

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

C203498

CONTRACT AND  
CONTRACT BONDS

FOR CONTRACT NO. C203498

WBS 33507.2.FD1 BRZ-1002(13)

T.I.P NO. B-4159

COUNTY OF JACKSON

THIS IS THE ROADWAY & STRUCTURE CONTRACT

ROUTE NUMBER SR 1002 LENGTH 0.294 MILES

LOCATION BRIDGE #108 OVER TUCKASEGEE RIVER ON SR-1002.

CONTRACTOR NHM CONSTRUCTORS, LLC

ADDRESS P.O. BOX 6385

ASHEVILLE, NC 28816

BIDS OPENED DECEMBER 15, 2015

CONTRACT EXECUTION 2/9/2016

STATE OF NORTH CAROLINA  
DEPARTMENT OF TRANSPORTATION  
RALEIGH, N.C.

PROPOSAL

**INCLUDES ADDENDUM No. 1 DATED 12-02-15**

DATE AND TIME OF BID OPENING: **DECEMBER 15, 2015 AT 2:00 PM**

CONTRACT ID      C203498  
WBS                33507.2.FD1

FEDERAL-AID NO. BRZ-1002(13)  
COUNTY           JACKSON  
T.I.P. NO.          B-4159  
MILES                0.294  
ROUTE NO.          SR 1002  
LOCATION             BRIDGE #108 OVER TUCKASEGEE RIVER ON SR-1002.

TYPE OF WORK      GRADING, DRAINAGE, PAVING, SIGNALS, AND STRUCTURE.

**NOTICE:**

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA. NOTWITHSTANDING THESE LIMITATIONS ON BIDDING, THE BIDDER WHO IS AWARDED ANY FEDERAL - AID FUNDED PROJECT SHALL COMPLY WITH CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA FOR LICENSING REQUIREMENTS WITHIN 60 CALENDAR DAYS OF BID OPENING.

**BIDS WILL BE RECEIVED AS SHOWN BELOW:**

**THIS IS A ROADWAY & STRUCTURE PROPOSAL**

**5% BID BOND OR BID DEPOSIT REQUIRED**

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**PROPOSAL FOR THE CONSTRUCTION OF  
CONTRACT No. C203498 IN JACKSON COUNTY, NORTH CAROLINA**

Date \_\_\_\_\_ 20 \_\_\_\_\_

**DEPARTMENT OF TRANSPORTATION,  
RALEIGH, NORTH CAROLINA**

The Bidder has carefully examined the location of the proposed work to be known as Contract No. C203498; has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to be bound upon his execution of the bid and subsequent award to him by the Board of Transportation in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with *the 2012 Standard Specifications for Roads and Structures* by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete State Highway Contract No. C203498 in Jackson County, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled *North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2012* with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer.

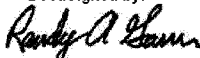
The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

Accompanying this bid is a bid bond secured by a corporate surety, or certified check payable to the order of the Department of Transportation, for five percent of the total bid price, which deposit is to be forfeited as liquidated damages in case this bid is accepted and the Bidder shall fail to provide the required payment and performance bonds with the Department of Transportation, under the condition of this proposal, within 14 calendar days after the written notice of award is received by him, as provided in the *Standard Specifications*; otherwise said deposit will be returned to the Bidder.



*State Contract Officer*

DocuSigned by:  
  
A7079FC32A09478...

12/2/2015

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### **PROPOSAL ITEM SHEET**

ITEM SHEET(S)      (TAN SHEETS)

**PROJECT SPECIAL PROVISIONS****GENERAL****CONTRACT TIME AND LIQUIDATED DAMAGES:**

(8-15-00) (Rev. 12-18-07)

108

SP1 G07 A

The date of availability for this contract is **January 25, 2016**, except that work in jurisdictional waters and wetlands shall not begin until a meeting between the DOT, Regulatory Agencies, and the Contractor is held as stipulated in the permits contained elsewhere in this proposal. This delay in availability has been considered in determining the contract time for this project.

The completion date for this contract is **May 14, 2019**.

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **Two Hundred Dollars (\$ 200.00)** per calendar day. These liquidated damages will not be cumulative with any liquidated damages which may become chargeable under Intermediate Contract Time Number 1.

**INTERMEDIATE CONTRACT TIME NUMBER 1 AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 2-21-12)

108

SP1 G13 A

Except for that work required under the Project Special Provisions entitled *Planting, Reforestation* and/or *Permanent Vegetation Establishment*, included elsewhere in this proposal, the Contractor will be required to complete all work included in this contract and shall place and maintain traffic on same.

The date of availability for this intermediate contract time is **January 25, 2016**.

The completion date for this intermediate contract time is **November 15, 2018**.

The liquidated damages for this intermediate contract time are **One Thousand Five Hundred Dollars (\$ 1,500.00)** per calendar day.

Upon apparent completion of all the work required to be completed by this intermediate date, a final inspection will be held in accordance with Article 105-17 and upon acceptance, the Department will assume responsibility for the maintenance of all work except *Planting, Reforestation* and/or *Permanent Vegetation Establishment*. The Contractor will be responsible for and shall make corrections of all damages to the completed roadway caused by his planting operations, whether occurring prior to or after placing traffic through the project.

**INTERMEDIATE CONTRACT TIME NUMBER 2 AND LIQUIDATED DAMAGES:**

(2-20-07)

108

SP1 G14 A

The Contractor shall complete the required work of installing, maintaining, and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow a lane of traffic on **Old Cullowhee Road (-L- SR 1002)** during the following time restrictions:

**DAY AND TIME RESTRICTIONS**  
**Monday thru Sunday, 7:00 AM to 4:00 PM**

In addition, the Contractor shall not close or narrow a lane of traffic on **Old Cullowhee Road (-L- SR 1002)**, detain and/or alter the traffic flow on or during holidays, holiday weekends, special events, or any other time when traffic is unusually heavy, including the following schedules:

**HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS**

1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
2. For **New Year's Day**, between the hours of **7:00 AM** December 31st and **4:00 PM** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **4:00 PM** the following Tuesday.
3. For **Easter**, between the hours of **7:00 AM** Thursday and **4:00 PM** Monday.
4. For **Memorial Day**, between the hours of **7:00 AM** Friday and **4:00 PM** Tuesday.
5. For **Independence Day**, between the hours of **7:00 AM** the day before Independence Day and **4:00 PM** the day after Independence Day.

If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **7:00 AM** the Thursday before Independence Day and **4:00 PM** the Tuesday after Independence Day.

6. For **Labor Day**, between the hours of **7:00 AM** Friday and **4:00 PM** Tuesday.
7. For **Thanksgiving Day**, between the hours of **7:00 AM** Tuesday and **4:00 PM** Monday.
8. For **Christmas**, between the hours of **7:00 AM** the Friday before the week of Christmas Day and **4:00 PM** the following Tuesday after the week of Christmas Day.
9. For **Western Carolina University Freshman Move-In Days**, between the hours of **7:00 AM** the Friday before Freshman Move-In Days and **7:00 AM** the Monday after Freshman Move-In Days.

Holidays and holiday weekends shall include New Year's Day, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, Christmas, and Western Carolina University Freshman Move-In Days. The Contractor shall schedule his work so that lane closures will not be required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated above and place traffic in the existing traffic pattern.

The liquidated damages are **Five Hundred Dollars (\$ 500.00)** per hour.

**PERMANENT VEGETATION ESTABLISHMENT:**

(2-16-12) (Rev. 10-15-13)

104

SP1 G16

Establish a permanent stand of the vegetation mixture shown in the contract. During the period between initial vegetation planting and final project acceptance, perform all work necessary to establish permanent vegetation on all erodible areas within the project limits, as well as, in borrow and waste pits. This work shall include erosion control device maintenance and installation, repair seeding and mulching, supplemental seeding and mulching, mowing, and fertilizer topdressing, as directed. All work shall be performed in accordance with the applicable section of the *2012 Standard Specifications*. All work required for initial vegetation planting shall be performed as a part of the work necessary for the completion and acceptance of the Intermediate Contract Time (ICT). Between the time of ICT and Final Project acceptance, or otherwise referred to as the vegetation establishment period, the Department will be responsible for preparing the required National Pollutant Discharge Elimination System (NPDES) inspection records.

Once the Engineer has determined that the permanent vegetation establishment requirement has been achieved at an 80% vegetation density (the amount of established vegetation per given area to stabilize the soil) and no erodible areas exist within the project limits, the Contractor will be notified to remove the remaining erosion control devices that are no longer needed. The Contractor will be responsible for, and shall correct any areas disturbed by operations performed in permanent vegetation establishment and the removal of temporary erosion control measures, whether occurring prior to or after placing traffic on the project.

Payment for *Response for Erosion Control, Seeding and Mulching, Repair Seeding, Supplemental Seeding, Mowing, Fertilizer Topdressing, Silt Excavation, and Stone for Erosion Control* will be made at contract unit prices for the affected items. Work required that is not represented by contract line items will be paid in accordance with Articles 104-7 or 104-3 of the *2012 Standard Specifications*. No additional compensation will be made for maintenance and removal of temporary erosion control items.

**CONSTRUCTION MORATORIA:**

(7-15-14)

SP1 G18A(Revised)

No in-water work or land disturbance within the 25 ft wide buffer zone will be allowed from **October 15** through **April 15** of any year.

No tree cutting will be allowed from **April 15** through **October 15** of any year.

**DELAY IN RIGHT OF ENTRY:**

(7-1-95) (Rev. 7-15-14)

108

SP1 G22

The Contractor will not be allowed right of entry to the following parcel(s) prior to the listed date(s) unless otherwise permitted by the Engineer.

<b><u>Parcel No.</u></b>	<b><u>Property Owner</u></b>	<b><u>Date</u></b>
3	Mt. Villages	12/01/15
7	Kabboord Properties, Inc.	12/01/15
13	Bob Wheatly	12/01/15
15	Bob Wheatly	12/01/15
22	John & Robert Bryson	12/01/15
23	Marilyn A. James Trustee	12/01/15
17	Jed Haley	01/15/16
26	Tammy Amanda Wheatly	12/01/15
25	State of North Carolina	12/01/15

**MAJOR CONTRACT ITEMS:**

(2-19-02)

104

SP1 G28

The following listed items are the major contract items for this contract (see Article 104-5 of the *2012 Standard Specifications*):

<b>Line #</b>	<b>Description</b>
5	Unclassified Excavation
186	Reinforced Concrete Deck Slab
192	54" Prestressed Concrete Girders

**SPECIALTY ITEMS:**

(7-1-95)(Rev. 1-17-12)

108-6

SP1 G37

Items listed below will be the specialty items for this contract (see Article 108-6 of the 2012 *Standard Specifications*).

<b>Line #</b>	<b>Description</b>
56-62	Guardrail
66-70	Signing
83-86, 92	Long-Life Pavement Markings
87	Removable Tape
95	Permanent Pavement Markers
202	Lighting
96-122, 203	Utility Construction
123-148, 150	Erosion Control
149	Reforestation
151-178	Signals/ITS System
181-184	Drilled Piers

**SPECIAL REQUIREMENTS FOR WORK IN NATIONAL FOREST:**

(7-1-95)

107-13

SP1 G40

In addition to other requirements in this proposal with respect to clearing, erosion control, protection of environment, etc., comply with the following requirements:

- (A) Comply with the portions of these Special Requirements, entitled “Fire Plan,” “Clearing Plan,” and “Landscape and Erosion Control Plan.” Note the fact that merchantable timber within Forest Service Property will become the property of the Contractor.
- (B) Comply with the following recommendations of the State Fish and Game Department and Forest Service for wildlife and fish management:
  - (1) Take all necessary precautions to avoid damage to fish habitat and exercise every reasonable precaution to prevent muddying or silting live streams.
  - (2) Do not deposit material removed from the roadway or channel changes in live streams or into the streams or stream channel where it would be washed away by high stream flows.
  - (3) Do not haul materials, including logs, brush, and debris, by fording live streams. Instead, provide temporary bridges or other structures for this purpose.
- (C) Dispose of waste material resulting from slides during construction and surplus material at locations approved by the Forest Supervisor. Submit a plan showing the proposed method of disposal at the time approval is requested.
- (D) Treat sections of existing road to be abandoned as a result of the proposed new construction, as designated by the Forest Supervisor, to restore them to their natural state.

The necessary treatment will be determined during a joint review between the Forest Service and the State and may include ripping of roadbed, removal of drainage structure, and opening drainage channels. Plans and specifications as mutually deemed appropriate to accomplish the objective will become a part of this stipulation.

- (E) Permanently monument the right of way prior to completion of construction in accordance with State requirements for such right of way, but in any event the minimum requirements will be to place permanent monuments at the intersection of right of way with all property lines, section lines, and at intervals of not more than 1,000 feet along the right-of-way limits.
- (F) Re-establish or restore public land monuments disturbed or destroyed by construction, reconstruction, or maintenance according to instructions of the Bureau of Land Management, Department of the Interior. Do not damage, destroy, or obliterate other land monuments and property corners or witness markers without the prior permission of the Regional Forester. Relocate or re-establish these land monuments, property corners, and witness markers in accordance with standards satisfactory to the Regional Forester.

### **Fire Protection Plan**

During the period of construction, perform both independently and in cooperation with the Forest Service everything that is reasonable and practical to prevent and suppress forest fires on the easement area and in its immediate vicinity. Include provisions in all subcontracts for the construction of the road requiring subcontractors and their respective employees to do likewise. The contractors and subcontractors, shall conform to, but not be limited to, the following Fire Plan:

- (A) Take immediate independent or cooperative action to control and extinguish any fire, regardless of cause, within the easement area and its vicinity.
- (B) Maintain at readily available sites one or more boxes of fire fighting tools to be furnished by the Forest Service for forest fire fighting purposes only.
- (C) Perform debris burning only in the center of the right of way, and only after a strip 20 feet wide around each pile is cleared to mineral soil.
- (D) Keep fires compact by throwing in the larger material as it burns. If piles are too close together or burn hot, light every second or third pile; allow these to cool down before firing the others. On slopes start burning at the top and work down. Confine fires to piles at all times.
- (E) Do not leave fires unattended.
- (F) Discontinue burning upon notification by the District Forest Ranger or his representative that fire danger is such that there is abnormal risk.

- (G) Whenever a fire escapes, notify the District Ranger immediately even if the fire is suppressed without Forest Service assistance.
- (H) The contractor or subcontractor responsible will bear the costs, including Forest Service direct costs and value of resources damages, incurred by the Forest Service in controlling and extinguishing any fire on or threatening National Forest lands which they or their employees caused with or without negligence in connection with construction operations.
- (I) Contact the District Ranger 24 hours in advance of burning.

### **Clearing Plan**

Conform to the following clearing plan:

- (A) Dispose of unmerchantable materials including tops, branches, etc., by piling and burning as directed by the Forest Service or used in brush barriers. Alternate methods of disposal, including any of the following methods or combinations of methods (lop and scatter, chip, remove, pile only), shall be approved in advance by the Forest Service.
- (B) The maximum clearing and grubbing limits are to be as shown on the plans except that cutting of hazard trees outside these limits may be done with approval. Confine construction machinery within the clearing limits.

### **Landscape and Erosion Control Plan**

The erosion control plan will be designed and implemented to prevent visible sediment, as defined by NC DEQ regulations, from reaching any defined stream channel.

Conform to, but not be limited to, the following Landscape and Erosion Control Plan.

- (A) Prevent visible sediment from entering any stream channel. If an erosion control practice must be sited in a channel, it shall stop further down-channel transport of visible sediment.
- (B) Bear responsibility for the prevention and control of soil erosion and gullying on the right of way and lands adjacent thereto resulting from the construction or maintenance of the road. Revegetate with grass (not Love Grass) or herbaceous plants all ground where the soil has been exposed. Accomplish revegetation within 20 working days following final grading.
- (C) Round the ends of cut sections and the tops of back slopes.
- (D) Vegetate all front and back slopes by liming, fertilizing, mulching and seeding; including any waste area. Mulch critical areas if they are to be exposed greater than 5 working days of probable inclement weather during seasons when seeding is impracticable.



Critical areas include all bare soils within 100 feet (slope distance) of perennial and intermittent streams. Mulch these as soon as practical and after final seeding.

- (E) Maintain all erosion control practices in a timely manner to prevent visible sediment from entering any stream channel, until such time that the final revegetation stabilizes the site and prevents erosion and off-site movement of sediment.

**FUEL PRICE ADJUSTMENT:**

(11-15-05) (Rev. 2-18-14)

109-8

SP1 G43

Revise the *2012 Standard Specifications* as follows:

**Page 1-83, Article 109-8, Fuel Price Adjustments**, add the following:

The base index price for DIESEL #2 FUEL is \$ **1.5065** per gallon. Where any of the following are included as pay items in the contract, they will be eligible for fuel price adjustment.

The pay items and the fuel factor used in calculating adjustments to be made will be as follows:

<b>Description</b>	<b>Units</b>	<b>Fuel Usage Factor Diesel</b>
Unclassified Excavation	Gal/CY	0.29
Borrow Excavation	Gal/CY	0.29
Class IV Subgrade Stabilization	Gal/Ton	0.55
Aggregate Base Course	Gal/Ton	0.55
Sub-Ballast	Gal/Ton	0.55
Asphalt Concrete Base Course, Type ____	Gal/Ton	2.90
Asphalt Concrete Intermediate Course, Type ____	Gal/Ton	2.90
Asphalt Concrete Surface Course, Type ____	Gal/Ton	2.90
Open-Graded Asphalt Friction Course	Gal/Ton	2.90
Permeable Asphalt Drainage Course, Type ____	Gal/Ton	2.90
Sand Asphalt Surface Course, Type ____	Gal/Ton	2.90
Aggregate for Cement Treated Base Course	Gal/Ton	0.55
Portland Cement for Cement Treated Base Course	Gal/Ton	0.55
__" Portland Cement Concrete Pavement	Gal/SY	0.245
Concrete Shoulders Adjacent to __" Pavement	Gal/SY	0.245

**SCHEDULE OF ESTIMATED COMPLETION PROGRESS:**

(7-15-08) (Rev. 5-19-15)

108-2

SP1 G58

The Contractor's attention is directed to the Standard Special Provision entitled *Availability of Funds Termination of Contracts* included elsewhere in this proposal. The Department of Transportation's schedule of estimated completion progress for this project as required by that Standard Special Provision is as follows:

2016	(7/01/15 - 6/30/16)	<b>22</b>	% of Total Amount Bid
2017	(7/01/16 - 6/30/17)	<b>43</b>	% of Total Amount Bid
2018	(7/01/17 - 6/30/18)	<b>28</b>	% of Total Amount Bid
2019	(7/01/18 - 6/30/19)	<b>7</b>	% of Total Amount Bid

The Contractor shall also furnish his own progress schedule in accordance with Article 108-2 of the *2012 Standard Specifications*. Any acceleration of the progress as shown by the Contractor's progress schedule over the progress as shown above shall be subject to the approval of the Engineer.

**DISADVANTAGED BUSINESS ENTERPRISE:**

(10-16-07)(Rev. 12-17-13)

102-15(J)

SP1 G61

**Description**

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts financed in whole or in part with Federal funds. This provision is guided by 49 CFR Part 26.

**Definitions**

*Additional DBE Subcontractors* - Any DBE submitted at the time of bid that will not be used to meet the DBE goal. No submittal of a Letter of Intent is required.

*Committed DBE Subcontractor* - Any DBE submitted at the time of bid that is being used to meet the DBE goal by submission of a Letter of Intent. Or any DBE used as a replacement for a previously committed DBE firm.

*Contract Goal Requirement* - The approved DBE participation at time of award, but not greater than the advertised contract goal.

*DBE Goal* - A portion of the total contract, expressed as a percentage, that is to be performed by committed DBE subcontractor(s).

*Disadvantaged Business Enterprise (DBE)* - A firm certified as a Disadvantaged Business Enterprise through the North Carolina Unified Certification Program.

*Goal Confirmation Letter* - Written documentation from the Department to the bidder confirming the Contractor's approved, committed DBE participation along with a listing of the committed DBE firms.

*Manufacturer* - A firm that operates or maintains a factory or establishment that produces on the premises, the materials or supplies obtained by the Contractor.

*Regular Dealer* - A firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, cement, gravel, stone, and petroleum products need not keep such products in stock, if it owns and operates distribution equipment for the products. Brokers and packagers are not regarded as manufacturers or regular dealers within the meaning of this section.

*North Carolina Unified Certification Program (NCUCP)* - A program that provides comprehensive services and information to applicants for DBE certification, such that an applicant is required to apply only once for a DBE certification that will be honored by all recipients of USDOT funds in the state and not limited to the Department of Transportation only. The Certification Program is in accordance with 49 CFR Part 26.

*United States Department of Transportation (USDOT)* - Federal agency responsible for issuing regulations (49 CFR Part 26) and official guidance for the DBE program.

#### **Forms and Websites Referenced in this Provision**

*DBE Payment Tracking System* - On-line system in which the Contractor enters the payments made to DBE subcontractors who have performed work on the project.  
<https://apps.dot.state.nc.us/Vendor/PaymentTracking/>

*DBE-IS Subcontractor Payment Information* - Form for reporting the payments made to all DBE firms working on the project. This form is for paper bid projects only.  
<http://www.ncdot.org/doh/forms/files/DBE-IS.xls>

*RF-1 DBE Replacement Request Form* - Form for replacing a committed DBE.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/DBE%20MBE%20WBE%20Replacement%20Request%20Form.pdf>

*SAF Subcontract Approval Form* - Form required for approval to sublet the contract.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/Subcontract%20Approval%20Form%20Rev.%202012.zip>

*JC-1 Joint Check Notification Form* - Form and procedures for joint check notification. The form acts as a written joint check agreement among the parties providing full and prompt disclosure of the expected use of joint checks.  
<http://connect.ncdot.gov/projects/construction/Construction%20Forms/Joint%20Check%20Notification%20Form.pdf>

*Letter of Intent* - Form signed by the Contractor and the DBE subcontractor, manufacturer or regular dealer that affirms that a portion of said contract is going to be performed by the signed DBE for the amount listed at the time of bid.

<http://connect.ncdot.gov/letting/LetCentral/Letter%20of%20Intent%20to%20Perform%20as%20a%20Subcontractor.pdf>

*Listing of DBE Subcontractors Form* - Form for entering DBE subcontractors on a project that will meet this DBE goal. This form is for paper bids only.

[http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20\(Federal\).docx](http://connect.ncdot.gov/municipalities/Bid%20Proposals%20for%20LGA%20Content/08%20DBE%20Subcontractors%20(Federal).docx)

*Subcontractor Quote Comparison Sheet* - Spreadsheet for showing all subcontractor quotes in the work areas where DBEs quoted on the project. This sheet is submitted with good faith effort packages.

<http://connect.ncdot.gov/business/SmallBusiness/Documents/DBE%20Subcontractor%20Quote%20Comparison%20Example.xls>

### **DBE Goal**

The following DBE goal for participation by Disadvantaged Business Enterprises is established for this contract:

Disadvantaged Business Enterprises **8.0 %**

- (A) *If the DBE goal is more than zero*, the Contractor shall exercise all necessary and reasonable steps to ensure that DBEs participate in at least the percent of the contract as set forth above as the DBE goal.
- (B) *If the DBE goal is zero*, the Contractor shall make an effort to recruit and use DBEs during the performance of the contract. Any DBE participation obtained shall be reported to the Department.

### **Directory of Transportation Firms (Directory)**

Real-time information is available about firms doing business with the Department and firms that are certified through NCUCP in the Directory of Transportation Firms. Only firms identified in the Directory as DBE certified shall be used to meet the DBE goal. The Directory can be found at the following link. <https://partner.ncdot.gov/VendorDirectory/default.html>

The listing of an individual firm in the directory shall not be construed as an endorsement of the firm's capability to perform certain work.

### **Listing of DBE Subcontractors**

At the time of bid, bidders shall submit all DBE participation that they anticipate to use during the life of the contract. Only those identified to meet the DBE goal will be considered

committed, even though the listing shall include both committed DBE subcontractors and additional DBE subcontractors. Additional DBE subcontractor participation submitted at the time of bid will be used toward the Department's overall race-neutral goal. Only those firms with current DBE certification at the time of bid opening will be acceptable for listing in the bidder's submittal of DBE participation. The Contractor shall indicate the following required information:

(A) Electronic Bids

Bidders shall submit a listing of DBE participation in the appropriate section of Expedite, the bidding software of Bid Express<sup>®</sup>.

- (1) Submit the names and addresses of DBE firms identified to participate in the contract. If the bidder uses the updated listing of DBE firms shown in Expedite, the bidder may use the dropdown menu to access the name and address of the DBE firm.
- (2) Submit the contract line numbers of work to be performed by each DBE firm. When no figures or firms are entered, the bidder will be considered to have no DBE participation.
- (3) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the DBE goal.

(B) Paper Bids

- (1) *If the DBE goal is more than zero,*
  - (a) Bidders, at the time the bid proposal is submitted, shall submit a listing of DBE participation, including the names and addresses on *Listing of DBE Subcontractors* contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the DBE participation for the contract.
  - (b) If bidders have no DBE participation, they shall indicate this on the *Listing of DBE Subcontractors* by entering the word "None" or the number "0." This form shall be completed in its entirety. **Blank forms will not be deemed to represent zero participation.** Bids submitted that do not have DBE participation indicated on the appropriate form will not be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be rejected.
  - (c) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the

firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the corresponding goal.

- (2) *If the DBE goal is zero, entries on the Listing of DBE Subcontractors are not required for the zero goal, however any DBE participation that is achieved during the project shall be reported in accordance with requirements contained elsewhere in the special provision.*

### **DBE Prime Contractor**

When a certified DBE firm bids on a contract that contains a DBE goal, the DBE firm is responsible for meeting the goal or making good faith efforts to meet the goal, just like any other bidder. In most cases, a DBE bidder on a contract will meet the DBE goal by virtue of the work it performs on the contract with its own forces. However, all the work that is performed by the DBE bidder and any other DBE subcontractors will count toward the DBE goal. The DBE bidder shall list itself along with any DBE subcontractors, if any, in order to receive credit toward the DBE goal.

For example, if the DBE goal is 45% and the DBE bidder will only perform 40% of the contract work, the prime will list itself at 40%, and the additional 5% shall be obtained through additional DBE participation with DBE subcontractors or documented through a good faith effort.

DBE prime contractors shall also follow Sections A and B listed under *Listing of DBE Subcontractor* just as a non-DBE bidder would.

### **Written Documentation – Letter of Intent**

The bidder shall submit written documentation for each DBE that will be used to meet the DBE goal of the contract, indicating the bidder's commitment to use the DBE in the contract. This documentation shall be submitted on the Department's form titled *Letter of Intent*.

The documentation shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids, unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

If the bidder fails to submit the Letter of Intent from each committed DBE to be used toward the DBE goal, or if the form is incomplete (i.e. both signatures are not present), the DBE participation will not count toward meeting the DBE goal. If the lack of this participation drops the commitment below the DBE goal, the Contractor shall submit evidence of good faith efforts, completed in its entirety, to the State Contractor Utilization Engineer or DBE@ncdot.gov no later than 12:00 noon on the eighth calendar day following opening of bids, unless the eighth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

**Submission of Good Faith Effort**

If the bidder fails to meet or exceed the DBE goal, the apparent lowest responsive bidder shall submit to the Department documentation of adequate good faith efforts made to reach the DBE goal.

A hard copy and an electronic copy of this information shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer the next official state business day. If the contractor cannot send the information electronically, then one complete set and 9 copies of this information shall be received under the same time constraints above.

Note: Where the information submitted includes repetitious solicitation letters, it will be acceptable to submit a representative letter along with a distribution list of the firms that were solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

**Consideration of Good Faith Effort for Projects with DBE Goals More Than Zero**

Adequate good faith efforts mean that the bidder took all necessary and reasonable steps to achieve the goal which, by their scope, intensity, and appropriateness, could reasonably be expected to obtain sufficient DBE participation. Adequate good faith efforts also mean that the bidder actively and aggressively sought DBE participation. Mere *pro forma* efforts are not considered good faith efforts.

The Department will consider the quality, quantity, and intensity of the different kinds of efforts a bidder has made. Listed below are examples of the types of actions a bidder will take in making a good faith effort to meet the goal and are not intended to be exclusive or exhaustive, nor is it intended to be a mandatory checklist.

- (A) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising, written notices, use of verifiable electronic means through the use of the NCDOT Directory of Transportation Firms) the interest of all certified DBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within at least 10 days prior to bid opening to allow the DBEs to respond to the solicitation. Solicitation shall provide the opportunity to DBEs within the Division and surrounding Divisions where the project is located. The bidder must determine with certainty if the DBEs are interested by taking appropriate steps to follow up initial solicitations.

- (B) Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goals will be achieved.
  - (1) Where appropriate, break out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
  - (2) Negotiate with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be sublet includes potential for DBE participation (2<sup>nd</sup> and 3<sup>rd</sup> tier subcontractors).
- (C) Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
- (D)
  - (1) Negotiating in good faith with interested DBEs. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.
  - (2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBEs is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Bidding contractors are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
- (E) Not rejecting DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership in specific groups, organizations, or associates and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.
- (F) Making efforts to assist interested DBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or bidder.



- (G) Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.
- (H) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; Federal, State, and local minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs. Contact within 7 days from the bid opening the Business Development Manager in the Business Opportunity and Work Force Development Unit to give notification of the bidder's inability to get DBE quotes.
- (I) Any other evidence that the bidder submits which shows that the bidder has made reasonable good faith efforts to meet the DBE goal.

In addition, the Department may take into account the following:

- (1) Whether the bidder's documentation reflects a clear and realistic plan for achieving the DBE goal.
- (2) The bidders' past performance in meeting the DBE goals.
- (3) The performance of other bidders in meeting the DBE goal. For example, when the apparent successful bidder fails to meet the DBE goal, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts the apparent successful bidder could have met the goal. If the apparent successful bidder fails to meet the DBE goal, but meets or exceeds the average DBE participation obtained by other bidders, the Department may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made a good faith effort.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy to the Department that the DBE goal can be met or that an adequate good faith effort has been made to meet the DBE goal.

### **Non-Good Faith Appeal**

The State Contractor Utilization Engineer will notify the contractor verbally and in writing of non-good faith. A contractor may appeal a determination of non-good faith made by the Goal Compliance Committee. If a contractor wishes to appeal the determination made by the Committee, they shall provide written notification to the State Contractual Services Engineer or at [DBE@ncdot.gov](mailto:DBE@ncdot.gov). The appeal shall be made within 2 business days of notification of the determination of non-good faith.

**Counting DBE Participation Toward Meeting DBE Goal****(A) Participation**

The total dollar value of the participation by a committed DBE will be counted toward the contract goal requirement. The total dollar value of participation by a committed DBE will be based upon the value of work actually performed by the DBE and the actual payments to DBE firms by the Contractor.

**(B) Joint Checks**

Prior notification of joint check use shall be required when counting DBE participation for services or purchases that involves the use of a joint check. Notification shall be through submission of Form JC-1 (*Joint Check Notification Form*) and the use of joint checks shall be in accordance with the Department's Joint Check Procedures.

**(C) Subcontracts (Non-Trucking)**

A DBE may enter into subcontracts. Work that a DBE subcontracts to another DBE firm may be counted toward the contract goal requirement. Work that a DBE subcontracts to a non-DBE firm does not count toward the contract goal requirement. If a DBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, it shall be presumed that the DBE is not performing a commercially useful function. The DBE may present evidence to rebut this presumption to the Department. The Department's decision on the rebuttal of this presumption is subject to review by the Federal Highway Administration but is not administratively appealable to USDOT.

**(D) Joint Venture**

When a DBE performs as a participant in a joint venture, the Contractor may count toward its contract goal requirement a portion of the total value of participation with the DBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the DBE performs with its forces.

**(E) Suppliers**

A contractor may count toward its DBE requirement 60 percent of its expenditures for materials and supplies required to complete the contract and obtained from a DBE regular dealer and 100 percent of such expenditures from a DBE manufacturer.

(F) Manufacturers and Regular Dealers

A contractor may count toward its DBE requirement the following expenditures to DBE firms that are not manufacturers or regular dealers:

- (1) The fees or commissions charged by a DBE firm for providing a *bona fide* service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.
- (2) With respect to materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site (but not the cost of the materials and supplies themselves), provided the fees are determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

**Commercially Useful Function**

(A) DBE Utilization

The Contractor may count toward its contract goal requirement only expenditures to DBEs that perform a commercially useful function in the work of a contract. A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the DBE credit claimed for its performance of the work, and any other relevant factors.

(B) DBE Utilization in Trucking

The following factors will be used to determine if a DBE trucking firm is performing a commercially useful function:

- (1) The DBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting DBE goals.

- (2) The DBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- (3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
- (4) The DBE may subcontract the work to another DBE firm, including an owner-operator who is certified as a DBE. The DBE who subcontracts work to another DBE receives credit for the total value of the transportation services the subcontracted DBE provides on the contract.
- (5) The DBE may also subcontract the work to a non-DBE firm, including from an owner-operator. The DBE who subcontracts the work to a non-DBE is entitled to credit for the total value of transportation services provided by the non-DBE subcontractor not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the DBE and the Contractor will not count towards the DBE contract requirement.
- (6) A DBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the DBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. This type of lease may count toward the DBE's credit as long as the driver is under the DBE's payroll.
- (7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the DBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

### **DBE Replacement**

When a Contractor has relied on a commitment to a DBE firm (or an approved substitute DBE firm) to meet all or part of a contract goal requirement, the contractor shall not terminate the DBE for convenience. This includes, but is not limited to, instances in which the Contractor seeks to perform the work of the terminated subcontractor with another DBE subcontractor, a non-DBE subcontractor, or with the Contractor's own forces or those of an affiliate. A DBE may only be terminated after receiving the Engineer's written approval based upon a finding of good cause for the termination.

All requests for replacement of a committed DBE firm shall be submitted to the Engineer for approval on Form RF-1 (*DBE Replacement Request*). If the Contractor fails to follow this procedure, the Contractor may be disqualified from further bidding for a period of up to 6 months.

The Contractor shall comply with the following for replacement of a committed DBE:

(A) Performance Related Replacement

When a committed DBE is terminated for good cause as stated above, an additional DBE that was submitted at the time of bid may be used to fulfill the DBE commitment. A good faith effort will only be required for removing a committed DBE if there were no additional DBEs submitted at the time of bid to cover the same amount of work as the DBE that was terminated.

If a replacement DBE is not found that can perform at least the same amount of work as the terminated DBE, the Contractor shall submit a good faith effort documenting the steps taken. Such documentation shall include, but not be limited to, the following:

- (1) Copies of written notification to DBEs that their interest is solicited in contracting the work defaulted by the previous DBE or in subcontracting other items of work in the contract.
- (2) Efforts to negotiate with DBEs for specific subbids including, at a minimum:
  - (a) The names, addresses, and telephone numbers of DBEs who were contacted.
  - (b) A description of the information provided to DBEs regarding the plans and specifications for portions of the work to be performed.
- (3) A list of reasons why DBE quotes were not accepted.
- (4) Efforts made to assist the DBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.

(B) Decertification Replacement

- (1) When a committed DBE is decertified by the Department after the SAF (*Subcontract Approval Form*) has been received by the Department, the Department will not require the Contractor to solicit replacement DBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract goal requirement.
- (2) When a committed DBE is decertified prior to the Department receiving the SAF (*Subcontract Approval Form*) for the named DBE firm, the Contractor shall

take all necessary and reasonable steps to replace the DBE subcontractor with another DBE subcontractor to perform at least the same amount of work to meet the DBE goal requirement. If a DBE firm is not found to do the same amount of work, a good faith effort must be submitted to NCDOT (see A herein for required documentation).

### **Changes in the Work**

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed DBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a DBE based upon the Contractor's commitment, the DBE shall participate in additional work to the same extent as the DBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by DBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction, and a portion or all of the work had been expected to be performed by a committed DBE, the Contractor shall seek participation by DBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a DBE, the Contractor shall seek additional participation by DBEs equal to the reduced DBE participation caused by the changes.

### **Reports and Documentation**

A SAF (*Subcontract Approval Form*) shall be submitted for all work which is to be performed by a DBE subcontractor. The Department reserves the right to require copies of actual subcontract agreements involving DBE subcontractors.

When using transportation services to meet the contract commitment, the Contractor shall submit a proposed trucking plan in addition to the SAF. The plan shall be submitted prior to beginning construction on the project. The plan shall include the names of all trucking firms proposed for use, their certification type(s), the number of trucks owned by the firm, as well as the individual truck identification numbers, and the line item(s) being performed.

Within 30 calendar days of entering into an agreement with a DBE for materials, supplies or services, not otherwise documented by the SAF as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation shall also indicate the percentage (60% or 100%) of expenditures claimed for DBE credit.

**Reporting Disadvantaged Business Enterprise Participation**

The Contractor shall provide the Engineer with an accounting of payments made to all DBE firms, including material suppliers and contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:

- (A) Withholding of money due in the next partial pay estimate; or
- (B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to DBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from being approved for work on future DOT projects until the required information is submitted.

Contractors reporting transportation services provided by non-DBE lessees shall evaluate the value of services provided during the month of the reporting period only.

At any time, the Engineer can request written verification of subcontractor payments.

- (A) Electronic Bids Reporting

The Contractor shall report the accounting of payments through the Department's DBE Payment Tracking System.

- (B) Paper Bids Reporting

The Contractor shall report the accounting of payments on the Department's DBE-IS (*Subcontractor Payment Information*) with each invoice. Invoices will not be processed for payment until the DBE-IS is received.

**Failure to Meet Contract Requirements**

Failure to meet contract requirements in accordance with Subarticle 102-15(J) of the *2012 Standard Specifications* may be cause to disqualify the Contractor.

**CERTIFICATION FOR FEDERAL-AID CONTRACTS:**

(3-21-90)

SP1 G85

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (A) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by *Section 1352, Title 31, U.S. Code*. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

**CONTRACTOR'S LICENSE REQUIREMENTS:**

(7-1-95)

102-14

SP1 G88

If the successful bidder does not hold the proper license to perform any plumbing, heating, air conditioning, or electrical work in this contract, he will be required to sublet such work to a contractor properly licensed in accordance with *Article 2 of Chapter 87 of the General Statutes* (licensing of heating, plumbing, and air conditioning contractors) and *Article 4 of Chapter 87 of the General Statutes* (licensing of electrical contractors).

**U.S. DEPARTMENT OF TRANSPORTATION HOTLINE:**

(11-22-94)

108-5

SP1 G100

To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free hotline Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid



rigging, bidder collusion, or other fraudulent activities should use the hotline to report such activities.

The hotline is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

**SUBMISSION OF RECORDS - FEDERAL-AID PROJECTS:**

(7-17-07) (8-21-12)

SP1 G103

The Contractor's attention is directed to the Standard Special Provision entitled *Required Contract Provisions-Federal-Aid Construction Contracts* contained elsewhere in this proposal.

This project is located on a roadway classified as a local road or rural minor collector, therefore the requirements of Paragraph IV - Davis Bacon and Related Act Provisions are exempt from this contract.

**SUBSURFACE INFORMATION:**

(7-1-95)

450

SP1 G112 D

Subsurface information is available on the roadway and structure portions of this project.

**LOCATING EXISTING UNDERGROUND UTILITIES:**

(3-20-12)

105

SP1 G115

Revise the *2012 Standard Specifications* as follows:

**Page 1-43, Article 105-8, line 28, after the first sentence, add the following:**

Identify excavation locations by means of pre-marking with white paint, flags, or stakes or provide a specific written description of the location in the locate request.

**VALUE ENGINEERING PROPOSAL:**

(05-19-15)

104

SP01 G116

Revise the *2012 Standard Specifications* as follows:

**Page 1-36, Subarticle 104-12(B) Evaluation of Proposals, lines 42-44, replace the fourth sentence of the second paragraph with the following:**

Pending execution of a formal supplemental agreement implementing an approved VEP and transferal of final plans (hard copy and electronic) sealed by an engineer licensed in the State of North Carolina incorporating an approved VEP to the Resident Engineer and the State Value Management Engineer, the Contractor shall remain obligated to perform the work in accordance with the terms of the existing contract.

**Page 1-37, Subarticle 104-12(D) Preliminary Review, lines 9-12,** replace the first sentence of the first paragraph with the following:

Should the Contractor desire a preliminary review of a possible VEP, before expending considerable time and expense in full development, a copy of the Preliminary VEP shall be submitted to the Resident Engineer and the State Value Management Engineer at ValueManagementUnit@ncdot.gov.

**Page 1-37, Subarticle 104-12(E) Final Proposal, lines 22-23,** replace the first sentence of the first paragraph with the following:

A copy of the Final VEP shall be submitted by the Contractor to the Resident Engineer and the State Value Management Engineer at ValueManagementUnit@ncdot.gov.

**Page 1-38, Subarticle 104-12(F) Modifications, lines 2-8,** replace the first paragraph with the following:

To facilitate the preparation of revisions to contract drawings, the Contractor may purchase reproducible copies of drawings for his use through the Department's Value Management Unit. The preparation of new design drawings by or for the Contractor shall be coordinated with the appropriate Design Branch through the State Value Management Engineer. The Contractor shall provide, at no charge to the Department, one set of reproducible drawings of the approved design needed to implement the VEP. Drawings (hard copy and electronic) which are sealed by an engineer licensed in the State of North Carolina shall be submitted to the State Value Management Engineer no later than ten (10) business days after acceptance of a VEP unless otherwise permitted.

**Page 1-38, Subarticle 104-12(F) Modifications, line 17,** add the following at the end of the third paragraph:

Supplemental agreements executed for design-bid-build contracts shall reflect any realized savings in the corresponding line items. Supplemental agreements executed for design-build contracts shall add one line item deducting the full savings from the total contract price and one line item crediting the Contractor with 50% of the total VEP savings.

**Page 1-38, Subarticle 104-12(F) Modifications, lines 45-47,** replace the eighth paragraph with the following:

Unless and until a supplemental agreement is executed and issued by the Department and final plans (hard copy and electronic) sealed by an engineer licensed in the State of North Carolina incorporating an approved VEP have been provided to the Resident Engineer and the State Value Management Engineer, the Contractor shall remain obligated to perform the work in accordance with the terms of the existing contract.

**RESOURCE CONSERVATION AND ENV. SUSTAINABLE PRACTICES:**

(5-21-13) (Rev. 5-19-15)

104-13

SPI G118

In accordance with North Carolina Executive Order 156, NCGS 130A-309.14(3), and NCGS 136-28.8, it is the objective of the Department to aid in the reduction of materials that become a part of our solid waste stream, to divert materials from landfills, to find ways to recycle and reuse materials, to consider and minimize, where economically feasible, the environmental impacts associated with agency land use and acquisition, construction, maintenance and facility management for the benefit of the Citizens of North Carolina.

To achieve the mission of reducing environmental impacts across the state, the Department is committed to supporting the efforts to initiate, develop and use products and construction methods that incorporate the use of recycled, solid waste products and environmentally sustainable practices in accordance with Article 104-13 of the *Standard Specifications*.

Report the quantities of reused or recycled materials either incorporated in the project or diverted from landfills and any practice that minimizes the environmental impact on the project annually on the Project Construction Reuse and Recycling Reporting Form. The Project Construction Reuse and Recycling Reporting Form and a location tool for local recycling facilities are available at:

<http://connect.ncdot.gov/resources/Environmental/Pages/North-Carolina-Recycling-Locations.aspx>.

Submit the Project Construction Reuse and Recycling Reporting Form by August 1 annually to [valuemanagementunit@ncdot.gov](mailto:valuemanagementunit@ncdot.gov). For questions regarding the form or reporting, please contact the State Value Management Engineer at 919-707-4810.

**DOMESTIC STEEL:**

(4-16-13)

106

SPI G120

Revise the 2012 *Standard Specifications* as follows:

**Page 1-49, Subarticle 106-1(B) Domestic Steel, lines 2-7,** replace the first paragraph with the following:

All steel and iron products that are permanently incorporated into this project shall be produced in the United States except minimal amounts of foreign steel and iron products may be used provided the combined material cost of the items involved does not exceed 0.1% of the total amount bid for the entire project or \$2,500, whichever is greater. If invoices showing the cost of the material are not provided, the amount of the bid item involving the foreign material will be used for calculations. This minimal amount of foreign produced steel and iron products permitted for use is not applicable to high strength fasteners. Domestically produced high strength fasteners are required.

**REMOVABLE PAVEMENT MARKINGS - (Partial Payments for Materials):**

(7-1-95) (Rev. 8-16-11)

1205-10

SP1 G124

When so authorized by the Engineer, partial materials payments will be made up to 95 percent of the delivered cost of pavement marking tape, provided that these materials have been delivered on or in the vicinity of the project, stored in an acceptable manner, not to exceed the shelf life recommended by the manufacturer, and further provided the documents listed in Subarticle 109-5(C) of the *2012 Standard Specifications* have been furnished to the Engineer.

The Contractor shall be responsible for the material and the satisfactory performance of the material when used in the work.

The provisions of Article 109-6 of the *2012 Standard Specifications* will not apply to removable pavement marking materials.

**MAINTENANCE OF THE PROJECT:**

(11-20-07) (Rev. 1-17-12)

104-10

SP1 G125

Revise the *2012 Standard Specifications* as follows:

**Page 1-35, Article 104-10 Maintenance of the Project, line 25,** add the following after the first sentence of the first paragraph:

All guardrail/guiderail within the project limits shall be included in this maintenance.

**Page 1-35, Article 104-10 Maintenance of the Project, line 30,** add the following as the last sentence of the first paragraph:

The Contractor shall perform weekly inspections of guardrail and guiderail and shall report damages to the Engineer on the same day of the weekly inspection. *Where damaged guardrail or guiderail is repaired or replaced as a result of maintaining the project in accordance with this article, such repair or replacement shall be performed within 7 consecutive calendar days of such inspection report.*

**Page 1-35, Article 104-10 Maintenance of the Project, lines 42-44,** replace the last sentence of the last paragraph with the following:

The Contractor will not be directly compensated for any maintenance operations necessary, except for maintenance of guardrail/guiderail, as this work will be considered incidental to the work covered by the various contract items. The provisions of Article 104-7, Extra Work, and Article 104-8, Compensation and Record Keeping will apply to authorized maintenance of guardrail/guiderail. Performance of weekly inspections of guardrail/guiderail, and the damage reports required as described above, will be considered to be an incidental part of the work being paid for by the various contract items.

**TWELVE MONTH GUARANTEE:**

(7-15-03)

108

SP1 G145

- (A) The Contractor shall guarantee materials and workmanship against latent and patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve months following the date of final acceptance of the work for maintenance and shall replace such defective materials and workmanship without cost to the Department. The Contractor will not be responsible for damage due to faulty design, normal wear and tear, for negligence on the part of the Department, and/or for use in excess of the design.
- (B) Where items of equipment or material carry a manufacturer's guarantee for any period in excess of twelve months, then the manufacturer's guarantee shall apply for that particular piece of equipment or material. The Department's first remedy shall be through the manufacturer although the Contractor is responsible for invoking the warranted repair work with the manufacturer. The Contractor's responsibility shall be limited to the term of the manufacturer's guarantee. NCDOT would be afforded the same warranty as provided by the Manufacturer.

This guarantee provision shall be invoked only for major components of work in which the Contractor would be wholly responsible for under the terms of the contract. Examples would include pavement structures, bridge components, and sign structures. This provision will not be used as a mechanism to force the Contractor to return to the project to make repairs or perform additional work that the Department would normally compensate the Contractor for. In addition, routine maintenance activities (i.e. mowing grass, debris removal, ruts in earth shoulders,) are not parts of this guarantee.

Appropriate provisions of the payment and/or performance bonds shall cover this guarantee for the project.

To ensure uniform application statewide the Division Engineer will forward details regarding the circumstances surrounding any proposed guarantee repairs to the Chief Engineer for review and approval prior to the work being performed.

**GIFTS FROM VENDORS AND CONTRACTORS:**

(12-15-09)

107-1

SP1 G152

By Executive Order 24, issued by Governor Perdue, and *N.C.G.S. § 133-32*, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (A) Have a contract with a governmental agency; or
- (B) Have performed under such a contract within the past year; or
- (C) Anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and *N.C.G.S. § 133-32*.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

**LIABILITY INSURANCE:**

(5-20-14)

SPI G160

Revise the *2012 Standard Specifications* as follows:

**Page 1-60, Article 107-15 LIABILITY INSURANCE, line 16**, add the following as the second sentence of the third paragraph:

Prior to beginning services, all contractors shall provide proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured subcontractors, irrespective of whether having regularly in service fewer than three employees.

**EROSION AND SEDIMENT CONTROL/STORMWATER CERTIFICATION:**

(1-16-07) (Rev 9-18-12)

105-16, 225-2, 16

SPI G180

**General**

Schedule and conduct construction activities in a manner that will minimize soil erosion and the resulting sedimentation and turbidity of surface waters. Comply with the requirements herein regardless of whether or not a National Pollution discharge Elimination System (NPDES) permit for the work is required.

Establish a chain of responsibility for operations and subcontractors' operations to ensure that the *Erosion and Sediment Control/Stormwater Pollution Prevention Plan* is implemented and maintained over the life of the contract.

- (A) *Certified Supervisor* - Provide a certified Erosion and Sediment Control/Stormwater Supervisor to manage the Contractor and subcontractor operations, insure compliance with Federal, State and Local ordinances and regulations, and manage the Quality Control Program.
- (B) *Certified Foreman* - Provide a certified, trained foreman for each construction operation that increases the potential for soil erosion or the possible sedimentation and turbidity of surface waters.
- (C) *Certified Installer* - Provide a certified installer to install or direct the installation for erosion or sediment/stormwater control practices.

- (D) *Certified Designer* - Provide a certified designer for the design of the erosion and sediment control/stormwater component of reclamation plans and, if applicable, for the design of the project erosion and sediment control/stormwater plan.

### **Roles and Responsibilities**

- (A) *Certified Erosion and Sediment Control/Stormwater Supervisor* - The Certified Supervisor shall be Level II and responsible for ensuring the erosion and sediment control/stormwater plan is adequately implemented and maintained on the project and for conducting the quality control program. The Certified Supervisor shall be on the project within 24 hours notice from initial exposure of an erodible surface to the project's final acceptance. Perform the following duties:
- (1) *Manage Operations* - Coordinate and schedule the work of subcontractors so that erosion and sediment control/stormwater measures are fully executed for each operation and in a timely manner over the duration of the contract.
    - (a) Oversee the work of subcontractors so that appropriate erosion and sediment control/stormwater preventive measures are conformed to at each stage of the work.
    - (b) Prepare the required National Pollutant Discharge Elimination System (NPDES) Inspection Record and submit to the Engineer.
    - (c) Attend all weekly or monthly construction meetings to discuss the findings of the NPDES inspection and other related issues.
    - (d) Implement the erosion and sediment control/stormwater site plans requested.
    - (e) Provide any needed erosion and sediment control/stormwater practices for the Contractor's temporary work not shown on the plans, such as, but not limited to work platforms, temporary construction, pumping operations, plant and storage yards, and cofferdams.
    - (f) Acquire applicable permits and comply with requirements for borrow pits, dewatering, and any temporary work conducted by the Contractor in jurisdictional areas.
    - (g) Conduct all erosion and sediment control/stormwater work in a timely and workmanlike manner.
    - (h) Fully perform and install erosion and sediment control/stormwater work prior to any suspension of the work.
    - (i) Coordinate with Department, Federal, State and Local Regulatory agencies on resolution of erosion and sediment control/stormwater issues due to the Contractor's operations.
    - (j) Ensure that proper cleanup occurs from vehicle tracking on paved surfaces or any location where sediment leaves the Right-of-Way.
    - (k) Have available a set of erosion and sediment control/stormwater plans that are initialed and include the installation date of Best Management Practices. These practices shall include temporary and permanent groundcover and be properly updated to reflect necessary plan and field

changes for use and review by Department personnel as well as regulatory agencies.

- (2) Requirements set forth under the NPDES Permit - The Department's NPDES Stormwater permit (NCS000250) outlines certain objectives and management measures pertaining to construction activities. The permit references *NCG010000, General Permit to Discharge Stormwater* under the NPDES, and states that the Department shall incorporate the applicable requirements into its delegated Erosion and Sediment Control Program for construction activities disturbing one or more acres of land. The Department further incorporates these requirements on all contracted bridge and culvert work at jurisdictional waters, regardless of size. Some of the requirements are, but are not limited to:
  - (a) Control project site waste to prevent contamination of surface or ground waters of the state, i.e. from equipment operation/maintenance, construction materials, concrete washout, chemicals, litter, fuels, lubricants, coolants, hydraulic fluids, any other petroleum products, and sanitary waste.
  - (b) Inspect erosion and sediment control/stormwater devices and stormwater discharge outfalls at least once every 7 calendar days, twice weekly for construction related *Federal Clean Water Act, Section 303(d)* impaired streams with turbidity violations, and within 24 hours after a significant rainfall event of 0.5 inch that occurs within a 24 hour period.
  - (c) Maintain an onsite rain gauge or use the Department's Multi-Sensor Precipitation Estimate website to maintain a daily record of rainfall amounts and dates.
  - (d) Maintain erosion and sediment control/stormwater inspection records for review by Department and Regulatory personnel upon request.
  - (e) Implement approved reclamation plans on all borrow pits, waste sites and staging areas.
  - (f) Maintain a log of turbidity test results as outlined in the Department's Procedure for Monitoring Borrow Pit Discharge.
  - (g) Provide secondary containment for bulk storage of liquid materials.
  - (h) Provide training for employees concerning general erosion and sediment control/stormwater awareness, the Department's NPDES Stormwater Permit NCS000250 requirements, and the applicable requirements of the *General Permit, NCG010000*.
  - (i) Report violations of the NPDES permit to the Engineer immediately who will notify the Division of Water Quality Regional Office within 24 hours of becoming aware of the violation.
- (3) Quality Control Program - Maintain a quality control program to control erosion, prevent sedimentation and follow provisions/conditions of permits. The quality control program shall:



- (a) Follow permit requirements related to the Contractor and subcontractors' construction activities.
  - (b) Ensure that all operators and subcontractors on site have the proper erosion and sediment control/stormwater certification.
  - (c) Notify the Engineer when the required certified erosion and sediment control/stormwater personnel are not available on the job site when needed.
  - (d) Conduct the inspections required by the NPDES permit.
  - (e) Take corrective actions in the proper timeframe as required by the NPDES permit for problem areas identified during the NPDES inspections.
  - (f) Incorporate erosion control into the work in a timely manner and stabilize disturbed areas with mulch/seed or vegetative cover on a section-by-section basis.
  - (g) Use flocculants approved by state regulatory authorities where appropriate and where required for turbidity and sedimentation reduction.
  - (h) Ensure proper installation and maintenance of temporary erosion and sediment control devices.
  - (i) Remove temporary erosion or sediment control devices when they are no longer necessary as agreed upon by the Engineer.
  - (j) The Contractor's quality control and inspection procedures shall be subject to review by the Engineer. Maintain NPDES inspection records and make records available at all times for verification by the Engineer.
- (B) *Certified Foreman* - At least one Certified Foreman shall be onsite for each type of work listed herein during the respective construction activities to control erosion, prevent sedimentation and follow permit provisions:
  - (1) Foreman in charge of grading activities
  - (2) Foreman in charge of bridge or culvert construction over jurisdictional areas
  - (3) Foreman in charge of utility activities

The Contractor may request to use the same person as the Level II Supervisor and Level II Foreman. This person shall be onsite whenever construction activities as described above are taking place. This request shall be approved by the Engineer prior to work beginning.

