shall submit evidence of good faith efforts, completed in its entirety, to the State Contractor Utilization Engineer or DBE@ncdot.gov no later than 12:00 noon on the eighth calendar day following opening of bids, unless the eighth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

### **Submission of Good Faith Effort**

If the bidder fails to meet or exceed the DBE goal, the apparent lowest responsive bidder shall submit to the Department documentation of adequate good faith efforts made to reach the DBE goal.

A hard copy and an electronic copy of this information shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer the next official state business day. If the contractor cannot send the information electronically, then one complete set and 9 copies of this information shall be received under the same time constraints above.

Note: Where the information submitted includes repetitious solicitation letters, it will be acceptable to submit a representative letter along with a distribution list of the firms that were solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

### **Consideration of Good Faith Effort for Projects with DBE Goals More Than Zero**

Adequate good faith efforts mean that the bidder took all necessary and reasonable steps to achieve the goal which, by their scope, intensity, and appropriateness, could reasonably be expected to obtain sufficient DBE participation. Adequate good faith efforts also mean that the bidder actively and aggressively sought DBE participation. Mere *pro forma* efforts are not considered good faith efforts.

The Department will consider the quality, quantity, and intensity of the different kinds of efforts a bidder has made. Listed below are examples of the types of actions a bidder will take in making a good faith effort to meet the goal and are not intended to be exclusive or exhaustive, nor is it intended to be a mandatory checklist.

- (A) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising, written notices, use of verifiable electronic means through the use

of the NCDOT Directory of Transportation Firms) the interest of all certified DBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within at least 10 days prior to bid opening to allow the DBEs to respond to the solicitation. Solicitation shall provide the opportunity to DBEs within the Division and surrounding Divisions where the project is located. The bidder must determine with certainty if the DBEs are interested by taking appropriate steps to follow up initial solicitations.

- (B) Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goals will be achieved.
  - (1) Where appropriate, break out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
  - (2) Negotiate with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be sublet includes potential for DBE participation (2<sup>nd</sup> and 3<sup>rd</sup> tier subcontractors).
- (C) Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
- (D)
  - (1) Negotiating in good faith with interested DBEs. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.
  - (2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBEs is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Bidding contractors are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
- (E) Not rejecting DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership

in specific groups, organizations, or associates and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.

- (F) Making efforts to assist interested DBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or bidder.
- (G) Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.
- (H) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; Federal, State, and local minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs. Contact within 7 days from the bid opening the Business Development Manager in the Business Opportunity and Work Force Development Unit to give notification of the bidder's inability to get DBE quotes.
- (I) Any other evidence that the bidder submits which shows that the bidder has made reasonable good faith efforts to meet the DBE goal.

In addition, the Department may take into account the following:

- (1) Whether the bidder's documentation reflects a clear and realistic plan for achieving the DBE goal.
- (2) The bidders' past performance in meeting the DBE goals.
- (3) The performance of other bidders in meeting the DBE goal. For example, when the apparent successful bidder fails to meet the DBE goal, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts the apparent successful bidder could have met the goal. If the apparent successful bidder fails to meet the DBE goal, but meets or exceeds the average DBE participation obtained by other bidders, the Department may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made a good faith effort.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy to the Department that the DBE goal can be met or that an adequate good faith effort has been made to meet the DBE goal.

### **Non-Good Faith Appeal**

The State Contractor Utilization Engineer will notify the contractor verbally and in writing of non-good faith. A contractor may appeal a determination of non-good faith made by the Goal Compliance Committee. If a contractor wishes to appeal the determination made by the

Committee, they shall provide written notification to the State Contractual Services Engineer or at DBE@ncdot.gov. The appeal shall be made within 2 business days of notification of the determination of non-good faith.

### **Counting DBE Participation Toward Meeting DBE Goal**

(A) Participation

The total dollar value of the participation by a committed DBE will be counted toward the contract goal requirement. The total dollar value of participation by a committed DBE will be based upon the value of work actually performed by the DBE and the actual payments to DBE firms by the Contractor.

(B) Joint Checks

Prior notification of joint check use shall be required when counting DBE participation for services or purchases that involves the use of a joint check. Notification shall be through submission of Form JC-1 (*Joint Check Notification Form*) and the use of joint checks shall be in accordance with the Department's Joint Check Procedures.

(C) Subcontracts (Non-Trucking)

A DBE may enter into subcontracts. Work that a DBE subcontracts to another DBE firm may be counted toward the contract goal requirement. Work that a DBE subcontracts to a non-DBE firm does not count toward the contract goal requirement. If a DBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, it shall be presumed that the DBE is not performing a commercially useful function. The DBE may present evidence to rebut this presumption to the Department. The Department's decision on the rebuttal of this presumption is subject to review by the Federal Highway Administration but is not administratively appealable to USDOT.

(D) Joint Venture

When a DBE performs as a participant in a joint venture, the Contractor may count toward its contract goal requirement a portion of the total value of participation with the DBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the DBE performs with its forces.

(E) Suppliers

A contractor may count toward its DBE requirement 60 percent of its expenditures for materials and supplies required to complete the contract and obtained from a DBE regular dealer and 100 percent of such expenditures from a DBE manufacturer.

(F) Manufacturers and Regular Dealers

A contractor may count toward its DBE requirement the following expenditures to DBE firms that are not manufacturers or regular dealers:

- (1) The fees or commissions charged by a DBE firm for providing a *bona fide* service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.
- (2) With respect to materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site (but not the cost of the materials and supplies themselves), provided the fees are determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

**Commercially Useful Function**

(A) DBE Utilization

The Contractor may count toward its contract goal requirement only expenditures to DBEs that perform a commercially useful function in the work of a contract. A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the DBE credit claimed for its performance of the work, and any other relevant factors.

(B) DBE Utilization in Trucking

The following factors will be used to determine if a DBE trucking firm is performing a commercially useful function:

- (1) The DBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting DBE goals.

- (2) The DBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- (3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
- (4) The DBE may subcontract the work to another DBE firm, including an owner-operator who is certified as a DBE. The DBE who subcontracts work to another DBE receives credit for the total value of the transportation services the subcontracted DBE provides on the contract.
- (5) The DBE may also subcontract the work to a non-DBE firm, including from an owner-operator. The DBE who subcontracts the work to a non-DBE is entitled to credit for the total value of transportation services provided by the non-DBE subcontractor not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the DBE and the Contractor will not count towards the DBE contract requirement.
- (6) A DBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the DBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. This type of lease may count toward the DBE's credit as long as the driver is under the DBE's payroll.
- (7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the DBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

### **DBE Replacement**

When a Contractor has relied on a commitment to a DBE firm (or an approved substitute DBE firm) to meet all or part of a contract goal requirement, the contractor shall not terminate the DBE for convenience. This includes, but is not limited to, instances in which the Contractor seeks to perform the work of the terminated subcontractor with another DBE subcontractor, a non-DBE subcontractor, or with the Contractor's own forces or those of an affiliate. A DBE may only be terminated after receiving the Engineer's written approval based upon a finding of good cause for the termination.

All requests for replacement of a committed DBE firm shall be submitted to the Engineer for approval on Form RF-1 (*DBE Replacement Request*). If the Contractor fails to follow this procedure, the Contractor may be disqualified from further bidding for a period of up to 6 months.

The Contractor shall comply with the following for replacement of a committed DBE:

(A) Performance Related Replacement

When a committed DBE is terminated for good cause as stated above, an additional DBE that was submitted at the time of bid may be used to fulfill the DBE commitment. A good faith effort will only be required for removing a committed DBE if there were no additional DBEs submitted at the time of bid to cover the same amount of work as the DBE that was terminated.

If a replacement DBE is not found that can perform at least the same amount of work as the terminated DBE, the Contractor shall submit a good faith effort documenting the steps taken. Such documentation shall include, but not be limited to, the following:

- (1) Copies of written notification to DBEs that their interest is solicited in contracting the work defaulted by the previous DBE or in subcontracting other items of work in the contract.
- (2) Efforts to negotiate with DBEs for specific subbids including, at a minimum:
  - (a) The names, addresses, and telephone numbers of DBEs who were contacted.
  - (b) A description of the information provided to DBEs regarding the plans and specifications for portions of the work to be performed.
- (3) A list of reasons why DBE quotes were not accepted.
- (4) Efforts made to assist the DBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.

(B) Decertification Replacement

- (1) When a committed DBE is decertified by the Department after the SAF (*Subcontract Approval Form*) has been received by the Department, the Department will not require the Contractor to solicit replacement DBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract goal requirement.
- (2) When a committed DBE is decertified prior to the Department receiving the SAF (*Subcontract Approval Form*) for the named DBE firm, the Contractor shall

take all necessary and reasonable steps to replace the DBE subcontractor with another DBE subcontractor to perform at least the same amount of work to meet the DBE goal requirement. If a DBE firm is not found to do the same amount of work, a good faith effort must be submitted to NCDOT (see A herein for required documentation).

### **Changes in the Work**

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed DBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a DBE based upon the Contractor's commitment, the DBE shall participate in additional work to the same extent as the DBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by DBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction, and a portion or all of the work had been expected to be performed by a committed DBE, the Contractor shall seek participation by DBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a DBE, the Contractor shall seek additional participation by DBEs equal to the reduced DBE participation caused by the changes.

### **Reports and Documentation**

A SAF (*Subcontract Approval Form*) shall be submitted for all work which is to be performed by a DBE subcontractor. The Department reserves the right to require copies of actual subcontract agreements involving DBE subcontractors.

When using transportation services to meet the contract commitment, the Contractor shall submit a proposed trucking plan in addition to the SAF. The plan shall be submitted prior to beginning construction on the project. The plan shall include the names of all trucking firms proposed for use, their certification type(s), the number of trucks owned by the firm, as well as the individual truck identification numbers, and the line item(s) being performed.

Within 30 calendar days of entering into an agreement with a DBE for materials, supplies or services, not otherwise documented by the SAF as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation shall also indicate the percentage (60% or 100%) of expenditures claimed for DBE credit.

**Reporting Disadvantaged Business Enterprise Participation**

The Contractor shall provide the Engineer with an accounting of payments made to all DBE firms, including material suppliers and contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:

- (A) Withholding of money due in the next partial pay estimate; or
- (B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to DBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from being approved for work on future DOT projects until the required information is submitted.

Contractors reporting transportation services provided by non-DBE lessees shall evaluate the value of services provided during the month of the reporting period only.

At any time, the Engineer can request written verification of subcontractor payments.

- (A) Electronic Bids Reporting

The Contractor shall report the accounting of payments through the Department's DBE Payment Tracking System.

- (B) Paper Bids Reporting

The Contractor shall report the accounting of payments on the Department's DBE-IS (*Subcontractor Payment Information*) with each invoice. Invoices will not be processed for payment until the DBE-IS is received.

**Failure to Meet Contract Requirements**

Failure to meet contract requirements in accordance with Subarticle 102-15(J) of the *2012 Standard Specifications* may be cause to disqualify the Contractor.

**CERTIFICATION FOR FEDERAL-AID CONTRACTS:**

(3-21-90)

SP1 G85

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (A) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by *Section 1352, Title 31, U.S. Code*. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

**U.S. DEPARTMENT OF TRANSPORTATION HOTLINE:**

(11-22-94)

108-5

SP1 G100

To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free hotline Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the hotline to report such activities.

The hotline is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

**SUBSURFACE INFORMATION:**

(7-1-95)

450

SP1 G112 B

Subsurface information is available on the roadway portion of this project only.

**LOCATING EXISTING UNDERGROUND UTILITIES:**

(3-20-12)

105

SP1 G115

Revise the *2012 Standard Specifications* as follows:

**Page 1-43, Article 105-8, line 28, after the first sentence,** add the following:

Identify excavation locations by means of pre-marking with white paint, flags, or stakes or provide a specific written description of the location in the locate request.

**VALUE ENGINEERING PROPOSAL:**

(05-19-15)

104

SP01 G116

Revise the *2012 Standard Specifications* as follows:

**Page 1-36, Subarticle 104-12(B) Evaluation of Proposals, lines 42-44,** replace the fourth sentence of the second paragraph with the following:

Pending execution of a formal supplemental agreement implementing an approved VEP and transferal of final plans (hard copy and electronic) sealed by an engineer licensed in the State of North Carolina incorporating an approved VEP to the Resident Engineer and the State Value Management Engineer, the Contractor shall remain obligated to perform the work in accordance with the terms of the existing contract.

**Page 1-37, Subarticle 104-12(D) Preliminary Review, lines 9-12,** replace the first sentence of the first paragraph with the following:

Should the Contractor desire a preliminary review of a possible VEP, before expending considerable time and expense in full development, a copy of the Preliminary VEP shall be submitted to the Resident Engineer and the State Value Management Engineer at ValueManagementUnit@ncdot.gov.

**Page 1-37, Subarticle 104-12(E) Final Proposal, lines 22-23,** replace the first sentence of the first paragraph with the following:

A copy of the Final VEP shall be submitted by the Contractor to the Resident Engineer and the State Value Management Engineer at ValueManagementUnit@ncdot.gov.

**Page 1-38, Subarticle 104-12(F) Modifications, lines 2-8,** replace the first paragraph with the following:

To facilitate the preparation of revisions to contract drawings, the Contractor may purchase reproducible copies of drawings for his use through the Department's Value Management Unit.

The preparation of new design drawings by or for the Contractor shall be coordinated with the appropriate Design Branch through the State Value Management Engineer. The Contractor shall provide, at no charge to the Department, one set of reproducible drawings of the approved design needed to implement the VEP. Drawings (hard copy and electronic) which are sealed by an engineer licensed in the State of North Carolina shall be submitted to the State Value Management Engineer no later than ten (10) business days after acceptance of a VEP unless otherwise permitted.

**Page 1-38, Subarticle 104-12(F) Modifications, line 17,** add the following at the end of the third paragraph:

Supplemental agreements executed for design-bid-build contracts shall reflect any realized savings in the corresponding line items. Supplemental agreements executed for design-build contracts shall add one line item deducting the full savings from the total contract price and one line item crediting the Contractor with 50% of the total VEP savings.

**Page 1-38, Subarticle 104-12(F) Modifications, lines 45-47,** replace the eighth paragraph with the following:

Unless and until a supplemental agreement is executed and issued by the Department and final plans (hard copy and electronic) sealed by an engineer licensed in the State of North Carolina incorporating an approved VEP have been provided to the Resident Engineer and the State Value Management Engineer, the Contractor shall remain obligated to perform the work in accordance with the terms of the existing contract.

**RESOURCE CONSERVATION AND ENV. SUSTAINABLE PRACTICES:**

(5-21-13) (Rev. 5-19-15)

104-13

SPI G118

In accordance with North Carolina Executive Order 156, NCGS 130A-309.14(3), and NCGS 136-28.8, it is the objective of the Department to aid in the reduction of materials that become a part of our solid waste stream, to divert materials from landfills, to find ways to recycle and reuse materials, to consider and minimize, where economically feasible, the environmental impacts associated with agency land use and acquisition, construction, maintenance and facility management for the benefit of the Citizens of North Carolina.

To achieve the mission of reducing environmental impacts across the state, the Department is committed to supporting the efforts to initiate, develop and use products and construction methods that incorporate the use of recycled, solid waste products and environmentally sustainable practices in accordance with Article 104-13 of the *Standard Specifications*.

Report the quantities of reused or recycled materials either incorporated in the project or diverted from landfills and any practice that minimizes the environmental impact on the project annually on the Project Construction Reuse and Recycling Reporting Form. The Project Construction Reuse and Recycling Reporting Form and a location tool for local recycling facilities are available at:

<http://connect.ncdot.gov/resources/Environmental/Pages/North-Carolina-Recycling-Locations.aspx>.

Submit the Project Construction Reuse and Recycling Reporting Form by August 1 annually to [valuemanagementunit@ncdot.gov](mailto:valuemanagementunit@ncdot.gov). For questions regarding the form or reporting, please contact the State Value Management Engineer at 919-707-4810.

**DOMESTIC STEEL:**

(4-16-13)

106

SPI G120

Revise the *2012 Standard Specifications* as follows:

**Page 1-49, Subarticle 106-1(B) Domestic Steel, lines 2-7,** replace the first paragraph with the following:

All steel and iron products that are permanently incorporated into this project shall be produced in the United States except minimal amounts of foreign steel and iron products may be used provided the combined material cost of the items involved does not exceed 0.1% of the total amount bid for the entire project or \$2,500, whichever is greater. If invoices showing the cost of the material are not provided, the amount of the bid item involving the foreign material will be used for calculations. This minimal amount of foreign produced steel and iron products permitted for use is not applicable to high strength fasteners. Domestically produced high strength fasteners are required.

**MAINTENANCE OF THE PROJECT:**

(11-20-07) (Rev. 1-17-12)

104-10

SPI G125

Revise the *2012 Standard Specifications* as follows:

**Page 1-35, Article 104-10 Maintenance of the Project, line 25,** add the following after the first sentence of the first paragraph:

All guardrail/guiderail within the project limits shall be included in this maintenance.

**Page 1-35, Article 104-10 Maintenance of the Project, line 30,** add the following as the last sentence of the first paragraph:

The Contractor shall perform weekly inspections of guardrail and guiderail and shall report damages to the Engineer on the same day of the weekly inspection. *Where damaged guardrail or guiderail is repaired or replaced as a result of maintaining the project in accordance with this article, such repair or replacement shall be performed within 7 consecutive calendar days of such inspection report.*

**Page 1-35, Article 104-10 Maintenance of the Project, lines 42-44,** replace the last sentence of the last paragraph with the following:

The Contractor will not be directly compensated for any maintenance operations necessary, except for maintenance of guardrail/guiderail, as this work will be considered incidental to the work covered by the various contract items. The provisions of Article 104-7, Extra Work, and Article 104-8, Compensation and Record Keeping will apply to authorized maintenance of

guardrail/guiderail. Performance of weekly inspections of guardrail/guiderail, and the damage reports required as described above, will be considered to be an incidental part of the work being paid for by the various contract items.

**TWELVE MONTH GUARANTEE:**

(7-15-03)

108

SP1 G145

- (A) The Contractor shall guarantee materials and workmanship against latent and patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve months following the date of final acceptance of the work for maintenance and shall replace such defective materials and workmanship without cost to the Department. The Contractor will not be responsible for damage due to faulty design, normal wear and tear, for negligence on the part of the Department, and/or for use in excess of the design.
- (B) Where items of equipment or material carry a manufacturer's guarantee for any period in excess of twelve months, then the manufacturer's guarantee shall apply for that particular piece of equipment or material. The Department's first remedy shall be through the manufacturer although the Contractor is responsible for invoking the warranted repair work with the manufacturer. The Contractor's responsibility shall be limited to the term of the manufacturer's guarantee. NCDOT would be afforded the same warranty as provided by the Manufacturer.

This guarantee provision shall be invoked only for major components of work in which the Contractor would be wholly responsible for under the terms of the contract. Examples would include pavement structures, bridge components, and sign structures. This provision will not be used as a mechanism to force the Contractor to return to the project to make repairs or perform additional work that the Department would normally compensate the Contractor for. In addition, routine maintenance activities (i.e. mowing grass, debris removal, ruts in earth shoulders,) are not parts of this guarantee.

Appropriate provisions of the payment and/or performance bonds shall cover this guarantee for the project.

To ensure uniform application statewide the Division Engineer will forward details regarding the circumstances surrounding any proposed guarantee repairs to the Chief Engineer for review and approval prior to the work being performed.

**GIFTS FROM VENDORS AND CONTRACTORS:**

(12-15-09)

107-1

SP1 G152

By Executive Order 24, issued by Governor Perdue, and *N.C.G.S. § 133-32*, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (A) Have a contract with a governmental agency; or
- (B) Have performed under such a contract within the past year; or
- (C) Anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and *N.C.G.S. § 133-32*.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

**LIABILITY INSURANCE:**

(5-20-14)

SP1 G160

Revise the *2012 Standard Specifications* as follows:

**Page 1-60, Article 107-15 LIABILITY INSURANCE, line 16**, add the following as the second sentence of the third paragraph:

Prior to beginning services, all contractors shall provide proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured subcontractors, irrespective of whether having regularly in service fewer than three employees.

**EROSION AND SEDIMENT CONTROL/STORMWATER CERTIFICATION:**

(1-16-07) (Rev 9-18-12)

105-16, 225-2, 16

SP1 G180

**General**

Schedule and conduct construction activities in a manner that will minimize soil erosion and the resulting sedimentation and turbidity of surface waters. Comply with the requirements herein regardless of whether or not a National Pollution discharge Elimination System (NPDES) permit for the work is required.

Establish a chain of responsibility for operations and subcontractors' operations to ensure that the *Erosion and Sediment Control/Stormwater Pollution Prevention Plan* is implemented and maintained over the life of the contract.

- (A) *Certified Supervisor* - Provide a certified Erosion and Sediment Control/Stormwater Supervisor to manage the Contractor and subcontractor operations, insure compliance with Federal, State and Local ordinances and regulations, and manage the Quality Control Program.
- (B) *Certified Foreman* - Provide a certified, trained foreman for each construction operation that increases the potential for soil erosion or the possible sedimentation and turbidity of surface waters.
- (C) *Certified Installer* - Provide a certified installer to install or direct the installation for erosion or sediment/stormwater control practices.
- (D) *Certified Designer* - Provide a certified designer for the design of the erosion and sediment control/stormwater component of reclamation plans and, if applicable, for the design of the project erosion and sediment control/stormwater plan.

### **Roles and Responsibilities**

- (A) *Certified Erosion and Sediment Control/Stormwater Supervisor* - The Certified Supervisor shall be Level II and responsible for ensuring the erosion and sediment control/stormwater plan is adequately implemented and maintained on the project and for conducting the quality control program. The Certified Supervisor shall be on the project within 24 hours notice from initial exposure of an erodible surface to the project's final acceptance. Perform the following duties:
  - (1) **Manage Operations** - Coordinate and schedule the work of subcontractors so that erosion and sediment control/stormwater measures are fully executed for each operation and in a timely manner over the duration of the contract.
    - (a) Oversee the work of subcontractors so that appropriate erosion and sediment control/stormwater preventive measures are conformed to at each stage of the work.
    - (b) Prepare the required National Pollutant Discharge Elimination System (NPDES) Inspection Record and submit to the Engineer.
    - (c) Attend all weekly or monthly construction meetings to discuss the findings of the NPDES inspection and other related issues.
    - (d) Implement the erosion and sediment control/stormwater site plans requested.
    - (e) Provide any needed erosion and sediment control/stormwater practices for the Contractor's temporary work not shown on the plans, such as, but not limited to work platforms, temporary construction, pumping operations, plant and storage yards, and cofferdams.

- (f) Acquire applicable permits and comply with requirements for borrow pits, dewatering, and any temporary work conducted by the Contractor in jurisdictional areas.
  - (g) Conduct all erosion and sediment control/stormwater work in a timely and workmanlike manner.
  - (h) Fully perform and install erosion and sediment control/stormwater work prior to any suspension of the work.
  - (i) Coordinate with Department, Federal, State and Local Regulatory agencies on resolution of erosion and sediment control/stormwater issues due to the Contractor's operations.
  - (j) Ensure that proper cleanup occurs from vehicle tracking on paved surfaces or any location where sediment leaves the Right-of-Way.
  - (k) Have available a set of erosion and sediment control/stormwater plans that are initialed and include the installation date of Best Management Practices. These practices shall include temporary and permanent groundcover and be properly updated to reflect necessary plan and field changes for use and review by Department personnel as well as regulatory agencies.
- (2) Requirements set forth under the NPDES Permit - The Department's NPDES Stormwater permit (NCS000250) outlines certain objectives and management measures pertaining to construction activities. The permit references *NCG010000, General Permit to Discharge Stormwater* under the NPDES, and states that the Department shall incorporate the applicable requirements into its delegated Erosion and Sediment Control Program for construction activities disturbing one or more acres of land. The Department further incorporates these requirements on all contracted bridge and culvert work at jurisdictional waters, regardless of size. Some of the requirements are, but are not limited to:
- (a) Control project site waste to prevent contamination of surface or ground waters of the state, i.e. from equipment operation/maintenance, construction materials, concrete washout, chemicals, litter, fuels, lubricants, coolants, hydraulic fluids, any other petroleum products, and sanitary waste.
  - (b) Inspect erosion and sediment control/stormwater devices and stormwater discharge outfalls at least once every 7 calendar days, twice weekly for construction related *Federal Clean Water Act, Section 303(d)* impaired streams with turbidity violations, and within 24 hours after a significant rainfall event of 0.5 inch that occurs within a 24 hour period.
  - (c) Maintain an onsite rain gauge or use the Department's Multi-Sensor Precipitation Estimate website to maintain a daily record of rainfall amounts and dates.
  - (d) Maintain erosion and sediment control/stormwater inspection records for review by Department and Regulatory personnel upon request.
  - (e) Implement approved reclamation plans on all borrow pits, waste sites and staging areas.

- (f) Maintain a log of turbidity test results as outlined in the Department's Procedure for Monitoring Borrow Pit Discharge.
  - (g) Provide secondary containment for bulk storage of liquid materials.
  - (h) Provide training for employees concerning general erosion and sediment control/stormwater awareness, the Department's NPDES Stormwater Permit NCS000250 requirements, and the applicable requirements of the *General Permit, NCG010000*.
  - (i) Report violations of the NPDES permit to the Engineer immediately who will notify the Division of Water Quality Regional Office within 24 hours of becoming aware of the violation.
- (3) Quality Control Program - Maintain a quality control program to control erosion, prevent sedimentation and follow provisions/conditions of permits. The quality control program shall:
- (a) Follow permit requirements related to the Contractor and subcontractors' construction activities.
  - (b) Ensure that all operators and subcontractors on site have the proper erosion and sediment control/stormwater certification.
  - (c) Notify the Engineer when the required certified erosion and sediment control/stormwater personnel are not available on the job site when needed.
  - (d) Conduct the inspections required by the NPDES permit.
  - (e) Take corrective actions in the proper timeframe as required by the NPDES permit for problem areas identified during the NPDES inspections.
  - (f) Incorporate erosion control into the work in a timely manner and stabilize disturbed areas with mulch/seed or vegetative cover on a section-by-section basis.
  - (g) Use flocculants approved by state regulatory authorities where appropriate and where required for turbidity and sedimentation reduction.
  - (h) Ensure proper installation and maintenance of temporary erosion and sediment control devices.
  - (i) Remove temporary erosion or sediment control devices when they are no longer necessary as agreed upon by the Engineer.
  - (j) The Contractor's quality control and inspection procedures shall be subject to review by the Engineer. Maintain NPDES inspection records and make records available at all times for verification by the Engineer.
- (B) *Certified Foreman* - At least one Certified Foreman shall be onsite for each type of work listed herein during the respective construction activities to control erosion, prevent sedimentation and follow permit provisions:
- (1) Foreman in charge of grading activities
  - (2) Foreman in charge of bridge or culvert construction over jurisdictional areas
  - (3) Foreman in charge of utility activities

The Contractor may request to use the same person as the Level II Supervisor and Level II Foreman. This person shall be onsite whenever construction activities as described above are taking place. This request shall be approved by the Engineer prior to work beginning.

The Contractor may request to name a single Level II Foreman to oversee multiple construction activities on small bridge or culvert replacement projects. This request shall be approved by the Engineer prior to work beginning.

(C) *Certified Installers* - Provide at least one onsite, Level I Certified Installer for each of the following erosion and sediment control/stormwater crew:

- (1) Seeding and Mulching
- (2) Temporary Seeding
- (3) Temporary Mulching
- (4) Sodding
- (5) Silt fence or other perimeter erosion/sediment control device installations
- (6) Erosion control blanket installation
- (7) Hydraulic tackifier installation
- (8) Turbidity curtain installation
- (9) Rock ditch check/sediment dam installation
- (10) Ditch liner/matting installation
- (11) Inlet protection
- (12) Riprap placement
- (13) Stormwater BMP installations (such as but not limited to level spreaders, retention/detention devices)
- (14) Pipe installations within jurisdictional areas

If a Level I *Certified Installer* is not onsite, the Contractor may substitute a Level II Foreman for a Level I Installer, provided the Level II Foreman is not tasked to another crew requiring Level II Foreman oversight.

(D) *Certified Designer* - Include the certification number of the Level III Certified Designer on the erosion and sediment control/stormwater component of all reclamation plans and if applicable, the certification number of the Level III Certified Designer on the design of the project erosion and sediment control/stormwater plan.

### **Preconstruction Meeting**

Furnish the names of the *Certified Erosion and Sediment Control/Stormwater Supervisor*, *Certified Foremen*, *Certified Installers* and *Certified Designer* and notify the Engineer of changes in certified personnel over the life of the contract within 2 days of change.

**Ethical Responsibility**

Any company performing work for the North Carolina Department of Transportation has the ethical responsibility to fully disclose any reprimand or dismissal of an employee resulting from improper testing or falsification of records.

**Revocation or Suspension of Certification**

Upon recommendation of the Chief Engineer to the certification entity, certification for *Supervisor, Certified Foremen, Certified Installers* and *Certified Designer* may be revoked or suspended with the issuance of an *Immediate Corrective Action (ICA)*, *Notice of Violation (NOV)*, or *Cease and Desist Order* for erosion and sediment control/stormwater related issues.

The Chief Engineer may recommend suspension or permanent revocation of certification due to the following:

- (A) Failure to adequately perform the duties as defined within this certification provision.
- (B) Issuance of an ICA, NOV, or Cease and Desist Order.
- (C) Failure to fully perform environmental commitments as detailed within the permit conditions and specifications.
- (D) Demonstration of erroneous documentation or reporting techniques.
- (E) Cheating or copying another candidate's work on an examination.
- (F) Intentional falsification of records.
- (G) Directing a subordinate under direct or indirect supervision to perform any of the above actions.
- (H) Dismissal from a company for any of the above reasons.
- (I) Suspension or revocation of one's certification by another entity.

Suspension or revocation of a certification will be sent by certified mail to the certificant and the Corporate Head of the company that employs the certificant.

A certificant has the right to appeal any adverse action which results in suspension or permanent revocation of certification by responding, in writing, to the Chief Engineer within 10 calendar days after receiving notice of the proposed adverse action.

Chief Engineer  
1536 Mail Service Center  
Raleigh, NC 27699-1536

Failure to appeal within 10 calendar days will result in the proposed adverse action becoming effective on the date specified on the certified notice. Failure to appeal within the time specified will result in a waiver of all future appeal rights regarding the adverse action taken. The certificant will not be allowed to perform duties associated with the certification during the appeal process.

The Chief Engineer will hear the appeal and make a decision within 7 days of hearing the appeal. Decision of the Chief Engineer will be final and will be made in writing to the certificant.

If a certification is temporarily suspended, the certificant shall pass any applicable written examination and any proficiency examination, at the conclusion of the specified suspension period, prior to having the certification reinstated.

### Measurement and Payment

*Certified Erosion and Sediment Control/Stormwater Supervisor, Certified Foremen, Certified Installers and Certified Designer* will be incidental to the project for which no direct compensation will be made.