The Contractor may request to name a single Level II Foreman to oversee multiple construction activities on small bridge or culvert replacement projects. This request shall be approved by the Engineer prior to work beginning.
- (C) *Certified Installers* - Provide at least one onsite, Level I Certified Installer for each of the following erosion and sediment control/stormwater crew:
  - (1) Seeding and Mulching
  - (2) Temporary Seeding
  - (3) Temporary Mulching

- (4) Sodding
- (5) Silt fence or other perimeter erosion/sediment control device installations
- (6) Erosion control blanket installation
- (7) Hydraulic tackifier installation
- (8) Turbidity curtain installation
- (9) Rock ditch check/sediment dam installation
- (10) Ditch liner/matting installation
- (11) Inlet protection
- (12) Riprap placement
- (13) Stormwater BMP installations (such as but not limited to level spreaders, retention/detention devices)
- (14) Pipe installations within jurisdictional areas

If a Level I *Certified Installer* is not onsite, the Contractor may substitute a Level II Foreman for a Level I Installer, provided the Level II Foreman is not tasked to another crew requiring Level II Foreman oversight.

- (D) *Certified Designer* - Include the certification number of the Level III Certified Designer on the erosion and sediment control/stormwater component of all reclamation plans and if applicable, the certification number of the Level III Certified Designer on the design of the project erosion and sediment control/stormwater plan.

### **Preconstruction Meeting**

Furnish the names of the *Certified Erosion and Sediment Control/Stormwater Supervisor*, *Certified Foremen*, *Certified Installers* and *Certified Designer* and notify the Engineer of changes in certified personnel over the life of the contract within 2 days of change.

### **Ethical Responsibility**

Any company performing work for the North Carolina Department of Transportation has the ethical responsibility to fully disclose any reprimand or dismissal of an employee resulting from improper testing or falsification of records.

### **Revocation or Suspension of Certification**

Upon recommendation of the Chief Engineer to the certification entity, certification for *Supervisor*, *Certified Foremen*, *Certified Installers* and *Certified Designer* may be revoked or suspended with the issuance of an *Immediate Corrective Action (ICA)*, *Notice of Violation (NOV)*, or *Cease and Desist Order* for erosion and sediment control/stormwater related issues.

The Chief Engineer may recommend suspension or permanent revocation of certification due to the following:

- (A) Failure to adequately perform the duties as defined within this certification provision.

- (B) Issuance of an ICA, NOV, or Cease and Desist Order.
- (C) Failure to fully perform environmental commitments as detailed within the permit conditions and specifications.
- (D) Demonstration of erroneous documentation or reporting techniques.
- (E) Cheating or copying another candidate's work on an examination.
- (F) Intentional falsification of records.
- (G) Directing a subordinate under direct or indirect supervision to perform any of the above actions.
- (H) Dismissal from a company for any of the above reasons.
- (I) Suspension or revocation of one's certification by another entity.

Suspension or revocation of a certification will be sent by certified mail to the certificant and the Corporate Head of the company that employs the certificant.

A certificant has the right to appeal any adverse action which results in suspension or permanent revocation of certification by responding, in writing, to the Chief Engineer within 10 calendar days after receiving notice of the proposed adverse action.

Chief Engineer  
1536 Mail Service Center  
Raleigh, NC 27699-1536

Failure to appeal within 10 calendar days will result in the proposed adverse action becoming effective on the date specified on the certified notice. Failure to appeal within the time specified will result in a waiver of all future appeal rights regarding the adverse action taken. The certificant will not be allowed to perform duties associated with the certification during the appeal process.

The Chief Engineer will hear the appeal and make a decision within 7 days of hearing the appeal. Decision of the Chief Engineer will be final and will be made in writing to the certificant.

If a certification is temporarily suspended, the certificant shall pass any applicable written examination and any proficiency examination, at the conclusion of the specified suspension period, prior to having the certification reinstated.

### **Measurement and Payment**

*Certified Erosion and Sediment Control/Stormwater Supervisor, Certified Foremen, Certified Installers and Certified Designer* will be incidental to the project for which no direct compensation will be made.

### **PROCEDURE FOR MONITORING BORROW PIT DISCHARGE:**

(2-20-07) (Rev. 3-19-13)

105-16, 230, 801

SP1 G181

Water discharge from borrow pit sites shall not cause surface waters to exceed 50 NTUs (nephelometric turbidity unit) in streams not designated as trout waters and 10 NTUs in streams, lakes or reservoirs designated as trout waters. For lakes and reservoirs not designated as trout

waters, the turbidity shall not exceed 25 NTUs. If the turbidity exceeds these levels due to natural background conditions, the existing turbidity level shall not be increased.

If during any operating day, the downstream water quality exceeds the standard, the Contractor shall do all of the following:

- (A) Either cease discharge or modify the discharge volume or turbidity levels to bring the downstream turbidity levels into compliance, or
- (B) Evaluate the upstream conditions to determine if the exceedance of the standard is due to natural background conditions. If the background turbidity measurements exceed the standard, operation of the pit and discharge can continue as long as the stream turbidity levels are not increased due to the discharge.
- (C) Measure and record the turbidity test results (time, date and sampler) at all defined sampling locations 30 minutes after startup and at a minimum, one additional sampling of all sampling locations during that 24-hour period in which the borrow pit is discharging.
- (D) Notify DWQ within 24 hours of any stream turbidity standard exceedances that are not brought into compliance.

During the Environmental Assessment required by Article 230-4 of the *2012 Standard Specifications*, the Contractor shall define the point at which the discharge enters into the State's surface waters and the appropriate sampling locations. Sampling locations shall include points upstream and downstream from the point at which the discharge enters these waters. Upstream sampling location shall be located so that it is not influenced by backwater conditions and represents natural background conditions. Downstream sampling location shall be located at the point where complete mixing of the discharge and receiving water has occurred.

The discharge shall be closely monitored when water from the dewatering activities is introduced into jurisdictional wetlands. Any time visible sedimentation (deposition of sediment) on the wetland surface is observed, the dewatering activity will be suspended until turbidity levels in the stilling basin can be reduced to a level where sediment deposition does not occur. Staining of wetland surfaces from suspended clay particles, occurring after evaporation or infiltration, does not constitute sedimentation. No activities shall occur in wetlands that adversely affect the functioning of a wetland. Visible sedimentation will be considered an indication of possible adverse impacts on wetland use.

The Engineer will perform independent turbidity tests on a random basis. These results will be maintained in a log within the project records. Records will include, at a minimum, turbidity test results, time, date and name of sampler. Should the Department's test results exceed those of the Contractor's test results, an immediate test shall be performed jointly with the results superseding the previous test results of both the Department and the Contractor.

The Contractor shall use the *NCDOT Turbidity Reduction Options for Borrow Pits Matrix*, available at [http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/fieldops/downloads/](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/fieldops/downloads/)

Files/TurbidityReductionOptionSheet.pdf to plan, design, construct, and maintain BMPs to address water quality standards. Tier I Methods include stilling basins which are standard compensatory BMPs. Other Tier I methods are noncompensatory and shall be used when needed to meet the stream turbidity standards. Tier II Methods are also noncompensatory and are options that may be needed for protection of rare or unique resources or where special environmental conditions exist at the site which have led to additional requirements being placed in the DWQ's 401 Certifications and approval letters, Isolated Wetland Permits, Riparian Buffer Authorization or a DOT Reclamation Plan's Environmental Assessment for the specific site. Should the Contractor exhaust all Tier I Methods on a site exclusive of rare or unique resources or special environmental conditions, Tier II Methods may be required by regulators on a case by case basis per supplemental agreement.

The Contractor may use cation exchange capacity (CEC) values from proposed site borings to plan and develop the bid for the project. CEC values exceeding 15 milliequivalents per 100 grams of soil may indicate a high potential for turbidity and should be avoided when dewatering into surface water is proposed.

No additional compensation for monitoring borrow pit discharge will be paid.

**EMPLOYMENT:**

(11-15-11) (Rev. 1-17-12)

108, 102

SP1 G184

Revise the *2012 Standard Specifications* as follows:

**Page 1-20, Subarticle 102-15(O)**, delete and replace with the following:

**(O)** Failure to restrict a former Department employee as prohibited by Article 108-5.

**Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32**, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

**STATE HIGHWAY ADMINISTRATOR TITLE CHANGE:**

(9-18-12)

SP1 G185

Revise the *2012 Standard Specifications* as follows:

Replace all references to "State Highway Administrator" with "Chief Engineer".

**SUBLETTING OF CONTRACT:**

(11-18-2014)

108-6

SP1 G186

Revise the *2012 Standard Specifications* as follows:

**Page 1-66, Article 108-6 Subletting of Contract, line 37**, add the following as the second sentence of the first paragraph:

All requests to sublet work shall be submitted within 30 days of the date of availability or prior to expiration of 20% of the contract time, whichever date is later, unless otherwise approved by the Engineer.

**Page 1-67, Article 108-6 Subletting of Contract, line 7**, add the following as the second sentence of the fourth paragraph:

Purchasing materials for subcontractors is not included in the percentage of work required to be performed by the Contractor. If the Contractor sublets items of work but elects to purchase material for the subcontractor, the value of the material purchased will be included in the total dollar amount considered to have been sublet.

**PROJECT SPECIAL PROVISIONS****ROADWAY****CLEARING AND GRUBBING - METHOD II:**

(9-17-02) (Rev. 8-18-15)

200

SP2 R02A

Perform clearing on this project to the limits established by Method “II” shown on Standard Drawing No. 200.02 of the *2012 Roadway Standard Drawings*. Conventional clearing methods may be used except where permit drawings or conditions have been included in the proposal which require certain areas to be cleared by hand methods.

**SHOULDER AND FILL SLOPE MATERIAL:**

(5-21-02)

235, 560

SP2 R45 B

**Description**

Perform the required shoulder and slope construction for this project in accordance with the applicable requirements of Section 560 and Section 235 of the *2012 Standard Specifications*.

**Measurement and Payment**

When the Contractor elects to obtain material from an area located beneath a proposed fill sections which does not require excavation for any reason other than to generate acceptable shoulder and fill slope material, the work of performing the excavation will be considered incidental to the item of *Borrow Excavation* or *Shoulder Borrow*. If there is no pay item for *Borrow* or *Shoulder Borrow* in the contract, this work will be considered incidental to *Unclassified Excavation*. Stockpile the excavated material in a manner to facilitate measurement by the Engineer. Fill the void created by the excavation of the shoulder and fill slope material with suitable material. Payment for material used from the stockpile will be made at the contract unit price for *Borrow Excavation* or *Shoulder Borrow*. If there is no pay item for *Borrow Excavation* or *Shoulder Borrow*, then the material will be paid for at the contract unit price for *Unclassified Excavation*. The material used to fill the void created by the excavation of the shoulder and fill slope material will be made at the contract unit price for *Unclassified Excavation*, *Borrow Excavation*, or *Shoulder Borrow*, depending on the source of the material.

Material generated from undercut excavation, unclassified excavation or clearing and grubbing operations that is placed directly on shoulders or slope areas, will not be measured separately for payment, as payment for the work requiring the excavation will be considered adequate compensation for depositing and grading the material on the shoulders or slopes.

When undercut excavation is performed at the direction of the Engineer and the material excavated is found to be suitable for use as shoulder and fill slope material, and there is no area on the project currently prepared to receive the material generated by the undercut operation, the Contractor may construct a stockpile for use as borrow at a later date. Payment for the material used from the stockpile will be made at the contract unit price for *Borrow Excavation* or *Shoulder Borrow*.

When shoulder material is obtained from borrow sources or from stockpiled material, payment for the work of shoulder construction will be made at the contract unit price per cubic yard for *Borrow Excavation* or *Shoulder Borrow* in accordance with the applicable provisions of Section 230 or Section 560 of the *2012 Standard Specifications*.

**PIPE INSTALLATION:**

(11-20-12) (Rev. 8-18-15)

300

SP3 R01

Revise the *2012 Standard Specifications* as follows:

**Page 3-1, Article 300-2, Materials**, line 15, in the materials table, replace “Flowable Fill” and “Geotextiles” with the following:

<b>Item</b>	<b>Section</b>
Flowable Fill, Excavatable	1000-6
Grout, Type 2	1003
Geotextiles, Type 4	1056

**Page 3-1, Article 300-2, Materials**, lines 23-24, replace sentence with the following:

Provide foundation conditioning geotextile and geotextile to wrap pipe joints in accordance with Section 1056 for Type 4 geotextile.

**Page 3-3, Subarticle 300-6(A), Rigid Pipe**, line 2, in the first paragraph, replace “an approved non-shrink grout.” with “grout.” and line 4, in the second paragraph, replace “filtration geotextile” with “geotextile”.

**Page 3-3, Article 300-7, Backfilling**, lines 37-38, in the first and second sentences of the fifth paragraph, replace “Excavatable flowable fill” with “Flowable fill”.

**BRIDGE APPROACH FILLS:**

(10-19-10) (Rev. 1-17-12)

422

SP4 R02

**Description**

Bridge approach fills include bridge approach fills for sub regional tier bridges and reinforced bridge approach fills. Construct bridge approach fills in accordance with the contract and Standard Drawing No. 422.10 or 422.11 of the *2012 Roadway Standard Drawings*. Define “geosynthetics” as geotextiles or geomembranes.



**Materials**

Refer to Division 10 of the *2012 Standard Specifications*.

<b>Item</b>	<b>Section</b>
Anchor Pins	1056-2
Geotextiles	1056
Portland Cement Concrete	1000
Select Material	1016
Subsurface Drainage Materials	1044
Wire Staples	1060-8(D)

For bridge approach fills for sub regional tier bridges, provide Type 1 geotextile for filtration geotextiles. For reinforced bridge approach fills, provide Type 5 geotextile for geotextile reinforcement and Type 1 geotextile and No. 78M stone for drains. Use Class B concrete for concrete pads.

Use Class III or V select material for reinforced bridge approach fills and only Class V select material (standard size No. 78M stone) for bridge approach fills for sub regional tier bridges. Provide PVC pipes, fittings and outlet pipes for subsurface drainage materials. For drains and PVC pipes behind end bents, use pipes with perforations that meet AASHTO M 278.

Use PVC, HDPE or linear low density polyethylene (LLDPE) geomembranes for reinforced bridge approach fills. For PVC geomembranes, provide grade PVC30 geomembranes that meet ASTM D7176. For HDPE and LLDPE geomembranes, use geomembranes with a nominal thickness of at least 30 mils that meet Geosynthetic Research Institute Standard Specifications GM13 or GM17, respectively. Handle and store geomembranes in accordance with Article 1056-2 of the *2012 Standard Specifications*. Provide material certifications for geomembranes in accordance with Article 1056-3 of the *2012 Standard Specifications*.

**Construction Methods**

Excavate as necessary for bridge approach fills in accordance with the contract. Notify the Engineer when foundation excavation is complete. Do not place geomembranes or filtration geotextiles until excavation dimensions and foundation material are approved. Attach geomembranes and filtration geotextiles to end bent cap back and wing walls with adhesives, tapes or other approved methods. Glue or weld geomembrane seams to prevent leakage.

For reinforced bridge approach fills, place geotextile reinforcement within 3" of locations shown in Standard Drawing No. 422.10 of the *2012 Roadway Standard Drawings* and in slight tension free of kinks, folds, wrinkles or creases. Install geotextile reinforcement with the orientation, dimensions and number of layers shown in Standard Drawing No. 422.10 of the *2012 Roadway Standard Drawings*. Place first layer of geotextile reinforcement directly on geomembranes with no void or material in between. Install geotextile reinforcement with the machine direction (MD) parallel to the roadway centerline. The MD is the direction of the length or long dimension of the geotextile roll. Do not splice or overlap geotextile reinforcement in the MD so

seams are perpendicular to the roadway centerline. Wrap geotextile reinforcement at end bent cap back and wing walls as shown in Standard Drawing No. 422.10 of the *2012 Roadway Standard Drawings* and directed by the Engineer. Extend geotextile reinforcement at least 4 ft back behind end bent cap back and wing walls into select material.

Overlap adjacent geotextiles at least 18" with seams oriented parallel to the roadway centerline. Hold geotextiles in place with wire staples or anchor pins as needed. Contact the Engineer when existing or future obstructions such as foundations, pavements, pipes, inlets or utilities will interfere with geosynthetics.

For reinforced bridge approach fills, construct one foot square drains consisting of 4" diameter continuous perforated PVC pipes surrounded by No. 78M stone wrapped in Type 1 geotextiles. Install drains in accordance with Standard Drawing No. 422.10 of the *2012 Roadway Standard Drawings*. For bridge approach fills for sub regional tier bridges, install 4" diameter continuous perforated PVC drain pipes in accordance with Standard Drawing No. 422.11 of the *2012 Roadway Standard Drawings*.

Use solvent cement to connect PVC pipes so joints do not leak. Connect perforated pipes to outlet pipes just behind wing walls. Provide drain pipes and drains with positive drainage towards outlets. Place pipe sleeves in or under wing walls for outlet pipes so positive drainage is maintained. Use sleeves that can withstand wing wall loads.

Place select material in 8" to 10" thick lifts. Use only hand operated compaction equipment to compact select material for bridge approach fills. Compact Class III select material in accordance with Subarticle 235-3(C) of the *2012 Standard Specifications*. Compact No. 78M stone with a vibratory compactor to the satisfaction of the Engineer. Do not displace or damage geosynthetics, drain pipes or drains when placing and compacting select material. End dumping directly on geosynthetics is not permitted. Do not operate heavy equipment on geosynthetics, drain pipes or drains until they are covered with at least 8" of select material. Replace any damaged geosynthetics, drain pipes or drains to the satisfaction of the Engineer.

Cover open ends of outlet pipes with rodent screens as shown in Standard Drawing No. 815.03 of the *2012 Roadway Standard Drawings*. Connect ends of outlet pipes to concrete pads or existing drainage structures as directed by the Engineer. Construct concrete pads with an Ordinary surface finish that meets Subarticle 825-6(B) of the *2012 Standard Specifications*.

### **Measurement and Payment**

*Reinforced Bridge Approach Fill, Station \_\_\_\_* will be paid at the contract lump sum price. The contract lump sum price for *Reinforced Bridge Approach Fill, Station \_\_\_\_* will be full compensation for labor, tools, equipment and reinforced bridge approach fill materials, excavating, backfilling, hauling and removing excavated materials, compacting select material, connecting outlet pipes to existing drainage structures and supplying select materials, geosynthetics, drains, pipe sleeves and outlet components and any incidentals necessary to construct all reinforced bridge approach fills at each bridge.

*Bridge Approach Fill - Sub Regional Tier, Station \_\_\_\_\_* will be paid at the contract lump sum price. The contract lump sum price for *Bridge Approach Fill - Sub Regional Tier, Station \_\_\_\_\_* will be full compensation for labor, tools, equipment and bridge approach fill materials, excavating, backfilling, hauling and removing excavated materials, compacting No. 78M stone, connecting outlet pipes to existing drainage structures and supplying No. 78M stone, filtration geotextiles, drain pipes, pipe sleeves and outlet components and any incidentals necessary to construct all bridge approach fills at each sub regional tier bridge.

Payment will be made under:

**Pay Item**

Reinforced Bridge Approach Fill, Station \_\_\_\_\_  
 Bridge Approach Fill - Sub Regional Tier, Station \_\_\_\_\_

**Pay Unit**

Lump Sum  
 Lump Sum

**ASPHALT PAVEMENTS - SUPERPAVE:**

(6-19-12) (Rev. 10-20-15)

605, 609, 610, 650

SP6 R01

Revise the *2012 Standard Specifications* as follows:

**Page 6-3, Article 605-7, APPLICATION RATES AND TEMPERATURES**, replace this article, including Table 605-1, with the following:

Apply tack coat uniformly across the existing surface at target application rates shown in Table 605-1.

<b>TABLE 605-1 APPLICATION RATES FOR TACK COAT</b>	
<b>Existing Surface</b>	<b>Target Rate (gal/sy)</b>
	<b>Emulsified Asphalt</b>
New Asphalt	0.04 ± 0.01
Oxidized or Milled Asphalt	0.06 ± 0.01
Concrete	0.08 ± 0.01

Apply tack coat at a temperature within the ranges shown in Table 605-2. Tack coat shall not be overheated during storage, transport or at application.

<b>TABLE 605-2 APPLICATION TEMPERATURE FOR TACK COAT</b>	
<b>Asphalt Material</b>	<b>Temperature Range</b>
Asphalt Binder, Grade PG 64-22	350 - 400°F
Emulsified Asphalt, Grade RS-1H	130 - 160°F
Emulsified Asphalt, Grade CRS-1	130 - 160°F
Emulsified Asphalt, Grade CRS-1H	130 - 160°F
Emulsified Asphalt, Grade HFMS-1	130 - 160°F
Emulsified Asphalt, Grade CRS-2	130 - 160°F

**Page 6-7, Article 609-3, FIELD VERIFICATION OF MIXTURE AND JOB MIX FORMULA ADJUSTMENTS**, lines 35-37, delete the second sentence of the second paragraph.

**Page 6-18, Article 610-1 DESCRIPTION**, lines 40-41, delete the last sentence of the last paragraph.

**Page 6-19, Subarticle 610-3(A), Mix Design-General**, line 5, add the following as the first paragraph:

Warm mix asphalt (WMA) is allowed for use at the Contractor's option in accordance with the NCDOT Approved Products List for WMA Technologies available at:

**<https://connect.ncdot.gov/resources/Materials/MaterialsResources/Warm%20Mix%20Asphalt%20Approved%20List.pdf>**

**Page 6-20, Subarticle 610-3(C), Job Mix Formula (JMF)**, lines 47-48, replace the last sentence of the third paragraph with the following:

The JMF mix temperature shall be within the ranges shown in Table 610-1 unless otherwise approved.

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, replace Table 610-1 with the following:

<b>TABLE 610-1 MIXING TEMPERATURE AT THE ASPHALT PLANT</b>	
<b>Binder Grade</b>	<b>JMF Mix Temperature</b>
PG 58-28; PG 64-22	250 - 290°F
PG 70-22	275- 305°F
PG 76-22	300- 325°F

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, lines 1-2, in the first sentence of the first paragraph, delete "and compaction". Lines 4-7, delete the second paragraph and replace with the following:

When RAS is used, the JMF mix temperature shall be established at 275°F or higher.

**Page 6-22, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES**, lines 15-17, replace the second sentence of the first paragraph with the following:

Do not place asphalt material when the air or surface temperatures, measured at the location of the paving operation away from artificial heat, do not meet Table 610-5.

**Page 6-23, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES**, replace Table 610-5 with the following:

<b>TABLE 610-5 PLACEMENT TEMPERATURES FOR ASPHALT</b>	
<b>Asphalt Concrete Mix Type</b>	<b>Minimum Surface and Air Temperature</b>
B25.0B, C	35°F
I19.0B, C, D	35°F
SF9.5A, S9.5B	40°F <sup>A</sup>
S9.5C, S12.5C	45°F <sup>A</sup>
S9.5D, S12.5D	50°F

- A. For the final layer of surface mixes containing recycled asphalt shingles (RAS), the minimum surface and air temperature shall be 50°F.

**Page 6-23, Subarticle 610-5(A), General**, lines 33-34, replace the last sentence of the third paragraph with the following:

Produce the mixture at the asphalt plant within  $\pm 25$  °F of the JMF mix temperature. The temperature of the mixture, when discharged from the mixer, shall not exceed 350°F.

**Page 6-26, Article 610-7, HAULING OF ASPHALT MIXTURE**, lines 22-23, in the fourth sentence of the first paragraph replace “so as to overlap the top of the truck bed and” with “to”. Line 28, in the last paragraph, replace “+15 °F to -25 °F of the specified JMF temperature.” with “ $\pm 25$  °F of the specified JMF mix temperature.”

**Page 6-41, Subarticle 650-3(B), Mix Design Criteria**, replace Table 650-1 with the following:

<b>TABLE 650-1 OGAFC GRADATION CRITERIA</b>			
<i>Sieve Size (mm)</i>	<i>Type FC-1</i>	<i>Type FC-1 Modified</i>	<i>Type FC-2 Modified</i>
19.0	-	-	100
12.5	100	100	80 - 100
9.50	75 - 100	75 - 100	55 - 80
4.75	25 - 45	25 - 45	15 - 30
2.36	5 - 15	5 - 15	5 - 15
0.075	1.0 - 3.0	1.0 - 3.0	2.0 - 4.0

#### **ASPHALT BINDER CONTENT OF ASPHALT PLANT MIXES:**

(11-21-00) (Rev. 7-17-12)

609

SP6 R15

The approximate asphalt binder content of the asphalt concrete plant mixtures used on this project will be as follows:

Asphalt Concrete Base Course	Type B 25.0	4.4%
Asphalt Concrete Intermediate Course	Type I 19.0	4.8%
Asphalt Concrete Surface Course	Type S 4.75A	6.8%
Asphalt Concrete Surface Course	Type SA-1	6.8%
Asphalt Concrete Surface Course	Type SF 9.5A	6.7%

Asphalt Concrete Surface Course	Type S 9.5	6.0%
Asphalt Concrete Surface Course	Type S 12.5	5.6%

The actual asphalt binder content will be established during construction by the Engineer within the limits established in the *2012 Standard Specifications*.

**ASPHALT PLANT MIXTURES:**

(7-1-95)

609

SP6 R20

Place asphalt concrete base course material in trench sections with asphalt pavement spreaders made for the purpose or with other equipment approved by the Engineer.

**PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX:**

(11-21-00)

620

SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the *2012 Standard Specifications*.

The base price index for asphalt binder for plant mix is \$ **423.21** per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **November 1, 2015**.

**FINAL SURFACE TESTING NOT REQUIRED:**

(5-18-04) (Rev. 5-15-12)

610

SP6 R45

Final surface testing is not required on this project.

**WEATHERING STEEL BEAM GUARDRAIL (Painted Lap):**

12-18-09

SPI 8-33(Rev)

**Description**

Install steel beam guardrail (weathering steel) at locations shown on the plans in accordance with Section 862 of the *Standard Specifications*.

**Materials**

Material used in the steel beam guardrail and posts shall meet the corrosion requirements of Unpainted Structural Steel ASTM A242.

Submit Type 1 Certified Mill Test Report in accordance with Section 106-3 of the *Standard Specifications*. The Engineer reserves the right to sample the materials.

Painting of laps shall be performed in accordance with the requirements of Section 1080 and Section 442 of the *2012 Standard Specifications* using System 4 as modified herein.

**System 4 (Modified)  
Acrylic Primer and Top Coats**

Coat	Material	Mils Dry/Wet Film Thickness Minimum	Mils Dry/Wet Film Thickness Maximum
Primer	1080-12 White	3.0 DFT	5.0 DFT
Stripe	1080-12 Brown	4.0 WFT	7.0 WFT
Topcoat	1080-12 Brown	2.0 DFT	4.0 DFT
<b>Total</b>		5.0 DFT	9.0 DFT

**Construction Methods**

Install guardrail with a uniform color appearance on the outside surfaces on the roadway face of the guardrail. A brush-off blast cleaning or brushing in the field may be required after erection, if weathering of the sections has not been consistent.

Use reflectorized washers on curve areas where nighttime visibility is required.

At locations where the guardrail is lapped, the ends of both sections to be lapped shall be coated in accordance with Section 442 of the *Standard Specifications* for Paint System 4 as modified above. The coated back on the end of one rail shall be placed over the end with a coated front on the adjacent rail. Only the side of the rail that is in contact with the adjacent rail shall be coated and each rail shall be coated for the entire length of the lap.

**Measurement and Payment**

*Weathering Steel Beam Guardrail with Painted Laps* will be measured and paid for in linear feet of guardrail that has been satisfactorily completed and accepted exclusive of that length of guardrail that is within the pay limits of guardrail anchors. Measurement will be made from center to center of the outermost post in the length of guardrail being measured.

*Weathering Steel Beam Guardrail with Painted Laps, Shop Curved* will be measured and paid for in linear feet of guardrail that has been satisfactorily completed and accepted exclusive of that length of guardrail that is within the pay limits of guardrail anchors. Measurement will be made from center to center of the outermost post in the length of guardrail being measured.

*Weathering Steel Beam Guardrail Terminal Sections* will be measured and paid in units of each completed and accepted, exclusive of terminal sections that are within the pay limits of guardrail anchors.

*Weathering Steel Additional Guardrail Posts* will be measured and paid in units of each for additional posts required but not shown in the plans.

*Weathering Steel Beam Guardrail Anchor Units, Type III with Painted Laps* will be measured and paid as units of each completed and accepted. No separate measurement will be made of any

rail, posts, offset blocks, concrete, hardware or any other components of the completed unit that are within the pay limits shown in the plans for the unit as all such components will be considered to be part of the unit

Such price and payment will be full compensation for all work covered by this provision including but not limited to furnishing and erecting weathered posts, offset blocks, rail, miscellaneous hardware, painting of laps and all other materials and all incidentals necessary to complete the work satisfactorily.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Weathering Steel Beam Guardrail with Painted Laps	Linear Foot
Weathering Steel Beam Guardrail with Painted Laps, Shop Curved	Linear Foot
Weathering Steel Beam Guardrail Terminal Sections with Painted Laps	Each
Weathering Steel Additional Guardrail Posts	Each
Weathering Steel Beam Guardrail Anchor Units, Type III with Painted Laps	Each

**PAINTED GUARDRAIL ANCHOR UNITS, TYPE 350 TL-2:**

(10-21-08) (Rev. 7-21-15)

862

SP8 R64(Rev)

**Description**

Furnish and install guardrail anchor units in accordance with the details in the plans, the applicable requirements of Section 862 of the *2012 Standard Specifications*, and at locations shown in the plans.

**Materials**

Furnish guardrail anchor units listed on the NCDOT Approved Products List at <https://apps.dot.state.nc.us/vendor/approvedproducts/> or approved equal.

Prior to installation the Contractor shall submit to the Engineer:

- (A) FHWA acceptance letter for each guardrail anchor unit certifying it meets the requirements of NCHRP Report 350, Test Level 2 in accordance with Article 106-2 of the *2012 Standard Specifications*.
- (B) Certified working drawings and assembling instructions from the manufacturer for each guardrail anchor unit in accordance with Article 105-2 of the *2012 Standard Specifications*.

No modifications shall be made to the guardrail anchor unit without the express written permission from the manufacturer. Perform installation in accordance with the details in the plans, and details and assembling instructions furnished by the manufacturer.



Painting shall be performed in accordance with Section 1080 and Section 442 of the *2012 Standard Specifications* using System 4 as modified herein.

**System 4 (Modified)**  
**Acrylic Primer and Top Coats**

Coat	Material	Mils Dry/Wet Film Thickness Minimum	Mils Dry/Wet Film Thickness Maximum
Primer	1080-12 White	3.0 DFT	5.0 DFT
Stripe	1080-12 Brown	4.0 WFT	7.0 WFT
Topcoat	1080-12 Brown	2.0 DFT	4.0 DFT
<b>Total</b>		5.0 DFT	9.0 DFT

**Construction Methods**

- (A) *Preparation of galvanized beams and hardware for painting:* Perform surface smoothing by removing or cleaning all zinc high spots, such as metal drip line, by hand or power tools in accordance with SSPC SP 2 or 3. Level zinc material flush with the surrounding plane without removing the base coating.

Abrasive sweep blasting shall be performed in accordance with Section 5.4.1 of ASTM D6386. This section also provides a description of the abrasive blast material to be used. The material and technique used will provide a stripping action to remove corrosion products and to provide a rough surface profile while leaving base zinc layers intact.

All surfaces of the blasted beams and hardware shall be blown down with clean compressed air to provide a clean, dry surface for additional coating to be applied.

All surfaces shall be free of visible zinc oxides or zinc hydroxides.

- (B) (1) *Certification:* Only SSPC QP-3 certified contractor shall shop paint guardrail material.
- (2) *Shop Paint:* Galvanized guardrail beams, both front and back, posts, anchor units and hardware shall be shop painted within 8 hours after surface preparation except paint bolt heads after installation.
- (C) *Repair of Damaged Coating:* Repair damage occurring to the galvanized portion of the coating during shipment or installation in accordance with Articles 1076-7 and 1080-9 of the *2012 Standard Specifications*. Repair damage occurring to the painted portion of the coating during shipment or installation by applying 4.0 to 7.0 wet mils of topcoat with a brush or roller and feather or taper this to be level with the surrounding areas.

- (D) *Guardrail Installation:* Install guardrail in accordance with Section 862, details in the plans, and details and assembling instructions furnished by the manufacturer. Guardrail end delineation shall be applied to the entire end section of all approach and trailing end sections.
- (E) Guardrail end delineation is required on all approach and trailing end sections for both temporary and permanent installations. Guardrail end delineation consists of yellow reflective sheeting applied to the entire end section of the guardrail in accordance with Article 1088-3 of the *2012 Standard Specifications* and is incidental to the cost of the guardrail anchor unit.

### **Measurement and Payment**

*Painted Guardrail Anchor Units, Type 350, TL-2* will be measured and paid for in accordance with the applicable requirements of Article 862-6 of the *2012 Standard Specifications*.

Such price and payment includes, but is not limited to furnishing and erecting posts, offset blocks, rail, miscellaneous hardware, and all other materials, backfilling; fabrication; welding; painting, galvanizing; furnishing and installing guardrail delineators and end delineation.

Payment will be made under:

#### **Pay Item**

Painted Guardrail Anchor Units, Type 350, TL-2

#### **Pay Unit**

Each

### **DETECTABLE WARNINGS FOR PROPOSED CURB RAMPS:**

(6-15-10) (Rev. 8-16-11)

848

SP8 R126

### **Description**

Construct detectable warnings consisting of integrated raised truncated domes on proposed concrete curb ramps in accordance with the *2012 Standard Specifications*, plan details, the requirements of the *28 CFR Part 36 ADA Standards for Accessible Design* and this provision.

### **Materials**

Detectable warning for proposed curb ramps shall consist of integrated raised truncated domes. The description, size and spacing shall conform to Section 848 of the *2012 Standard Specifications*.

Use material for detectable warning systems as shown herein. Material and coating specifications must be stated in the Manufacturers Type 3 Certification and all Detectable Warning systems must be on the NCDOT Approved Products List.

Install detectable warnings created from one of the following materials: precast concrete blocks or bricks, clay paving brick, gray or ductile iron castings, mild steel, stainless steel, and

engineered plastics, rubber or composite tile. Only one material type for detectable warning will be permitted per project, unless otherwise approved by the Engineer.

- (A) Detectable Warnings shall consist of a base with integrated raised truncated domes, and when constructed of precast concrete they shall conform to the material requirements of Article 848-2 of the *2012 Standard Specifications*.
- (B) Detectable Warnings shall consist of a base with integrated raised truncated domes, and may be comprised of other materials including, but not limited, to clay paving brick, gray iron or ductile iron castings, mild steel, stainless steel, and engineered plastics, rubber or composite tile, which are cast into the concrete of the curb ramps. The material shall have an integral color throughout the thickness of the material. The detectable warning shall include fasteners or anchors for attachment in the concrete and shall be furnished as a system from the manufacturer.

Prior to installation, the Contractor shall submit to the Engineer assembling instructions from the manufacturer for each type of system used in accordance with Article 105-2 of the *2012 Standard Specifications*. The system shall be furnished as a kit containing all consumable materials and consumable tools, required for the application. They shall be capable of being affixed to or anchored in the concrete curb ramp, including green concrete (concrete that has set but not appreciably hardened). The system shall be solvent free and contain no volatile organic compounds (VOC). The static coefficient of friction shall be 0.8 or greater when measured on top of the truncated domes and when measured between the domes in accordance with ASTM C1028 (dry and wet). The system shall be resistant to deterioration due to exposure to sunlight, water, salt or adverse weather conditions and impervious to degradation by motor fuels, lubricants and antifreeze.

- (C) When steel or gray iron or ductile iron casting products are provided, only products that meet the requirements of Subarticle 106-1(B) of the *2012 Standard Specifications* may be used. Submit to the Engineer a Type 6 Certification, catalog cuts and installation procedures at least 30 days prior to installation for all.

### **Construction Methods**

- (A) Prior to placing detectable warnings in proposed concrete curb ramps, adjust the existing subgrade to the proper grade and in accordance with Article 848-3 of the *2012 Standard Specifications*.
- (B) Install all detectable warning in proposed concrete curb ramps in accordance with the manufacturer's recommendations.

### **Measurement and Payment**

Detectable Warnings installed for construction of proposed curb ramps will not be paid for separately. Such payment will be included in the price bid for *Concrete Curb Ramps*.

**STREET SIGNS AND MARKERS AND ROUTE MARKERS:**

(7-1-95)

900

SP9 R02

Move any existing street signs, markers, and route markers out of the construction limits of the project and install the street signs and markers and route markers so that they will be visible to the traveling public if there is sufficient right of way for these signs and markers outside of the construction limits.

Near the completion of the project and when so directed by the Engineer, move the signs and markers and install them in their proper location in regard to the finished pavement of the project.

Stockpile any signs or markers that cannot be relocated due to lack of right of way, or any signs and markers that will no longer be applicable after the construction of the project, at locations directed by the Engineer for removal by others.

The Contractor shall be responsible to the owners for any damage to any street signs and markers or route markers during the above described operations.

No direct payment will be made for relocating, reinstalling, and/or stockpiling the street signs and markers and route markers as such work shall be considered incidental to other work being paid for by the various items in the contract.

**FOUNDATIONS AND ANCHOR ROD ASSEMBLIES FOR METAL POLES:**

(1-17-12) (Rev. 5-19-15)

9, 14, 17

SP9 R05

**Description**

Foundations for metal poles include foundations for signals, cameras, overhead and dynamic message signs (DMS) and high mount and low level light standards supported by metal poles or upright trusses. Foundations consist of footings with pedestals and drilled piers with or without grade beams or wings. Anchor rod assemblies consist of anchor rods (also called anchor bolts) with nuts and washers on the exposed ends of rods and nuts and a plate or washers on the other ends of rods embedded in the foundation.

Construct concrete foundations with the required resistances and dimensions and install anchor rod assemblies in accordance with the contract and accepted submittals. Construct drilled piers consisting of cast-in-place reinforced concrete cylindrical sections in excavated holes. Provide temporary casings or polymer slurry as needed to stabilize drilled pier excavations. Use a prequalified Drilled Pier Contractor to construct drilled piers for metal poles. Define “excavation” and “hole” as a drilled pier excavation and “pier” as a drilled pier.

This provision does not apply to materials and anchor rod assemblies for standard foundations for low level light standards. See Section 1405 of the *2012 Standard Specifications* and Standard Drawing No. 1405.01 of the *2012 Roadway Standard Drawings* for materials and anchor rod assemblies for standard foundations. For construction of standard foundations for low level light standards, standard foundations are considered footings in this provision.

This provision does not apply to foundations for signal pedestals; see Section 1743 of the *2012 Standard Specifications* and Standard Drawing No. 1743.01 of the *2012 Roadway Standard Drawings*.

**Materials**

Refer to the *2012 Standard Specifications*.

<b>Item</b>	<b>Section</b>
Conduit	1091-3
Grout, Type 2	1003
Polymer Slurry	411-2(B)
Portland Cement Concrete	1000
Reinforcing Steel	1070
Rollers and Chairs	411-2(C)
Temporary Casings	411-2(A)

Provide Type 3 material certifications in accordance with Article 106-3 of the *2012 Standard Specifications* for conduit, rollers, chairs and anchor rod assemblies. Store steel materials on blocking at least 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store foundation and anchor rod assembly materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

Use conduit type in accordance with the contract. Use Class A concrete for footings and pedestals, Class Drilled Pier concrete for drilled piers and Class AA concrete for grade beams and wings including portions of drilled piers above bottom of wings elevations. Corrugated temporary casings may be accepted at the discretion of the Engineer. A list of approved polymer slurry products is available from:  
[connect.ncdot.gov/resources/Geological/Pages/Products.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Products.aspx)

Provide anchor rod assemblies in accordance with the contract consisting of the following:

- (A) Straight anchor rods,
- (B) Heavy hex top and leveling nuts and flat washers on exposed ends of rods, and
- (C) Nuts and either flat plates or washers on the other ends of anchor rods embedded in foundations.

Do not use lock washers. Use steel anchor rods, nuts and washers that meet ASTM F1554 for Grade 55 rods and Grade A nuts. Use steel plates and washers embedded in concrete with a thickness of at least 1/4". Galvanize anchor rods and exposed nuts and washers in accordance

with Article 1076-4 of the *2012 Standard Specifications*. It is not necessary to galvanize nuts, plates and washers embedded in concrete.

### **Construction Methods**

Install the required size and number of conduits in foundations in accordance with the plans and accepted submittals. Construct top of piers, footings, pedestals, grade beams and wings flat, level and within 1" of elevations shown in the plans or approved by the Engineer. Provide an Ordinary Surface finish in accordance with Subarticle 825-6(B) of the *2012 Standard Specifications* for portions of foundations exposed above finished grade. Do not remove anchor bolt templates or pedestal or grade beam forms or erect metal poles or upright trusses onto foundations until concrete attains a compressive strength of at least 3,000 psi.

#### **(A) Drilled Piers**

Before starting drilled pier construction, hold a predrill meeting to discuss the installation, monitoring and inspection of the drilled piers. Schedule this meeting after the Drilled Pier Contractor has mobilized to the site. The Resident or Division Traffic Engineer, Contractor and Drilled Pier Contractor Superintendent will attend this predrill meeting.

Do not excavate holes, install piles or allow equipment wheel loads or vibrations within 20 ft of completed piers until 16 hours after Drilled Pier concrete reaches initial set.

Check for correct drilled pier alignment and location before beginning drilling. Check plumbness of holes frequently during drilling.

Construct drilled piers with the minimum required diameters shown in the plans. Install piers with tip elevations no higher than shown in the plans or approved by the Engineer.

Excavate holes with equipment of the sizes required to construct drilled piers. Depending on the subsurface conditions encountered, drilling through rock and boulders may be required. Do not use blasting for drilled pier excavations.

Contain and dispose of drilling spoils and waste concrete as directed and in accordance with Section 802 of the *2012 Standard Specifications*. Drilling spoils consist of all materials and fluids removed from excavations.

If unstable, caving or sloughing materials are anticipated or encountered, stabilize holes with temporary casings and/or polymer slurry. Do not use telescoping temporary casings. If it becomes necessary to replace a temporary casing during drilling, backfill the excavation, insert a larger casing around the casing to be replaced or stabilize the excavation with polymer slurry before removing the temporary casing.

If temporary casings become stuck or the Contractor proposes leaving casings in place, temporary casings should be installed against undisturbed material. Unless otherwise approved, do not leave temporary casings in place for mast arm poles and cantilever signs. The Engineer will determine if casings may remain in place. If the Contractor proposes leaving temporary casings in place, do not begin drilling until a casing installation method is approved.

Use polymer slurry and additives to stabilize holes in accordance with the slurry manufacturer's recommendations. Provide mixing water and equipment suitable for polymer slurry. Maintain polymer slurry at all times so slurry meets Table 411-3 of the *2012 Standard Specifications* except for sand content.

Define a "sample set" as slurry samples collected from mid-height and within 2 ft of the bottom of holes. Take sample sets from excavations to test polymer slurry immediately after filling holes with slurry, at least every 4 hours thereafter and immediately before placing concrete. Do not place Drilled Pier concrete until both slurry samples from an excavation meet the required polymer slurry properties. If any slurry test results do not meet the requirements, the Engineer may suspend drilling until both samples from a sample set meet the required slurry properties.

Remove soft and loose material from bottom of holes using augers to the satisfaction of the Engineer. Assemble rebar cages and place cages and Drilled Pier concrete in accordance with Subarticle 411-4(E) of the *2012 Standard Specifications* except for the following:

- (1) Inspections for tip resistance and bottom cleanliness are not required,
- (2) Temporary casings may remain in place if approved, and
- (3) Concrete placement may be paused near the top of pier elevations for anchor rod assembly installation and conduit placement or
- (4) If applicable, concrete placement may be stopped at bottom of grade beam or wings elevations for grade beam or wing construction.

If wet placement of concrete is anticipated or encountered, do not place Drilled Pier concrete until a concrete placement procedure is approved. If applicable, temporary casings and fluids may be removed when concrete placement is paused or stopped in accordance with the exceptions above provided holes are stable. Remove contaminated concrete from exposed Drilled Pier concrete after removing casings and fluids. If holes are unstable, do not remove temporary casings until a procedure for placing anchor rod assemblies and conduit or constructing grade beams or wings is approved.

Use collars to extend drilled piers above finished grade. Remove collars after Drilled Pier concrete sets and round top edges of piers.

If drilled piers are questionable, pile integrity testing (PIT) and further investigation may be required in accordance with Article 411-5 of the *2012 Standard Specifications*. A drilled pier will be considered defective in accordance with Subarticle 411-5(D) of the

*2012 Standard Specifications* and drilled pier acceptance is based in part on the criteria in Article 411-6 of the *2012 Standard Specifications* except for the top of pier tolerances in Subarticle 411-6(C) of the *2012 Standard Specifications*.

If a drilled pier is under further investigation, do not grout core holes, backfill around the pier or perform any work on the drilled pier until the Engineer accepts the pier. If the drilled pier is accepted, dewater and grout core holes and backfill around the pier with approved material to finished grade. If the Engineer determines a pier is unacceptable, remediation is required in accordance with Article 411-6 of the *2012 Standard Specifications*. No extension of completion date or time will be allowed for remediation of unacceptable drilled piers or post repair testing.

Permanently embed a plate in or mark top of piers with the pier diameter and depth, size and number of vertical reinforcing bars and the minimum compressive strength of the concrete mix at 28 days.

(B) Footings, Pedestals, Grade Beams and Wings

Excavate as necessary for footings, grade beams and wings in accordance with the plans, accepted submittals and Section 410 of the *2012 Standard Specifications*. If unstable, caving or sloughing materials are anticipated or encountered, shore foundation excavations as needed with an approved method. Notify the Engineer when foundation excavation is complete. Do not place concrete or reinforcing steel until excavation dimensions and foundation material are approved.

Construct cast-in-place reinforced concrete footings, pedestals, grade beams and wings with the dimensions shown in the plans and in accordance with Section 825 of the *2012 Standard Specifications*. Use forms to construct portions of pedestals and grade beams protruding above finished grade. Provide a chamfer with a 3/4" horizontal width for pedestal and grade beam edges exposed above finished grade. Backfill and fill in accordance with Article 410-8 of the *2012 Standard Specifications*. Proper compaction around footings and wings is critical for foundations to resist uplift and torsion forces. Place concrete against undisturbed soil and do not use forms for standard foundations for low level light standards.

(C) Anchor Rod Assemblies

Size anchor rods for design and the required projection above top of foundations. Determine required anchor rod projections from nut, washer and base plate thicknesses, the protrusion of 3 to 5 anchor rod threads above top nuts after tightening and the distance of one nut thickness between top of foundations and bottom of leveling nuts.

Protect anchor rod threads from damage during storage and installation of anchor rod assemblies. Before placing anchor rods in foundations, turn nuts onto and off rods past leveling nut locations. Turn nuts with the effort of one workman using an ordinary



wrench without a cheater bar. Report any thread damage to the Engineer that requires extra effort to turn nuts.

Arrange anchor rods symmetrically about center of base plate locations as shown in the plans. Set anchor rod elevations based on required projections above top of foundations. Securely brace and hold rods in the correct position, orientation and alignment with a steel template. Do not weld to reinforcing steel, temporary casings or anchor rods.

Install top and leveling (bottom) nuts, washers and the base plate for each anchor rod assembly in accordance with the following procedure:

- (1) Turn leveling nuts onto anchor rods to a distance of one nut thickness between the top of foundation and bottom of leveling nuts. Place washers over anchor rods on top of leveling nuts.
- (2) Determine if nuts are level using a flat rigid template on top of washers. If necessary, lower leveling nuts to level the template in all directions or if applicable, lower nuts to tilt the template so the metal pole or upright truss will lean as shown in the plans. If leveling nuts and washers are not in full contact with the template, replace washers with galvanized beveled washers.
- (3) Verify the distance between the foundation and leveling nuts is no more than one nut thickness.
- (4) Place base plate with metal pole or upright truss over anchor rods on top of washers. High mount luminaires may be attached before erecting metal poles but do not attach cables, mast arms or trusses to metal poles or upright trusses at this time.
- (5) Place washers over anchor rods on top of base plate. Lubricate top nut bearing surfaces and exposed anchor rod threads above washers with beeswax, paraffin or other approved lubricant.
- (6) Turn top nuts onto anchor rods. If nuts are not in full contact with washers or washers are not in full contact with the base plate, replace washers with galvanized beveled washers.
- (7) Tighten top nuts to snug-tight with the full effort of one workman using a 12" wrench. Do not tighten any nut all at once. Turn top nuts in increments. Follow a star pattern cycling through each nut at least twice.
- (8) Repeat (7) for leveling nuts.
- (9) Replace washers above and below the base plate with galvanized beveled washers if the slope of any base plate face exceeds 1:20 (5%), any washer is not in firm contact with the base plate or any nut is not in firm contact with a washer. If any washers are replaced, repeat (7) and (8).

- (10) With top and leveling nuts snug-tight, mark each top nut on a corner at the intersection of 2 flats and a corresponding reference mark on the base plate. Mark top nuts and base plate with ink or paint that is not water-soluble. Use the turn-of-nut method for pretensioning. Do not pretension any nut all at once. Turn top nuts in increments for a total turn that meets the following nut rotation requirements:

**NUT ROTATION REQUIREMENTS**

**(Turn-of-Nut Pretensioning Method)**

Anchor Rod Diameter, inch	Requirement
$\leq 1 \frac{1}{2}$	1/3 turn (2 flats)
$> 1 \frac{1}{2}$	1/6 turn (1 flat)

Follow a star pattern cycling through each top nut at least twice.

- (11) Ensure nuts, washers and base plate are in firm contact with each other for each anchor rod. Cables, mast arms and trusses may now be attached to metal poles and upright trusses.
- (12) Between 4 and 14 days after pretensioning top nuts, use a torque wrench calibrated within the last 12 months to check nuts in the presence of the Engineer. Completely erect mast arm poles and cantilever signs and attach any hardware before checking top nuts for these structures. Check that top nuts meet the following torque requirements:

<b>TORQUE REQUIREMENTS</b>	
Anchor Rod Diameter, inch	Requirement, ft-lb
7/8	180
1	270
1 1/8	380
1 1/4	420
$\geq 1 \frac{1}{2}$	600

If necessary, retighten top nuts in the presence of the Engineer with a calibrated torque wrench to within  $\pm 10$  ft-lb of the required torque. Do not overtighten top nuts.

- (13) Do not grout under base plate.

### **Measurement and Payment**

Foundations and anchor rod assemblies for metal poles and upright trusses will be measured and paid for elsewhere in the contract.

No payment will be made for temporary casings that remain in drilled pier excavations. No payment will be made for PIT. No payment will be made for further investigation of defective piers. Further investigation of piers that are not defective will be paid as extra work in accordance with Article 104-7 of the *2012 Standard Specifications*. No payment will be made for remediation of unacceptable drilled piers or post repair testing.

**MATERIALS:**

(2-21-12) (Rev. 10-20-15)

1000, 1002, 1005, 1018, 1024, 1050, 1056, 1074, 1078, 1080, 1081, 1086, 1084, 1087, 1092

SP10 R01

Revise the *2012 Standard Specifications* as follows:

**Page 10-1, Article 1000-1, DESCRIPTION, lines 9-10,** replace the last sentence of the first paragraph with the following:

Type IL, IP, IS or IT blended cement may be used instead of Portland cement.

**Page 10-1, Article 1000-1, DESCRIPTION, line 14,** add the following:

If any change is made to the mix design, submit a new mix design (with the exception of an approved pozzolan source change).

If any major change is made to the mix design, also submit new test results showing the mix design conforms to the criteria. Define a major change to the mix design as:

- (1) A source change in coarse aggregate, fine aggregate or cement.
- (2) A pozzolan class or type change (e.g. Class F fly ash to Class C fly ash).
- (3) A quantitative change in coarse aggregate (applies to an increase or decrease greater than 5%), fine aggregate (applies to an increase or decrease greater than 5%), water (applies to an increase only), cement (applies to a decrease only), or pozzolan (applies to an increase or decrease greater than 5%).

Use materials which do not produce a mottled appearance through rusting or other staining of the finished concrete surface.

**Page 10-1, Article 1000-2, MATERIALS, line 16; Page 10-8, Subarticle 1000-7(A), Materials, line 8; and Page 10-18, Article 1002-2, MATERIALS, line 9,** add the following to the table of item references:

Item	Section
Type IL Blended Cement	1024-1

**Page 10-1, Subarticle 1000-3(A), Composition and Design, lines 25-27,** replace the second paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced.

**Page 10-2, Subarticle 1000-3(A), Composition and Design, lines 12-21,** delete the third paragraph through the sixth paragraph beginning with “If any change is made to the mix design, submit...” through “...(applies to a decrease only).”

**Page 10-5, Table 1000-1, REQUIREMENTS FOR CONCRETE**, replace with the following:

<b>TABLE 1000-1 REQUIREMENTS FOR CONCRETE</b>											
<b>Class of Concrete</b>	<b>Min. Comp. Strength at 28 days</b>	<b>Maximum Water-Cement Ratio</b>				<b>Consistency Max. Slump</b>		<b>Cement Content</b>			
		<b>Air-Entrained Concrete</b>		<b>Non Air- Entrained Concrete</b>		<b>Vibrated</b>	<b>Non- Vibrated</b>	<b>Vibrated</b>		<b>Non- Vibrated</b>	
		<b>Rounded Aggregate</b>	<b>Angular Aggre- gate</b>	<b>Rounded Aggregate</b>	<b>Angular Aggre- gate</b>			<b>Min.</b>	<b>Max.</b>	<b>Min.</b>	<b>Max.</b>
<i>Units</i>	<i>psi</i>					<i>inch</i>	<i>inch</i>	<i>lb/cy</i>	<i>lb/cy</i>	<i>lb/cy</i>	<i>lb/cy</i>
AA	4,500	0.381	0.426	-	-	3.5	-	639	715	-	-
AA Slip Form	4,500	0.381	0.426	-	-	1.5	-	639	715	-	-
Drilled Pier	4,500	-	-	0.450	0.450	-	5-7 dry	-	-	640	800
A	3,000	0.488	0.532	0.550	0.594	3.5	7-9 wet	564	-	602	-
B	2,500	0.488	0.567	0.559	0.630	1.5 machine- placed	4	508	-	545	-
Sand Light- weight	4,500	-	0.420	-	-	2.5 hand- placed	4	715	-	-	-
Latex Modified	3,000 7 day	0.400	0.400	-	-	4	-	658	-	-	-
Flowable Fill excavatable	150 max. at 56 days	as needed	as needed	as needed	as needed	-	Flow- able	-	-	40	100
Flowable Fill non-excavatable	125	as needed	as needed	as needed	as needed	-	Flow- able	-	-	100	as needed
Pavement	4,500 design, field 650 flexural, design only	0.559	0.559	-	-	1.5 slip form	-	526	-	-	-
Precast	See Table 1077-1	as needed	as needed	-	-	3.0 hand place	-	as needed	as needed	as needed	as needed
Prestress	per contract	See Table 1078-1	See Table 1078-1	-	-	6	as needed	as needed	as needed	as needed	as needed
						8	-	564	as needed	-	-

**Page 10-6, Subarticle 1000-4(I), Use of Fly Ash, lines 36-2**, replace the first paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced. Use Table 1000-1 to determine the maximum allowable water-cementitious material (cement + fly ash) ratio for the classes of concrete listed.

**Page 10-7, Table 1000-3, MAXIMUM WATER-CEMENTITIOUS MATERIAL RATIO**, delete the table.

**Page 10-7, Article 1000-5, HIGH EARLY STRENGTH PORTLAND CEMENT CONCRETE, lines 30-31,** delete the second sentence of the third paragraph.

**Page 10-19, Article 1002-3, SHOTCRETE FOR TEMPORARY SUPPORT OF EXCAVATIONS, line 30,** add the following at the end of Section 1002:

**(H) Handling and Storing Test Panels**

Notify the Area Materials Engineer when preconstruction or production test panels are made within 24 hours of shooting the panels. Field cure and protect test panels from damage in accordance with ASTM C1140 until the Department transports panels to the Materials and Tests Regional Laboratory for coring.

**Page 10-23, Table 1005-1, AGGREGATE GRADATION-COARSE AGGREGATE**, replace with the following:

**TABLE 1005-1  
AGGREGATE GRADATION - COARSE AGGREGATE**

Percentage of Total by Weight Passing													
Std. Size #	2"	1 1/2"	1"	3/4"	1/2"	3/8"	#4	#8	#10	#16	#40	#200	Remarks
4	100	90-100	20-55	0-15	-	0-5	-	-	-	-	-	A	Asphalt Plant Mix
467M	100	95-100	-	35-70	-	0-30	0-5	-	-	-	-	A	Asphalt Plant Mix
5	-	100	90-100	20-55	0-10	0-5	-	-	-	-	-	A	AST, Sediment Control Stone
57	-	100	95-100	-	25-60	-	0-10	0-5	-	-	-	A	AST, Str. Concrete, Shoulder Drain, Sediment Control Stone
57M	-	100	95-100	-	25-45	-	0-10	0-5	-	-	-	A	AST, Concrete Pavement
6M	-	-	100	90-100	20-55	0-20	0-8	-	-	-	-	A	AST
67	-	-	100	90-100	-	20-55	0-10	0-5	-	-	-	A	AST, Str. Concrete, Asphalt Plant Mix
78M	-	-	-	100	98-100	75-100	20-45	0-15	-	-	-	A	Asphalt Plant Mix, AST, Str. Conc. Weep Hole Drains
14M	-	-	-	-	-	100	35-70	5-20	-	0-8	-	A	Asphalt Plant Mix, AST, Weep Hole Drains, Str. Concrete
9	-	-	-	-	-	100	85-100	10-40	-	0-10	-	A	AST
ABC	-	100	75-97	-	55-80	-	35-55	-	25-45	-	14-30	4-12 <sup>B</sup>	Aggregate Base Course, Aggregate Stabilization
ABC (M)	-	100	75-100	-	45-79	-	20-40	-	0-25	-	-	0-12 <sup>B</sup>	Maintenance Stabilization
Light-C weight	-	-	-	-	100	80-100	5-40	0-20	-	0-10	-	0-2.5	AST

A. See Subarticle 1005-4(A).

B. See Subarticle 1005-4(B).

C. For Lightweight Aggregate used in Structural Concrete, see Subarticle 1014-2(E)(6).

**Page 10-40, Tables 1018-1 and 1018-2, PIEDMONT, WESTERN AND COASTAL AREA CRITERIA FOR ACCEPTANCE OF BORROW MATERIAL**, under second column in both tables, replace second row with the following:

Acceptable, but not to be used in the top 3 ft of embankment or backfill

**Page 10-46, Article 1024-1, PORTLAND CEMENT, line 33**, add the following as the ninth paragraph:

Use Type IL blended cement that meets AASHTO M 240, except that the limestone content is limited to between 5 and 12% by weight and the constituents shall be interground. Class F fly ash can replace a portion of Type IL blended cement and shall be replaced as outlined in Subarticle 1000-4(I) for Portland cement. For mixes that contain cement with alkali content between 0.6% and 1.0% and for mixes that contain a reactive aggregate documented by the Department, use a pozzolan in the amount shown in Table 1024-1.

**Page 10-46, Table 1024-1, POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE**, replace with the following:

<b>TABLE 1024-1 POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE</b>	
<b>Pozzolan</b>	<b>Rate</b>
Class F Fly Ash	20% - 30% by weight of required cement content with 1.0 lb Class F fly ash per lb of cement replaced
Ground Granulated Blast Furnace Slag	35%-50% by weight of required cement content with 1.0 lb slag per lb of cement replaced
Microsilica	4%-8% by weight of required cement content with 1.0 lb microsilica per lb of cement replaced

**Page 10-47, Subarticle 1024-3(B), Approved Sources, lines 16-18**, replace the second sentence of the second paragraph with the following:

Tests shall be performed by AASHTO's designated National Transportation Product Evaluation Program (NTPEP) laboratory for concrete admixture testing.

**Page 10-65, Article 1050-1, GENERAL, line 41**, replace the first sentence with the following:

All fencing material and accessories shall meet Section 106.

**Page 10-73, Article 1056-1, DESCRIPTION, lines 7-8**, delete the first sentence of the second paragraph and replace with the following:

Use geotextile fabrics that are on the NCDOT Approved Products List.

**Page 10-73, Article 1056-2, HANDLING AND STORING, line 17**, replace "mechanically stabilized earth (MSE) wall faces" with "temporary wall faces".

**Page 10-73, Article 1056-4, GEOTEXTILES, line 33,** add the following after the first sentence in the second paragraph:

Geotextiles will be identified by the product name printed directly on the geotextile. When geotextiles are not marked with a product name or marked with only a manufacturing plant identification code, geotextiles will be identified by product labels attached to the geotextile wrapping. When identification is based on labels instead of markings, unwrap geotextiles just before use in the presence of the Engineer to confirm that the product labels on both ends of the outside of the geotextile outer wrapping match the labels affixed to both ends of the inside of the geotextile roll core. Partial geotextile roles without the product name printed on the geotextile or product labels affixed to the geotextile roll core may not be used.



**Page 10-74, Table 1056-1, GEOTEXTILE REQUIREMENTS**, replace with the following:

<b>TABLE 1056-1</b> <b>GEOTEXTILE REQUIREMENTS</b>						
<b>Property</b> <i>Typical Application</i>	<b>Type 1</b> <i>Shoulder Drains</i>	<b>Type 2</b> <i>Under Rip Rap</i>	<b>Requirement</b> <b>Type 3<sup>A</sup></b> <i>Temporary Silt Fence</i>	<b>Type 4</b> <i>Soil Stabilization</i>	<b>Type 5<sup>B</sup></b> <i>Temporary Walls</i>	<b>Test Method</b>
Elongation (MD & CD)	≥ 50%	≥ 50%	≤ 25%	< 50%	< 50%	ASTM D4632
Grab Strength (MD & CD)	Table 1 <sup>D</sup> , Class 3	Table 1 <sup>D</sup> , Class 1	100 lb <sup>C</sup>	Table 1 <sup>D</sup> , Class 3	-	ASTM D4632
Tear Strength (MD & CD)			-			ASTM D4533
Puncture Strength			-			ASTM D6241
Ultimate Tensile Strength (MD & CD)	-	-	-	-	2,400 lb/ft <sup>C</sup> (unless required otherwise in the contract)	ASTM D4595
Permittivity	Table 2 <sup>D</sup> , 15% to 50% <i>in Situ</i> Soil Passing No. 200 <sup>E</sup>		Table 7 <sup>D</sup>	Table 5 <sup>D</sup>	0.20 sec <sup>-1,C</sup>	ASTM D4491
Apparent Opening Size					0.60 mm <sup>F</sup>	ASTM D4751
UV Stability (Retained Strength)					70% <sup>C, G</sup>	ASTM D4355

- A.** Minimum roll width of 36" required.  
**B.** Minimum roll width of 13 ft required.  
**C.** MARV per Article 1056-3.  
**D.** AASHTO M 288.  
**E.** US Sieve No. per AASHTO M 92.  
**F.** Maximum average roll value.  
**G.** After 500 hours of exposure.

**Page 10-74, Article 1056-5, GEOCOMPOSITES, lines 7-8**, replace the first sentence with the following:

Provide geocomposite drain strips with a width of at least 12" and Type 1 geotextiles attached to drainage cores that meet Table 1056-2.

**Page 10-115, Subarticle 1074-7(B), Gray Iron Castings, lines 10-11,** replace the first two sentences with the following:

Supply gray iron castings meeting all facets of AASHTO M 306 excluding proof load. Proof load testing will only be required for new casting designs during the design process, and conformance to M306 loading (40,000 lbs.) will be required only when noted on the design documents.

**Page 10-126, Table 1078-1, REQUIREMENTS FOR CONCRETE,** replace with the following:

<b>TABLE 1078-1 REQUIREMENTS FOR CONCRETE</b>		
<b>Property</b>	<b>28 Day Design Compressive Strength 6,000 psi or less</b>	<b>28 Day Design Compressive Strength greater than 6,000 psi</b>
Maximum Water/Cementitious Material Ratio	0.45	0.40
Maximum Slump without HRWR	3.5"	3.5"
Maximum Slump with HRWR	8"	8"
Air Content (upon discharge into forms)	5 + 2%	5 + 2%

**Page 10-151, Article 1080-4, INSPECTION AND SAMPLING, lines 18-22,** replace (B), (C) and (D) with the following:

- (B) At least 3 panels prepared as specified in 5.5.10 of AASHTO M 300, Bullet Hole Immersion Test.
- (C) At least 3 panels of 4"x6"x1/4" for the Elcometer Adhesion Pull Off Test, ASTM D4541.
- (D) A certified test report from an approved independent testing laboratory for the Salt Fog Resistance Test, Cyclic Weathering Resistance Test, and Bullet Hole Immersion Test as specified in AASHTO M 300.
- (E) A certified test report from an approved independent testing laboratory that the product has been tested for slip coefficient and meets AASHTO M253, Class B.

**Page 10-161, Subarticle 1081-1(A), Classifications, lines 29-33,** delete first 3 sentences of the description for Type 2 and replace with the following:

**Type 2** - A low-modulus, general-purpose adhesive used in epoxy mortar repairs. It may be used to patch spalled, cracked or broken concrete where vibration, shock or expansion and contraction are expected.

**Page 10-162, Subarticle 1081-1(A), Classifications, lines 4-7,** delete the second and third sentences of the description for Type 3A. **Lines 16-22,** delete Types 6A, 6B and 6C.

**Page 10-162, Subarticle 1081-1(B), Requirements, lines 26-30,** replace the second paragraph with the following:

For epoxy resin systems used for embedding dowel bars, threaded rods, rebar, anchor bolts and other fixtures in hardened concrete, the manufacturer shall submit test results showing that the bonding system will obtain 125% of the specified required yield strength of the fixture. Furnish certification that, for the particular bolt grade, diameter and embedment depth required, the anchor system will not fail by adhesive failure and that there is no movement of the anchor bolt. For certification and anchorage, use 3,000 psi as the minimum Portland cement concrete compressive strength used in this test. Use adhesives that meet Section 1081.

List the properties of the adhesive on the container and include density, minimum and maximum temperature application, setting time, shelf life, pot life, shear strength and compressive strength.

**Page 10-163, Table 1081-1, PROPERTIES OF MIXED EPOXY RESIN SYSTEMS**, replace with the following:

<b>TABLE 1081-1 PROPERTIES OF MIXED EPOXY RESIN SYSTEMS</b>							
Property	Type 1	Type 2	Type 3	Type 3A	Type 4A	Type 4B	Type 5
Viscosity-Poises at 77°F ± 2°F	Gel	10-30	25-75	Gel	40-150	40-150	1-6
Spindle No.	-	3	4	--	4	4	2
Speed (RPM)	-	20	20	--	10	10	50
Pot Life (Minutes)	20-50	30-60	20-50	5-50	40-80	40-80	20-60
Minimum Tensile Strength at 7 days (psi)	1,500	2,000	4,000	4,000	1,500	1,500	4,000
Tensile Elongation at 7 days (%)	30 min.	30 min.	2-5	2-5	5-15	5-15	2-5
Min. Compressive Strength of 2" mortar cubes at 24 hours	3,000 (Neat)	4,000-	6,000-	6,000 (Neat)	3,000	3,000	6,000
Min. Compressive Strength of 2" mortar cubes at 7 days	5,000 (Neat)	-	-	-	-	5,000	-
Maximum Water Absorption (%)	1.5	1.0	1.0	1.5	1.0	1.0	1.0
Min. Bond Strength Slant Shear Test at 14 days (psi)	1,500	1,500	2,000	2,000	1,500	1,500	1,500

**Page 10-164, Subarticle 1081-1(E), Prequalification, lines 31-33**, replace the second sentence of the first paragraph with the following:

Manufacturers choosing to supply material for Department jobs must submit an application through the Value Management Unit with the following information for each type and brand name:

**Page 10-164, Subarticle 1081-1(E)(3), line 37**, replace with the following:

(3) Type of the material in accordance with Articles 1081-1 and 1081-4,

**Page 10-165, Subarticle 1081-1(E)(6), line 1**, in the first sentence of the first paragraph replace “AASHTO M 237” with “the specifications”.

**Page 10-165, Subarticle 1081-1(E), Prequalification, line 9-10**, delete the second sentence of the last paragraph.

**Page 10-165, Subarticle 1081-1(F), Acceptance, line 14**, in the first sentence of the first paragraph replace “Type 1” with “Type 3”.

**Page 10-169, Subarticle 1081-3(G), Anchor Bolt Adhesives**, delete this subarticle.

**Page 10-170, Article 1081-3, HOT BITUMEN, line 9**, add the following at the end of Section 1081:

## **1081-4 EPOXY RESIN ADHESIVE FOR BONDING TRAFFIC MARKINGS**

### **(A) General**

This section covers epoxy resin adhesive for bonding traffic markers to pavement surfaces.

### **(B) Classification**

The types of epoxies and their uses are as shown below:

**Type I** – Rapid Setting, High Viscosity, Epoxy Adhesive. This type of adhesive provides rapid adherence to traffic markers to the surface of pavement.

**Type II** – Standard Setting, High Viscosity, Epoxy Adhesive. This type of adhesive is recommended for adherence of traffic markers to pavement surfaces when rapid set is not required.

**Type III** – Rapid Setting, Low Viscosity, Water Resistant, Epoxy Adhesive. This type of rapid setting adhesive, due to its low viscosity, is appropriate only for use with embedded traffic markers.

**Type IV** – Standard Set Epoxy for Blade Deflecting-Type Plowable Markers.

### **(C) Requirements**

Epoxies shall conform to the requirements set forth in AASHTO M 237.

### **(D) Prequalification**

Refer to Subarticle 1081-1(E).

### **(E) Acceptance**

Refer to Subarticle 1081-1(F).

**Page 10-173, Article 1084-2, STEEL SHEET PILES, lines 37-38**, replace first paragraph with the following:

Steel sheet piles detailed for permanent applications shall be hot rolled and meet ASTM A572 or ASTM A690 unless otherwise required by the plans. Steel sheet piles shall be coated as required

by the plans. Galvanized sheet piles shall be coated in accordance with Section 1076. Metallized sheet piles shall be metallized in accordance to the Project Special Provision “Thermal Sprayed Coatings (Metallization)” with an 8 mil, 99.9% aluminum alloy coating and a 0.5 mil seal coating. Any portion of the metallized sheet piling encased in concrete shall receive a barrier coat. The barrier coat shall be an approved waterborne coating with a low-viscosity which readily absorbs into the pores of the aluminum thermal sprayed coating. The waterborne coating shall be applied at a spreading rate that results in a theoretical 1.5 mil dry film thickness. The manufacturer shall issue a letter of certification that the resin chemistry of the waterborne coating is compatible with the 99.9% aluminum thermal sprayed alloy and suitable for tidal water applications.

**Page 10-174, Subarticle 1086-1(B)(1), Epoxy, lines 18-24,** replace with the following:

The epoxy shall meet Article 1081-4.

The 2 types of epoxy adhesive which may be used are Type I, Rapid Setting, and Type II, Standard Setting. Use Type II when the pavement temperature is above 60°F or per the manufacturer’s recommendations whichever is more stringent. Use Type I when the pavement temperature is between 50°F and 60°F or per the manufacturer’s recommendations whichever is more stringent. Epoxy adhesive Type I, Cold Set, may be used to attach temporary pavement markers to the pavement surface when the pavement temperature is between 32°F and 50°F or per the manufacturer’s recommendations whichever is more stringent.

**Page 10-175, Subarticle 1086-2(E), Epoxy Adhesives, line 27,** replace “Section 1081” with “Article 1081-4”.

**Page 10-177, Subarticle 1086-3(E), Epoxy Adhesives, line 22,** replace “Section 1081” with “Article 1081-4”.

**Page 10-179, Subarticle 1087-4(A), Composition, lines 39-41,** replace the third paragraph with the following:

All intermixed and drop-on glass beads shall not contain more than 75 ppm arsenic or 200 ppm lead.

**Page 10-180, Subarticle 1087-4(B), Physical Characteristics, line 8,** replace the second paragraph with the following:

All intermixed and drop-on glass beads shall comply with NCGS § 136-30.2 and 23 USC § 109(r).

**Page 10-181, Subarticle 1087-7(A), Intermixed and Drop-on Glass Beads, line 24,** add the following after the first paragraph:

Use X-ray Fluorescence for the normal sampling procedure for intermixed and drop-on beads, without crushing, to check for any levels of arsenic and lead. If any arsenic or lead is detected, the sample shall be crushed and repeat the test using X-ray Fluorescence. If the X-ray Fluorescence test shows more than a LOD of 5 ppm, test the beads using United States Environmental Protection Agency Method 6010B, 6010C or 3052 for no more than 75 ppm arsenic or 200 ppm lead.

**HIGH STRENGTH CONCRETE FOR DRIVEWAYS:**

(11-21-00) (Rev. 1-17-12)

848

SP10 R02

Use high early strength concrete for all driveways shown in the plans and as directed by the Engineer. Provide high early strength concrete that meets the requirements of Article 1000-5 of the *2012 Standard Specifications*.

Measurement and payment will be in accordance with Section 848 of the *2012 Standard Specifications*.

**SELECT MATERIAL, CLASS III, TYPE 3:**

(1-17-12)

1016, 1044

SP10 R05

Revise the *2012 Standard Specifications* as follows:

**Page 10-39, Article 1016-3, CLASS III,** add the following after line 14:

**Type 3 Select Material**

Type 3 select material is a natural or manufactured fine aggregate material meeting the following gradation requirements and as described in Sections 1005 and 1006:

Percentage of Total by Weight Passing							
3/8"	#4	#8	#16	#30	#50	#100	#200
100	95-100	65-100	35-95	15-75	5-35	0-25	0-8

**Page 10-39, Article 1016-3, CLASS III, line 15,** replace “either type” with “Type 1, Type 2 or Type 3”.

**Page 10-62, Article 1044-1, line 36,** delete the sentence and replace with the following:

Subdrain fine aggregate shall meet Class III select material, Type 1 or Type 3.

**Page 10-63, Article 1044-2, line 2,** delete the sentence and replace with the following:

Subdrain coarse aggregate shall meet Class V select material.

**SHOULDER AND SLOPE BORROW:**

(3-19-13)

1019

SP10 R10

Use soil in accordance with Section 1019 of the *2012 Standard Specifications*. Use soil consisting of loose, friable, sandy material with a PI greater than 6 and less than 25 and a pH ranging from 5.5 to 7.0.

Soil with a pH ranging from 4.0 to 5.5 will be accepted without further testing if additional limestone is provided in accordance with the application rates shown in Table 1019-1A. Soil type is identified during the soil analysis. Soils with a pH above 7.0 require acidic amendments

to be added. Submit proposed acidic amendments to the Engineer for review and approval. Soils with a pH below 4.0 or that do not meet the PI requirements shall not be used.

<b>pH TEST RESULT</b>	<b>Sandy Soils Additional Rate (lbs. / Acre)</b>	<b>Silt Loam Soils Additional Rate (lbs. / Acre)</b>	<b>Clay Loam Soils Additional Rate (lbs. / Acre)</b>
4.0 - 4.4	1,000	4,000	6,000
4.5 - 4.9	500	3,000	5,000
5.0 - 5.4	NA	2,000	4,000

Note: Limestone application rates shown in this table are in addition to the standard rate of 4000 lbs. / acre required for seeding and mulching.

No direct payment will be made for providing additional lime or acidic amendments for Ph adjustment.

### **GROUT PRODUCTION AND DELIVERY:**

(3-17-15)

1003

SP10 R20

Revise the *2012 Standard Specifications* as follows:

Replace Section 1003 with the following:

### **SECTION 1003 GROUT PRODUCTION AND DELIVERY**

#### **1003-1 DESCRIPTION**

This section addresses cement grout to be used for structures, foundations, retaining walls, concrete barriers, embankments, pavements and other applications in accordance with the contract. Produce non-metallic grout composed of Portland cement and water and at the Contractor's option or as required, aggregate and pozzolans. Include chemical admixtures as required or needed. Provide sand cement or neat cement grout as required. Define "sand cement grout" as grout with only fine aggregate and "neat cement grout" as grout without aggregate.

The types of grout with their typical uses are as shown below:

**Type 1** – A cement grout with only a 3-day strength requirement and a fluid consistency that is typically used for filling subsurface voids.

**Type 2** – A nonshrink grout with strength, height change and flow conforming to ASTM C1107 that is typically used for foundations, ground anchors and soil nails.

**Type 3** – A nonshrink grout with high early strength and freeze-thaw durability requirements that is typically used in pile blockouts, grout pockets, shear keys, dowel holes and recesses for concrete barriers and structures.



**Type 4** – A neat cement grout with low strength, a fluid consistency and high fly ash content that is typically used for slab jacking.

**Type 5** – A low slump, low mobility sand cement grout with minimal strength that is typically used for compaction grouting.

### **1003-2 MATERIALS**

Refer to Division 10.

<b>Item</b>	<b>Section</b>
Chemical Admixtures	1024-3
Fine Aggregate	1014-1
Fly Ash	1024-5
Ground Granulated Blast Furnace Slag	1024-6
Portland Cement	1024-1
Silica Fume	1024-7
Water	1024-4

Do not use grout that contains soluble chlorides or more than 1% soluble sulfate. At the Contractor's option, use an approved packaged grout instead of the materials above except for water. Use packaged grouts that are on the NCDOT Approved Products List.

Use admixtures for grout that are on the NCDOT Approved Products List or other admixtures in accordance with Subarticle 1024-3(E) except do not use concrete additives or unclassified or other admixtures in Type 4 or 5 grout. Use Class F fly ash for Type 4 grout and Type II Portland cement for Type 5 grout.

Use well graded rounded aggregate with a gradation, liquid limit (LL) and plasticity index (PI) that meet Table 1003-1 for Type 5 grout. Fly ash may be substituted for a portion of the fines in the aggregate. Do not use any other pozzolans in Type 5 grout.

**TABLE 1003-1  
AGGREGATE REQUIREMENTS FOR TYPE 5 GROUT**

<b>Gradation</b>		<b>Maximum Liquid Limit</b>	<b>Maximum Plasticity Index</b>
<b>Sieve Designation per AASHTO M 92</b>	<b>Percentage Passing (% by weight)</b>		
3/8"	100	N/A	N/A
No. 4	70 – 95		
No. 8	50 – 90		
No. 16	30 – 80		
No. 30	25 – 70		
No. 50	20 – 50		
No. 100	15 – 40	25	10
No. 200	10 – 30		

### **1003-3 COMPOSITION AND DESIGN**

When using an approved packaged grout, a grout mix design submittal is not required. Otherwise, submit proposed grout mix designs for each grout mix to be used in the work. Mixes for all grout shall be designed by a Certified Concrete Mix Design Technician or an Engineer licensed by the State of North Carolina. Mix proportions shall be determined by a testing laboratory approved by the Department. Base grout mix designs on laboratory trial batches that meet Table 1003-2 and this section. With permission, the Contractor may use a quantity of chemical admixture within the range shown on the current list of approved admixtures maintained by the Materials and Tests Unit.

Submit grout mix designs in terms of saturated surface dry weights on Materials and Tests Form 312U at least 35 days before proposed use. Adjust batch proportions to compensate for surface moisture contained in the aggregates at the time of batching. Changes in the saturated surface dry mix proportions will not be permitted unless revised grout mix designs have been submitted to the Engineer and approved.

Accompany Materials and Tests Form 312U with a listing of laboratory test results of compressive strength, density and flow or slump and if applicable, aggregate gradation, durability and height change. List the compressive strength of at least three 2" cubes at the age of 3 and 28 days.

The Engineer will review the grout mix design for compliance with the contract and notify the Contractor as to its acceptability. Do not use a grout mix until written notice has been received. Acceptance of the grout mix design or use of approved packaged grouts does not relieve the Contractor of his responsibility to furnish a product that meets the contract. Upon written request from the Contractor, a grout mix design accepted and used satisfactorily on any Department project may be accepted for use on other projects.

Perform laboratory tests in accordance with the following test procedures:

<b>Property</b>	<b>Test Method</b>
Aggregate Gradation <sup>A</sup>	AASHTO T 27
Compressive Strength	AASHTO T 106
Density (Unit Weight)	AASHTO T 121, AASHTO T 133 <sup>B</sup> , ANSI/API RP <sup>C</sup> 13B-1 <sup>B</sup> (Section 4, Mud Balance)
Durability	AASHTO T 161 <sup>D</sup>
Flow	ASTM C939 (Flow Cone)
Height Change	ASTM C1090 <sup>E</sup>
Slump	AASHTO T 119

**A.** Applicable to grout with aggregate.

**B.** Applicable to Neat Cement Grout.

**C.** American National Standards Institute/American Petroleum Institute Recommended Practice.

**D.** Procedure A (Rapid Freezing and Thawing in Water) required.

**E.** Moist room storage required.

#### **1003-4 GROUT REQUIREMENTS**

Provide grout types in accordance with the contract. Use grouts with properties that meet Table 1003-2. The compressive strength of the grout will be considered the average compressive strength test results of three 2" cubes at each age. Make cubes that meet AASHTO T 106 from the grout delivered for the work or mixed on-site. Make cubes at such frequencies as the Engineer may determine and cure them in accordance with AASHTO T 106.

**TABLE 1003-2  
GROUT REQUIREMENTS**

Type of Grout	Minimum Compressive Strength at		Height Change at 28 days	Flow <sup>A</sup> /Slump <sup>B</sup>	Minimum Durability Factor
	3 days	28 days			
1	3,000 psi	—	—	10 – 30 sec	—
2		Table 1 <sup>C</sup>		Fluid Consistency <sup>C</sup>	—
3	5,000 psi	—	0 – 0.2%	Per Accepted Grout Mix Design/ Approved Packaged Grout	80
4 <sup>D</sup>	600 psi	1,500 psi	—	10 – 26 sec	—
5	—	500 psi	—	1 – 3"	—

**A.** Applicable to Type 1 through 4 grouts.

**B.** Applicable to Type 5 grout.

**C.** ASTM C1107.

**D.** Use Type 4 grout with proportions by volume of 1 part cement and 3 parts fly ash.

### 1003-5 TEMPERATURE REQUIREMENTS

When using an approved packaged grout, follow the manufacturer's instructions for grout and air temperature at the time of placement. Otherwise, the grout temperature at the time of placement shall be not less than 50°F nor more than 90°F. Do not place grout when the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below 40°F.

### 1003-6 ELAPSED TIME FOR PLACING GROUT

Agitate grout continuously before placement. Regulate the delivery so the maximum interval between the placing of batches at the work site does not exceed 20 minutes. Place grout before exceeding the times in Table 1003-3. Measure the elapsed time as the time between adding the mixing water to the grout mix and placing the grout.

**TABLE 1003-3  
ELAPSED TIME FOR PLACING GROUT  
(with continuous agitation)**

Air or Grout Temperature, Whichever is Higher	Maximum Elapsed Time	
	No Retarding Admixture Used	Retarding Admixture Used
90°F or above	30 minutes	1 hr. 15 minutes
80°F through 89°F	45 minutes	1 hr. 30 minutes
79°F or below	60 minutes	1 hr. 45 minutes

**1003-7 MIXING AND DELIVERY**

Use grout free of any lumps and undispersed cement. When using an approved packaged grout, mix grout in accordance with the manufacturer's instructions. Otherwise, comply with Articles 1000-8 through 1000-12 to the extent applicable for grout instead of concrete.

**TEMPORARY SHORING:**

(2-20-07) (Rev. 3-17-15)

SP11 R02

**Description**

Temporary shoring includes cantilever, braced and anchored shoring and temporary mechanically stabilized earth (MSE) walls. Temporary shoring does not include trench boxes. At the Contractor's option, use any type of temporary shoring unless noted otherwise in the plans or as directed. Design and construct temporary shoring based on actual elevations and shoring dimensions in accordance with the contract and accepted submittals. Construct temporary shoring at locations shown in the plans and as directed. Temporary shoring is required to maintain traffic when a 2:1 (H:V) slope from the top of an embankment or bottom of an excavation will intersect the existing ground line less than 5 ft from the edge of pavement of an open travelway. This provision does not apply to pipe, inlet or utility installation unless noted otherwise in the plans.

Positive protection includes concrete barrier and temporary guardrail. Provide positive protection for temporary shoring at locations shown in the plans and as directed. Positive protection is required if temporary shoring is located in the clear zone in accordance with the *AASHTO Roadside Design Guide*.

**(A) Cantilever and Braced Shoring**

Cantilever shoring consists of steel sheet piles or H-piles with timber lagging. Braced shoring consists of sheet piles or H-piles with timber lagging and bracing such as beams, plates, walers, struts, rakers, etc. Define "piles" as sheet piles or H-piles.

**(B) Anchored Shoring**

Anchored shoring consists of sheet piles with walers or H-piles with timber lagging anchored with ground or helical anchors. Driven anchors may be accepted at the discretion of the Engineer. A ground anchor consists of a grouted steel bar or multi-strand tendon with an anchorage. A helical anchor consists of a lead section with a central steel shaft and at least one helix steel plate followed by extensions with only central shafts (no helixes) and an anchorage. Anchorages consist of steel bearing plates with washers and hex nuts for bars or steel wedge plates and wedges for strands. Use a prequalified Anchored Wall Contractor to install ground anchors. Define "anchors" as ground, helical or driven anchors.

**(C) Temporary MSE Walls**

Temporary MSE walls include temporary geosynthetic and wire walls. Define “temporary wall” as a temporary MSE wall. Define “reinforcement” as geotextile, geogrid, welded wire grid or metallic strip reinforcement.

Temporary geosynthetic walls consist of geotextile or geogrid reinforcement wrapped behind welded wire facing. Define “temporary geotextile wall” as a temporary geosynthetic wall with geotextile reinforcement and “temporary geogrid wall” as a temporary geosynthetic wall with geogrid reinforcement.

Temporary wire walls consist of welded wire grid or metallic strip reinforcement connected to welded wire facing. Define “Wire Wall Vendor” as the vendor supplying the temporary wire wall.

**(D) Embedment**

Define “embedment” for cantilever, braced and anchored shoring as the pile depth below the grade in front of shoring. Define “embedment” for temporary walls as the wall height below the grade in front of walls.

**(E) Positive Protection**

Define “unanchored or anchored portable concrete barrier” as portable concrete barrier (PCB) that meets Standard Drawing No. 1170.01 of the *2012 Roadway Standard Drawings*. Define “concrete barrier” as unanchored or anchored PCB or an approved equal. Define “temporary guardrail” as temporary steel beam guardrail that meets Standard Drawing No. 862.02 of the *2012 Roadway Standard Drawings*.

**Materials**

Refer to the *2012 Standard Specifications*.

<b>Item</b>	<b>Section</b>
Anchor Pins	1056-2
Concrete Barrier Materials	1170-2
Flowable Fill, Excavatable	1000-6
Geotextiles	1056
Grout	1003
Portland Cement Concrete	1000
Select Material	1016
Steel Beam Guardrail Materials	862-2
Steel Plates	1072-2
Steel Sheet Piles and H-Piles	1084
Untreated Timber	1082-2
Welded Wire Reinforcement	1070-3

**Item**

Wire Staples

**Section**

1060-8(D)

Provide Type 6 material certifications for shoring materials in accordance with Article 106-3 of the *2012 Standard Specifications*. Use Class IV select material (standard size No. ABC) for temporary guardrail. Use neat cement grout for Type 2 grout for ground anchors. Use Class A concrete that meets Article 450-2 of the *2012 Standard Specifications* or Type 1 grout for drilled-in piles. Provide untreated timber with a thickness of at least 3" and a bending stress of at least 1,000 psi for timber lagging. Provide steel bracing that meets ASTM A36.

(A) Shoring Backfill

Use Class II, Type 1, Class III, Class V or Class VI select material or material that meets AASHTO M 145 for soil classification A-2-4 with a maximum PI of 6 for shoring backfill except do not use A-2-4 soil for backfill around culverts.

(B) Anchors

Store anchor materials on blocking a minimum of 12" above the ground and protect it at all times from damage; and when placing in the work make sure it is free from dirt, dust, loose mill scale, loose rust, paint, oil or other foreign materials. Load, transport, unload and store anchor materials so materials are kept clean and free of damage. Bent, damaged or defective materials will be rejected.

(1) Ground Anchors

Use high-strength deformed steel bars that meet AASHTO M 275 or seven-wire strands that meet ASTM A886 or Article 1070-5 of the *2012 Standard Specifications*. Splice bars in accordance with Article 1070-9 of the *2012 Standard Specifications*. Do not splice strands. Use bondbreakers, spacers and centralizers that meet Article 6.3.5 of the *AASHTO LRFD Bridge Construction Specifications*.

(2) Helical Anchors

Use helical anchors with an ICC Evaluation Service, Inc. (ICC-ES) report. Helical anchors without an ICC-ES report may be approved at the discretion of the Engineer. Provide couplers, thread bar adapters and bolts recommended by the Anchor Manufacturer to connect helical anchors together and to piles.

(3) Anchorages

Provide steel plates for bearing plates and steel washers, hex nuts, wedge plates and wedges recommended by the Anchor Manufacturer.

**(C) Temporary Walls****(1) Welded Wire Facing**

Use welded wire reinforcement for welded wire facing, struts and wires. For temporary wire walls, provide welded wire facing supplied by the Wire Wall Vendor or a manufacturer approved or licensed by the vendor. For temporary wire walls with separate reinforcement and facing components, provide connectors (e.g., bars, clamps, plates, etc.) and fasteners (e.g., bolts, nuts, washers, etc.) required by the Wire Wall Vendor.

**(2) Geotextiles**

Provide Type 2 geotextile for separation and retention geotextiles. Provide Type 5 geotextile for geotextile reinforcement with ultimate tensile strengths in accordance with the accepted submittals.

**(3) Geogrid Reinforcement**

Handle and store geogrids in accordance with Article 1056-2 of the *2012 Standard Specifications*. Define “machine direction” (MD) and “cross-machine direction” (CD) for geogrids in accordance with ASTM D4439.

Use geogrids with a roll width of at least 4 ft and an “approved” or “approved for provisional use” status code. The list of approved geogrids is available from: [connect.ncdot.gov/resources/Materials/Pages/SoilsLaboratory.aspx](http://connect.ncdot.gov/resources/Materials/Pages/SoilsLaboratory.aspx)

Provide geogrids for geogrid reinforcement with design strengths in accordance with the accepted submittals. Geogrids are typically approved for ultimate tensile strengths in the MD and CD or short-term design strengths for a 3-year design life in the MD based on material type. Define material type from the website above for shoring backfill as follows:

<b>Material Type</b>	<b>Shoring Backfill</b>
Borrow	A-2-4 Soil
Fine Aggregate	Class II, Type 1 or Class III Select Material
Coarse Aggregate	Class V or VI Select Material

**(4) Welded Wire Grid and Metallic Strip Reinforcement**

Provide welded wire grid and metallic strip reinforcement supplied by the Wire Wall Vendor or a manufacturer approved or licensed by the vendor. Use welded wire grid reinforcement (“mesh”, “mats” and “ladders”) that meet Article 1070-3 of the *2012 Standard Specifications* and metallic strip reinforcement (“straps”) that meet ASTM A572 or A1011.



**Preconstruction Requirements****(A) Concrete Barrier**

Define “clear distance” behind concrete barrier as the horizontal distance between the barrier and edge of pavement. The minimum required clear distance for concrete barrier is shown in the plans. At the Contractor’s option or if the minimum required clear distance is not available, set concrete barrier next to and up against traffic side of temporary shoring except for barrier above temporary walls. Concrete barrier with the minimum required clear distance is required above temporary walls.

**(B) Temporary Guardrail**

Define “clear distance” behind temporary guardrail as the horizontal distance between guardrail posts and temporary shoring. At the Contractor’s option or if clear distance for cantilever, braced and anchored shoring is less than 4 ft, attach guardrail to traffic side of shoring as shown in the plans. Place ABC in clear distance and around guardrail posts instead of pavement. Do not use temporary guardrail above temporary walls.

**(C) Temporary Shoring Designs**

Before beginning temporary shoring design, survey existing ground elevations in the vicinity of shoring locations to determine actual design heights (H). Submit 8 copies of working drawings and 3 copies of design calculations and a PDF copy of each for temporary shoring designs in accordance with Article 105-2 of the *2012 Standard Specifications*. Submit working drawings showing plan views, shoring profiles, typical sections and details of temporary shoring design and construction sequence. Do not begin shoring construction until a design submittal is accepted.

Have cantilever and braced shoring designed, detailed and sealed by an engineer licensed in the state of North Carolina. Use a prequalified Anchored Wall Design Consultant to design anchored shoring. Provide anchored shoring designs sealed by a Design Engineer approved as a Geotechnical Engineer (key person) for an Anchored Wall Design Consultant. Include details in anchored shoring working drawings of anchor locations and lock-off loads, unit grout/ground bond strengths for ground anchors or minimum installation torque and torsional strength rating for helical anchors and if necessary, obstructions extending through shoring or interfering with anchors. Include details in the anchored shoring construction sequence of pile and anchor installation, excavation and anchor testing.

Use a prequalified MSE Wall Design Consultant to design temporary walls. Provide temporary wall designs sealed by a Design Engineer approved as a Geotechnical Engineer (key person) for the MSE Wall Design Consultant. Include details in temporary wall working drawings of geotextile and reinforcement types, locations and directions and obstructions extending through walls or interfering with reinforcement.

## (1) Soil Parameters

Design temporary shoring for the assumed soil parameters and groundwater elevations shown in the plans. Assume the following soil parameters for shoring backfill:

(a) Unit weight ( $\gamma$ ) = 120 lb/cf;

(b)	Friction Angle ( $\phi$ )	Shoring Backfill
	30°	A-2-4 Soil
	34°	Class II, Type 1 or Class III Select Material
	38°	Class V or VI Select Material

(c) Cohesion ( $c$ ) = 0 lb/sf.

## (2) Traffic Surcharge

Design temporary shoring for a traffic surcharge of 250 lb/sf if traffic will be above and within H of shoring. This traffic surcharge does not apply to construction traffic. Design temporary shoring for any construction surcharge if construction traffic will be above and within H of shoring. For LRFD shoring designs, apply traffic (live load) surcharge in accordance with Figure C11.5.5-3 of the *AASHTO LRFD Bridge Design Specifications*.

## (3) Cantilever, Braced and Anchored Shoring Designs

Use shoring backfill for fill sections and voids between cantilever, braced and anchored shoring and the critical failure surface. Use concrete or grout for embedded portions of drilled-in H-piles. Do not use drilled-in sheet piles.

Define “top of shoring” for cantilever, braced and anchored shoring as where the grade intersects the back of sheet piles or H-piles and timber lagging. Design cantilever, braced and anchored shoring for a traffic impact load of 2,000 lb/ft applied 18" above top of shoring if concrete barrier is above and next to shoring or temporary guardrail is above and attached to shoring. For anchored shoring designs, apply traffic impact load as horizontal load ( $P_{H1}$ ) in accordance with Figure 3.11.6.3-2(a) of the *AASHTO LRFD specifications*.

Extend cantilever, braced and anchored shoring at least 32" above top of shoring if shoring is designed for traffic impact. Otherwise, extend shoring at least 6" above top of shoring.

Design cantilever, braced and anchored shoring for a maximum deflection of 3" if the horizontal distance to the closest edge of pavement or structure is less than H. Otherwise, design shoring for a maximum deflection of 6". Design cantilever and braced shoring in accordance with the plans and *AASHTO Guide Design Specifications for Bridge Temporary Works*.

Design anchored shoring in accordance with the plans and Article 11.9 of the *AASHTO LRFD Bridge Design Specifications*. Use a resistance factor of 0.80 for tensile resistance of anchors with bars, strands or shafts. Extend the unbonded length for ground anchors and the shallowest helix for helical anchors at least 5 ft behind the critical failure surface. Do not extend anchors beyond right-of-way or easement limits. If existing or future obstructions such as foundations, guardrail posts, pavements, pipes, inlets or utilities will interfere with anchors, maintain a clearance of at least 6" between obstructions and anchors.

(4) Temporary Wall Designs

Use shoring backfill in the reinforced zone of temporary walls. Separation geotextiles are required between shoring backfill and backfill, natural ground or culverts along the sides of the reinforced zone perpendicular to the wall face. For Class V or VI select material in the reinforced zone, separation geotextiles are also required between shoring backfill and backfill or natural ground on top of and at the back of the reinforced zone.

Design temporary walls in accordance with the plans and Article 11.10 of the *AASHTO LRFD Bridge Design Specifications*. Embed temporary walls at least 18" except for walls on structures or rock as determined by the Engineer. Use a uniform reinforcement length throughout the wall height of at least 0.7H or 6 ft, whichever is longer. Extend the reinforced zone at least 6" beyond end of reinforcement. Do not locate the reinforced zone outside right-of-way or easement limits.

Use the simplified method for determining maximum reinforcement loads in accordance with the AASHTO LRFD specifications. For geotextile reinforcement, use geotextile properties approved by the Department or default values in accordance with the AASHTO LRFD specifications. For geogrid reinforcement, use approved geogrid properties available from the website shown elsewhere in this provision. If the website does not list a short-term design strength for an approved geogrid, use a short-term design strength equal to the ultimate tensile strength divided by 3.5 for the geogrid reinforcement. Use geosynthetic properties for the direction reinforcement will be installed, a 3-year design life and shoring backfill to be used in the reinforced zone.

Do not use more than 4 different reinforcement strengths for each temporary geosynthetic wall. Design temporary geotextile walls for a reinforcement coverage ratio ( $R_c$ ) of 1.0 and temporary geogrid walls for an  $R_c$  of at least 0.8. For geogrid reinforcement with an  $R_c$  of less than 1.0, use a maximum horizontal clearance between geogrids of 3 ft and stagger reinforcement so geogrids are centered over gaps in the reinforcement layer below.

For temporary geosynthetic walls, use "L" shaped welded wire facing with 18" to 24" long legs. Locate geotextile or geogrid reinforcement so reinforcement layers

are at the same level as the horizontal legs of welded wire facing. Use vertical reinforcement spacing equal to facing height. Wrap geotextile or geogrid reinforcement behind welded wire facing and extend reinforcement at least 3 ft back behind facing into shoring backfill.

For temporary wire walls with separate reinforcement and facing components, attach welded wire grid or metallic strip reinforcement to welded wire facing with a connection approved by the Department. For temporary geogrid and wire walls, retain shoring backfill at welded wire facing with retention geotextiles and extend geotextiles at least 3 ft back behind facing into backfill.

(D) Preconstruction Meeting

The Engineer may require a shoring preconstruction meeting to discuss the construction, inspection and testing of the temporary shoring. If required and if this meeting occurs before all shoring submittals have been accepted, additional preconstruction meetings may be required before beginning construction of temporary shoring without accepted submittals. The Resident, District or Bridge Maintenance Engineer, Bridge or Roadway Construction Engineer, Geotechnical Operations Engineer, Contractor and Shoring Contractor Superintendent will attend preconstruction meetings.

**Construction Methods**

Control drainage during construction in the vicinity of shoring. Direct run off away from shoring and shoring backfill. Contain and maintain backfill and protect material from erosion.

Install positive protection in accordance with the contract and accepted submittals. Use PCB in accordance with Section 1170 of the *2012 Standard Specifications* and Standard Drawing No. 1170.01 of the *2012 Roadway Standard Drawings*. Use temporary guardrail in accordance with Section 862 of the *2012 Standard Specifications* and Standard Drawing No. 862.01, 862.02 and 862.03 of the *2012 Roadway Standard Drawings*.

(A) Tolerances

Construct shoring with the following tolerances:

- (1) Horizontal wires of welded wire facing are level in all directions,
- (2) Shoring location is within 6" of horizontal and vertical alignment shown in the accepted submittals, and
- (3) Shoring plumbness (batter) is not negative and within 2° of vertical.

**(B) Cantilever, Braced and Anchored Shoring Installation**

If overexcavation behind cantilever, braced or anchored shoring is shown in the accepted submittals, excavate before installing piles. Otherwise, install piles before excavating for shoring. Install cantilever, braced or anchored shoring in accordance with the construction sequence shown in the accepted submittals. Remove piles and if applicable, timber lagging when shoring is no longer needed.

**(1) Pile Installation**

Install piles with the minimum required embedment and extension in accordance with Subarticles 450-3(D) and 450-3(E) of the *2012 Standard Specifications* except that a pile driving equipment data form is not required. Piles may be installed with a vibratory hammer as approved by the Engineer.

Do not splice sheet piles. Use pile excavation to install drilled-in H-piles. After filling holes with concrete or grout to the elevations shown in the accepted submittals, remove any fluids and fill remaining portions of holes with flowable fill. Cure concrete or grout at least 7 days before excavating.

Notify the Engineer if refusal is reached before pile excavation or driven piles attain the minimum required embedment. When this occurs, a revised design submittal may be required.

**(2) Excavation**

Excavate in front of piles from the top down in accordance with the accepted submittals. For H-piles with timber lagging and braced and anchored shoring, excavate in staged horizontal lifts with a maximum height of 5 ft. Remove flowable fill and material in between H-piles as needed to install timber lagging. Position lagging with at least 3" of contact in the horizontal direction between the lagging and pile flanges. Do not excavate the next lift until timber lagging for the current lift is installed and if applicable, bracing and anchors for the current lift are accepted. Backfill behind cantilever, braced or anchored shoring with shoring backfill.

(3) Anchor Installation

If applicable, install foundations located behind anchored shoring before installing anchors. Fabricate and install ground anchors in accordance with the accepted submittals, Articles 6.4 and 6.5 of the *AASHTO LRFD Bridge Construction Specifications* and the following unless otherwise approved:

- (a) Materials in accordance with this provision are required instead of materials conforming to Articles 6.4 and 6.5.3 of the AASHTO LRFD Specifications,
- (b) Encapsulation-protected ground anchors in accordance with Article 6.4.1.2 of the AASHTO LRFD specifications are not required, and
- (c) Corrosion protection for unbonded lengths of ground anchors and anchorage covers are not required.
- (d) Measure grout temperature, density and flow during grouting with at least the same frequency grout cubes are made for compressive strength. Perform density and flow field tests in the presence of the Engineer in accordance with American National Standards Institute/American Petroleum Institute Recommended Practice 13B-1 (Section 4, Mud Balance) and ASTM C939 (Flow Cone), respectively.

Install helical anchors in accordance with the accepted submittals and Anchor Manufacturer's instructions. Measure torque during installation and do not exceed the torsional strength rating of the helical anchor. Attain the minimum required installation torque and penetration before terminating anchor installation. When replacing a helical anchor, embed last helix of the replacement anchor at least 3 helix plate diameters past the location of the first helix of the previous anchor.

(4) Anchor Testing

Proof test and lock-off anchors in accordance with the accepted submittals and Article 6.5.5 of the *AASHTO LRFD Bridge Construction Specifications* except for the acceptance criteria in Article 6.5.5.5. For the AASHTO LRFD specifications, "ground anchor" refers to a ground or helical anchor and "tendon" refers to a bar, strand or shaft.

(a) Anchor Acceptance

Anchor acceptance is based in part on the following criteria.

- (i) For ground and helical anchors, total movement is less than 0.04" between the 1 and 10 minute readings or less than 0.08" between the 6 and 60 minute readings.
- (ii) For ground anchors, total movement at maximum test load exceeds 80% of the theoretical elastic elongation of the unbonded length.

(b) Anchor Test Results

Submit 2 copies of anchor test records including movement versus load plots for each load increment within 24 hours of completing each row of anchors. The Engineer will review the test records to determine if the anchors are acceptable.

If the Engineer determines an anchor is unacceptable, revise the anchor design or installation methods. Submit a revised anchored shoring design for acceptance and provide an acceptable anchor with the revised design or installation methods. If required, replace the anchor or provide additional anchors with the revised design or installation methods.

(C) Temporary Wall Installation

Excavate as necessary for temporary walls in accordance with the plans and accepted submittals. If applicable, install foundations located in the reinforced zone before placing shoring backfill or reinforcement unless otherwise approved. Notify the Engineer when foundation excavation is complete. Do not place shoring backfill or reinforcement until excavation dimensions and foundation material are approved.

Erect welded wire facing so the wall position is as shown in the plans and accepted submittals. Set welded wire facing adjacent to each other in the horizontal and vertical direction to completely cover the wall face with facing. Stagger welded wire facing to create a running bond by centering facing over joints in the row below.

Wrap geotextile reinforcement and retention geotextiles behind welded wire facing as shown in the plans and accepted submittals and cover geotextiles with at least 3" of shoring backfill. Overlap adjacent geotextile reinforcement and retention and separation geotextiles at least 18" with seams oriented perpendicular to the wall face. Hold geotextiles in place with wire staples or anchor pins as needed.

Place reinforcement within 3" of locations shown in the plans and accepted submittals and in slight tension free of kinks, folds, wrinkles or creases. Install reinforcement with the direction shown in the plans and accepted submittals. For temporary wire walls with separate reinforcement and facing components, attach welded wire grid or metallic strip

reinforcement to welded wire facing as shown in the accepted submittals. Do not splice or overlap reinforcement so seams are parallel to the wall face. Contact the Engineer when unanticipated existing or future obstructions such as foundations, pavements, pipes, inlets or utilities will interfere with reinforcement.

Place shoring backfill in the reinforced zone in 8" to 10" thick lifts. Compact A-2-4 soil and Class II, Type 1 and Class III select material in accordance with Subarticle 235-3(C) of the *2012 Standard Specifications*. Use only hand operated compaction equipment to compact backfill within 3 ft of welded wire facing. At a distance greater than 3 ft, compact shoring backfill with at least 4 passes of an 8 ton to 10 ton vibratory roller in a direction parallel to the wall face. Smooth wheeled or rubber tired rollers are also acceptable for compacting backfill. Do not use sheepsfoot, grid rollers or other types of compaction equipment with feet. Do not displace or damage reinforcement when placing and compacting shoring backfill. End dumping directly on geotextile or geogrid reinforcement is not permitted. Do not operate heavy equipment on reinforcement until it is covered with at least 8" of shoring backfill. Replace any damaged reinforcement to the satisfaction of the Engineer.

Backfill for temporary walls outside the reinforced zone in accordance with Article 410-8 of the *2012 Standard Specifications*. Bench temporary walls into the sides of excavations where applicable. For temporary geosynthetic walls with top of wall within 5 ft of finished grade, remove top facing and incorporate top reinforcement layer into fill when placing fill in front of wall. Temporary walls remain in place permanently unless otherwise required.

### **Measurement and Payment**

*Temporary Shoring* will be measured and paid in square feet. Temporary walls will be measured as the square feet of exposed wall face area. Cantilever, braced or anchored shoring will be measured as the square feet of exposed shoring face area with the shoring height equal to the difference between the top and bottom of shoring elevations. Define "top of shoring" as where the grade intersects the back of sheet piles or H-piles and timber lagging. Define "bottom of shoring" as where the grade intersects front of sheet piles or H-piles and timber lagging. No measurement will be made for any embedment, shoring extension above top of shoring or pavement thickness above temporary walls.

The contract unit price for *Temporary Shoring* will be full compensation for providing shoring designs, submittals and materials, excavating, backfilling, hauling and removing excavated materials and supplying all labor, tools, equipment and incidentals necessary to construct temporary shoring.

No payment will be made for temporary shoring not shown in the plans or required by the Engineer including shoring for OSHA reasons or the Contractor's convenience. No value engineering proposals will be accepted based solely on revising or eliminating shoring locations shown in the plans or estimated quantities shown in the bid item sheets as a result of actual field measurements or site conditions.



PCB will be measured and paid in accordance with Section 1170 of the *2012 Standard Specifications*. No additional payment will be made for anchoring PCB for temporary shoring. Costs for anchoring PCB will be incidental to temporary shoring.

Temporary guardrail will be measured and paid for in accordance with Section 862 of the *2012 Standard Specifications*.

Payment will be made under:

**Pay Item**  
Temporary Shoring

**Pay Unit**  
Square Foot

**TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS:**

(8-21-12)

1101.02

SP11 R10

Revise the *2012 Roadway Standard Drawings* as follows:

**Drawing No. 1101.02, Sheet 12, TEMPORARY LANE CLOSURES**, replace General Note #11 with the following:

11- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

12- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

**Drawing No. 1101.02, Sheet 13, TEMPORARY LANE CLOSURES**, replace General Note #12 with the following:

12- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

13- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE

WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

**GROUT REFERENCES FOR POSITIVE PROTECTION:**

(5-19-15)

1170

SP11 R20

Revise the *2012 Standard Specifications* as follows:

**Page 11-14, Article 1170-2, Materials**, line 30, in the materials table, replace “Freeze-Thaw Durable Grout, Nonshrink” with “Grout, Type 3”.

**Page 11-14, Article 1170-2, Materials**, lines 31-32, delete the first paragraph after the materials table.

**GROUT REFERENCES FOR UTILITY MANHOLES:**

(8-18-15)

1525

SP15 R40

Revise the *2012 Standard Specifications* as follows:

**Page 15-13, Article 1525-2, Materials**, line 9, in the materials table, add the following:

<b>Item</b>	<b>Section</b>
Grout, Type 2	1003

**Page 15-13, Article 1525-2, Materials**, lines 20-21, replace the third paragraph after the materials table with the following:

Use Type 2 grout with properties that meet Table 1003-2 in the *Grout Production and Delivery* provision except provide grout with a plastic consistency in accordance with ASTM C1107.

**Page 15-14, Subarticle 1525-3(B), Installation of Precast Units**, line 22, in the second sentence of the first paragraph, replace “non-shrink grout.” with “grout.”

**STANDARD SPECIAL PROVISION**  
**AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS**

(5-20-08)

Z-2

*General Statute 143C-6-11. (h) Highway Appropriation* is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the *2012 Standard Specifications*.

**STANDARD SPECIAL PROVISION**  
**NCDOT GENERAL SEED SPECIFICATION FOR SEED QUALITY**

(5-17-11)

Z-3

Seed shall be sampled and tested by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory. When said samples are collected, the vendor shall supply an independent laboratory report for each lot to be tested. Results from seed so sampled shall be final. Seed not meeting the specifications shall be rejected by the Department of Transportation and shall not be delivered to North Carolina Department of Transportation warehouses. If seed has been delivered it shall be available for pickup and replacement at the supplier's expense.

Any re-labeling required by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory, that would cause the label to reflect as otherwise specified herein shall be rejected by the North Carolina Department of Transportation.

Seed shall be free from seeds of the noxious weeds Johnsongrass, Balloonvine, Jimsonweed, Witchweed, Itchgrass, Serrated Tussock, Showy Crotalaria, Smooth Crotalaria, Sickledod, Sandbur, Wild Onion, and Wild Garlic. Seed shall not be labeled with the above weed species on the seed analysis label. Tolerances as applied by the Association of Official Seed Analysts will NOT be allowed for the above noxious weeds except for Wild Onion and Wild Garlic.

Tolerances established by the Association of Official Seed Analysts will generally be recognized. However, for the purpose of figuring pure live seed, the found pure seed and found germination percentages as reported by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory will be used. Allowances, as established by the NCDOT, will be recognized for minimum pure live seed as listed on the following pages.

The specifications for restricted noxious weed seed refers to the number per pound as follows:

<b><u>Restricted Noxious Weed</u></b>	<b><u>Limitations per Lb. Of Seed</u></b>	<b><u>Restricted Noxious Weed</u></b>	<b><u>Limitations per Lb. of Seed</u></b>
Blessed Thistle	4 seeds	Cornflower (Ragged Robin)	27 seeds
Cocklebur	4 seeds	Texas Panicum	27 seeds
Spurred Anoda	4 seeds	Bracted Plantain	54 seeds
Velvetleaf	4 seeds	Buckhorn Plantain	54 seeds
Morning-glory	8 seeds	Broadleaf Dock	54 seeds
Corn Cockle	10 seeds	Curly Dock	54 seeds
Wild Radish	12 seeds	Dodder	54 seeds
Purple Nutsedge	27 seeds	Giant Foxtail	54 seeds
Yellow Nutsedge	27 seeds	Horsenettle	54 seeds
Canada Thistle	27 seeds	Quackgrass	54 seeds
Field Bindweed	27 seeds	Wild Mustard	54 seeds
Hedge Bindweed	27 seeds		

Seed of Pensacola Bahiagrass shall not contain more than 7% inert matter, Kentucky Bluegrass, Centipede and Fine or Hard Fescue shall not contain more than 5% inert matter whereas a maximum of 2% inert matter will be allowed on all other kinds of seed. In addition, all seed shall not contain more than 2% other crop seed nor more than 1% total weed seed. The germination rate as tested by the North Carolina Department of Agriculture shall not fall below 70%, which includes both dormant and hard seed. Seed shall be labeled with not more than 7%, 5% or 2% inert matter (according to above specifications), 2% other crop seed and 1% total weed seed.