### **PROCEDURE FOR MONITORING BORROW PIT DISCHARGE:**

(2-20-07) (Rev. 3-19-13)

105-16, 230, 801

SP1 G181

Water discharge from borrow pit sites shall not cause surface waters to exceed 50 NTUs (nephelometric turbidity unit) in streams not designated as trout waters and 10 NTUs in streams, lakes or reservoirs designated as trout waters. For lakes and reservoirs not designated as trout waters, the turbidity shall not exceed 25 NTUs. If the turbidity exceeds these levels due to natural background conditions, the existing turbidity level shall not be increased.

If during any operating day, the downstream water quality exceeds the standard, the Contractor shall do all of the following:

- (A) Either cease discharge or modify the discharge volume or turbidity levels to bring the downstream turbidity levels into compliance, or
- (B) Evaluate the upstream conditions to determine if the exceedance of the standard is due to natural background conditions. If the background turbidity measurements exceed the standard, operation of the pit and discharge can continue as long as the stream turbidity levels are not increased due to the discharge.
- (C) Measure and record the turbidity test results (time, date and sampler) at all defined sampling locations 30 minutes after startup and at a minimum, one additional sampling of all sampling locations during that 24-hour period in which the borrow pit is discharging.
- (D) Notify DWQ within 24 hours of any stream turbidity standard exceedances that are not brought into compliance.

During the Environmental Assessment required by Article 230-4 of the *2012 Standard Specifications*, the Contractor shall define the point at which the discharge enters into the State's surface waters and the appropriate sampling locations. Sampling locations shall include points upstream and downstream from the point at which the discharge enters these waters. Upstream sampling location shall be located so that it is not influenced by backwater conditions and represents natural background conditions. Downstream sampling location shall be located at the point where complete mixing of the discharge and receiving water has occurred.

The discharge shall be closely monitored when water from the dewatering activities is introduced into jurisdictional wetlands. Any time visible sedimentation (deposition of sediment) on the wetland surface is observed, the dewatering activity will be suspended until turbidity levels in the stilling basin can be reduced to a level where sediment deposition does not occur. Staining of wetland surfaces from suspended clay particles, occurring after evaporation or infiltration, does not constitute sedimentation. No activities shall occur in wetlands that adversely affect the functioning of a wetland. Visible sedimentation will be considered an indication of possible adverse impacts on wetland use.

The Engineer will perform independent turbidity tests on a random basis. These results will be maintained in a log within the project records. Records will include, at a minimum, turbidity test results, time, date and name of sampler. Should the Department's test results exceed those of the Contractor's test results, an immediate test shall be performed jointly with the results superseding the previous test results of both the Department and the Contractor.

The Contractor shall use the *NCDOT Turbidity Reduction Options for Borrow Pits Matrix*, available at [http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/fieldops/downloads/Files/TurbidityReductionOptionSheet.pdf](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/fieldops/downloads/Files/TurbidityReductionOptionSheet.pdf) to plan, design, construct, and maintain BMPs to address water quality standards. Tier I Methods include stilling basins which are standard compensatory BMPs. Other Tier I methods are noncompensatory and shall be used when needed to meet the stream turbidity standards. Tier II Methods are also noncompensatory and are options that may be needed for protection of rare or unique resources or where special environmental conditions exist at the site which have led to additional requirements being placed in the DWQ's 401 Certifications and approval letters, Isolated Wetland Permits, Riparian Buffer Authorization or a DOT Reclamation Plan's Environmental Assessment for the specific site. Should the Contractor exhaust all Tier I Methods on a site exclusive of rare or unique resources or special environmental conditions, Tier II Methods may be required by regulators on a case by case basis per supplemental agreement.

The Contractor may use cation exchange capacity (CEC) values from proposed site borings to plan and develop the bid for the project. CEC values exceeding 15 milliequivalents per 100 grams of soil may indicate a high potential for turbidity and should be avoided when dewatering into surface water is proposed.

No additional compensation for monitoring borrow pit discharge will be paid.

**EMPLOYMENT:**

(11-15-11) (Rev. 1-17-12)

108, 102

SP1 G184

Revise the *2012 Standard Specifications* as follows:

**Page 1-20, Subarticle 102-15(O)**, delete and replace with the following:

**(O)** Failure to restrict a former Department employee as prohibited by Article 108-5.

**Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32**, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

**STATE HIGHWAY ADMINISTRATOR TITLE CHANGE:**

(9-18-12)

SP1 G185

Revise the *2012 Standard Specifications* as follows:

Replace all references to “State Highway Administrator” with “Chief Engineer”.

**SUBLETTING OF CONTRACT:**

(11-18-2014)

108-6

SP1 G186

Revise the *2012 Standard Specifications* as follows:

**Page 1-66, Article 108-6 Subletting of Contract, line 37**, add the following as the second sentence of the first paragraph:

All requests to sublet work shall be submitted within 30 days of the date of availability or prior to expiration of 20% of the contract time, whichever date is later, unless otherwise approved by the Engineer.

**Page 1-67, Article 108-6 Subletting of Contract, line 7**, add the following as the second sentence of the fourth paragraph:

Purchasing materials for subcontractors is not included in the percentage of work required to be performed by the Contractor. If the Contractor sublets items of work but elects to purchase material for the subcontractor, the value of the material purchased will be included in the total dollar amount considered to have been sublet.

**PROJECT SPECIAL PROVISIONS****ROADWAY****CLEARING AND GRUBBING - METHOD II:**

(9-17-02) (Rev.8-18-15)

200

SP2 R02A

Perform clearing on this project to the limits established by Method "II" shown on Standard Drawing No. 200.02 of the *2012 Roadway Standard Drawings*. Conventional clearing methods may be used except where permit drawings or conditions have been included in the proposal which require certain areas to be cleared by hand methods.

**BURNING RESTRICTIONS:**

(7-1-95)

200, 210, 215

SP2 R05

Open burning is not permitted on any portion of the right-of-way limits established for this project. Do not burn the clearing, grubbing or demolition debris designated for disposal and generated from the project at locations within the project limits, off the project limits or at any waste or borrow sites in this county. Dispose of the clearing, grubbing and demolition debris by means other than burning, according to state or local rules and regulations.

**SHOULDER AND FILL SLOPE MATERIAL:**

(5-21-02)

235, 560

SP2 R45 A

**Description**

Perform the required shoulder and slope construction for this project in accordance with the applicable requirements of Section 560 and Section 235 of the *2012 Standard Specifications*.

**Measurement and Payment**

Where the material has been obtained from an authorized stockpile or from a borrow source and *Borrow Excavation* is not included in the contract, no direct payment will be made for this work, as the cost of this work will be part of the work being paid at the contract lump sum price for *Grading*. If *Borrow Excavation* is included in this contract and the material has been obtained from an authorized stockpile or from a borrow source, measurement and payment will be as provided in Section 230 of the *2012 Standard Specifications* for *Borrow Excavation*.

**PIPE INSTALLATION:**

(11-20-12) (Rev. 8-18-15)

300

SP3 R01

Revise the *2012 Standard Specifications* as follows:

**Page 3-1, Article 300-2, Materials**, line 15, in the materials table, replace “Flowable Fill” and “Geotextiles” with the following:

| <b>Item</b>                | <b>Section</b> |
|----------------------------|----------------|
| Flowable Fill, Excavatable | 1000-6         |
| Grout, Type 2              | 1003           |
| Geotextiles, Type 4        | 1056           |

**Page 3-1, Article 300-2, Materials**, lines 23-24, replace sentence with the following:

Provide foundation conditioning geotextile and geotextile to wrap pipe joints in accordance with Section 1056 for Type 4 geotextile.

**Page 3-3, Subarticle 300-6(A), Rigid Pipe**, line 2, in the first paragraph, replace “an approved non-shrink grout.” with “grout.” and line 4, in the second paragraph, replace “filtration geotextile” with “geotextile”.

**Page 3-3, Article 300-7, Backfilling**, lines 37-38, in the first and second sentences of the fifth paragraph, replace “Excavatable flowable fill” with “Flowable fill”.

**FLOWABLE FILL:**

(9-17-02) (Rev 1-17-12)

300, 340, 450, 1000, 1530, 1540, 1550

SP3 R30

**Description**

This work consists of all work necessary to place flowable fill in accordance with these provisions, the plans, and as directed.

**Materials**

Refer to Division 10 of the *2012 Standard Specifications*.

| <b>Item</b>   | <b>Section</b> |
|---------------|----------------|
| Flowable Fill | 1000-6         |

**Construction Methods**

Discharge flowable fill material directly from the truck into the space to be filled, or by other approved methods. The mix may be placed full depth or in lifts as site conditions dictate. The Contractor shall provide a method to plug the ends of the existing pipe in order to contain the flowable fill.

**Measurement and Payment**

At locations where flowable fill is called for on the plans and a pay item for flowable fill is included in the contract, *Flowable Fill* will be measured in cubic yards and paid as the actual number of cubic yards that have been satisfactorily placed and accepted. Such price and payment will be full compensation for all work covered by this provision including, but not limited to, the mix design, furnishing, hauling, placing and containing the flowable fill.

Payment will be made under:

|                                  |                               |
|----------------------------------|-------------------------------|
| <b>Pay Item</b><br>Flowable Fill | <b>Pay Unit</b><br>Cubic Yard |
|----------------------------------|-------------------------------|

**ASPHALT PAVEMENTS - SUPERPAVE:**

(6-19-12) (Rev. 10-20-15)

605, 609, 610, 650

SP6 R01

Revise the *2012 Standard Specifications* as follows:

**Page 6-3, Article 605-7, APPLICATION RATES AND TEMPERATURES**, replace this article, including Table 605-1, with the following:

Apply tack coat uniformly across the existing surface at target application rates shown in Table 605-1.

| <b>TABLE 605-1</b>                     |                             |
|--|-----------------------------|
| <b>APPLICATION RATES FOR TACK COAT</b> |                             |
| <b>Existing Surface</b>                | <b>Target Rate (gal/sy)</b> |
|  | <b>Emulsified Asphalt</b>   |
| New Asphalt                            | 0.04 ± 0.01                 |
| Oxidized or Milled Asphalt             | 0.06 ± 0.01                 |
| Concrete                               | 0.08 ± 0.01                 |

Apply tack coat at a temperature within the ranges shown in Table 605-2. Tack coat shall not be overheated during storage, transport or at application.

| <b>TABLE 605-2</b>                           |                          |
|--|--------------------------|
| <b>APPLICATION TEMPERATURE FOR TACK COAT</b> |                          |
| <b>Asphalt Material</b>                      | <b>Temperature Range</b> |
| Asphalt Binder, Grade PG 64-22               | 350 - 400°F              |
| Emulsified Asphalt, Grade RS-1H              | 130 - 160°F              |
| Emulsified Asphalt, Grade CRS-1              | 130 - 160°F              |
| Emulsified Asphalt, Grade CRS-1H             | 130 - 160°F              |
| Emulsified Asphalt, Grade HFMS-1             | 130 - 160°F              |
| Emulsified Asphalt, Grade CRS-2              | 130 - 160°F              |

**Page 6-7, Article 609-3, FIELD VERIFICATION OF MIXTURE AND JOB MIX FORMULA ADJUSTMENTS**, lines 35-37, delete the second sentence of the second paragraph.

**Page 6-18, Article 610-1 DESCRIPTION**, lines 40-41, delete the last sentence of the last paragraph.

**Page 6-19, Subarticle 610-3(A), Mix Design-General**, line 5, add the following as the first paragraph:

Warm mix asphalt (WMA) is allowed for use at the Contractor's option in accordance with the NCDOT Approved Products List for WMA Technologies available at:

**<https://connect.ncdot.gov/resources/Materials/MaterialsResources/Warm%20Mix%20Asphalt%20Approved%20List.pdf>**

**Page 6-20, Subarticle 610-3(C), Job Mix Formula (JMF)**, lines 47-48, replace the last sentence of the third paragraph with the following:

The JMF mix temperature shall be within the ranges shown in Table 610-1 unless otherwise approved.

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, replace Table 610-1 with the following:

| <b>TABLE 610-1<br/>MIXING TEMPERATURE AT THE ASPHALT PLANT</b> |                            |
|--|----------------------------|
| <b>Binder Grade</b>  | <b>JMF Mix Temperature</b> |
| PG 58-28; PG 64-22   | 250 - 290°F                |
| PG 70-22   | 275- 305°F                 |
| PG 76-22   | 300- 325°F                 |

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, lines 1-2, in the first sentence of the first paragraph, delete "and compaction". Lines 4-7, delete the second paragraph and replace with the following:

When RAS is used, the JMF mix temperature shall be established at 275°F or higher.

**Page 6-22, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES**, lines 15-17, replace the second sentence of the first paragraph with the following:

Do not place asphalt material when the air or surface temperatures, measured at the location of the paving operation away from artificial heat, do not meet Table 610-5.

**Page 6-23, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES**, replace Table 610-5 with the following:

| Asphalt Concrete Mix Type | Minimum Surface and Air Temperature |
|---------------------------|-------------------------------------|
| B25.0B, C                 | 35°F                                |
| I19.0B, C, D              | 35°F                                |
| SF9.5A, S9.5B             | 40°F <sup>A</sup>                   |
| S9.5C, S12.5C             | 45°F <sup>A</sup>                   |
| S9.5D, S12.5D             | 50°F                                |

- A. For the final layer of surface mixes containing recycled asphalt shingles (RAS), the minimum surface and air temperature shall be 50°F.

**Page 6-23, Subarticle 610-5(A), General**, lines 33-34, replace the last sentence of the third paragraph with the following:

Produce the mixture at the asphalt plant within  $\pm 25$  °F of the JMF mix temperature. The temperature of the mixture, when discharged from the mixer, shall not exceed 350°F.

**Page 6-26, Article 610-7, HAULING OF ASPHALT MIXTURE**, lines 22-23, in the fourth sentence of the first paragraph replace “so as to overlap the top of the truck bed and” with “to”. Line 28, in the last paragraph, replace “+15 °F to -25 °F of the specified JMF temperature.” with “ $\pm 25$  °F of the specified JMF mix temperature.”

**Page 6-41, Subarticle 650-3(B), Mix Design Criteria**, replace Table 650-1 with the following:

| <i>Sieve Size (mm)</i> | <i>Type FC-1</i> | <i>Type FC-1 Modified</i> | <i>Type FC-2 Modified</i> |
|------------------------|------------------|---------------------------|---------------------------|
| 19.0                   | -                | -                         | 100                       |
| 12.5                   | 100              | 100                       | 80 - 100                  |
| 9.50                   | 75 - 100         | 75 - 100                  | 55 - 80                   |
| 4.75                   | 25 - 45          | 25 - 45                   | 15 - 30                   |
| 2.36                   | 5 - 15           | 5 - 15                    | 5 - 15                    |
| 0.075                  | 1.0 - 3.0        | 1.0 - 3.0                 | 2.0 - 4.0                 |

**ASPHALT BINDER CONTENT OF ASPHALT PLANT MIXES:**

(11-21-00) (Rev. 7-17-12)

609

SP6 R15

The approximate asphalt binder content of the asphalt concrete plant mixtures used on this project will be as follows:

|                                      |               |      |
|--------------------------------------|---------------|------|
| Asphalt Concrete Base Course         | Type B 25.0__ | 4.4% |
| Asphalt Concrete Intermediate Course | Type I 19.0__ | 4.8% |
| Asphalt Concrete Surface Course      | Type S 4.75A  | 6.8% |
| Asphalt Concrete Surface Course      | Type SA-1     | 6.8% |
| Asphalt Concrete Surface Course      | Type SF 9.5A  | 6.7% |

|                                 |               |      |
|---------------------------------|---------------|------|
| Asphalt Concrete Surface Course | Type S 9.5__  | 6.0% |
| Asphalt Concrete Surface Course | Type S 12.5__ | 5.6% |

The actual asphalt binder content will be established during construction by the Engineer within the limits established in the *2012 Standard Specifications*.

**ASPHALT PLANT MIXTURES:**

(7-1-95)

609

SP6 R20

Place asphalt concrete base course material in trench sections with asphalt pavement spreaders made for the purpose or with other equipment approved by the Engineer.

**PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX:**

(11-21-00)

620

SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the *2012 Standard Specifications*.

The base price index for asphalt binder for plant mix is \$ **423.21** per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **November 1, 2015**.

**FINAL SURFACE TESTING NOT REQUIRED:**

(5-18-04) (Rev. 5-15-12)

610

SP6 R45

Final surface testing is not required on this project.

**SLUICE GATE:**

(7-1-95) (Rev. 3-17-09)

838

SP8 R20

**Description**

This work consists of the construction of a sluice gate on an endwall in accordance with the details in the plans, the applicable requirements of Section 838 of the *2012 Standard Specifications*, in accordance with the manufacturer's recommendations and as directed by the Engineer.

**Materials**

Sluice gates shall meet the manufacturer's recommendations for the corresponding pipe size. Due to variations in individual manufacturer's products, a slight variation from the size specified may be allowed. Submit the proposed catalog cut to the Engineer for approval prior to use.

**Construction Methods**

Provide a gate that forms a watertight seal when closed.

**Measurement and Payment**

\_\_\_\_" *Sluice Gate* will be measured and paid as each for the actual number of sluice gates incorporated into the completed and accepted work. Such prices and payment will be full compensation for all materials, labor, tools, equipment and incidentals necessary to complete the work.

The endwall will be measured and paid in accordance with Article 838-4 of the *2012 Standard Specifications*.

Payment will be made under:

| <b>Pay Item</b>   | <b>Pay Unit</b> |
|-------------------|-----------------|
| ____" Sluice Gate | Each            |

**CONVERT EXISTING 2 GI TO SPECIAL JUNCTION BOX WITH MANHOLE :**

(1-1-02) (Rev. 7-18-06) 840, 859 SP8 R50

At the proper phase of construction, convert the existing **2 GI** at locations indicated in the plans or where directed, to **Special Junction Box with Manhole** in accordance with the details in the plans and the applicable requirements of Sections 840 and 859 of the *2012 Standard Specifications*.

*Convert Existing 2 GI to Special Junction Box with Manhole* will be measured and paid as each, completed and accepted. Such price and payment is considered full compensation for all equipment, materials, labor, tools, and incidentals necessary to complete each conversion satisfactorily.

Payment will be made under:

| <b>Pay Item</b>  | <b>Pay Unit</b> |
|--|-----------------|
| Convert Existing 2 GI to Special Junction Box with Manhole | Each            |

**PREFORMED SCOUR HOLE WITH LEVEL SPREADER APRON:**

(10-15-02) (Rev. 10-20-09) 410 SP8 R105

**Description**

Construct and maintain preformed scour holes with spreader aprons at the locations shown on the plans and in accordance with the details in the plans. Work includes excavation, shaping and maintaining the hole and apron, furnishing and placing filter fabric, rip rap (class as specified in the plans) and permanent soil reinforcement matting.

**Materials**

| <b>Item</b>   | <b>Section</b> |
|---------------|----------------|
| Plain Rip Rap | 1042           |
| Filter Fabric | 1056           |

The permanent soil reinforcement matting shall be permanent erosion control reinforcement mat and shall be constructed of synthetic or a combination of coconut and synthetic fibers evenly distributed throughout the mat between a bottom UV stabilized netting and a heavy duty UV stabilized top net. The matting shall be stitched together with UV stabilized polypropylene thread to form a permanent three dimensional structure. The mat shall have the following minimum physical properties:

| <b>Property</b>                              | <b>Test Method</b>     | <b>Value Unit</b>       |
|--|------------------------|-------------------------|
| Light Penetration                            | ASTM D6567             | 9 %                     |
| Thickness                                    | ASTM D6525             | 0.40 in                 |
| Mass Per Unit Area                           | ASTM D6566             | 0.55 lb/sy              |
| Tensile Strength                             | ASTM D6818             | 385 lb/ft               |
| Elongation ( Maximum)                        | ASTM D6818             | 49 %                    |
| Resiliency                                   | ASTM D1777             | >70 %                   |
| UV Stability *                               | ASTM 4355              | ≥80 %                   |
| Porosity (Permanent Net)                     | ECTC Guidelines        | ≥85 %                   |
| Maximum Permissible Shear Stress (Vegetated) | Performance Bench Test | ≥8.0 lb/ft <sup>2</sup> |
| Maximum Allowable Velocity (Vegetated)       | Performance Bench Test | ≥16.0 ft/s              |

\*ASTM D1682 Tensile Strength and % strength retention of material after 1,000 hours of exposure.

Submit a certification (Type 1, 2, or 3) from the manufacturer showing:

- (A) The chemical and physical properties of the mat used, and
- (B) Conformance of the mat with this specification.

### **Construction Methods**

All areas to be protected with the mat shall be brought to final grade and seeded in accordance with Section 1660 of the *2012 Standard Specifications*. The surface of the soil shall be smooth, firm, stable and free of rocks, clods, roots or other obstructions that would prevent the mat from lying in direct contact with the soil surface. Areas where the mat is to be placed will not need to be mulched.

### **Measurement and Payment**

*Preformed Scour Holes with Level Spreader Aprons* will be measured and paid as the actual number incorporated into the completed and accepted work. Such price and payment will be full compensation for all work covered by this provision.

Payment will be made under:

**Pay Item**

Performed Scour Hole with Level Spreader Aprons

**Pay Unit**

Each

**STREET SIGNS AND MARKERS AND ROUTE MARKERS:**

(7-1-95)

900

SP9 R02

Move any existing street signs, markers, and route markers out of the construction limits of the project and install the street signs and markers and route markers so that they will be visible to the traveling public if there is sufficient right of way for these signs and markers outside of the construction limits.

Near the completion of the project and when so directed by the Engineer, move the signs and markers and install them in their proper location in regard to the finished pavement of the project.

Stockpile any signs or markers that cannot be relocated due to lack of right of way, or any signs and markers that will no longer be applicable after the construction of the project, at locations directed by the Engineer for removal by others.

The Contractor shall be responsible to the owners for any damage to any street signs and markers or route markers during the above described operations.

No direct payment will be made for relocating, reinstalling, and/or stockpiling the street signs and markers and route markers as such work shall be considered incidental to other work being paid for by the various items in the contract.

**MATERIALS:**

(2-21-12) (Rev. 10-20-15)

1000, 1002, 1005, 1018, 1024, 1050, 1056, 1074, 1078, 1080, 1081, 1086, 1084, 1087, 1092

SP10 R01

Revise the *2012 Standard Specifications* as follows:

**Page 10-1, Article 1000-1, DESCRIPTION, lines 9-10**, replace the last sentence of the first paragraph with the following:

Type IL, IP, IS or IT blended cement may be used instead of Portland cement.

**Page 10-1, Article 1000-1, DESCRIPTION, line 14**, add the following:

If any change is made to the mix design, submit a new mix design (with the exception of an approved pozzolan source change).

If any major change is made to the mix design, also submit new test results showing the mix design conforms to the criteria. Define a major change to the mix design as:

- (1) A source change in coarse aggregate, fine aggregate or cement.
- (2) A pozzolan class or type change (e.g. Class F fly ash to Class C fly ash).
- (3) A quantitative change in coarse aggregate (applies to an increase or decrease greater than 5%), fine aggregate (applies to an increase or decrease greater than 5%), water (applies to an increase only), cement (applies to a decrease only), or pozzolan (applies to an increase or decrease greater than 5%).

Use materials which do not produce a mottled appearance through rusting or other staining of the finished concrete surface.

**Page 10-1, Article 1000-2, MATERIALS, line 16; Page 10-8, Subarticle 1000-7(A), Materials, line 8; and Page 10-18, Article 1002-2, MATERIALS, line 9**, add the following to the table of item references:

| <b>Item</b>            | <b>Section</b> |
|------------------------|----------------|
| Type IL Blended Cement | 1024-1         |

**Page 10-1, Subarticle 1000-3(A), Composition and Design, lines 25-27**, replace the second paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced.

**Page 10-2, Subarticle 1000-3(A), Composition and Design, lines 12-21**, delete the third paragraph through the sixth paragraph beginning with "If any change is made to the mix design, submit..." through "... (applies to a decrease only)."

Page 10-5, Table 1000-1, REQUIREMENTS FOR CONCRETE, replace with the following:

| TABLE 1000-1<br>REQUIREMENTS FOR CONCRETE |  |                            |                   |                            |                   |                                       |                    |                |              |              |              |
|---|--|----------------------------|-------------------|----------------------------|-------------------|---------------------------------------|--------------------|----------------|--------------|--------------|--------------|
| Class of Concrete                         | Min. Comp. Strength at 28 days                   | Maximum Water-Cement Ratio |                   |                            |                   | Consistency Max. Slump                |                    | Cement Content |              |              |              |
|   |  | Air-Entrained Concrete     |                   | Non Air-Entrained Concrete |                   | Vibrated                              | Non-Vibrated       | Vibrated       |              | Non-Vibrated |              |
|   |  | Rounded Aggregate          | Angular Aggregate | Rounded Aggregate          | Angular Aggregate |                                       |                    | Min.           | Max.         | Min.         | Max.         |
| <i>Units</i>                              | <i>psi</i>                                       |                            |                   |                            |                   | <i>inch</i>                           | <i>inch</i>        | <i>lb/cy</i>   | <i>lb/cy</i> | <i>lb/cy</i> | <i>lb/cy</i> |
| AA  | 4,500  | 0.381                      | 0.426             | -                          | -                 | 3.5                                   | -                  | 639            | 715          | -            | -            |
| AA Slip Form                              | 4,500  | 0.381                      | 0.426             | -                          | -                 | 1.5                                   | -                  | 639            | 715          | -            | -            |
| Drilled Pier                              | 4,500  | -                          | -                 | 0.450                      | 0.450             | -                                     | 5-7 dry<br>7-9 wet | -              | -            | 640          | 800          |
| A   | 3,000  | 0.488                      | 0.532             | 0.550                      | 0.594             | 3.5                                   | 4                  | 564            | -            | 602          | -            |
| B   | 2,500  | 0.488                      | 0.567             | 0.559                      | 0.630             | 1.5 machine-placed<br>2.5 hand-placed | 4                  | 508            | -            | 545          | -            |
| Sand Light-weight                         | 4,500  | -                          | 0.420             | -                          | -                 | 4                                     | -                  | 715            | -            | -            | -            |
| Latex Modified                            | 3,000<br>7 day                                   | 0.400                      | 0.400             | -                          | -                 | 6                                     | -                  | 658            | -            | -            | -            |
| Flowable Fill excavatable                 | 150 max.<br>at 56 days                           | as needed                  | as needed         | as needed                  | as needed         | -                                     | Flow-able          | -              | -            | 40           | 100          |
| Flowable Fill non-excavatable             | 125  | as needed                  | as needed         | as needed                  | as needed         | -                                     | Flow-able          | -              | -            | 100          | as needed    |
| Pavement                                  | 4,500 design, field<br>650 flexural, design only | 0.559                      | 0.559             | -                          | -                 | 1.5 slip form<br>3.0 hand place       | -                  | 526            | -            | -            | -            |
| Precast                                   | See Table 1077-1                                 | as needed                  | as needed         | -                          | -                 | 6                                     | as needed          | as needed      | as needed    | as needed    | as needed    |
| Prestress                                 | per contract                                     | See Table 1078-1           | See Table 1078-1  | -                          | -                 | 8                                     | -                  | 564            | as needed    | -            | -            |

Page 10-6, Subarticle 1000-4(I), Use of Fly Ash, lines 36-2, replace the first paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced. Use Table 1000-1 to determine the maximum allowable water-cementitious material (cement + fly ash) ratio for the classes of concrete listed.

Page 10-7, Table 1000-3, MAXIMUM WATER-CEMENTITIOUS MATERIAL RATIO, delete the table.

**Page 10-7, Article 1000-5, HIGH EARLY STRENGTH PORTLAND CEMENT CONCRETE, lines 30-31,** delete the second sentence of the third paragraph.

**Page 10-19, Article 1002-3, SHOTCRETE FOR TEMPORARY SUPPORT OF EXCAVATIONS, line 30,** add the following at the end of Section 1002:

**(H) Handling and Storing Test Panels**

Notify the Area Materials Engineer when preconstruction or production test panels are made within 24 hours of shooting the panels. Field cure and protect test panels from damage in accordance with ASTM C1140 until the Department transports panels to the Materials and Tests Regional Laboratory for coring.

Page 10-23, Table 1005-1, AGGREGATE GRADATION-COARSE AGGREGATE, replace with the following:

| <b>TABLE 1005-1<br/>AGGREGATE GRADATION - COARSE AGGREGATE</b> |           |               |           |             |             |             |           |           |            |            |            |                   |  |
|--|-----------|---------------|-----------|-------------|-------------|-------------|-----------|-----------|------------|------------|------------|-------------------|--|
| <b>Percentage of Total by Weight Passing</b>                   |           |               |           |             |             |             |           |           |            |            |            |                   |  |
| <b>Std. Size #</b>   | <b>2"</b> | <b>1 1/2"</b> | <b>1"</b> | <b>3/4"</b> | <b>1/2"</b> | <b>3/8"</b> | <b>#4</b> | <b>#8</b> | <b>#10</b> | <b>#16</b> | <b>#40</b> | <b>#200</b>       | <b>Remarks</b>   |
| 4  | 100       | 90-100        | 20-55     | 0-15        | -           | 0-5         | -         | -         | -          | -          | -          | A                 | Asphalt Plant Mix  |
| 467M   | 100       | 95-100        | -         | 35-70       | -           | 0-30        | 0-5       | -         | -          | -          | -          | A                 | Asphalt Plant Mix  |
| 5  | -         | 100           | 90-100    | 20-55       | 0-10        | 0-5         | -         | -         | -          | -          | -          | A                 | AST, Sediment Control Stone                                |
| 57   | -         | 100           | 95-100    | -           | 25-60       | -           | 0-10      | 0-5       | -          | -          | -          | A                 | AST, Str. Concrete, Shoulder Drain, Sediment Control Stone |
| 57M  | -         | 100           | 95-100    | -           | 25-45       | -           | 0-10      | 0-5       | -          | -          | -          | A                 | AST, Concrete Pavement                                     |
| 6M   | -         | -             | 100       | 90-100      | 20-55       | 0-20        | 0-8       | -         | -          | -          | -          | A                 | AST  |
| 67   | -         | -             | 100       | 90-100      | -           | 20-55       | 0-10      | 0-5       | -          | -          | -          | A                 | AST, Str. Concrete, Asphalt Plant Mix                      |
| 78M  | -         | -             | -         | 100         | 98-100      | 75-100      | 20-45     | 0-15      | -          | -          | -          | A                 | Asphalt Plant Mix, AST, Str. Conc. Weep Hole Drains        |
| 14M  | -         | -             | -         | -           | -           | 100         | 35-70     | 5-20      | -          | 0-8        | -          | A                 | Asphalt Plant Mix, AST, Weep Hole Drains, Str. Concrete    |
| 9  | -         | -             | -         | -           | -           | 100         | 85-100    | 10-40     | -          | 0-10       | -          | A                 | AST  |
| ABC  | -         | 100           | 75-97     | -           | 55-80       | -           | 35-55     | -         | 25-45      | -          | 14-30      | 4-12 <sup>B</sup> | Aggregate Base Course, Aggregate Stabilization             |
| ABC (M)  | -         | 100           | 75-100    | -           | 45-79       | -           | 20-40     | -         | 0-25       | -          | -          | 0-12 <sup>B</sup> | Maintenance Stabilization                                  |
| Light-weight C   | -         | -             | -         | -           | 100         | 80-100      | 5-40      | 0-20      | -          | 0-10       | -          | 0-2.5             | AST  |

- A. See Subarticle 1005-4(A).
- B. See Subarticle 1005-4(B).
- C. For Lightweight Aggregate used in Structural Concrete, see Subarticle 1014-2(E)(6).

**Page 10-40, Tables 1018-1 and 1018-2, PIEDMONT, WESTERN AND COASTAL AREA CRITERIA FOR ACCEPTANCE OF BORROW MATERIAL**, under second column in both tables, replace second row with the following:

Acceptable, but not to be used in the top 3 ft of embankment or backfill

**Page 10-46, Article 1024-1, PORTLAND CEMENT, line 33**, add the following as the ninth paragraph:

Use Type IL blended cement that meets AASHTO M 240, except that the limestone content is limited to between 5 and 12% by weight and the constituents shall be interground. Class F fly ash can replace a portion of Type IL blended cement and shall be replaced as outlined in Subarticle 1000-4(I) for Portland cement. For mixes that contain cement with alkali content between 0.6% and 1.0% and for mixes that contain a reactive aggregate documented by the Department, use a pozzolan in the amount shown in Table 1024-1.

**Page 10-46, Table 1024-1, POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE**, replace with the following:

| <b>TABLE 1024-1<br/>POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE</b> |   |
|---|---|
| <b>Pozzolan</b>   | <b>Rate</b>   |
| Class F Fly Ash   | 20% - 30% by weight of required cement content<br>with 1.0 lb Class F fly ash per lb of cement replaced |
| Ground Granulated Blast<br>Furnace Slag                               | 35%-50% by weight of required cement content<br>with 1.0 lb slag per lb of cement replaced              |
| Microsilica   | 4%-8% by weight of required cement content<br>with 1.0 lb microsilica per lb of cement replaced         |

**Page 10-47, Subarticle 1024-3(B), Approved Sources, lines 16-18**, replace the second sentence of the second paragraph with the following:

Tests shall be performed by AASHTO's designated National Transportation Product Evaluation Program (NTPEP) laboratory for concrete admixture testing.

**Page 10-65, Article 1050-1, GENERAL, line 41**, replace the first sentence with the following:

All fencing material and accessories shall meet Section 106.

**Page 10-73, Article 1056-1, DESCRIPTION, lines 7-8**, delete the first sentence of the second paragraph and replace with the following:

Use geotextile fabrics that are on the NCDOT Approved Products List.

**Page 10-73, Article 1056-2, HANDLING AND STORING, line 17**, replace "mechanically stabilized earth (MSE) wall faces" with "temporary wall faces".

**Page 10-73, Article 1056-4, GEOTEXTILES, line 33,** add the following after the first sentence in the second paragraph:

Geotextiles will be identified by the product name printed directly on the geotextile. When geotextiles are not marked with a product name or marked with only a manufacturing plant identification code, geotextiles will be identified by product labels attached to the geotextile wrapping. When identification is based on labels instead of markings, unwrap geotextiles just before use in the presence of the Engineer to confirm that the product labels on both ends of the outside of the geotextile outer wrapping match the labels affixed to both ends of the inside of the geotextile roll core. Partial geotextile roles without the product name printed on the geotextile or product labels affixed to the geotextile roll core may not be used.

Page 10-74, Table 1056-1, GEOTEXTILE REQUIREMENTS, replace with the following:

| TABLE 1056-1<br>GEOTEXTILE REQUIREMENTS |   |                                   |                             |                                   |   |             |
|---|---|-----------------------------------|-----------------------------|-----------------------------------|---|-------------|
| Property                                | Requirement   |                                   |                             |                                   |   | Test Method |
|   | Type 1  | Type 2                            | Type 3 <sup>A</sup>         | Type 4                            | Type 5 <sup>B</sup>   |             |
| <i>Typical Application</i>              | <i>Shoulder Drains</i>  | <i>Under Rip Rap</i>              | <i>Temporary Silt Fence</i> | <i>Soil Stabilization</i>         | <i>Temporary Walls</i>  |             |
| Elongation (MD & CD)                    | ≥ 50%   | ≥ 50%                             | ≤ 25%                       | < 50%                             | < 50%   | ASTM D4632  |
| Grab Strength (MD & CD)                 | Table 1 <sup>D</sup> ,<br>Class 3   | Table 1 <sup>D</sup> ,<br>Class 1 | 100 lb <sup>C</sup>         | Table 1 <sup>D</sup> ,<br>Class 3 | -   | ASTM D4632  |
| Tear Strength (MD & CD)                 |   |                                   | -                           |                                   |   | ASTM D4533  |
| Puncture Strength                       |   |                                   | -                           |                                   |   | ASTM D6241  |
| Ultimate Tensile Strength (MD & CD)     | -   | -                                 | -                           | -                                 | 2,400 lb/ft <sup>C</sup><br>(unless required otherwise in the contract) | ASTM D4595  |
| Permittivity                            | Table 2 <sup>D</sup> ,<br>15% to 50%<br><i>in Situ</i> Soil<br>Passing No. 200 <sup>E</sup> |                                   | Table 7 <sup>D</sup>        | Table 5 <sup>D</sup>              | 0.20 sec <sup>-1,C</sup>  | ASTM D4491  |
| Apparent Opening Size                   |   |                                   |                             |                                   | 0.60 mm <sup>F</sup>  | ASTM D4751  |
| UV Stability (Retained Strength)        |   |                                   |                             |                                   | 70% <sup>C, G</sup>   | ASTM D4355  |

- A. Minimum roll width of 36" required.
- B. Minimum roll width of 13 ft required.
- C. MARV per Article 1056-3.
- D. AASHTO M 288.
- E. US Sieve No. per AASHTO M 92.
- F. Maximum average roll value.
- G. After 500 hours of exposure.

Page 10-74, Article 1056-5, GEOCOMPOSITES, lines 7-8, replace the first sentence with the following:

Provide geocomposite drain strips with a width of at least 12" and Type 1 geotextiles attached to drainage cores that meet Table 1056-2.

**Page 10-115, Subarticle 1074-7(B), Gray Iron Castings, lines 10-11**, replace the first two sentences with the following:

Supply gray iron castings meeting all facets of AASHTO M 306 excluding proof load. Proof load testing will only be required for new casting designs during the design process, and conformance to M306 loading (40,000 lbs.) will be required only when noted on the design documents.

**Page 10-126, Table 1078-1, REQUIREMENTS FOR CONCRETE**, replace with the following:

| <b>TABLE 1078-1<br/>REQUIREMENTS FOR CONCRETE</b> |   |  |
|---|---|--|
| <b>Property</b>                                   | <b>28 Day Design<br/>Compressive<br/>Strength<br/>6,000 psi or less</b> | <b>28 Day Design<br/>Compressive<br/>Strength<br/>greater than<br/>6,000 psi</b> |
| Maximum Water/Cementitious Material Ratio         | 0.45  | 0.40   |
| Maximum Slump without HRWR                        | 3.5"  | 3.5"   |
| Maximum Slump with HRWR                           | 8"  | 8"   |
| Air Content (upon discharge into forms)           | 5 + 2%  | 5 + 2%   |

**Page 10-151, Article 1080-4, INSPECTION AND SAMPLING, lines 18-22**, replace (B), (C) and (D) with the following:

- (B) At least 3 panels prepared as specified in 5.5.10 of AASHTO M 300, Bullet Hole Immersion Test.
- (C) At least 3 panels of 4"x6"x1/4" for the Elcometer Adhesion Pull Off Test, ASTM D4541.
- (D) A certified test report from an approved independent testing laboratory for the Salt Fog Resistance Test, Cyclic Weathering Resistance Test, and Bullet Hole Immersion Test as specified in AASHTO M 300.
- (E) A certified test report from an approved independent testing laboratory that the product has been tested for slip coefficient and meets AASHTO M253, Class B.

**Page 10-161, Subarticle 1081-1(A), Classifications, lines 29-33**, delete first 3 sentences of the description for Type 2 and replace with the following:

**Type 2** - A low-modulus, general-purpose adhesive used in epoxy mortar repairs. It may be used to patch spalled, cracked or broken concrete where vibration, shock or expansion and contraction are expected.

**Page 10-162, Subarticle 1081-1(A), Classifications, lines 4-7**, delete the second and third sentences of the description for Type 3A. **Lines 16-22**, delete Types 6A, 6B and 6C.

**Page 10-162, Subarticle 1081-1(B), Requirements, lines 26-30**, replace the second paragraph with the following:

For epoxy resin systems used for embedding dowel bars, threaded rods, rebar, anchor bolts and other fixtures in hardened concrete, the manufacturer shall submit test results showing that the bonding system will obtain 125% of the specified required yield strength of the fixture. Furnish certification that, for the particular bolt grade, diameter and embedment depth required, the anchor system will not fail by adhesive failure and that there is no movement of the anchor bolt. For certification and anchorage, use 3,000 psi as the minimum Portland cement concrete compressive strength used in this test. Use adhesives that meet Section 1081.

List the properties of the adhesive on the container and include density, minimum and maximum temperature application, setting time, shelf life, pot life, shear strength and compressive strength.

Page 10-163, Table 1081-1, PROPERTIES OF MIXED EPOXY RESIN SYSTEMS, replace with the following:

| Property   | Type 1       | Type 2  | Type 3 | Type 3A      | Type 4A | Type 4B | Type 5 |
|--|--------------|---------|--------|--------------|---------|---------|--------|
| Viscosity-Poises at 77°F ± 2°F                           | Gel          | 10-30   | 25-75  | Gel          | 40-150  | 40-150  | 1-6    |
| Spindle No.  | -            | 3       | 4      | --           | 4       | 4       | 2      |
| Speed (RPM)  | -            | 20      | 20     | --           | 10      | 10      | 50     |
| Pot Life (Minutes)                                       | 20-50        | 30-60   | 20-50  | 5-50         | 40-80   | 40-80   | 20-60  |
| Minimum Tensile Strength at 7 days (psi)                 | 1,500        | 2,000   | 4,000  | 4,000        | 1,500   | 1,500   | 4,000  |
| Tensile Elongation at 7 days (%)                         | 30 min.      | 30 min. | 2-5    | 2-5          | 5-15    | 5-15    | 2-5    |
| Min. Compressive Strength of 2" mortar cubes at 24 hours | 3,000 (Neat) | 4,000-  | 6,000- | 6,000 (Neat) | 3,000   | 3,000   | 6,000  |
| Min. Compressive Strength of 2" mortar cubes at 7 days   | 5,000 (Neat) | -       | -      | -            | -       | 5,000   | -      |
| Maximum Water Absorption (%)                             | 1.5          | 1.0     | 1.0    | 1.5          | 1.0     | 1.0     | 1.0    |
| Min. Bond Strength Slant Shear Test at 14 days (psi)     | 1,500        | 1,500   | 2,000  | 2,000        | 1,500   | 1,500   | 1,500  |

Page 10-164, Subarticle 1081-1(E), Prequalification, lines 31-33, replace the second sentence of the first paragraph with the following:

Manufacturers choosing to supply material for Department jobs must submit an application through the Value Management Unit with the following information for each type and brand name:

**Page 10-164, Subarticle 1081-1(E)(3), line 37**, replace with the following:

(3) Type of the material in accordance with Articles 1081-1 and 1081-4,

**Page 10-165, Subarticle 1081-1(E)(6), line 1**, in the first sentence of the first paragraph replace “AASHTO M 237” with “the specifications”.

**Page 10-165, Subarticle 1081-1(E), Prequalification, line 9-10**, delete the second sentence of the last paragraph.

**Page 10-165, Subarticle 1081-1(F), Acceptance, line 14**, in the first sentence of the first paragraph replace “Type 1” with “Type 3”.

**Page 10-169, Subarticle 1081-3(G), Anchor Bolt Adhesives**, delete this subarticle.

**Page 10-170, Article 1081-3, HOT BITUMEN, line 9**, add the following at the end of Section 1081:

#### **1081-4 EPOXY RESIN ADHESIVE FOR BONDING TRAFFIC MARKINGS**

##### **(A) General**

This section covers epoxy resin adhesive for bonding traffic markers to pavement surfaces.

##### **(B) Classification**

The types of epoxies and their uses are as shown below:

**Type I** – Rapid Setting, High Viscosity, Epoxy Adhesive. This type of adhesive provides rapid adherence to traffic markers to the surface of pavement.

**Type II** – Standard Setting, High Viscosity, Epoxy Adhesive. This type of adhesive is recommended for adherence of traffic markers to pavement surfaces when rapid set is not required.

**Type III** – Rapid Setting, Low Viscosity, Water Resistant, Epoxy Adhesive. This type of rapid setting adhesive, due to its low viscosity, is appropriate only for use with embedded traffic markers.

**Type IV** – Standard Set Epoxy for Blade Deflecting-Type Plowable Markers.

##### **(C) Requirements**

Epoxies shall conform to the requirements set forth in AASHTO M 237.

##### **(D) Prequalification**

Refer to Subarticle 1081-1(E).

##### **(E) Acceptance**

Refer to Subarticle 1081-1(F).

**Page 10-173, Article 1084-2, STEEL SHEET PILES, lines 37-38**, replace first paragraph with the following:

Steel sheet piles detailed for permanent applications shall be hot rolled and meet ASTM A572 or ASTM A690 unless otherwise required by the plans. Steel sheet piles shall be coated as required

by the plans. Galvanized sheet piles shall be coated in accordance with Section 1076. Metallized sheet piles shall be metallized in accordance to the Project Special Provision “Thermal Sprayed Coatings (Metallization)” with an 8 mil, 99.9% aluminum alloy coating and a 0.5 mil seal coating. Any portion of the metallized sheet piling encased in concrete shall receive a barrier coat. The barrier coat shall be an approved waterborne coating with a low-viscosity which readily absorbs into the pores of the aluminum thermal sprayed coating. The waterborne coating shall be applied at a spreading rate that results in a theoretical 1.5 mil dry film thickness. The manufacturer shall issue a letter of certification that the resin chemistry of the waterborne coating is compatible with the 99.9% aluminum thermal sprayed alloy and suitable for tidal water applications.

**Page 10-174, Subarticle 1086-1(B)(1), Epoxy, lines 18-24,** replace with the following:

The epoxy shall meet Article 1081-4.

The 2 types of epoxy adhesive which may be used are Type I, Rapid Setting, and Type II, Standard Setting. Use Type II when the pavement temperature is above 60°F or per the manufacturer’s recommendations whichever is more stringent. Use Type I when the pavement temperature is between 50°F and 60°F or per the manufacturer’s recommendations whichever is more stringent. Epoxy adhesive Type I, Cold Set, may be used to attach temporary pavement markers to the pavement surface when the pavement temperature is between 32°F and 50°F or per the manufacturer’s recommendations whichever is more stringent.

**Page 10-175, Subarticle 1086-2(E), Epoxy Adhesives, line 27,** replace “Section 1081” with “Article 1081-4”.

**Page 10-177, Subarticle 1086-3(E), Epoxy Adhesives, line 22,** replace “Section 1081” with “Article 1081-4”.

**Page 10-179, Subarticle 1087-4(A), Composition, lines 39-41,** replace the third paragraph with the following:

All intermixed and drop-on glass beads shall not contain more than 75 ppm arsenic or 200 ppm lead.

**Page 10-180, Subarticle 1087-4(B), Physical Characteristics, line 8,** replace the second paragraph with the following:

All intermixed and drop-on glass beads shall comply with NCGS § 136-30.2 and 23 USC § 109(r).

**Page 10-181, Subarticle 1087-7(A), Intermixed and Drop-on Glass Beads, line 24,** add the following after the first paragraph:

Use X-ray Fluorescence for the normal sampling procedure for intermixed and drop-on beads, without crushing, to check for any levels of arsenic and lead. If any arsenic or lead is detected, the sample shall be crushed and repeat the test using X-ray Fluorescence. If the X-ray Fluorescence test shows more than a LOD of 5 ppm, test the beads using United States Environmental Protection Agency Method 6010B, 6010C or 3052 for no more than 75 ppm arsenic or 200 ppm lead.

**HIGH STRENGTH CONCRETE FOR DRIVEWAYS:**

(11-21-00) (Rev. 1-17-12)

848

SP10 R02

Use high early strength concrete for all driveways shown in the plans and as directed by the Engineer. Provide high early strength concrete that meets the requirements of Article 1000-5 of the *2012 Standard Specifications*.

Measurement and payment will be in accordance with Section 848 of the *2012 Standard Specifications*.

**SELECT MATERIAL, CLASS III, TYPE 3:**

(1-17-12)

1016, 1044

SP10 R05

Revise the *2012 Standard Specifications* as follows:

**Page 10-39, Article 1016-3, CLASS III**, add the following after line 14:

**Type 3 Select Material**

Type 3 select material is a natural or manufactured fine aggregate material meeting the following gradation requirements and as described in Sections 1005 and 1006:

| Percentage of Total by Weight Passing |        |        |       |       |      |      |      |
|---------------------------------------|--------|--------|-------|-------|------|------|------|
| 3/8"                                  | #4     | #8     | #16   | #30   | #50  | #100 | #200 |
| 100                                   | 95-100 | 65-100 | 35-95 | 15-75 | 5-35 | 0-25 | 0-8  |

**Page 10-39, Article 1016-3, CLASS III, line 15**, replace “either type” with “Type 1, Type 2 or Type 3”.

**Page 10-62, Article 1044-1, line 36**, delete the sentence and replace with the following:

Subdrain fine aggregate shall meet Class III select material, Type 1 or Type 3.

**Page 10-63, Article 1044-2, line 2**, delete the sentence and replace with the following:

Subdrain coarse aggregate shall meet Class V select material.

**SHOULDER AND SLOPE BORROW:**

(3-19-13)

1019

SP10 R10

Use soil in accordance with Section 1019 of the *2012 Standard Specifications*. Use soil consisting of loose, friable, sandy material with a PI greater than 6 and less than 25 and a pH ranging from 5.5 to 7.0.

Soil with a pH ranging from 4.0 to 5.5 will be accepted without further testing if additional limestone is provided in accordance with the application rates shown in Table 1019-1A. Soil type is identified during the soil analysis. Soils with a pH above 7.0 require acidic amendments

to be added. Submit proposed acidic amendments to the Engineer for review and approval. Soils with a pH below 4.0 or that do not meet the PI requirements shall not be used.

| <b>pH TEST<br/>RESULT</b> | <b>Sandy Soils<br/>Additional Rate<br/>(lbs. / Acre)</b> | <b>Silt Loam Soils<br/>Additional Rate<br/>(lbs. / Acre)</b> | <b>Clay Loam Soils<br/>Additional Rate<br/>(lbs. / Acre)</b> |
|---------------------------|--|--|--|
| 4.0 - 4.4                 | 1,000  | 4,000  | 6,000  |
| 4.5 - 4.9                 | 500  | 3,000  | 5,000  |
| 5.0 - 5.4                 | NA   | 2,000  | 4,000  |

Note: Limestone application rates shown in this table are in addition to the standard rate of 4000 lbs. / acre required for seeding and mulching.

No direct payment will be made for providing additional lime or acidic amendments for Ph adjustment.

**GROUT PRODUCTION AND DELIVERY:**

(3-17-15)

1003

SP10 R20

Revise the *2012 Standard Specifications* as follows:

Replace Section 1003 with the following:

**SECTION 1003  
GROUT PRODUCTION AND DELIVERY**

**1003-1 DESCRIPTION**

This section addresses cement grout to be used for structures, foundations, retaining walls, concrete barriers, embankments, pavements and other applications in accordance with the contract. Produce non-metallic grout composed of Portland cement and water and at the Contractor's option or as required, aggregate and pozzolans. Include chemical admixtures as required or needed. Provide sand cement or neat cement grout as required. Define "sand cement grout" as grout with only fine aggregate and "neat cement grout" as grout without aggregate.

The types of grout with their typical uses are as shown below:

**Type 1** – A cement grout with only a 3-day strength requirement and a fluid consistency that is typically used for filling subsurface voids.

**Type 2** – A nonshrink grout with strength, height change and flow conforming to ASTM C1107 that is typically used for foundations, ground anchors and soil nails.

**Type 3** – A nonshrink grout with high early strength and freeze-thaw durability requirements that is typically used in pile blockouts, grout pockets, shear keys, dowel holes and recesses for concrete barriers and structures.

**Type 4** – A neat cement grout with low strength, a fluid consistency and high fly ash content that is typically used for slab jacking.

**Type 5** – A low slump, low mobility sand cement grout with minimal strength that is typically used for compaction grouting.

### **1003-2 MATERIALS**

Refer to Division 10.

| <b>Item</b>                          | <b>Section</b> |
|--------------------------------------|----------------|
| Chemical Admixtures                  | 1024-3         |
| Fine Aggregate                       | 1014-1         |
| Fly Ash                              | 1024-5         |
| Ground Granulated Blast Furnace Slag | 1024-6         |
| Portland Cement                      | 1024-1         |
| Silica Fume                          | 1024-7         |
| Water                                | 1024-4         |

Do not use grout that contains soluble chlorides or more than 1% soluble sulfate. At the Contractor's option, use an approved packaged grout instead of the materials above except for water. Use packaged grouts that are on the NCDOT Approved Products List.

Use admixtures for grout that are on the NCDOT Approved Products List or other admixtures in accordance with Subarticle 1024-3(E) except do not use concrete additives or unclassified or other admixtures in Type 4 or 5 grout. Use Class F fly ash for Type 4 grout and Type II Portland cement for Type 5 grout.

Use well graded rounded aggregate with a gradation, liquid limit (LL) and plasticity index (PI) that meet Table 1003-1 for Type 5 grout. Fly ash may be substituted for a portion of the fines in the aggregate. Do not use any other pozzolans in Type 5 grout.

**TABLE 1003-1  
AGGREGATE REQUIREMENTS FOR TYPE 5 GROUT**

| <b>Gradation</b>                             |   | <b>Maximum<br/>Liquid Limit</b> | <b>Maximum<br/>Plasticity Index</b> |
|--|---|---------------------------------|-------------------------------------|
| <b>Sieve Designation<br/>per AASHTO M 92</b> | <b>Percentage Passing<br/>(% by weight)</b> |                                 |                                     |
| 3/8"   | 100   | N/A                             | N/A                                 |
| No. 4  | 70 – 95                                     |                                 |                                     |
| No. 8  | 50 – 90                                     |                                 |                                     |
| No. 16                                       | 30 – 80                                     |                                 |                                     |
| No. 30                                       | 25 – 70                                     |                                 |                                     |
| No. 50                                       | 20 – 50                                     |                                 |                                     |
| No. 100                                      | 15 – 40                                     |                                 |                                     |
| No. 200                                      | 10 – 30                                     | 25                              | 10                                  |

### **1003-3 COMPOSITION AND DESIGN**

When using an approved packaged grout, a grout mix design submittal is not required. Otherwise, submit proposed grout mix designs for each grout mix to be used in the work. Mixes for all grout shall be designed by a Certified Concrete Mix Design Technician or an Engineer licensed by the State of North Carolina. Mix proportions shall be determined by a testing laboratory approved by the Department. Base grout mix designs on laboratory trial batches that meet Table 1003-2 and this section. With permission, the Contractor may use a quantity of chemical admixture within the range shown on the current list of approved admixtures maintained by the Materials and Tests Unit.

Submit grout mix designs in terms of saturated surface dry weights on Materials and Tests Form 312U at least 35 days before proposed use. Adjust batch proportions to compensate for surface moisture contained in the aggregates at the time of batching. Changes in the saturated surface dry mix proportions will not be permitted unless revised grout mix designs have been submitted to the Engineer and approved.

Accompany Materials and Tests Form 312U with a listing of laboratory test results of compressive strength, density and flow or slump and if applicable, aggregate gradation, durability and height change. List the compressive strength of at least three 2" cubes at the age of 3 and 28 days.

The Engineer will review the grout mix design for compliance with the contract and notify the Contractor as to its acceptability. Do not use a grout mix until written notice has been received. Acceptance of the grout mix design or use of approved packaged grouts does not relieve the Contractor of his responsibility to furnish a product that meets the contract. Upon written request from the Contractor, a grout mix design accepted and used satisfactorily on any Department project may be accepted for use on other projects.

Perform laboratory tests in accordance with the following test procedures:

| <b>Property</b>                  | <b>Test Method</b>   |
|----------------------------------|--|
| Aggregate Gradation <sup>A</sup> | AASHTO T 27  |
| Compressive Strength             | AASHTO T 106   |
| Density (Unit Weight)            | AASHTO T 121,<br>AASHTO T 133 <sup>B</sup> ,<br>ANSI/API RP <sup>C</sup> 13B-1 <sup>B</sup> (Section 4, Mud Balance) |
| Durability                       | AASHTO T 161 <sup>D</sup>  |
| Flow                             | ASTM C939 (Flow Cone)  |
| Height Change                    | ASTM C1090 <sup>E</sup>  |
| Slump                            | AASHTO T 119   |

**A.** Applicable to grout with aggregate.

**B.** Applicable to Neat Cement Grout.

**C.** American National Standards Institute/American Petroleum Institute Recommended Practice.

**D.** Procedure A (Rapid Freezing and Thawing in Water) required.

**E.** Moist room storage required.

#### **1003-4 GROUT REQUIREMENTS**

Provide grout types in accordance with the contract. Use grouts with properties that meet Table 1003-2. The compressive strength of the grout will be considered the average compressive strength test results of three 2" cubes at each age. Make cubes that meet AASHTO T 106 from the grout delivered for the work or mixed on-site. Make cubes at such frequencies as the Engineer may determine and cure them in accordance with AASHTO T 106.

| Type of Grout  | Minimum Compressive Strength at |           | Height Change at 28 days | Flow <sup>A</sup> /Slump <sup>B</sup>                     | Minimum Durability Factor |
|----------------|---------------------------------|-----------|--------------------------|---|---------------------------|
|                | 3 days                          | 28 days   |                          |   |                           |
| 1              | 3,000 psi                       | –         | –                        | 10 – 30 sec   | –                         |
| 2              | Table 1 <sup>C</sup>            |           |                          | Fluid Consistency <sup>C</sup>                            | –                         |
| 3              | 5,000 psi                       | –         | 0 – 0.2%                 | Per Accepted Grout Mix Design/<br>Approved Packaged Grout | 80                        |
| 4 <sup>D</sup> | 600 psi                         | 1,500 psi | –                        | 10 – 26 sec   | –                         |
| 5              | –                               | 500 psi   | –                        | 1 – 3"  | –                         |

A. Applicable to Type 1 through 4 grouts.

B. Applicable to Type 5 grout.

C. ASTM C1107.

D. Use Type 4 grout with proportions by volume of 1 part cement and 3 parts fly ash.

### 1003-5 TEMPERATURE REQUIREMENTS

When using an approved packaged grout, follow the manufacturer's instructions for grout and air temperature at the time of placement. Otherwise, the grout temperature at the time of placement shall be not less than 50°F nor more than 90°F. Do not place grout when the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below 40°F.

### 1003-6 ELAPSED TIME FOR PLACING GROUT

Agitate grout continuously before placement. Regulate the delivery so the maximum interval between the placing of batches at the work site does not exceed 20 minutes. Place grout before exceeding the times in Table 1003-3. Measure the elapsed time as the time between adding the mixing water to the grout mix and placing the grout.

| Air or Grout Temperature,<br>Whichever is Higher | Maximum Elapsed Time        |                          |
|--|-----------------------------|--------------------------|
|  | No Retarding Admixture Used | Retarding Admixture Used |
| 90°F or above                                    | 30 minutes                  | 1 hr. 15 minutes         |
| 80°F through 89°F                                | 45 minutes                  | 1 hr. 30 minutes         |
| 79°F or below                                    | 60 minutes                  | 1 hr. 45 minutes         |

**1003-7 MIXING AND DELIVERY**

Use grout free of any lumps and undispersed cement. When using an approved packaged grout, mix grout in accordance with the manufacturer's instructions. Otherwise, comply with Articles 1000-8 through 1000-12 to the extent applicable for grout instead of concrete.

**TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS:**

(8-21-12)

1101.02

SP11 R10

Revise the *2012 Roadway Standard Drawings* as follows:

**Drawing No. 1101.02, Sheet 12, TEMPORARY LANE CLOSURES**, replace General Note #11 with the following:

11- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

12- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

**Drawing No. 1101.02, Sheet 13, TEMPORARY LANE CLOSURES**, replace General Note #12 with the following:

12- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

13- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

**PERMANENT SEEDING AND MULCHING:**

(7-1-95)

1660

SP16 R02

The Department desires that permanent seeding and mulching be established on this project as soon as practical after slopes or portions of slopes have been graded. As an incentive to obtain an early stand of vegetation on this project, the Contractor's attention is called to the following:

For all permanent seeding and mulching that is satisfactorily completed in accordance with the requirements of Section 1660 in the *2012 Standard Specifications* and within the following percentages of elapsed contract times, an additional payment will be made to the Contractor as an incentive additive. The incentive additive will be determined by multiplying the number of acres of seeding and mulching satisfactorily completed times the contract unit bid price per acre for Seeding and Mulching times the appropriate percentage additive.

| <b>Percentage of Elapsed Contract Time</b> | <b>Percentage Additive</b> |
|--|----------------------------|
| 0% - 30%                                   | 30%                        |
| 30.01% - 50%                               | 15%                        |

Percentage of elapsed contract time is defined as the number of calendar days from the date of availability of the contract to the date the permanent seeding and mulching is acceptably completed divided by the total original contract time.

**STANDARD SPECIAL PROVISION**  
**AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS**

(5-20-08)

Z-2

*General Statute 143C-6-11. (h) Highway Appropriation* is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the *2012 Standard Specifications*.

**STANDARD SPECIAL PROVISION**  
**NCDOT GENERAL SEED SPECIFICATION FOR SEED QUALITY**

(5-17-11)

Z-3

Seed shall be sampled and tested by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory. When said samples are collected, the vendor shall supply an independent laboratory report for each lot to be tested. Results from seed so sampled shall be final. Seed not meeting the specifications shall be rejected by the Department of Transportation and shall not be delivered to North Carolina Department of Transportation warehouses. If seed has been delivered it shall be available for pickup and replacement at the supplier's expense.

Any re-labeling required by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory, that would cause the label to reflect as otherwise specified herein shall be rejected by the North Carolina Department of Transportation.

Seed shall be free from seeds of the noxious weeds Johnsongrass, Balloonvine, Jimsonweed, Witchweed, Itchgrass, Serrated Tussock, Showy Crotalaria, Smooth Crotalaria, Sicklepod, Sandbur, Wild Onion, and Wild Garlic. Seed shall not be labeled with the above weed species on the seed analysis label. Tolerances as applied by the Association of Official Seed Analysts will NOT be allowed for the above noxious weeds except for Wild Onion and Wild Garlic.

Tolerances established by the Association of Official Seed Analysts will generally be recognized. However, for the purpose of figuring pure live seed, the found pure seed and found germination percentages as reported by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory will be used. Allowances, as established by the NCDOT, will be recognized for minimum pure live seed as listed on the following pages.