Exceptions may be made for minimum pure live seed allowances when cases of seed variety shortages are verified. Pure live seed percentages will be applied in a verified shortage situation. Those purchase orders of deficient seed lots will be credited with the percentage that the seed is deficient.

FURTHER SPECIFICATIONS FOR EACH SEED GROUP ARE GIVEN BELOW:

Minimum 85% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 83% pure live seed will not be approved.

Sericea Lespedeza  
Oats (seeds)

Minimum 80% pure live seed; maximum 1% total weed seed; maximum 2% total other crop; maximum 144 restricted noxious weed seed per pound. Seed less than 78% pure live seed will not be approved.

Tall Fescue (all approved varieties)	Bermudagrass
Kobe Lespedeza	Browntop Millet
Korean Lespedeza	German Millet – Strain R
Weeping Lovegrass	Clover – Red/White/Crimson
Carpetgrass	

Minimum 78% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 76% pure live seed will not be approved.

Common or Sweet Sundangrass

Minimum 76% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 74% pure live seed will not be approved.

Rye (grain; all varieties)  
Kentucky Bluegrass (all approved varieties)  
Hard Fescue (all approved varieties)  
Shrub (bicolor) Lespedeza

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 noxious weed seed per pound. Seed less than 70% pure live seed will not be approved.

Centipedegrass  
Crownvetch  
Pensacola Bahiagrass  
Creeping Red Fescue

Japanese Millet  
Reed Canary Grass  
Zoysia

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 5% inert matter; maximum 144 restricted noxious weed seed per pound.

Barnyard Grass  
Big Bluestem  
Little Bluestem  
Bristly Locust  
Birdsfoot Trefoil  
Indiangrass  
Orchardgrass  
Switchgrass  
Yellow Blossom Sweet Clover

**STANDARD SPECIAL PROVISION****ERRATA**

(1-17-12) (Rev. 04-21-15)

Z-4

Revise the *2012 Standard Specifications* as follows:

**Division 2**

**Page 2-7, line 31, Article 215-2 Construction Methods**, replace “Article 107-26” with “Article 107-25”.

**Page 2-17, Article 226-3, Measurement and Payment, line 2**, delete “pipe culverts,”.

**Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows:** **Line 1**, replace “(4) Buffer Zone” with “(c) Buffer Zone”; **Line 12**, replace “(5) Evaluation for Potential Wetlands and Endangered Species” with “(d) Evaluation for Potential Wetlands and Endangered Species”; and **Line 33**, replace “(6) Approval” with “(4) Approval”.

**Division 3**

**Page 3-1, after line 15, Article 300-2 Materials**, replace “1032-9(F)” with “1032-6(F)”.

**Division 4**

**Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping**, replace “sheet pile” with “reinforcement”.

**Division 6**

**Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments**, replace “30” with “45”.

**Page 6-10, line 42, Subarticle 609-6(C)(2)**, replace “Subarticle 609-6(E)” with “Subarticle 609-6(D)”.

**Page 6-11, Table 609-1 Control Limits**, replace “Max. Spec. Limit” for the Target Source of  $P_{0.075}/P_{be}$  Ratio with “1.0”.

**Page 6-40, Article 650-2 Materials**, replace “Subarticle 1012-1(F)” with “Subarticle 1012-1(E)”

**Division 7**

**Page 7-1, Article 700-3, CONCRETE HAULING EQUIPMENT**, line 33, replace “competition” with “completion”.

**Division 8**

**Page 8-23, line 10, Article 838-2 Materials**, replace “Portland Cement Concrete, Class B” with “Portland Cement Concrete, Class A”.

**Division 10**

**Page 10-166, Article 1081-3 Hot Bitumen**, replace “Table 1081-16” with “Table 1081-2”, replace “Table 1081-17” with “Table 1081-3”, and replace “Table 1081-18” with “Table 1081-4”.

**Division 12**

**Page 12-7, Table 1205-3**, add “FOR THERMOPLASTIC” to the end of the title.

**Page 12-8, Subarticle 1205-5(B), line 13**, replace “Table 1205-2” with “Table 1205-4”.

**Page 12-8, Table 1205-4 and 1205-5**, replace “THERMOPLASTIC” in the title of these tables with “POLYUREA”.

**Page 12-9, Subarticle 1205-6(B), line 21**, replace “Table 1205-4” with “Table 1205-6”.

**Page 12-11, Subarticle 1205-8(C), line 25**, replace “Table 1205-5” with “Table 1205-7”.

**Division 15**

**Page 15-4, Subarticle 1505-3(F) Backfilling, line 26**, replace “Subarticle 235-4(C)” with “Subarticle 235-3(C)”.

**Page 15-6, Subarticle 1510-3(B), after line 21**, replace the allowable leakage formula with the following:  $W = LD\sqrt{P} \div 148,000$

**Page 15-6, Subarticle 1510-3(B), line 32**, delete “may be performed concurrently or” and replace with “shall be performed”.

**Page 15-17, Subarticle 1540-3(E), line 27**, delete “Type 1”.

**Division 17**

**Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center**, delete this subarticle.

Revise the *2012 Roadway Standard Drawings* as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation**, replace “1633.01” with “1631.01”.



**STANDARD SPECIAL PROVISION****PLANT AND PEST QUARANTINES****(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)**

(3-18-03) (Rev. 10-15-13)

Z-04a

**Within Quarantined Area**

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

**Originating in a Quarantined County**

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

**Contact**

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <http://www.ncagr.gov/plantind/> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

**Regulated Articles Include**

1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
2. Plants with roots including grass sod.
3. Plant crowns and roots.
4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
5. Hay, straw, fodder, and plant litter of any kind.
6. Clearing and grubbing debris.
7. Used agricultural cultivating and harvesting equipment.
8. Used earth-moving equipment.
9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

**STANDARD SPECIAL PROVISION****AWARD OF CONTRACT**

(6-28-77)

Z-6

“The North Carolina Department of Transportation, in accordance with the provisions of *Title VI of the Civil Rights Act of 1964* (78 Stat. 252) and the Regulations of the Department of Transportation (*49 C.F.R., Part 21*), issued pursuant to such act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin”.

**STANDARD SPECIAL PROVISION****MINORITY AND FEMALE EMPLOYMENT REQUIREMENTS**

Z-7

**NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (*EXECUTIVE NUMBER 11246*)**

1. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, see as shown on the attached sheet entitled "Employment Goals for Minority and Female participation".

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in *41 CFR Part 60-4* shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in *41 CFR 60-4.3(a)*, and its effort to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project or the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the executive Order and the regulations in *41 CFR Part 60-4*. Compliance with the goals will be measured against the total work hours performed.

2. As used in this Notice and in the contract resulting from this solicitation, the "covered area" is the county or counties shown on the cover sheet of the proposal form and contract.

**EMPLOYMENT GOALS FOR MINORITY  
AND FEMALE PARTICIPATION**

Economic Areas

**Area 023 29.7%**

Bertie County  
Camden County  
Chowan County  
Gates County  
Hertford County  
Pasquotank County  
Perquimans County

**Area 024 31.7%**

Beaufort County  
Carteret County  
Craven County  
Dare County  
Edgecombe County  
Green County  
Halifax County  
Hyde County  
Jones County  
Lenoir County  
Martin County  
Nash County  
Northampton County  
Pamlico County  
Pitt County  
Tyrrell County  
Washington County  
Wayne County  
Wilson County

**Area 025 23.5%**

Columbus County  
Duplin County  
Onslow County  
Pender County

**Area 026 33.5%**

Bladen County  
Hoke County  
Richmond County  
Robeson County  
Sampson County  
Scotland County

**Area 027 24.7%**

Chatham County  
Franklin County  
Granville County  
Harnett County  
Johnston County  
Lee County  
Person County  
Vance County  
Warren County

**Area 028 15.5%**

Alleghany County  
Ashe County  
Caswell County  
Davie County  
Montgomery County  
Moore County  
Rockingham County  
Surry County  
Watauga County  
Wilkes County

**Area 029 15.7%**

Alexander County  
Anson County  
Burke County  
Cabarrus County  
Caldwell County  
Catawba County  
Cleveland County  
Iredell County  
Lincoln County  
Polk County  
Rowan County  
Rutherford County  
Stanly County

**Area 0480 8.5%**

Buncombe County  
Madison County

**Area 030 6.3%**

Avery County  
Cherokee County  
Clay County  
Graham County  
Haywood County  
Henderson County  
Jackson County  
McDowell County  
Macon County  
Mitchell County  
Swain County  
Transylvania County  
Yancey County

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SMSA AreasArea 5720 26.6%

Currituck County

Area 9200 20.7%

Brunswick County

New Hanover County

Area 2560 24.2%

Cumberland County

Area 6640 22.8%

Durham County

Orange County

Wake County

Area 1300 16.2%

Alamance County

Area 3120 16.4%

Davidson County

Forsyth County

Guilford County

Randolph County

Stokes County

Yadkin County

Area 1520 18.3%

Gaston County

Mecklenburg County

Union County

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Goals for FemaleParticipation in Each Trade

(Statewide) 6.9%

**STANDARD SPECIAL PROVISION****REQUIRED CONTRACT PROVISIONS FEDERAL - AID CONSTRUCTION CONTRACTS**

FHWA - 1273 Electronic Version - May 1, 2012

Z-8

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

**ATTACHMENTS**

- A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).
2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.
4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are

incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
- b. The contractor will accept as its operating policy the following statement:  
"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."
2. **EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
  - a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
  - b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
  - c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
  - d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
  - e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.
  - a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
  - b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
  - c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
  - a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
  - b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
  - c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
  - d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.
6. **Training and Promotion:**
  - a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.
  - b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
  - c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
  - d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
  - a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
  - b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
  - c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
  - d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
8. **Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
9. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
  - a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.
  - b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.
10. **Assurance Required by 49 CFR 26.13(b):**
  - a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
  - b. The contractor or subcontractor shall not discriminate on the basis of race, color, religion, sex, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
11. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
  - a. The records kept by the contractor shall document the following:
    - (1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;
    - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
    - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
  - b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

- a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the



Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
    - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
    - (ii) The classification is utilized in the area by the construction industry; and
    - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
  - c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
  - d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
2. **Withholding.** The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
3. **Payrolls and basic records**
- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
  - b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the

payrolls shall only need to include an individually identifying number for each employee ( e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at [http://www.dol.gov/esa/whd/forms/ wh347instr.htm](http://www.dol.gov/esa/whd/forms/wh347instr.htm) or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
    - (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
    - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
    - (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
  - (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
  - (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
  - c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
4. **Apprentices and trainees**
- a. Apprentices (programs of the USDOL). Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- b. Trainees (programs of the USDOL). Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

- In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- c. **Equal employment opportunity.** The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
  - d. **Apprentices and Trainees (programs of the U.S. DOT).** Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.
5. **Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
  6. **Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
  7. **Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
  8. **Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
  9. **Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
  10. **Certification of eligibility.**
    - a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
    - b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
    - c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

#### V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. **Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
2. **Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
3. **Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
4. **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

#### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).
  - a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
    - (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
  - (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
  - (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.
2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.
5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

#### **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

#### **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

**1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

- a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:
  - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
  - (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and
  - (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contractor). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
  - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**STANDARD SPECIAL PROVISION****ON-THE-JOB TRAINING**

(10-16-07) (Rev. 4-21-15)

Z-10

**Description**

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

**Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

**Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year.\

**Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators	Office Engineers
Truck Drivers	Estimators
Carpenters	Iron / Reinforcing Steel Workers
Concrete Finishers	Mechanics
Pipe Layers	Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.



**Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

**Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

**Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

60 percent	of the journeyman wage for the first half of the training period
75 percent	of the journeyman wage for the third quarter of the training period
90 percent	of the journeyman wage for the last quarter of the training period

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

**Achieving or Failing to Meet Training Goals**

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

**Measurement and Payment**

No compensation will be made for providing required training in accordance with these contract documents.

**PROJECT SPECIAL PROVISIONS**

**GEOTECHNICAL**

STANDARD SHORING - (3/17/2015)

GT-1.1 - GT-1.4

DRILLED PIERS (LRFD) - (10/20/2015)

GT-2.1 - GT-2.2

PILES (LRFD) - (10/20/2015)

GT-3.1 - GT-3.2

DocuSigned by:  
*Geotechnical Engineering Unit*  
E06538624A11498...

9/14/2015

**STANDARD SHORING:****(11-19-13)****Description**

Standard shoring includes standard temporary shoring and standard temporary mechanically stabilized earth (MSE) walls. At the Contractor's option, use standard shoring as noted in the plans or as directed. When using standard shoring, a temporary shoring design submittal is not required. Construct standard shoring based on actual elevations and shoring dimensions in accordance with the contract and Standard Drawing No. 1801.01 or 1801.02.

Define "standard temporary shoring" as cantilever shoring that meets the standard temporary shoring drawing (Standard Drawing No. 1801.01). Define "standard temporary wall" as a temporary MSE wall with geotextile or geogrid reinforcement that meets the standard temporary wall drawing (Standard Drawing No. 1801.02). Define "standard temporary geotextile wall" as a standard temporary wall with geotextile reinforcement and "standard temporary geogrid wall" as a standard temporary wall with geogrid reinforcement. Define "geosynthetics" as geotextiles or geogrids.

Provide positive protection for standard shoring at locations shown in the plans and as directed. See *Temporary Shoring* provision for positive protection types and definitions.

**Materials**

Refer to the *Standard Specifications*.

<b>Item</b>	<b>Section</b>
Anchor Pins	1056-2
Concrete Barrier Materials	1170-2
Flowable Fill, Excavatable	1000-6
Geotextiles	1056
Neat Cement Grout	1003
Portland Cement Concrete	1000
Select Material	1016
Steel Beam Guardrail Materials	862-2
Steel Sheet Piles and H-Piles	1084
Untreated Timber	1082-2
Welded Wire Reinforcement	1070-3
Wire Staples	1060-8(D)

Provide Type 6 material certifications for shoring materials. Use Class IV select material (standard size No. ABC) for temporary guardrail.

For drilled-in H-piles, use nonshrink neat cement grout or Class A concrete that meets Article 1000-4 of the *Standard Specifications* except as modified herein. Provide concrete with a slump of 6" to 8". Use an approved high-range water reducer to achieve this slump.

Based on actual shoring height, positive protection, groundwater elevation, slope or surcharge case and traffic impact at each standard temporary shoring location, use sheet piles with the minimum required section modulus or H-piles with the sizes shown in Standard Drawing No. 1801.01. Use untreated timber with a thickness of at least 3" and a bending stress of at least 1,000 psi for timber lagging.

**(A) Shoring Backfill**

Use Class II, Type 1, Class III, Class V or Class VI select material or material that meets AASHTO M 145 for soil classification A-2-4 with a maximum PI of 6 for shoring backfill except do not use the following:

- (1) A-2-4 soil for backfill around culverts,
- (2) A-2-4 soil in the reinforced zone of standard temporary walls with a back slope and
- (3) Class VI select material in the reinforced zone of standard temporary geotextile walls.

**(B) Standard Temporary Walls**

Use welded wire reinforcement for welded wire facing, struts and wires with the dimensions and minimum wire sizes shown in Standard Drawing No. 1801.02. Provide Type 2 geotextile for separation and retention geotextiles. Define “machine direction” (MD) and “cross-machine direction” (CD) for geosynthetics in accordance with ASTM D4439. Do not use more than 4 different reinforcement strengths for each standard temporary wall.

**(1) Geotextile Reinforcement**

Provide Type 5 geotextile for geotextile reinforcement with a mass per unit area of at least 8 oz/sy in accordance with ASTM D5261. Based on actual wall height, groundwater elevation, slope or surcharge case and shoring backfill to be used in the reinforced zone at each standard temporary geotextile wall location, provide geotextiles with ultimate tensile strengths as shown in Standard Drawing No. 1801.02.

**(2) Geogrid Reinforcement**

Handle and store geogrids in accordance with Article 1056-2 of the *Standard Specifications*. Use geogrids with a roll width of at least 4 ft and an “approved” or “approved for provisional use” status code. The list of approved geogrids is available from:

[connect.ncdot.gov/resources/Materials/Pages/SoilsLaboratory.aspx](http://connect.ncdot.gov/resources/Materials/Pages/SoilsLaboratory.aspx)

Based on actual wall height, groundwater elevation, slope or surcharge case and shoring backfill to be used in the reinforced zone at each standard temporary geogrid wall location, provide geogrids for geogrid reinforcement with short-term design strengths as shown in Standard Drawing No. 1801.02. Geogrids are typically approved for ultimate tensile strengths in the MD and CD or short-term design strengths for a 3-year design life in the MD based on material type. Define material type from the website above for shoring backfill as follows:

<b>Material Type</b>	<b>Shoring Backfill</b>
Borrow	A-2-4 Soil
Fine Aggregate	Class II, Type 1 or Class III Select Material
Coarse Aggregate	Class V or VI Select Material

If the website does not list a short-term design strength for an approved geogrid, use a short-term design strength equal to the ultimate tensile strength divided by 3.5 for the geogrid reinforcement.

### **Preconstruction Requirements**

#### **(A) Concrete Barrier**

Define “clear distance” behind concrete barrier as the horizontal distance between the barrier and edge of pavement. The minimum required clear distance for concrete barrier is shown in the plans. At the Contractor’s option or if the minimum required clear distance is not available, set concrete barrier next to and up against traffic side of standard shoring except for barrier above standard temporary walls. Concrete barrier with the minimum required clear distance is required above standard temporary walls.

#### **(B) Temporary Guardrail**

Define “clear distance” behind temporary guardrail as the horizontal distance between guardrail posts and standard shoring. At the Contractor’s option or if clear distance for standard temporary shoring is less than 4 ft, attach guardrail to traffic side of shoring as shown in the plans. Place ABC in clear distance and around guardrail posts instead of pavement. Do not use temporary guardrail above standard temporary walls.

#### **(C) Standard Shoring Selection Forms**

Before beginning standard shoring construction, survey existing ground elevations in the vicinity of standard shoring locations to determine actual shoring or wall heights (H). Submit a standard shoring selection form for each location at least 7 days before starting standard shoring construction. Standard shoring selection forms are available from: [connect.ncdot.gov/resources/Geological/Pages/Geotech\\_Forms\\_Details.aspx](http://connect.ncdot.gov/resources/Geological/Pages/Geotech_Forms_Details.aspx)

#### **(D) Preconstruction Meeting**

The Engineer may require a shoring preconstruction meeting to discuss the construction and inspection of the standard shoring. If required, schedule this meeting after all standard shoring selection forms have been submitted. The Resident, District or Bridge Maintenance Engineer, Bridge or Roadway Construction Engineer, Geotechnical Operations Engineer, Contractor and Shoring Contractor Superintendent will attend this preconstruction meeting.

### **Construction Methods**

Construct standard shoring in accordance with the *Temporary Shoring* provision.

#### **(A) Standard Temporary Shoring Installation**

Based on actual shoring height, positive protection, groundwater elevation, slope or surcharge case and traffic impact at each standard temporary shoring location, install piles with the minimum required embedment and extension for each shoring section in accordance with Standard Drawing No. 1801.01. For concrete barrier above and next to standard temporary shoring and temporary guardrail above and attached to standard temporary shoring, use “surcharge case with traffic impact” in accordance with Standard Drawing No. 1801.01. Otherwise, use “slope or surcharge case with no traffic impact” in accordance with Standard Drawing No. 1801.01. If refusal is reached before driven piles

attain the minimum required embedment, use drilled-in H-piles with timber lagging for standard temporary shoring.

**(B) Standard Temporary Walls Installation**

Based on actual wall height, groundwater elevation, slope or surcharge case, geotextile or geogrid reinforcement and shoring backfill in the reinforced zone at each standard temporary wall location, construct walls with the minimum required reinforcement length and number of reinforcement layers for each wall section in accordance with Standard Drawing No. 1801.02. For standard temporary walls with pile foundations in the reinforced zone, drive piles through reinforcement after constructing temporary walls.

For standard temporary walls with interior angles less than 90°, wrap geosynthetics at acute corners as directed by the Engineer. Place geosynthetics as shown in Standard Drawing No. 1801.02. Place separation geotextiles between shoring backfill and backfill, natural ground or culverts along the sides of the reinforced zone perpendicular to the wall face. For Class V or VI select material in the reinforced zone, place separation geotextiles between shoring backfill and backfill or natural ground on top of and at the back of the reinforced zone.

**Measurement and Payment**

Standard shoring will be measured and paid in accordance with the *Temporary Shoring* provision.



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**DRILLED PIERS****(10-20-15)**

Revise the *2012 Standard Specifications* as follows:

**Page 4-9, Article 411-1, Description**, line 12, replace second sentence in the second paragraph with the following:

Define “permanent casing” as a casing that remains in the excavation and acts as a form for Drilled Pier concrete and “temporary casing” as any casing that is not permanent. Define “rock” as a continuous intact natural material with a standard penetration resistance of 0.1 ft or less per 60 blows or a rock auger penetration rate of less than 2" per 5 minutes of drilling at full crowd force or as determined by the Engineer when rock is not encountered as expected based on these criteria.

**Page 4-9, Article 411-2, Materials**, line 18, in materials table, replace “Grout, Nonshrink” with “Grout, Type 2”.

**Page 4-9, Subarticle 411-2(A), Steel Casing**, line 26, add the following after first sentence in the first paragraph:

If permanent casing is required for an excavation, the largest diameter casing in the hole is the permanent casing. This does not apply to working casings around permanent casings as approved by the Engineer.

**Page 4-12, Subarticle 411-3(B), Preconstruction Meeting**, line 22, replace with the following:

**(A) Preconstruction Meeting**

Before starting drilled pier construction, hold a preconstruction meeting to discuss the installation, monitoring and inspection of the drilled piers. Schedule this meeting after the Drilled Pier Contractor mobilizes to the site. If this meeting occurs before all drilled pier submittals have been accepted, additional preconstruction meetings may be required before beginning construction of drilled piers without accepted submittals. The Resident or Bridge Maintenance Engineer, Bridge Construction Engineer, Geotechnical Operations Engineer, Contractor and Drilled Pier Contractor Superintendent will attend preconstruction meetings.

**Page 4-12, Article 411-4, Construction Methods**, lines 37-39, replace with the following:

For drilled piers constructed with slurry or permanent casings, the pier diameter may be 2" less than the design pier diameter shown in the plans. For all other drilled piers, construct piers with the minimum required diameters shown in the plans except for portions of drilled piers in rock which may be 2" less than the design pier diameter.

**Page 4-13, Subarticle 411-4(A), Excavation**, line 11, add the following after second sentence in the third paragraph:

See Articles 107-11 and 107-12 for protection of public and private property and control of siltation, dust and air and water pollution from blasting, drilling and excavating with down-the-hole hammers.



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9/11/2015



**PILES****(10-20-15)**

Revise the *2012 Standard Specifications* as follows:

**Page 4-70, Article 450-2, Materials**, line 2, in the materials table, replace “Neat Cement Grout, Nonshrink” with “Neat Cement Grout, Type 1”.

**Page 4-70, Article 450-2, Materials**, line 8, in the last sentence of the second paragraph, replace “approved by the Materials and Tests Unit.” with “that are on the NCDOT Approved Products List.”

**Page 4-72, Subarticle 450-3(D)(3), Required Driving Resistance**, lines 26-30, replace first paragraph with the following:

The Engineer will determine if the proposed pile driving methods and equipment are acceptable and provide the blows/ft and equivalent set for the required driving resistance noted in the plans, i.e., “pile driving criteria” except for structures with pile driving analyzer (PDA) testing. For structures with PDA testing, provide pile driving criteria for any bents and end bents with piles in accordance with Subarticle 450-3(F)(4).

**Page 4-73, Subarticle 450-3(E)(1), Pile Excavation**, lines 19-20, in the third sentence of the second paragraph, replace “use smooth or corrugated clean watertight steel casings” with “use smooth non-corrugated clean watertight steel casings”.

**Page 4-73, Subarticle 450-3(F), Pile Driving Analyzer**, lines 45-48, replace third paragraph with the following:

The Engineer will complete the review of the proposed pile driving methods and equipment within 7 days of receiving PDA reports and pile driving criteria. Do not place concrete for caps or footings on piles until PDA reports and pile driving criteria have been accepted.

**Page 4-75, Subarticle 450-3(F), Pile Driving Analyzer**, line 21, add the following to the end of Article 450-3:

(4) Pile Driving Criteria

Analyze pile driving with the GRL Wave Equation Analysis Program (GRLWEAP) manufactured by Pile Dynamics, Inc. Use the same PDA Consultant that provides PDA reports to perform GRLWEAP analyses and develop pile driving criteria. Provide driving criteria sealed by an engineer approved as a Project Engineer (key person) for the same PDA Consultant.

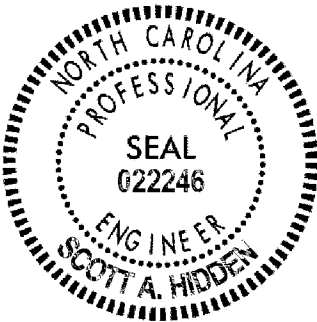
Analyze pile driving so driving stresses, energy transfer, ram stroke and blows/ft from PDA testing and resistances from CAPWAP analyses correlate to GRLWEAP models. Provide pile driving criteria for each combination of required driving resistance and pile length installed for all pile types and sizes. Submit 2 copies of pile driving criteria with PDA

reports. Include the following for driving criteria:

- (a) Project information in accordance with Subarticle 450-3(F)(3)(a)
- (b) Table showing blows/ft and equivalent set vs. either stroke for multiple strokes in increments of 6" or bounce chamber pressure for multiple pressures in increments of 1 psi
- (c) Maximum stroke or blows/ft or pile cushion requirements to prevent overstressing piles as needed
- (d) GRLWEAP software version information
- (e) PDF copy of all pile driving criteria and executable GRLWEAP input and output files

**Page 4-76, Article 450-4, Measurement and Payment**, lines 27-29, replace third sentence of the sixth paragraph with the following:

The contract unit price for *PDA Testing* will be full compensation for performing PDA testing the first time a pile is tested, performing CAPWAP analysis on data collected during initial drive, restrikes and redrives, providing PDA reports, performing GRLWEAP analysis and developing and providing pile driving criteria.



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9/11/2015

**PROJECT SPECIAL PROVISIONS  
GEOENVIRONMENTAL**

**CONTAMINATED SOIL (8/25/2014)**

The Contractor's attention is directed to the fact that soil contaminated with petroleum hydrocarbon compounds may exist within the project area. The known areas of potential contamination are indicated on corresponding plans sheets. Information relating to these potentially contaminated areas and investigation reports are available at the following web address by navigating to the correct letting year and month then selecting, "Plans and Proposals", "Jackson B-4159", "GeoEnvironmental":

**<http://dotw-xfer01.dot.state.nc.us/dsplan/>**

Petroleum contaminated soil may be encountered during any earthwork activities on the project. The Contractor shall only excavate those soils that the Engineer designates necessary to complete a particular task. The Engineer shall determine if soil is contaminated based on petroleum odors and unusual soil staining. Contaminated soil not required to be excavated is to remain in place and undisturbed. Undisturbed soil shall remain in place, whether contaminated or not. Excavation of petroleum contaminated soil will be incidental to the project. The Contractor shall stockpile all contaminated soil excavated from the project in a location approved by the Engineer.

The stockpile shall be created within the property boundaries of the source material and in accordance with the Stockpile Detail found in the plans. If the volume of contaminated material exceeds available space on site, the Contractor shall obtain a permit from the NCDENR UST Section's Regional Office for off-site temporary storage. Stockpiling contaminated soil will be incidental to the project. The Engineer will notify the GeoEnvironmental Section of the stockpile and the GeoEnvironmental Section will arrange for the testing and disposal of the contaminated stockpile within two weeks of notification.

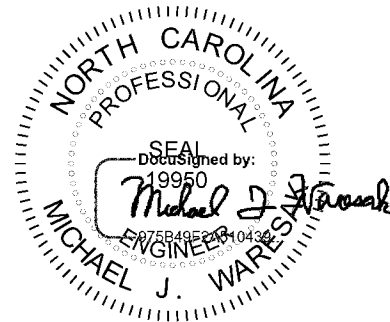
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8/25/2014



PROJECT SPECIAL PROVISIONS  
Utility Construction



55 Broad Street, Asheville, NC 28801  
P 828.252.0575, Firm License No. C-0459



**Revise the 2012 Standard Specifications as follows:**

10/27/2015

**Page 10-58, Sub-article 1036-1 General**

add the following sentence:

All materials in contact with potable water shall be in conformance with Section 1417 of the Safe Drinking Water Act.

**Page 15-1, Sub-article 1500-2 Cooperation with the Utility Owner, paragraph 2:**

add the following sentences:

The utility owner is the Tuckasegee Water and Sewer Authority. The contact person is Dan Harbaugh and he can be reached by phone at 828-586-5189

**Page 15-2, Sub-article 1500-9 Placing Pipelines into Service**

add the following sentence:

Obtain approval from the NCDENR-Public Water Supply Section prior to placing a new water line into service. Use backflow prevention assemblies for temporary connections to isolate new water lines from existing water line.

**Page 15-6, Sub-article 1510-3 (B), Testing and Sterilization**

change the allowable leakage formula to:

$$W = LD\sqrt{P} \div 148,000$$

**Page 15-6, Sub-article 1510-3 (B), Testing and Sterilization, sixth paragraph:**

Replace the paragraph with the following:

Sterilize water lines in accordance with Section 1003 of The Rules Governing Public Water supply and AWWA C651 Section 4.4.3, the Continuous Feed Method. Provide a chlorine solution with between 50 parts per million and 100 parts per million in the initial feed. If the chlorine level drops below 10 parts per million during a 24 hour period, then flush, refill with fresh chlorine solution, and repeat for 24 hours. Provide certified bacteriological and contaminant test results from a state-approved or state-certified laboratory. Operate all valves and controls to assure thorough sterilization.

**Page 15-6, Sub-article 1510-3 (B), Testing and Sterilization, seventh paragraph:**  
delete the words “may be performed concurrently or consecutively.”  
and replace with “shall be performed consecutively.”

**Page 15-7, sub-article 1515-2 Materials,**  
replace paragraph beginning “Double check valves...” with the following:

Double Check valves (DCV) and Reduced Pressure Zone principal (RPZ) backflow prevention assemblies shall be listed on the University of Southern California Foundation for Cross-Connection Control and Hydraulic Research list of approved backflow devices.

### **BID ITEM: CONTROL VALVE UTILITY VAULT**

#### **1.0 GENERAL DESCRIPTION**

This item shall consist of installation of a Control Valve Utility Vault and the related water piping, fittings, valve, electrical and controls, at the location shown on the plans, and according to the details provided on the plans and as specified in the referenced electrical and control specifications. The Project Special Provisions include by reference the following electrical and controls specifications:

Section 260500 – Common Work Results for Electrician  
Section 260519 – Conductors and Cables  
Section 260523 – Control Voltage Electrical Power Cables  
Section 260526 – Grounding and Bonding  
Section 260529 - Hangers and Supports for Electrical Systems  
Section 260533 - Raceways and Boxes  
Section 260553 – Electrical Identification  
Section 262416 – Panelboards  
Section 262726 – Wiring Devices  
Section 409000 – Instrumentation and Control for Process Systems  
Section 409513 – Process Control Panels and Hardware  
Section 409560 – PLC and Radio Equipment

#### **2.0 MATERIALS**

All pipe and fittings shall be constructed of ductile iron. All ductile iron pipe and fittings shall be Class 350 and rated for 350 psi working pressure. All piping materials shall conform to NCDOT 2012 Standard Specifications, Section 1036. All Precast materials shall conform to NCDOT 2012 Standard Specifications, Section 1077. Electrical and controls materials shall conform to the specification sections referenced in “1.0 General Description” for “Control Valve Utility Vault”.

Butterfly Valve: The main control valve shall be a flanged 16-inch diameter butterfly valve. Butterfly valve shall be designed, manufactured, and tested in accordance with AWWA C504, latest revision, and include the following design features. Valve shall be rated and tested for absolute, zero leakage shut-off. Valve body shall be cast iron per ASTM A 126 Class B or ductile iron per ASTM A536 Grade 65-45-12. Flanged end valves shall be faced and drilled per ANSI B16.1, Class 125. Valve body shall include a stainless steel seat ring that is mechanically retained without use of clamping devices, adjusting segments, or other hardware being in the waterway. Valve disc shall be solid type ductile iron without any external vanes, ribs, etc., to obstruct flow. Resilient seat shall be located on edge of disc, offset from the shaft, and seal against mating stainless steel body seat with 360 degree uninterrupted contact. The resilient seal shall be locked to the disc by three separate means of retention, and be field-adjustable, if necessary, with no tools other than a standard socket wrench. Replacement of seat in field shall be possible without valve disassembly. The disc shall be connected to the offset stainless steel shaft by locked taper wedge keys and stainless steel retaining nuts on the back side of the disc. Taper keys shall be heat treated 416 Stainless Steel for added strength. Shaft shall be stub type for valves 30 inches and larger in diameter; one piece for valves 24 inches in diameter and smaller. Shaft shall have nylon sleeve or woven Teflon fiberglassed backed sleeve for bearing surfaces. Bearings shall be self-lubricating. Valve body shall be factory primed with manufacturer's standard primer, and field coated with two (2) coats of high solids epoxy industrial-grade coating, 4.0 mils to 6.0 mils each coat. Field coatings shall be fully compatible with the factory prime coating.

Control Valve Electric Actuator: Electric actuator shall be 120V, single phase, quarter-turn actuator suitable and completely compatible with the butterfly valve being supplied for the project. The entire actuator enclosure shall be 'O' ring sealed, watertight according to the Standard NEMA 6. It shall at the same time have an inner watertight and dustproof 'O' ring seal between the terminal compartment and the internal electrical elements of the actuator to fully protect the motor and all other internal electrical elements of the actuator from ingress of moisture and dust when the terminal cover is removed on site for cabling. Enclosure must allow for temporary site storage without the need for electrical supply connection. All external fasteners shall be stainless steel. The terminal compartment and limit switch compartment covers shall be fastened to the gear housing by stainless steel bolts which are captured to prevent loss when covers are removed. The gear housing and all load bearing enclosures shall be constructed of cast iron. The non load bearing enclosures and covers may be made of aluminum, cast iron or steel. All housings shall be designed, manufactured and inspected to guarantee against the ingress of moisture. All power gearing shall be constructed of hardened steel or bronze, shall operate in a lubricant and shall be suitable for operation at any angle. Lubricant shall be standard gear oil, or equal. There shall be no limitations on mounting position of the actuator resulting from the type of lubricant used. Gearing shall be designed to withstand the stall torque of the motor without failing. The final drive shall be of the self locking worm and wheel type to prevent creeping of the valve disc in an intermediate position. The drive nut shall be separable from the gear assembly to facilitate rapid mounting of the operator on the valve. A lost motion device shall be

provided to allow motor to reach full speed before the load is engaged. All limit switch gearing and feedback potentiometer reduction gearing shall be made of steel or bronze and adequately lubricated. All rotating shafts shall be supported by anti-friction bearings. The drive motor shall be designed for actuator service and shall be characterized by high starting torque, low stall torque and low inertia, rated 120 V (+/- 10%), single phase. The motor shall be capable of starting against the rated load either the open or closed direction when voltage to the motor terminals is plus or minus 10% of the nameplate rating. The motor shall be induction type with Class F - tropicalized insulation. Modulating actuators shall have class H insulation. Three thermal switches shall to be imbedded 120° apart in the windings to insure safe motor shut-down during periods of high current draw resulting in a high temperature condition. The motor housing shall be totally enclosed and non-ventilated with cooling fins to dissipate heat. Motor housing shall be constructed of aluminum or cast iron. The motor shall be capable of operating in any position. It shall be properly sealed from the lubricant filled gearcase to allow the motor to be mounted in any position relative to the gearcase. Removal of the motor shall not result in loss of lubricant. For motor protection, the motor shall de-energize in the event of stall when attempting to unseat a jammed valve. Additionally, a thermostat to protect against overheating which senses motor temperature shall be provided, and single phasing protection shall be provided. A handwheel with a positive declutch mechanism shall be permanently attached for manual operation. The handwheel shall be stationary during power operation. Motor operation shall always take priority over manual operation. An inoperable motor or gearing will not prevent manual operation. The handwheel selector lever must be padlockable. Torque limit switches shall be provided to de-energize the motor control circuit when the valve encounters an obstruction during travel. Each actuator shall have an open direction torque switch and a close direction torque switch. Torque switches shall be adequately rated to carry the control current. The contacts are to be completely sealed. Should the valve become jammed in the closed position, the actuator motor contactor must open after a maximum of 10 seconds. Travel limit switches shall be provided to de-energize the motor control circuit when the actuator reaches the limits of travel in the open and close directions. Limit switches and the limit switch drive shall be an integral part of the actuator. The limit switch drive shall be of the counter gear type and "in step" with the actuator output drive at all times in either the electrical or manual modes of operation. A minimum of four (4) contacts, two (2) normally open and two (2) normally closed, shall be supplied at each end of valve travel. The contacts shall be made of silver and adequately rated to carry the control current. All contacts shall be completely sealed in a NEMA 4 enclosure to prohibit electrical shock while adjusting, eliminate shorting out and ensure that contaminants do not foul the contacts. Limit switches shall be fully adjustable when power is applied to the actuator. A reversing contactor, control transformer (lockable to prevent unintentional operation), local/off/remote and open/close selector stations shall be included as part of the actuator. For modulating service, a positioner/feedback device shall be provided that shall accept/generate a current or voltage analog command signal, compare it with existing valve position via feedback potentiometer and energize the open or close circuit of the motor controls. Failure position on loss of command signal shall be field selectable in either the "open", "close" or "in place" positions. Deadband shall be field

adjustable between 0.5% and 5% of full valve range. Time delay (adjustable between 0.5 and 10 seconds), zero and span shall also be adjustable. Light or LED indications on the positioner shall include "drive open", "drive close", "loss of command or feedback signal" and "time delay".

### 3.0 INSTALLATION

All utility and utility vault construction shall be done according to the NCDOT Standard Specifications, Division 15 requirements. Valves shall be installed in accordance with the manufacturer's instructions. Before setting each valve, the Contractor shall make sure the interior is clean and test opening and closing. Valves shall be set with stems plumb, unless horizontal installation is called for on the plans, and at the exact locations shown. Actuators shall be installed in strict accordance with the actuator and valve manufacturers' recommendations. The actuator manufacturer's representative shall furnish the services of a manufacturer's certified factory representative for at least two (2) days to inspect the installations and provide start-up and operator training services.

### 4.0 MEASUREMENT AND PAYMENT

All excavation, backfill and compaction, piping, valve, materials, electrical and control components, wiring and site work shall be considered incidental. Control Valve Utility Vault shall be paid for at the Lump Sum bid price for the complete item installed.

## **BID ITEM: REMOVE EXISTING CONTROL VALVE AND VAULT**

### 1.0 GENERAL DESCRIPTION

The Remove Existing Control Valve and Vault item shall consist of the removal and disposal of the existing control valve vault and all related appurtenances, including the existing valves, all piping (exposed and underground), electrical and control panels and the excavation and removal of the existing underground structure and piping. The existing valve, panels and their contents, and antenna shall be removed without damage and shall be conveyed to the utility owner (Tuckaseegee Water and Sewer Authority).

### 2.0 MATERIALS

All materials used for backfill and reclamation of the Control Valve Vault site shall conform to NCDOT 2012 Standard Specifications.

### 3.0 INSTALLATION



All removal and abandonment of existing utilities shall be done according to the NCDOT Standard Specifications, Division 15 requirements.

#### 4.0 MEASUREMENT AND PAYMENT

All excavation required for removal, material and labor for backfill and compaction for the reclamation of the site shall be considered incidental. Remove Existing Control Valve and Vault shall be paid for at the Lump Sum bid price for the complete item installed.

### **BID ITEM: 12" RIVER CROSSING WATER PIPE**

#### 1.0 GENERAL DESCRIPTION

This item shall consist of installation of a temporary water line under the Tuckaseegee River for temporary water supply during construction of the bridge. The 12" River Crossing Water Pipe shall be ball joint pipe suitable for transporting and controlling potable water, suitable for subaqueous pipeline construction, and shall be installed directly on the river bottom according in the locations shown on the plans.

#### 2.0 MATERIALS

All piping materials shall conform to the requirements of AWWA C151, capable of a working pressure of 250 psi and designed for river crossings. The pipe joints shall be capable of deflections up to at least 25-degrees at the joints without harm to the pipe or joint components, while retaining watertightness at 250 psi working pressure in the maximum deflected joint position. Piping shall provide restraint against external forces and against joint separation due to internal pressure by means of the pipe joint system.

#### 3.0 INSTALLATION

12" River Crossing Water Pipe shall be installed from bank to bank in accordance with the pipe manufacturer's recommendations, typically by pulling the pipe onto the river bottom by cable, using techniques approved by the pipe manufacturer. Launching and receiving ramps shall be constructed on both banks to facilitate installation of the pipe on the river bottom and to allow connection to the buried portions of the temporary water line at the required depth of cover. Connection to the buried water line on both ends shall be made by conventional fittings as described in Division 15 – Utility Construction of the Standard Specifications. Contractor shall install pipe straps, supports, and lateral bracing as required to prevent movement of pipe upon pressurization as recommended by the pipe manufacturer. Prior to placing the river crossing water pipe into service, the pipe shall be pressure tested at 250 psi and sterilized in accordance with NCDENR regulations and NCDOT 2012 Standard Specifications, Section 1510, "Water Lines". River crossing pipe shall be sterilized in

accordance with NCDENR requirements and AWWA C651. and approved by the utility owner (Tuckaseegee Water and Sewer Authority) prior to being placed into service. After the permanent water line attached to the new bridge is placed into service, the Contractor shall remove the river crossing pipe and install plugs on the buried pipe on each side of the river. All temporary and river pipe shall be either buried at required depth of cover or installed under water in the river. No temporary pipe shall be exposed.

#### 4.0 MEASUREMENT AND PAYMENT

All equipment, materials and labor for pipe installation shall be included in the unit price bid item for 12" River Crossing Water Pipe shall be paid per foot at the unit price bid item.

### **BID ITEM: 16" VALVES**

#### 1.0 GENERAL DESCRIPTION

The 16" Valve item shall include furnishing and installing butterfly valves and related appurtenances that are suitable for transporting and controlling potable water as shown on the plans and specified herein.

#### 2.0 MATERIALS

16" butterfly valve shall be designed, manufactured, and tested in accordance with AWWA C504, latest revision, and include the following design features. Valve shall be rated and tested for absolute, zero leakage shut-off. Valve body shall be cast iron per ASTM A 126 Class B or ductile iron per ASTM A536 Grade 65-45-12. Flanged end valves shall be faced and drilled per ANSI B16.1, Class 125. Valve body shall include a stainless steel seat ring that is mechanically retained without use of clamping devices, adjusting segments, or other hardware being in the waterway. Valve disc shall be solid type ductile iron without any external vanes, ribs, etc., to obstruct flow. Resilient seat shall be located on edge of disc, offset from the shaft, and seal against mating stainless steel body seat with 360 degree uninterrupted contact. The resilient seal shall be locked to the disc by three separate means of retention, and be field-adjustable, if necessary, with no tools other than a standard socket wrench. Replacement of seat in field shall be possible without valve disassembly. The disc shall be connected to the offset stainless steel shaft by locked taper wedge keys and stainless steel retaining nuts on the back side of the disc. Taper keys shall be heat treated 416 Stainless Steel for added strength. Shaft shall be stub type for valves 30 inches and larger in diameter; one piece for valves 24 inches in diameter and smaller. Shaft shall have nylon sleeve or woven Teflon fibreglassed backed sleeve for bearing surfaces. Bearings shall be self-lubricating. Valve body shall be shop primed with manufacturer's standard primer, and field coated with two (2) coats of high solids epoxy industrial-grade coating, 4.0 mils to

6.0 mils each coat. Valve boxes shall be cast iron and shall conform to ASTM A48 and AWWA M44. Valve boxes shall be of the adjustable screw type (based on depth of burial) with a base to fit the valve yoke with a removable cover with the word "WATER" cast thereon.

### 3.0 INSTALLATION

16" valves shall be installed according to the NCDOT Standard Specifications, Division 1500 (all related sections). Valve boxes shall be installed in accordance with the manufacturer's instructions. A valve box shall be installed over each underground valve. All boxes shall be installed in accordance with the manufacturer's instructions and set plumb with their top flush with finished grade.

### 4.0 MEASUREMENT AND PAYMENT

16" valve boxes, and all excavation, material and labor for backfill and compaction for the installation of the 16" valves and valve boxes shall be considered incidental. 16" Valves shall be paid at bid price for each complete valve installed.

ELECTRICAL AND CONTROL PROVISIONS FOR CONTROL VALVE UTILITY VAULT  
BID ITEM ARE PROVIDED ON THE FOLLOWING PAGES:

Section 260500 – Common Work Results for Electrician  
Section 260519 – Conductors and Cables  
Section 260523 – Control Voltage Electrical Power Cables  
Section 260526 – Grounding and Bonding  
Section 260529 - Hangers and Supports for Electrical Systems  
Section 260533 - Raceways and Boxes  
Section 260553 – Electrical Identification  
Section 262416 – Panelboards  
Section 262726 – Wiring Devices  
Section 409000 – Instrumentation and Control for Process Systems  
Section 409513 – Process Control Panels and Hardware  
Section 409560 – PLC and Radio Equipment

## **SECTION 260500      COMMON WORK RESULTS FOR ELECTRICIAN**

### **PART 1 - GENERAL**

#### **1.01    RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **1.02    SUMMARY**

- A. This Section includes the following:
  - 1. General coordination.
  - 2. Electric utility coordination.
  - 3. Cutting and patching for electrical construction.
  - 4. Touchup painting.

#### **1.03    QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. Comply with NFPA 70.

##### **1.03.1.2    COORDINATION**

- A. Coordinate chases, slots, inserts, sleeves, and openings with general construction work and arrange in building structure during progress of construction to facilitate the electrical installations that follow.

1.03.1.2.A.1 Set inserts and sleeves in poured-in-place concrete, masonry work, and other structural components as they are constructed.

B. Sequence, coordinate, and integrate installing electrical materials and equipment for efficient flow of the Work. Coordinate installing large equipment requiring positioning before closing in the building.

C. Coordinate electrical service connections to components furnished by utility companies.

1.03.1.2.C.1 Coordinate installation and connection of utilities and services, including provision for interfacing with the existing substation bus and metering equipment.

1.03.1.2.C.2 Comply with requirements of authorities having jurisdiction and of utility company providing electrical power and other services.

## 2 PRODUCTS

### 2.02.1.1 TOUCHUP PAINT

A. For Equipment: Equipment manufacturer's paint selected to match installed equipment finish.

B. Galvanized Surfaces: Zinc-rich paint recommended by item manufacturer.

## 3 EXECUTION

### 3.02.1.1 ELECTRICAL EQUIPMENT INSTALLATION

A. Headroom Maintenance: If mounting heights or other location criteria are not indicated, arrange and install components and equipment to provide the maximum possible headroom.

B. Materials and Components: Install level, plumb, and parallel and perpendicular to other building systems and components, unless otherwise indicated.

C. Equipment: Install to facilitate service, maintenance, and repair or replacement of components. Connect for ease of disconnecting, with minimum interference with other installations.

- D. Right of Way: Give to raceways and piping systems installed at a required slope.

### **3.02.1.2 CUTTING AND PATCHING**

- A. Cut, channel, chase, and drill floors, walls, ceilings, and other surfaces required to permit electrical installations. Perform cutting by skilled mechanics of trades involved.
- B. Repair and refinish disturbed finish materials and other surfaces to match adjacent undisturbed surfaces. Repair and refinish materials and other surfaces by skilled mechanics of trades involved.

### **3.02.1.3 FIELD QUALITY CONTROL**

- A. Inspect installed components for damage and faulty work, including the following:
  - 3.02.1.3.A.1 Cutting and patching for electrical construction.
  - 3.02.1.3.A.2 Touchup painting.

### **3.02.1.4 REFINISHING AND TOUCHUP PAINTING**

- A. Refinish and touch up paint. Paint materials and application requirements are specified in Division 9 Section "Painting."

### **3.02.1.5 CLEANING AND PROTECTION**

- A. On completion of installation inspect exposed finish. Remove burrs, dirt, paint spots, and construction debris.
- B. Protect equipment and installations and maintain conditions to ensure that coatings, finishes, and cabinets are without damage or deterioration at time of Substantial Completion.

**END OF SECTION**

## **SECTION 260519**

## **CONDUCTORS AND CABLES**

### **4 GENERAL**

#### **4.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **4.02.1.2 SUMMARY**

- A. This Section includes the following:
  - 4.02.1.2.A.1 Building wires and cables rated 600 V and less.
  - 4.02.1.2.A.2 Connectors, splices, and terminations rated 600 V and less.

#### **4.02.1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Field quality-control test reports.

#### **4.02.1.4 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. Comply with NFPA 70.

## 5 PRODUCTS

### 5.02.1.1 CONDUCTORS AND CABLES

- A. Copper Conductors: Comply with NEMA WC 70.
- B. Conductor Insulation: Comply with NEMA WC 70 for Types THHN-THWN and XHHW.

### 5.02.1.2 CONNECTORS AND SPLICES

- A. Description: Factory-fabricated connectors and splices of size, ampacity rating, material, type, and class for application and service indicated.

## 6 EXECUTION

### 6.02.1.1 CONDUCTOR INSULATION APPLICATIONS AND WIRING METHODS

- A. Outdoor and Underground: Type XHHW, single conductors in raceway.
- B. Indoor: Type THHN-THWN, single conductors in raceway.
- C. Class 1 Control Circuits: Type THHN-THWN, in raceway.
- D. Class 2 Control Circuits: Type THHN-THWN, in raceway.

### 6.02.1.2 INSTALLATION OF CONDUCTORS AND CABLES

- A. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- B. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- C. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- D. Identify and color-code conductors and cables according to Section 16075 "Electrical Identification."



**6.02.1.3 CONNECTIONS**

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.
- B. Make splices and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.

**6.02.1.4 FIELD QUALITY CONTROL**

- A. Perform tests and inspections and prepare test reports.
- B. Tests and Inspections:
  - 6.02.1.4.B.1 After installing conductors and cables and before electrical circuitry has been energized, test conductors for compliance with requirements.
  - 6.02.1.4.B.2 Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
- C. Test Reports: Prepare a written report to record the following:
  - 6.02.1.4.C.1 Test procedures used.
  - 6.02.1.4.C.2 Test results that comply with requirements.
  - 6.02.1.4.C.3 Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.
- D. Remove and replace malfunctioning units and retest as specified above.

**END OF SECTION**

CONTROL-VOLTAGE ELECTRICAL

**SECTION 260523**

**POWER CABLES**

**7 GENERAL**

**7.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**7.02.1.2 SUMMARY**

- A. Section Includes:

- 7.02.1.2.A.1 UTP cabling.
- 7.02.1.2.A.2 RS-232 cabling.
- 7.02.1.2.A.3 RS-485 cabling.
- 7.02.1.2.A.4 Low-voltage control cabling.
- 7.02.1.2.A.5 Control-circuit conductors.
- 7.02.1.2.A.6 Identification products.

**7.02.1.3 DEFINITIONS**

- A. EMI: Electromagnetic interference.
- B. IDC: Insulation displacement connector.
- C. Low Voltage: As defined in NFPA 70 for circuits and equipment operating at less than 50 V or for remote-control and signaling power-limited circuits.
- D. UTP: Unshielded twisted pair.

**7.02.1.4 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Source quality-control reports.
- C. Field quality-control reports.
- D. Maintenance Data: For wire and cable to include in maintenance manuals.

**7.02.1.5 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

**7.02.1.6 PROJECT CONDITIONS**

- A. Environmental Limitations: Do not deliver or install UTP cables and connecting materials until wet work in spaces is complete and dry, and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.

**8 PRODUCTS****8.02.1.1 PATHWAYS**

- A. Conduit and Boxes: Comply with requirements in Section 260533 "Raceways and Boxes."

**8.02.1.2 UTP CABLE**

- A. Description: 100-ohm, four-pair UTP.

8.02.1.2.A.1 Comply with ICEA S-90-661 for mechanical properties.

8.02.1.2.A.2 Comply with TIA/EIA-568-B.1 for performance specifications.

8.02.1.2.A.3 Comply with TIA/EIA-568-B.2, Category 5e.

8.02.1.2.A.4 Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with UL 444 and NFPA 70 for the following types:

8.02.1.2.A.4.1 Communications, General Purpose: Type CM or Type CMG.

8.02.1.2.A.4.2 Communications, Riser Rated: Type CMR; complying with UL 1666.

8.02.1.2.A.4.3 Communications, Limited Purpose: Type CMX.

8.02.1.2.A.4.4 Multipurpose: Type MP or Type MPG.

8.02.1.2.A.4.5 Multipurpose, Riser Rated: Type MPR, complying with UL 1666.

### **8.02.1.3 UTP CABLE HARDWARE**

- A. UTP Cable Connecting Hardware: IDC type, using modules designed for punch-down caps or tools. Cables shall be terminated with connecting hardware of the same category or higher.
- B. Connecting Blocks: 110 style for Category 5e. Provide blocks for the number of cables terminated on the block, plus 25 percent spare; integral with connector bodies, including plugs and jacks where indicated.

### **8.02.1.4 TEISTED SHEILDED PAIR (TSP) CABLE**

- A. No. 16 AWG, multi-twisted shielded pairs with a common overall shield instrumentation cable: Designed for use as instrumentation, process control and computer cable. Meeting all NEMA and UL requirements

- 8.02.1.4.A.1 Tinned copper drain wires
- 8.02.1.4.A.2 Pair drain wire size 20 AWG, group drain wire size 18 AWG
- 8.02.1.4.A.3 Insulation: 15 mil PVC
- 8.02.1.4.A.4 Jacket: 4 mil nylon
- 8.02.1.4.A.5 Color Code: pair conductors black and red with red conductor numerically printed for group identification
- 8.02.1.4.A.6 Individual pair shield: 1.35 mil, double faced aluminum/synthetic polymer
- 8.02.1.4.A.7 Cable shield: 2.35 mil double faced aluminum/synthetic polymer, overlapped for 100% coverage.

### **8.02.1.5 RS-232 CABLE**

- A. Standard Cable: NFPA 70, Type CM.

8.02.1.5.A.1 Paired, two pairs, No. 22 AWG, stranded (7x30) tinned-copper conductors.

8.02.1.5.A.2 Polypropylene insulation.

8.02.1.5.A.3 Individual aluminum foil-polyester tape shielded pairs with 100 percent shield coverage.

8.02.1.5.A.4 PVC jacket.

8.02.1.5.A.5 Pairs are cabled on common axis with No. 24 AWG, stranded (7x32) tinned-copper drain wire.

8.02.1.5.A.6 Flame Resistance: Comply with UL 1581.

**8.02.1.6 RS-485 CABLE**

A. Standard Cable: NFPA 70, Type CM.

8.02.1.6.A.1 Paired, two pairs, twisted, No. 22 AWG, stranded (7x30) tinned-copper conductors.

8.02.1.6.A.2 PVC insulation.

8.02.1.6.A.3 Unshielded.

8.02.1.6.A.4 PVC jacket.

8.02.1.6.A.5 Flame Resistance: Comply with UL 1581.

**8.02.1.7 LOW-VOLTAGE CONTROL CABLE**

A. Paired Cable: NFPA 70, Type CMG.

8.02.1.7.A.1 One pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.

8.02.1.7.A.2 PVC insulation.

8.02.1.7.A.3 Unshielded.

8.02.1.7.A.4 PVC jacket.

8.02.1.7.A.5 Flame Resistance: Comply with UL 1581.