The specifications for restricted noxious weed seed refers to the number per pound as follows:

| <b><u>Restricted Noxious Weed</u></b> | <b><u>Limitations per Lb. Of Seed</u></b> | <b><u>Restricted Noxious Weed</u></b> | <b><u>Limitations per Lb. of Seed</u></b> |
|---------------------------------------|---|---------------------------------------|---|
| Blessed Thistle                       | 4 seeds                                   | Cornflower (Ragged Robin)             | 27 seeds                                  |
| Cocklebur                             | 4 seeds                                   | Texas Panicum                         | 27 seeds                                  |
| Spurred Anoda                         | 4 seeds                                   | Bracted Plantain                      | 54 seeds                                  |
| Velvetleaf                            | 4 seeds                                   | Buckhorn Plantain                     | 54 seeds                                  |
| Morning-glory                         | 8 seeds                                   | Broadleaf Dock                        | 54 seeds                                  |
| Corn Cockle                           | 10 seeds                                  | Curly Dock                            | 54 seeds                                  |
| Wild Radish                           | 12 seeds                                  | Dodder                                | 54 seeds                                  |
| Purple Nutsedge                       | 27 seeds                                  | Giant Foxtail                         | 54 seeds                                  |
| Yellow Nutsedge                       | 27 seeds                                  | Horsenettle                           | 54 seeds                                  |
| Canada Thistle                        | 27 seeds                                  | Quackgrass                            | 54 seeds                                  |
| Field Bindweed                        | 27 seeds                                  | Wild Mustard                          | 54 seeds                                  |
| Hedge Bindweed                        | 27 seeds                                  |                                       |   |

Seed of Pensacola Bahiagrass shall not contain more than 7% inert matter, Kentucky Bluegrass, Centipede and Fine or Hard Fescue shall not contain more than 5% inert matter whereas a maximum of 2% inert matter will be allowed on all other kinds of seed. In addition, all seed shall not contain more than 2% other crop seed nor more than 1% total weed seed. The germination rate as tested by the North Carolina Department of Agriculture shall not fall below 70%, which includes both dormant and hard seed. Seed shall be labeled with not more than 7%, 5% or 2% inert matter (according to above specifications), 2% other crop seed and 1% total weed seed.

Exceptions may be made for minimum pure live seed allowances when cases of seed variety shortages are verified. Pure live seed percentages will be applied in a verified shortage situation. Those purchase orders of deficient seed lots will be credited with the percentage that the seed is deficient.

**FURTHER SPECIFICATIONS FOR EACH SEED GROUP ARE GIVEN BELOW:**

Minimum 85% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 83% pure live seed will not be approved.

Sericea Lespedeza  
Oats (seeds)

Minimum 80% pure live seed; maximum 1% total weed seed; maximum 2% total other crop; maximum 144 restricted noxious weed seed per pound. Seed less than 78% pure live seed will not be approved.

|                                      |                            |
|--------------------------------------|----------------------------|
| Tall Fescue (all approved varieties) | Bermudagrass               |
| Kobe Lespedeza                       | Browntop Millet            |
| Korean Lespedeza                     | German Millet – Strain R   |
| Weeping Lovegrass                    | Clover – Red/White/Crimson |
| Carpetgrass                          |                            |

Minimum 78% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 76% pure live seed will not be approved.

Common or Sweet Sundangrass

Minimum 76% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 74% pure live seed will not be approved.

Rye (grain; all varieties)  
Kentucky Bluegrass (all approved varieties)  
Hard Fescue (all approved varieties)  
Shrub (bicolor) Lespedeza

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 noxious weed seed per pound. Seed less than 70% pure live seed will not be approved.

Centipedegrass  
Crownvetch  
Pensacola Bahiagrass  
Creeping Red Fescue

Japanese Millet  
Reed Canary Grass  
Zoysia

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 5% inert matter; maximum 144 restricted noxious weed seed per pound.

Barnyard Grass  
Big Bluestem  
Little Bluestem  
Bristly Locust  
Birdsfoot Trefoil  
Indiangrass  
Orchardgrass  
Switchgrass  
Yellow Blossom Sweet Clover

**STANDARD SPECIAL PROVISION****ERRATA**

(1-17-12) (Rev. 04-21-15)

Z-4

Revise the 2012 *Standard Specifications* as follows:

**Division 2**

**Page 2-7, line 31, Article 215-2 Construction Methods**, replace “Article 107-26” with “Article 107-25”.

**Page 2-17, Article 226-3, Measurement and Payment, line 2**, delete “pipe culverts,”.

**Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows:** **Line 1**, replace “(4) Buffer Zone” with “(c) Buffer Zone”; **Line 12**, replace “(5) Evaluation for Potential Wetlands and Endangered Species” with “(d) Evaluation for Potential Wetlands and Endangered Species”; and **Line 33**, replace “(6) Approval” with “(4) Approval”.

**Division 3**

**Page 3-1, after line 15, Article 300-2 Materials**, replace “1032-9(F)” with “1032-6(F)”.

**Division 4**

**Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping**, replace “sheet pile” with “reinforcement”.

**Division 6**

**Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments**, replace “30” with “45”.

**Page 6-10, line 42, Subarticle 609-6(C)(2)**, replace “Subarticle 609-6(E)” with “Subarticle 609-6(D)”.

**Page 6-11, Table 609-1 Control Limits**, replace “Max. Spec. Limit” for the Target Source of  $P_{0.075}/P_{be}$  Ratio with “1.0”.

**Page 6-40, Article 650-2 Materials**, replace “Subarticle 1012-1(F)” with “Subarticle 1012-1(E)”

**Division 7**

**Page 7-1, Article 700-3, CONCRETE HAULING EQUIPMENT**, line 33, replace “competition” with “completion”.

**Division 8**

**Page 8-23, line 10, Article 838-2 Materials**, replace “Portland Cement Concrete, Class B” with “Portland Cement Concrete, Class A”.

**Division 10**

**Page 10-166, Article 1081-3 Hot Bitumen**, replace “Table 1081-16” with “Table 1081-2”, replace “Table 1081-17” with “Table 1081-3”, and replace “Table 1081-18” with “Table 1081-4”.

**Division 12**

**Page 12-7, Table 1205-3**, add “FOR THERMOPLASTIC” to the end of the title.

**Page 12-8, Subarticle 1205-5(B), line 13**, replace “Table 1205-2” with “Table 1205-4”.

**Page 12-8, Table 1205-4 and 1205-5**, replace “THERMOPLASTIC” in the title of these tables with “POLYUREA”.

**Page 12-9, Subarticle 1205-6(B), line 21**, replace “Table 1205-4” with “Table 1205-6”.

**Page 12-11, Subarticle 1205-8(C), line 25**, replace “Table 1205-5” with “Table 1205-7”.

**Division 15**

**Page 15-4, Subarticle 1505-3(F) Backfilling, line 26**, replace “Subarticle 235-4(C)” with “Subarticle 235-3(C)”.

**Page 15-6, Subarticle 1510-3(B), after line 21**, replace the allowable leakage formula with the following:  $W=LD\sqrt{P} \div 148,000$

**Page 15-6, Subarticle 1510-3(B), line 32**, delete “may be performed concurrently or” and replace with “shall be performed”.

**Page 15-17, Subarticle 1540-3(E), line 27**, delete “Type 1”.

**Division 17**

**Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center**, delete this subarticle.

Revise the *2012 Roadway Standard Drawings* as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation**, replace “1633.01” with “1631.01”.

**STANDARD SPECIAL PROVISION****PLANT AND PEST QUARANTINES****(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)**

(3-18-03) (Rev. 10-15-13)

Z-04a

**Within Quarantined Area**

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

**Originating in a Quarantined County**

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

**Contact**

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <http://www.ncagr.gov/plantind/> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

**Regulated Articles Include**

1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
2. Plants with roots including grass sod.
3. Plant crowns and roots.
4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
5. Hay, straw, fodder, and plant litter of any kind.
6. Clearing and grubbing debris.
7. Used agricultural cultivating and harvesting equipment.
8. Used earth-moving equipment.
9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

**STANDARD SPECIAL PROVISION****AWARD OF CONTRACT**

(6-28-77)

Z-6

“The North Carolina Department of Transportation, in accordance with the provisions of *Title VI of the Civil Rights Act of 1964* (78 Stat. 252) and the Regulations of the Department of Transportation (*49 C.F.R., Part 21*), issued pursuant to such act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin”.

**STANDARD SPECIAL PROVISION****MINORITY AND FEMALE EMPLOYMENT REQUIREMENTS**

Z-7

**NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (*EXECUTIVE NUMBER 11246*)**

1. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, see as shown on the attached sheet entitled "Employment Goals for Minority and Female participation".

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in *41 CFR Part 60-4* shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in *41 CFR 60-4.3(a)*, and its effort to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project or the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the executive Order and the regulations in *41 CFR Part 60-4*. Compliance with the goals will be measured against the total work hours performed.

2. As used in this Notice and in the contract resulting from this solicitation, the "covered area" is the county or counties shown on the cover sheet of the proposal form and contract.

**EMPLOYMENT GOALS FOR MINORITY  
AND FEMALE PARTICIPATION**

Economic Areas

**Area 023 29.7%**

Bertie County  
Camden County  
Chowan County  
Gates County  
Hertford County  
Pasquotank County  
Perquimans County

**Area 024 31.7%**

Beaufort County  
Carteret County  
Craven County  
Dare County  
Edgecombe County  
Green County  
Halifax County  
Hyde County  
Jones County  
Lenoir County  
Martin County  
Nash County  
Northampton County  
Pamlico County  
Pitt County  
Tyrrell County  
Washington County  
Wayne County  
Wilson County

**Area 025 23.5%**

Columbus County  
Duplin County  
Onslow County  
Pender County

**Area 026 33.5%**

Bladen County  
Hoke County  
Richmond County  
Robeson County  
Sampson County  
Scotland County

**Area 027 24.7%**

Chatham County  
Franklin County  
Granville County  
Harnett County  
Johnston County  
Lee County  
Person County  
Vance County  
Warren County

**Area 028 15.5%**

Alleghany County  
Ashe County  
Caswell County  
Davie County  
Montgomery County  
Moore County  
Rockingham County  
Surry County  
Watauga County  
Wilkes County

**Area 029 15.7%**

Alexander County  
Anson County  
Burke County  
Cabarrus County  
Caldwell County  
Catawba County  
Cleveland County  
Iredell County  
Lincoln County  
Polk County  
Rowan County  
Rutherford County  
Stanly County

**Area 0480 8.5%**

Buncombe County  
Madison County

**Area 030 6.3%**

Avery County  
Cherokee County  
Clay County  
Graham County  
Haywood County  
Henderson County  
Jackson County  
McDowell County  
Macon County  
Mitchell County  
Swain County  
Transylvania County  
Yancey County

**SMSA Areas**

**Area 5720 26.6%**

Currituck County

**Area 9200 20.7%**

Brunswick County

New Hanover County

**Area 2560 24.2%**

Cumberland County

**Area 6640 22.8%**

Durham County

Orange County

Wake County

**Area 1300 16.2%**

Alamance County

**Area 3120 16.4%**

Davidson County

Forsyth County

Guilford County

Randolph County

Stokes County

Yadkin County

**Area 1520 18.3%**

Gaston County

Mecklenburg County

Union County

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**Goals for Female**

**Participation in Each Trade**

(Statewide) 6.9%

**STANDARD SPECIAL PROVISION****REQUIRED CONTRACT PROVISIONS FEDERAL - AID CONSTRUCTION CONTRACTS**

FHWA - 1273 Electronic Version - May 1, 2012

Z-8

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

**ATTACHMENTS**

- A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).  
The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.  
Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.  
Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).
2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.
4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are

incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
  - b. The contractor will accept as its operating policy the following statement:  
"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."
2. **EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.
  3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
    - a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
    - b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
    - c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
    - d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
    - e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
  4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.
    - a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
    - b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
    - c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
  5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
    - a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
    - b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
    - c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
    - d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.
  6. **Training and Promotion:**
    - a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.
    - b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
    - c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
    - d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
  - a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
  - b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
  - c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
  - d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
8. **Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
9. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
  - a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.
  - b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.
10. **Assurance Required by 49 CFR 26.13(b):**
  - a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
  - b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
11. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
  - a. The records kept by the contractor shall document the following:
    - (1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;
    - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
    - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
  - b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

- a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the

Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
    - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
    - (ii) The classification is utilized in the area by the construction industry; and
    - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
  - c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
  - d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
2. **Withholding.** The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
3. **Payrolls and basic records**
- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
  - b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the

payrolls shall only need to include an individually identifying number for each employee ( e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
  - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
- (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- 4. Apprentices and trainees**
- a. Apprentices (programs of the USDOL). Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.
- The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
- Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
- In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- b. Trainees (programs of the USDOL). Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.
- The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.
- Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

- In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
  - d. Apprentices and Trainees (programs of the U.S. DOT). Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.
5. **Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
  6. **Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
  7. **Contract termination:** debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
  8. **Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
  9. **Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
  10. **Certification of eligibility.**
    - a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
    - b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
    - c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

#### V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. **Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
2. **Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
3. **Withholding for unpaid wages and liquidated damages.** The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
4. **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

#### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).
  - a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
    - (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
  - (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
  - (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.
2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
  3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
  4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.
  5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

#### **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

#### **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

**1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

- a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:
  - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
  - (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and
  - (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contractor). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
  - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**STANDARD SPECIAL PROVISION****ON-THE-JOB TRAINING**

(10-16-07) (Rev. 4-21-15)

Z-10

**Description**

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

**Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

**Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year.\

**Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

|                     |                                  |
|---------------------|----------------------------------|
| Equipment Operators | Office Engineers                 |
| Truck Drivers       | Estimators                       |
| Carpenters          | Iron / Reinforcing Steel Workers |
| Concrete Finishers  | Mechanics                        |
| Pipe Layers         | Welders                          |

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

**Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

**Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

**Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

|            |   |
|------------|---|
| 60 percent | of the journeyman wage for the first half of the training period    |
| 75 percent | of the journeyman wage for the third quarter of the training period |
| 90 percent | of the journeyman wage for the last quarter of the training period  |

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

**Achieving or Failing to Meet Training Goals**

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

**Measurement and Payment**

No compensation will be made for providing required training in accordance with these contract documents.

**STANDARD SPECIAL PROVISION**  
**MINIMUM WAGES**  
**GENERAL DECISION NC150103 01/23/2015 NC103**

Z-103

Date: January 23, 2015

General Decision Number: NC150103 01/23/2015 NC103

Superseded General Decision Numbers: NC20140103

State: North Carolina

Construction Type: HIGHWAY

**COUNTIES:**

|            |             |        |
|------------|-------------|--------|
| Brunswick  | Greene      | Onslow |
| Cumberland | Hoke        | Pender |
| Currituck  | Johnston    | Pitt   |
| Edgecombe  | Nash        | Wake   |
| Franklin   | New Hanover | Wayne  |

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Executive Order (EO) 13658 establishes an hourly minimum wage of \$10.10 for 2015 that applies to all contracts subject to the Davis-Bacon Act for which the solicitation is issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.10 (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Modification Number

Publication Date

0

01/02/2015

1

01/23/2015

SUNC2014-005 11/17/2014

|                                | Rates | Fringes |
|--------------------------------|-------|---------|
| BLASTER                        | 21.04 |         |
| CARPENTER                      | 13.72 |         |
| CEMENT MASON/CONCRETE FINISHER | 14.48 |         |
| ELECTRICIAN                    |       |         |
| Electrician                    | 17.97 |         |
| Telecommunications Technician  | 16.79 | .63     |
| IRONWORKER                     | 16.02 |         |
| LABORER                        |       |         |
| Asphalt Raker and Spreader     | 12.46 |         |
| Asphalt Screed/Jackman         | 14.33 |         |

|   | Rates | Fringes |
|---|-------|---------|
| Carpenter Tender                          | 12.88 |         |
| Cement Mason/Concrete Finisher Tender     | 12.54 |         |
| Common or General                         | 10.20 |         |
| Guardrail/Fence Installer                 | 12.87 |         |
| Pipelayer                                 | 12.17 |         |
| Traffic Signal/Lighting Installer         | 14.89 |         |
| <b>PAINTER</b>                            |       |         |
| Bridge                                    | 24.57 |         |
| <b>POWER EQUIPMENT OPERATORS</b>          |       |         |
| Asphalt Broom Tractor                     | 11.85 |         |
| Bulldozer Fine                            | 17.04 |         |
| Bulldozer Rough                           | 14.34 |         |
| Concrete Grinder/Groover                  | 20.34 | 2.30    |
| Crane Boom Trucks                         | 20.54 |         |
| Crane Other                               | 20.08 |         |
| Crane Rough/All-Terrain                   | 20.67 |         |
| Drill Operator Rock                       | 14.38 |         |
| Drill Operator Structure                  | 21.14 |         |
| Excavator Fine                            | 16.60 |         |
| Excavator Rough                           | 14.00 |         |
| Grader/Blade Fine                         | 18.47 |         |
| Grader/Blade Rough                        | 14.62 |         |
| Loader 2 Cubic Yards or Less              | 13.76 |         |
| Loader Greater Than 2 Cubic Yards         | 14.14 |         |
| Material Transfer Vehicle (Shuttle Buggy) | 15.18 |         |
| Mechanic                                  | 17.55 |         |
| Milling Machine                           | 15.36 |         |
| Off-Road Hauler/Water Tanker              | 11.36 |         |
| Oiler/Greaser                             | 13.55 |         |
| Pavement Marking Equipment                | 12.11 |         |
| Paver Asphalt                             | 15.59 |         |
| Paver Concrete                            | 18.20 |         |
| Roller Asphalt Breakdown                  | 12.45 |         |
| Roller Asphalt Finish                     | 13.85 |         |
| Roller Other                              | 11.36 |         |
| Scraper Finish                            | 12.71 |         |
| Scraper Rough                             | 11.35 |         |
| Slip Form Machine                         | 16.50 |         |
| Tack Truck/Distributor Operator           | 14.52 |         |
| <b>TRUCK DRIVER</b>                       |       |         |
| GVWR of 26,000 Lbs or Greater             | 11.12 |         |
| GVWR of 26,000 Lbs or Less                | 12.37 |         |

Welders – Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

## WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U. S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

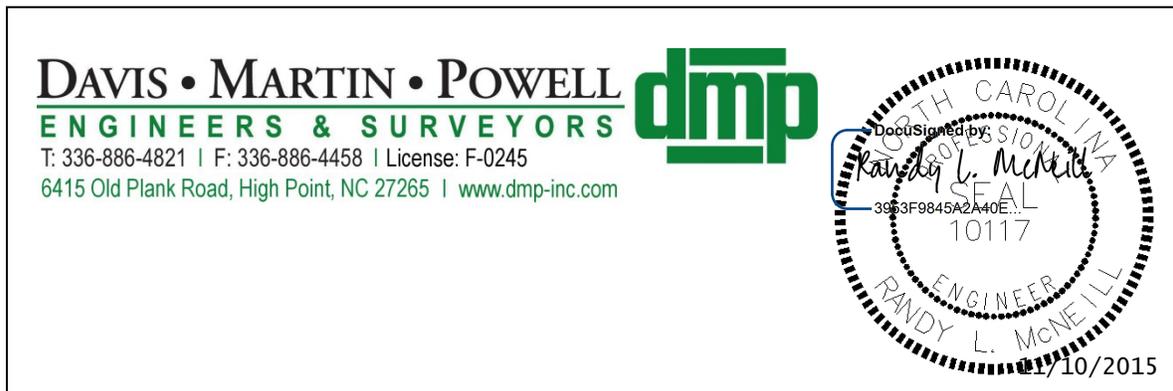
Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

PROJECT SPECIAL PROVISIONS

## Utility Construction

**Revise the 2012 Standard Specifications as follows:****Page 10-57, Sub-article 1034-4(B), Force Main Sewer Pipe, second paragraph**

Replace the paragraph with:

Use ductile iron pipe fittings and specials conforming to ANSI/AWWA C110/A21.10.

**Page 10-58, Sub-article 1036-1 General**

Add the following sentence:

All materials in contact with potable water shall be in conformance with Section 1417 of the Safe Drinking Water Act.

**Page 15-1, Sub-article 1500-2 Cooperation with the Utility Owner, second paragraph**

Add the following sentences:

The utility owner is the Fayetteville Public Works Commission (PWC). For work involving PWC's facilities, the Contractor shall contact Joe Glass, PE of PWC's Water Resources Engineering Department at (910) 223-4740.

**Page 15-2, Sub-article 1500-9 Placing Pipelines into Service**

Add the following sentences:

Obtain approval from PWC prior to placing a new water line into service. Use backflow prevention assemblies for temporary connections to isolate new water lines from existing water line. A representative from PWC shall witness all tests performed on their water and sewer facilities.

**Page 15-4, Sub-article 1505-3(E), Thrust Restraint, third paragraph**

Replace the paragraph with:

Use joint restraint methods, such as integral restraining bells and spigots, restraining retainer glands, or restraining gaskets. Use factory restraint for all pipes larger than 12". Use concrete reaction backing and thrust collars only where joint restraint is impractical with the approval of the Engineer.

PROJECT SPECIAL PROVISIONS

## Utility Construction

**Page 15-4, Sub-article 1505-3(E), Thrust Restraint**

Add the following:

Use at least the minimum length of restraint in each direction of thrust in linear feet according to the following table:

| Size of Pipe<br>(Inches) | Valves, Tees &<br>Dead Ends | 45° Bends | 22.5° Bends |
|--------------------------|-----------------------------|-----------|-------------|
| 12                       | 120 Feet                    | 40 Feet   | 20 Feet     |
| 16                       | 200 Feet                    | 60 Feet   | 60 Feet     |
| 24                       | 220 Feet                    | 60 Feet   | 80 Feet     |

**Page 15-6, Sub-article 1510-3(B), Testing and Sterilization, seventh paragraph**

Delete the words “may be performed concurrently or consecutively” and replace with “shall be performed consecutively.”

**Page 15-6, Sub-article 1510-3(B), Testing and Sterilization, third paragraph**

Replace the paragraph with:

Perform tests using clean water and provide certified results demonstrating no loss of pressure when pressurized at  $200 \pm 5$  psi for 2 hours.

**Page 15-7, Sub-article 1515-2 Materials**

Replace paragraph beginning “Double check valves...” with the following:

Double Check valves (DCV) and Reduced Pressure Zone principal (RPZ) backflow prevention assemblies shall be listed on the PWC list of approved backflow devices.

**Page 15-8, Sub-article 1515-3(B) Meters**

Add the following paragraphs:

Use Type K copper tubing for all one-inch water services installed or relocated. Use a continuous run, without splices, from the corporation stop to the meter for all water services installed or relocated.

**Page 15-11, Sub-article 1520-3(A)(2) Testing, line 5**

Replace the second paragraph with the following:

Test all gravity sewer lines for leakage using the air test. Perform line and grade testing and deflection testing on all gravity sewer lines.

PROJECT SPECIAL PROVISIONS

Utility Construction

**Page 15-11, Sub-article 1520-3(A)(2)(c) Air Test, line 30**

Replace the sentence beginning “Exceed” with:

The minimum test time in seconds for 8” gravity sewer is 454, for lengths less than 298 ft. For lengths greater than 298 ft, the minimum test time in seconds is  $454 + 1.52(L-298)$ , where L is the length of the line in ft. The minimum test time in seconds for 16” gravity sewer is 1020, for lengths less than 133 ft. For lengths greater than 133 ft, the minimum test time in seconds is  $454 + 7.692(L-133)$ , where L is the length of the line in ft.

**Page 15-16, Sub-article 1530-3(A) Abandoning Pipe**

Add the following paragraph:

Remove valves, or close valves and remove the top of the valve box to an elevation 2 feet below the roadway subgrade or finished grade, and backfill.

PROJECT SPECIAL PROVISIONS

## Utilities by Others

**General:**

The following utility companies have facilities that will be in conflict with the construction of this project:

- A) Fayetteville Public Works Commission (PWC) – Power Transmission
- B) Fayetteville Public Works Commission (PWC) – Power Distribution
- C) CenturyLink – Telephone
- D) Time Warner Cable – CATV

The conflicting facilities of these concerns will be adjusted prior to the date of availability, unless otherwise noted and are therefore listed in these special provisions for the benefit of the Contractor. All utility work listed herein will be done by the utility owners. All utilities are shown on the plans from the best available information.

The Contractor's attention is directed to Article 105-8 of the 2012 Standard Specifications.

**Utilities Requiring Adjustment:**

Utility relocations are shown on the Utilities by Others Plans.

**A) Fayetteville Public Works Commission (PWC) – Power Transmission**

- 1) Contact person is Tim Stankiewicz, Phone: (910) 223-4514
- 2) See Utilities by Others (UO) Plans for details.

**B) Fayetteville Public Works Commission (PWC) – Power Distribution**

- 1) Contact person is Tim Stankiewicz, Phone: (910) 223-4514
- 2) See Utilities by Others (UO) Plans for details.

**C) CenturyLink - Telephone**

- 1) Contact person is Doris Bunnells, Phone: (910) 678-0242
- 2) See Utilities by Others (UO) Plans for details.

PROJECT SPECIAL PROVISIONS

Utilities by Others

D) Time Warner Cable - CATV

- 1) Contact person is Thomas Roberts (Telics), Phone: (919) 920-7409 or Jack Crabb (TWC), Phone: (910) 401-5034
- 2) See Utilities by Others (UO) Plans for details.

**Project Special Provisions  
Erosion Control**

**STABILIZATION REQUIREMENTS:**

Stabilization for this project shall comply with the time frame guidelines as specified by the NCG-010000 general construction permit effective August 3, 2011 issued by the North Carolina Department of Environment and Natural Resources Division of Water Quality. Temporary or permanent ground cover stabilization shall occur within 7 calendar days from the last land-disturbing activity, with the following exceptions in which temporary or permanent ground cover shall be provided in 14 calendar days from the last land-disturbing activity:

- Slopes between 2:1 and 3:1, with a slope length of 10 ft. or less
- Slopes 3:1 or flatter, with a slope of length of 50 ft. or less
- Slopes 4:1 or flatter

The stabilization timeframe for High Quality Water (HQW) Zones shall be 7 calendar days with no exceptions for slope grades or lengths. High Quality Water Zones (HQW) Zones are defined by North Carolina Administrative Code 15A NCAC 04A.0105 (25). Temporary and permanent ground cover stabilization shall be achieved in accordance with the provisions in this contract and as directed.

**SEEDING AND MULCHING:**

**(East)**

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

All Roadway Areas

**March 1 - August 31**

50# Tall Fescue  
10# Centipede  
25# Bermudagrass (hulled)  
500# Fertilizer  
4000# Limestone

**September 1 - February 28**

50# Tall Fescue  
10# Centipede  
35# Bermudagrass (unhulled)  
500# Fertilizer  
4000# Limestone

Waste and Borrow Locations

**March 1 – August 31**

75# Tall Fescue  
25# Bermudagrass (hulled)  
500# Fertilizer  
4000# Limestone

**September 1 - February 28**

75# Tall Fescue  
35# Bermudagrass (unhulled)  
500# Fertilizer  
4000# Limestone

Note: 50# of Bahiagrass may be substituted for either Centipede or Bermudagrass only upon Engineer's request.

### Approved Tall Fescue Cultivars

|                            |                 |                 |                    |
|----------------------------|-----------------|-----------------|--------------------|
| 06 Dust                    | Escalade        | Justice         | Scorpion           |
| 2 <sup>nd</sup> Millennium | Essential       | Kalahari        | Serengeti          |
| 3 <sup>rd</sup> Millennium | Evergreen 2     | Kentucky 31*    | Shelby             |
| Apache III                 | Falcon IV       | Kitty Hawk 2000 | Sheridan           |
| Avenger                    | Falcon NG       | Legitimate      | Signia             |
| Barlexas                   | Falcon V        | Lexington       | Silver Hawk        |
| Barlexas II                | Faith           | LSD             | Sliverstar         |
| Bar Fa                     | Fat Cat         | Magellan        | Shenandoah Elite   |
| Barrera                    | Festnova        | Matador         | Sidewinder         |
| Barrington                 | Fidelity        | Millennium SRP  | Skyline            |
| Barrobusto                 | Finelawn Elite  | Monet           | Solara             |
| Barvado                    | Finelawn Xpress | Mustang 4       | Southern Choice II |
| Biltmore                   | Finesse II      | Ninja 2         | Speedway           |
| Bingo                      | Firebird        | Ol' Glory       | Spyder LS          |
| Bizem                      | Firecracker LS  | Olympic Gold    | Sunset Gold        |
| Blackwatch                 | Firenza         | Padre           | Taccoa             |
| Blade Runner II            | Five Point      | Patagonia       | Tanzania           |
| Bonsai                     | Focus           | Pedigree        | Trio               |
| Braveheart                 | Forte           | Picasso         | Tahoe II           |
| Bravo                      | Garrison        | Piedmont        | Talladega          |
| Bullseye                   | Gazelle II      | Plantation      | Tarheel            |
| Cannavaro                  | Gold Medallion  | Proseeds 5301   | Terrano            |
| Catalyst                   | Grande 3        | Prospect        | Titan ltd          |
| Cayenne                    | Greenbrooks     | Pure Gold       | Titanium LS        |
| Cessane Rz                 | Greenkeeper     | Quest           | Tracer             |
| Chipper                    | Gremlin         | Raptor II       | Traverse SRP       |
| Cochise IV                 | Greystone       | Rebel Exeda     | Tulsa Time         |
| Constitution               | Guardian 21     | Rebel Sentry    | Turbo              |
| Corgi                      | Guardian 41     | Rebel IV        | Turbo RZ           |
| Corona                     | Hemi            | Regiment II     | Tuxedo RZ          |
| Coyote                     | Honky Tonk      | Regenerate      | Ultimate           |
| Darlington                 | Hot Rod         | Rendition       | Venture            |
| Davinci                    | Hunter          | Rhambler 2 SRP  | Umbrella           |
| Desire                     | Inferno         | Rembrandt       | Van Gogh           |
| Dominion                   | Innovator       | Reunion         | Watchdog           |
| Dynamic                    | Integrity       | Riverside       | Wolfpack II        |
| Dynasty                    | Jaguar 3        | RNP             | Xtremegreen        |
| Endeavor                   | Jamboree        | Rocket          |                    |

**\*Note: Kentucky 31 will no longer be an approved NCDOT Tall Fescue Cultivar after December 31, 2015.**

On cut and fill slopes 2:1 or steeper Centipede shall be applied at the rate of 5 pounds per acre and add 20# of Sericea Lespedeza from January 1 - December 31.

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

**Native Grass Seeding and Mulching (East)**

Native Grass Seeding and Mulching shall be performed on the disturbed areas of wetlands and riparian areas, and adjacent to Stream Relocation construction within a 50 foot zone on both sides of the stream or depression, measured from top of stream bank or center of depression. The stream bank of the stream relocation shall be seeded by a method that does not alter the typical cross section of the stream bank. Native Grass Seeding and Mulching shall also be performed in the permanent soil reinforcement mat section of preformed scour holes, and in other areas as directed.

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

| <b>March 1 - August 31</b> |                     | <b>September 1 - February 28</b> |                     |
|----------------------------|---------------------|----------------------------------|---------------------|
| 18#                        | Creeping Red Fescue | 18#                              | Creeping Red Fescue |
| 6#                         | Indiangrass         | 6#                               | Indiangrass         |
| 8#                         | Little Bluestem     | 8#                               | Little Bluestem     |
| 4#                         | Switchgrass         | 4#                               | Switchgrass         |
| 25#                        | Browntop Millet     | 35#                              | Rye Grain           |
| 500#                       | Fertilizer          | 500#                             | Fertilizer          |
| 4000#                      | Limestone           | 4000#                            | Limestone           |

Approved Creeping Red Fescue Cultivars:

- |          |        |      |           |
|----------|--------|------|-----------|
| Aberdeen | Boreal | Epic | Cindy Lou |
|----------|--------|------|-----------|

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

Native Grass Seeding and Mulching shall be performed in accordance with Section 1660 of the *Standard Specifications* and vegetative cover sufficient to restrain erosion shall be installed immediately following grade establishment.

**Measurement and Payment**

Native Grass *Seeding and Mulching* will be measured and paid for in accordance with Article 1660-8 of the *Standard Specifications*.

All areas seeded and mulched shall be tacked with asphalt. Crimping of straw in lieu of asphalt tack shall not be allowed on this project.

**CRIMPING STRAW MULCH:**

Crimping shall be required on this project adjacent to any section of roadway where traffic is to be maintained or allowed during construction. In areas within six feet of the edge of pavement, straw is to be applied and then crimped. After the crimping operation is complete, an additional application of straw shall be applied and immediately tacked with a sufficient amount of undiluted emulsified asphalt.

Straw mulch shall be of sufficient length and quality to withstand the crimping operation.

Crimping equipment including power source shall be subject to the approval of the Engineer providing that maximum spacing of crimper blades shall not exceed 8".

**TEMPORARY SEEDING:**

Fertilizer shall be the same analysis as specified for *Seeding and Mulching* and applied at the rate of 400 pounds and seeded at the rate of 50 pounds per acre. Sweet Sudan Grass, German Millet or Browntop Millet shall be used in summer months and Rye Grain during the remainder of the year. The Engineer will determine the exact dates for using each kind of seed.

**FERTILIZER TOPDRESSING:**

Fertilizer used for topdressing on all roadway areas except slopes 2:1 and steeper shall be 10-20-20 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 10-20-20 analysis and as directed.

Fertilizer used for topdressing on slopes 2:1 and steeper and waste and borrow areas shall be 16-8-8 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 2-1-1 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 16-8-8 analysis and as directed.

**SUPPLEMENTAL SEEDING:**

The kinds of seed and proportions shall be the same as specified for *Seeding and Mulching*, with the exception that no centipede seed will be used in the seed mix for supplemental seeding. The rate of application for supplemental seeding may vary from 25# to 75# per acre. The actual rate per acre will be determined prior to the time of topdressing and the Contractor will be notified in

writing of the rate per acre, total quantity needed, and areas on which to apply the supplemental seed. Minimum tillage equipment, consisting of a sod seeder shall be used for incorporating seed into the soil as to prevent disturbance of existing vegetation. A clodbuster (ball and chain) may be used where degree of slope prevents the use of a sod seeder.

**MOWING:**

The minimum mowing height on this project shall be 4 inches.

**RESPONSE FOR EROSION CONTROL:****Description**

Furnish the labor, materials, tools and equipment necessary to move personnel, equipment, and supplies to the project necessary for the pursuit of any or all of the following work as shown herein, by an approved subcontractor.

| <b>Section</b> | <b>Erosion Control Item</b>      | <b>Unit</b> |
|----------------|----------------------------------|-------------|
| 1605           | Temporary Silt Fence             | LF          |
| 1606           | Special Sediment Control Fence   | LF/TON      |
| 1615           | Temporary Mulching               | ACR         |
| 1620           | Seed - Temporary Seeding         | LB          |
| 1620           | Fertilizer - Temporary Seeding   | TN          |
| 1631           | Matting for Erosion Control      | SY          |
| SP             | Coir Fiber Mat                   | SY          |
| 1640           | Coir Fiber Baffles               | LF          |
| SP             | Permanent Soil Reinforcement Mat | SY          |
| 1660           | Seeding and Mulching             | ACR         |
| 1661           | Seed - Repair Seeding            | LB          |
| 1661           | Fertilizer - Repair Seeding      | TON         |
| 1662           | Seed - Supplemental Seeding      | LB          |
| 1665           | Fertilizer Topdressing           | TON         |
| SP             | Safety/Highly Visible Fencing    | LF          |
| SP             | Response for Erosion Control     | EA          |

### **Construction Methods**

Provide an approved subcontractor who performs an erosion control action as described in the NPDES Inspection Form SPPP30. Each erosion control action may include one or more of the above work items.

### **Measurement and Payment**

*Response for Erosion Control* will be measured and paid for by counting the actual number of times the subcontractor moves onto the project, including borrow and waste sites, and satisfactorily completes an erosion control action described in Form 1675. The provisions of Article 104-5 of the *Standard Specifications* will not apply to this item of work.

Payment will be made under:

| <b>Pay Item</b>              | <b>Pay Unit</b> |
|------------------------------|-----------------|
| Response for Erosion Control | Each            |

### **MINIMIZE REMOVAL OF VEGETATION:**

The Contractor shall minimize removal of vegetation within project limits to the maximum extent practicable. Vegetation along stream banks and adjacent to other jurisdictional resources outside the construction limits shall only be removed upon approval of Engineer. No additional payment will be made for this minimization work.

### **STOCKPILE AREAS:**

The Contractor shall install and maintain erosion control devices sufficient to contain sediment around any erodible material stockpile areas as directed.

### **ACCESS AND HAUL ROADS:**

At the end of each working day, the Contractor shall install or re-establish temporary diversions or earth berms across access/haul roads to direct runoff into sediment devices. Silt fence sections that are temporarily removed shall be reinstalled across access/haul roads at the end of each working day.

### **WASTE AND BORROW SOURCES:**

Payment for temporary erosion control measures, except those made necessary by the Contractor's own negligence or for his own convenience, will be paid for at the appropriate contract unit price for the devices or measures utilized in borrow sources and waste areas.

No additional payment will be made for erosion control devices or permanent seeding and mulching in any commercial borrow or waste pit. All erosion and sediment control practices that may be required on a commercial borrow or waste site will be done at the Contractor's expense.

All offsite Staging Areas, Borrow and Waste sites shall be in accordance with “Borrow and Waste Site Reclamation Procedures for Contracted Projects” located at:

[http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/fieldops/downloads/Files/ContractedReclamationProcedures.pdf](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/fieldops/downloads/Files/ContractedReclamationProcedures.pdf)

All forms and documents referenced in the “Borrow and Waste Site Reclamation Procedures for Contracted Projects” shall be included with the reclamation plans for offsite staging areas, and borrow and waste sites.

### **CLEAN WATER DIVERSION:**

#### **Description**

This work consists of installing, maintaining, and removing any and all material required for the construction of clean water diversions. The clean water diversions shall be used to direct water flowing from offsite around/away from specific area(s) of construction.

#### **Materials**

Refer to Division 10

| <b>Item</b>                               | <b>Section</b> |
|---|----------------|
| Geotextile for Soil Stabilization, Type 4 | 1056           |

#### **Construction Methods**

The Contractor shall install the clean water diversions in accordance with the details in the plans and at locations indicated in the plans, and as directed. Upon installation, the excavated material shall be immediately stabilized as provided in Section 1620 of the *Standard Specifications*. Other stabilization methods may be utilized with prior approval from the Engineer.

Line clean water diversion with geotextile unrolled in the direction of flow and lay smoothly but loosely on soil surface without creases. Bury top of slope geotextile edge in a trench at least 5" deep and tamp securely. Make vertical overlaps a minimum of 18" with upstream geotextile overlapping the downstream geotextile.

Secure geotextile with eleven gauge wire staples shaped into a *u* shape with a length of not less than 6" and a throat not less than 1" in width. Place staples along outer edges and throughout the geotextile a maximum of 3 ft. horizontally and vertically.

#### **Measurement and Payment**

*Silt Excavation* will be measured and paid for in accordance with Article 1630-4 of the *Standard Specifications*.

*Geotextile for Soil Stabilization* will be measured and paid for in accordance with Article 270-4 of the *Standard Specifications*.

Stabilization of the excavated material will be paid for as *Temporary Seeding* as provided in Section 1620 of the *Standard Specifications*.

Such price and payment shall be considered full compensation for all work covered by this section including all materials, construction, maintenance, and removal of the clean water diversions.

### **SAFETY FENCE AND JURISDICTIONAL FLAGGING:**

#### **Description**

*Safety Fence* shall consist of furnishing materials, installing and maintaining polyethylene or polypropylene fence along the outside riparian buffer, wetland, or water boundary, or other boundaries located within the construction corridor to mark the areas that have been approved to infringe within the buffer, wetland, endangered vegetation, culturally sensitive areas or water. The fence shall be installed prior to any land disturbing activities.

Interior boundaries for jurisdictional areas noted above shall be delineated by stakes and highly visible flagging.

Jurisdictional boundaries at staging areas, waste sites, or borrow pits, whether considered outside or interior boundaries shall be delineated by stakes and highly visible flagging.

#### **Materials**

##### **(A) Safety Fencing**

Polyethylene or polypropylene fence shall be a highly visible preconstructed safety fence approved by the Engineer. The fence material shall have an ultraviolet coating.

Either wood posts or steel posts may be used. Wood posts shall be hardwood with a wedge or pencil tip at one end, and shall be at least 5 ft. in length with a minimum nominal 2" x 2" cross section. Steel posts shall be at least 5 ft. in length, and have a minimum weight of 0.85 lb/ft of length.

##### **(B) Boundary Flagging**

Wooden stakes shall be 4 feet in length with a minimum nominal 3/4" x 1-3/4" cross section. The flagging shall be at least 1" in width. The flagging material shall be vinyl and shall be orange in color and highly visible.

## Construction Methods

No additional clearing and grubbing is anticipated for the installation of this fence. The fence shall be erected to conform to the general contour of the ground.

### (A) Safety Fencing

Posts shall be set at a maximum spacing of 10 ft., maintained in a vertical position and hand set or set with a post driver. Posts shall be installed a minimum of 2 ft. into the ground. If hand set, all backfill material shall be thoroughly tamped. Wood posts may be sharpened to a dull point if power driven. Posts damaged by power driving shall be removed and replaced prior to final acceptance. The tops of all wood posts shall be cut at a 30-degree angle. The wood posts may, at the option of the Contractor, be cut at this angle either before or after the posts are erected.

The fence geotextile shall be attached to the wood posts with one 2" galvanized wire staple across each cable or to the steel posts with wire or other acceptable means.

Place construction stakes to establish the location of the safety fence in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for the staking of the safety fence. All stakeouts for safety fence shall be considered incidental to the work being paid for as "Construction Surveying", except that where there is no pay item for construction surveying, all safety fence stakeout will be performed by state forces.

The Contractor shall be required to maintain the safety fence in a satisfactory condition for the duration of the project as determined by the Engineer.

### (B) Boundary Flagging

Boundary flagging delineation of interior boundaries shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6" into the ground. Interior boundaries may be staked on a tangent that runs parallel to buffer but must not encroach on the buffer at any location. Interior boundaries of hand clearing shall be identified with a different colored flagging to distinguish it from mechanized clearing.

Boundary flagging delineation of interior boundaries will be placed in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for delineation of the interior boundaries. This delineation will be considered incidental to the work being paid for as *Construction Surveying*, except that where there is no pay item or construction surveying the cost of boundary flagging delineation shall be included in the unit prices bid for the various items in the contract. Installation for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6" into the ground. Additional flagging may be placed on overhanging vegetation to enhance visibility but does not substitute for installation of stakes.

Installation of boundary flagging for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall be performed in accordance with Subarticle 230-4(B)(5) or Subarticle 802-2(F) of the *Standard Specifications*. No direct pay will be made for this delineation, as the cost of same shall be included in the unit prices bid for the various items in the contract.

The Contractor shall be required to maintain alternative stakes and highly visible flagging in a satisfactory condition for the duration of the project as determined by the Engineer.

### Measurement and Payment

*Safety Fence* will be measured and paid as the actual number of linear feet of polyethylene or polypropylene fence installed in place and accepted. Such payment will be full compensation including but not limited to furnishing and installing fence geotextile with necessary posts and post bracing, staples, tie wires, tools, equipment and incidentals necessary to complete this work.

Payment will be made under:

| <b>Pay Item</b> | <b>Pay Unit</b> |
|-----------------|-----------------|
| Safety Fence    | Linear Foot     |

### **PERMANENT SOIL REINFORCEMENT MAT:**

#### **Description**

This work consists of furnishing and placing *Permanent Soil Reinforcement Mat*, of the type specified, over previously prepared areas as directed.

#### **Materials**

The product shall be a permanent erosion control reinforcement mat and shall be constructed of synthetic or a combination of coconut and synthetic fibers evenly distributed throughout the mat between a bottom UV stabilized netting and a heavy duty UV stabilized top net. The matting shall be stitched together with UV stabilized polypropylene thread to form a permanent three-dimensional structure. The mat shall have the following minimum physical properties:

| <b>Property</b>           | <b>Test Method</b> | <b>Value</b> | <b>Unit</b>        |
|---------------------------|--------------------|--------------|--------------------|
| Light Penetration         | ASTM D6567         | 9            | %                  |
| Thickness                 | ASTM D6525         | 0.40         | in                 |
| Mass Per Unit Area        | ASTM D6566         | 0.55         | lb/sy              |
| Tensile Strength          | ASTM D6818         | 385          | lb/ft              |
| Elongation (Maximum)      | ASTM D6818         | 49           | %                  |
| Resiliency                | ASTM D1777         | >70          | %                  |
| UV Stability *            | ASTM D4355         | ≥80          | %                  |
| Porosity (Permanent Net)  | ECTC Guidelines    | ≥85          | %                  |
| Maximum Permissible Shear | Performance Bench  | ≥8.0         | lb/ft <sup>2</sup> |

|   |                           |            |
|---|---------------------------|------------|
| Stress (Vegetated)                        | Test                      |            |
| Maximum Allowable Velocity<br>(Vegetated) | Performance Bench<br>Test | ≥16.0 ft/s |

\*ASTM D1682 Tensile Strength and % strength retention of material after 1000 hours of exposure.

Submit a certification (Type 1, 2, or 3) from the manufacturer showing:

- (A) the chemical and physical properties of the mat used, and
- (B) conformance of the mat with this specification.

### **Construction Methods**

Matting shall be installed in accordance with Subarticle 1631-3(B) of the *Standard Specifications*.

All areas to be protected with the mat shall be brought to final grade and seeded in accordance with Section 1660 of the *Standard Specifications*. The surface of the soil shall be smooth, firm, stable and free of rocks, clods, roots or other obstructions that would prevent the mat from lying in direct contact with the soil surface. Areas where the mat is to be placed will not need to be mulched.

### **Measurement and Payment**

*Permanent Soil Reinforcement Mat* will be measured and paid for as the actual number of square yards measured along the surface of the ground over which Permanent Soil Reinforcement Mat is installed and accepted. Overlaps will not be included in the measurement, and will be considered as incidental to the work. Such payment shall be full compensation for furnishing and installing the mat, including overlaps, and for all required maintenance.

Payment will be made under:

| <b>Pay Item</b>                  | <b>Pay Unit</b> |
|----------------------------------|-----------------|
| Permanent Soil Reinforcement Mat | Square Yard     |

### **WATTLE:**

#### **Description**

Wattles are tubular products consisting of excelsior fibers encased in synthetic netting. Wattles are used on slopes or channels to intercept runoff and act as a velocity break. Wattles are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of wattles, matting installation, and removing wattles.

## Materials

Wattle shall meet the following specifications:

|                                     |                                  |
|-------------------------------------|----------------------------------|
| 100% Curled Wood (Excelsior) Fibers |                                  |
| Minimum Diameter                    | 12 in.                           |
| Minimum Density                     | 2.5 lb/ft <sup>3</sup> +/- 10%   |
| Net Material                        | Synthetic                        |
| Net Openings                        | 1 in. x 1 in.                    |
| Net Configuration                   | Totally Encased                  |
| Minimum Weight                      | 20 lb. +/- 10% per 10 ft. length |

Anchors: Stakes shall be used as anchors.

### Wooden Stakes:

Provide hardwood stakes a minimum of 2-ft. long with a 2 in. x 2 in. nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving down into the underlying soil.

Matting shall meet the requirements of Article 1060-8 of the *Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

## Construction Methods

Wattles shall be secured to the soil by wire staples approximately every 1 linear foot and at the end of each section of wattle. A minimum of 4 stakes shall be installed on the downstream side of the wattle with a maximum spacing of 2 linear feet along the wattle, and according to the detail. Install a minimum of 2 stakes on the upstream side of the wattle according to the detail provided in the plans. Stakes shall be driven into the ground a minimum of 10 in. with no more than 2 in. projecting from the top of the wattle. Drive stakes at an angle according to the detail provided in the plans.

Only install wattle(s) to a height in ditch so flow will not wash around wattle and scour ditch slopes and according to the detail provided in the plans and as directed. Overlap adjoining sections of wattles a minimum of 6 in.

Installation of matting shall be in accordance with the detail provided in the plans, and in accordance with Article 1631-3 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

The Contractor shall maintain the wattles until the project is accepted or until the wattles are removed, and shall remove and dispose of silt accumulations at the wattles when so directed in accordance with the requirements of Section 1630 of the *Standard Specifications*.

### Measurement and Payment

*Wattle* will be measured and paid for by the actual number of linear feet of wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Wattle*.

Matting will be measured and paid for in accordance with Article 1631-4 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Payment will be made under:

| <b>Pay Item</b> | <b>Pay Unit</b> |
|-----------------|-----------------|
| Wattle          | Linear Foot     |

### **COIR FIBER WATTLES WITH POLYACRYLAMIDE (PAM):**

#### **Description**

Coir Fiber Wattles are tubular products consisting of coir fibers (coconut fibers) encased in coir fiber netting. Coir Fiber Wattles are used on slopes or channels to intercept runoff and act as a velocity break. Coir Fiber Wattles are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of coir fiber wattles, matting installation, PAM application, and removing wattles.

#### **Materials**

Coir Fiber Wattle shall meet the following specifications:

|                            |                                |
|----------------------------|--------------------------------|
| 100% Coir (Coconut) Fibers |                                |
| Minimum Diameter           | 12 in.                         |
| Minimum Density            | 3.5 lb/ft <sup>3</sup> +/- 10% |
| Net Material               | Coir Fiber                     |
| Net Openings               | 2 in. x 2 in.                  |
| Net Strength               | 90 lbs.                        |
| Minimum Weight             | 2.6 lbs./ft. +/- 10%           |

Anchors: Stakes shall be used as anchors.

**Wooden Stakes:**

Provide hardwood stakes a minimum of 2-ft. long with a 2 in. x 2 in. nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving down into the underlying soil.

Matting shall meet the requirements of Article 1060-8 of the *Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

Polyacrylamide (PAM) shall be applied in powder form and shall be anionic or neutrally charged. Soil samples shall be obtained in areas where the wattles will be placed, and from offsite material used to construct the roadway, and analyzed for the appropriate PAM flocculant to be utilized with each wattle. The PAM product used shall be listed on the North Carolina Department of Environment and Natural Resources (NCDENR) Division of Water Quality (DWQ) web site as an approved PAM product for use in North Carolina.

**Construction Methods**

Coir Fiber Wattles shall be secured to the soil by wire staples approximately every 1 linear foot and at the end of each section of wattle. A minimum of 4 stakes shall be installed on the downstream side of the wattle with a maximum spacing of 2 linear feet along the wattle, and according to the detail. Install a minimum of 2 stakes on the upstream side of the wattle according to the detail provided in the plans. Stakes shall be driven into the ground a minimum of 10 in. with no more than 2 in. projecting from the top of the wattle. Drive stakes at an angle according to the detail provided in the plans.

Only install coir fiber wattle(s) to a height in ditch so flow will not wash around wattle and scour ditch slopes and according to the detail provided in the plans and as directed. Overlap adjoining sections of wattles a minimum of 6 in.

Installation of matting shall be in accordance with the detail provided in the plans, and in accordance with Article 1631-3 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Apply PAM over the lower center portion of the coir fiber wattle where the water is going to flow over at a rate of 2 ounces per wattle, and 1 ounce of PAM on matting on each side of the wattle. PAM applications shall be done during construction activities after every rainfall event that is equal to or exceeds 0.50 in.

The Contractor shall maintain the coir fiber wattles until the project is accepted or until the wattles are removed, and shall remove and dispose of silt accumulations at the wattles when so directed in accordance with the requirements of Section 1630 of the *Standard Specifications*.

**Measurement and Payment**

*Coir Fiber Wattles* will be measured and paid for by the actual number of linear feet of wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Coir Fiber Wattles*.

Matting will be measured and paid for in accordance with Article 1631-4 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

*Polyacrylamide(PAM)* will be measured and paid for by the actual weight in pounds of PAM applied to the coir fiber wattles. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to apply the *Polyacrylamide(PAM)*.

Payment will be made under:

| <b>Pay Item</b>     | <b>Pay Unit</b> |
|---------------------|-----------------|
| Polyacrylamide(PAM) | Pound           |
| Coir Fiber Wattle   | Linear Foot     |

**SILT FENCE COIR FIBER WATTLE BREAK:**  
(8-21-12) 1605,1630

**Description**

Silt fence coir fiber wattle breaks are tubular products consisting of coir fibers (coconut fibers) encased in coir fiber netting and used in conjunction with temporary silt fence at the toe of fills to intercept runoff. Silt fence coir fiber wattle breaks are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation, maintenance and removing Silt fence coir fiber wattle breaks.

**Materials**

Coir fiber wattle shall meet the following specifications:

|                            |                 |
|----------------------------|-----------------|
| 100% Coir (Coconut) Fibers |                 |
| Minimum Diameter           | 12"             |
| Minimum Length             | 10 ft           |
| Minimum Density            | 3.5 lb/cf ± 10% |
| Net Material               | Coir Fiber      |
| Net Openings               | 2" x 2"         |
| Net Strength               | 90 lb.          |
| Minimum Weight             | 2.6 lb/ft ± 10% |

Stakes shall be used as anchors. Provide hardwood stakes a minimum of 2-ft long with a 2" x 2" nominal square cross section. One end of the stake shall be sharpened or beveled to facilitate driving down into the underlying soil.

Provide staples made of 0.125" diameter new steel wire formed into a U-shape not less than 12" in length with a throat of 1" in width.

### **Construction Methods**

Excavate a trench the entire length of each wattle with a depth of 1" to 2" for the wattle to be placed. Secure silt fence coir fiber wattle breaks to the soil by wire staples approximately every linear foot and at the end of each wattle. Install at least 4 stakes on the downslope side of the wattle with a maximum spacing of 2 linear feet and according to the detail. Install at least 2 stakes on the upslope side of the silt fence coir fiber wattle break according to the detail provided in the plans. Drive stakes into the ground at least 10" with no more than 2" projecting from the top of the wattle. Drive stakes at an angle according to the detail provided in the plans.

Install temporary silt fence in accordance with Section 1605 of the *2012 Standard Specifications* and overlap each downslope side of silt fence wattle break by 6".

Maintain the silt fence coir fiber wattle breaks until the project is accepted or until the silt fence coir fiber wattle breaks are removed, and remove and dispose of silt accumulations at the silt fence coir fiber wattle breaks when so directed in accordance with Section 1630 of the *2012 Standard Specifications*.

### **Measurement and Payment**

*Coir Fiber Wattle* will be measured and paid as the actual number of linear feet of wattles installed and accepted. Such price and payment will be full compensation for all work covered by this provision, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the silt fence coir fiber wattle break.

Payment will be made under:

| <b>Pay Item</b>   | <b>Pay Unit</b> |
|-------------------|-----------------|
| Coir Fiber Wattle | Linear Foot     |

### **TEMPORARY ROCK SILT CHECK TYPE A WITH EXCELSIOR MATTING AND POLYACRYLAMIDE (PAM):**

#### **Description**

Temporary Rock Silt Checks Type A with Excelsior Matting and Polyacrylamide (PAM) are devices utilized in temporary and permanent ditches to reduce runoff velocity and incorporate PAM into the construction runoff to increase settling of sediment particles and reduce turbidity of runoff. Temporary Rock Silt Checks Type A with Excelsior Matting and PAM are to be

placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of Temporary Rock Silt Checks Type A, matting installation, PAM application, and removing Temporary Rock Silt Checks Type A with Excelsior Matting and PAM.

### **Materials**

Structural stone shall be class B stone that meets the requirements of Section 1042 of the *Standard Specifications* for Stone for Erosion Control, Class B.

Sediment control stone shall be #5 or #57 stone, which meets the requirements of Section 1005 of the *Standard Specifications* for these stone sizes.

Matting shall meet the requirements of Excelsior Matting in Subarticle 1060-8(B) of the *Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Polyacrylamide (PAM) shall be applied in powder form and shall be anionic or neutrally charged. Soil samples shall be obtained in areas where the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM will be placed, and from offsite material used to construct the roadway, and analyzed for the appropriate PAM flocculant to be utilized with each Temporary Rock Silt Check Type A. The PAM product used shall be listed on the North Carolina Department of Environment and Natural Resources (NCDENR) Division of Water Quality (DWQ) web site as an approved PAM product for use in North Carolina.

### **Construction Methods**

Temporary Rock Silt Checks Type A shall be installed in accordance with Subarticle 1633-3(A) of the *Standard Specifications*, Roadway Standard Drawing No. 1633.01 and the detail provided in the plans.

Installation of matting shall be in accordance with the detail provided in the plans, and anchored by placing Class B stone on top of the matting at the upper and lower ends.

Apply PAM at a rate of 4 ounces over the center portion of the Temporary Rock Silt Checks Type A and matting where the water is going to flow over. PAM applications shall be done during construction activities and after every rainfall event that is equal to or exceeds 0.50 in.

The Contractor shall maintain the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM until the project is accepted or until the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM are removed, and shall remove and dispose of silt accumulations at the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM when so directed in accordance with the requirements of Section 1630 of the *Standard Specifications*.

**Measurement and Payment**

*Temporary Rock Silt Checks Type A* will be measured and paid for in accordance with Article 1633-5 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Matting will be measured and paid for in accordance with Article 1631-4 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

*Polyacrylamide(PAM)* will be measured and paid for by the actual weight in pounds of PAM applied to the Temporary Rock Silt Checks Type A. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to apply the *Polyacrylamide(PAM)*.

Payment will be made under:

| <b>Pay Item</b>     | <b>Pay Unit</b> |
|---------------------|-----------------|
| Polyacrylamide(PAM) | Pound           |

**COIR FIBER MAT:****Description**

Furnish material, install and maintain coir fiber mat in locations shown on the plans or in locations as directed. Work includes providing all materials, excavating and backfilling, and placing and securing coir fiber mat with stakes, steel reinforcement bars or staples as directed.

**Materials**

| <b>Item</b>    | <b>Section</b> |
|----------------|----------------|
| Coir Fiber Mat | 1060-14        |

Anchors: Stakes, reinforcement bars, or staples shall be used as anchors.

**Wooden Stakes:**

Provide hardwood stakes 12"- 24" long with a 2" x 2" nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving through the coir fiber mat and down into the underlying soil. The other end of the stake needs to have a 1"- 2" long head at the top with a 1"- 2" notch following to catch and secure the coir fiber mat.

**Steel Reinforcement Bars:**

Provide uncoated #10 steel reinforcement bars 24" nominal length. The bars shall have a 4" diameter bend at one end with a 4" straight section at the tip to catch and secure the coir fiber mat.

**Staples:**

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

**Construction Methods**

Place the coir fiber mat immediately upon final grading. Provide a smooth soil surface free from stones, clods, or debris that will prevent the contact of the mat with the soil. Unroll the mat and apply without stretching such that it will lie smoothly but loosely on the soil surface.

For stream relocation applications, take care to preserve the required line, grade, and cross section of the area covered. Bury the top slope end of each piece of mat in a narrow trench at least 6 in. deep and tamp firmly. Where one roll of matting ends and a second roll begins, overlap the end of the upper roll over the buried end of the second roll so there is a 6 in. overlap. Construct check trenches at least 12 in. deep every 50 ft. longitudinally along the edges of the mat or as directed. Fold over and bury mat to the full depth of the trench, close and tamp firmly. Overlap mat at least 6 in. where 2 or more widths of mat are installed side by side. Place anchors across the mat at the ends approximately 1 ft. apart. Place anchors along the outer edges and down the center of the mat 3 ft. apart.

Adjustments in the trenching or anchoring requirements to fit individual site conditions may be required.

**Measurement and Payment**

*Coir Fiber Mat* will be measured and paid for as the actual number of square yards measured along the surface of the ground over which coir fiber mat is installed and accepted.

No measurement will be made for anchor items.

Payment will be made under:

| <b>Pay Item</b> | <b>Pay Unit</b> |
|-----------------|-----------------|
| Coir Fiber Mat  | Square Yard     |

**CONCRETE WASHOUT STRUCTURE:****Description**

Concrete washout structures are watertight enclosures constructed above or below grade to contain concrete waste on construction sites. Concrete waste can include concrete waste water from washing out ready-mix trucks, drums, pumps, or other equipment. Concrete waste also includes concrete slurries from concrete saw cutting, coring, grinding, grooving operations, or hydro-concrete demolition. Concrete washouts must prevent the discharge of concrete waste materials to storm drainage systems, surface waters, wetlands, and buffers. Work for above

grade washout structures includes gathering high cohesive and low infiltration soil to construct an above grade earthen berm basin. Work also includes preparing a rock and debris free soil base inside this earthen basin, installing a geomembrane liner in the basin, and then placing sandbags along the entire polypropylene liner basin perimeter. Work for below grade washout structures includes preparing a rock and debris free soil base, excavation of a basin with non-vertical side slopes, installing a geomembrane liner in the basin, and then placing sandbags along the entire polypropylene liner excavation perimeter. Construct a gravel pad with Class A stone and a geotextile under liner to provide a defined access path to the concrete washout structures. Install safety fence around the perimeter of the concrete washout structures.

## Materials

| Item                               | Section |
|------------------------------------|---------|
| Borrow Material                    | 1018    |
| Stone for Erosion Control, Class A | 1042    |
| Geotextile for Drainage, Type 2    | 1056    |

The geomembrane basin liner shall meet the following minimum physical properties for low permeability, polypropylene or polyethylene geomembranes:

| Property                      | Test Method              | Value | Unit                        |
|-------------------------------|--------------------------|-------|-----------------------------|
| Thickness, nominal            |                          | 10    | mil                         |
| Weight                        |                          | 0.04  | lbs./ft <sup>2</sup>        |
| *1" Tensile Strength          | ASTM D-751               | 52    | lbf.                        |
| Elongation at Break           | ASTM D-751               | 600   | %                           |
| *Grab Tensile                 | ASTM D-751               | 70    | lbf.                        |
| *Trapezoid Tear               | ASTM D-4533              | 55    | lbf.                        |
| Hydrostatic Resistance        | ASTM D-751               | 70    | lb./in <sup>2</sup>         |
| Water Vapor Transmission Rate | ASTM E-96<br>Procedure B | 0.03  | gal/100in <sup>2</sup> /day |
| Perm Rating                   | ASTM E-96<br>Procedure B | 0.066 | U.S. Perms                  |

**\*Tests are an average of diagonal directions.**

*Safety Fence* shall meet the specifications as provided elsewhere in this contract.