**8.02.1.8 CONTROL-CIRCUIT CONDUCTORS**

A. Class 1 Control Circuits: Stranded copper, Type THHN-THWN, in raceway, complying with UL 83.

- B. Class 2 Control Circuits: Stranded copper, Type THHN-THWN, in raceway, complying with UL 83.
- C. Class 3 Remote-Control and Signal Circuits: Stranded copper, Type TW or Type TF, complying with UL 83.

#### **8.02.1.9 IDENTIFICATION PRODUCTS**

- A. Comply with UL 969 for a system of labeling materials, including label stocks, laminating adhesives, and inks used by label printers.
- B. Comply with requirements in Section 16075 "Electrical Identification."

#### **8.02.1.10 SOURCE QUALITY CONTROL**

- A. Factory test UTP cables on reels according to TIA/EIA-568-B.1.
- B. Factory test UTP cables according to TIA/EIA-568-B.2.
- C. Cable will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

### **9 EXECUTION**

#### **9.02.1.1 INSTALLATION OF PATHWAYS**

- A. Comply with TIA/EIA-569-A for pull-box sizing and length of conduit and number of bends between pull points.
- B. Comply with requirements in Section 16130 "Raceways and Boxes" for installation of conduits and wireways.
- C. Install manufactured conduit sweeps and long-radius elbows if possible.

#### **9.02.1.2 INSTALLATION OF CONDUCTORS AND CABLES**

- A. Comply with NECA 1.
- B. General Requirements for Cabling:

9.02.1.2.B.1 Comply with TIA/EIA-568-B.1.

9.02.1.2.B.2 Comply with BICSI ITSIM, Ch. 6, "Cable Termination Practices."

- 9.02.1.2.B.3 Terminate all conductors; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
- 9.02.1.2.B.4 Cables may not be spliced. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
- 9.02.1.2.B.5 Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIM, "Cabling Termination Practices" Chapter. Install lacing bars and distribution spools.
- 9.02.1.2.B.6 Do not install bruised, kinked, scored, deformed, or abraded cable. Do not splice cable between termination, tap, or junction points. Remove and discard cable if damaged during installation and replace it with new cable.
- 9.02.1.2.B.7 Cold-Weather Installation: Bring cable to room temperature before dereeling. Heat lamps shall not be used for heating.
- 9.02.1.2.B.8 Pulling Cable: Comply with BICSI ITSIM, Ch. 4, "Pulling Cable." Monitor cable pull tensions.

C. UTP Cable Installation:

- 9.02.1.2.C.1 Comply with TIA/EIA-568-B.2.
- 9.02.1.2.C.2 Install 110-style IDC termination hardware unless otherwise indicated.
- 9.02.1.2.C.3 Do not untwist UTP cables more than 1/2 inch from the point of termination to maintain cable geometry.

D. Separation from EMI Sources:

- 9.02.1.2.D.1 Comply with BICSI TDMM and TIA/EIA-569-A recommendations for separating unshielded copper voice and data communication cable from potential EMI sources, including electrical power lines and equipment.
- 9.02.1.2.D.2 Separation between communications cables in grounded metallic raceways and unshielded power lines or electrical equipment shall be as follows:
  - 9.02.1.2.D.2.1 Electrical Equipment Rating Less Than 2 kVA: A minimum of 2-1/2 inches.
  - 9.02.1.2.D.2.2 Electrical Equipment Rating between 2 and 5 kVA: A minimum of 6 inches.
  - 9.02.1.2.D.2.3 Electrical Equipment Rating More Than 5 kVA: A minimum of 12 inches.

9.02.1.2.D.3 Separation between communications cables in grounded metallic raceways and power lines and electrical equipment located in grounded metallic conduits or enclosures shall be as follows:

9.02.1.2.D.3.1 Electrical Equipment Rating Less Than 2 kVA: No requirement.

9.02.1.2.D.3.2 Electrical Equipment Rating between 2 and 5 kVA: A minimum of 3 inches.

9.02.1.2.D.3.3 Electrical Equipment Rating More Than 5 kVA: A minimum of 6 inches.

9.02.1.2.D.4 Separation between Cables and Electrical Motors and Transformers, 5 kVA or HP and Larger: A minimum of 48 inches.

9.02.1.2.D.5 Separation between Cables and Fluorescent Fixtures: A minimum of 5 inches.

### **9.02.1.3 REMOVAL OF CONDUCTORS AND CABLES**

A. Remove abandoned conductors and cables.

### **9.02.1.4 CONTROL-CIRCUIT CONDUCTORS**

A. Minimum Conductor Sizes:

9.02.1.4.A.1 Class 1 remote-control and signal circuits, No 14 AWG.

9.02.1.4.A.2 Class 2 low-energy, remote-control, and signal circuits, No. 16 AWG.

9.02.1.4.A.3 Class 3 low-energy, remote-control, alarm, and signal circuits, No 12 AWG.

### **9.02.1.5 GROUNDING**

A. For data communication wiring, comply with ANSI-J-STD-607-A and with BICSI TDMM, "Grounding, Bonding, and Electrical Protection" Chapter.

B. For low-voltage wiring and cabling, comply with requirements in Section 16060 "Grounding and Bonding."

### **9.02.1.6 IDENTIFICATION**

A. Identify system components, wiring, and cabling according to TIA/EIA-606-A. Comply with requirements for identification specified in Section 16075 "Electrical Identification."



**9.02.1.7 FIELD QUALITY CONTROL**

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Perform tests and inspections.
- C. Tests and Inspections:
  - 9.02.1.7.C.1 Visually inspect UTP cable jacket materials for UL or third-party certification markings. Inspect cabling terminations to confirm color-coding for pin assignments, and inspect cabling connections to confirm compliance with TIA/EIA-568-B.1.
  - 9.02.1.7.C.2 Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
  - 9.02.1.7.C.3 Test UTP cabling for DC loop resistance, shorts, opens, intermittent faults, and polarity between conductors. Test operation of shorting bars in connection blocks. Test cables after termination but not after cross connection.
    - 9.02.1.7.C.3.1 Test instruments shall meet or exceed applicable requirements in TIA/EIA-568-B.2. Perform tests with a tester that complies with performance requirements in "Test Instruments (Normative)" Annex, complying with measurement accuracy specified in "Measurement Accuracy (Informative)" Annex. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.
- D. Document data for each measurement. Print data for submittals in a summary report that is formatted using Table 10.1 in BICSI TDMM as a guide, or transfer the data from the instrument to the computer, save as text files, print, and submit.
- E. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- F. Prepare test and inspection reports.

**END OF SECTION**

## **SECTION 260526**

## **GROUNDING AND BONDING**

### **10 GENERAL**

#### **10.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **10.02.1.2 SUMMARY**

- A. Section Includes: Grounding systems and equipment.

#### **10.02.1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Informational Submittals: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article, including the following:

10.02.1.3.B.1 Ground rods.

- C. Field quality-control reports.

#### **10.02.1.4 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

- B. Comply with UL 467 for grounding and bonding materials and equipment.

## 11 PRODUCTS

### 11.02.1.1 CONDUCTORS

- A. Insulated Conductors: Copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.

- B. Bare Copper Conductors:

11.02.1.1.B.1 Solid Conductors: ASTM B 3.

11.02.1.1.B.2 Stranded Conductors: ASTM B 8.

11.02.1.1.B.3 Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.

11.02.1.1.B.4 Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.

### 11.02.1.2 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.

- B. Bolted Connectors for Conductors and Pipes: Copper or copper alloy, pressure type with at least two bolts.

11.02.1.2.B.1 Pipe Connectors: Clamp type, sized for pipe.

- C. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

### 11.02.1.3 GROUNDING ELECTRODES

- A. Ground Rods: steel; 3/4 inch by 10 feet in diameter.

## 12 EXECUTION

### 12.02.1.1 APPLICATIONS

- A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.

- B. Underground Grounding Conductors: Install barecopper conductor, No. 2/0 AWG minimum.

12.02.1.1.B.1 Bury at least 24 inches below grade.

- C. Conductor Terminations and Connections:

12.02.1.1.C.1 Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.

12.02.1.1.C.2 Underground Connections: Welded connectors except at test wells and as otherwise indicated.

### **12.02.1.2 EQUIPMENT GROUNDING**

- A. Install insulated equipment grounding conductors with all feeders and branch circuits.

### **12.02.1.3 INSTALLATION**

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.

- B. Ground Rods: Drive rods until tops are 2 inches below finished floor or final grade unless otherwise indicated.

12.02.1.3.B.1 Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.

12.02.1.3.B.2 For grounding electrode system, install at least three rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.

- C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.

12.02.1.3.C.1 Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.

12.02.1.3.C.2 Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.

12.02.1.3.C.3 Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.

D. Grounding and Bonding for Piping:

- 12.02.1.3.D.1 Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.

**12.02.1.4 FIELD QUALITY CONTROL**

A. Perform the following tests and inspections and prepare test reports.

- 12.02.1.4.A.1 After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.

- 12.02.1.4.A.2 Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.

- 12.02.1.4.A.3 Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, and at individual ground rods. Make tests at ground rods before any conductors are connected.

- 12.02.1.4.A.3.1 Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.

- 12.02.1.4.A.3.2 Perform tests by fall-of-potential method according to IEEE 81.

- 12.02.1.4.A.4 Prepare dimensioned Drawings locating each test well, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.

B. Report measured ground resistances that exceed the following values:

- 12.02.1.4.B.1 Power and Lighting Equipment or System with Capacity More Than 1000 kVA: 3 ohms.

- C. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

**END OF SECTION**

**SECTION 260529** **HANGERS AND SUPPORTS FOR**  
**ELECTRICAL SYSTEMS**

**13 GENERAL**

**13.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**13.02.1.2 SUMMARY**

- A. This Section includes the following:

13.02.1.2.A.1 Hangers and supports for electrical equipment and systems.

13.02.1.2.A.2 Construction requirements for concrete bases.

**13.02.1.3 DEFINITIONS**

- A. IMC: Intermediate metal conduit.
- B. RMC: Rigid metal conduit.

**13.02.1.4 PERFORMANCE REQUIREMENTS**

- A. Design supports for multiple raceways capable of supporting combined weight of supported systems and its contents.
- B. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

**13.02.1.5 SUBMITTALS**

- A. Product Data: For the following:

13.02.1.5.A.1 Steel slotted support systems.

13.02.1.5.A.2 Nonmetallic slotted support systems.

13.02.1.5.A.3 Equipment supports.

**13.02.1.6 QUALITY ASSURANCE**

- A. Comply with NFPA 70.

**13.02.1.7 COORDINATION**

- A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 3.

**14 PRODUCTS****14.02.1.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS**

- A. Steel Slotted Support Systems: Comply with MFMA-4, factory-fabricated components for field assembly.

14.02.1.1.A.1 Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.

14.02.1.1.A.2 Nonmetallic Coatings: Manufacturer's standard PVC, polyurethane, or polyester coating applied according to MFMA-4.

14.02.1.1.A.3 Painted Coatings: Manufacturer's standard painted coating applied according to MFMA-4.

14.02.1.1.A.4 Channel Dimensions: Selected for applicable load criteria.

- B. Raceway and Cable Supports: As described in NECA 1 and NECA 101.
- C. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- D. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:

14.02.1.1.E.1 Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete with tension, shear, and pullout capacities appropriate for supported loads and building materials in which used.

14.02.1.1.E.2 Concrete Inserts: Steel or malleable-iron, slotted support system units similar to MSS Type 18; complying with MFMA-4 or MSS SP-58.

14.02.1.1.E.3 Clamps for Attachment to Steel Structural Elements: MSS SP-58, type suitable for attached structural element.

14.02.1.1.E.4 Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.

14.02.1.1.E.5 Toggle Bolts: All-steel springhead type.

14.02.1.1.E.6 Hanger Rods: Threaded steel.

## 15 EXECUTION

### 15.02.1.1 APPLICATION

- A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems except if requirements in this Section are stricter.
- B. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.

15.02.1.1.B.1 Secure raceways and cables to these supports with two-bolt conduit clamps.



**15.02.1.2 SUPPORT INSTALLATION**

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this Article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, IMC and RMC may be supported by openings through structure members, as permitted in NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:

15.02.1.2.D.1      To Wood: Fasten with lag screws or through bolts.

15.02.1.2.D.2      To New Concrete: Bolt to concrete inserts.

15.02.1.2.D.3      To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.

15.02.1.2.D.4      To Existing Concrete: Expansion anchor fasteners.

15.02.1.2.D.5      To Steel: Welded threaded studs complying with AWS D1.1/D1.1M, with lock washers and nuts Beam clamps (MSS Type 19, 21, 23, 25, or 27) complying with MSS SP-69.

15.02.1.2.D.6      To Light Steel: Sheet metal screws.

15.02.1.2.D.7      Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate.

- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid reinforcing bars.

**15.02.1.3 CONCRETE BASES**

- A. Construct concrete bases of dimensions indicated but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.

B. Use 3000-psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Division 3 Section "Cast-in-Place Concrete."

C. Anchor equipment to concrete base.

15.02.1.3.C.1 Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.

15.02.1.3.C.2 Install anchor bolts to elevations required for proper attachment to supported equipment.

15.02.1.3.C.3 Install anchor bolts according to anchor-bolt manufacturer's written instructions.

#### **15.02.1.4 PAINING**

A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.

15.02.1.4.A.1 Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.

B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

### **END OF SECTION**

**SECTION 260533**

**RACEWAYS AND BOXES**

**16 GENERAL**

**16.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**16.02.1.2 SUMMARY**

- A. This Section includes raceways, fittings, boxes, enclosures, and cabinets for electrical wiring.

**16.02.1.3 DEFINITIONS**

- A. LFMC: Liquidtight flexible metal conduit.
- B. RNC: Rigid nonmetallic conduit.

**16.02.1.4 SUBMITTALS**

- A. Product Data: For surface raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.
- B. Shop Drawings: For the following raceway components. Include plans, elevations, sections, details, and attachments to other work.

16.02.1.4.B.1 For handholes and boxes for underground wiring, including the following:

- 16.02.1.4.B.1.1 Duct entry provisions, including locations and duct sizes.
- 16.02.1.4.B.1.2 Frame and cover design.
- 16.02.1.4.B.1.3 Grounding details.
- 16.02.1.4.B.1.4 Dimensioned locations of cable rack inserts, and pulling-in and lifting irons.
- 16.02.1.4.B.1.5 Joint details.

- C. Qualification Data: For professional engineer and testing agency.
- D. Source quality-control test reports.

**16.02.1.5 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. Comply with NFPA 70.

**17 PRODUCTS****17.02.1.1 METAL CONDUIT AND TUBING**

- A. Rigid Steel Conduit: ANSI C80.1.
- B. LFMC: Flexible steel conduit with PVC jacket.
- C. Fittings for Conduit (Including all Types and Liquidtight): NEMA FB 1; listed for type and size raceway with which used, and for application and environment in which installed.
- D. Joint Compound for Rigid Steel Conduit: Listed for use in cable connector assemblies, and compounded for use to lubricate and protect threaded raceway joints from corrosion and enhance their conductivity.

**17.02.1.2 NONMETALLIC CONDUIT AND TUBING**

- A. RNC: NEMA TC 2, Type EPC-40-PVC, unless otherwise indicated.
- B. Fittings for RNC: NEMA TC 3; match to conduit or tubing type and material.

**17.02.1.3 METAL WIREWAYS**

- A. Description: Sheet metal sized and shaped as indicated, NEMA 250, Type 3R, unless otherwise indicated.
- B. Fittings and Accessories: Include couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- C. Wireway Covers: Screw-cover type.
- D. Finish: Manufacturer's standard enamel finish.

**17.02.1.4 BOXES, ENCLOSURES, AND CABINETS**

- A. Sheet Metal Outlet and Device Boxes: NEMA OS 1.
- B. Cast-Metal Outlet and Device Boxes: NEMA FB 1, ferrous alloy, Type FD, with gasketed cover.
- C. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.
- D. Cast-Metal Access, Pull, and Junction Boxes: NEMA FB 1, galvanized, cast iron with gasketed cover.
- E. Hinged-Cover Enclosures: NEMA 250, Type 3R, with continuous-hinge cover with flush latch, unless otherwise indicated.

17.02.1.4.E.1 Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.

- F. Cabinets:

17.02.1.4.F.1 NEMA 250, Type 3R, galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.

17.02.1.4.F.2 Hinged door in front cover with flush latch and concealed hinge.

17.02.1.4.F.3 Key latch to match panelboards.

17.02.1.4.F.4 Metal barriers to separate wiring of different systems and voltage.

**18 EXECUTION****18.02.1.1 RACEWAY APPLICATION**

- A. Outdoors: Apply raceway products as specified below, unless otherwise indicated:

18.02.1.1.A.1 Exposed Conduit: Rigid steel conduit .

18.02.1.1.A.2 Underground Conduit: RNC, Type EPC-40-PVC, direct buried.

18.02.1.1.A.3 Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.

18.02.1.1.A.4 Boxes and Enclosures, Aboveground: NEMA 250, Type 3R.

- B. Minimum Raceway Size: 3/4-inch trade size.

- C. Raceway Fittings: Compatible with raceways and suitable for use and location.

18.02.1.1.C.1 Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings, unless otherwise indicated.

**18.02.1.2 INSTALLATION**

- A. Comply with NECA 1 for installation requirements applicable to products specified in Part 2 except where requirements on Drawings or in this Article are stricter.
- B. Complete raceway installation before starting conductor installation.
- C. Arrange stub-ups so curved portions of bends are not visible above the finished slab.
- D. Install no more than the equivalent of three 90-degree bends in any conduit run except for communications conduits, for which fewer bends are allowed.
- E. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- F. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors, including conductors smaller than No. 4 AWG.
- G. Flexible Conduit Connections: Use maximum of 72 inches of flexible conduit forequipment subject to vibration, noise transmission, or movement; and for transformers and motors.

18.02.1.2.G.1      Use LFMC.

### **18.02.1.3 INSTALLATION OF UNDERGROUND CONDUIT**

#### **A.      Direct-Buried Conduit:**

18.02.1.3.A.1 Excavate trench bottom to provide firm and uniform support for conduit. Prepare trench bottom as specified in Division 2 Section "Earthwork" for pipe less than 6 inches in nominal diameter.

18.02.1.3.A.2 Install backfill as specified in Division 2 Section "Earthwork."

18.02.1.3.A.3 After installing conduit, backfill and compact. Start at tie-in point, and work toward end of conduit run, leaving conduit at end of run free to move with expansion and contraction as temperature changes during this process. Firmly hand tamp backfill around conduit to provide maximum supporting strength. After placing controlled backfill to within 12 inches of finished grade, make final conduit connection at end of run and complete backfilling with normal compaction as specified in Division 2 Section "Earthwork."

18.02.1.3.A.4 Install manufactured rigid steel conduit elbows for stub-ups at poles and equipment and at building entrances through the floor.

18.02.1.3.A.4.1      Couple steel conduits to ducts with adapters designed for this purpose, and encase coupling with 3 inches of concrete.

18.02.1.3.A.4.2      For stub-ups at equipment mounted on outdoor concrete bases, extend steel conduit horizontally a minimum of 60 inches from edge of equipment pad or foundation. Install insulated grounding bushings on terminations at equipment.

### **18.02.1.4 PROTECTION**

A.      Provide final protection and maintain conditions that ensure coatings, finishes, and cabinets are without damage or deterioration at time of Substantial Completion.

18.02.1.4.A.1 Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

18.02.1.4.A.2 Repair damage to PVC or paint finishes with matching touchup coating recommended by manufacturer.

### **END OF SECTION**

**SECTION 260553**

**ELECTRICAL IDENTIFICATION**

**19 GENERAL**

**19.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**19.02.1.2 SUMMARY**

- A. Section Includes:

- 19.02.1.2.A.1 Identification for raceways.
- 19.02.1.2.A.2 Identification of power and control cables.
- 19.02.1.2.A.3 Identification for conductors.
- 19.02.1.2.A.4 Underground-line warning tape.
- 19.02.1.2.A.5 Warning labels and signs.
- 19.02.1.2.A.6 Instruction signs.
- 19.02.1.2.A.7 Equipment identification labels.
- 19.02.1.2.A.8 Miscellaneous identification products.



**19.02.1.3 SUBMITTALS**

- A. Product Data: For each electrical identification product indicated.

**19.02.1.4 QUALITY ASSURANCE**

- A. Comply with ANSI A13.1 and IEEE C2.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.
- D. Comply with ANSI Z535.4 for safety signs and labels.

**19.02.1.5 COORDINATION**

- A. Coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual; and with those required by codes, standards, and 29 CFR 1910.145. Use consistent designations throughout Project.
- B. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- C. Coordinate installation of identifying devices with location of access panels and doors.

**20 PRODUCTS****20.02.1.1 POWER RACEWAY IDENTIFICATION MATERIALS**

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway size.
- B. Colors for Raceways Carrying Circuits at 600 V or Less:

20.02.1.1.B.1 Black letters on an orange field.

20.02.1.1.B.2 Legend: Indicate voltage.

- C. Snap-Around Labels for Raceways Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.

- D. Snap-Around, Color-Coding Bands for Raceways Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, solid-colored acrylic sleeve, 2 inches long, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.
  - E. Metal Tags: Brass or aluminum, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.
  - F. Write-On Tags: Polyester tag, 0.015 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
- 20.02.1.1.F.1 Marker for Tags: Permanent, waterproof, black ink marker recommended by tag manufacturer.

#### **20.02.1.2 POWER AND CONTROL CABLE IDENTIFICATION MATERIALS**

- A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway and cable size.
  - B. Metal Tags: Brass or aluminum, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.
  - C. Write-On Tags: Polyester tag, 0.015 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
- 20.02.1.2.C.1 Marker for Tags: Permanent, waterproof, black ink marker recommended by tag manufacturer.
- D. Snap-Around Labels: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.
  - E. Snap-Around, Color-Coding Bands: Slit, pretensioned, flexible, solid-colored acrylic sleeve, 2 inches long, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.

#### **20.02.1.3 CONDUCTOR IDENTIFICATION MATERIALS**

- A. Color-Coding Conductor Tape: Colored, self-adhesive vinyl tape not less than 3 mils thick by 1 to 2 inches wide.
  - B. : Polyester tag, 0.015 inch thick, with corrosion-resistant grommet and cable tie for attachment to conductor or cable.
- 20.02.1.3.B.1 Marker for Tags: Permanent, waterproof, black ink marker recommended by tag manufacturer.

**20.02.1.4 FLOOR MARKING TAPE**

- A. 2-inch-wide, 5-mil pressure-sensitive vinyl tape, with black and white stripes and clear vinyl overlay.

**20.02.1.5 UNDERGROUND-LINE WARNING TAPE**

- A. Tape:

20.02.1.5.A.1 Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical **[and communications]** utility lines.

20.02.1.5.A.2 Printing on tape shall be permanent and shall not be damaged by burial operations.

20.02.1.5.A.3 Tape material and ink shall be chemically inert, and not subject to degrading when exposed to acids, alkalis, and other destructive substances commonly found in soils.

- B. Color and Printing:

20.02.1.5.B.1 Comply with ANSI Z535.1 through ANSI Z535.5.

20.02.1.5.B.2 Inscriptions for Red-Colored Tapes: ELECTRIC LINE, HIGH VOLTAGE,.

20.02.1.5.B.3 Inscriptions for Orange-Colored Tapes: CONTROL CABLE, COMMUNICATIONS CABLE.

- C. Power Circuits:

20.02.1.5.C.1 Multilayer laminate consisting of high-density polyethylene scrim coated with pigmented polyolefin, bright-colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.

20.02.1.5.C.2 Thickness: 12 mils.

20.02.1.5.C.3 Weight: 36.1 lb/1000 sq. ft.

20.02.1.5.C.4 3-Inch Tensile According to ASTM D 882: 400 lbf, and 11,500 psi.

- D. Communications and Control Cable:

20.02.1.5.D.1 Reinforced, detectable three-layer laminate, consisting of a printed pigmented woven scrim, a solid aluminum-foil core, and a clear protective film that allows inspection of the continuity of the conductive core, bright-

colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.

20.02.1.5.D.2      Overall Thickness: 8 mils.

20.02.1.5.D.3      Foil Core Thickness: 0.35 mil.

20.02.1.5.D.4      Weight: 34 lb/1000 sq. ft.

20.02.1.5.D.5      3-Inch Tensile According to ASTM D 882: 300 lbf, and 12,500 psi.

#### **20.02.1.6 WARNING LABELS AND SIGNS**

A.      Comply with NFPA 70 and 29 CFR 1910.145.

B.      Baked-Enamel Warning Signs:

20.02.1.6.B.1 Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.

20.02.1.6.B.2 1/4-inch grommets in corners for mounting.

20.02.1.6.B.3 Nominal size, 7 by 10 inches.

C.      Metal-Backed, Butyrate Warning Signs:

20.02.1.6.C.1      Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch galvanized-steel backing; and with colors, legend, and size required for application.

20.02.1.6.C.2      1/4-inch grommets in corners for mounting.

20.02.1.6.C.3      Nominal size, 10 by 14 inches.

D.      Warning label and sign shall include, but are not limited to, the following legends:

20.02.1.6.D.1      Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."

#### **20.02.1.7 INSTRUCTION SIGNS**

A.      Engraved, laminated acrylic or melamine plastic, minimum 1/16 inch thick for signs up to 20 sq. inches and 1/8 inch thick for larger sizes.

20.02.1.7.A.1 Engraved legend with black letters on white face.

20.02.1.7.A.2Punched or drilled for mechanical fasteners.

20.02.1.7.A.3Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

#### **20.02.1.8 EQUIPMENT IDENTIFICATION LABELS**

- A. Engraved, Laminated Acrylic or Melamine Label: Punched or drilled for screw mounting. White letters on a dark-gray background. Minimum letter height shall be 3/8 inch.

#### **20.02.1.9 CABLE TIES**

- A. General-Purpose Cable Ties: Fungus inert, self extinguishing, one piece, self locking, Type 6/6 nylon.

20.02.1.9.A.1Minimum Width: 3/16 inch.

20.02.1.9.A.2Tensile Strength at 73 deg F, According to ASTM D 638: 12,000 psi.

20.02.1.9.A.3Temperature Range: Minus 40 to plus 185 deg F.

20.02.1.9.A.4Color: Black except where used for color-coding.

- B. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self extinguishing, one piece, self locking, Type 6/6 nylon.

20.02.1.9.B.1Minimum Width: 3/16 inch.

20.02.1.9.B.2Tensile Strength at 73 deg F, According to ASTM D 638: 12,000 psi.

20.02.1.9.B.3Temperature Range: Minus 40 to plus 185 deg F.

20.02.1.9.B.4Color: Black.

#### **20.02.1.10 MISCELLANEOUS IDENTIFICATION PRODUCTS**

- A. Paint: Comply with requirements in Division 9 painting Sections for paint materials and application requirements. Select paint system applicable for surface material and location (exterior or interior).

- B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

## 21 EXECUTION

### 21.02.1.1 INSTALLATION

- A. Verify identity of each item before installing identification products.
- B. Location: Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment.
- C. Apply identification devices to surfaces that require finish after completing finish work.
- D. Self-Adhesive Identification Products: Clean surfaces before application, using materials and methods recommended by manufacturer of identification device.
- E. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
- F. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- G. Cable Ties: For attaching tags. Use general-purpose type, except as listed below:
  - 21.02.1.1.G.1 Outdoors: UV-stabilized nylon.
- H. Underground-Line Warning Tape: During backfilling of trenches install continuous underground-line warning tape directly above line at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches overall.
- I. Painted Identification: Comply with requirements in Division 9 painting Sections for surface preparation and paint application.

### 21.02.1.2 IDENTIFICATION SCHEDULE

- A. Accessible Raceways 600 V or Less, for Service, Feeder, and Branch Circuits More Than 30 A, and 120 V to ground: Identify with self-adhesive vinyl label. Install labels at 30-foot maximum intervals.

- B. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.
- 21.02.1.2.B.1 Color-Coding for Phase and Voltage Level Identification, 600 V or Less:  
Use colors listed below for ungrounded conductors.
- 21.02.1.2.B.1.1 Color shall be factory applied or field applied for sizes larger than No. 8 AWG, if authorities having jurisdiction permit.
- 21.02.1.2.B.1.2 Colors for 120/240-V Circuits:
- 21.02.1.2.B.1.2.1 Line L1: Black.
- 21.02.1.2.B.1.2.2 Line L2: Red.
- 21.02.1.2.B.1.3 Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.
- C. Power-Circuit Conductor Identification, More than 600 V: For conductors in vaults, pull and junction boxes, manholes, and handholes, use nonmetallic plastic tag holder with adhesive-backed phase tags, and a separate tag with the circuit designation.
- D. Install instructional sign including the color-code for grounded and ungrounded conductors using adhesive-film-type labels.
- E. Conductors to Be Extended in the Future: Attach write-on tags to conductors and list source.
- F. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
- 21.02.1.2.F.1 Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
- 21.02.1.2.F.2 Use system of marker tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.
- 21.02.1.2.F.3 Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual.
- G. Locations of Underground Lines: Identify with underground-line warning tape for power, lighting, communication, and control wiring and optical fiber cable.

H. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall be as required by NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.

I. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Metal-backed, butyrate warning signs.

21.02.1.2.I.1 Comply with 29 CFR 1910.145.

21.02.1.2.I.2 Identify system voltage with black letters on an orange background.

21.02.1.2.I.3 Apply to exterior of door, cover, or other access.

21.02.1.2.I.4 For equipment with multiple power or control sources, apply to door or cover of equipment including, but not limited to, the following:

21.02.1.2.I.4.1 Controls with external control power connections.

J. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.

K. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch-high letters for emergency instructions at equipment used for power transfer.

L. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and the Operation and Maintenance Manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm systems unless equipment is provided with its own identification.

21.02.1.2.L.1 Labeling Instructions:

21.02.1.2.L.1.1 Indoor Equipment: Engraved, laminated acrylic or melamine label. Unless otherwise indicated, provide a single line of text with 1/2-inch-high letters on 1-1/2-inch-high label; where two lines of text are required, use labels 2 inches high.

21.02.1.2.L.1.2 Outdoor Equipment: Engraved, laminated acrylic or melamine label.

21.02.1.2.L.1.3 Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.

21.02.1.2.L.2 Equipment to Be Labeled:



21.02.1.2.L.2.1 Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be engraved, laminated acrylic or melamine label.

21.02.1.2.L.2.2 Transformers: Label that includes tag designation shown on Drawings for the transformer, feeder, and panelboards or equipment supplied by the secondary.

21.02.1.2.L.2.3 Control Panels.

### **END OF SECTION**

## **SECTION 262416**

## **PANELBOARDS**

### **22 GENERAL**

#### **22.02.1.1 RELATED DOCUMENTS**

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **22.02.1.2 SUMMARY**

A. Section Includes:

22.02.1.2.A.1 Lighting and appliance branch-circuit panelboards.

#### **22.02.1.3 SUBMITTALS**

A. Product Data: For each type of panelboard, switching and overcurrent protective device, transient voltage suppression device, accessory, and component indicated. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.

B. Shop Drawings: For each panelboard and related equipment.

22.02.1.3.B.1 Include dimensioned plans, elevations, sections, and details. Show tabulations of installed devices, equipment features, and ratings.

22.02.1.3.B.2Detail enclosure types and details for types other than NEMA 250, Type 1.

22.02.1.3.B.3Detail bus configuration, current, and voltage ratings.

22.02.1.3.B.4Short-circuit current rating of panelboards and overcurrent protective devices.

22.02.1.3.B.5Include evidence of NRTL listing for series rating of installed devices.

22.02.1.3.B.6Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.

22.02.1.3.B.7Include time-current coordination curves for each type and rating of overcurrent protective device included in panelboards. Submit on translucent log-log graft paper; include selectable ranges for each type of overcurrent protective device.

C. Field Quality-Control Reports:

22.02.1.3.C.1 Test procedures used.

22.02.1.3.C.2 Test results that comply with requirements.

22.02.1.3.C.3 Results of failed tests and corrective action taken to achieve test results that comply with requirements.

D. Panelboard Schedules: For installation in panelboards. Submit final versions after load balancing.

E. Operation and Maintenance Data: For panelboards and components to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 1 Section "Operation and Maintenance Data," include the following:

22.02.1.3.E.1Manufacturer's written instructions for testing and adjusting overcurrent protective devices.

22.02.1.3.E.2Time-current curves, including selectable ranges for each type of overcurrent protective device that allows adjustments.

**22.02.1.4 QUALITY ASSURANCE**

A. Testing Agency Qualifications: Member company of NETA or an NRTL.

22.02.1.4.A.1Testing Agency's Field Supervisor: Currently certified by NETA to supervise on-site testing.

B. Source Limitations: Obtain panelboards, overcurrent protective devices, components, and accessories from single source from single manufacturer.

- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NEMA PB 1.
- E. Comply with NFPA 70.

#### **22.02.1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Remove loose packing and flammable materials from inside panelboards; install temporary electric heating (250 W per panelboard) to prevent condensation.
- B. Handle and prepare panelboards for installation according to NEMA PB 1.

#### **22.02.1.6 PROJECT CONDITIONS**

- A. Environmental Limitations:

22.02.1.6.A.1 Do not deliver or install panelboards until spaces are enclosed and weathertight, wet work in spaces is complete and dry, work above panelboards is complete, and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.

22.02.1.6.A.2 Rate equipment for continuous operation under the following conditions unless otherwise indicated:

22.02.1.6.A.2.1 Ambient Temperature: Not exceeding minus 22 deg F to plus 104 deg F.

22.02.1.6.A.2.2 Altitude: Not exceeding 6600 feet.

- B. Service Conditions: NEMA PB 1, usual service conditions, as follows:

22.02.1.6.B.1 Ambient temperatures within limits specified.

22.02.1.6.B.2 Altitude not exceeding 6600 feet.

#### **22.02.1.7 COORDINATION**

- A. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, encumbrances to workspace clearance requirements, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

**22.02.1.8 WARRANTY**

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace transient voltage suppression devices that fail in materials or workmanship within specified warranty period.

22.02.1.8.A.1 Warranty Period: Five years from date of Substantial Completion.

**22.02.1.9 EXTRA MATERIALS**

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

22.02.1.9.A.1 Keys: Two spares for each type of panelboard cabinet lock.

22.02.1.9.A.2 Circuit Breakers Including GFCI and Ground Fault Equipment Protection (GFEP) Types: Two spares for each panelboard.

**23 PRODUCTS****23.02.1.1 GENERAL REQUIREMENTS FOR PANELBOARDS**

- A. Enclosures: Surface-mounted cabinets. Type as shown on drawings.

23.02.1.1.A.1 Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box.

23.02.1.1.A.2 Finishes:

23.02.1.1.A.2.1 Panels and Trim: Steel and galvanized steel, factory finished immediately after cleaning and pretreating with manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat.

23.02.1.1.A.3 Directory Card: Inside panelboard door, mounted in metal frame with transparent protective cover.

23.02.1.1.A.4 Pad-Lockable Enclosure: Provide all outdoor enclosures with provisions to be locked with a pad-lock.

- B. Incoming Mains Location: Top or bottom as required.

- C. Phase, Neutral, and Ground Buses:

23.02.1.1.C.1 Material: Hard-drawn copper, 98 percent conductivity.

- 23.02.1.1.C.2      Equipment Ground Bus: Adequate for feeder and branch-circuit equipment grounding conductors; bonded to box.
- 23.02.1.1.C.3      Isolated Ground Bus: Adequate for branch-circuit isolated ground conductors; insulated from box.
- 23.02.1.1.C.4      Extra-Capacity Neutral Bus: Neutral bus rated 200 percent of phase bus and UL listed as suitable for nonlinear loads.
- 23.02.1.1.C.5      Split Bus: Vertical buses divided into individual vertical sections.
- D.      Conductor Connectors: Suitable for use with conductor material and sizes.
- 23.02.1.1.D.1      Material: Hard-drawn copper, 98 percent conductivity.
- 23.02.1.1.D.2      Main and Neutral Lugs: Mechanical type.
- 23.02.1.1.D.3      Ground Lugs and Bus-Configured Terminators: Compression type.
- 23.02.1.1.D.4      Feed-Through Lugs: Compression type, suitable for use with conductor material. Locate at opposite end of bus from incoming lugs or main device.
- 23.02.1.1.D.5      Extra-Capacity Neutral Lugs: Rated 200 percent of phase lugs mounted on extra-capacity neutral bus.
- E.      Service Equipment Label: NRTL labeled for use as service equipment for panelboards or load centers with one or more main service disconnecting and overcurrent protective devices.
- F.      Future Devices: Mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.
- G.      Panelboard Short-Circuit Current Rating: Rated for series-connected system with integral or remote upstream overcurrent protective devices and labeled by an NRTL. Include size and type of allowable upstream and branch devices, listed and labeled for series-connected short-circuit rating by an NRTL.
- H.      Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical short-circuit current available at terminals.

### **23.02.1.2 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS**

- A.      Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

23.02.1.2.A.1 Eaton Electrical Inc.; Cutler-Hammer Business Unit.

23.02.1.2.A.2 General Electric Company; GE Consumer & Industrial - Electrical Distribution.

23.02.1.2.A.3 Siemens Energy & Automation, Inc.

23.02.1.2.A.4 Square D; a brand of Schneider Electric.

B. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.

C. Mains: Circuit breaker or lugs only as shown on drawings.

D. Branch Overcurrent Protective Devices: Plug-in circuit breakers, replaceable without disturbing adjacent units.

E. Doors: Concealed hinges; secured with flush latch with tumbler lock; keyed alike.

F. Column-Type Panelboards: Narrow gutter extension, with cover, to overhead junction box equipped with ground and neutral terminal buses.

### **23.02.1.3 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES**

A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

23.02.1.3.A.1 Eaton Electrical Inc.; Cutler-Hammer Business Unit.

23.02.1.3.A.2 General Electric Company; GE Consumer & Industrial - Electrical Distribution.

23.02.1.3.A.3 Siemens Energy & Automation, Inc.

23.02.1.3.A.4 Square D; a brand of Schneider Electric.

B. Molded-Case Circuit Breaker (MCCB): Comply with UL 489, with series-connected rating to meet available fault currents.

23.02.1.3.B.1 Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads, and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.

23.02.1.3.B.2 Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.

23.02.1.3.B.3 GFCI Circuit Breakers: Single- and two-pole configurations with Class A ground-fault protection (6-mA trip).

23.02.1.3.B.4 Ground-Fault Equipment Protection (GFEP) Circuit Breakers: Class B ground-fault protection (30-mA trip).

23.02.1.3.B.5 Arc-Fault Circuit Interrupter (AFCI) Circuit Breakers: Comply with UL 1699; 120/240-V, single-pole configuration.

23.02.1.3.B.6 Molded-Case Circuit-Breaker (MCCB) Features and Accessories:

23.02.1.3.B.6.1 Standard frame sizes, trip ratings, and number of poles.

23.02.1.3.B.6.2 Lugs: Compression style, suitable for number, size, trip ratings, and conductor materials.

23.02.1.3.B.6.3 Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge (HID) lighting circuits.

23.02.1.3.B.6.4 Ground-Fault Protection: Integrally mounted relay and trip unit with adjustable pickup and time-delay settings, push-to-test feature, and ground-fault indicator.

23.02.1.3.B.6.5 Shunt Trip: 120-V trip coil energized from separate circuit, set to trip at 55 percent of rated voltage.

23.02.1.3.B.6.6 Undervoltage Trip: Set to operate at 35 to 75 percent of rated voltage without intentional time delay.

23.02.1.3.B.6.7 Alarm Switch: Single-pole, normally open contact that actuates only when circuit breaker trips.

23.02.1.3.B.6.8 Key Interlock Kit: Externally mounted to prohibit circuit-breaker operation; key shall be removable only when circuit breaker is in off position.

23.02.1.3.B.6.9 Zone-Selective Interlocking: Integral with electronic trip unit; for interlocking ground-fault protection function with other upstream or downstream devices.

23.02.1.3.B.6.10 Multipole units enclosed in a single housing or factory assembled to operate as a single unit.

23.02.1.3.B.6.11 Handle Padlocking Device: Fixed attachment, for locking circuit-breaker handle in off position.

23.02.1.3.B.6.12 Handle Clamp: Loose attachment, for holding circuit-breaker handle in on position.

**23.02.1.4 PANELBOARD SUPPRESSORS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

23.02.1.4.A.1 Current Technology; a subsidiary of Danahar Corporation.

23.02.1.4.A.2 Eaton Electrical Inc.; Cutler-Hammer Business Unit.

23.02.1.4.A.3 General Electric Company; GE Consumer & Industrial - Electrical Distribution.

23.02.1.4.A.4 Liebert Corporation.

23.02.1.4.A.5 Siemens Energy & Automation, Inc.

23.02.1.4.A.6 Square D; a brand of Schneider Electric.

- B. Surge Protection Device: IEEE C62.41-compliant, integrally mounted, solid-state, parallel-connected, non-modular type, with sine-wave tracking suppression and filtering modules, UL 1449, third edition, short-circuit current rating matching or exceeding the panelboard short-circuit rating, and with the following features and accessories:

23.02.1.4.B.1 Accessories:

23.02.1.4.B.1.1 LED indicator lights for power and protection status.

23.02.1.4.B.1.2 Audible alarm, with silencing switch, to indicate when protection has failed.

23.02.1.4.B.1.3 One set of dry contacts rated at 5 A and 250-V ac, for remote monitoring of protection status.

- C. Surge Protection Device: IEEE C62.41-compliant, integrally mounted, plug-in, solid-state, parallel-connected, modular (with field-replaceable modules) type, with sine-wave tracking suppression and filtering modules, UL 1449, third edition, short-circuit current rating matching or exceeding the panelboard short-circuit rating, and with the following features and accessories:

23.02.1.4.C.1 Accessories:

23.02.1.4.C.1.1 Integral disconnect switch.

23.02.1.4.C.1.2 Redundant suppression circuits.

23.02.1.4.C.1.3 Redundant replaceable modules.

23.02.1.4.C.1.4 Arrangement with wire connections to phase buses, neutral bus, and ground bus.

23.02.1.4.C.1.5 LED indicator lights for power and protection status.

23.02.1.4.C.1.6 Audible alarm, with silencing switch, to indicate when protection has failed.

23.02.1.4.C.1.7 Form-C contacts rated at 5 A and 250-V ac, one normally open and one normally closed, for remote monitoring of system operation. Contacts shall reverse position on failure of any surge diversion module or on opening of any current-limiting device. Coordinate with building power monitoring and control system.



- 23.02.1.4.C.1.8 Four-digit, transient-event counter set to totalize transient surges.
- 23.02.1.4.C.2 Peak Single-Impulse Surge Current Rating: 120 kA per mode/240 kA per phase.
- 23.02.1.4.C.3 Minimum single-impulse current ratings, using 8-by-20-mic.sec. waveform described in IEEE C62.41.2.
- 23.02.1.4.C.3.1 Line to Neutral: 70,000 A.
- 23.02.1.4.C.3.2 Line to Ground: 70,000 A.
- 23.02.1.4.C.3.3 Neutral to Ground: 50,000 A.
- 23.02.1.4.C.4 Withstand Capabilities: 12,000 IEEE C62.41, Category C3 (10 kA), 8-by-20-mic.sec. surges with less than 5 percent change in clamping voltage.
- 23.02.1.4.C.5 Protection modes and UL 1449 SVR for 240/120-V, single-phase, three-wire circuits shall be as follows:
- 23.02.1.4.C.5.1 Line to Neutral: 400 V.
- 23.02.1.4.C.5.2 Line to Ground: 400 V.
- 23.02.1.4.C.5.3 Neutral to Ground: 400 V.

## 24 EXECUTION

### 24.02.1.1 EXAMINATION

- A. Receive, inspect, handle, and store panelboards according to NEMA PB 1.1.
- B. Examine panelboards before installation. Reject panelboards that are damaged or rusted or have been subjected to water saturation.
- C. Examine elements and surfaces to receive panelboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### 24.02.1.2 INSTALLATION

- A. Install panelboards and accessories according to NEMA PB 1.1.
- B. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from panelboards.

- C. Mount top of trim 72 inches above finished floor unless otherwise indicated.
- D. Mount panelboard cabinet plumb and rigid without distortion of box. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.
- E. Install overcurrent protective devices and controllers not already factory installed.

24.02.1.2.E.1 Set field-adjustable, circuit-breaker trip ranges.

- F. Install filler plates in unused spaces.
- G. Comply with NECA 1.

#### **24.02.1.3 IDENTIFICATION**

- A. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs complying with Division 26 Section "Electrical Identification."
- B. Create a directory to indicate installed circuit loads after balancing panelboard loads; incorporate Owner's final room designations. Obtain approval before installing. Use a computer or typewriter to create directory; handwritten directories are not acceptable.
- C. Panelboard Nameplates: Label each panelboard with a nameplate complying with requirements for identification specified in Section 16075 "Electrical Identification."

#### **24.02.1.4 FIELD QUALITY CONTROL**

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections.
- B. Perform tests and inspections.

24.02.1.4.B.1 Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.

- C. Acceptance Testing Preparation:

24.02.1.4.C.1 Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.

24.02.1.4.C.2 Test continuity of each circuit.

D. Tests and Inspections:

24.02.1.4.D.1 Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.

24.02.1.4.D.2 Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.

E. Panelboards will be considered defective if they do not pass tests and inspections.

F. Prepare test and inspection reports, including a certified report that identifies panelboards included and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

**24.02.1.5 ADJUSTING**

A. Adjust moving parts and operable component to function smoothly, and lubricate as recommended by manufacturer.

B. Set field-adjustable circuit-breaker trip ranges as indicated

C. Load Balancing: After Substantial Completion, but not more than 60 days after Final Acceptance, measure load balancing and make circuit changes.

24.02.1.5.C.1 Measure as directed during period of normal system loading.

24.02.1.5.C.2 Perform load-balancing circuit changes outside normal occupancy/working schedule of the facility and at time directed. Avoid disrupting critical 24-hour services such as fax machines and on-line data processing, computing, transmitting, and receiving equipment.

24.02.1.5.C.3 After circuit changes, recheck loads during normal load period. Record all load readings before and after changes and submit test records.

24.02.1.5.C.4 Tolerance: Difference exceeding 20 percent between phase loads, within a panelboard, is not acceptable. Rebalance and recheck as necessary to meet this minimum requirement.

**24.02.1.6 PROTECTION**

- A. Temporary Heating: Apply temporary heat to maintain temperature according to manufacturer's written instructions.

**END OF SECTION**

**SECTION 262726**

**WIRING DEVICES**

**25 GENERAL**

**25.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**25.02.1.2 SUMMARY**

- A. This Section includes the following:

25.02.1.2.A.1 Receptacles, receptacles with integral GFCI, and associated device plates.

25.02.1.2.A.2 Cord and plug sets.

### **25.02.1.3 DEFINITIONS**

- A. GFCI: Ground-fault circuit interrupter.
- B. Pigtail: Short lead used to connect a device to a branch-circuit conductor.

### **25.02.1.4 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: List of legends and description of materials and process used for premarking wall plates.
- C. Operation and Maintenance Data: For wiring devices to include in all manufacturers' packing label warnings and instruction manuals that include labeling conditions.

### **25.02.1.5 QUALITY ASSURANCE**

- A. Source Limitations: Obtain each type of wiring device and associated wall plate through one source from a single manufacturer. Insofar as they are available, obtain all wiring devices and associated wall plates from a single manufacturer and one source.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NFPA 70.

### **25.02.1.6 COORDINATION**

- A. Receptacles for Owner-Furnished Equipment: Match plug configurations.

25.02.1.6.A.1 Cord and Plug Sets: Match equipment requirements.

## 26 PRODUCTS

### 26.02.1.1 MANUFACTURERS

- A. Manufacturers' Names: Shortened versions (shown in parentheses) of the following manufacturers' names are used in other Part 2 articles:

26.02.1.1.A.1 Cooper Wiring Devices; a division of Cooper Industries, Inc. (Cooper).

26.02.1.1.A.2 Hubbell Incorporated; Wiring Device-Kellems (Hubbell).

26.02.1.1.A.3 Leviton Mfg. Company Inc. (Leviton).

26.02.1.1.A.4 Pass & Seymour/Legrand; Wiring Devices & Accessories (Pass & Seymour).

### 26.02.1.2 GFCI RECEPTACLES

- A. General Description: Straight blade, feed-through type. Comply with NEMA WD 1, NEMA WD 6, UL 498, and UL 943, Class A, and include indicator light that is lighted when device is tripped.

- B. Duplex GFCI Convenience Receptacles, 125 V, 20 A:

26.02.1.2.B.1 Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the following:

26.02.1.2.B.1.1 Cooper; GF20.

26.02.1.2.B.1.2 Pass & Seymour; 2084.

26.02.1.2.B.1.3 Leviton;

### 26.02.1.3 CORD AND PLUG SETS

- A. Description: Match voltage and current ratings and number of conductors to requirements of equipment being connected.

26.02.1.3.A.1 Cord: Rubber-insulated, stranded-copper conductors, with Type SOW-A jacket; with green-insulated grounding conductor and equipment-rating ampacity plus a minimum of 30 percent.

26.02.1.3.A.2 Plug: Nylon body and integral cable-clamping jaws. Match cord and receptacle type for connection.

**26.02.1.4 WALL PLATES**

- A. Single and combination types to match corresponding wiring devices.
- 26.02.1.4.A.1 Plate-Securing Screws: Metal with head color to match plate finish.
- 26.02.1.4.A.2 Material for Damp Locations: Cast aluminum with spring-loaded lift cover, and listed and labeled for use in "wet locations."
- B. Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with type 3R weather-resistant, die-cast aluminum with lockable cover.

**26.02.1.5 FINISHES**

- A. Color: Wiring device catalog numbers in Section Text do not designate device color.
- 26.02.1.5.A.1 Wiring Devices Connected to Normal Power System: Gray, unless otherwise indicated or required by NFPA 70 or device listing.

**27 EXECUTION****27.02.1.1 INSTALLATION**

- A. Conductors:
- 27.02.1.1.A.1 Do not strip insulation from conductors until just before they are spliced or terminated on devices.
- 27.02.1.1.A.2 Strip insulation evenly around the conductor using tools designed for the purpose. Avoid scoring or nicking of solid wire or cutting strands from stranded wire.
- 27.02.1.1.A.3 The length of free conductors at outlets for devices shall meet provisions of NFPA 70, Article 300, without pigtails.
- B. Device Installation:
- 27.02.1.1.B.1 Replace all devices that have been in temporary use during construction or that show signs that they were installed before building finishing operations were complete.
- 27.02.1.1.B.2 Keep each wiring device in its package or otherwise protected until it is time to connect conductors.

27.02.1.1.B.3 Do not remove surface protection, such as plastic film and smudge covers, until the last possible moment.

27.02.1.1.B.4 Connect devices to branch circuits using pigtails that are not less than 6 inches in length.

27.02.1.1.B.5 When there is a choice, use side wiring with binding-head screw terminals. Wrap solid conductor tightly clockwise, 2/3 to 3/4 of the way around terminal screw.

27.02.1.1.B.6 Use a torque screwdriver when a torque is recommended or required by the manufacturer.

27.02.1.1.B.7 When conductors larger than No. 12 AWG are installed on 15- or 20-A circuits, splice No. 12 AWG pigtails for device connections.

27.02.1.1.B.8 Tighten unused terminal screws on the device.

27.02.1.1.B.9 When mounting into metal boxes, remove the fiber or plastic washers used to hold device mounting screws in yokes, allowing metal-to-metal contact.

C. Receptacle Orientation:

27.02.1.1.C.1 Install ground pin of vertically mounted receptacles up, and on horizontally mounted receptacles to the left.

D. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.

E. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.

**27.02.1.2 IDENTIFICATION**

A. Comply with Division 26 Section "Electrical Identification."

27.02.1.2.A.1 Receptacles: Identify panelboard and circuit number from which served. Use hot, stamped or engraved machine printing with black-filled lettering on face of plate, and durable wire markers or tags inside outlet boxes.

**27.02.1.3 FIELD QUALITY CONTROL**

A. Perform tests and inspections and prepare test reports.



27.02.1.3.A.1 Test Instruments: Use instruments that comply with UL 1436.

27.02.1.3.A.2 Test Instrument for Convenience Receptacles: Digital wiring analyzer with digital readout or illuminated LED indicators of measurement.

B. Tests for Convenience Receptacles:

27.02.1.3.B.1 Line Voltage: Acceptable range is 105 to 132 V.

27.02.1.3.B.2 Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is not acceptable.

27.02.1.3.B.3 Ground Impedance: Values of up to 2 ohms are acceptable.

27.02.1.3.B.4 GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.

27.02.1.3.B.5 Using the test plug, verify that the device and its outlet box are securely mounted.

27.02.1.3.B.6 The tests shall be diagnostic, indicating damaged conductors, high resistance at the circuit breaker, poor connections, inadequate fault current path, defective devices, or similar problems. Correct circuit conditions, remove malfunctioning units and replace with new ones, and retest as specified above.

## **END OF SECTION**

INSTRUMENTATION AND CONTROL FOR  
SECTION 409000  
SYSTEMS

PROCESS

### 28 GENERAL

#### 28.02.1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

## 28.02.1.2 SUMMARY

## A. Related Sections

28.02.1.2.A.1 Division 26 and 40 as contained herein.

28.02.1.2.A.2 I/O List Attached

## 28.02.1.3 REFERENCES

A. The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

## B. American National Standards Institute (ANSI):

28.02.1.3.B.1 ANSI/ISA S5.5 Graphic Symbols for Process Displays.

## C. Electronic Industries Association (EIA)

28.02.1.3.C.1 EIA ANSI/EIA/TIA-232-F(1997) Interface Between Data Terminal Equipment and Data Circuit-Terminating Equipment Employing Serial Binary Data Interchange.

## D. Institute of Electrical and Electronics Engineers (IEEE)

28.02.1.3.D.1 IEEE Std 142 (1991) IEEE Recommended Practice for Grounding of Industrial and Commercial Power Systems

28.02.1.3.D.2 IEEE Std 8802-3 (1996) Local and Metropolitan Area Network: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications

28.02.1.3.D.3 IEEE Std 8802-4 (1990; 802.4b; 802.4h; R 1995) Information Processing Systems - Local Area Networks - Part 4: Token-Passing Bus Access Method and Physical Layer Specifications

28.02.1.3.D.4 National Fire Protection Association (NFPA)

28.02.1.3.D.4.1 NFPA 70 National Electrical Code

## 28.02.1.4 SYSTEM DESCRIPTION

A. The system includes the replacement of existing RTU-7 for the new flow control valve. RTU-7 shall communicate with the existing HMI using the existing radio system. The contractor will be responsible for establishing communication between the new RTU-7 and the existing HMI.

B. The SCADA system shall be the responsibility of one systems integrator to provide and program all Programmable Logic Controllers (PLC's) as well as the programming of the existing Human Machine Interface (HMI) located at the TWSA Water Treatment Plant.

- C. It shall be the responsibility of the System's Integrator to coordinate with all vendors under the contract and to provide all necessary peripheral equipment to make this a complete working system as specified. All programs, program keys (hard and soft) shall become property of the owner after Final Completion. The contractor shall warranty the system for one (1) year from the date of Substantial Completion. The owner shall not pay additional fees to the contractor for any problems with the SCADA system within the first year.
- D. The HMI software platform that is currently being used at the TWSA Water Treatment Plant is RS View Factory Talk.

E. Proposed RTU-7:

28.02.1.4.E.1 RTU-7 shall monitor and control the control valve and communicate with the HMI via licensed frequency radio. RTU-7 shall be provided with a PLC, operator interface and UPS as specified in "Section 409560" PLC and Radio Equipment and shall be provided in accordance with "Section 409513" Process Control Panels and Hardware. The PLC shall be capable of handling all the I/O being monitored at the control valve.