## Construction Methods

### Above Grade Structures

Assemble high cohesive and low infiltration soil to build an enclosed earthen berm for an above grade concrete washout basin in accordance with the details and as directed. Construct the height, length, and width of the earthen berm according to the detail. Slope the interior and exterior walls of the earthen berm at 1:1 and then compact to provide structural stability and contain concrete washout liquids and solid materials until evaporation, curing, extraction, or final removal.

The geomembrane liner will be of sufficient width and length so there will be no seams. Install the geomembrane lining by overlaying it in the basin to completely cover any exposed soil to create a water tight concrete washout basin. Extend the geomembrane lining from inside the basin floor, up the earth slope of the basin and extend, overlay, and wrap outside the earthen berm. Trench the toe of the geomembrane lining into an eight inch depth trench and then backfill and tamper with soil.

### **Below Grade Structures**

Excavate an area for concrete washout in accordance with the details and as directed. Excavate to a minimum depth of 3 feet. Slope the interior walls of the excavated area at 1:1 and then compact to provide structural stability and contain concrete washout liquids and solid materials until evaporation, curing, extraction, or final removal.

The geomembrane liner will be of sufficient width and length so there will be no seams. Install the geomembrane lining by overlaying it in the excavated area to completely cover any exposed soil to create a watertight impoundment. Extend the geomembrane lining from the excavation floor, up the interior slope of the excavated basin and beyond the outside perimeter of the excavation.

Prepare the soil base to be free of rocks or other debris that may cause holes or tears in the geomembrane lining.

Install safety fence around the perimeter of the concrete washout structures in accordance with the *Safety Fence and Jurisdictional Flagging* special provision.

Construct a stone gravel pad with Class A stone (or other approved aggregate material) and a geotextile liner to provide a defined access path to the concrete washout structure. Construct the stone gravel pad according to *Roadway Standard Drawings* No. 1607.01 and Section 1607 of the *Standard Specifications*. Post a sign with the words "Concrete Washout" in close proximity of the concrete washout area, so it is clearly visible to site personnel.

The construction details for the above grade and below grade concrete washout structures can be found on the following web page link:

[http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/soil\\_water/details/](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/soil_water/details/)

### **Maintenance and Removal**

Maintain the concrete washout structure(s) to provide adequate holding capacity plus a minimum freeboard of 12 inches. Remove and dispose of hardened concrete and return the structure to a functional condition after reaching 75% capacity.

Inspect concrete washout structures for damage (i.e. tears in geomembrane liner, missing sand bags) and maintain for effectiveness.

Remove the concrete washout structures and sign upon project completion. If appropriate and possible, reuse the geomembrane liner, the sandbags, orange safety fence, the Class A stone, and the geotextile. Otherwise, properly dispose of items. Grade the earth material to match the existing contours and permanently seed and mulch area.

### Measurement and Payment

*Concrete Washout Structure* will be measured and paid for by counting the actual number of washout structures installed and maintained on the project. Such price and payment will be full compensation for all work including but not limited to furnishing materials, construction, maintenance and removal of concrete washout structures, grading and seeding and mulching area. The provisions of Article 104-5 of the *Standard Specifications* will not apply to this item of work.

Payment will be made under:

| <b>Pay Item</b>            | <b>Pay Unit</b> |
|----------------------------|-----------------|
| Concrete Washout Structure | Each            |

### **FABRIC INSERT INLET PROTECTION DEVICE (HIGH FLOW)**

#### **Description**

This work shall consist of installing, maintaining, and removing *Fabric Inlet Protection Device*, of the type specified, in inlet structures (catch basins, drop inlets, etc) in areas where asphalt or concrete may prevent the proper installation of a Rock Inlet Sediment Traps Type C, or as directed.

#### **Materials**

The product shall be a fabric inlet protection device composed of a fitted woven polypropylene geotextile double sewn with nylon thread. The *Fabric Inlet Protection Device* shall be manufactured to fit the opening of the catch basin or drop inlet and will have two dump straps attached at the bottom to facilitate the emptying of the device and shall have lifting loops for lifting the device from the basin. The *Fabric Inlet Protection Device* shall have a restraint cord approximately halfway up the bag to keep the sides away from the catch basin walls.

The stitching shall meet the following physical properties:

| <b>Physical</b>             | <b>Test Method</b> | <b>English</b> |
|-----------------------------|--------------------|----------------|
| Average Wide Width Strength | ASTM D-4884        | 165 lb/in      |

The fitted filter assembly shall have the following physical properties:

| <b>Physical</b> | <b>Test Method</b> | <b>English</b> |
|-----------------|--------------------|----------------|
| Grab Tensile    | ASTM D-4632        | 255 x 275 lbs  |

|                           |             |                             |
|---------------------------|-------------|-----------------------------|
| Minimum Puncture Strength | ASTM D-4833 | 125 lbs                     |
| Mullen Burst              | ASTM D-3786 | 420 PSI                     |
| Minimum UV Resistance     | ASTM D-4355 | 70 %.                       |
| Flow Rate                 | ASTM D-4491 | 200 gal/min/ft <sup>2</sup> |
| Apparent Opening          | ASTM D-4751 | 20 US Sieve                 |
| Permittivity              | ASTM D-4491 | 1.5 sec <sup>-1</sup>       |

### Construction Methods

Strictly comply with manufacturer's installation instructions and recommendations. Maintenance shall include regular daily inspections and after each qualifying rain event. The *Fabric Insert Inlet Protection Device* shall be emptied, cleaned and placed back into the basin when it reaches 50% capacity or as directed.

### Measurement and Payment

This work will be paid for at the contract unit price per *Fabric Insert Inlet Protection Device* of the type specified, complete in place and accepted. Such payment shall be full compensation for furnishing and installing the *Fabric Insert Inlet Protection Device* in accordance with this specification and for all required maintenance.

Maintenance of the device, cleanout and disposal of accumulated sediments shall be paid for by *Fabric Insert Inlet Protection Device Cleanout*.

Payment will be made under:

| Pay Item                                       | Pay Unit |
|--|----------|
| Fabric Insert Inlet Protection Device          | Each     |
| Fabric Insert Inlet Protection Device Cleanout | Each     |

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| Line #               | Item Number  | Sec # | Description  | Quantity     | Unit Cost | Amount |
|----------------------|--------------|-------|--|--------------|-----------|--------|
| <b>ROADWAY ITEMS</b> |              |       |  |              |           |        |
| 0001                 | 0000100000-N | 800   | MOBILIZATION                                       | Lump Sum     | L.S.      |        |
| 0002                 | 0000400000-N | 801   | CONSTRUCTION SURVEYING                             | Lump Sum     | L.S.      |        |
| 0003                 | 0043000000-N | 226   | GRADING  | Lump Sum     | L.S.      |        |
| 0004                 | 0050000000-E | 226   | SUPPLEMENTARY CLEARING & GRUB-BING                 | 1<br>ACR     |           |        |
| 0005                 | 0057000000-E | 226   | UNDERCUT EXCAVATION                                | 250<br>CY    |           |        |
| 0006                 | 0134000000-E | 240   | DRAINAGE DITCH EXCAVATION                          | 5,020<br>CY  |           |        |
| 0007                 | 0195000000-E | 265   | SELECT GRANULAR MATERIAL                           | 250<br>CY    |           |        |
| 0008                 | 0196000000-E | 270   | GEOTEXTILE FOR SOIL STABILIZATION                  | 2,650<br>SY  |           |        |
| 0009                 | 0318000000-E | 300   | FOUNDATION CONDITIONING MATERIAL, MINOR STRUCTURES | 2,150<br>TON |           |        |
| 0010                 | 0320000000-E | 300   | FOUNDATION CONDITIONING GEOTEXTILE                 | 6,760<br>SY  |           |        |
| 0011                 | 0366000000-E | 310   | 15" RC PIPE CULVERTS, CLASS III                    | 8,940<br>LF  |           |        |
| 0012                 | 0372000000-E | 310   | 18" RC PIPE CULVERTS, CLASS III                    | 664<br>LF    |           |        |
| 0013                 | 0378000000-E | 310   | 24" RC PIPE CULVERTS, CLASS III                    | 1,112<br>LF  |           |        |
| 0014                 | 0384000000-E | 310   | 30" RC PIPE CULVERTS, CLASS III                    | 732<br>LF    |           |        |
| 0015                 | 0390000000-E | 310   | 36" RC PIPE CULVERTS, CLASS III                    | 1,640<br>LF  |           |        |
| 0016                 | 0396000000-E | 310   | 42" RC PIPE CULVERTS, CLASS III                    | 860<br>LF    |           |        |
| 0017                 | 0448200000-E | 310   | 15" RC PIPE CULVERTS, CLASS IV                     | 4,476<br>LF  |           |        |
| 0018                 | 0448300000-E | 310   | 18" RC PIPE CULVERTS, CLASS IV                     | 1,168<br>LF  |           |        |

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| Line # | Item Number  | Sec # | Description  | Quantity | Unit Cost | Amount |
|--------|--------------|-------|--|----------|-----------|--------|
| 0019   | 0448400000-E | 310   | 24" RC PIPE CULVERTS, CLASS IV                           | 180      | LF        |        |
| 0020   | 0448500000-E | 310   | 30" RC PIPE CULVERTS, CLASS IV                           | 252      | LF        |        |
| 0021   | 0448600000-E | 310   | 36" RC PIPE CULVERTS, CLASS IV                           | 248      | LF        |        |
| 0022   | 0453000000-E | 310   | *** PIPE END SECTION<br>(15")                            | 4        | EA        |        |
| 0023   | 0453000000-E | 310   | *** PIPE END SECTION<br>(18")                            | 12       | EA        |        |
| 0024   | 0453000000-E | 310   | *** PIPE END SECTION<br>(24")                            | 1        | EA        |        |
| 0025   | 0995000000-E | 340   | PIPE REMOVAL   | 4,874    | LF        |        |
| 0026   | 0996000000-N | 350   | PIPE CLEAN-OUT   | 6        | EA        |        |
| 0027   | 1099500000-E | 505   | SHALLOW UNDERCUT   | 700      | CY        |        |
| 0028   | 1099700000-E | 505   | CLASS IV SUBGRADE STABILIZA-<br>TION                     | 1,400    | TON       |        |
| 0029   | 1220000000-E | 545   | INCIDENTAL STONE BASE                                    | 100      | TON       |        |
| 0030   | 1308000000-E | 607   | MILLING ASPHALT PAVEMENT, ****<br>TO *****<br>(0" TO 3") | 3,000    | SY        |        |
| 0031   | 1330000000-E | 607   | INCIDENTAL MILLING                                       | 1,340    | SY        |        |
| 0032   | 1489000000-E | 610   | ASPHALT CONC BASE COURSE, TYPE<br>B25.0B                 | 610      | TON       |        |
| 0033   | 1491000000-E | 610   | ASPHALT CONC BASE COURSE, TYPE<br>B25.0C                 | 10,060   | TON       |        |
| 0034   | 1503000000-E | 610   | ASPHALT CONC INTERMEDIATE<br>COURSE, TYPE I19.0C         | 7,580    | TON       |        |
| 0035   | 1519000000-E | 610   | ASPHALT CONC SURFACE COURSE,<br>TYPE S9.5B               | 430      | TON       |        |
| 0036   | 1523000000-E | 610   | ASPHALT CONC SURFACE COURSE,<br>TYPE S9.5C               | 19,090   | TON       |        |

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| Line # | Item Number  | Sec # | Description                                  | Quantity | Unit Cost | Amount |
|--------|--------------|-------|--|----------|-----------|--------|
| 0037   | 1575000000-E | 620   | ASPHALT BINDER FOR PLANT MIX                 | 1,990    | TON       |        |
| 0038   | 1693000000-E | 654   | ASPHALT PLANT MIX, PAVEMENT REPAIR           | 100      | TON       |        |
| 0039   | 2022000000-E | 815   | SUBDRAIN EXCAVATION                          | 224      | CY        |        |
| 0040   | 2033000000-E | 815   | SUBDRAIN FINE AGGREGATE                      | 168      | CY        |        |
| 0041   | 2044000000-E | 815   | 6" PERFORATED SUBDRAIN PIPE                  | 1,000    | LF        |        |
| 0042   | 2070000000-N | 815   | SUBDRAIN PIPE OUTLET                         | 2        | EA        |        |
| 0043   | 2077000000-E | 815   | 6" OUTLET PIPE                               | 12       | LF        |        |
| 0044   | 2253000000-E | 840   | PIPE COLLARS                                 | 3.053    | CY        |        |
| 0045   | 2264000000-E | 840   | PIPE PLUGS                                   | 0.31     | CY        |        |
| 0046   | 2275000000-E | SP    | FLOWABLE FILL                                | 11       | CY        |        |
| 0047   | 2286000000-N | 840   | MASONRY DRAINAGE STRUCTURES                  | 255      | EA        |        |
| 0048   | 2308000000-E | 840   | MASONRY DRAINAGE STRUCTURES                  | 104.6    | LF        |        |
| 0049   | 2354000000-N | 840   | FRAME WITH GRATE, STD 840.22                 | 1        | EA        |        |
| 0050   | 2364000000-N | 840   | FRAME WITH TWO GRATES, STD 840.16            | 189      | EA        |        |
| 0051   | 2364200000-N | 840   | FRAME WITH TWO GRATES, STD 840.20            | 10       | EA        |        |
| 0052   | 2365000000-N | 840   | FRAME WITH TWO GRATES, STD 840.22            | 41       | EA        |        |
| 0053   | 2396000000-N | 840   | FRAME WITH COVER, STD 840.54                 | 10       | EA        |        |
| 0054   | 2407000000-N | 840   | STEEL FRAME WITH TWO GRATES, STD 840.37      | 5        | EA        |        |
| 0055   | 2451000000-N | 852   | CONCRETE TRANSITIONAL SECTION FOR DROP INLET | 210      | EA        |        |

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| Line # | Item Number  | Sec # | Description   | Quantity     | Unit Cost | Amount |
|--------|--------------|-------|---|--------------|-----------|--------|
| 0056   | 2462000000-E | SP    | *** SLUICE GATE<br>(8")   | 1<br>EA      |           |        |
| 0057   | 2647000000-E | 852   | 5" MONOLITHIC CONCRETE ISLANDS<br>(SURFACE MOUNTED)             | 320<br>SY    |           |        |
| 0058   | 2655000000-E | 852   | 5" MONOLITHIC CONCRETE ISLANDS<br>(KEYED IN)                    | 11,870<br>SY |           |        |
| 0059   | 2815000000-N | 858   | ADJUSTMENT OF DROP INLETS                                       | 2<br>EA      |           |        |
| 0060   | 2995000000-N | SP    | GENERIC DRAINAGE ITEM<br>CONVERT 2GI TO SPECIAL JB W/MH         | 1<br>EA      |           |        |
| 0061   | 3030000000-E | 862   | STEEL BM GUARDRAIL  | 212.5<br>LF  |           |        |
| 0062   | 3150000000-N | 862   | ADDITIONAL GUARDRAIL POSTS                                      | 4<br>EA      |           |        |
| 0063   | 3180000000-N | 862   | GUARDRAIL ANCHOR UNITS, TYPE<br>*****<br>(TERMINAL END SECTION) | 12<br>EA     |           |        |
| 0064   | 3628000000-E | 876   | RIP RAP, CLASS I  | 41<br>TON    |           |        |
| 0065   | 3642000000-E | 876   | RIP RAP, CLASS A  | 114<br>TON   |           |        |
| 0066   | 3656000000-E | 876   | GEOTEXTILE FOR DRAINAGE   | 2,450<br>SY  |           |        |
| 0067   | 3659000000-N | SP    | PREFORMED SCOUR HOLES WITH<br>LEVEL SPREADER APRON              | 1<br>EA      |           |        |
| 0068   | 4072000000-E | 903   | SUPPORTS, 3-LB STEEL U-CHANNEL                                  | 3,400<br>LF  |           |        |
| 0069   | 4102000000-N | 904   | SIGN ERECTION, TYPE E   | 170<br>EA    |           |        |
| 0070   | 4108000000-N | 904   | SIGN ERECTION, TYPE F   | 20<br>EA     |           |        |
| 0071   | 4155000000-N | 907   | DISPOSAL OF SIGN SYSTEM, U-<br>CHANNEL                          | 251<br>EA    |           |        |
| 0072   | 4400000000-E | 1110  | WORK ZONE SIGNS (STATIONARY)                                    | 768<br>SF    |           |        |
| 0073   | 4405000000-E | 1110  | WORK ZONE SIGNS (PORTABLE)                                      | 1,248<br>SF  |           |        |

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| Line # | Item Number  | Sec # | Description  | Quantity | Unit Cost | Amount |
|--------|--------------|-------|--|----------|-----------|--------|
| 0074   | 4410000000-E | 1110  | WORK ZONE SIGNS (BARRICADE MOUNTED)                  | 225      | SF        |        |
| 0075   | 4415000000-N | 1115  | FLASHING ARROW BOARD                                 | 8        | EA        |        |
| 0076   | 4420000000-N | 1120  | PORTABLE CHANGEABLE MESSAGE SIGN                     | 4        | EA        |        |
| 0077   | 4422000000-N | 1120  | PORTABLE CHANGEABLE MESSAGE SIGN (SHORT TERM)        | 27       | DAY       |        |
| 0078   | 4430000000-N | 1130  | DRUMS  | 400      | EA        |        |
| 0079   | 4445000000-E | 1145  | BARRICADES (TYPE III)                                | 720      | LF        |        |
| 0080   | 4450000000-N | 1150  | FLAGGER  | 950      | HR        |        |
| 0081   | 4480000000-N | 1165  | TMA  | 4        | EA        |        |
| 0082   | 4685000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)   | 2,680    | LF        |        |
| 0083   | 4686000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)  | 947      | LF        |        |
| 0084   | 4688000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (6", 90 MILS)   | 81,531   | LF        |        |
| 0085   | 4690000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (6", 120 MILS)  | 47,667   | LF        |        |
| 0086   | 4695000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)   | 45       | LF        |        |
| 0087   | 4700000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (12", 90 MILS)  | 20,428   | LF        |        |
| 0088   | 4710000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS) | 118      | LF        |        |
| 0089   | 4725000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)      | 186      | EA        |        |
| 0090   | 4810000000-E | 1205  | PAINT PAVEMENT MARKING LINES (4")                    | 12,122   | LF        |        |

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| Line # | Item Number  | Sec # | Description                           | Quantity | Unit Cost | Amount |
|--------|--------------|-------|---------------------------------------|----------|-----------|--------|
| 0091   | 4815000000-E | 1205  | PAINT PAVEMENT MARKING LINES<br>(6")  | 257,532  | LF        |        |
| 0092   | 4825000000-E | 1205  | PAINT PAVEMENT MARKING LINES<br>(12") | 10,500   | LF        |        |
| 0093   | 4835000000-E | 1205  | PAINT PAVEMENT MARKING LINES<br>(24") | 1,308    | LF        |        |
| 0094   | 4845000000-N | 1205  | PAINT PAVEMENT MARKING SYMBOL         | 40       | EA        |        |
| 0095   | 4900000000-N | 1251  | PERMANENT RAISED PAVEMENT<br>MARKERS  | 1,942    | EA        |        |
| 0096   | 4915000000-E | 1264  | 7' U-CHANNEL POSTS                    | 15       | EA        |        |
| 0097   | 4955000000-N | 1264  | OBJECT MARKERS (END OF ROAD)          | 15       | EA        |        |
| 0098   | 5326200000-E | 1510  | 12" WATER LINE                        | 40       | LF        |        |
| 0099   | 5326600000-E | 1510  | 16" WATER LINE                        | 505      | LF        |        |
| 0100   | 5572600000-E | 1515  | 16" TAPPING VALVE                     | 1        | EA        |        |
| 0101   | 5691300000-E | 1520  | 8" SANITARY GRAVITY SEWER             | 137      | LF        |        |
| 0102   | 5691600000-E | 1520  | 16" SANITARY GRAVITY SEWER            | 169      | LF        |        |
| 0103   | 5709600000-E | 1520  | 12" FORCE MAIN SEWER                  | 70       | LF        |        |
| 0104   | 5768000000-N | 1520  | SANITARY SEWER CLEAN-OUT              | 4        | EA        |        |
| 0105   | 5804000000-E | 1530  | ABANDON 12" UTILITY PIPE              | 100      | LF        |        |
| 0106   | 5810000000-E | 1530  | ABANDON 16" UTILITY PIPE              | 480      | LF        |        |
| 0107   | 5835800000-E | 1540  | 18" ENCASMENT PIPE                    | 115      | LF        |        |
| 0108   | 5836200000-E | 1540  | 30" ENCASMENT PIPE                    | 185      | LF        |        |
| 0109   | 6000000000-E | 1605  | TEMPORARY SILT FENCE                  | 14,500   | LF        |        |

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| Line # | Item Number  | Sec # | Description                           | Quantity     | Unit Cost | Amount |
|--------|--------------|-------|---------------------------------------|--------------|-----------|--------|
| 0110   | 6006000000-E | 1610  | STONE FOR EROSION CONTROL,<br>CLASS A | 1,500<br>TON |           |        |
| 0111   | 6009000000-E | 1610  | STONE FOR EROSION CONTROL,<br>CLASS B | 900<br>TON   |           |        |
| 0112   | 6012000000-E | 1610  | SEDIMENT CONTROL STONE                | 3,000<br>TON |           |        |
| 0113   | 6015000000-E | 1615  | TEMPORARY MULCHING                    | 12<br>ACR    |           |        |
| 0114   | 6018000000-E | 1620  | SEED FOR TEMPORARY SEEDING            | 700<br>LB    |           |        |
| 0115   | 6021000000-E | 1620  | FERTILIZER FOR TEMPORARY SEED-<br>ING | 3<br>TON     |           |        |
| 0116   | 6024000000-E | 1622  | TEMPORARY SLOPE DRAINS                | 200<br>LF    |           |        |
| 0117   | 6029000000-E | SP    | SAFETY FENCE                          | 200<br>LF    |           |        |
| 0118   | 6030000000-E | 1630  | SILT EXCAVATION                       | 1,600<br>CY  |           |        |
| 0119   | 6036000000-E | 1631  | MATTING FOR EROSION CONTROL           | 17,800<br>SY |           |        |
| 0120   | 6037000000-E | SP    | COIR FIBER MAT                        | 100<br>SY    |           |        |
| 0121   | 6038000000-E | SP    | PERMANENT SOIL REINFORCEMENT<br>MAT   | 50<br>SY     |           |        |
| 0122   | 6042000000-E | 1632  | 1/4" HARDWARE CLOTH                   | 9,000<br>LF  |           |        |
| 0123   | 6071010000-E | SP    | WATTLE                                | 25<br>LF     |           |        |
| 0124   | 6071012000-E | SP    | COIR FIBER WATTLE                     | 2,500<br>LF  |           |        |
| 0125   | 6071020000-E | SP    | POLYACRYLAMIDE (PAM)                  | 1,300<br>LB  |           |        |
| 0126   | 6084000000-E | 1660  | SEEDING & MULCHING                    | 12<br>ACR    |           |        |
| 0127   | 6087000000-E | 1660  | MOWING                                | 12<br>ACR    |           |        |
| 0128   | 6090000000-E | 1661  | SEED FOR REPAIR SEEDING               | 150<br>LB    |           |        |
| 0129   | 6093000000-E | 1661  | FERTILIZER FOR REPAIR SEEDING         | 0.25<br>TON  |           |        |

County : Cumberland

| Line # | Item Number  | Sec # | Description   | Quantity | Unit Cost | Amount |
|--------|--------------|-------|---|----------|-----------|--------|
| 0130   | 6096000000-E | 1662  | SEED FOR SUPPLEMENTAL SEEDING   | 200      | LB        |        |
| 0131   | 6108000000-E | 1665  | FERTILIZER TOPDRESSING  | 6        | TON       |        |
| 0132   | 6114500000-N | 1667  | SPECIALIZED HAND MOWING   | 10       | MHR       |        |
| 0133   | 6117000000-N | SP    | RESPONSE FOR EROSION CONTROL  | 35       | EA        |        |
| 0134   | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>CONCRETE WASHOUT STRUCTURE                        | 2        | EA        |        |
| 0135   | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>FABRIC INSERT INLET PROTECTION<br>DEVICE          | 15       | EA        |        |
| 0136   | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>FABRIC INSERT INLET PROTECTION<br>DEVICE CLEANOUT | 45       | EA        |        |

1502/Dec02/Q606881.713/D471174342000/E136

Total Amount Of Bid For Entire Project :

Vendor 1 of 2: HIGHLAND PAVING CO., LLC (5019)  
Call Order 004 (Proposal: C203655)

### Bid Information

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|  |                                    |
|--|------------------------------------|
| <b>Proposal County:</b> CUMBERLAND                               | <b>Bid Checksum:</b> BD815C71      |
| <b>Vendor Address:</b> PO Box 64553<br>Fayetteville , NC , 28306 | <b>Bid Total:</b> \$8,359,869.87   |
| <b>Signature Check:</b> Albert_O_McCauley_5019                   | <b>Items Total:</b> \$8,359,869.87 |
| <b>Time Bid Received:</b> December 15, 2015 01:40 PM             | <b>Time Total:</b> \$0.00          |
| <b>Amendment Count:</b> 1  |                                    |

#### Bidding Errors:

Item Warning : item 0000100000-N (Line# 0001) quantity  
DBE Warning : DBE Warning: DBE Commitment Goal not met

DBE Goal Set 14.0  
DBE Goal Obt. 5.0

Vendor 1 of 2: HIGHLAND PAVING CO., LLC (5019)  
Call Order 004 (Proposal: C203655)

Bid Bond Information

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|                             |  |
|-----------------------------|--|
| <b>Projects:</b>            | <b>Bond Maximum:</b>   |
| <b>Counties:</b>            | <b>State of Incorporation:</b>                               |
| <b>Bond ID:</b> SNC15217358 | <b>Agency Execution Date:</b> 12/9/2015 2                    |
| <b>Paid by Check:</b> No    | <b>Surety Name:</b> surety2000                               |
| <b>Bond Percent:</b> 5%     | <b>Bond Agency Name:</b> Great American Insurance<br>Company |

Bidder 1 of 2

Vendor 5019's Bid Information for Call 004, Letting L151215, 12/15/15

Highland Paving Co, LLC (5019)  
Call Order 004 (Proposal ID C203655)

LIST OF DBE PARTICIPANTS

| VENDOR NUMBER | DBE NAME ADDRESS  | WORK CODE TYPE OF WORK | CERT TYPE AMOUNT |                       |
|---------------|---|------------------------|------------------|-----------------------|
| 2676 MB       | PAUL D. WILLIAMS DBA PAUL D. WI<br>POST OFFICE BOX 1385 , DUNN, NC 28335      |                        | Sub              | 203,919.60 Committed  |
| 6189 MB       | PURPOSE DRIVEN SEEDING & MULCHI<br>1337 BRAYBOY RD , ROWLAND, NC 28383        |                        | Sub              | 181,832.50 Committed  |
| 3376 WB       | REYNOLDS FENCE & GUARDRAIL INC<br>9320 MACHADO DRIVE , INDIAN TRAIL, NC 28079 |                        | Sub              | 5,320.00 Committed    |
| 12278 WB      | CLIFTON CONSTRUCTION CO., INC.<br>1435 GIDDENSVILLE ROAD , FAISON, NC 28341   |                        | Sub              | 27,660.00 Committed   |
|               |   |                        | TOTAL:           | \$418,732.10<br>5.01% |

Vendor 5019's Bid Information for Call 004, Letting L151215, 12/15/15

Highland Paving Co, LLC (5019)  
Call Order 004 (Proposal ID C203655)

Miscellaneous Data Info - Contractor Responses:

NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:  
NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowledges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

| Contract Number | County |
|-----------------|--------|
| NOT ANSWERED    |        |

Bidder 1 of 2

Bid Bond Data Info - Contractor Responses:

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BondID: SNC15217358  
Surety Registry Agency: surety2000  
Verified?: Yes  
Surety Agency: Great American Insurance Company  
Bond Execution Date: 12/9/2015 2  
Bond Amount: \$417,993.49 (Five Percent of Bid)