28.02.1.5 I/O POINT LIST DESCRIPTION (Attached)

- A. The I/O point list contains the information to configure the I/O interface hardware and to indicate range conversion or signal functions.
- B. Equipment Tag is an alphanumeric character string.
- C. SIGNAL is one of the following:
  - 28.02.1.5.C.1 "AI" designates an analog input.
  - 28.02.1.5.C.2 "DI" designates an discrete input.
  - 28.02.1.5.C.3 "AO" designates an analog output.
  - 28.02.1.5.C.4 "DO" designates a momentary, maintained or latched discrete output.
  - 28.02.1.5.C.5 "ALRM" designates PLC to PLC communications via registered outputs.
- D. RANGE/OFF STATUS is one of the following:
  - 28.02.1.5.D.1 "RANGE" designates the range of data for analog or PLC-registered signals.
  - 28.02.1.5.D.2 "OFF STATUS" designates the off position information status.
- E. UNITS/ON STATUS is one of the following:
  - 28.02.1.5.E.1 "UNITS" designates the units of data for analog or PLC-registered signals.
  - 28.02.1.5.E.2 "ON STATUS" designates the on position information status.
- F. SOURCE is the device, instrument, PLC, etc that the I/O originates from in the unit process.
- G. DESTINATION is the device, instrument, PLC, etc that the I/O travels to in the unit process.

- H. Remarks indicates additional information (i.e. FUTURE indicates this will be a future point).

28.02.1.6 SUBMITTALS

- A. Submit in accordance with contract requirements:

28.02.1.6.A.1 Specific equipment list, which identifies the manufacturer, part number, model number and quantity.

28.02.1.6.A.2 Manufacturer's specification sheet for each proposed item of the system.

28.02.1.6.A.3 Letter indicating compliance with the Bid Package with any exceptions documented and submitted with the bid.

- B. Shop Drawings: Submittal shall include point to point diagrams of all connected devices, of sufficient detail to adequately communicate that equipment meets system requirements, including:

28.02.1.6.B.1 System device locations on architectural floor plans.

28.02.1.6.B.2 Schematic wiring information for all devices. Wiring information shall include cable type, conductor routings, quantities, and connection details at devices.

28.02.1.6.B.3 Drawings shall be prepared using AutoCAD Version 14 and shall submit 2 copies for approval.

- C. System Description:

28.02.1.6.C.1 System description, indicating how equipment will operate as a system to meet the performance requirements of the SCADA System.

- D. Contract Close-out Submittals:

28.02.1.6.D.1 Operating instructions.

28.02.1.6.D.2 Parts list, including: wiring and connection diagrams.

28.02.1.6.D.3 Record Documents: Maintained as a separate hard copy set of drawings, wiring diagrams of the control system, accurately reflecting all changes and additions.

- E. Submit the following with the O & M manuals.

28.02.1.6.E.1 Color prints of HMI screens on 8 1/2 x 11 inch paper.

28.02.1.6.E.2 HMI Database on 8 1/2 x 11 inch paper.

28.02.1.6.E.3 Scripts developed for the screen displays.

28.02.1.6.E.4 Diagram showing how screens are linked together.

28.02.1.7 QUALITY ASSURANCE

- A. System Supplier: Maintain adequate supply of replacement parts for system components provided.
- B. Regulatory Requirements: Installed products shall meet standards of a recognized testing laboratory (UL, or comparable).

#### 28.02.1.8 WARRANTY

- A. All components supplied and installed by the Contractor shall be warranted against defects in material and workmanship for a period of at least 12 months, commencing upon the date of acceptance by the Owner. Warranty service shall be provided by a qualified factory-trained service representative. The warranty is in addition to, and not a limitation of, other rights Owner may have under the Contract Documents.

### 29 PRODUCTS

#### 29.02.1.1 MANUFACTURERS

- A. Manufacturers are listed only to denote the quality standard of product desired, general style, type, character and do not restrict bidders to a specific manufacturer. Substitution of equipment of equivalent or equal design shall be submitted to the engineer for approval or disapproval in accordance with article 11 of the Instructions to Bidders.

#### 29.02.1.2 HMI SOFTWARE

- A. **The Tuckaseegee Water and Sewer Authority's Water Treatment Plant currently has an HMI. Contractor to make all the proper updates to the current HMI to include the new flow control valve.**

### 30 EXECUTION

#### 30.02.1.1 EXAMINATION

- A. Verification of Conditions. Prior to all work of this section, carefully inspect the installed work of all other trades and verify that all such work is complete to the point where this installation may properly commence.
- B. Discrepancies. In the event any discrepancies are discovered, immediately notify the Owner's Representative in writing. Do not proceed with installation in areas of discrepancy until all such discrepancies have been fully resolved.

#### 30.02.1.2 PREPARATION

A. Protection:

30.02.1.2.A.1 All equipment and materials shall be packed at the factory to protect each item from damage during shipment and storage.

30.02.1.2.A.2 Provide blocking and cushioning materials to prevent damage during shipment.

30.02.1.2.A.3 Panel mounted instruments and equipment to be installed inside enclosures, panels, or consoles shall be mounted and assembled at the panel manufacturer's facility.

B. Surface Preparation. The work shall be carefully laid out in advance. Where cutting, drilling, etc., of floors, walls, ceilings, or other surfaces is necessary, the work shall be carefully done. Any damage to building, piping, or equipment shall be repaired by skilled mechanics of the trades involved, and at no additional cost to the Owner.

## 30.02.1.3 INSTALLATION

A. General. Install equipment, as indicated, with manufacturer's written instructions, and with recognized industry practices.

B. The Contractor shall load software required for an operational control system, including databases (for points specified and shown), operational parameters, and system, command, and application programs. The Contractor shall adjust, tune, debug, and commission all software and parameters for controlled systems to assure proper operation in accordance with the sequences of operation and database tables.

C. All assembled control panels must be labeled in accordance with UL508.

D. All panels will utilize terminal blocks for landing input, output and three-phase wiring.

E. Analog, Ethernet and other signals will be separated from 120VAC and higher voltages at the point of field connection.

F. 120VAC signal wiring will be kept separate from all three-phase wiring.

G. On Site Installation:

30.02.1.3.G.1 The on site electrical contractor is to insure that the control panel is installed per the supplier drawings and instructions. The on site electrical contractor will also insure that the installation complies with all acceptable codes and standards.

30.02.1.3.G.2 The on-site electrical contractor will provide all conduit, wire, junction boxes etcetera required for a complete installation.

30.02.1.3.G.3 The on-site electrical contractor will be responsible for terminating all wires on the control panel terminal blocks and at all field equipment.

30.02.1.3.G.4 Where AC and DC wires must pass by each other, these intersections will be at a perpendicular angle.

30.02.1.3.G.5 All 4-20mA, Ethernet, RS-232, RS-485, DF1, or DH-485 cabling will be routed directly from source to destination. Coils of spare cable are not permitted.

H. On Site Start-Up:

30.02.1.3.H.1 The System Supplier will demonstrate to the Engineer and to the Owner that all points are being monitored and the system operates as designed and intended.

30.02.1.4 FIELD QUALITY CONTROL

- A. Inspection. Upon completion of this portion of the work, the Contractor shall provide for the services of a qualified representative of the manufacturer to inspect and approve the installation.
- B. Tests. Upon completion of all inspections and prior to acceptance by the Owner, perform the field tests.

30.02.1.5 POINT DATA FIELDS

- A. I/O point data fields will be subject to review and modification by the Engineer. The Contractor and the Engineer shall review, complete and verify this information during implementation. The Owner will assist with this task by obtaining or advising preference for ranges and engineering units. The Engineer will incorporate changes completely into the entire system. The Engineer will document all changes so that a final record version of the I/O list is available to the Owner at start-up.

30.02.1.6 DEMONSTRATION

- A. General. When all required tests have been performed and prior to final approval, a qualified representative of the supplier shall thoroughly demonstrate to the Owner's personnel the operation of all items installed under this section.

Equipment Tag			Description	Analog/Digital (True) Condition	Signal	Range/Off Status	Units/On Status	Source	Destination	Remarks
Letter	Number	Ind								
RTU-7 (Control Valve Site)										
CV		A	EXISTING CONTROL VALVE	OPEN	DO	CLOSED	OPEN	RTU-7	CV	1
CV		B	EXISTING CONTROL VALVE	CLOSE	DO	OPENED	CLOSE	RTU-7	CV	1
CV		C	EXISTING CONTROL VALVE	OPENED	DI	NOT OPENED	OPENED	CV	RTU-7	1
CV		D	EXISTING CONTROL VALVE	CLOSED	DI	NOT CLOSED	CLOSED	CV	RTU-7	1
1			Indicates that the I/O is to be monitored by the SCADA System and information to be displayed on the operator interface on the RTU's and at the HMI.							

END OF SECTION



**SECTION 409513 PROCESS CONTROL PANELS AND HARDWARE****31 GENERAL****31.02.1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**31.02.1.2 SUMMARY**

- A. Section Includes: General requirements for Control Panels.

**31.02.1.3 RELATED SECTIONS**

- A. Division 40 Section "Instrumentation and Control for process systems" for RTU requirements.

**31.02.1.4 REFERENCES**

- A. The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

**31.02.1.4.A.1 AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)**

31.02.1.4.A.1.1 ASTM B 1 (1995) Hard-Drawn Copper Wire

31.02.1.4.A.1.2 ASTM B 8 (1995) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft

**31.02.1.4.A.2 NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)**

31.02.1.4.A.2.1 NEMA AB 1 (1993) Molded Case Circuit Breakers and Molded Case Switches

31.02.1.4.A.2.2 NEMA FU 1 (1986) Low Voltage Cartridge Fuses

31.02.1.4.A.2.3 NEMA ICS 1 (1993) Industrial Control and Systems

31.02.1.4.A.2.4 NEMA ICS 2 (1993) Industrial Control and Systems Controllers Contactors and Overload Relays, Rated Not More Than 2000 Volts AC or 750 Volts DC

31.02.1.4.A.2.5 NEMA ICS 4 (1993) Terminal Blocks

31.02.1.4.A.2.6 NEMA ICS 6 (1993) Industrial Control and Systems Enclosures

31.02.1.4.A.2.7 NEMA KS 1 (1990) Enclosed and Miscellaneous Distribution Equipment Switches (600 Volts Maximum)

31.02.1.4.A.2.8 NEMA ST 20 (1992) Dry-Type Transformers for General Applications

31.02.1.4.A.2.9 NEMA WD 1 (1983; R 1989) Wiring Devices

31.02.1.4.A.2.10 NEMA WD 6 (1988) Wiring Devices - Dimensional Requirements

#### 31.02.1.4.A.3 NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

31.02.1.4.A.3.1 NFPA 70 (2008) National Electrical Code

#### 31.02.1.4.A.4 UNDERWRITERS LABORATORIES INC. (UL)

31.02.1.4.A.4.1 UL 20 (1986; Errata 1988, R 1993, Bul. 1993, 1995, and 1996) General-Use Snap Switches

31.02.1.4.A.4.2 UL 50 (1995; Bul. 1996) Safety Enclosures for Electrical Equipment

31.02.1.4.A.4.3 UL 67 (1993; R 1995, Bul. 1994 and 1995) Panelboards

31.02.1.4.A.4.4 UL 83 (1991; Bul. 1991, 1993, 1994, and 1995, R 1996) Thermoplastic-Insulated Wires and Cables

31.02.1.4.A.4.5 UL 198C (1986; Bul. 1991, 1992, 1993, 1994, and 1996, R 1993) High-Interrupting-Capacity Fuses, Current-Limiting Types

31.02.1.4.A.4.6 UL 198E (1988; R 1988, Bul. 1991, 1992, and 1993) Class R Fuses

- 31.02.1.4.A.4.7 UL 198H (1988; Bul. 1991, 1992, 1993, and 1994, R 1993) Class T Fuses
- 31.02.1.4.A.4.8 UL 467 (1993; Bul. 1994 and 1996, R 1995) Grounding and Bonding Equipment
- 31.02.1.4.A.4.9 UL 486A (1991; Errata 1991 and 1992, R 1992, Bul. 1993, 1994, and 1995) Wire Connectors and Soldering Lugs for Use with Copper Conductors
- 31.02.1.4.A.4.10 UL 486C (1991; R 1992, Bul. 1994 and 1995) Splicing Wire Connectors
- 31.02.1.4.A.4.11 UL 489 (1991; Bul. 1992, 1993, 1994, 1995, and 1996, R 1995) Molded-Case Circuit Breakers and Circuit-Breaker Enclosures
- 31.02.1.4.A.4.12 UL 498 (1996; Bul. 1996) Attachment Plugs and Receptacles
- 31.02.1.4.A.4.13 UL 506 (1994; R 1994, Bul. 1994, 1995, and 1996) Specialty Transformers
- 31.02.1.4.A.4.14 UL 508 (1993; Bul. 1993, 1994, and 1995, R 1994) Industrial Control Equipment
- 31.02.1.4.A.4.15 UL 510 (1994; R 1994) Chloride, Polyethylene, and Rubber Insulating Tape
- 31.02.1.4.A.4.16 UL 870 Wireways, Auxiliary Gutters, and Associated Fittings
- 31.02.1.4.A.4.17 UL 886 (1994; Bul. 1994, 1995, and 1996, R 1995) Outlet Boxes and Fittings for Use in Hazardous (Classified) Locations
- 31.02.1.4.A.4.18 UL 943 (1993; Bul. 1993, 1994, 1995, and 1996, R 1996) Ground-Fault Circuit-Interrupters
- 31.02.1.4.A.4.19 UL 1561 (1994; R 1995) Dry-Type General Purpose and Power Transformers

## 31.02.1.5 SUBMITTALS

- A. Submittals required in the sections which refer to this section shall conform to the requirements of "Division 1" and to the following additional requirements.

31.02.1.6 QUALITY ASSURANCE

- A. Equipment Manufacturer Qualifications: The equipment shall be the standard products of a manufacturer who has been regularly engaged in the successful production of high quality equipment and systems of the type specified for at least 10 years, has supplied such equipment for at least five years of the ten year period, and has at least three installations in successful operation for at least five years.
- B. Notice: The Supplier shall assume complete system responsibility for the adequate and proper operation of the equipment furnished regardless of original source or manufacturer.
- C. Code Compliance: Any special standard or code requirements of the State, County or local regulatory body and other regulatory agency shall also apply herein. Where a conflict arises between codes, the one containing the more stringent requirements as determined by the Engineer shall apply.
- D. Examination of Equipment for Safety: Equipment and materials installed within control cabinets shall be U.L. Listed, Labeled or identified.

31.02.1.6.D.1 Equipment and materials shall have been tested by a testing laboratory; and shall meet, or exceed, nationally recognized standards, or have been found suitable for use in the specific manner as intended by the Engineer.

31.02.1.6.D.2 Equipment and materials utilized shall be included within published listings prepared by testing laboratories, inspection agencies or other organizations concerned with product evaluations.

- E. Field test equipment specified under this Section to the full satisfaction of the Engineer so that each part and component together function in the manner intended.
- F. Verify from the control panel Supplier the maximum and minimum temperature and maximum relative humidity for storing the equipment, and conform to the Supplier's requirements. In any case, the minimum storage requirements will be not less than 50 degrees F. Protect the equipment from humid conditions which might cause corrosion of the electrical and electronic parts of the equipment by providing desiccant cartridges in enclosures and power to heaters. Failure to store equipment in the specified or approved manner shall be sufficient reason for not accepting the equipment, regardless of the

outside appearance or warranty of the manufacturer. Protect all electronic equipment from a dusty environment by sealing the equipment in plastic, etc.

- G. The control panel shall be pre-wired before delivery to the job site. Field modifications to existing equipment or in wiring required shall be the responsibility of the Contractor. Fieldwork shall be performed under the prevailing labor conditions.
- H. Verify type, quantities and locations of wiring required to interconnect system components and panels. Supplier will supply Engineer with copy of results before installation.
- I. No form of energy shall be turned on to any part of the system prior to receipt by the Engineer of a certified statement of approval of the installation from the Contractor containing authorization for turning on energy to the system.

#### 31.02.1.7 PROJECT CONDITIONS

A. Instrumentation Requirements:

31.02.1.7.A.1 The electronic signal referred to throughout these Specifications shall be 4 to 20 mA dc unless otherwise noted. Signal isolation shall be furnished for the input of each receiver where an analog signal is transmitted to more than one receiving device in an analog control loop or an alarm loop, in accordance with ISA Standard S50.1.

31.02.1.7.A.2 Instruments furnished for front panel mounting shall be the same NEMA rating as the panel and suitable for flush mounting, vertical or angle mounted as required by panel design and shall have black satin finish cases.

31.02.1.7.A.3 Devices shall return to normal operation upon restoration of power after a power failure.

31.02.1.7.A.4 Panels shall be provided with Surge-Protective Devices (SPD) on power lines and 4-20ma input signals.

31.02.1.7.A.5 System shall be grounded in accordance with the manufacturers recommended grounding requirements.

B. System Requirements:

31.02.1.7.B.1 It shall be the Control Panel Supplier's responsibility to furnish a complete and functional integrated process measurement and control system.

## 32 PRODUCTS

### 32.02.1.1 CONTROL ENCLOSURES

- A. System Description: Enclosures for all systems and sub-systems shall be provided in accordance with the following:
- B. Construction:

32.02.1.1.B.1 NEMA 12 Enclosures: NEMA 12 enclosures shall be for indoor use only and shall provide a degree of protection against dust, dirt dripping water and external condensation of non-corrosive liquids.

32.02.1.1.B.1.1 Control enclosures shall be constructed of 14 gauge steel minimum, with continuously welded seams. All seams shall be ground smooth.

32.02.1.1.B.1.2 Body stiffeners shall be added where required to prevent the enclosures from sagging or bowing.

32.02.1.1.B.1.3 Enclosures shall have piano type hinged doors with neoprene gaskets.

32.02.1.1.B.1.4 Enclosure doors shall be held closed by a 3-point roller latching mechanism operated by an oil-tight key-locking handle.

32.02.1.1.B.1.5 A minimum of two removable lifting eyes shall be attached to the top of floor mounted enclosures.

32.02.1.1.B.1.6 Print pocket shall be attached to one door of each enclosure.

32.02.1.1.B.1.7 Mounting plates/ panels shall be of one piece construction 14 gauge steel minimum. The panel shall cover most of the rear of the enclosure. Where required, panels shall be mounted on the sides of the enclosures for additional mounting space.

32.02.1.1.B.2 NEMA 4X Enclosures Stainless Steel: NEMA 4X enclosures shall be for indoor or outdoor use to provide a degree of protection against falling rain, splashing water and hose-directed water.

32.02.1.1.B.2.1 Control enclosures shall be constructed of 14 gauge minimum 316 stainless steel with continuously welded seams. All seams shall be ground smooth.

32.02.1.1.B.2.2 Body stiffeners shall be added where required to prevent the enclosure from sagging or bowing.

32.02.1.1.B.2.3 Enclosures shall have 316 SS piano type hinged doors with neoprene gaskets.

32.02.1.1.B.2.4 Enclosure doors shall be held closed by 316 SS screws and clamps on three sides of door.

32.02.1.1.B.2.5 A minimum of two removable lifting eyes shall be attached to the top of floor mounted enclosures.

32.02.1.1.B.2.6 Print pocket shall be attached to one door of each enclosure.

32.02.1.1.B.2.7 Mounting plates/ panels shall be of one piece construction 14 gauge steel minimum. The panel shall cover most of the rear of the enclosure. Where required, panels shall be mounted on the sides of the enclosures for additional mounting space.

32.02.1.1.B.2.8 Enclosure shall have provisions to be locked with a padlock.

32.02.1.1.B.3 Identification i.e. nameplates, legend plates, and tags: All nameplates, legend plates, labels and tags shall conform to ISA Recommended Practice publication ISA-RP60.6.

32.02.1.1.B.3.1 Nameplates: Nameplates shall be used to display basic information including function.

32.02.1.1.B.3.1.1 Letters shall be gothic upper case (capital letters); minimum height shall be 1/8 inch with a 3/64 inch space between lines.

32.02.1.1.B.3.1.2 Nameplates shall be made of laminated engraving stock having a black core with a white surface.

32.02.1.1.B.3.1.3 The characters shall be engraved using an industry standard engraving machine. Nameplates shall be attached to the enclosure using double-faced pressure-sensitive tape. Where the environment is not conducive to tape i.e. outdoors, NEMA 4, 4X, and 3R environments stainless steel screws shall be used in place of the tape.

32.02.1.1.B.3.1.4 Enclosure identification nameplates shall be larger sized letters, 3/16 inch minimum.

32.02.1.1.B.3.1.5 Abbreviations shall conform to appendix B of ISA Recommended Practice publication ISA-RP60.6.

32.02.1.1.B.3.1.6 Margins shall conform to the following:

32.02.1.1.B.3.1.6.1 With holes

- 1) Top/bottom - 1/16" min
- 2) Sides left/right - 5/16" min

32.02.1.1.B.3.1.6.2 Without holes

- 1) Top/bottom - 1/16" min
- 2) Sides left/right - 1/8" min

32.02.1.1.B.3.2 Legend Plates: Legend plates shall be used to display basic functions of push buttons, selector switches and pilot lights.

32.02.1.1.B.3.2.1 Letters shall be gothic upper case (capital letters); minimum height shall be 1/8 inch with a 3/64 inch space between lines.

32.02.1.1.B.3.2.2 Legend plates shall be made of laminated engraving stock having a black core with a white surface.

32.02.1.1.B.3.2.3 The characters shall be engraved using an industry standard engraving machine.

32.02.1.1.B.3.2.4 Legend plates shall be held to the enclosure by the ring nuts used to hold the operator in place.



32.02.1.1.B.3.2.5 All legend plates for a particular panel shall be of the same size and shape.

32.02.1.1.B.3.2.6 Standard Selector Switch legends shall include:

32.02.1.1.B.3.2.6.1 (1) Hand/Off/Auto;

32.02.1.1.B.3.2.6.2 (2) Local/Remote;

32.02.1.1.B.3.2.6.3 (3) Off/On.

32.02.1.1.B.3.2.7 Standard Push Button legends shall include:

32.02.1.1.B.3.2.7.1 (1) Start;

32.02.1.1.B.3.2.7.2 (2) Stop;

32.02.1.1.B.3.2.7.3 (3) Stop Lockout;

32.02.1.1.B.3.2.7.4 (4) Low;

32.02.1.1.B.3.2.7.5 (5) High;

32.02.1.1.B.3.2.7.6 (6) Reset

32.02.1.1.B.3.3 Wire Markers: Each wire shall be identified on both ends of the wire with wrap around of shrink type wire markers. The wire marker number shall be a unique number, incorporates the instrument / equipment tag number if applicable, shall be easily cross referenced with schematic drawings, and shall have the same number on both sides of the wire.

32.02.1.1.B.3.4 Adhesive Labels: Adhesive labels shall be used inside the panel to identify equipment. The labels shall be smudge proof and shall have an adhesive back. The printing on the labels shall be done by mechanical means only.

32.02.1.1.B.4 Wiring: All wiring shall conform to Nation Electric Code's latest revision Article 310 - "Conductors for General Wiring," table 310-17. All wire shall be copper.

32.02.1.1.B.4.1 Control wiring shall be 16 gauge, 600 volt, type THHN/MTW. Power wiring shall be 600 volt type THHN/MTW sized as required.

32.02.1.1.B.4.2 All analog signal wiring shall be 16 gauge twisted pairs with foil shield and drain wire. The insulation shall be 600 volt, 90 the panel only.

☐ C. Drain

32.02.1.1.B.4.3 All wiring shall conform to the following color code:

32.02.1.1.B.4.3.1 120 VAC power wires 1 phase i.e. lights, heaters – black hot and white neutral

32.02.1.1.B.4.3.2 120 VAC control wires - red

32.02.1.1.B.4.3.3 120 VAC externally powered - yellow

32.02.1.1.B.4.3.4 24 VDC - Blue

32.02.1.1.B.4.3.5 Ground - Green

32.02.1.1.B.4.4 To avoid inductive pickup power wiring or control wiring shall have a maximum possible separation from signal wiring. A practical distance is not less than 6 in. If power wiring has to cross the signal wiring, the crossing should be as close to a right angle as possible.

32.02.1.1.B.4.5 Wires shall be run in open slot vinyl wire duct with covers. Wireduct shall be held to the back plate with nylon "push" type rivets filled no more than 75%. Where it is not practical to use wireduct, wire ties shall be used to bundle the wires together in a neat and professional manner. Manufacturer: Panduit.

32.02.1.1.B.4.6 Where wire is required to flex often (i.e. around door hinges) high strand wire and spiral wrap shall be used.

32.02.1.1.B.4.7 All spare PLC I/O points shall be run to terminal blocks.

32.02.1.1.B.4.8 Control panels shall have a well designed disconnecting means that extends through the panel front cover. This disconnect will shut off a main circuit breaker

inside the control panel and be lockable in the off position with a standard padlock. Wires that are not de-energized by the main breaker shall be of the same color and labeled with a warning label stating same.

32.02.1.1.B.4.9 Control voltages shall not exceed 120 VAC. Control transformers shall be sized one size larger than the computed load and be protected by properly sized fuses or circuit breakers on the primary and secondary sides.

32.02.1.1.B.4.10 Control power and neutral shall not be jumped from device to device. Power distribution blocks shall be used.

32.02.1.1.B.5 Grounding: Two 1/4" x 1" copper ground buses shall be supplied with each enclosure. One ground bus shall be electrically bonded to the panel and shall be used to ground all equipment. The other ground bus shall be an isolated ground bus and shall be use to ground the drain wire of signal wiring. No more than 5 drain wires shall be jumpered together before being run to the isolated ground bus. The isolated ground bus shall be connected to the non-isolated grounded bus with one piece of 10 gauge wire.

32.02.1.1.B.6 Painting: Interior and exterior surfaces of all enclosures shall be thoroughly cleaned and painted with rust-inhibitive primer. The interior and mounting plates shall be painted white with a polyurethane enamel. All pits and blemishes in the exterior surface shall be filled. Exterior surface shall be smooth and painted with two coats to a film thickness of 4 mils. Paint color for carbon steel enclosures shall be ANSI 61 Gray, polyurethane enamel. One pint of finish color paint shall be furnished with the panels to repair future scratches. Stainless Steel enclosures shall not be painted, but shall have a ☐brushed☐finish.

32.02.1.1.B.7 Climate Control:

32.02.1.1.B.7.1 Use the following as environmental conditions depending on the location of the control panel.

32.02.1.1.B.7.1.1 Computer Room, Air Conditioned - Normal  
Temperature: 60-80F; Normal Humidity: 40-60%, NEC  
Class: Non-Hazardous

- 32.02.1.1.B.7.1.2 Inside, Air Conditioning - Normal Temperature: 60-80F; Normal Humidity: 10% (winter) - 70% (summer); Upset Temperature (Up to 4 hr HVAC system interruption): 40-105F; Upset Humidity: 10-100%; NEC Class: Non-Hazardous.
- 32.02.1.1.B.7.1.3 Inside - Normal Temperature: 20-104F; Normal Humidity: 10-100%; NEC Class: Non-Hazardous.
- 32.02.1.1.B.7.1.4 Inside, Corrosive - Normal Temperature: 20-104F; Normal Humidity: 10-100%; Corrosive Environment: Hydrogen Sulfide Gas, Sea Air, Chlorine Gas; NEC Class: Non-Hazardous.
- 32.02.1.1.B.7.1.5 Inside, Hazardous - Normal Temperature: 20-104F; Normal Humidity: 10-100%; NEC Class: Class 1, Division 1, Group D.
- 32.02.1.1.B.7.1.6 Outside - Normal Temperature: Minus 20-104F; Normal Humidity: 10-100%; Environment: rain, snow, freezing rain; NEC Class: Non-Hazardous.
- 32.02.1.1.B.7.1.7 Outside, Corrosive - Normal Temperature: Minus 20-104F; Normal Humidity: 0-100%; Corrosive Environment: Hydrogen Sulfide Gas, Sea Air, Chlorine Gas; NEC Class: Non-Hazardous.
- 32.02.1.1.B.7.1.8 Outside, Hazardous - Normal Temperature: Minus 20-104F; Normal Humidity: 0-100%; Environment: rain, snow, freezing rain; NEC Class: Class 1, Division 1, Group D.
- 32.02.1.1.B.7.2 Climate control unit shall be a complete unit with thermostat, heater, and fan integrated into the same housing. The unit shall be a thermostatically controlled fan-driven heater unit.
- 32.02.1.1.B.7.3 Control panel enclosure shall have a low-point cold air intake vent and a high-point heated air discharge vent. The heater unit shall be mounted near the cold air intake vent. The heater unit shall draw cold air from the cold air intake vent and discharge the heated air from the top of

the heater unit. The heated air rises and flows through the hot-air discharge vent.

32.02.1.1.B.7.4 Heater supply voltage shall be 115 VAC, 60 hz. The heater unit shall have a terminal block for electrical connections.

32.02.1.1.B.8 Ground Fault Interrupt Receptacle: Supply one (1) 15 Amp Duplex receptacle in the inside of the enclosure for all control panels that may require programming with a lap top computer or hand held programmer that may require 115 VAC supply.

#### 32.02.1.2 CIRCUIT BREAKERS

##### A. Construction:

32.02.1.2.A.1 Circuit breakers shall be quick-make, quickbreak thermal magnetic molded case type, individually mounted and identified.

32.02.1.2.A.2 For each Control Panel there shall be two (2) spare 20 A circuit breakers.

32.02.1.2.A.3 Provide manufacturers recommended main circuit breaker for the pump control panel.

##### B. Spare parts:

32.02.1.2.B.1 (Not Used)

#### 32.02.1.3 SELECTOR SWITCHES

##### A. Construction:

32.02.1.3.A.1 Selector switches shall be 30.5 mm, heavy duty, non-illuminated. Switches shall have double-break silver contacts. Provide maintained contacts unless otherwise indicated on the Drawings. Provide auxiliary contact blocks where indicated on the Drawings or in the Description of Operation. Provide legend plates for each switch.

##### B. Spare Parts:

32.02.1.3.B.1 (Not used)

## 32.02.1.4 PUSH BUTTONS

A. Construction:

32.02.1.4.A.1 Push buttons shall be black, 30.5 mm, heavy duty, corrosion resistant, non-illuminated type with replaceable contact blocks. Provide double-break silver contacts. "Stop" push buttons shall be Red and have extended heads. Stop Lockout Stations shall have a locking attachment that will allow the operator to lock the stop button in the depressed position using a standard padlock with a 5/16 inch shackle. Provide legend plates for each push button.

B. Spare Parts:

32.02.1.4.B.1 (Not used)

## 32.02.1.5 PILOT LIGHTS

A. Construction:

32.02.1.5.A.1 Pilot lights shall be NEMA 4X, 30.5 mm, heavy duty, corrosion resistant, push-to-test, transformer type with LED bulbs. Voltage rating shall be 120 volts AC. Provide colored lens; green for "off" or "closed", red for "on" or "open", amber for "fault" or motor on low speed (two speed motors), blue for "status" and white for "indication" unless otherwise noted on Drawings. Provide legend plates for each pilot light.

B. Spare Parts:

32.02.1.5.B.1 Provide one spare lens of each color

32.02.1.5.B.2 Provide 10% spare bulbs.

## 32.02.1.6 FUSES

A. Construction:

32.02.1.6.A.1 All fuses shall be sized per NEC Code.

B. Spare Parts:

32.02.1.6.B.1 Provide 10% spare fuses.

## 32.02.1.7 TERMINAL BLOCKS

A. Construction:

32.02.1.7.A.1 Terminal blocks shall be provided in each control enclosure. All terminal blocks shall be rated for 600 volts AC, and shall be identified with a permanent machine printed marking in accordance with the terminal numbers shown on the panel wiring drawings.

B. Spare parts:

32.02.1.7.B.1 Provide 20% spare terminal blocks in each control panel.

## 32.02.1.8 GENERAL PURPOSE RELAYS

A. Construction:

32.02.1.8.A.1 Relays shall be designed for multiple switching applications at 120 volts. Standard contact arrangement shall be DPDT with pilot light. Relays shall plug into an 8 or 11 pin octal single tier screw terminal socket.

B. Spare Parts:

32.02.1.8.B.1 Provide ten percent (10%), but not less than 2, of each type.

## 32.02.1.9 SIGNAL ISOLATORS

A. Construction:

32.02.1.9.A.1 Provide isolators in the control panels for all 4 to 20 mA signals to prevent ground loop problems, i.e., 4 wire transmitter with grounded signal common or loading problems. Isolators shall be modular design to allow easy replacement of the unit.

B. Spare parts:

32.02.1.9.B.1 Provide 4 spare isolators of each type.

## 32.02.1.10 PROCESS INDICATORS

A. Construction:

32.02.1.10.A.1 Provide digital indicators capable of accepting a 4 to 20 mA dc analog signal and provide continuous indication on the front panel space. The indicator shall be provided in nominal 2-inch by 4-inch case suitable for panel mounting. Display shall be in engineering unit calibrated by multi-turn pots and non-soldered jumpers.

B. Spare Parts:

32.02.1.10.B.1 (Not Used)

32.02.1.11 LIGHTNING AND SURGE PROTECTION UNITS

A. Zone A

32.02.1.11.A.1 All 4 to 20 mA analog signals shall be protected by common mode suppressor diodes.

32.02.1.11.A.1.1 Suppressor diode clamping voltage shall not exceed 1.8 times operating voltage.

32.02.1.11.A.1.2 Diodes shall be premounted in double level terminal blocks. Terminal blocks shall be provided with knife disconnects to allow user to monitor and test loops without removing wires, or breaking the loop.

32.02.1.11.A.1.3 All loop power supplies must have the negative lead grounded to allow discharge of overvoltages.

32.02.1.11.A.1.4 Manufacturers:

32.02.1.11.A.1.4.1 Phoenix Contact Type UKK5-M

32.02.1.11.A.1.4.2 Approved equal.

32.02.1.11.A.2 All data highway communications shall be protected by full common mode, and normal mode protection techniques to include metal oxide varistors, surge suppressors diode, gas discharge surge suppressors and coil/chokes.

B. Zone B

32.02.1.11.B.1 Not Used.



## C. Zone C

32.02.1.11.C.1 All surge suppressors called out on the drawings shall be protected by full common mode, and normal mode protection techniques to include metal oxide varistors, surge suppressors diode, gas discharge surge suppressors and coil/chokes.

32.02.1.11.C.1.1 Provide inline fuses with the surge suppressors to protect the equipment from long duration surges.

## 32.02.1.12 TIMING RELAYS

A. Construction:

32.02.1.12.A.1 Relay coil voltage 120 VAC, 60 Hertz

32.02.1.12.A.2 Relay shall be solid state, timing ranges and type of delay (on, off, etc) shall be made by changing out plug inserts into the relay base.

32.02.1.12.A.3 A minimum of two (2) timed contacts per relay shall be provided.

32.02.1.12.A.4 Relays shall plug into an 8 or 11 pin octal single tier screw terminal socket.

B. Spare Parts:

32.02.1.12.B.1 Provide 10% spare, but not less than two (2) of each type.

## 32.02.1.13 UNINTERRUPTIBLE POWER SUPPLY (UPS)

A. Construction:

32.02.1.13.A.1 UPS shall be provided to power critical components in the cabinet such as PLC, Operator Interface, DC power supply, and other sensitive electronic equipment.

32.02.1.13.A.2 The UPS shall be mounted to an angle bracket and shall be securely fastened to prevent damage during shipment.

- 32.02.1.13.A.3      **Lightning and surge protection:** The UPS shall be tested using lightning standard per ANSI/IEEE C62.41 category A test (6000 volt spike and 3000 amp) and C62.45 test procedures. The UPS must reduce the input spike to no less than 3 volts on the output, for a 2000 to 1 spike attenuation.
- 32.02.1.13.A.4      **Isolation (including output neutral to ground bonding):** The UPS shall provide a true, separately derived power source as defined by National Electrical Code Article 250-5d with output neutral bonded to ground. There shall be no direct connection between input and output and less than 2 pF of effective input to output capacitance.
- 32.02.1.13.A.5      **Regulation:** The UPS output shall be regulated to within the CBEMA and ANSI C84.1 point of utilization range of 104 to 127 VAC over the full dynamic range from no load to full load, low line VAC to high line VAC, and low battery voltage to high battery voltage.
- 32.02.1.13.A.6      **Continuous, no break power:** The UPS shall provide continuous, no break power during a power outage or momentary interruption. Standby power systems which have any measurable transfer time and interruption of the output wave form are unacceptable.
- 32.02.1.13.A.7      **Sine-Wave Power:** The UPS shall provide computer-grade sine-wave power with 5 percent or less total harmonic distortion capability. It must meet or exceed CSA standard 22 Part 2-107 for harmonic distortion.
- 32.02.1.13.A.8      **Switch-Mode Power Supply:** Rated capacity shall be in volt amperes (VA) while loaded with typical computer-grade switch-mode power supplies having a power factor of 0.5 to 0.7 and crest factor of 2.7 to 3.5.
- 32.02.1.13.A.9      UPS is to be sized to maintain power to the PLC and sustain control power to the I/O for a minimum of 1 hour under full load.

32.02.1.14      SOURCE OF QUALITY CONTROL

- A. If required by contract, a complete factory test of the entire control system prior to its shipment to the job site performed by Manufacturer, Owner, and Engineer at the manufacturer's facility. Written approval for shipment following acceptance of the factory test by Engineer.
- B. System manufacturer using simulated inputs to assure all inputs, outputs, and application software are functioning according to the intent of the plans and specifications is the primary test objective. Simulated system faults and failures are also testing objectives.
- C. Demonstrate all functions of the system.
- D. Provide at least a two week written notification to the Engineer prior to the start of the witnessed factory test.

### 33 EXECUTION

#### 33.02.1.1 INSTALLATION

- A. Install as shown on the Drawings and approved shop drawings and as directed by the manufacturer's representative.

#### 33.02.1.2 FIELD QUALITY CONTROL

- A. Site Tests:

33.02.1.2.A.1 Calibrate and test all instrumentation in the presence of the Engineer.

33.02.1.2.A.2 Calibrate all transmitters and receivers to imposed input values representing 10%, 50% and 80% of full scale. Verify the zero and span calibration of all transmitters. Where totalizers are part of the flow measuring system, calibrate at least three (3) points of imposed inputs covering the range of the units. Use differential heads developed by manometers as inputs in the case of flow meters, measured level or pressure in other cases. Adjust the receiving devices to read the calibrated output of the initial calibration. After placing each measuring system in service, connect a manometer to the test connections provided in the piping and make an actual comparison of the measured variable to readout. Each system must meet the accuracy indicated in the Specifications.

- 33.02.1.2.A.3 Adjust secondary functions, such as alarm actuation and pacing, during initial calibration and demonstrate proper calibration after the system is placed in service. Seal linkage or range adjustments by colored lacquer in the presence of the Engineer immediately following calibration.
- 33.02.1.2.A.4 Conduct process calibration, such as volumetric draw down tests to check flow measurement and totalization and level measurements, on all measuring systems as requested by the Engineer. Provide the necessary gauges and assistance in making these tests.
- 33.02.1.2.A.5 Test all control systems, operation of all level transmitters and probes, solenoid valves and automated valves in all modes of operation, and demonstrate successful performance.
- 33.02.1.2.A.6 Provide a supplier's representative on site during Preliminary and Final Mechanical Performance Testing.
- 33.02.1.2.A.7 Provide field service representative of the distributed logic control equipment manufacturer to verify that instruments have been properly installed, configured, and meet the manufacturer's qualifications for warranty.

B. Final Performance and Acceptance Test:

- 33.02.1.2.B.1 Provide the services of a factory trained and field experienced instrumentation engineer to assist the Owner's personnel during the start-up of the plant process. The purpose of this assistance is to support making final adjustments of settings on the instrument systems prior to performing the demonstrations and final operational testing. Notify the Engineer in writing, a minimum of five (5) working days prior to the proposed date for commencing the Final Performance and Acceptance Test.
- 33.02.1.2.B.2 Upon completion of instrument calibration and system verification, test all systems under process conditions. The intent of this test is to demonstrate and certify the operational interaction of the instrument systems. Testing shall include, but not limited to, taking process variables to their limits (simulated or actual process) to verify all alarms, failure interlocks and/or transfers, and operational interlocks.

33.02.1.2.B.3 Immediately correct defects and malfunctions with Engineer approved methods and materials, and repeat the test. Upon completion of the final operational testing, submit the test results and a certified report indicating that all required system tests have been completed satisfactorily and the systems meet the functional requirements of their applicable specifications.

33.02.1.2.B.4 Following final operational testing, the system shall operate without a system fault for 30 consecutive days to qualify for Final Acceptance. The Final Performance and Acceptance Tests shall be witnessed, documented and signed off upon satisfactory completion by the Engineer.

END OF SECTION

## SECTION 409560

PLC AND RADIO EQUIPMENT

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## 34 GENERAL

## 34.02.1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.02 QUALITY ASSURANCE**

- A. Products for and the execution of, the work of this section shall satisfy the applicable requirements of the latest NEC Codes, and Regulations of Jurisdictional Authorities, and the Occupational Safety and Health Act. Products shall satisfy the applicable requirements of ANSI, ASTM, IEEE, NEMA, and UL.
- B. Products for, and the execution of, the work of this section shall similarly satisfy the applicable requirements of the serving telephone utility company and applicable regulatory bodies defining interface of telephone system interconnects.

**1.03 CONSTRUCTION RESPONSIBILITY**

- A. The Contractor shall be responsible for the coordination and installation of the VHF radio system as defined in the specifications and as shown on the drawings.
  - 34.02.1.1.A.1 Radio System is licensed for 151.67 MHz.
- B. A complete Supervisory Control and Data Acquisition System is already installed at the water treatment plant and shall be used to control the pump station equipment.
- C. All programming software, licenses, cables, hardware and software keys, as well as the documented programs are to be licensed in the owners name and turned over to the owner after the completion of start-up and checkout. The programs are to include all updates required during start-up and commissioning.

**1.04 SUBMITTALS**

- A. Product Data: Submittals are required for following products:
  - 1. List of materials to be used.
  - 2. Catalog cuts of all materials and/or equipment.
  - 3. PLC
  - 4. Operator Interface
  - 5. Licensed Frequency Radio System.

6. Enclosure

7. **Radio installation details**

8. **Antenna installation details**

9. Grounding details for the antenna, antenna cable, and antenna mounting brackets

10. dB budget calculations for the entire system.

11. Warranty

#### **1.05 PROCESS INSTRUMENTATION AND CONTROL SYSTEM**

- A. A complete Supervisory and Control System is currently installed at the Water Treatment Plant and shall be used in the future to control the pumping station equipment. The exact composition of this system is discussed in "Section 409000" Instrumentation and Control for process systems.

#### **1.06 WARRANTY**

- A. The licensed frequency radio and all associated components shall be fully warranted in accordance with the manufacturer's standard warranty, or minimum of (3) years from the date of Substantial Completion, whichever is greater.

### **35 PRODUCTS**

#### **35.02.1.1 PROGRAMMABLE LOGIC CONTROLLER (PLC)**

- A. The required control panels shall be based around the PLC Platform as detailed below.

##### **35.02.1.1.A.1 CompactLogix:**

35.02.1.1.A.1.1 No substitutes shall be accepted due to compatibility with existing installed PLC's.

35.02.1.1.A.1.2 Each PLC will be capable of communicating to all the other PLC's through Ethernet.

35.02.1.1.A.1.3 The CompactLogix PLC shall utilize the following features at a minimum:

##### **DESCRIPTION**

Power Supply

16 Point Digital Input Card  
16 Point Digital Output Card  
4 Point Analog Input Card  
4 Point Analog Output Card

35.02.1.1.A.1.4 The panel will be sized for 20% spare I/O Count.

35.02.1.1.A.1.5 The panel will have 3 spare slots for insertion of future modules

35.02.1.1.A.1.6 The PLC shall be capable of communicating with all devices and equipment specified and shown on the contract drawings, as well as communicating with the plant SCADA system.

### 35.02.1.2 OPERATOR INTERFACE UNITS

A. All Operator Interface Units Shall be of the Panelview 600 Series by Allen Bradley. Each Operator Interface Unit must be capable of the following:

35.02.1.2.A.1 Communicating directly over Ethernet.

35.02.1.2.A.2 The display must be in color.

35.02.1.2.A.3 Minimum size of display to be 6 inches.

B. See Part 3.01 PLC PROGRAMMING for programming requirements that may impact the Operator Interface Programming.

35.02.1.2.B.1 The programming for each operator interface unit shall be copied to the Owner upon completion of the warranty period.

### 35.02.1.3 LICENSED FREQUENCY RADIO SYSTEM

#### A. General:

- 1. The radio enclosure must be made of rugged die-cast, with integrated mounting holes.**
- 2. The radio must use Collision Avoidance for spontaneous remote transmissions allowing simultaneous polling and reporting to allow both radio data ports to operate independently.**
- 3. Each radio must have the ability to be programmed as a master, remote or repeater via the configuration program.**



4. It shall be the responsibility of the integrator to pay for any fees associated with adding an additional radio on to the existing frequency or with adding an additional frequency.
- B. **Overview:** Contractor to provide a complete working radio system to monitor the wastewater pump station for Camden County.
- C. **Antenna:** Furnish (1) directional antenna for the Proposed Site.
1. **Directional Antenna:** The antenna shall be made with all welded construction with the following design parameters:
    - a. **Frequency:** Capable of operating at a frequency currently being utilized by TWSA.
    - b. **Gain:** To be verified in the field.
    - c. **VSWR:** maximum 1.5 to 1 with 32MHz bandwidth
    - d. **-3dB beam width:** 35MHz bandwidth in E plane.
    - e. **-3dB beam width:** 40MHz bandwidth in H plane.
    - f. **Power handling capacity:** 50 Watt minimum.
    - g. **Connector type:** 50 ohm with pigtail, N-female type.
    - h. **Survival wind speed:** maximum 100 miles per hour with ½” thick ice.
- D. **Antenna Cable:** Provide low loss foam dielectric coaxial cable to connect spread spectrum radio.
1. **Frequency Band Width:** Capable of operating at the frequency currently being utilized by TWSA
  2. **Attenuation:** 3 dB maximum per 100ft .
  3. **Inner Conductor:** Solid Copper
  4. **Nominal Impedance:** 50 Ohm
  5. **Trade Size:** ½”
  6. **Dielectric Material:** Foam
  7. **Power Handling Capacity:** 50 Watt minimum at 151.67MHz
- E. **Cable Surge Protector:**

1. Provide in-line coaxial cable surge protector for each antenna installation.
  - a. Frequency Band Width: Capable of operating at a VHF frequency
  - b. Turn On: >600VDC 2.5nsec
  - c. VSWR: maximum 1.2:1
  - d. Insertion Loss: 0.1 dB maximum at operating frequency.
  - e. Throughput Energy: 800uJ
  - f. Power Handling Capacity: 100 Watt minimum
  - g. Connector Type: N-female type.
- F. Cable Grounding kit: Provide one cable grounding kit suitable for use as indicated by the type of cable and surge protection specified.
- G. Licensed Frequency Radio: The radio shall use the licensed VHF frequency for data communications currently being utilized by TWSA. In addition, robust interference rejection must be insured by the use of traditional narrow band filtering techniques to reject noise and interference.
  1. Provide UL approved licensed frequency radio suitable for point-point applications.
    - a. Frequency band Width: Capable of operating at a frequency currently being utilized by TWSA
    - b. Accuracy: .2% of full scale at 77 degrees F.
    - c. Input Voltage: 10 to 30 Vdc
    - d. Power Consumption: 75 mA at 24 V (average), 200 mA at 24 V peak
    - e. Temperature Rating: -40 degrees F to 158 degrees F
    - f. Humidity: 20% to 90% non-condensing
    - g. LED Indicators: External (Power, RF-Link, I/O Status)
    - h. Transmit Power: 1 Watt (30dBm)
    - i. Each radio shall have two serial communications ports: one shall be configurable for RS-232 using RJ45 connection; the second port is a RS-232 using a DB9 connection.

- j. **The radio will have (2) TNC female RF connectors configurable for single or dual (repeat) antenna mode that are user configurable from 0.01 to 1-Watt of output power.**
- k. **Radio Manufacturer:**
  - 1. **CalAmp Viper 100 to match existing**

## 36 EXECUTION

### 36.02.1.1 PLC PROGRAMMING

#### A. CONTROL PANEL PLC PROGRAMMING

36.02.1.1.A.1 All programs must be properly documented so as to allow plant personnel to troubleshoot or append the program.

36.02.1.1.A.2 All programs shall be in Ladder Logic format. Structured text or Function block programming is not acceptable.

36.02.1.1.A.3 Upon the completion of the vendors' warranty period, a finished copy of the program shall be transferred to the owners.

36.02.1.1.A.4 It is the engineers' opinion that the owner may desire full control of the entire plant through the SCADA at a later date. The easiest way to accomplish this is to configure the panel so that only the SCADA needs to be modified. Accordingly, the control panel manufacturer needs to provide the following:

36.02.1.1.A.4.1 All programs will be written as if control from SCADA is possible at time of start-up. This will eliminate the need for the VOCP suppliers to re-write their program for full SCADA control.

36.02.1.1.A.4.2 All interface addresses will be grouped into databases for communication to and from the SCADA. These databases will have the following organization.

36.02.1.1.A.4.2.1 Integers.

36.02.1.1.A.4.2.1.1 There will be two Integer databases. One for data coming from the SCADA (Write) and another for data going to the SCADA (Read).

(a) **The Read database will also contain all data to be displayed at the SCADA that is not required for full control. An example is Motor Running Status.**

(b) **All read and write bit data will be compacted into integer words**

for ease of data transfer. For example N100 may be chosen as the Read file. Furthermore, Motor 1 Running might be N100:0/0 and Motor 2 Running might be N100:0/1

36.02.1.1.A.4.2.1.2 There will be two Floating Point databases. One for data coming from the SCADA (Write), and another for data going to the SCADA (Read).

(a) The Read database will also contain all data to be displayed at the SCADA that is not required for full control. An example is Motor Run Time.

36.02.1.1.A.4.3 The panel manufacturer will provide the owner with a complete listing of all points in each database and what those points do. A sample table is shown below. These data tables will be sent to the owner six weeks after the panel submittal has been completed.

ADDRESS	DESCRIPTION	LOW EQUALS	HIGH EQUALS	RANGE
<b>READ WORDS</b>				
N100:0/0	Motor #1 Running	Off	On	
N100:0/1	Motor #2 Running	Off	On	
N100:0/2	Motor #1 Fail	Normal	Failed	
N100:0/3	Motor #2 Fail	Normal	Failed	
N100:1	System Status Word			0=Normal 1=Manual 2=Off 3=System Fault 4=Gen Power
<b>WRITE WORDS</b>				

N101:0/0	Motor #1 SCADA manual mode	Auto	Manual	
N101:0/1	Motor #1 On/Off when in SCADA Manual Mode	Off	On	
N101:0/1	Motor #2 SCADA manual mode	Auto	Manual	
N101:0/2	Motor #2 On/Off when in SCADA Manual Mode	Off	On	
READ FLOAT				
F102:0	Motor #1 Elapsed Time			Tenths of an hour
F102:1	Motor #2 Elapsed Time			Tenths of an Hour
F102:2	Well Level			0-5.00 Feet
WRITE FLOAT				
F103:0	Desired Level Set point			0-5.00 Feet

36.02.1.1.A.4.4 If the data table changes, a complete copy will be given to the owner.

36.02.1.1.A.5 The Contractor shall be responsible for installation of all the work required to provide a complete operating system

#### 36.02.1.2 EQUIPMENT AND SUPERVISION

A. The Contractor shall be responsible for, but not limited to, the following:

36.02.1.2.A.1 Complete operating system

36.02.1.2.A.2 Assemble, wire and test all equipment.

36.02.1.2.A.3 Prepare interconnecting wiring diagrams, identification for all field equipment and radio connections.

36.02.1.2.A.4 Prepare panel fabrication drawings.

36.02.1.2.A.5 Programming system software to insure a complete operating system.

#### 36.02.1.3 STARTUP, TESTING AND TRAINING

- A. The Contractor shall inspect, test, startup, calibrate and commission to insure compatibility.
- B. The Contractor shall provide the necessary training to OWNER's personnel for operation and maintenance of the system changes in accordance with The Bid Documents.

#### 36.02.1.4 FIELD TESTING

- A. Calibrate and test all instrumentation in the presence of the Department's representative.
- B. Calibrate all transmitters and receivers to imposed input values representing 10 percent, 50 percent and 80 percent of full scale. Verify the zero and span calibration of all transmitters. Adjust the receiving devices to read the calibrated output of the initial calibration. After placing each measuring system in service, connect a manometer to the test connections provided in the piping and make an actual comparison of the measured variable to readout. Each system must meet the accuracy indicated in the Specifications.
- C. Adjust secondary functions such as alarm during initial calibration and demonstrate proper calibration after the system is placed in service. Seal linkage or range adjustments by colored lacquer in the presence of the Department's representative immediately following calibration.
- D. Conduct process calibration such as tank level measurements on all measuring systems as requested by the Department's representative. Provide the necessary gauges and assistance in making these tests.

#### 36.02.1.5 CERTIFICATION

- A. Upon completion of the installation, testing and start-up, the Contractor shall certify in writing that the completed system has been installed and operates in a satisfactory manner equal to the existing system.

END OF SECTION

PROJECT SPECIAL PROVISIONS

## Utilities by Others

**General:**

The following utility companies have facilities that will be in conflict with the construction of this project.

- A) Duke Energy – Distribution Power
- B) Western Carolina University – Distribution Power
- C) Morris Broadband – CATV
- D) Frontier Communication – Telephone
- E) PSNC Energy – Gas

The utility conflicts will be adjusted prior to the date of availability, unless otherwise noted and are therefore listed in these special provisions for the benefit of the Contractor. All utility work listed herein will be performed by the utility owner. All utilities are shown on the plans from the best available information.

The Contractor's attention is directed to Article 105-8 of the 2012 Standard Specifications for Roads and Structures.

**Utilities Requiring Adjustments:**

- A) Duke Energy – Distribution Power  
Mr. Andrew Haygood  
(828) 369-4537  
andrew.haygood@duke-energy.com

1. See "Utilities By Others Plans" for utility conflicts
2. Duke Energy will begin their work on November 30, 2015 and will require 4 months to complete their work except for the 3 poles being installed along Y2. Duke Energy will require the NCDOT contractor to cut the existing grade along Y2 to final grade before Duke Energy installs the 3 proposed poles on Y2. Duke Energy will require 4 weeks' notice and 4 weeks for the installation of the 3 poles along Y2.

- B) Western Carolina University – Distribution Power  
Andy DeGrove  
(828) 227-3124  
degrove@email.wcu.edu

1. See "Utilities By Others Plans" for utility conflict
2. Duke Energy will relocate WCU's facilities as part of their relocation work.

PROJECT SPECIAL PROVISIONS

Utilities by Others



C) Morris Broadband – CATV

Chris McCall

(828) 697-3600 x 646

Chris.mccall@morrisbroadband.com

1. See “Utilities By Others Plans” for utility conflict
2. Morris Broadband will attach to WCU poles and begin their work on January 1, 2016 which will require 3 months to complete. They will complete their work on March 31, 2016.

D) Frontier Communication – Telephone

Bill Green

(828) 631-4001

bill.d.green@ftr.com

1. See “Utilities By Others Plans” for utility conflict
2. Frontier Communication will begin their work on January 1, 2016 and will require 3 months to complete as they are attached to WCU poles. They will complete their work on March 31, 2016.

E) PSNC Energy – Gas

Kenneth Owenby

(828) 670-3527

kowenby@scana.com

1. See “Utilities By Others Plans” for utility conflict
2. PSNC Energy will complete their relocation work by March 1, 2016, except for the proposed line on Y2 which will be installed after the NCDOT contractor completes final Y2 grading. PSNC will require 4 weeks’ notice and 3 weeks to construct the proposed line on Y2.



**Project Special Provisions  
Erosion Control**

**STABILIZATION REQUIREMENTS:**

Stabilization for this project shall comply with the time frame guidelines as specified by the NCG-010000 general construction permit effective August 3, 2011 issued by the North Carolina Department of Environment and Natural Resources Division of Water Quality. Temporary or permanent ground cover stabilization shall occur within 7 calendar days from the last land-disturbing activity, with the following exceptions in which temporary or permanent ground cover shall be provided in 14 calendar days from the last land-disturbing activity:

- Slopes between 2:1 and 3:1, with a slope length of 10 ft. or less
- Slopes 3:1 or flatter, with a slope of length of 50 ft. or less
- Slopes 4:1 or flatter

The stabilization timeframe for High Quality Water (HQW) Zones shall be 7 calendar days with no exceptions for slope grades or lengths. High Quality Water Zones (HQW) Zones are defined by North Carolina Administrative Code 15A NCAC 04A.0105 (25). Temporary and permanent ground cover stabilization shall be achieved in accordance with the provisions in this contract and as directed.