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No.                   | Item Description  | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------------------------|---|----------------------------|--------------------------|-------------------------|
| Section 0001 ROADWAY ITEMS |   |                            |                          |                         |
| Alt Group                  |   |                            |                          |                         |
| 0001                       | 0000100000-N MOBILIZATION                                       | LUMP                       | LUMP                     | 418,041.42              |
| 0002                       | 0000400000-N CONSTRUCTION SURVEYING                             | LUMP                       | LUMP                     | 36,500.00               |
| 0003                       | 0043000000-N GRADING  | LUMP                       | LUMP                     | 1,286,070.00            |
| 0004                       | 0050000000-E SUPPLEMENTARY CLEARING & GRUB-BING                 | 1.000<br>ACR               | 1,000.00000              | 1,000.00                |
| 0005                       | 0057000000-E UNDERCUT EXCAVATION                                | 250.000<br>CY              | 11.00000                 | 2,750.00                |
| 0006                       | 0134000000-E DRAINAGE DITCH EXCAVATION                          | 5,020.000<br>CY            | 6.00000                  | 30,120.00               |
| 0007                       | 0195000000-E SELECT GRANULAR MATERIAL                           | 250.000<br>CY              | 17.00000                 | 4,250.00                |
| 0008                       | 0196000000-E GEOTEXTILE FOR SOIL STABILIZATION                  | 2,650.000<br>SY            | 2.25000                  | 5,962.50                |
| 0009                       | 0318000000-E FOUNDATION CONDITIONING MATERIAL, MINOR STRUCTURES | 2,150.000<br>TON           | 26.50000                 | 56,975.00               |
| 0010                       | 0320000000-E FOUNDATION CONDITIONING GEOTEXTILE                 | 6,760.000<br>SY            | 2.00000                  | 13,520.00               |
| 0011                       | 0366000000-E 15" RC PIPE CULVERTS, CLASS III                    | 8,940.000<br>LF            | 44.00000                 | 393,360.00              |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description                                | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|---|----------------------------|--------------------------|-------------------------|
| 0012     | 0372000000-E 18" RC PIPE<br>CULVERTS, CLASS III | 664.000                    | 67.00000                 | 44,488.00               |
|          |   | LF                         |                          |                         |
| 0013     | 0378000000-E 24" RC PIPE<br>CULVERTS, CLASS III | 1,112.000                  | 75.00000                 | 83,400.00               |
|          |   | LF                         |                          |                         |
| 0014     | 0384000000-E 30" RC PIPE<br>CULVERTS, CLASS III | 732.000                    | 100.00000                | 73,200.00               |
|          |   | LF                         |                          |                         |
| 0015     | 0390000000-E 36" RC PIPE<br>CULVERTS, CLASS III | 1,640.000                  | 130.00000                | 213,200.00              |
|          |   | LF                         |                          |                         |
| 0016     | 0396000000-E 42" RC PIPE<br>CULVERTS, CLASS III | 860.000                    | 155.00000                | 133,300.00              |
|          |   | LF                         |                          |                         |
| 0017     | 0448200000-E 15" RC PIPE<br>CULVERTS, CLASS IV  | 4,476.000                  | 54.00000                 | 241,704.00              |
|          |   | LF                         |                          |                         |
| 0018     | 0448300000-E 18" RC PIPE<br>CULVERTS, CLASS IV  | 1,168.000                  | 69.50000                 | 81,176.00               |
|          |   | LF                         |                          |                         |
| 0019     | 0448400000-E 24" RC PIPE<br>CULVERTS, CLASS IV  | 180.000                    | 84.00000                 | 15,120.00               |
|          |   | LF                         |                          |                         |
| 0020     | 0448500000-E 30" RC PIPE<br>CULVERTS, CLASS IV  | 252.000                    | 115.00000                | 28,980.00               |
|          |   | LF                         |                          |                         |
| 0021     | 0448600000-E 36" RC PIPE<br>CULVERTS, CLASS IV  | 248.000                    | 150.00000                | 37,200.00               |
|          |   | LF                         |                          |                         |
| 0022     | 0453000000-E **" PIPE<br>END SECTION (15")      | 4.000                      | 1,750.00000              | 7,000.00                |
|          |   | EA                         |                          |                         |
| 0023     | 0453000000-E **" PIPE<br>END SECTION (18")      | 12.000                     | 2,000.00000              | 24,000.00               |
|          |   | EA                         |                          |                         |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description   | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|--|----------------------------|--------------------------|-------------------------|
| 0024     | 0453000000-E ***" PIPE<br>END SECTION (24")                            | 1.000<br>EA                | 2,700.00000              | 2,700.00                |
| 0025     | 0995000000-E PIPE<br>REMOVAL   | 4,874.000<br>LF            | 10.00000                 | 48,740.00               |
| 0026     | 0996000000-N PIPE<br>CLEAN-OUT   | 6.000<br>EA                | 2,450.00000              | 14,700.00               |
| 0027     | 1099500000-E SHALLOW<br>UNDERCUT                                       | 700.000<br>CY              | 11.00000                 | 7,700.00                |
| 0028     | 1099700000-E CLASS IV<br>SUBGRADE STABILIZA- TION                      | 1,400.000<br>TON           | 28.00000                 | 39,200.00               |
| 0029     | 1220000000-E INCIDENTAL<br>STONE BASE                                  | 100.000<br>TON             | 33.00000                 | 3,300.00                |
| 0030     | 1308000000-E MILLING<br>ASPHALT PAVEMENT, ****"TO<br>*****" (0" TO 3") | 3,000.000<br>SY            | 4.80000                  | 14,400.00               |
| 0031     | 1330000000-E INCIDENTAL<br>MILLING                                     | 1,340.000<br>SY            | 10.50000                 | 14,070.00               |
| 0032     | 1489000000-E ASPHALT<br>CONC BASE COURSE, TYPE<br>B25.0B               | 610.000<br>TON             | 56.00000                 | 34,160.00               |
| 0033     | 1491000000-E ASPHALT<br>CONC BASE COURSE, TYPE<br>B25.0C               | 10,060.000<br>TON          | 46.50000                 | 467,790.00              |
| 0034     | 1503000000-E ASPHALT<br>CONC INTERMEDIATE<br>COURSE, TYPE I19.0C       | 7,580.000<br>TON           | 46.50000                 | 352,470.00              |
| 0035     | 1519000000-E ASPHALT<br>CONC SURFACE COURSE,<br>TYPE S9.5B             | 430.000<br>TON             | 54.75000                 | 23,542.50               |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description                                     | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|--|----------------------------|--------------------------|-------------------------|
| 0036     | 1523000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5C | 19,090.000 TON             | 39.50000                 | 754,055.00              |
| 0037     | 1575000000-E ASPHALT BINDER FOR PLANT MIX            | 1,990.000 TON              | 415.00000                | 825,850.00              |
| 0038     | 1693000000-E ASPHALT PLANT MIX, PAVEMENT REPAIR      | 100.000 TON                | 208.00000                | 20,800.00               |
| 0039     | 2022000000-E SUBDRAIN EXCAVATION                     | 224.000 CY                 | 25.00000                 | 5,600.00                |
| 0040     | 2033000000-E SUBDRAIN FINE AGGREGATE                 | 168.000 CY                 | 45.00000                 | 7,560.00                |
| 0041     | 2044000000-E 6" PERFORATED SUBDRAIN PIPE             | 1,000.000 LF               | 12.00000                 | 12,000.00               |
| 0042     | 2070000000-N SUBDRAIN PIPE OUTLET                    | 2.000 EA                   | 350.00000                | 700.00                  |
| 0043     | 2077000000-E 6" OUTLET PIPE                          | 12.000 LF                  | 25.00000                 | 300.00                  |
| 0044     | 2253000000-E PIPE COLLARS                            | 3.053 CY                   | 1,550.00000              | 4,732.15                |
| 0045     | 2264000000-E PIPE PLUGS                              | 0.310 CY                   | 2,400.00000              | 744.00                  |
| 0046     | 2275000000-E FLOWABLE FILL                           | 11.000 CY                  | 375.00000                | 4,125.00                |
| 0047     | 2286000000-N MASONRY DRAINAGE STRUCTURES             | 255.000 EA                 | 1,550.00000              | 395,250.00              |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description  | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|---|----------------------------|--------------------------|-------------------------|
| 0048     | 2308000000-E MASONRY DRAINAGE STRUCTURES                      | 104.600 LF                 | 355.00000                | 37,133.00               |
| 0049     | 2354000000-N FRAME WITH GRATE, STD 840.22                     | 1.000 EA                   | 485.00000                | 485.00                  |
| 0050     | 2364000000-N FRAME WITH TWO GRATES, STD 840.16                | 189.000 EA                 | 675.00000                | 127,575.00              |
| 0051     | 2364200000-N FRAME WITH TWO GRATES, STD 840.20                | 10.000 EA                  | 660.00000                | 6,600.00                |
| 0052     | 2365000000-N FRAME WITH TWO GRATES, STD 840.22                | 41.000 EA                  | 640.00000                | 26,240.00               |
| 0053     | 2396000000-N FRAME WITH COVER, STD 840.54                     | 10.000 EA                  | 575.00000                | 5,750.00                |
| 0054     | 2407000000-N STEEL FRAME WITH TWO GRATES, STD 840.37          | 5.000 EA                   | 1,700.00000              | 8,500.00                |
| 0055     | 2451000000-N CONCRETE TRANSITIONAL SECTION FOR DROP INLET     | 210.000 EA                 | 778.00000                | 163,380.00              |
| 0056     | 2462000000-E **" SLUICE GATE (8")                             | 1.000 EA                   | 2,450.00000              | 2,450.00                |
| 0057     | 2647000000-E 5" MONOLITHIC CONCRETE ISLANDS (SURFACE MOUNTED) | 320.000 SY                 | 49.40000                 | 15,808.00               |
| 0058     | 2655000000-E 5" MONOLITHIC CONCRETE ISLANDS (KEYED IN)        | 11,870.000 SY              | 38.90000                 | 461,743.00              |
| 0059     | 2815000000-N ADJUSTMENT OF DROP INLETS                        | 2.000 EA                   | 800.00000                | 1,600.00                |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description   | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|--|----------------------------|--------------------------|-------------------------|
| 0060     | 2995000000-N GENERIC DRAINAGE ITEM CONVERT 2GI TO SPECIAL JB W/MH      | 1.000 EA                   | 1,100.00000              | 1,100.00                |
| 0061     | 3030000000-E STEEL BM GUARDRAIL  | 212.500 LF                 | 16.00000                 | 3,400.00                |
| 0062     | 3150000000-N ADDITIONAL GUARDRAIL POSTS                                | 4.000 EA                   | 30.00000                 | 120.00                  |
| 0063     | 3180000000-N GUARDRAIL ANCHOR UNITS, TYPE ***** (TERMINAL END SECTION) | 12.000 EA                  | 150.00000                | 1,800.00                |
| 0064     | 3628000000-E RIP RAP, CLASS I  | 41.000 TON                 | 80.00000                 | 3,280.00                |
| 0065     | 3642000000-E RIP RAP, CLASS A  | 114.000 TON                | 53.00000                 | 6,042.00                |
| 0066     | 3656000000-E GEOTEXTILE FOR DRAINAGE                                   | 2,450.000 SY               | 2.50000                  | 6,125.00                |
| 0067     | 3659000000-N PREFORMED SCOUR HOLES WITH LEVEL SPREADER APRON           | 1.000 EA                   | 1,350.00000              | 1,350.00                |
| 0068     | 4072000000-E SUPPORTS, 3-LB STEEL U-CHANNEL                            | 3,400.000 LF               | 3.80000                  | 12,920.00               |
| 0069     | 4102000000-N SIGN ERECTION, TYPE E                                     | 170.000 EA                 | 65.00000                 | 11,050.00               |
| 0070     | 4108000000-N SIGN ERECTION, TYPE F                                     | 20.000 EA                  | 62.00000                 | 1,240.00                |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description  | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|---|----------------------------|--------------------------|-------------------------|
| 0071     | 4155000000-N DISPOSAL OF SIGN SYSTEM, U- CHANNEL                | 251.000 EA                 | 3.50000                  | 878.50                  |
| 0072     | 4400000000-E WORK ZONE SIGNS (STATIONARY)                       | 768.000 SF                 | 15.00000                 | 11,520.00               |
| 0073     | 4405000000-E WORK ZONE SIGNS (PORTABLE)                         | 1,248.000 SF               | 9.00000                  | 11,232.00               |
| 0074     | 4410000000-E WORK ZONE SIGNS (BARRICADE MOUNTED)                | 225.000 SF                 | 4.83000                  | 1,086.75                |
| 0075     | 4415000000-N FLASHING ARROW BOARD                               | 8.000 EA                   | 4,150.00000              | 33,200.00               |
| 0076     | 4420000000-N PORTABLE CHANGEABLE MESSAGE SIGN                   | 4.000 EA                   | 15,000.00000             | 60,000.00               |
| 0077     | 4422000000-N PORTABLE CHANGEABLE MESSAGE SIGN (SHORT TERM)      | 27.000 DAY                 | 50.00000                 | 1,350.00                |
| 0078     | 4430000000-N DRUMS  | 400.000 EA                 | 48.00000                 | 19,200.00               |
| 0079     | 4445000000-E BARRICADES (TYPE III)                              | 720.000 LF                 | 23.00000                 | 16,560.00               |
| 0080     | 4450000000-N FLAGGER  | 950.000 HR                 | 20.00000                 | 19,000.00               |
| 0081     | 4480000000-N TMA  | 4.000 EA                   | 15,000.00000             | 60,000.00               |
| 0082     | 4685000000-E THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS) | 2,680.000 LF               | 0.65000                  | 1,742.00                |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description   | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|--|----------------------------|--------------------------|-------------------------|
| 0083     | 4686000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(4", 120 MILS)  | 947.000<br>LF              | 0.85000                  | 804.95                  |
| 0084     | 4688000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(6", 90 MILS)   | 81,531.000<br>LF           | 0.85000                  | 69,301.35               |
| 0085     | 4690000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(6", 120 MILS)  | 47,667.000<br>LF           | 0.95000                  | 45,283.65               |
| 0086     | 4695000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(8", 90 MILS)   | 45.000<br>LF               | 2.00000                  | 90.00                   |
| 0087     | 4700000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(12", 90 MILS)  | 20,428.000<br>LF           | 2.50000                  | 51,070.00               |
| 0088     | 4710000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(24", 120 MILS) | 118.000<br>LF              | 10.00000                 | 1,180.00                |
| 0089     | 4725000000-E THERMOPLAST<br>IC PAVEMENT MARKING SYMBOL<br>(90 MILS)      | 186.000<br>EA              | 80.00000                 | 14,880.00               |
| 0090     | 4810000000-E PAINT<br>PAVEMENT MARKING LINES<br>(4")                     | 12,122.000<br>LF           | 0.15000                  | 1,818.30                |
| 0091     | 4815000000-E PAINT<br>PAVEMENT MARKING LINES<br>(6")                     | 257,532.000<br>LF          | 0.15000                  | 38,629.80               |
| 0092     | 4825000000-E PAINT<br>PAVEMENT MARKING LINES<br>(12")                    | 10,500.000<br>LF           | 0.50000                  | 5,250.00                |
| 0093     | 4835000000-E PAINT<br>PAVEMENT MARKING LINES<br>(24")                    | 1,308.000<br>LF            | 1.00000                  | 1,308.00                |
| 0094     | 4845000000-N PAINT<br>PAVEMENT MARKING SYMBOL                            | 40.000<br>EA               | 35.00000                 | 1,400.00                |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description                               | Approx. Quantity and Units | Unit Price   |     | Bid Amount |    |
|----------|--|----------------------------|--------------|-----|------------|----|
|          |  |                            | Dollars      | Cts | Dollars    | Ct |
| 0095     | 4900000000-N PERMANENT RAISED PAVEMENT MARKERS | 1,942.000<br>EA            | 4.50000      |     | 8,739.00   |    |
| 0096     | 4915000000-E 7' U-CHANNEL POSTS                | 15.000<br>EA               | 38.00000     |     | 570.00     |    |
| 0097     | 4955000000-N OBJECT MARKERS (END OF ROAD)      | 15.000<br>EA               | 85.00000     |     | 1,275.00   |    |
| 0098     | 5326200000-E 12" WATER LINE                    | 40.000<br>LF               | 155.00000    |     | 6,200.00   |    |
| 0099     | 5326600000-E 16" WATER LINE                    | 505.000<br>LF              | 145.00000    |     | 73,225.00  |    |
| 0100     | 5572600000-E 16" TAPPING VALVE                 | 1.000<br>EA                | 20,000.00000 |     | 20,000.00  |    |
| 0101     | 5691300000-E 8" SANITARY GRAVITY SEWER         | 137.000<br>LF              | 91.50000     |     | 12,535.50  |    |
| 0102     | 5691600000-E 16" SANITARY GRAVITY SEWER        | 169.000<br>LF              | 170.00000    |     | 28,730.00  |    |
| 0103     | 5709600000-E 12" FORCE MAIN SEWER              | 70.000<br>LF               | 530.00000    |     | 37,100.00  |    |
| 0104     | 5768000000-N SANITARY SEWER CLEAN-OUT          | 4.000<br>EA                | 1,250.00000  |     | 5,000.00   |    |
| 0105     | 5804000000-E ABANDON 12" UTILITY PIPE          | 100.000<br>LF              | 5.50000      |     | 550.00     |    |
| 0106     | 5810000000-E ABANDON 16" UTILITY PIPE          | 480.000<br>LF              | 6.20000      |     | 2,976.00   |    |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description                                | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|---|----------------------------|--------------------------|-------------------------|
| 0107     | 5835800000-E 18" ENCASEMENT PIPE                | 115.000                    | 160.00000                | 18,400.00               |
|          |   | LF                         |                          |                         |
| 0108     | 5836200000-E 30" ENCASEMENT PIPE                | 185.000                    | 210.00000                | 38,850.00               |
|          |   | LF                         |                          |                         |
| 0109     | 6000000000-E TEMPORARY SILT FENCE               | 14,500.000                 | 1.75000                  | 25,375.00               |
|          |   | LF                         |                          |                         |
| 0110     | 6006000000-E STONE FOR EROSION CONTROL, CLASS A | 1,500.000                  | 53.00000                 | 79,500.00               |
|          |   | TON                        |                          |                         |
| 0111     | 6009000000-E STONE FOR EROSION CONTROL, CLASS B | 900.000                    | 52.00000                 | 46,800.00               |
|          |   | TON                        |                          |                         |
| 0112     | 6012000000-E SEDIMENT CONTROL STONE             | 3,000.000                  | 35.00000                 | 105,000.00              |
|          |   | TON                        |                          |                         |
| 0113     | 6015000000-E TEMPORARY MULCHING                 | 12.000                     | 800.00000                | 9,600.00                |
|          |   | ACR                        |                          |                         |
| 0114     | 6018000000-E SEED FOR TEMPORARY SEEDING         | 700.000                    | 5.00000                  | 3,500.00                |
|          |   | LB                         |                          |                         |
| 0115     | 6021000000-E FERTILIZER FOR TEMPORARY SEED-ING  | 3.000                      | 1,000.00000              | 3,000.00                |
|          |   | TON                        |                          |                         |
| 0116     | 6024000000-E TEMPORARY SLOPE DRAINS             | 200.000                    | 14.00000                 | 2,800.00                |
|          |   | LF                         |                          |                         |
| 0117     | 6029000000-E SAFETY FENCE                       | 200.000                    | 1.25000                  | 250.00                  |
|          |   | LF                         |                          |                         |
| 0118     | 6030000000-E SILT EXCAVATION                    | 1,600.000                  | 10.00000                 | 16,000.00               |
|          |   | CY                         |                          |                         |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description                              | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|---|----------------------------|--------------------------|-------------------------|
| 0119     | 6036000000-E MATTING FOR EROSION CONTROL      | 17,800.000 SY              | 1.75000                  | 31,150.00               |
| 0120     | 6037000000-E COIR FIBER MAT                   | 100.000 SY                 | 5.00000                  | 500.00                  |
| 0121     | 6038000000-E PERMANENT SOIL REINFORCEMENT MAT | 50.000 SY                  | 6.75000                  | 337.50                  |
| 0122     | 6042000000-E 1/4" HARDWARE CLOTH              | 9,000.000 LF               | 3.50000                  | 31,500.00               |
| 0123     | 6071010000-E WATTLE                           | 25.000 LF                  | 7.00000                  | 175.00                  |
| 0124     | 6071012000-E COIR FIBER WATTLE                | 2,500.000 LF               | 8.00000                  | 20,000.00               |
| 0125     | 6071020000-E POLYACRYLAMIDE (PAM)             | 1,300.000 LB               | 6.50000                  | 8,450.00                |
| 0126     | 6084000000-E SEEDING & MULCHING               | 12.000 ACR                 | 1,875.00000              | 22,500.00               |
| 0127     | 6087000000-E MOWING                           | 12.000 ACR                 | 150.00000                | 1,800.00                |
| 0128     | 6090000000-E SEED FOR REPAIR SEEDING          | 150.000 LB                 | 20.00000                 | 3,000.00                |
| 0129     | 6093000000-E FERTILIZER FOR REPAIR SEEDING    | 0.250 TON                  | 1,000.00000              | 250.00                  |
| 0130     | 6096000000-E SEED FOR SUPPLEMENTAL SEEDING    | 200.000 LB                 | 20.00000                 | 4,000.00                |

Contract ID: C203655

Project(s): HSIP-095-2(128)46

Letting Date: 12-15-15 Call Order: 004

Bidder: 5019 - Highland Paving Co, LLC

| Line No. | Item Description   | Approx. Quantity and Units | Unit Price Dollars   Cts | Bid Amount Dollars   Ct |
|----------|--|----------------------------|--------------------------|-------------------------|
| 0131     | 6108000000-E FERTILIZER<br>TOPDRESSING   | 6.000<br>TON               | 1,000.00000              | 6,000.00                |
| 0132     | 6114500000-N SPECIALIZED<br>HAND MOWING  | 10.000<br>MHR              | 100.00000                | 1,000.00                |
| 0133     | 6117000000-N RESPONSE<br>FOR EROSION CONTROL   | 35.000<br>EA               | 400.00000                | 14,000.00               |
| 0134     | 6132000000-N GENERIC<br>EROSION CONTROL ITEM<br>CONCRETE WASHOUT<br>STRUCTURE                        | 2.000<br>EA                | 1,350.00000              | 2,700.00                |
| 0135     | 6132000000-N GENERIC<br>EROSION CONTROL ITEM<br>FABRIC INSERT INLET<br>PROTECTION DEVICE             | 15.000<br>EA               | 25.00000                 | 375.00                  |
| 0136     | 6132000000-N GENERIC<br>EROSION CONTROL ITEM<br>FABRIC INSERT INLET<br>PROTECTION DEVICE<br>CLEANOUT | 45.000<br>EA               | 175.00000                | 7,875.00                |
|          | Section 0001 Total   |                            |                          | 8,359,869.87            |
|          | Bid Total  |                            |                          | 8,359,869.87            |

NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:  
NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowledges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

| Contract Number | County |
|-----------------|--------|
| NOT ANSWERED    |        |

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

DBE COMMITMENT ITEMS

PROPOSAL: C203655  
 LETTING: L151215 CALL: 004  
 VENDOR: 5019 Highland Paving Co, LLC

-----  
 LINE ITEM                    ITEM                    UNIT SUBCONTRACTOR    SUBCONTRACTOR    EXTENDED  
 NO.    NO.                    DESC.                    TYPE QUANTITY            UNIT PRICE            AMOUNT  
 -----

DBE SUBCONTRACTOR: 2676 PAUL D. WILLIAMS DBA PAUL D. WILLIAMS HAULING  
 Will Use Quote: Yes

|      |              |              |       |           |         |          |
|------|--------------|--------------|-------|-----------|---------|----------|
| 0009 | 0318000000-E | FND CONDIT   | M TON | 2150.000  | 8.00000 | 17200.00 |
| 0028 | 1099700000-E | CLASS IV SUB | TON   | 1400.000  | 8.00000 | 11200.00 |
| 0032 | 1489000000-E | ASP CONC BAS | TON   | 410.000   | 5.80000 | 2378.00  |
| 0033 | 1491000000-E | ASP CONC BAS | TON   | 8050.000  | 5.80000 | 46690.00 |
| 0034 | 1503000000-E | ASP CONC INT | TON   | 6100.000  | 5.80000 | 35380.00 |
| 0035 | 1519000000-E | ASP CONC SUR | TON   | 350.000   | 5.80000 | 2030.00  |
| 0036 | 1523000000-E | ASP CONC SUR | TON   | 15272.000 | 5.80000 | 88577.60 |
| 0038 | 1693000000-E | ASPH PLT MIX | TON   | 80.000    | 5.80000 | 464.00   |

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 203,919.60 Committed  
 DBE COMMITMENT TOTAL FOR VENDOR (SubContractor ) 203,919

DBE SUBCONTRACTOR: 6189 PURPOSE DRIVEN SEEDING & MULCHING, INC.  
 Will Use Quote: Yes

|      |              |              |     |           |            |          |
|------|--------------|--------------|-----|-----------|------------|----------|
| 0001 | 0000100000-N | MOBILIZATION | LS  | 1.000     | 9400.00000 | 9400.00  |
| 0109 | 6000000000-E | TEMPORARY SI | LF  | 14500.000 | 1.75000    | 25375.00 |
| 0113 | 6015000000-E | TEMPORARY MU | ACR | 12.000    | 800.00000  | 9600.00  |
| 0114 | 6018000000-E | SEED FOR TEM | LB  | 700.000   | 5.00000    | 3500.00  |
| 0115 | 6021000000-E | FERT FOR TEM | TON | 3.000     | 1000.00000 | 3000.00  |
| 0119 | 6036000000-E | MATTING FOR  | SY  | 17800.000 | 1.75000    | 31150.00 |
| 0120 | 6037000000-E | COIR FIBER M | SY  | 100.000   | 5.00000    | 500.00   |
| 0121 | 6038000000-E | PERM SOIL RE | SY  | 50.000    | 6.75000    | 337.50   |
| 0122 | 6042000000-E | 1/4" HARDWAR | LF  | 8100.000  | 3.50000    | 28350.00 |
| 0123 | 6071010000-E | WATTLE       | LF  | 10.000    | 7.00000    | 70.00    |
| 0124 | 6071012000-E | COIR FIBER W | LF  | 2250.000  | 8.00000    | 18000.00 |
| 0126 | 6084000000-E | SEEDING AND  | ACR | 12.000    | 1875.00000 | 22500.00 |
| 0127 | 6087000000-E | MOWING       | ACR | 12.000    | 150.00000  | 1800.00  |
| 0128 | 6090000000-E | SEED FOR REP | LB  | 150.000   | 20.00000   | 3000.00  |
| 0129 | 6093000000-E | FERT FOR REP | TON | 0.250     | 1000.00000 | 250.00   |
| 0130 | 6096000000-E | SEED FOR SUP | LB  | 200.000   | 20.00000   | 4000.00  |
| 0131 | 6108000000-E | FERTILIZER T | TON | 6.000     | 1000.00000 | 6000.00  |
| 0132 | 6114500000-N | SPECIALIZED  | MHR | 10.000    | 100.00000  | 1000.00  |
| 0133 | 6117000000-N | RESPONSE FOR | EA  | 35.000    | 400.00000  | 14000.00 |

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 181,832.50 Committed  
 DBE COMMITMENT TOTAL FOR VENDOR (SubContractor ) 181,832

DBE SUBCONTRACTOR: 3376 REYNOLDS FENCE & GUARDRAIL INC  
 Will Use Quote: Yes

|      |              |              |    |         |           |         |
|------|--------------|--------------|----|---------|-----------|---------|
| 0061 | 3030000000-E | STL BM GUARD | LF | 212.500 | 16.00000  | 3400.00 |
| 0062 | 3150000000-N | ADDIT GUARDR | EA | 4.000   | 30.00000  | 120.00  |
| 0063 | 3180000000-N | GR ANCHOR TY | EA | 12.000  | 150.00000 | 1800.00 |



THIS PROPOSAL CONTAINS THE FOLLOWING ERRORS/WARNINGS (IF ANY)

Item Warning : item 0000100000-N (Line# 0001) quantity overcommitted by DBE'  
DBE Warning : DBE Warning: DBE Commitment Goal not met

This Bid contains 1 amendment files

Electronic Bid Submission

By submitting this bid electronically, I hereby acknowledge that all requirements included in the hard copy proposal, addendum, amendments, plans, standard specifications, supplemental specifications and special provisions are part of the bid and contract. Further, I acknowledge that I have read, understand, accept, acknowledge and agree to comply with all statements in this electronic bid.

I Hereby certify that I have the authority to submit this bid.

Signature

Agency

Date

-----  
-----  
-----

## Contract Item Sheets For C203655

| Line #               | ItemNumber   | Sec # | Description   | Quantity Unit  | Unit Bid Price | Amount Bid   |
|----------------------|--------------|-------|---|----------------|----------------|--------------|
| <b>ROADWAY ITEMS</b> |              |       |   |                |                |              |
| 0001                 | 0000100000-N | 800   | MOBILIZATION  | Lump Sum<br>LS | 418,041.42     | 418,041.42   |
| 0002                 | 0000400000-N | 801   | CONSTRUCTION SURVEYING                                  | Lump Sum<br>LS | 36,500.00      | 36,500.00    |
| 0003                 | 0043000000-N | 226   | GRADING   | Lump Sum<br>LS | 1,286,070.00   | 1,286,070.00 |
| 0004                 | 0050000000-E | 226   | SUPPLEMENTARY CLEARING & GRUB-<br>BING                  | 1<br>ACR       | 1,000.00       | 1,000.00     |
| 0005                 | 0057000000-E | 226   | UNDERCUT EXCAVATION                                     | 250<br>CY      | 11.00          | 2,750.00     |
| 0006                 | 0134000000-E | 240   | DRAINAGE DITCH EXCAVATION                               | 5,020<br>CY    | 6.00           | 30,120.00    |
| 0007                 | 0195000000-E | 265   | SELECT GRANULAR MATERIAL                                | 250<br>CY      | 17.00          | 4,250.00     |
| 0008                 | 0196000000-E | 270   | GEOTEXTILE FOR SOIL STABILIZA-<br>TION                  | 2,650<br>SY    | 2.25           | 5,962.50     |
| 0009                 | 0318000000-E | 300   | FOUNDATION CONDITIONING MATE-<br>RIAL, MINOR STRUCTURES | 2,150<br>TON   | 26.50          | 56,975.00    |
| 0010                 | 0320000000-E | 300   | FOUNDATION CONDITIONING GEO-<br>TEXTILE                 | 6,760<br>SY    | 2.00           | 13,520.00    |
| 0011                 | 0366000000-E | 310   | 15" RC PIPE CULVERTS, CLASS<br>III                      | 8,940<br>LF    | 44.00          | 393,360.00   |
| 0012                 | 0372000000-E | 310   | 18" RC PIPE CULVERTS, CLASS<br>III                      | 664<br>LF      | 67.00          | 44,488.00    |
| 0013                 | 0378000000-E | 310   | 24" RC PIPE CULVERTS, CLASS<br>III                      | 1,112<br>LF    | 75.00          | 83,400.00    |
| 0014                 | 0384000000-E | 310   | 30" RC PIPE CULVERTS, CLASS<br>III                      | 732<br>LF      | 100.00         | 73,200.00    |
| 0015                 | 0390000000-E | 310   | 36" RC PIPE CULVERTS, CLASS<br>III                      | 1,640<br>LF    | 130.00         | 213,200.00   |
| 0016                 | 0396000000-E | 310   | 42" RC PIPE CULVERTS, CLASS<br>III                      | 860<br>LF      | 155.00         | 133,300.00   |
| 0017                 | 0448200000-E | 310   | 15" RC PIPE CULVERTS, CLASS IV                          | 4,476<br>LF    | 54.00          | 241,704.00   |
| 0018                 | 0448300000-E | 310   | 18" RC PIPE CULVERTS, CLASS IV                          | 1,168<br>LF    | 69.50          | 81,176.00    |

| Line # | ItemNumber   | Sec # | Description  | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|--|---------------|----------------|------------|
| 0019   | 0448400000-E | 310   | 24" RC PIPE CULVERTS, CLASS IV                           | 180<br>LF     | 84.00          | 15,120.00  |
| 0020   | 0448500000-E | 310   | 30" RC PIPE CULVERTS, CLASS IV                           | 252<br>LF     | 115.00         | 28,980.00  |
| 0021   | 0448600000-E | 310   | 36" RC PIPE CULVERTS, CLASS IV                           | 248<br>LF     | 150.00         | 37,200.00  |
| 0022   | 0453000000-E | 310   | *** PIPE END SECTION<br>(15")                            | 4<br>EA       | 1,750.00       | 7,000.00   |
| 0023   | 0453000000-E | 310   | *** PIPE END SECTION<br>(18")                            | 12<br>EA      | 2,000.00       | 24,000.00  |
| 0024   | 0453000000-E | 310   | *** PIPE END SECTION<br>(24")                            | 1<br>EA       | 2,700.00       | 2,700.00   |
| 0025   | 0995000000-E | 340   | PIPE REMOVAL   | 4,874<br>LF   | 10.00          | 48,740.00  |
| 0026   | 0996000000-N | 350   | PIPE CLEAN-OUT   | 6<br>EA       | 2,450.00       | 14,700.00  |
| 0027   | 1099500000-E | 505   | SHALLOW UNDERCUT   | 700<br>CY     | 11.00          | 7,700.00   |
| 0028   | 1099700000-E | 505   | CLASS IV SUBGRADE STABILIZA-<br>TION                     | 1,400<br>TON  | 28.00          | 39,200.00  |
| 0029   | 1220000000-E | 545   | INCIDENTAL STONE BASE                                    | 100<br>TON    | 33.00          | 3,300.00   |
| 0030   | 1308000000-E | 607   | MILLING ASPHALT PAVEMENT, ****<br>TO *****<br>(0" TO 3") | 3,000<br>SY   | 4.80           | 14,400.00  |
| 0031   | 1330000000-E | 607   | INCIDENTAL MILLING                                       | 1,340<br>SY   | 10.50          | 14,070.00  |
| 0032   | 1489000000-E | 610   | ASPHALT CONC BASE COURSE, TYPE<br>B25.0B                 | 610<br>TON    | 56.00          | 34,160.00  |
| 0033   | 1491000000-E | 610   | ASPHALT CONC BASE COURSE, TYPE<br>B25.0C                 | 10,060<br>TON | 46.50          | 467,790.00 |
| 0034   | 1503000000-E | 610   | ASPHALT CONC INTERMEDIATE<br>COURSE, TYPE I19.0C         | 7,580<br>TON  | 46.50          | 352,470.00 |
| 0035   | 1519000000-E | 610   | ASPHALT CONC SURFACE COURSE,<br>TYPE S9.5B               | 430<br>TON    | 54.75          | 23,542.50  |
| 0036   | 1523000000-E | 610   | ASPHALT CONC SURFACE COURSE,<br>TYPE S9.5C               | 19,090<br>TON | 39.50          | 754,055.00 |