**SEEDING AND MULCHING:**

**(WestEd)**

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

Shoulder and Median Areas

**August 1 - June 1**

20#	Kentucky Bluegrass
75#	Hard Fescue
25#	Rye Grain
500#	Fertilizer
4000#	Limestone

**May 1 - September 1**

20#	Kentucky Bluegrass
75#	Hard Fescue
10#	German or Browntop Millet
500#	Fertilizer
4000#	Limestone

Areas Beyond the Mowing Pattern, Waste and Borrow Areas:

**August 1 - June 1**

100#	Tall Fescue
15#	Kentucky Bluegrass
30#	Hard Fescue
25#	Rye Grain
500#	Fertilizer
4000#	Limestone

**May 1 - September 1**

100#	Tall Fescue
15#	Kentucky Bluegrass
30#	Hard Fescue
10#	German or Browntop Millet
500#	Fertilizer
4000#	Limestone

## Approved Tall Fescue Cultivars

2 <sup>nd</sup> Millennium	Duster	Magellan	Rendition
Avenger	Endeavor	Masterpiece	Scorpion
Barlexas	Escalade	Matador	Shelby
Barlexas II	Falcon II, III, IV & V	Matador GT	Signia
Barrera	Fidelity	Millennium	Silverstar
Barrington	Finesse II	Montauk	Southern Choice II
Biltmore	Firebird	Mustang 3	Stetson
Bingo	Focus	Olympic Gold	Tarheel
Bravo	Grande II	Padre	Titan Ltd
Cayenne	Greenkeeper	Paraiso	Titanium
Chapel Hill	Greystone	Picasso	Tomahawk
Chesapeake	Inferno	Piedmont	Tacer
Constitution	Justice	Pure Gold	Trooper
Chipper	Jaguar 3	Prospect	Turbo
Coronado	Kalahari	Quest	Ultimate
Coyote	Kentucky 31	Rebel Exeda	Watchdog
Davinci	Kitty Hawk	Rebel Sentry	Wolfpack
Dynasty	Kitty Hawk 2000	Regiment II	
Dominion	Lexington	Rembrandt	

## Approved Kentucky Bluegrass Cultivars:

Alpine	Bariris	Envicta	Rugby
Apollo	Bedazzled	Impact	Rugby II
Arcadia	Bordeaux	Kenblue	Showcase
Arrow	Champagne	Midnight	Sonoma
Award	Chicago II	Midnight II	

## Approved Hard Fescue Cultivars:

Chariot	Nordic	Rhino	Warwick
Firefly	Oxford	Scaldis II	
Heron	Reliant II	Spartan II	
Minotaur	Reliant IV	Stonehenge	

On cut and fill slopes 2:1 or steeper add 20# Sericea Lespedeza and 15# Crown Vetch January 1 - December 31.

The Crown Vetch Seed should be double inoculated if applied with a hand seeder. Four times the normal rate of inoculant should be used if applied with a hydroseeder. If a fertilizer-seed slurry is used, the required limestone should also be included to prevent fertilizer acidity from killing the inoculant bacteria. Caution should be used to keep the inoculant below 80° F to

prevent harm to the bacteria. The rates and grades of fertilizer and limestone shall be the same as specified for *Seeding and Mulching*.

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

### **Native Grass Seeding And Mulching**

**(West)**

Native Grass Seeding and Mulching shall be performed on the disturbed areas of wetlands and riparian areas, and adjacent to Stream Relocation and/or trout stream construction within a 50 foot zone on both sides of the stream or depression, measured from top of stream bank or center of depression. The stream bank of the stream relocation shall be seeded by a method that does not alter the typical cross section of the stream bank. Native Grass Seeding and Mulching shall also be performed in the permanent soil reinforcement mat section of preformed scour holes, and in other areas as directed.

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

#### **August 1 - June 1**

18#	Creeping Red Fescue
8#	Big Bluestem
6#	Indiangrass
4#	Switchgrass
35#	Rye Grain
500#	Fertilizer
4000#	Limestone

#### **May 1 – September 1**

18#	Creeping Red Fescue
8#	Big Bluestem
6#	Indiangrass
4#	Switchgrass
25#	German or Browntop Millet
500#	Fertilizer
4000#	Limestone

#### **Approved Creeping Red Fescue Cultivars:**

Aberdeen

Boreal

Epic

Cindy Lou

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

Native Grass Seeding and Mulching shall be performed in accordance with Section 1660 of the *Standard Specifications* and vegetative cover sufficient to restrain erosion shall be installed immediately following grade establishment.

### **Measurement and Payment**

Native Grass *Seeding and Mulching* will be measured and paid for in accordance with Article 1660-8 of the *Standard Specifications*.

**TEMPORARY SEEDING:**

Fertilizer shall be the same analysis as specified for *Seeding and Mulching* and applied at the rate of 400 pounds and seeded at the rate of 50 pounds per acre. German Millet, or Browntop Millet shall be used in summer months and rye grain during the remainder of the year. The Engineer will determine the exact dates for using each kind of seed.

**FERTILIZER TOPDRESSING:**

Fertilizer used for topdressing shall be 16-8-8 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 2-1-1 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 16-8-8 analysis and as directed.

**SUPPLEMENTAL SEEDING:**

The kinds of seed and proportions shall be the same as specified for *Seeding and Mulching*, and the rate of application may vary from 25# to 75# per acre. The actual rate per acre will be determined prior to the time of topdressing and the Contractor will be notified in writing of the rate per acre, total quantity needed, and areas on which to apply the supplemental seed. Minimum tillage equipment, consisting of a sod seeder shall be used for incorporating seed into the soil as to prevent disturbance of existing vegetation. A clodbuster (ball and chain) may be used where degree of slope prevents the use of a sod seeder.

**MOWING:**

The minimum mowing height on this project shall be six inches.

**LAWN TYPE APPEARANCE:**

All areas adjacent to lawns must be hand finished as directed to give a lawn type appearance. Remove all trash, debris, and stones  $\frac{3}{4}$ " and larger in diameter or other obstructions that could interfere with providing a smooth lawn type appearance. These areas shall be reseeded to match their original vegetative conditions, unless directed otherwise by the Field Operations Engineer.

**REFORESTATION:****Description**

*Reforestation* will be planted in areas as directed. *Reforestation* is not shown on the plan sheets. See the Reforestation Detail Sheet.

All non-maintained riparian buffers impacted by the placement of temporary fill or clearing activities shall be restored to the preconstruction contours and revegetated with native woody species.

The entire *Reforestation* operation shall comply with the requirements of Section 1670 of the *Standard Specifications*.

**Materials**

*Reforestation* shall be bare root seedlings 12"-18" tall.

**Construction Methods**

*Reforestation* shall be planted as soon as practical following permanent *Seeding and Mulching*. The seedlings shall be planted in a 16-foot wide swath adjacent to mowing pattern line, or as directed.

Root dip: The roots of reforestation seedlings shall be coated with a slurry of water, and either a fine clay (kaolin) or a superabsorbent that is designated as a bare root dip. The type, mixture ratio, method of application, and the time of application shall be submitted to the Engineer for approval.

With the approval of the Engineer, seedlings may be coated before delivery to the job or at the time of planting, but at no time shall the roots of the seedlings be allowed to dry out. The roots shall be moistened immediately prior to planting.

Seasonal Limitations: *Reforestation* shall be planted from November 15 through March 15.

**Measurement and Payment**

*Reforestation* will be measured and paid for in accordance with Article 1670-17 of the *Standard Specifications*.

**RESPONSE FOR EROSION CONTROL:****Description**

Furnish the labor, materials, tools and equipment necessary to move personnel, equipment, and supplies to the project necessary for the pursuit of any or all of the following work as shown herein, by an approved subcontractor.

<b>Section</b>	<b>Erosion Control Item</b>	<b>Unit</b>
1605	Temporary Silt Fence	LF
1606	Special Sediment Control Fence	LF/TON
1615	Temporary Mulching	ACR
1620	Seed - Temporary Seeding	LB

1620	Fertilizer - Temporary Seeding	TN
1631	Matting for Erosion Control	SY
SP	Coir Fiber Mat	SY
1640	Coir Fiber Baffles	LF
SP	Permanent Soil Reinforcement Mat	SY
1660	Seeding and Mulching	ACR
1661	Seed - Repair Seeding	LB
1661	Fertilizer - Repair Seeding	TON
1662	Seed - Supplemental Seeding	LB
1665	Fertilizer Topdressing	TON
SP	Safety/Highly Visible Fencing	LF
SP	Response for Erosion Control	EA

### Construction Methods

Provide an approved subcontractor who performs an erosion control action as described in the NPDES Inspection Form SPPP30. Each erosion control action may include one or more of the above work items.

### Measurement and Payment

*Response for Erosion Control* will be measured and paid for by counting the actual number of times the subcontractor moves onto the project, including borrow and waste sites, and satisfactorily completes an erosion control action described in Form 1675. The provisions of Article 104-5 of the *Standard Specifications* will not apply to this item of work.

Payment will be made under:

#### Pay Item

Response for Erosion Control

#### Pay Unit

Each

### ENVIRONMENTALLY SENSITIVE AREAS:

#### Description

This project is located in an *Environmentally Sensitive Area*. This designation requires special procedures to be used for clearing and grubbing, temporary stream crossings, and grading operations within the Environmentally Sensitive Areas identified on the plans and as designated by the Engineer. This also requires special procedures to be used for seeding and mulching and staged seeding within the project.

The Environmentally Sensitive Area shall be defined as a 50-foot buffer zone on both sides of the stream or depression measured from top of streambank or center of depression.

### **Construction Methods**

(A) Clearing and Grubbing

In areas identified as Environmentally Sensitive Areas, the Contractor may perform clearing operations, but not grubbing operations until immediately prior to beginning grading operations as described in Article 200-1 of the *Standard Specifications*. Only clearing operations (not grubbing) shall be allowed in this buffer zone until immediately prior to beginning grading operations. Erosion control devices shall be installed immediately following the clearing operation.

(B) Grading

Once grading operations begin in identified Environmentally Sensitive Areas, work shall progress in a continuous manner until complete. All construction within these areas shall progress in a continuous manner such that each phase is complete and areas are permanently stabilized prior to beginning of next phase. Failure on the part of the Contractor to complete any phase of construction in a continuous manner in Environmentally Sensitive Areas will be just cause for the Engineer to direct the suspension of work in accordance with Article 108-7 of the *Standard Specifications*.

(C) Temporary Stream Crossings

Any crossing of streams within the limits of this project shall be accomplished in accordance with the requirements of Subarticle 107-12 of the *Standard Specifications*.

(D) Seeding and Mulching

Seeding and mulching shall be performed in accordance with Section 1660 of the *Standard Specifications* and vegetative cover sufficient to restrain erosion shall be installed immediately following grade establishment.

Seeding and mulching shall be performed on the areas disturbed by construction immediately following final grade establishment. No appreciable time shall lapse into the contract time without stabilization of slopes, ditches and other areas within the Environmentally Sensitive Areas.

(E) Stage Seeding

The work covered by this section shall consist of the establishment of a vegetative cover on cut and fill slopes as grading progresses. Seeding and mulching shall be done in

stages on cut and fill slopes that are greater than 20 feet in height measured along the slope, or greater than 2 acres in area. Each stage shall not exceed the limits stated above.

Additional payments will not be made for the requirements of this section, as the cost for this work shall be included in the contract unit prices for the work involved.

#### **MINIMIZE REMOVAL OF VEGETATION:**

The Contractor shall minimize removal of vegetation within project limits to the maximum extent practicable. Vegetation along stream banks and adjacent to other jurisdictional resources outside the construction limits shall only be removed upon approval of Engineer. No additional payment will be made for this minimization work.

#### **STOCKPILE AREAS:**

The Contractor shall install and maintain erosion control devices sufficient to contain sediment around any erodible material stockpile areas as directed.

#### **ACCESS AND HAUL ROADS:**

At the end of each working day, the Contractor shall install or re-establish temporary diversions or earth berms across access/haul roads to direct runoff into sediment devices. Silt fence sections that are temporarily removed shall be reinstalled across access/haul roads at the end of each working day.

#### **WASTE AND BORROW SOURCES:**

Payment for temporary erosion control measures, except those made necessary by the Contractor's own negligence or for his own convenience, will be paid for at the appropriate contract unit price for the devices or measures utilized in borrow sources and waste areas.

No additional payment will be made for erosion control devices or permanent seeding and mulching in any commercial borrow or waste pit. All erosion and sediment control practices that may be required on a commercial borrow or waste site will be done at the Contractor's expense.

All offsite Staging Areas, Borrow and Waste sites shall be in accordance with "Borrow and Waste Site Reclamation Procedures for Contracted Projects" located at:

[http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/fieldops/downloads/Files/ContractedReclamationProcedures.pdf](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/fieldops/downloads/Files/ContractedReclamationProcedures.pdf)

All forms and documents referenced in the "Borrow and Waste Site Reclamation Procedures for Contracted Projects" shall be included with the reclamation plans for offsite staging areas, and borrow and waste sites.



**TEMPORARY DIVERSION:**

This work consists of installation, maintenance, and cleanout of *Temporary Diversions* in accordance with Section 1630 of the *Standard Specifications*. The quantity of excavation for installation and cleanout will be measured and paid for as *Silt Excavation* in accordance with Article 1630-4 of the *Standard Specifications*.

**SAFETY FENCE AND JURISDICTIONAL FLAGGING:****Description**

*Safety Fence* shall consist of furnishing materials, installing and maintaining polyethylene or polypropylene fence along the outside riparian buffer, wetland, or water boundary, or other boundaries located within the construction corridor to mark the areas that have been approved to infringe within the buffer, wetland, endangered vegetation, culturally sensitive areas or water. The fence shall be installed prior to any land disturbing activities.

Interior boundaries for jurisdictional areas noted above shall be delineated by stakes and highly visible flagging.

Jurisdictional boundaries at staging areas, waste sites, or borrow pits, whether considered outside or interior boundaries shall be delineated by stakes and highly visible flagging.

**Materials****(A) Safety Fencing**

Polyethylene or polypropylene fence shall be a highly visible preconstructed safety fence approved by the Engineer. The fence material shall have an ultraviolet coating.

Either wood posts or steel posts may be used. Wood posts shall be hardwood with a wedge or pencil tip at one end, and shall be at least 5 ft. in length with a minimum nominal 2" x 2" cross section. Steel posts shall be at least 5 ft. in length, and have a minimum weight of 0.85 lb/ft of length.

**(B) Boundary Flagging**

Wooden stakes shall be 4 feet in length with a minimum nominal 3/4" x 1-3/4" cross section. The flagging shall be at least 1" in width. The flagging material shall be vinyl and shall be orange in color and highly visible.

**Construction Methods**

No additional clearing and grubbing is anticipated for the installation of this fence. The fence shall be erected to conform to the general contour of the ground.

(A) Safety Fencing

Posts shall be set at a maximum spacing of 10 ft., maintained in a vertical position and hand set or set with a post driver. If hand set, all backfill material shall be thoroughly tamped. Wood posts may be sharpened to a dull point if power driven. Posts damaged by power driving shall be removed and replaced prior to final acceptance. The tops of all wood posts shall be cut at a 30-degree angle. The wood posts may, at the option of the Contractor, be cut at this angle either before or after the posts are erected.

The fence geotextile shall be attached to the wood posts with one 2" galvanized wire staple across each cable or to the steel posts with wire or other acceptable means.

Place construction stakes to establish the location of the safety fence in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for the staking of the safety fence. All stakeouts for safety fence shall be considered incidental to the work being paid for as "Construction Surveying", except that where there is no pay item for construction surveying, all safety fence stakeout will be performed by state forces.

The Contractor shall be required to maintain the safety fence in a satisfactory condition for the duration of the project as determined by the Engineer.

(B) Boundary Flagging

Boundary flagging delineation of interior boundaries shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6" into the ground. Interior boundaries may be staked on a tangent that runs parallel to buffer but must not encroach on the buffer at any location. Interior boundaries of hand clearing shall be identified with a different colored flagging to distinguish it from mechanized clearing.

Boundary flagging delineation of interior boundaries will be placed in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for delineation of the interior boundaries. This delineation will be considered incidental to the work being paid for as *Construction Surveying*, except that where there is no pay item or construction surveying the cost of boundary flagging delineation shall be included in the unit prices bid for the various items in the contract. Installation for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6" into the ground. Additional flagging may be placed on overhanging vegetation to enhance visibility but does not substitute for installation of stakes.

Installation of boundary flagging for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall be performed in accordance with Subarticle 230-4(B)(5) or Subarticle 802-2(F) of the *Standard Specifications*. No direct pay will be made for this delineation, as the cost of same shall be included in the unit prices bid for the various items in the contract.

The Contractor shall be required to maintain alternative stakes and highly visible flagging in a satisfactory condition for the duration of the project as determined by the Engineer.

### Measurement and Payment

*Safety Fence* will be measured and paid as the actual number of linear feet of polyethylene or polypropylene fence installed in place and accepted. Such payment will be full compensation including but not limited to furnishing and installing fence geotextile with necessary posts and post bracing, staples, tie wires, tools, equipment and incidentals necessary to complete this work.

Payment will be made under:

Pay Item	Pay Unit
Safety Fence	Linear Foot

### PERMANENT SOIL REINFORCEMENT MAT:

#### Description

This work consists of furnishing and placing *Permanent Soil Reinforcement Mat*, of the type specified, over previously prepared areas as directed.

#### Materials

The product shall be a permanent erosion control reinforcement mat and shall be constructed of synthetic or a combination of coconut and synthetic fibers evenly distributed throughout the mat between a bottom UV stabilized netting and a heavy duty UV stabilized top net. The matting shall be stitched together with UV stabilized polypropylene thread to form a permanent three-dimensional structure. The mat shall have the following minimum physical properties:

Property	Test Method	Value	Unit
Light Penetration	ASTM D6567	9	%
Thickness	ASTM D6525	0.40	in
Mass Per Unit Area	ASTM D6566	0.55	lb/sy
Tensile Strength	ASTM D6818	385	lb/ft
Elongation (Maximum)	ASTM D6818	49	%
Resiliency	ASTM D1777	>70	%
UV Stability *	ASTM D4355	≥80	%
Porosity (Permanent Net)	ECTC Guidelines	≥85	%
Maximum Permissible Shear Stress (Vegetated)	Performance Bench Test	≥8.0	lb/ft <sup>2</sup>
Maximum Allowable Velocity (Vegetated)	Performance Bench Test	≥16.0	ft/s

\*ASTM D1682 Tensile Strength and % strength retention of material after 1000 hours of exposure.

Submit a certification (Type 1, 2, or 3) from the manufacturer showing:

- (A) the chemical and physical properties of the mat used, and
- (B) conformance of the mat with this specification.

### **Construction Methods**

Matting shall be installed in accordance with Subarticle 1631-3(B) of the *Standard Specifications*.

All areas to be protected with the mat shall be brought to final grade and seeded in accordance with Section 1660 of the *Standard Specifications*. The surface of the soil shall be smooth, firm, stable and free of rocks, clods, roots or other obstructions that would prevent the mat from lying in direct contact with the soil surface. Areas where the mat is to be placed will not need to be mulched.

### **Measurement and Payment**

*Permanent Soil Reinforcement Mat* will be measured and paid for as the actual number of square yards measured along the surface of the ground over which Permanent Soil Reinforcement Mat is installed and accepted. Overlaps will not be included in the measurement, and will be considered as incidental to the work. Such payment shall be full compensation for furnishing and installing the mat, including overlaps, and for all required maintenance.

Payment will be made under:

#### **Pay Item**

Permanent Soil Reinforcement Mat

#### **Pay Unit**

Square Yard

### **WATTLES WITH POLYACRYLAMIDE (PAM):**

#### **Description**

Wattles are tubular products consisting of excelsior fibers encased in synthetic netting. Wattles are used on slopes or channels to intercept runoff and act as a velocity break. Wattles are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of wattles, matting installation, PAM application, and removing wattles.

**Materials**

Wattle shall meet the following specifications:

100% Curled Wood (Excelsior) Fibers	
Minimum Diameter	12 in.
Minimum Density	2.5 lb/ft <sup>3</sup> +/- 10%
Net Material	Synthetic
Net Openings	1 in. x 1 in.
Net Configuration	Totally Encased
Minimum Weight	20 lb. +/- 10% per 10 ft. length

Anchors: Stakes shall be used as anchors.

Wooden Stakes:

Provide hardwood stakes a minimum of 2-ft. long with a 2 in. x 2 in. nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving down into the underlying soil.

Matting shall meet the requirements of Article 1060-8 of the *Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

Polyacrylamide (PAM) shall be applied in powder form and shall be anionic or neutrally charged. Soil samples shall be obtained in areas where the wattles will be placed, and from offsite material used to construct the roadway, and analyzed for the appropriate PAM flocculant to be utilized with each wattle. The PAM product used shall be listed on the North Carolina Department of Environment and Natural Resources (NCDENR) Division of Water Quality (DWQ) web site as an approved PAM product for use in North Carolina.

**Construction Methods**

Wattles shall be secured to the soil by wire staples approximately every 1 linear foot and at the end of each section of wattle. A minimum of 4 stakes shall be installed on the downstream side of the wattle with a maximum spacing of 2 linear feet along the wattle, and according to the detail. Install a minimum of 2 stakes on the upstream side of the wattle according to the detail provided in the plans. Stakes shall be driven into the ground a minimum of 10 in. with no more than 2 in. projecting from the top of the wattle. Drive stakes at an angle according to the detail provided in the plans.

Only install wattle(s) to a height in ditch so flow will not wash around wattle and scour ditch slopes and according to the detail provided in the plans and as directed. Overlap adjoining sections of wattles a minimum of 6 in.

Installation of matting shall be in accordance with the detail provided in the plans, and in accordance with Article 1631-3 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Apply PAM over the lower center portion of the wattle where the water is going to flow over at a rate of 2 ounces per wattle, and 1 ounce of PAM on matting on each side of the wattle. PAM applications shall be done during construction activities after every rainfall event that is equal to or exceeds 0.50 in.

The Contractor shall maintain the wattles until the project is accepted or until the wattles are removed, and shall remove and dispose of silt accumulations at the wattles when so directed in accordance with the requirements of Section 1630 of the *Standard Specifications*.

### **Measurement and Payment**

*Wattles* will be measured and paid for by the actual number of linear feet of wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Wattles*.

Matting will be measured and paid for in accordance with Article 1631-4 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

*Polyacrylamide(PAM)* will be measured and paid for by the actual weight in pounds of PAM applied to the wattles. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to apply the *Polyacrylamide(PAM)*.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Polyacrylamide(PAM)	Pound
Wattle	Linear Foot

### **TEMPORARY ROCK SILT CHECK TYPE A WITH EXCELSIOR MATTING AND POLYACRYLAMIDE (PAM):**

#### **Description**

Temporary Rock Silt Checks Type A with Excelsior Matting and Polyacrylamide (PAM) are devices utilized in temporary and permanent ditches to reduce runoff velocity and incorporate PAM into the construction runoff to increase settling of sediment particles and reduce turbidity of runoff. Temporary Rock Silt Checks Type A with Excelsior Matting and PAM are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of

Temporary Rock Silt Checks Type A, matting installation, PAM application, and removing Temporary Rock Silt Checks Type A with Excelsior Matting and PAM.

### **Materials**

Structural stone shall be class B stone that meets the requirements of Section 1042 of the *Standard Specifications* for Stone for Erosion Control, Class B.

Sediment control stone shall be #5 or #57 stone, which meets the requirements of Section 1005 of the *Standard Specifications* for these stone sizes.

Matting shall meet the requirements of Excelsior Matting in Subarticle 1060-8(B) of the *Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Polyacrylamide (PAM) shall be applied in powder form and shall be anionic or neutrally charged. Soil samples shall be obtained in areas where the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM will be placed, and from offsite material used to construct the roadway, and analyzed for the appropriate PAM flocculant to be utilized with each Temporary Rock Silt Check Type A. The PAM product used shall be listed on the North Carolina Department of Environment and Natural Resources (NCDENR) Division of Water Quality (DWQ) web site as an approved PAM product for use in North Carolina.

### **Construction Methods**

Temporary Rock Silt Checks Type A shall be installed in accordance with Subarticle 1633-3(A) of the *Standard Specifications*, Roadway Standard Drawing No. 1633.01 and the detail provided in the plans.

Installation of matting shall be in accordance with the detail provided in the plans, and anchored by placing Class B stone on top of the matting at the upper and lower ends.

Apply PAM at a rate of 4 ounces over the center portion of the Temporary Rock Silt Checks Type A and matting where the water is going to flow over. PAM applications shall be done during construction activities and after every rainfall event that is equal to or exceeds 0.50 in.

The Contractor shall maintain the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM until the project is accepted or until the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM are removed, and shall remove and dispose of silt accumulations at the Temporary Rock Silt Checks Type A with Excelsior Matting and PAM when so directed in accordance with the requirements of Section 1630 of the *Standard Specifications*.

### **Measurement and Payment**

*Temporary Rock Silt Checks Type A* will be measured and paid for in accordance with Article 1633-5 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Matting will be measured and paid for in accordance with Article 1631-4 of the *Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

*Polyacrylamide(PAM)* will be measured and paid for by the actual weight in pounds of PAM applied to the Temporary Rock Silt Checks Type A. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to apply the *Polyacrylamide(PAM)*.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Polyacrylamide(PAM)	Pound

### **COIR FIBER MAT:**

#### **Description**

Furnish material, install and maintain coir fiber mat in locations shown on the plans or in locations as directed. Work includes providing all materials, excavating and backfilling, and placing and securing coir fiber mat with stakes, steel reinforcement bars or staples as directed.

#### **Materials**

<b>Item</b>	<b>Section</b>
Coir Fiber Mat	1060-14

Anchors: Stakes, reinforcement bars, or staples shall be used as anchors.

#### Wooden Stakes:

Provide hardwood stakes 12"- 24" long with a 2" x 2" nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving through the coir fiber mat and down into the underlying soil. The other end of the stake needs to have a 1"- 2" long head at the top with a 1"- 2" notch following to catch and secure the coir fiber mat.

#### Steel Reinforcement Bars:

Provide uncoated #10 steel reinforcement bars 24" nominal length. The bars shall have a 4" diameter bend at one end with a 4" straight section at the tip to catch and secure the coir fiber mat.

#### Staples:

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.



**Construction Methods**

Place the coir fiber mat immediately upon final grading. Provide a smooth soil surface free from stones, clods, or debris that will prevent the contact of the mat with the soil. Unroll the mat and apply without stretching such that it will lie smoothly but loosely on the soil surface.

For stream relocation applications, take care to preserve the required line, grade, and cross section of the area covered. Bury the top slope end of each piece of mat in a narrow trench at least 6 in. deep and tamp firmly. Where one roll of matting ends and a second roll begins, overlap the end of the upper roll over the buried end of the second roll so there is a 6 in. overlap. Construct check trenches at least 12 in. deep every 50 ft. longitudinally along the edges of the mat or as directed. Fold over and bury mat to the full depth of the trench, close and tamp firmly. Overlap mat at least 6 in. where 2 or more widths of mat are installed side by side.

Place anchors across the mat at the ends approximately 1 ft. apart. Place anchors along the outer edges and down the center of the mat 3 ft. apart.

Adjustments in the trenching or anchoring requirements to fit individual site conditions may be required.

**Measurement and Payment**

*Coir Fiber Mat* will be measured and paid for as the actual number of square yards measured along the surface of the ground over which coir fiber mat is installed and accepted.

No measurement will be made for anchor items.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Coir Fiber Mat	Square Yard

**FLOATING TURBIDITY CURTAIN:****Description**

This work consists of furnishing a *Floating Turbidity Curtain* to deter silt suspension and movement of silt particles during construction. The floating turbidity curtain shall be constructed at locations as directed.

**Materials**

The curtain material shall be made of a tightly woven nylon, plastic or other non-deteriorating material meeting the following specifications:

<b>Property</b>	<b>Value</b>
Grab tensile strength	*md-370 lbs *cd-250 lbs
Mullen burst strength	480 psi
Trapezoid tear strength	*md-100 lbs *cd-60 lbs
Apparent opening size	70 US standard sieve
Percent open area	4% permittivity 0.28 sec-1

\*md - machine direction

\*cd - cross machine direction

In the event that more than one width of fabric is required, a 6" overlap of the material shall also be required.

The curtain material shall be supported by a flotation material having over 29 lbs/ft buoyancy. The floating curtain shall have a 5/16" galvanized chain as ballast and dual 5/16" galvanized wire ropes with a heavy vinyl coating as load lines.

**Construction Methods**

The Contractor shall maintain the *Floating Turbidity Curtain* in a satisfactory condition until its removal is requested by the Engineer. The curtain shall extend to the bottom of the jurisdictional resource. Anchor the curtain according to manufacturer recommendations.

**Measurement and Payment**

*Floating Turbidity Curtain* will be measured and paid for as the actual number of square yards of curtain furnished as specified and accepted. Such price and payment will be full compensation for the work as described in this section including but not limited to furnishing all materials, tools, equipment, and all incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Floating Turbidity Curtain	Square Yard

**CONCRETE WASHOUT STRUCTURE:****Description**

Concrete washout structures are watertight enclosures constructed above or below grade to contain concrete waste on construction sites. Concrete waste can include concrete waste water from washing out ready-mix trucks, drums, pumps, or other equipment. Concrete waste also includes concrete slurries from concrete saw cutting, coring, grinding, grooving operations, or hydro-concrete demolition. Concrete washouts must prevent the discharge of concrete waste materials to storm drainage systems, surface waters, wetlands, and buffers. Work for above grade washout structures includes gathering high cohesive and low infiltration soil to construct an above grade earthen berm basin. Work also includes preparing a rock and debris free soil base inside this earthen basin, installing a geomembrane liner in the basin, and then placing sandbags along the entire polypropylene liner basin perimeter. Work for below grade washout structures includes preparing a rock and debris free soil base, excavation of a basin with non-vertical side slopes, installing a geomembrane liner in the basin, and then placing sandbags along the entire polypropylene liner excavation perimeter. Construct a gravel pad with Class A stone and a geotextile under liner to provide a defined access path to the concrete washout structures. Install safety fence around the perimeter of the concrete washout structures.

**Materials**

<b>Item</b>	<b>Section</b>
Borrow Material	1018
Stone for Erosion Control, Class A	1042
Geotextile for Drainage, Type 2	1056

The geomembrane basin liner shall meet the following minimum physical properties for low permeability, polypropylene or polyethylene geomembranes:

<b>Property</b>	<b>Test Method</b>	<b>Value</b>	<b>Unit</b>
Thickness, nominal		10	mil
Weight		0.04	lbs./ft <sup>2</sup>
*1" Tensile Strength	ASTM D-751	52	lbf.
Elongation at Break	ASTM D-751	600	%
*Grab Tensile	ASTM D-751	70	lbf.
*Trapezoid Tear	ASTM D-4533	55	lbf.
Hydrostatic Resistance	ASTM D-751	70	lb./in <sup>2</sup>
Water Vapor Transmission Rate	ASTM E-96	0.03	gal/100in <sup>2</sup> /day
	Procedure B		
Perm Rating	ASTM E-96	0.066	U.S. Perms
	Procedure B		

**\*Tests are an average of diagonal directions.**

*Safety Fence* shall meet the specifications as provided elsewhere in this contract.

## Construction Methods

### Above Grade Structures

Assemble high cohesive and low infiltration soil to build an enclosed earthen berm for an above grade concrete washout basin in accordance with the details and as directed. Construct the height, length, and width of the earthen berm according to the detail. Slope the interior and exterior walls of the earthen berm at 1:1 and then compact to provide structural stability and contain concrete washout liquids and solid materials until evaporation, curing, extraction, or final removal.

The geomembrane liner will be of sufficient width and length so there will be no seams. Install the geomembrane lining by overlaying it in the basin to completely cover any exposed soil to create a water tight concrete washout basin. Extend the geomembrane lining from inside the basin floor, up the earth slope of the basin and extend, overlay, and wrap outside the earthen berm. Trench the toe of the geomembrane lining into an eight inch depth trench and then backfill and tamper with soil.

### Below Grade Structures

Excavate an area for concrete washout in accordance with the details and as directed. Excavate to a minimum depth of 3 feet. Slope the interior walls of the excavated area at 1:1 and then compact to provide structural stability and contain concrete washout liquids and solid materials until evaporation, curing, extraction, or final removal.

The geomembrane liner will be of sufficient width and length so there will be no seams. Install the geomembrane lining by overlaying it in the excavated area to completely cover any exposed soil to create a watertight impoundment. Extend the geomembrane lining from the excavation floor, up the interior slope of the excavated basin and beyond the outside perimeter of the excavation.

Prepare the soil base to be free of rocks or other debris that may cause holes or tears in the geomembrane lining.

Install safety fence around the perimeter of the concrete washout structures in accordance with the *Safety Fence and Jurisdictional Flagging* special provision.

Construct a stone gravel pad with Class A stone (or other approved aggregate material) and a geotextile liner to provide a defined access path to the concrete washout structure. Construct the stone gravel pad according to *Roadway Standard Drawings* No. 1607.01 and Section 1607 of the *Standard Specifications*. Post a sign with the words "Concrete Washout" in close proximity of the concrete washout area, so it is clearly visible to site personnel.

The construction details for the above grade and below grade concrete washout structures can be found on the following web page link:

[http://www.ncdot.gov/doh/operations/dp\\_chief\\_eng/roadside/soil\\_water/details/](http://www.ncdot.gov/doh/operations/dp_chief_eng/roadside/soil_water/details/)

**Maintenance and Removal**

Maintain the concrete washout structure(s) to provide adequate holding capacity plus a minimum freeboard of 12 inches. Remove and dispose of hardened concrete and return the structure to a functional condition after reaching 75% capacity.

Inspect concrete washout structures for damage (i.e. tears in geomembrane liner, missing sand bags) and maintain for effectiveness.

Remove the concrete washout structures and sign upon project completion. If appropriate and possible, reuse the geomembrane liner, the sandbags, orange safety fence, the Class A stone, and the geotextile. Otherwise, properly dispose of items. Grade the earth material to match the existing contours and permanently seed and mulch area.

**Measurement and Payment**

*Concrete Washout Structure* will be measured and paid for by counting the actual number of washout structures installed and maintained on the project. Such price and payment will be full compensation for all work including but not limited to furnishing materials, construction, maintenance and removal of concrete washout structures, grading and seeding and mulching area. The provisions of Article 104-5 of the *Standard Specifications* will not apply to this item of work.

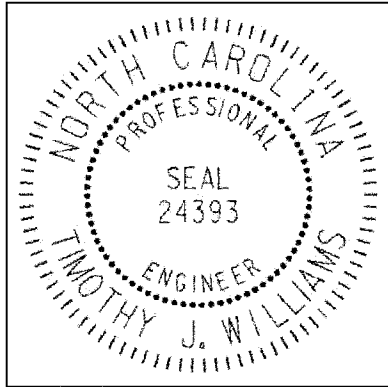
Payment will be made under:

**Pay Item**

Concrete Washout Structure

**Pay Unit**

Each



DocuSigned by:

J. J. Williams

8/28/2014

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## Project Special Provisions (Version 12.3)

### Signals and Intelligent Transportation Systems

Prepared By: MKM  
27-Aug-14

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**1. 2012 STANDARD SPECIFICATIONS FOR ROADS & STRUCTURES**

*The 2012 Standard Specifications are revised as follows:*

**1.1. Polymer Concrete (PC) Junction Boxes (1091-5(B))**

Page 10-202, revise paragraph starting on line 9 to read “Provide polymer concrete (PC) boxes which have bolted covers and open bottoms. Provide vertical extensions of 6" to 12" as required by project special provisions.”

Page 10-202, revise sentence beginning on line 14 to read “Other thermoplastic materials may be used for components which are not normally exposed to sunlight.”

**1.2. Junction Boxes (1098-5)**

Page 10-212, sub-Section 1098-5(C) Oversized Junction Boxes

Revise sentence to read, “Provide oversized junction boxes and covers with minimum inside dimensions of 28"(l) x 15"(w) x 22"(h).”

**1.3. Controllers with Cabinets – Material (1751-2)**

Page 17-37, Section 1751-2 Material

Add the following paragraph:

When the plans or specifications require a Type 2070L controller, contractor may provide a Type 2070E controller. Unless otherwise allowed by the Engineer, provide controllers of only one type.

**1.4. Pedestals (1743)**

Page 17-34, Add the following new sub-Section:

**1743-4 - Screw-In Helical Foundation Anchor Assembly****Description:**

Furnish and install screw-in helical foundation as an alternative to the standard reinforced concrete foundation specified in Article 1743 “Pedestals” of the Standard Specifications, for supporting Type I and Type II Pedestals. Do not use for Type III Pedestals.

**Materials for Type I – Pedestrian Pushbutton Post:**

Fabricate pipe assembly consisting of a 4" diameter x 56" long pipe, single helical blade and square fixed attachment plate. Furnish pipe in accordance with ASTM A-53 ERW Grade B and include a 2" x 3" cable opening in the pipe at 18" below the attachment plate. Furnish steel attachment plate and helical blade in accordance with ASTM A-36. Include (4) slotted mounting holes in the attachment plate to fit bolt circles ranging from 7-3/4" to 14-3/4" diameter. Furnish additional 3/4" keyholes at slotted holes to permit anchor bolt installation and replacement from top surface. Include combination bolt-head retainer and dirt scrapers at the attachment plate underside to allow for a level or flush-mount plate installation with respect to the finished grade. Galvanize pipe assembly components in accordance with AASHTO M 111 or an approved equivalent.

Furnish (4) 3/4"-10NC x 3" square head anchor bolts to meet the requirements of ASTM 325. Provide (4) 3/4" plain flat galvanized washers, (4) 3/16" thick galvanized plate washers and (4) 3/4" galvanized hex nuts. Galvanize in accordance with AASHTO M 111 or an approved equivalent.

**Construction Methods for Type I – Pedestrian Pushbutton Post:**

Advance or mechanically screw foundation into soil up until top of attachment plate is level with finished grade. Slide the anchor bolt heads through the keyhole openings and under the attachment



plate with threads pointing up. Bolt the pedestal base to the foundation attachment plate. For further construction methods, see manufacturer's installation drawings.

**Materials for Type II – Normal-Duty Pedestal:**

Fabricate pipe assembly consisting of a 6" diameter x 60" long, single helical blade, 1-1/4" diameter stinger rod and square fixed attachment plate. Furnish pipe in accordance with ASTM A-53 ERW Grade B using schedule 40 wall thickness and include a 2" x 3" cable opening in the pipe at 18" below the attachment plate. Furnish steel attachment plate, helical blade and stinger rod in accordance with ASTM A-36. Include (4) slotted mounting holes in the attachment plate to fit bolt circles ranging from 10" to 15" diameter. Furnish additional 1-1/4" keyholes at slotted holes to permit anchor bolt installation and replacement from top surface. Include combination bolt-head retainer and dirt scrapers at the attachment plate underside to allow for a level or flush-mount plate installation with respect to the finished grade. Galvanize pipe assembly components in accordance with AASHTO M 111 or an approved equivalent.

Furnish (4) 1"-8NC x 4" galvanized Grade 5 square head anchor bolts. Provide (4) 1" plain flat galvanized washers and (4) 1" galvanized hex nuts. Galvanize in accordance with AASHTO M 111 or an approved equivalent.

**Construction Methods for Type II – Normal-Duty Pedestal:**

Advance or mechanically screw foundation into soil up until top of attachment plate is level with finished grade. Slide the anchor bolt heads through the keyhole openings and under the attachment plate with threads pointing up. Bolt the pedestal base to the foundation attachment plate.

For further construction methods, see manufacturer's installation drawings.

Page 17-34, revise Measurement and Payment to sub-Section 1743-5.

Revise the last paragraph to read:

No measurement will be made for pedestal foundations, pedestal screw-in helical foundations, grounding systems and any peripheral pedestal mounting hardware as these are incidental to furnishing and installing pedestals.

## **2. SIGNAL HEADS**

### **2.1. MATERIALS**

#### **A. General:**

Fabricate vehicle signal head housings and end caps from die-cast aluminum. Fabricate 12-inch and 16-inch pedestrian signal head housings and end caps from die-cast aluminum. Fabricate 9-inch pedestrian signal head housings, end caps, and visors from virgin polycarbonate material. Provide visor mounting screws, door latches, and hinge pins fabricated from stainless steel. Provide interior screws, fasteners, and metal parts fabricated from stainless steel or corrosion resistant material.

Fabricate tunnel and traditional visors from sheet aluminum.

Paint all surfaces inside and outside of signal housings and doors. Paint outside surfaces of tunnel and traditional visors, messenger cable mounting assemblies, pole and pedestal mounting assemblies, and pedestrian pushbutton housings. Have electrostatically-applied, fused-polyester paint in highway yellow (Federal Standard 595C, Color Chip Number 13538) a minimum of 2.5 to 3.5 mils thick. Do not apply paint to the latching hardware or rigid vehicle signal head mounting brackets for mast-arm attachments.

Have the interior surfaces of tunnel and traditional visors painted an alkyd urea black synthetic baking enamel with a minimum gloss reflectance and meeting the requirements of MIL-E-10169, "Enamel Heat Resisting, Instrument Black."

Where required, provide polycarbonate signal heads and visors that comply with the provisions pertaining to the aluminum signal heads listed on the QPL with the following exceptions:

Fabricate signal head housings, end caps, and visors from virgin polycarbonate material. Provide UV stabilized polycarbonate plastic with a minimum thickness of  $0.1 \pm 0.01$  inches that is highway yellow (Federal Standard 595C, Color Chip 13538). Ensure the color is incorporated into the plastic material before molding the signal head housings and end caps. Ensure the plastic formulation provides the following physical properties in the assembly (tests may be performed on separately molded specimens):

Test	Required	Method
Specific Gravity	1.17 minimum	ASTM D 792
Flammability	Self-extinguishing	ASTM D 635
Tensile Strength, yield, PSI	8500 minimum	ASTM D 638
Izod impact strength, ft-lb/in [notched, 1/8 inch]	12 minimum	ASTM D 256

For pole mounting, provide side of pole mounting assemblies with framework and all other hardware necessary to make complete, watertight connections of the signal heads to the poles and pedestals. Fabricate the mounting assemblies and frames from aluminum with all necessary hardware, screws, washers, etc. to be stainless steel. Provide mounting fittings that match the positive locking device on the signal head with the serrations integrally cast into the brackets. Provide upper and lower pole plates that have a 1 1/4-inch vertical conduit entrance hubs with the hubs capped on the lower plate and 1 1/2-inch horizontal hubs. Ensure that the assemblies provide rigid attachments to poles and pedestals so as to allow no twisting or swaying of the signal heads. Ensure that all raceways are free of sharp edges and protrusions, and can accommodate a minimum of ten Number 14 AWG conductors.

For pedestal mounting, provide a post-top slipfitter mounting assembly that matches the positive locking device on the signal head with serrations integrally cast into the slipfitter. Provide stainless steel hardware, screws, washers, etc. Provide a minimum of six 3/8 X 3/4-inch long square head bolts for attachment to pedestal. Provide a center post for multi-way slipfitters.

For light emitting diode (LED) traffic signal modules, provide the following requirements for inclusion on the Department's Qualified Products List for traffic signal equipment.

1. Sample submittal,
2. Third-party independent laboratory testing results for each submitted module with evidence of testing and conformance with all of the Design Qualification Testing specified in section 6.4 of each of the following Institute of Transportation Engineers (ITE) specifications:
  - Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Circular Signal Supplement
  - Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement

- Pedestrian Traffic Control Signal Indications –Light Emitting Diode (LED) Signal Modules.

(Note: The Department currently recognizes two approved independent testing laboratories. They are Intertek ETL Semko and Light Metrics, Incorporated with Garwood Laboratories. Independent laboratory tests from other laboratories may be considered as part of the QPL submittal at the discretion of the Department,

3. Evidence of conformance with the requirements of these specifications,
4. A manufacturer's warranty statement in accordance with the required warranty, and
5. Submittal of manufacturer's design and production documentation for the model, including but not limited to, electrical schematics, electronic component values, proprietary part numbers, bill of materials, and production electrical and photometric test parameters.
6. Evidence of approval of the product to bear the Intertek ETL Verified product label for LED traffic signal modules.

In addition to meeting the performance requirements for the minimum period of 60 months, provide a written warranty against defects in materials and workmanship for the modules for a period of 60 months after installation of the modules. During the warranty period, the manufacturer must provide new replacement modules within 45 days of receipt of modules that have failed at no cost to the State. Repaired or refurbished modules may not be used to fulfill the manufacturer's warranty obligations. Provide manufacturer's warranty documentation to the Department during evaluation of product for inclusion on Qualified Products List (QPL).

#### **B. Vehicle Signal Heads:**

Comply with the ITE standard "Vehicle Traffic Control Signal Heads". Provide housings with provisions for attaching backplates.

Provide visors that are 8 inches in length for 8-inch vehicle signal head sections. Provide visors that are 10 inches in length for 12-inch vehicle signal heads.

Provide a termination block with one empty terminal for field wiring for each indication plus one empty terminal for the neutral conductor. Have all signal sections wired to the termination block. Provide barriers between the terminals that have terminal screws with a minimum Number 8 thread size and that will accommodate and secure spade lugs sized for a Number 10 terminal screw.

Mount termination blocks in the yellow signal head sections on all in-line vehicle signal heads. Mount the termination block in the red section on five-section vehicle signal heads.

Furnish vehicle signal head interconnecting brackets. Provide one-piece aluminum brackets less than 4.5 inches in height and with no threaded pipe connections. Provide hand holes on the bottom of the brackets to aid in installing wires to the signal heads. Lower brackets that carry no wires and are used only for connecting the bottom signal sections together may be flat in construction.

For messenger cable mounting, provide messenger cable hangers, wire outlet bodies, balance adjusters, bottom caps, wire entrance fitting brackets, and all other hardware necessary to make complete, watertight connections of the vehicle signal heads to the messenger cable. Fabricate mounting assemblies from malleable iron or steel and provide serrated rings made of aluminum. Provide messenger cable hangers and balance adjusters that are galvanized before being painted. Fabricate balance adjuster eyebolt and eyebolt nut from stainless steel or galvanized malleable iron.

Provide messenger cable hangers with U-bolt clamps. Fabricate washers, screws, bolts, clevis pins, cotter pins, nuts, and U-bolt clamps from stainless steel.

For mast-arm mounting, provide rigid vehicle signal head mounting brackets and all other hardware necessary to make complete, watertight connections of the vehicle signal heads to the mast arms and to provide a means for vertically adjusting the vehicle signal heads to proper alignment. Fabricate the mounting assemblies from aluminum, and provide serrated rings made of aluminum. Provide stainless steel cable attachment assemblies to secure the brackets to the mast arms. Ensure all fastening hardware and fasteners are fabricated from stainless steel.

Provide LED vehicular traffic signal modules (hereafter referred to as modules) that consist of an assembly that uses LEDs as the light source in lieu of an incandescent lamp for use in traffic signal sections. Use LEDs that are aluminum indium gallium phosphorus (AlInGaP) technology for red and yellow indications and indium gallium nitride (InGaN) for green indications. Install the ultra bright type LEDs that are rated for 100,000 hours of continuous operation from -40°F to +165°F. Design modules to have a minimum useful life of 60 months and to meet all parameters of this specification during this period of useful life.

For the modules, provide spade terminals crimped to the lead wires and sized for a #10 screw connection to the existing terminal block in a standard signal head. Do not provide other types of crimped terminals with a spade adapter.

Ensure the power supply is integral to the module assembly. On the back of the module, permanently mark the date of manufacture (month & year) or some other method of identifying date of manufacture.

Tint the red, yellow and green lenses to correspond with the wavelength (chromaticity) of the LED. Transparent tinting films are unacceptable. Provide a lens that is integral to the unit with a smooth outer surface.

### **1. LED Circular Signal Modules:**

Provide modules in the following configurations: 12-inch circular sections, and 8-inch circular sections. All makes and models of LED modules purchased for use on the State Highway System shall appear on the current NCDOT Traffic Signal Qualified Products List (QPL).

Provide the manufacturer's model number and the product number (assigned by the Department) for each module that appears on the 2012 or most recent Qualified Products List. In addition, provide manufacturer's certification in accordance with Article 106-3 of the *Standard Specifications*, that each module meets or exceeds the ITE "Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Circular Signal Supplement" dated June 27, 2005 (hereafter referred to as VTCSH Circular Supplement) and other requirements stated in this specification.

Provide modules that meet the following requirements when tested under the procedures outlined in the VTCSH Circular Supplement:

Module Type	Max. Wattage at 165° F	Nominal Wattage at 77° F
12-inch red circular	17	11
8-inch red circular	13	8
12-inch green circular	15	15

8-inch green circular	12	12
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For yellow circular signal modules, provide modules tested under the procedures outlined in the VTCSH Circular Supplement to insure power required at 77° F is 22 Watts or less for the 12-inch circular module and 13 Watts or less for the 8-inch circular module.

Note: Use a wattmeter having an accuracy of  $\pm 1\%$  to measure the nominal wattage and maximum wattage of a circular traffic signal module. Power may also be derived from voltage, current and power factor measurements.

## 2. LED Arrow Signal Modules

Provide 12-inch omnidirectional arrow signal modules. All makes and models of LED modules purchased for use on the State Highway System shall appear on the current NCDOT Traffic Signal Qualified Products List (QPL).

Provide the manufacturer's model number and the product number (assigned by the Department) for each module that appears on the 2012 or most recent Qualified Products List. In addition, provide manufacturer's certification in accordance with Article 106-3 of the *Standard Specifications*, that each module meets or exceeds the requirements for 12-inch omnidirectional modules specified in the ITE "Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Vehicle Arrow Traffic Signal Supplement" dated July 1, 2007 (hereafter referred to as VTCSH Arrow Supplement) and other requirements stated in this specification.

Provide modules that meet the following requirements when tested under the procedures outlined in the VTCSH Arrow Supplement:

Module Type	Max. Wattage at 165° F	Nominal Wattage at 77° F
12-inch red arrow	12	9
12-inch green arrow	11	11

For yellow arrow signal modules, provide modules tested under the procedures outlined in the VTCSH Arrow Supplement to insure power required at 77° F is 12 Watts or less.

Note: Use a wattmeter having an accuracy of  $\pm 1\%$  to measure the nominal wattage and maximum wattage of an arrow traffic signal module. Power may also be derived from voltage, current and power factor measurements.

## 3. LED U-Turn Arrow Signal Modules:

Provide modules in the following configurations: 12-inch left u-turn arrow signal modules and 12-inch right u-turn arrow signal modules.

Modules are not required to be listed on the ITS and Signals Qualified Products List. Provide manufacturer's certification in accordance with Article 106-3 of the *Standard Specifications*, that each module meets or exceeds the ITE "Vehicle Traffic Control Signal Heads – Light Emitting Diode (LED) Circular Signal Supplement" dated June 27, 2005 (hereafter referred to as VTCSH Circular Supplement) and other requirements stated in this specification.

Provide modules that have minimum maintained luminous intensity values that are not less than 16% of the values calculated using the method described in section 4.1 of the VTCSH Circular Supplement.

Provide modules that meet the following requirements when tested under the procedures outlined in the VTCSH Circular Supplement:

Module Type	Max. Wattage at 165° F	Nominal Wattage at 77° F
12-inch red u-turn arrow	17	11
12-inch green u-turn arrow	15	15

For yellow u-turn arrow signal modules, provide modules tested under the procedures outlined in the VTCSH Circular Supplement to ensure power required at 77° F is 22 Watts or less.

Note: Use a wattmeter having an accuracy of  $\pm 1\%$  to measure the nominal wattage and maximum wattage of a circular traffic signal module. Power may also be derived from voltage, current and power factor measurements.

### C. Pedestrian Signal Heads:

Provide pedestrian signal heads with international symbols that meet the MUTCD. Do not provide letter indications.

Comply with the ITE standard for “Pedestrian Traffic Control Signal Indications” and the following sections of the ITE standard for “Vehicle Traffic Control Signal Heads” in effect on the date of advertisement:

- Section 3.00 - “Physical and Mechanical Requirements”
- Section 4.01 - “Housing, Door, and Visor: General”
- Section 4.04 - “Housing, Door, and Visor: Materials and Fabrication”
- Section 7.00 - “Exterior Finish”

Provide a double-row termination block with three empty terminals and number 10 screws for field wiring. Provide barriers between the terminals that accommodate a spade lug sized for number 10 terminal screws. Mount the termination block in the hand section. Wire all signal sections to the terminal block.

Where required by the plans, provide 16-inch pedestrian signal heads with traditional three-sided, rectangular visors, 6 inches long. Where required by the plans, provide 12-inch pedestrian signal heads with traditional three-sided, rectangular visors, 8 inches long.

Provide 2-inch diameter pedestrian push-buttons with weather-tight housings fabricated from die-cast aluminum and threading in compliance with the NEC for rigid metal conduit. Provide a weep hole in the housing bottom and ensure that the unit is vandal resistant.

Provide push-button housings that are suitable for mounting on flat or curved surfaces and that will accept 1/2-inch conduit installed in the top. Provide units that have a heavy duty push-button assembly with a sturdy, momentary, normally-open switch. Have contacts that are electrically insulated from the housing and push-button. Ensure that the push-buttons are rated for a minimum of 5 mA at 24 volts DC and 250 mA at 12 volts AC.

Provide standard R10-3 signs with mounting hardware that comply with the MUTCD in effect on the date of advertisement. Provide R10-3E signs for countdown pedestrian heads and R10-3B for non-countdown pedestrian heads.

Design the LED pedestrian traffic signal modules (hereafter referred to as modules) for installation into standard pedestrian traffic signal sections that do not contain the incandescent signal section reflector, lens, eggcrate visor, gasket, or socket. Provide modules that consist of an assembly that uses LEDs as the light source in lieu of an incandescent lamp. Use LEDs that are of the latest

aluminum indium gallium phosphorus (AlInGaP) technology for the Portland Orange hand and countdown displays. Use LEDs that are of the latest indium gallium nitride (InGaN) technology for the Lunar White walking man displays. Install the ultra-bright type LEDs that are rated for 100,000 hours of continuous operation from -40°F to +165°F. Design modules to have a minimum useful life of 60 months and to meet all parameters of this specification during this period of useful life.

Design all modules to operate using a standard 3 - wire field installation. Provide spade terminals crimped to the lead wires and sized for a #10 screw connection to the existing terminal block in a standard pedestrian signal housing. Do not provide other types of crimped terminals with a spade adapter.

Ensure the power supply is integral to the module assembly. On the back of the module, permanently mark the date of manufacture (month & year) or some other method of identifying date of manufacture.

Provide modules in the following configuration: 16-inch displays which have the solid hand/walking man overlay on the left and the countdown on the right, and 12-inch displays which have the solid hand/walking man module as an overlay. All makes and models of LED modules purchased for use on the State Highway System shall appear on the current NCDOT Traffic Signal Qualified Products List (QPL).

Provide the manufacturer's model number and the product number (assigned by the Department) for each module that appears on the 2012 or most recent Qualified Products List. In addition, provide manufacturer's certification in accordance with Article 106-3 of the *Standard Specifications*, that each module meets or exceeds the ITE "Pedestrian Traffic Control Signal Indicators - Light Emitting Diode (LED) Signal Modules" dated August 04, 2010 (hereafter referred to as PTCSI Pedestrian Standard) and other requirements stated in this specification.

Provide modules that meet the following requirements when tested under the procedures outlined in the PTCSI Pedestrian Standard:

Module Type	Max. Wattage at 165° F	Nominal Wattage at 77° F
Hand Indication	16	13
Walking Man Indication	12	9
Countdown Indication	16	13

Note: Use a wattmeter having an accuracy of  $\pm 1\%$  to measure the nominal wattage and maximum wattage of a circular traffic signal module. Power may also be derived from voltage, current and power factor measurements.