| Line # | ItemNumber   | Sec # | Description                                  | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|--|---------------|----------------|------------|
| 0037   | 1575000000-E | 620   | ASPHALT BINDER FOR PLANT MIX                 | 1,990<br>TON  | 415.00         | 825,850.00 |
| 0038   | 1693000000-E | 654   | ASPHALT PLANT MIX, PAVEMENT REPAIR           | 100<br>TON    | 208.00         | 20,800.00  |
| 0039   | 2022000000-E | 815   | SUBDRAIN EXCAVATION                          | 224<br>CY     | 25.00          | 5,600.00   |
| 0040   | 2033000000-E | 815   | SUBDRAIN FINE AGGREGATE                      | 168<br>CY     | 45.00          | 7,560.00   |
| 0041   | 2044000000-E | 815   | 6" PERFORATED SUBDRAIN PIPE                  | 1,000<br>LF   | 12.00          | 12,000.00  |
| 0042   | 2070000000-N | 815   | SUBDRAIN PIPE OUTLET                         | 2<br>EA       | 350.00         | 700.00     |
| 0043   | 2077000000-E | 815   | 6" OUTLET PIPE                               | 12<br>LF      | 25.00          | 300.00     |
| 0044   | 2253000000-E | 840   | PIPE COLLARS                                 | 3.053<br>CY   | 1,550.00       | 4,732.15   |
| 0045   | 2264000000-E | 840   | PIPE PLUGS                                   | 0.31<br>CY    | 2,400.00       | 744.00     |
| 0046   | 2275000000-E | SP    | FLOWABLE FILL                                | 11<br>CY      | 375.00         | 4,125.00   |
| 0047   | 2286000000-N | 840   | MASONRY DRAINAGE STRUCTURES                  | 255<br>EA     | 1,550.00       | 395,250.00 |
| 0048   | 2308000000-E | 840   | MASONRY DRAINAGE STRUCTURES                  | 104.6<br>LF   | 355.00         | 37,133.00  |
| 0049   | 2354000000-N | 840   | FRAME WITH GRATE, STD 840.22                 | 1<br>EA       | 485.00         | 485.00     |
| 0050   | 2364000000-N | 840   | FRAME WITH TWO GRATES, STD 840.16            | 189<br>EA     | 675.00         | 127,575.00 |
| 0051   | 2364200000-N | 840   | FRAME WITH TWO GRATES, STD 840.20            | 10<br>EA      | 660.00         | 6,600.00   |
| 0052   | 2365000000-N | 840   | FRAME WITH TWO GRATES, STD 840.22            | 41<br>EA      | 640.00         | 26,240.00  |
| 0053   | 2396000000-N | 840   | FRAME WITH COVER, STD 840.54                 | 10<br>EA      | 575.00         | 5,750.00   |
| 0054   | 2407000000-N | 840   | STEEL FRAME WITH TWO GRATES, STD 840.37      | 5<br>EA       | 1,700.00       | 8,500.00   |
| 0055   | 2451000000-N | 852   | CONCRETE TRANSITIONAL SECTION FOR DROP INLET | 210<br>EA     | 778.00         | 163,380.00 |

| Line # | ItemNumber   | Sec # | Description   | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|---|---------------|----------------|------------|
| 0056   | 2462000000-E | SP    | *** SLUICE GATE<br>(8")   | 1<br>EA       | 2,450.00       | 2,450.00   |
| 0057   | 2647000000-E | 852   | 5" MONOLITHIC CONCRETE ISLANDS<br>(SURFACE MOUNTED)             | 320<br>SY     | 49.40          | 15,808.00  |
| 0058   | 2655000000-E | 852   | 5" MONOLITHIC CONCRETE ISLANDS<br>(KEYED IN)                    | 11,870<br>SY  | 38.90          | 461,743.00 |
| 0059   | 2815000000-N | 858   | ADJUSTMENT OF DROP INLETS                                       | 2<br>EA       | 800.00         | 1,600.00   |
| 0060   | 2995000000-N | SP    | GENERIC DRAINAGE ITEM<br>CONVERT 2GI TO SPECIAL JB W/MH         | 1<br>EA       | 1,100.00       | 1,100.00   |
| 0061   | 3030000000-E | 862   | STEEL BM GUARDRAIL  | 212.5<br>LF   | 16.00          | 3,400.00   |
| 0062   | 3150000000-N | 862   | ADDITIONAL GUARDRAIL POSTS                                      | 4<br>EA       | 30.00          | 120.00     |
| 0063   | 3180000000-N | 862   | GUARDRAIL ANCHOR UNITS, TYPE<br>*****<br>(TERMINAL END SECTION) | 12<br>EA      | 150.00         | 1,800.00   |
| 0064   | 3628000000-E | 876   | RIP RAP, CLASS I  | 41<br>TON     | 80.00          | 3,280.00   |
| 0065   | 3642000000-E | 876   | RIP RAP, CLASS A  | 114<br>TON    | 53.00          | 6,042.00   |
| 0066   | 3656000000-E | 876   | GEOTEXTILE FOR DRAINAGE   | 2,450<br>SY   | 2.50           | 6,125.00   |
| 0067   | 3659000000-N | SP    | PREFORMED SCOUR HOLES WITH<br>LEVEL SPREADER APRON              | 1<br>EA       | 1,350.00       | 1,350.00   |
| 0068   | 4072000000-E | 903   | SUPPORTS, 3-LB STEEL U-CHANNEL                                  | 3,400<br>LF   | 3.80           | 12,920.00  |
| 0069   | 4102000000-N | 904   | SIGN ERECTION, TYPE E   | 170<br>EA     | 65.00          | 11,050.00  |
| 0070   | 4108000000-N | 904   | SIGN ERECTION, TYPE F   | 20<br>EA      | 62.00          | 1,240.00   |
| 0071   | 4155000000-N | 907   | DISPOSAL OF SIGN SYSTEM, U-<br>CHANNEL                          | 251<br>EA     | 3.50           | 878.50     |
| 0072   | 4400000000-E | 1110  | WORK ZONE SIGNS (STATIONARY)                                    | 768<br>SF     | 15.00          | 11,520.00  |
| 0073   | 4405000000-E | 1110  | WORK ZONE SIGNS (PORTABLE)                                      | 1,248<br>SF   | 9.00           | 11,232.00  |
| 0074   | 4410000000-E | 1110  | WORK ZONE SIGNS (BARRICADE<br>MOUNTED)                          | 225<br>SF     | 4.83           | 1,086.75   |

| Line # | ItemNumber   | Sec # | Description  | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|--|---------------|----------------|------------|
| 0075   | 4415000000-N | 1115  | FLASHING ARROW BOARD                                 | 8<br>EA       | 4,150.00       | 33,200.00  |
| 0076   | 4420000000-N | 1120  | PORTABLE CHANGEABLE MESSAGE SIGN                     | 4<br>EA       | 15,000.00      | 60,000.00  |
| 0077   | 4422000000-N | 1120  | PORTABLE CHANGEABLE MESSAGE SIGN (SHORT TERM)        | 27<br>DAY     | 50.00          | 1,350.00   |
| 0078   | 4430000000-N | 1130  | DRUMS  | 400<br>EA     | 48.00          | 19,200.00  |
| 0079   | 4445000000-E | 1145  | BARRICADES (TYPE III)                                | 720<br>LF     | 23.00          | 16,560.00  |
| 0080   | 4450000000-N | 1150  | FLAGGER  | 950<br>HR     | 20.00          | 19,000.00  |
| 0081   | 4480000000-N | 1165  | TMA  | 4<br>EA       | 15,000.00      | 60,000.00  |
| 0082   | 4685000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)   | 2,680<br>LF   | 0.65           | 1,742.00   |
| 0083   | 4686000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)  | 947<br>LF     | 0.85           | 804.95     |
| 0084   | 4688000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (6", 90 MILS)   | 81,531<br>LF  | 0.85           | 69,301.35  |
| 0085   | 4690000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (6", 120 MILS)  | 47,667<br>LF  | 0.95           | 45,283.65  |
| 0086   | 4695000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)   | 45<br>LF      | 2.00           | 90.00      |
| 0087   | 4700000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (12", 90 MILS)  | 20,428<br>LF  | 2.50           | 51,070.00  |
| 0088   | 4710000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS) | 118<br>LF     | 10.00          | 1,180.00   |
| 0089   | 4725000000-E | 1205  | THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)      | 186<br>EA     | 80.00          | 14,880.00  |
| 0090   | 4810000000-E | 1205  | PAINT PAVEMENT MARKING LINES (4")                    | 12,122<br>LF  | 0.15           | 1,818.30   |
| 0091   | 4815000000-E | 1205  | PAINT PAVEMENT MARKING LINES (6")                    | 257,532<br>LF | 0.15           | 38,629.80  |
| 0092   | 4825000000-E | 1205  | PAINT PAVEMENT MARKING LINES (12")                   | 10,500<br>LF  | 0.50           | 5,250.00   |

| Line # | ItemNumber   | Sec # | Description                           | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|---------------------------------------|---------------|----------------|------------|
| 0093   | 4835000000-E | 1205  | PAINT PAVEMENT MARKING LINES<br>(24") | 1,308<br>LF   | 1.00           | 1,308.00   |
| 0094   | 4845000000-N | 1205  | PAINT PAVEMENT MARKING SYMBOL         | 40<br>EA      | 35.00          | 1,400.00   |
| 0095   | 4900000000-N | 1251  | PERMANENT RAISED PAVEMENT<br>MARKERS  | 1,942<br>EA   | 4.50           | 8,739.00   |
| 0096   | 4915000000-E | 1264  | 7' U-CHANNEL POSTS                    | 15<br>EA      | 38.00          | 570.00     |
| 0097   | 4955000000-N | 1264  | OBJECT MARKERS (END OF ROAD)          | 15<br>EA      | 85.00          | 1,275.00   |
| 0098   | 5326200000-E | 1510  | 12" WATER LINE                        | 40<br>LF      | 155.00         | 6,200.00   |
| 0099   | 5326600000-E | 1510  | 16" WATER LINE                        | 505<br>LF     | 145.00         | 73,225.00  |
| 0100   | 5572600000-E | 1515  | 16" TAPPING VALVE                     | 1<br>EA       | 20,000.00      | 20,000.00  |
| 0101   | 5691300000-E | 1520  | 8" SANITARY GRAVITY SEWER             | 137<br>LF     | 91.50          | 12,535.50  |
| 0102   | 5691600000-E | 1520  | 16" SANITARY GRAVITY SEWER            | 169<br>LF     | 170.00         | 28,730.00  |
| 0103   | 5709600000-E | 1520  | 12" FORCE MAIN SEWER                  | 70<br>LF      | 530.00         | 37,100.00  |
| 0104   | 5768000000-N | 1520  | SANITARY SEWER CLEAN-OUT              | 4<br>EA       | 1,250.00       | 5,000.00   |
| 0105   | 5804000000-E | 1530  | ABANDON 12" UTILITY PIPE              | 100<br>LF     | 5.50           | 550.00     |
| 0106   | 5810000000-E | 1530  | ABANDON 16" UTILITY PIPE              | 480<br>LF     | 6.20           | 2,976.00   |
| 0107   | 5835800000-E | 1540  | 18" ENCASEMENT PIPE                   | 115<br>LF     | 160.00         | 18,400.00  |
| 0108   | 5836200000-E | 1540  | 30" ENCASEMENT PIPE                   | 185<br>LF     | 210.00         | 38,850.00  |
| 0109   | 6000000000-E | 1605  | TEMPORARY SILT FENCE                  | 14,500<br>LF  | 1.75           | 25,375.00  |
| 0110   | 6006000000-E | 1610  | STONE FOR EROSION CONTROL,<br>CLASS A | 1,500<br>TON  | 53.00          | 79,500.00  |
| 0111   | 6009000000-E | 1610  | STONE FOR EROSION CONTROL,<br>CLASS B | 900<br>TON    | 52.00          | 46,800.00  |
| 0112   | 6012000000-E | 1610  | SEDIMENT CONTROL STONE                | 3,000<br>TON  | 35.00          | 105,000.00 |

| Line # | ItemNumber   | Sec # | Description                      | Quantity Unit | Unit Bid Price | Amount Bid |
|--------|--------------|-------|----------------------------------|---------------|----------------|------------|
| 0113   | 6015000000-E | 1615  | TEMPORARY MULCHING               | 12<br>ACR     | 800.00         | 9,600.00   |
| 0114   | 6018000000-E | 1620  | SEED FOR TEMPORARY SEEDING       | 700<br>LB     | 5.00           | 3,500.00   |
| 0115   | 6021000000-E | 1620  | FERTILIZER FOR TEMPORARY SEEDING | 3<br>TON      | 1,000.00       | 3,000.00   |
| 0116   | 6024000000-E | 1622  | TEMPORARY SLOPE DRAINS           | 200<br>LF     | 14.00          | 2,800.00   |
| 0117   | 6029000000-E | SP    | SAFETY FENCE                     | 200<br>LF     | 1.25           | 250.00     |
| 0118   | 6030000000-E | 1630  | SILT EXCAVATION                  | 1,600<br>CY   | 10.00          | 16,000.00  |
| 0119   | 6036000000-E | 1631  | MATTING FOR EROSION CONTROL      | 17,800<br>SY  | 1.75           | 31,150.00  |
| 0120   | 6037000000-E | SP    | COIR FIBER MAT                   | 100<br>SY     | 5.00           | 500.00     |
| 0121   | 6038000000-E | SP    | PERMANENT SOIL REINFORCEMENT MAT | 50<br>SY      | 6.75           | 337.50     |
| 0122   | 6042000000-E | 1632  | 1/4" HARDWARE CLOTH              | 9,000<br>LF   | 3.50           | 31,500.00  |
| 0123   | 6071010000-E | SP    | WATTLE                           | 25<br>LF      | 7.00           | 175.00     |
| 0124   | 6071012000-E | SP    | COIR FIBER WATTLE                | 2,500<br>LF   | 8.00           | 20,000.00  |
| 0125   | 6071020000-E | SP    | POLYACRYLAMIDE (PAM)             | 1,300<br>LB   | 6.50           | 8,450.00   |
| 0126   | 6084000000-E | 1660  | SEEDING & MULCHING               | 12<br>ACR     | 1,875.00       | 22,500.00  |
| 0127   | 6087000000-E | 1660  | MOWING                           | 12<br>ACR     | 150.00         | 1,800.00   |
| 0128   | 6090000000-E | 1661  | SEED FOR REPAIR SEEDING          | 150<br>LB     | 20.00          | 3,000.00   |
| 0129   | 6093000000-E | 1661  | FERTILIZER FOR REPAIR SEEDING    | 0.25<br>TON   | 1,000.00       | 250.00     |
| 0130   | 6096000000-E | 1662  | SEED FOR SUPPLEMENTAL SEEDING    | 200<br>LB     | 20.00          | 4,000.00   |
| 0131   | 6108000000-E | 1665  | FERTILIZER TOPDRESSING           | 6<br>TON      | 1,000.00       | 6,000.00   |
| 0132   | 6114500000-N | 1667  | SPECIALIZED HAND MOWING          | 10<br>MHR     | 100.00         | 1,000.00   |
| 0133   | 6117000000-N | SP    | RESPONSE FOR EROSION CONTROL     | 35<br>EA      | 400.00         | 14,000.00  |

## Contract Item Sheets For C203655

| Line #  | ItemNumber   | Sec # | Description   | Quantity Unit | Unit Bid Price | Amount Bid            |
|---|--------------|-------|---|---------------|----------------|-----------------------|
| 0134  | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>CONCRETE WASHOUT STRUCTURE                        | 2<br>EA       | 1,350.00       | 2,700.00              |
| 0135  | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>FABRIC INSERT INLET PROTECTION<br>DEVICE          | 15<br>EA      | 25.00          | 375.00                |
| 0136  | 6132000000-N | SP    | GENERIC EROSION CONTROL ITEM<br>FABRIC INSERT INLET PROTECTION<br>DEVICE CLEANOUT | 45<br>EA      | 175.00         | 7,875.00              |
| <b>TOTAL AMOUNT OF BID FOR ENTIRE PROJECT</b> |              |       |   |               |                | <b>\$8,359,869.87</b> |

1613/Jan25/Q606881.713/D471174342000/E136

Contract No. C203655  
County Cumberland

Rev. 5-19-11

**EXECUTION OF CONTRACT  
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION  
LIMITED LIABILITY COMPANY**

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Contractor intends to do the work with its own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion Affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

**SIGNATURE OF CONTRACTOR**

Highland Paving Co. LLC  
Full Name of Firm  
P.O. Box 1843, Fayetteville NC 28302  
Address as Prequalified

[Signature]  
Signature of Witness

[Signature]  
Signature of Member/Manager/Authorized Agent  
Select appropriate title

David A. Byers  
Print or type Signer's name

Brian Faynor  
Print or type Signer's Name

**AFFIDAVIT MUST BE NOTARIZED**

Subscribed and sworn to before me this the

**NOTARY SEAL**

26th day of January 2016.

Shelby H. Threatt  
Signature of Notary Public

of Cumberland County

State of North Carolina

My Commission Expires: 9-27-2019



Contract No.

County

C203655  
Cumberland

Rev. 5-19-11

## DEBARMENT CERTIFICATION

Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
2. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded*, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

Contract No.

County

C203655  
Cumbria

Rev. 5-19-11

### DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

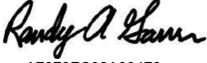
Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.

Contract No. C203655

County (ies): Cumberland

ACCEPTED BY THE  
DEPARTMENT OF TRANSPORTATION

DocuSigned by:  
  
A7079FC32A09478...  
Contract Officer

2/3/2016

Date

Execution of Contract and Bonds  
Approved as to Form:

DocuSigned by:  
  
A0A0E93483DE423...  
Attorney General

2/3/2016

Date

Signature Sheet (Bid - Acceptance by Department)

Contract No. C203655  
County Cumberland

Rev 2-1-10

**CONTRACT PAYMENT BOND**

Date of Payment Bond Execution 1-19-16  
Name of Principal Contractor Highland Paving, LLC  
Name of Surety: Great American Insurance Company  
Name of Contracting Body: **North Carolina Department of Transportation**  
**Raleigh, North Carolina**  
Amount of Bond: \$8,359,869.87  
Contract ID No.: C203655  
County Name: Cumberland

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No. C203655  
County Cumberland

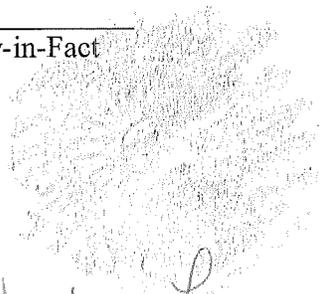
Rev 2-1-10

**CONTRACT PAYMENT BOND**

*Affix Seal of Surety Company*

Great American Insurance Company  
\_\_\_\_\_  
Print or type Surety Company Name

By Martha-Ann Marley Long  
\_\_\_\_\_  
Print, stamp or type name of Attorney-in-Fact



*Martha-Ann Marley Long*  
\_\_\_\_\_  
Signature of Attorney-in-Fact

*Dorothy R. Lee*  
\_\_\_\_\_  
Signature of Witness

Dorothy R. Lee  
\_\_\_\_\_  
Print or type Signer's name

158 Huntington Lane, Mooresville, NC 28117  
\_\_\_\_\_  
Address of Attorney-in-Fact

Contract No. C203655  
County Cumberland

Rev 2-1-10

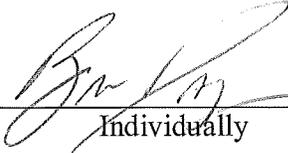
**CONTRACT PAYMENT BOND**

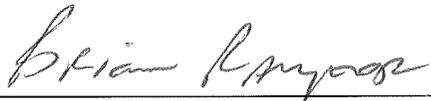
**LIMITED LIABILITY COMPANY**

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor Highland Paving, LLC  
Full name of Firm

PO Box 1843, Fayetteville, NC 28302  
Address as prequalified

Signature of Member/Manager   
Individually

  
Print or type Signer's name

**GREAT AMERICAN INSURANCE COMPANY®**

**Administrative Office: 301 E 4TH STREET • CINCINNATI, OHIO 45202 • 513-369-5000 • FAX 513-723-2740**

The number of persons authorized by this power of attorney is not more than **FOUR**

No. 0 20587

**POWER OF ATTORNEY**

**KNOW ALL MEN BY THESE PRESENTS:** That the GREAT AMERICAN INSURANCE COMPANY, a corporation organized and existing under and by virtue of the laws of the State of Ohio, does hereby nominate, constitute and appoint the person or persons named below, each individually if more than one is named, its true and lawful attorney-in-fact, for it and in its name, place and stead to execute on behalf of the said Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; provided that the liability of the said Company on any such bond, undertaking or contract of suretyship executed under this authority shall not exceed the limit stated below.

| Name                   | Address                     | Limit of Power |
|------------------------|-----------------------------|----------------|
| BARR H. GARDNER, III   | COLUMBIA, SOUTH CAROLINA    | ALL            |
| VONDA A. RENTZ         | COLUMBIA, SOUTH CAROLINA    | UNLIMITED      |
| DOROTHY R. LEE         | COLUMBIA, SOUTH CAROLINA    |                |
| MARTHA-ANN-MARLEY LONG | MOORESVILLE, NORTH CAROLINA |                |

This Power of Attorney revokes all previous powers issued on behalf of the attorney(s)-in-fact named above.

IN WITNESS WHEREOF the GREAT AMERICAN INSURANCE COMPANY has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 21ST day of JULY, 2015  
GREAT AMERICAN INSURANCE COMPANY



*My L C B*  
Assistant Secretary

*David C. Kitchin*  
Divisional Senior Vice President

DAVID C. KITCHIN (877-377-2405)

STATE OF OHIO, COUNTY OF HAMILTON - ss:

On this 21ST day of JULY, 2015, before me personally appeared DAVID C. KITCHIN, to me known, being duly sworn, deposes and says that he resides in Cincinnati, Ohio, that he is a Divisional Senior Vice President of the Bond Division of Great American Insurance Company, the Company described in and which executed the above instrument; that he knows the seal of the said Company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed by authority of his office under the By-Laws of said Company, and that he signed his name thereto by like authority.



**Susan A. Kohorst**  
Notary Public, State of Ohio  
My Commission Expires 05-18-2020

*Susan A Kohorst*

This Power of Attorney is granted by authority of the following resolutions adopted by the Board of Directors of Great American Insurance Company by unanimous written consent dated June 9, 2008.

*RESOLVED: That the Divisional President, the several Divisional Senior Vice Presidents, Divisional Vice Presidents and Divisional Assistant Vice Presidents, or any one of them, be and hereby is authorized, from time to time, to appoint one or more Attorneys-in-Fact to execute on behalf of the Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment at any time.*

*RESOLVED FURTHER: That the Company seal and the signature of any of the aforesaid officers and any Secretary or Assistant Secretary of the Company may be affixed by facsimile to any power of attorney or certificate of either given for the execution of any bond, undertaking, contract of suretyship, or other written obligation in the nature thereof, such signature and seal when so used being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.*

**CERTIFICATION**

I, STEPHEN C. BERAHA, Assistant Secretary of Great American Insurance Company, do hereby certify that the foregoing Power of Attorney and the Resolutions of the Board of Directors of June 9, 2008 have not been revoked and are now in full force and effect.

Signed and sealed this

19th day of January, 2016.



*My L C B*  
Assistant Secretary

Contract No. C203655  
County Cumberland

**CONTRACT PERFORMANCE BOND**

Date of Performance Bond Execution: 1-19-16

Name of Principal Contractor: Highland Paving, LLC

Name of Surety: Great American Insurance Company

Name of Contracting Body: **North Carolina Department of Transportation**  
**Raleigh, North Carolina**

Amount of Bond: \$8,359,869.87

Contract ID No.: C203655

County Name: Cumberland

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No. C203655  
County Cumberland

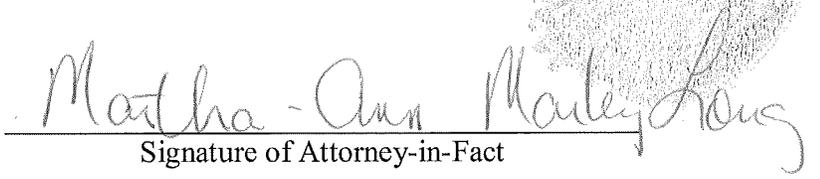
Rev 2-1-10

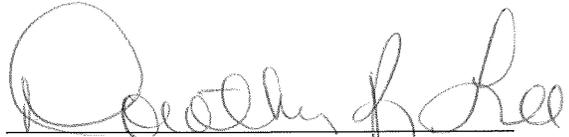
**CONTRACT PERFORMANCE BOND**

*Affix Seal of Surety Company*

Great American Insurance Company  
\_\_\_\_\_  
Print or type Surety Company Name

By Martha-Ann Marley Long  
\_\_\_\_\_  
Print, stamp or type name of Attorney-in-Fact

  
\_\_\_\_\_  
Signature of Attorney-in-Fact

  
\_\_\_\_\_  
Signature of Witness

Dorothy R. Lee  
\_\_\_\_\_  
Print or type Signer's name

158 Huntington Lane, Mooresville, NC 28117  
\_\_\_\_\_  
Address of Attorney-in-Fact

Contract No.  
County

C203655

Cumberland

Rev 2-1-10

**CONTRACT PERFORMANCE BOND**

**LIMITED LIABILITY COMPANY**

SIGNATURE OF CONTRACTOR (Principal)

Name of Contractor

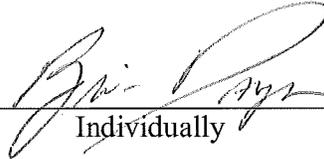
Highland Paving, LLC

Full name of Firm

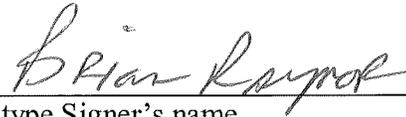
PO Box 1843, Fayetteville, NC 28302

Address as prequalified

Signature of Member/Manager



Individually



Print or type Signer's name

**GREAT AMERICAN INSURANCE COMPANY®**

Administrative Office: 301 E 4TH STREET • CINCINNATI, OHIO 45202 • 513-369-5000 • FAX 513-723-2740

The number of persons authorized by this power of attorney is not more than **FOUR**

No. 0 20587

**POWER OF ATTORNEY**

**KNOW ALL MEN BY THESE PRESENTS:** That the GREAT AMERICAN INSURANCE COMPANY, a corporation organized and existing under and by virtue of the laws of the State of Ohio, does hereby nominate, constitute and appoint the person or persons named below, each individually if more than one is named, its true and lawful attorney-in-fact, for it and in its name, place and stead to execute on behalf of the said Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; provided that the liability of the said Company on any such bond, undertaking or contract of suretyship executed under this authority shall not exceed the limit stated below.

| Name                   | Address                     | Limit of Power |
|------------------------|-----------------------------|----------------|
| BARR H. GARDNER, III   | COLUMBIA, SOUTH CAROLINA    | ALL            |
| VONDA A. RENTZ         | COLUMBIA, SOUTH CAROLINA    | UNLIMITED      |
| DOROTHY R. LEE         | COLUMBIA, SOUTH CAROLINA    |                |
| MARTHA-ANN-MARLEY LONG | MOORESVILLE, NORTH CAROLINA |                |

This Power of Attorney revokes all previous powers issued on behalf of the attorney(s)-in-fact named above.

IN WITNESS WHEREOF the GREAT AMERICAN INSURANCE COMPANY has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this 21ST day of JULY, 2015  
GREAT AMERICAN INSURANCE COMPANY

Attest



*Atty L C B*  
Assistant Secretary

*David C. Kitchin*  
Divisional Senior Vice President

DAVID C. KITCHIN (877-377-2405)

STATE OF OHIO, COUNTY OF HAMILTON - ss:

On this 21ST day of JULY, 2015, before me personally appeared DAVID C. KITCHIN, to me known, being duly sworn, deposes and says that he resides in Cincinnati, Ohio, that he is a Divisional Senior Vice President of the Bond Division of Great American Insurance Company, the Company described in and which executed the above instrument; that he knows the seal of the said Company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed by authority of his office under the By-Laws of said Company, and that he signed his name thereto by like authority.



**Susan A. Kohorst**  
Notary Public, State of Ohio  
My Commission Expires 05-18-2020

*Susan A Kohorst*

This Power of Attorney is granted by authority of the following resolutions adopted by the Board of Directors of Great American Insurance Company by unanimous written consent dated June 9, 2008.

*RESOLVED: That the Divisional President, the several Divisional Senior Vice Presidents, Divisional Vice Presidents and Divisional Assistant Vice Presidents, or any one of them, be and hereby is authorized, from time to time, to appoint one or more Attorneys-in-Fact to execute on behalf of the Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment at any time.*

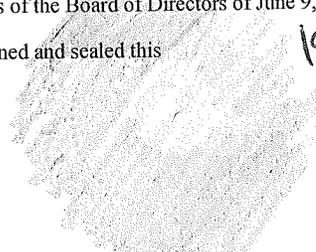
*RESOLVED FURTHER: That the Company seal and the signature of any of the aforesaid officers and any Secretary or Assistant Secretary of the Company may be affixed by facsimile to any power of attorney or certificate of either given for the execution of any bond, undertaking, contract of suretyship, or other written obligation in the nature thereof, such signature and seal when so used being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.*

**CERTIFICATION**

I, STEPHEN C. BERAHA, Assistant Secretary of Great American Insurance Company, do hereby certify that the foregoing Power of Attorney and the Resolutions of the Board of Directors of June 9, 2008 have not been revoked and are now in full force and effect.

Signed and sealed this

*19th* day of *January*, 2016.



*Atty L C B*  
Assistant Secretary