Provide module lens that is hard coated or otherwise made to comply with the material exposure and weathering effects requirements of the Society of Automotive Engineers (SAE) J576. Ensure all exposed components of the module are suitable for prolonged exposure to the environment, without appreciable degradation that would interfere with function or appearance.

Ensure the countdown display continuously monitors the traffic controller to automatically learn the pedestrian phase time and update for subsequent changes to the pedestrian phase time.

Ensure the countdown display begins normal operation upon the completion of the preemption sequence and no more than one pedestrian clearance cycle.

**D. Signal Cable:**

Furnish 16-4 and 16-7 signal cable that complies with IMSA specification 20-1 except provide the following conductor insulation colors:

- For 16-4 cable: white, yellow, red, and green
- For 16-7 cable: white, yellow, red, green, yellow with black stripe tracer, red with black stripe tracer, and green with black stripe tracer. Apply continuous stripe tracer on conductor insulation with a longitudinal or spiral pattern.

Provide a ripcord to allow the cable jacket to be opened without using a cutter. IMSA specification 19-1 will not be acceptable. Provide a cable jacket labeled with the IMSA specification number and provide conductors constructed of stranded copper.

**3. TRAFFIC SIGNAL SUPPORTS****3.1. METAL TRAFFIC SIGNAL SUPPORTS – ALL POLES****A. General:**

Furnish and install metal strain poles and metal poles with mast arms, grounding systems, and all necessary hardware. The work covered by this special provision includes requirements for the design, fabrication, and installation of both standard and custom/site specifically designed metal traffic signal supports and associated foundations.

Provide metal traffic signal support systems that contain no guy assemblies, struts, or stay braces. Provide designs of completed assemblies with hardware that equals or exceeds AASHTO *Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals* 5th Edition, 2009 (hereafter called 5th Edition AASHTO), including the latest interim specifications. Provide assemblies with a round or near-round (18 sides or more) cross-section, or a multi sided cross section with no less than six sides. The sides may be straight, convex, or concave.

Pole heights shown on signal plans are estimated from available data for bid purposes. Prior to furnishing metal signal poles, use field measurements and adjusted cross-sections to determine whether pole heights are sufficient to obtain required clearances. If pole heights are not sufficient, the Contractor should immediately notify the Engineer of the required revised pole heights.

Ensure that metal signal poles permit cables to be installed inside poles and any required mast arms. For holes in the poles and arms used to accommodate cables, provide full-circumference grommets. Arm flange plate wire access holes should be deburred, non grommited, and oversized to fit around the 2" diameter grommited shaft flange plate wire access hole.

After fabrication, have steel poles, required mast arms, and all parts used in the assembly hot-dip galvanized per section 1076. Design structural assemblies with weep holes large enough and properly located to drain molten zinc during the galvanization process. Provide hot-dip galvanizing on structures that meets or exceeds ASTM Standard A-123. Provide galvanizing on hardware that meets or exceeds ASTM Standard A-153. Ensure that threaded material is brushed and retapped as necessary after galvanizing. Perform repair of damaged galvanizing that complies with the following:

Repair of Galvanizing .....Article 1076-7

Standard Drawings for Metal Poles are available that supplement these project special provisions. These drawings are located on the Department's website:



<https://connect.ncdot.gov/resources/safety/pages/ITS-Design-Resources.aspx>

Comply with article 1098-1B of the *2012 STANDARD SPECIFICATIONS FOR ROADS & STRUCTURES*, hereinafter referred to as the *Standard Specifications* for submittal requirements. Furnish shop drawings for approval. Provide the copies of detailed shop drawings for each type of structure as summarized below. Ensure that shop drawings include material specifications for each component and identify welds by type and size on the detail drawing only, not in table format. **Do not release structures for fabrication until shop drawings have been approved by NCDOT.** Provide an itemized bill of materials for all structural components and associated connecting hardware on the drawings.

Comply with article 1098-1A of the *Standard Specifications* for Qualified Products List (QPL) submittals. All shop drawings must include project location description, signal inventory number(s) and a project number or work order number on the drawings.

Summary of information required for metal pole review submittal:

Item	Hardcopy Submittal	Electronic Submittal	Comments / Special Instructions
Sealed, Approved Signal Plan/Loading Diagram	1	1	All structure design information needs to reflect the latest approved signal plans
Custom Pole Shop Drawings	4 sets	1 set	Show NCDOT inventory number(s), contractor's name and relevant revision number in the title block. All drawings must have a unique <u>drawing</u> number for each project and identified for multiple pages.
Standard Pole Shop Drawings (from the QPL)	4 sets	1 set	Submit drawings on 11" x 17" format media. Show NCDOT inventory number(s), contractor's name and relevant revision number in the title block. All drawings must have a <u>unique drawing</u> number for each project and identified for multiple pages.
Structure Calculations	1 set	1 set	Not required for Standard QPL Poles
Standard Pole Foundation Drawings	1 set	1 set	Submit drawings on 11" x 17" format media. Submit a completed Standard Foundation Selection form for each pole using foundation table on Metal Pole Drawing M-8.
Custom Foundation Drawings	4 sets	1 set	Submit drawings on 11" x 17" format media. Show NCDOT inventory number(s), contractor's name and relevant revision number in the title block. All drawings must have a <u>unique drawing</u> number for each project and identified for multiple pages.  If QPL Poles are used, include the corresponding QPL pole shop drawings with this submittal.

Foundation Calculations	1	1	<p><b>Submit copies of LPILE input, output and pile tip deflection graph per Section 11.4 of this specification for each foundation.</b></p> <p>Not required for Standard QPL Poles</p>
Soil Boring Logs and Report	1	1	<p>Report should include a location plan and a soil classification report including soil capacity, water level, hammer efficiency, soil bearing pressure, soil density, etc. for each pole.</p>

**NOTE** – All shop drawings and custom foundation design drawings must be sealed by a Professional Engineer licensed in the state of North Carolina. All geotechnical information must be sealed by either a Professional Engineer or geologist licensed in the state of North Carolina. Include a title block and revision block on the shop drawings and foundation drawings showing the NCDOT inventory number.

**Shop drawings and foundation drawings may be submitted together or separately for approval. However, shop drawings must be approved before foundations can be reviewed.** Foundation designs will be returned without review if the associated shop drawing has not been approved. Incomplete submittals will be returned without review. The Reviewer has the right to request additional analysis and copies of the calculations to expedite the approval process.

## **B. Materials:**

Fabricate metal pole and arm shaft from coil or plate steel to meet the requirements of ASTM A 595 Grade A tubes. For structural steel shapes, plates and bars use A572 Gr 50 min or ASTM A709 Gr 50 min. Provide pole and arm shafts that are round in cross section or multisided tubular shapes and have a uniform linear taper of 0.14 in/ft. Construct shafts from one piece of single ply plate or coil so there are no circumferential weld splices. Galvanize in accordance with AASHTO M 111 or an approved equivalent.

Use the submerged arc process or other NCDOT previously approved process suitable for pole shaft and arms to continuously weld pole shafts and arm shafts along their entire length. The longitudinal seam weld will be finished flush to the outside contour of the base metal. Ensure shafts have no circumferential welds except at the lower end joining the shaft to the pole base and arm base. Provide welding that conforms to Article 1072-18 of the *Standard Specifications*, except that no field welding on any part of the pole will be permitted unless approved by a qualified engineer.

Refer to Metal Pole Standard Drawing Sheets M2 through M5 for fabrication details. Fabricate anchor bases from plate steel meeting, as a minimum, the requirements of ASTM A 36M or cast steel meeting the requirements of ASTM A 27M Grade 485-250, AASHTO M270 Gr 36 or an approved equivalent. Conform to the applicable bolt pattern and orientation as shown on Metal Pole Standard Drawing Sheet M2.

Ensure all hardware is galvanized steel or stainless steel. The Contractor is responsible for ensuring that the designer/fabricator specifies connecting hardware and/or materials that do not create a dissimilar metal corrosive reaction.

Provide a minimum of four (4) 1-1/2" diameter high strength bolts for connection between arm plate and pole plate. Increase number of bolts to six (6) 1-1/2" diameter high strength bolts when arm lengths are greater than 50'-0" long.

Unless otherwise required by the design, ensure each anchor rod is 2" diameter and 60" length. Provide 10" minimum thread projection at the top of the rod, and 8" minimum at the bottom of the rod. Use anchor rod assembly and drilled pier foundation materials that meet the *Foundations and Anchor Rod Assemblies for Metal Poles* provision.

For each structural bolt and other steel hardware, hot dip galvanizing shall conform to the requirements of AASHTO M 232 (ASTM A 153). Ensure end caps for poles or mast arms are constructed of cast aluminum conforming to Aluminum Alloy 356.0F.

Provide a circular anchor bolt lock plate that will be secured to the anchor bolts at the embedded end with 2 washers and 2 nuts. Provide a base plate template that matches the bolt circle diameter of the anchor bolt lock plate. Construct plates and templates from ¼" minimum thick steel with a minimum width of 4". Galvanizing is not required for both plates.

Provide 4 heavy hex nuts and 4 flat washers for each anchor bolt. For nuts, use AASHTO M291 grade 2H, DH, or DH3 or equivalent material. For flat washers, use AASHTO M293 or equivalent material.

### **C. Construction Methods:**

Erect signal support poles only after concrete has attained a minimum allowable compressive strength of 3000 psi. Install anchor rod assemblies in accordance with the *Foundations and Anchor Rod Assemblies for Metal Poles* provision.

For further construction methods, see construction methods for Metal Strain Pole, or Metal Pole with Mast Arm.

Connect poles to grounding electrodes and bond them to the electrical service grounding electrodes.

For holes in the poles used to accommodate cables, install grommets before wiring pole or arm. Do not cut or split grommets.

Attach the terminal compartment cover to the pole by a sturdy chain or cable. Ensure the chain or cable is long enough to permit the cover to hang clear of the compartment opening when the cover is removed, and is strong enough to prevent vandalism. Ensure the chain or cable will not interfere with service to the cables in the pole base.

Attach cap to pole with a sturdy chain or cable. Ensure the chain or cable is long enough to permit the cap to hang clear of the opening when the cap is removed.

Perform repair of damaged galvanizing that complies with the *Standard Specifications*, Article 1076-7 "Repair of Galvanizing."

Install galvanized wire mesh around the perimeter of the base plate to cover the gap between the base plate and top of foundation for debris and pest control.

Install a ¼" thick plate for concrete foundation tag to include: concrete grade, depth, diameter, and reinforcement sizes of the installed foundation.

## **3.2. METAL POLE UPRIGHTS (VERTICAL MEMBERS)**

### **A. Materials:**

- Provide tapered tubular shafts and fabricated of steel conforming to ASTM A-595 Grade A or an approved equivalent.

- Hot-dip galvanize poles in accordance with AASHTO M 111 or an approved equivalent.
- Have shafts that are continuously welded for the entire length by the submerged arc process, and with exposed welds ground or rolled smooth and flush with the base metal. Provide welding that conforms to Article 1072-18 of the *Standard Specification* except that no field welding on any part of the pole will be permitted.
- Have Shafts with no circumferential welds except at the lower end joining the shaft to the base.
- Have anchor bases for steel poles fabricated from plate steel meeting as a minimum the requirements of ASTM A 36M or cast steel meeting the requirements of ASTM A 27M Grade 485-250 or an approved equivalent.

Provide a grounding lug(s) in the approximate vicinity of the messenger cable clamp for bonding and grounding messenger cable. Lugs must accept #4 or #6 AWG wire to bond messenger cables to the pole in order to provide an effective ground fault circuit path. Refer to Metal Pole Standard Drawing Sheet M6 for construction details.

Have poles permanently stamped above the hand holes with the identification tag details as shown on Metal Pole Standard Drawing Sheet M2.

Provide liquid tight flexible metal conduit (Type LFMC), liquid tight flexible nonmetallic conduit (Type LFNC), high density polyethylene conduit (Type HDPE), or approved equivalent to isolate conductors feeding luminaires.

Fabricate poles from a single piece of steel or aluminum with single line seam weld with no transverse butt welds. Fabrication of two ply pole shafts is unacceptable with the exception of fluted shafts. Provide tapers for all shafts that begin at base and that have diameters which decrease uniformly at the rate of not more than 0.14 inch per foot (11.7 millimeters per meter) of length.

Provide four anchor nuts and four washers for each anchor bolt. Ensure that anchor bolts have required diameters, lengths, and positions, and will develop strengths comparable to their respective poles.

Provide a terminal compartment with cover and screws in each pole that encompasses the hand hole and contains a 12-terminal barrier type terminal block. Provide two terminal screws with a removable shorting bar between them for each termination. Furnish terminal compartment covers attached to the pole by a sturdy chain or cable approved by the Engineer. Ensure that the chain or cable is long enough to permit the cover to hang clear of the compartment opening when the cover is removed, and is strong enough to prevent vandals from being able to disconnect the cover from the pole. Ensure that the chain or cable will not interfere with service to the cables in the pole base.

Install grounding lugs that will accept #4 or #6 AWG wire to electrically bond messenger cables to the pole. Refer to Metal Pole Standard Drawing Sheet M6 for construction details.

For each pole, provide a 1/2 inch minimum thread diameter, coarse thread stud and nut for grounding which will accommodate #6 AWG ground wire. Ensure that the lug is electrically bonded to the pole and is conveniently located inside the pole at the hand hole.

Provide a removable pole cap with stainless steel attachment screws for the top of each pole. Ensure that the cap is cast aluminum conforming to Aluminum Association Alloy 356.0F. Furnish cap attached to the pole with a sturdy chain or cable approved by the Engineer. Ensure that the chain

or cable is long enough to permit the cap to hang clear of the pole-top opening when the cap is removed.

When required by the plans, furnish couplings 42 inches above the bottom of the base for mounting of pedestrian pushbuttons. Provide mounting points consisting of 1-1/2 inch internally threaded half-couplings that comply with the NEC and that are mounted within the poles. Ensure that couplings are essentially flush with the outside surfaces of the poles and are installed before any required galvanizing. Provide a threaded plug in each mounting point. Ensure that the surface of the plug is essentially flush with the outer end of the mounting point when installed and has a recessed hole to accommodate a standard wrench.

### **3.3. MAST ARM POLE SHAFTS**

Ensure that allowable pole deflection does not exceed that allowed per 5<sup>th</sup> Edition AASHTO. Ensure that maximum angular rotation of the top of the mast arm pole does not exceed 1 degree 40 minutes (1°40').

#### **A. Construction Methods:**

Install metal poles, hardware, and fittings as shown on the manufacturer's installation drawings. Install metal poles so that when the pole is fully loaded it is within 1 degree 40 minutes (1°40') of vertical. Install poles with the manufacturer's recommended "rake." Use threaded leveling nuts to establish rake if required.

### **3.4. MAST ARMS**

Provide pole plates and associated gussets and fittings for attachment of required mast arms. As part of each mast arm attachment, provide a cable passage hole in the pole to allow passage of signal cables from the pole to the arm.

Ensure that allowable mast arm deflection does not exceed that allowed per 5<sup>th</sup> Edition AASHTO. Also when arm is fully loaded, tip of the arm shall not go below the arm attachment point with the pole for all load conditions per 5<sup>th</sup> Edition AASHTO.

Furnish all arm plates and necessary attachment hardware, including bolts and brackets.

Provide two extra bolts for each arm.

Provide grommet holes on the arms to accommodate cables for the signals.

Provide arms with weatherproof connections for attaching to the shaft of the pole.

Provide hardware that is galvanized steel, stainless steel, or corrosive-resistant aluminum.

Provide a removable end cap with stainless steel attachment screws for the end of each mast arm. Ensure that the cap is cast aluminum conforming to Aluminum Association Alloy 356.0F. Furnish cap attached to the arm with a sturdy chain or cable approved by the Engineer. Ensure that the chain or cable is long enough to permit the cap to hang clear of the arm end opening when the cap is removed.

#### **Comply with the following for Steel Luminaire Arms:**

- In addition to tapered tube, luminaire arms may be standard weight black steel pipe conforming to ASTM A 53-90a, Type E or Type S, Grade B or an approved equivalent.
- Conform to the welding requirements of the steel poles.

- After all fabricating, cutting, punching, and welding are completed, luminaire arms should be hot-dipped galvanized inside and outside.
- In accordance with the National Electrical Code (NEC) Article 230.2(E), provide identification of the electrical source provider for the luminaire feeder circuit with contact information on a permanent label located in the pole hand hole in the vicinity of the feeder circuit raceway.

#### A. Materials:

After all fabricating, cutting, punching, and welding are completed, hot-dip galvanize the structure in accordance with the AASHTO M 111 or an approved equivalent.

#### B. Construction Methods:

Install horizontal-type arms with sufficient manufactured rise to keep arm from deflecting below the arm attachment height.

Attach cap to the mast arm with a sturdy chain or cable. Ensure that the chain or cable is long enough to permit the cap to hang clear of the arm opening when the cap is removed.

For mast arm poles, use full penetration welds with back-up ring at the pole base and at the arm base connection.

### 3.5. DRILLED PIER FOUNDATIONS FOR METAL TRAFFIC SIGNAL POLES

Analysis procedures and formulas shall be based on AASHTO 5<sup>th</sup> Edition, latest ACI code and the *Drilled Shafts: Construction Procedures and Design Methods* FHWA-IF99-025 manual. Design methods based on engineering publications or research papers needs to have prior approval from NCDOT. The Department reserves the right to accept or disapprove any method used for the analysis.

Use a Factor of Safety of 1.33 for torsion and 2.0 for bending for the foundation design.

Foundation design for lateral load shall not exceed 1" lateral deflection at top of foundation.

For lateral analysis, use LPILE Plus V6.0 or later. Inputs, results and corresponding graphs are to be submitted with the design calculations.

Skin Friction is to be calculated using the  $\alpha$ -method for cohesive soils and the  $\beta$ -method for cohesion-less soils (**Bröms method will not be accepted**). Detailed descriptions of the " $\alpha$ " and " $\beta$ " methods can be found in *FHWA-IF-99-025*.

Omit first 2.5ft for cohesive soils when calculating skin friction.

When extreme loading and poor soil conditions are encountered, the one diameter length omitted from the shaft depth calculations (per FHWA-1F-99-025) may be added back in for Torsion calculations (with prior NCDOT approval).

When hammer efficiency is not provided, assume a value of 0.70.

Design all custom foundations to carry the maximum capacity of each metal pole. For standard case strain poles only, if a custom foundation is designed, use the shear, axial and moment reactions from the Standard Foundation Selection Table shown on Standard Drawing Nos. M8 and M9.

When poor soil conditions are encountered which could create an excessively large foundation design, consideration may be given to allowing an exemption to the maximum capacity design. The

contractor must gain approval from the engineer before reducing a foundation's capacity. On projects where poor soil is known to be present, it is advisable that the contractor consider getting foundations approved before releasing poles for fabrication.

Have the contractor notify the engineer if the proposed foundation is to be installed on a slope other than 8H: 1V or flatter.

#### **A. Description:**

Furnish and install foundations for NCDOT metal poles with all necessary hardware in accordance with the plans and specifications.

Metal Pole Standards have been developed and implemented by NCDOT for use at signalized intersections in North Carolina. If the plans call for a standard pole, then a standard foundation may be selected from the plans. However, the Contractor is not required to use a standard foundation. If the Contractor chooses to design a non-standard site-specific foundation for a standard pole or if the plans call for a non-standard site-specific pole, design the foundation to conform to the applicable provisions in the NCDOT Metal Pole Standard Drawings and Section B7 (Non-Standard Foundation Design) below. If non-standard site specific foundations are designed for standard QPL approved strain poles, the foundation designer must use the design moment specified by load case on Metal Pole Standard Drawing Sheets M8 and M9. Failure to conform to this requirement will be grounds for rejection of the design.

If the Contractor chooses to design a non-standard foundation for a standard pole and the soil test results indicate a standard foundation is feasible for the site, the Contractor will be paid the cost of the standard foundation (drilled pier and wing wall, if applicable). Any additional costs associated with a non-standard site-specific foundation including additional materials, labor and equipment will be considered incidental to the cost of the standard foundation. All costs for the non-standard foundation design will also be considered incidental to the cost of the standard foundation.

#### **B. Soil Test and Foundation Determination:**

##### **4. General:**

Drilled piers are reinforced concrete sections, cast-in-place against in situ, undisturbed material. Drilled piers are of straight shaft type and vertical.

Some standard drilled piers for supporting poles with mast arms may require wing walls to resist torsional rotation. Based upon this provision and the results of the required soil test, a drilled pier length and wing wall requirement may be determined and constructed in accordance with the plans.

For non-standard site-specific poles, the contractor-selected pole fabricator will determine if the addition of wing walls is necessary for the supporting foundations.

##### **5. Soil Test:**

Perform a soil test at each proposed metal pole location. Complete all required fill placement and excavation at each signal pole location to finished grade before drilling each boring. Soil tests performed that are not in compliance with this requirement may be rejected and will not be paid. Drill one boring to a depth of 26 feet within a 25 foot radius of each proposed foundation.

Perform standard penetration tests (SPT) in accordance with ASTM D 1586 at depths of 1, 2.5, 5, 7.5, 10, 15, 20 and 26 feet. Discontinue the boring if one of the following occurs:

- A total of 100 blows have been applied in any 2 consecutive 6-in. intervals.

- A total of 50 blows have been applied with < 3-in. penetration.

Describe each intersection as the “Intersection of (Route or SR #), (Street Name) and (Route or SR #), (Street Name), \_\_\_\_\_ County, Signal Inventory No. \_\_\_\_\_”. Label borings with “B- N, S, E, W, NE, NW, SE or SW” corresponding to the quadrant location within the intersection. Pole numbers should be made available to the Drill Contractor. Include pole numbers in the boring label if they are available. If they are not available, ensure the boring labels can be cross-referenced to corresponding pole numbers. For each boring, submit a legible (hand written or typed) boring log signed and sealed by a licensed Geologist or Professional Engineer registered in North Carolina. Include on each boring the SPT blow counts and N-values at each depth, depth of the boring, hammer efficiency, depth of water table and a general description of the soil types encountered using the AASHTO Classification System.

#### 6. Standard Foundation Determination:

Use the following method for determining the Design N-value:

$$N_{AVG} = \frac{(N@1' + N@2.5' + \dots + N@Deepest \text{ Boring Depth})}{\text{Total Number of N-values}}$$

$$Y = (N@1')^2 + (N@2.5')^2 + \dots + (N@Deepest \text{ Boring Depth})^2$$

$$Z = (N@1' + N@2.5' + \dots + N@Deepest \text{ Boring Depth})$$

$$N_{STD \text{ DEV}} = \left[ \frac{(\text{Total Number of N-values} \times Y) - Z^2}{(\text{Total Number of N-values}) \times (\text{Total Number of N-values} - 1)} \right]^{0.5}$$

**Design N-value** equals lesser of the following two conditions:

$$N_{AVG} - (N_{STD \text{ DEV}} \times 0.45)$$

Or

$$\text{Average of First Four N-Values} = \frac{(N@1' + N@2.5' + N@5' + N@7.5')}{4}$$

*Note: If less than 4 N-values are obtained because of criteria listed in Section 2 above, use average of N-values collected for second condition. Do not include the N-value at the deepest boring depth for above calculations if the boring is discontinued at or before the required boring depth because of criteria listed in Section 2 above. Use N-value of zero for weight of hammer or weight of rod. If N-value is greater than 50, reduce N-value to 50 for calculations.*

If standard NCDOT strain poles are shown on the plans and the Contractor chooses to use standard foundations, determine a drilled pier length, “L,” for each signal pole from the Standard Foundations Chart (sheet M 8) based on the Design N-value and the predominant soil type. For each standard pole location, submit a completed “Metal Pole Standard Foundation Selection Form”



signed by the Contractor's representative. Signature on form is for verification purposes only. Include the Design N-value calculation and resulting drilled pier length, "L," on each form.

If non-standard site-specific poles are shown on the plans, submit completed boring logs collected in accordance with Section 2 (Soil Test) above along with pole loading diagrams from the plans to the contractor-selected pole fabricator to assist in the pole and foundation design.

If one of the following occurs, the Standard Foundations Chart shown on the plans may not be used and a non-standard foundation may be required. In such case, contact the Engineer.

- The Design N-value is less than 4.
- The drilled pier length, "L", determined from the Standard Foundations Chart, is greater than the depth of the corresponding boring.

In the case where a standard foundation cannot be used, the Department will be responsible for the additional cost of the non-standard foundation.

Foundation designs are based on level ground around the traffic signal pole. If the slope around the edge of the drilled pier is steeper than 8:1 (H:V) or the proposed foundation will be less than 10 feet from the top of an embankment slope, the Contractor is responsible for providing slope information to the foundation designer and to the Engineer so it can be considered in the design.

The "Metal Pole Standard Foundation Selection Form" may be found at:

<http://www.ncdot.gov/doh/preconstruct/highway/geotech/formdet/misc/MetalPole.pdf>

If assistance is needed, contact the Engineer.

#### **7. Non-Standard Foundation Design:**

Design non-standard foundations based upon site-specific soil test information collected in accordance with Section 2 (Soil Test) above. Design drilled piers for side resistance only in accordance with Section 4.6 of the *AASHTO Standard Specifications for Highway Bridges*. Use the computer software LPILE version-6.0 or later manufactured by Ensoft, Inc. to analyze drilled piers. Use the computer software gINT V8i or later manufactured by Bentley Systems, Inc. with the current NCDOT gINT library and data template to produce SPT boring logs. Provide a drilled pier foundation for each pole with a length and diameter that result in a horizontal lateral movement of less than 1 inch at the top of the pier and a horizontal rotational movement of less than 1 inch at the edge of the pier. Contact the Engineer for pole loading diagrams for standard poles to be used for non-standard foundation designs. Submit any non-standard foundation designs including drawings, calculations, and soil boring logs to the Engineer for review and approval before construction.

#### **C. Drilled Pier Construction:**

Construct drilled pier foundations in accordance with the *Foundations and Anchor Rod Assemblies for Metal Poles* provision.

### **3.6. CUSTOM DESIGN OF TRAFFIC SIGNAL SUPPORTS**

#### **A. General:**

Design traffic signal supports with foundations consisting of metal strain poles or metal poles with mast arms.

The lengths of the metal signal poles shown on the plans are estimated from available data for bid purposes. Determine the actual length of each pole from field measurements and adjusted cross-sections. Furnish the revised pole heights to the Engineer. Use all other dimensional requirements shown on the plans.

Ensure each pole includes an identification tag with information and location positions as defined on Metal Pole Standard Drawing Sheets M2, M3 and M4. All pole shaft tags must include the NCDOT Inventory number followed by the pole number shown on the traffic signal or ITS (non-signalized locations) plan.

Design all traffic signal support structures using the following 5th Edition AASHTO specifications:

- Design for a 50 year service life as recommended by Table 3-3.
- Use the wind pressure map developed from 3-second gust speeds, as provided in Article 3.8.
- Ensure signal support structures include natural wind gust loading and truck-induced gust loading in the fatigue design, as provided for in Articles 11.7.3 and 11.7.4, respectively. Designs need not consider periodic galloping forces.
- Assume the natural wind gust speed in North Carolina is 11.2 mph. For natural wind fatigue stress calculations, utilize a drag coefficient ( $C_d$ ) computed for 11.2 mph wind velocity and not the basic wind speed velocity.
- Design for Category II fatigue, as provided for in Article 11.6, unless otherwise specified.
- Calculate all stresses using applicable equations from Section 5. The Maximum allowable stress ratios for all signal support designs are 0.9.
- Conform to article 10.4.2 and 11.8 for all deflection requirements.

Ensure that the design permits cables to be installed inside poles and mast arms.

Unless otherwise specified by special loading criteria, the computed surface area for ice load on signal heads is:

- 3-section, 12-inch, Surface area: 26.0 ft<sup>2</sup> (17.0 ft<sup>2</sup> without back plate)
- 4-section, 12-inch, Surface area: 32.0 ft<sup>2</sup> (21.0 ft<sup>2</sup> without back plate)
- 5-section, 12-inch, Surface area: 42.0 ft<sup>2</sup> (29.0 ft<sup>2</sup> without back plate)

The ice loading for signal heads defined above includes the additional surface area that back plates will induce. Special loading criteria may be specified in instances where back plates will not be installed on signal heads. Refer to the Loading Schedule on each Metal Pole Loading Diagram for revised signal head surface areas. The pole designer should revise ice loads accordingly in this instance. Careful examination of the plans when this is specified is important as this may impact sizing of the metal support structure and foundation design which could affect proposed bid quotes. All maximum stress ratios of 0.9 still apply.

Assume the combined minimum weight of a messenger cable bundle (including messenger cable, signal cable and detector lead-in cables) is 1.3 lbs/ft. Assume the combined minimum diameter of this cable bundle is 1.3 inches.

Ensure that designs provide a removable pole cap with stainless steel attachment screws for each pole top and mast arm end.

**B. Metal Poles:**

Submit design drawings for approval including pre-approved QPL pole drawings. Show all the necessary details and calculations for the metal poles including the foundation and connections. Include NCDOT inventory number on design drawings. Include as part of the design calculations the ASTM specification numbers for the materials to be used. Provide the types and sizes of welds on the design drawings. Include a Bill of Materials on design drawings. Ensure design drawings and calculations are signed, dated, and sealed by the responsible professional engineer licensed in the state of North Carolina. Immediately bring to the attention of the Engineer any structural deficiency that becomes apparent in any assembly or member of any assembly as a result of the design requirements imposed by these specifications, the plans, or the typical drawings. Said Professional Engineer is wholly responsible for the design of all poles and arms. Review and acceptance of these designs by the Department does not relieve the said Professional Engineer of his responsibility. **Do not fabricate the assemblies until receipt of the Department's approval of the design drawings.**

For mast arm poles, provide designs with provisions for pole plates and associated gussets and fittings for mast arm attachment. As part of each mast arm attachment, provide a grommited 2" diameter hole on the shaft side of the connection to allow passage of the signal cables from the pole to the arm.

Where ice is present, assume wind loads as shown in Figure 3-5 of the 5th Edition AASHTO Specification for Group III loading.

For each strain pole, provide two messenger cable clamps and associated hardware to attach the messenger support cable. Ensure that the diameter of the clamps is appropriately designed to be adjustable from 1'-6" inches below the top, down to 6'-6" below the top of the pole. Do not attach more than one messenger support cable to a messenger cable clamp.

Provide a grounding lug(s) in the approximate vicinity of the messenger cable clamp for bonding and grounding messenger cable. Lugs must accept #4 or #6 AWG wire to bond messenger cables to the pole in order to provide an effective ground fault circuit path. Refer to Metal Pole Standard Drawing Sheet M6 for construction details.

Design tapers for all pole shafts that begin at the base with diameters that decrease uniformly at the rate of 0.14 inch per foot of length.

Design a base plate on each pole. The minimum base plate thickness for all poles is determined by the following criteria:

Case 1 Circular or rectangular solid base plate with the upright pole welded to the top surface of base plate with full penetration butt weld, and where no stiffeners are provided. A base plate with a small center hole, which is less than 1/3 of the upright diameter, and located concentrically with the upright pole, may be considered as a solid base plate.

The magnitude of bending moment in the base plate, induced by the anchoring force of each anchor bolt is  $M = (P \times D_1) / 2$ , where

M = bending moment at the critical section of the base plate induced by one anchor bolt

P = anchoring force of each anchor bolt

$D_1$  = horizontal distance between the anchor bolt center and the outer face of the upright, or the difference between the bolt circle radius and the outside radius of the upright

Locate the critical section at the face of the anchor bolt and perpendicular to the bolt circle radius. The overlapped part of two adjacent critical sections is considered ineffective.

Case 2 Circular or rectangular base plate with the upright pole socketed into and attached to the base plate with two lines of fillet weld, and where no stiffeners are provided, or any base plate with a center hole that is larger in diameter than 1/3 of the upright diameter.

The magnitude of bending moment induced by the anchoring force of each anchor bolt is  $M = P \times D_2$ ,

where  $P$  = anchoring force of each anchor bolt

$D_2$  = horizontal distance between the face of the upright and the face of the anchor bolt nut

Locate the critical section at the face of the anchor bolt top nut and perpendicular to the radius of the bolt circle. The overlapped part of two adjacent critical sections is considered ineffective.

If the base plate thickness calculated for Case 2 is less than Case 1, use the thickness calculated for Case 1.

The following additional owner requirements apply concerning pole base plates.

- Ensure that whichever case governs as defined above, the anchor bolt diameter is set to match the base plate thickness. If the minimum diameter required for the anchor bolt exceeds the thickness required for the base plate, set the base plate thickness equal to the required bolt diameter.
- For dual mast arm supports, or for single mast arm supports 50' or greater, use a minimum 8 bolt orientation with 2" diameter anchor bolts, and a 2" thick base plate.
- For all metal poles with mast arms, use a full penetration groove weld with a backing ring to connect the pole upright component to the base. Refer to Metal Pole Standard Drawing Sheet M4.

Ensure that designs have anchor bolt holes with a diameter 1/4 inch larger than the anchor bolt diameters in the base plate.

Ensure that the anchor bolts have the required diameters, lengths, and positions, and will develop strengths comparable to their respective poles.

Provide designs with a 6 x 12-inch hand hole with a reinforcing frame for each pole.

Provide designs with a terminal compartment with cover and screws in each pole that encompasses the hand hole and contains provisions for a 12-terminal barrier type terminal block.

For each pole, provide designs with provisions for a 1/2 inch minimum thread diameter, coarse thread stud and nut for grounding which will accommodate a #6 AWG ground wire. Ensure the lug is electrically bonded to the pole and is conveniently located inside the pole at the hand hole.

When required, design couplings on the pole for mounting pedestrian pushbuttons at a height of 42 inches above the bottom of the base. Provide mounting points consisting of 1-1/2 inch internally threaded half-couplings that comply with the NEC that are mounted within the poles. Ensure the

couplings are essentially flush with the outside surfaces of the poles and are installed before any required galvanizing. Provide a threaded plug for each half coupling. Ensure that the surface of the plug is essentially flush with the outer end of the mounting point when installed and has a recessed hole to accommodate a standard wrench.

### **C. Mast Arms:**

Design all arm plates and necessary attachment hardware, including bolts and brackets as required by the plans.

Design for grommets holes on the arms to accommodate the cables for the signals if specified.

Design arms with weatherproof connections for attaching to the shaft of the pole.

Always use a full penetration groove weld with a backing ring to connect the mast arm to the pole. Refer to Metal Pole Standard Drawing Sheet M5.

Capacity of tapped flange plate must be sufficient to develop the full capacity of the connecting bolts. In all cases the flange plate of both arm and shaft must be at least as thick as the arm connecting bolts are in diameter.

## **3.7. POLE NUMBERING SYSTEM**

### **A. New Poles**

Attach an identification tag to each pole shaft and mast arm section as shown on Metal Pole Standard Drawing Sheet M2 "Typical Fabrication Details Common To All Metal Poles".

## **3.8. MEASUREMENT AND PAYMENT**

Actual number of metal poles with dual mast arms furnished, installed, and accepted.

Actual number of soil tests with SPT borings drilled furnished and accepted.

Actual volume of concrete poured in cubic yards of drilled pier foundation furnished, installed and accepted.

Actual number of designs for mast arms with metal poles furnished and accepted.

No measurement will be made for foundation designs prepared with metal pole designs, as these will be considered incidental to designing signal support structures.

### **Payment will be made under:**

Metal Pole with Dual Mast Arm.....	Each
Soil Test .....	Each
Drilled Pier Foundation.....	Cubic Yard
Mast Arm with Metal Pole Design .....	Each

## **4. CONTROLLERS WITH CABINETS**

### **4.1. MATERIALS – TYPE 2070L CONTROLLERS**

Conform to CALTRANS *Transportation Electrical Equipment Specifications (TEES)* (dated August 16, 2002, plus Errata 1 dated October 27, 2003 and Errata 2 dated June 08, 2004) except as required herein.

Furnish Model 2070L controllers. Ensure that removal of the CPU module from the controller will place the intersection into flash.

The Department will provide software at the beginning of the burning-in period. Contractor shall give 5 working days notice before needing software. Program software provided by the Department.

Provide model 2070L controllers with the latest version of OS9 operating software and device drivers, composed of the unit chassis and at a minimum the following modules and assemblies:

- MODEL 2070 1B, CPU Module, Single Board
- MODEL 2070-2A, Field I/O Module (FI/O)
  - Note: Configure the Field I/O Module to disable both the External WDT Shunt/Toggle Switch and SP3 (SP3 active indicator is “off”)
- MODEL 2070-3B, Front Panel Module (FP), Display B (8x40)
- MODEL 2070-4A, Power Supply Module, 10 AMP
- MODEL 2070-7A, Async Serial Com Module (9-pin RS-232)

Furnish one additional MODEL 2070-7A, Async Serial Com Module (9-pin RS-232) for all master controller locations.

For each master location and central control center, furnish a U.S. Robotics V.92 or approved equivalent auto-dial/auto-answer external modem to accomplish the interface to the Department-furnished microcomputers. Include all necessary hardware to ensure telecommunications.

#### 4.2. MATERIALS – GENERAL CABINETS

Provide a moisture resistant coating on all circuit boards.

Provide one 20 mm diameter radial lead UL-recognized metal oxide varistor (MOV) between each load switch field terminal and equipment ground. Electrical performance is outlined below.

PROPERTIES OF MOV SURGE PROTECTOR	
Maximum Continuous Applied Voltage at 185° F	150 VAC (RMS) 200 VDC
Maximum Peak 8x20μs Current at 185° F	6500 A
Maximum Energy Rating at 185° F	80 J
Voltage Range 1 mA DC Test at 77° F	212-268 V
Max. Clamping Voltage 8x20μs, 100A at 77° F	395 V
Typical Capacitance (1 MHz) at 77° F	1600 pF

Provide a power line surge protector that is a two-stage device that will allow connection of the radio frequency interference filter between the stages of the device. Ensure that a maximum continuous current is at least 10A at 120V. Ensure that the device can withstand a minimum of 20 peak surge current occurrences at 20,000A for an 8x20 microsecond waveform. Provide a maximum clamp voltage of 395V at 20,000A with a nominal series inductance of 200μh. Ensure that the voltage does not exceed 395V. Provide devices that comply with the following:

Frequency (Hz)	Minimum Insertion Loss (dB)
60	0
10,000	30
50,000	55
100,000	50
500,000	50
2,000,000	60
5,000,000	40
10,000,000	20
20,000,000	25

#### 4.3. MATERIALS – TYPE 170E CABINETS

##### A. Type 170 E Cabinets General:

Conform to the city of Los Angeles' Specification No. 54-053-08, *Traffic Signal Cabinet Assembly Specification* (dated July 2008), except as required herein.

Furnish model 336S pole mounted cabinets configured for 8 vehicle phases, 4 pedestrian phases, and 6 overlaps. Do not reassign load switches to accommodate overlaps unless shown on electrical details. Provide 336S pole mounted cabinets that are 46" high with 40" high internal rack assemblies.

Furnish model 332 base mounted cabinets configured for 8 vehicle phases, 4 pedestrian phases, and 6 overlaps. When overlaps are required, provide auxiliary output files for the overlaps. Do not reassign load switches to accommodate overlaps unless shown on electrical details.

Provide model 200 load switches, model 222 loop detector sensors, model 252 AC isolators, and model 242 DC isolators according to the electrical details. As a minimum, provide one (1) model 2018 conflict monitor, one (1) model 206L power supply unit, two (2) model 204 flashers, one (1) DC isolator (located in slot I14), and four (4) model 430 flash transfer relays (provide seven (7) model 430 flash transfer relays if auxiliary output file is installed) with each cabinet.

**B. Type 170 E Cabinet Electrical Requirements:**

Provide a cabinet assembly designed to ensure that upon leaving any cabinet switch or conflict monitor initiated flashing operation, the controller starts up in the programmed start up phases and start up interval.

Furnish two sets of non-fading cabinet wiring diagrams and schematics in a paper envelope or container and placed in the cabinet drawer.

All AC+ power is subject to radio frequency signal suppression.

Provide surge suppression in the cabinet for each type of cabinet device. Provide surge protection for the full capacity of the cabinet input file. Provide surge suppression devices that operate properly over a temperature range of -40° F to +185° F. Ensure the surge suppression devices provide both common and differential modes of protection.

Provide a pluggable power line surge protector that is installed on the back of the PDA (power distribution assembly) chassis to filter and absorb power line noise and switching transients. Ensure the device incorporates LEDs for failure indication and provides a dry relay contact closure for the purpose of remote sensing. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20μs).....20,000A  
 Occurrences (8x20μs waveform).....10 minimum @ 20,000A  
 Maximum Clamp Voltage.....395VAC  
 Operating Current.....15 amps  
 Response Time.....< 5 nanoseconds

Provide a loop surge suppressor for each set of loop terminals in the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (6 times, 8x20μs)  
     (Differential Mode).....400A  
     (Common Mode).....1,000A  
 Occurrences (8x20μs waveform).....500 min @ 200A  
 Maximum Clamp Voltage  
     (Differential Mode @400A).....35V  
     (Common Mode @1,000A).....35V  
 Response Time.....< 5 nanoseconds  
 Maximum Capacitance.....35 pF



Provide a data communications surge suppressor for each communications line entering or leaving the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20 $\mu$ s).....10,000A  
Occurrences (8x20 $\mu$ s waveform).....100 min @ 2,000A  
Maximum Clamp Voltage.....Rated for equipment protected  
Response Time.....< 1 nanosecond  
Maximum Capacitance.....1,500 pF  
Maximum Series Resistance.....15 $\Omega$

Provide a DC signal surge suppressor for each DC input channel in the cabinet. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20 $\mu$ s).....10,000A  
Occurrences (8x20 $\mu$ s waveform).....100 @ 2,000A  
Maximum Clamp Voltage.....30V  
Response Time.....< 1 nanosecond

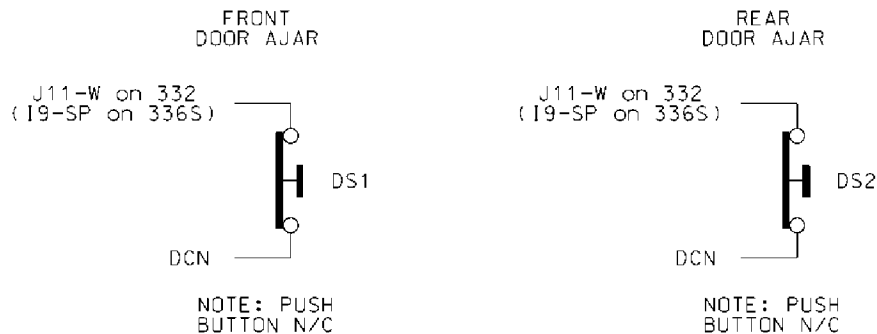
Provide a 120 VAC signal surge suppressor for each AC+ interconnect signal input. Ensure the device meets the following specifications:

Peak Surge Current (Single pulse, 8x20 $\mu$ s).....20,000A  
Maximum Clamp Voltage.....350VAC  
Response Time.....< 200 nanoseconds  
Discharge Voltage.....<200 Volts @ 1,000A  
Insulation Resistance..... $\geq$ 100 M $\Omega$

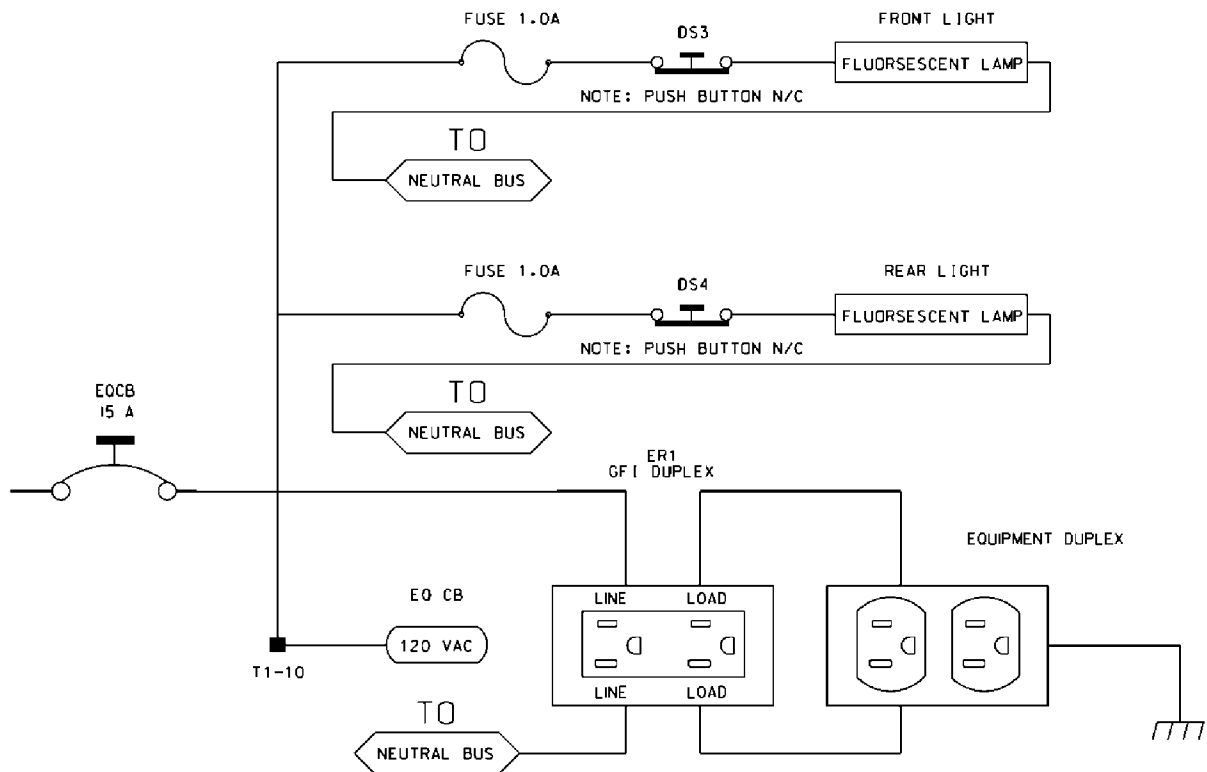
Provide conductors for surge protection wiring that are of sufficient size (ampacity) to withstand maximum overcurrents which could occur before protective device thresholds are attained and current flow is interrupted.

If additional surge protected power outlets are needed to accommodate fiber transceivers, modems, etc., install a UL listed, industrial, heavy-duty type power outlet strip with a minimum rating of 15 A / 125 VAC, 60 Hz. Provide a strip that has a minimum of 3 grounded outlets. Ensure the power outlet strip plugs into one of the controller unit receptacles located on the rear of the PDA. Ensure power outlet strip is mounted securely; provide strain relief if necessary.

Provide a door switch in the front and a door switch in the rear of the cabinet that will provide the controller unit with a Door Ajar alarm when either the front or the rear door is open. Ensure the door switches apply DC ground to the Input File when either the front door or the rear door is open.



Furnish a fluorescent fixture in the rear across the top of the cabinet and another fluorescent fixture in the front across the top of the cabinet at a minimum. Ensure that the fixtures provide sufficient light to illuminate all terminals, labels, switches, and devices in the cabinet. Conveniently locate the fixtures so as not to interfere with a technician's ability to perform work on any devices or terminals in the cabinet. Provide a protective diffuser to cover exposed bulbs. Install 16 watt T-4 lamps in the fluorescent fixtures. Provide a door switch to provide power to each fixture when the respective door is open. Wire the fluorescent fixtures to the 15 amp ECB (equipment circuit breaker).



Furnish a police panel with a police panel door. For model 336S cabinets, mount the police panel on the rear door. Ensure that the police panel door permits access to the police panel when the main door is closed. Ensure that no rainwater can enter the cabinet even with the police panel door open. Provide a police panel door hinged on the right side as viewed from the front. Provide a police panel door lock that is keyed to a standard police/fire call box key. In addition to the requirements of LA Specification No. 54-053-08, provide the police panel with a toggle switch connected to switch the intersection operation between normal stop-and-go operation (AUTO) and manual operation (MANUAL). Ensure that manual control can be implemented using inputs and software such that the controller provides full programmed clearance times for the yellow clearance and red clearance for each phase while under manual control.

Provide a 1/4-inch locking phone jack in the police panel for a hand control to manually control the intersection. Provide sufficient room in the police panel for storage of a hand control and cord.

Ensure the 336S cabinet Input File is wired as follows:

<b>336S Cabinet</b>														
<b>Port-Bit/C-1 Pin Assignment</b>														
Slot #	1	2	3	4	5	6	7	8	9	10	11	12	13	14
C-1 (Spares)	59	60	61	62	63	64	65	66	75	76	77	78	79	80
Port	3-2	1-1	3-4	1-3	3-1	1-2	3-3	1-4	2-5	5-5	5-6	5-1	5-2	6-7
C-1	56	39	58	41	55	40	57	42	51	71	72	67	68	81
Port	2-1	1-5	2-3	1-7	2-2	1-6	2-4	1-8	2-6	5-7	5-8	5-3	5-4	6-8
C-1	47	43	49	45	48	44	50	46	52	73	74	69	70	82

For model 332 base mounted cabinets, ensure terminals J14-E and J14-K are wired together on the rear of the Input File. Connect TB9-12 (J14 Common) on the Input Panel to T1-2 (AC-) on the rear of the PDA.

Provide detector test switches mounted at the top of the cabinet rack or other convenient location which may be used to place a call on each of eight phases based on the chart below. Provide three positions for each switch: On (place call), Off (normal detector operation), and Momentary On (place momentary call and return to normal detector operation after switch is released). Ensure that the switches are located such that the technician can read the controller display and observe the intersection.

Connect detector test switches for cabinets as follows:

336S Cabinet		332 Cabinet	
Detector Call Switches	Terminals	Detector Call Switches	Terminals
Phase 1	I1-F	Phase 1	I1-W
Phase 2	I2-F	Phase 2	I4-W
Phase 3	I3-F	Phase 3	I5-W
Phase 4	I4-F	Phase 4	I8-W
Phase 5	I5-F	Phase 5	J1-W
Phase 6	I6-F	Phase 6	J4-W
Phase 7	I7-F	Phase 7	J5-W
Phase 8	I8-F	Phase 8	J8-W

Provide the PCB 28/56 connector for the conflict monitor unit (CMU) with 28 independent contacts per side, dual-sided with 0.156 inch contact centers. Provide the PCB 28/56 connector contacts with solder eyelet terminations. Ensure all connections to the PCB 28/56 connector are soldered to the solder eyelet terminations.

Ensure that all cabinets have the CMU connector wired according to the 332 cabinet connector pin assignments (include all wires for auxiliary output file connection). Wire pins 13, 16, R, and U of the CMU connector to a separate 4 pin plug, P1, as shown below. Provide a second plug, P2, which will mate with P1 and is wired to the auxiliary output file as shown below. Provide an additional plug, P3, which will mate with P1 and is wired to the pedestrian yellow circuits as shown below. When no auxiliary output file is installed in the cabinet, provide wires for the green and yellow inputs for channels 11, 12, 17, and 18, the red inputs for channels 17 and 18, and the wires for the P2 plug. Terminate the two-foot wires with ring type lugs, insulated, and bundled for optional use.

PIN	P1		P2		P3	
	FUNCTION	CONN TO	FUNCTION	CONN TO	FUNCTION	CONN TO
1	CH-9G	CMU-13	OLA-GRN	A123	2P-YEL	114
2	CH-9Y	CMU-16	OLA-YEL	A122	4P-YEL	105
3	CH-10G	CMU-R	OLB-GRN	A126	6P-YEL	120
4	CH-10Y	CMU-U	OLB-YEL	A125	8P-YEL	111

Do not provide the P20 terminal assembly (red monitor board) or red interface ribbon cable as specified in LA Specification No. 54-053-08.

Provide a P20 connector that mates with and is compatible with the red interface connector mounted on the front of the conflict monitor. Ensure that the P20 connector and the red interface

connector on the conflict monitor are center polarized to ensure proper connection. Ensure that removal of the P20 connector will cause the conflict monitor to recognize a latching fault condition and place the cabinet into flashing operation.

Wire the P20 connector to the output file and auxiliary output file using 22 AWG stranded wires. Ensure the length of these wires is a minimum of 42 inches in length. Provide a durable braided sleeve around the wires to organize and protect the wires.

Wire the P20 connector to the traffic signal red displays to provide inputs to the conflict monitor as shown below. Ensure the pedestrian Don't Walk circuits are wired to channels 13 through 16 of the P20 connector. When no auxiliary output file is installed in the cabinet, provide wires for channels 9 through 12 reds. Provide a wire for special function 1. Terminate the unused wires with ring type lugs, insulated, and bundled for optional use.

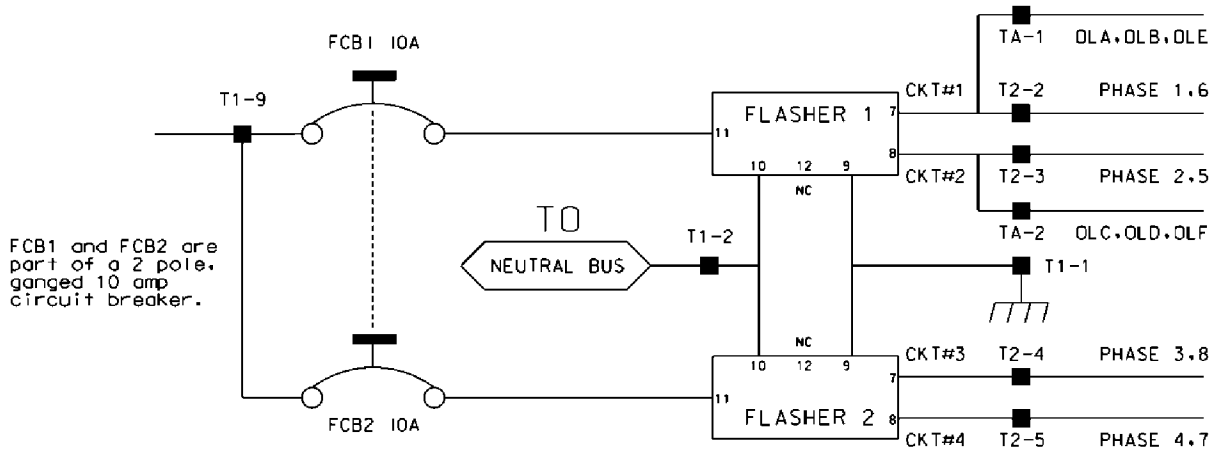
P20 Connector					
PIN	FUNCTION	CONN TO	PIN	FUNCTION	CONN TO
1	Channel 15 Red	119	2	Channel 16 Red	110
3	Channel 14 Red	104	4	Chassis GND	01-9
5	Channel 13 Red	113	6	N/C	
7	Channel 12 Red	AUX 101	8	Spec Function 1	
9	Channel 10 Red	AUX 124	10	Channel 11 Red	AUX 114
11	Channel 9 Red	AUX 121	12	Channel 8 Red	107
13	Channel 7 Red	122	14	Channel 6 Red	134
15	Channel 5 Red	131	16	Channel 4 Red	101
17	Channel 3 Red	116	18	Channel 2 Red	128
19	Channel 1 Red	125	20	Red Enable	01-14

Ensure the controller unit outputs to the auxiliary output file are pre-wired to the C5 connector. When no auxiliary output file is installed in the cabinet, connect the C5 connector to a storage socket located on the Input Panel or on the rear of the PDA.

Do not wire pin 12 of the load switch sockets.

In addition to the requirements of LA Specification No. 54-053-08, ensure relay K1 on the Power Distribution Assembly (PDA) is a four pole relay and K2 on the PDA is a two pole relay.

Provide a two pole, ganged circuit breaker for the flash bus circuit. Ensure the flash bus circuit breaker is an inverse time circuit breaker rated for 10 amps at 120 VAC with a minimum of 10,000 RMS symmetrical amperes short circuit current rating. Do not provide the auxiliary switch feature on the flash bus circuit breaker. Ensure the ganged flash bus circuit breaker is certified by the circuit breaker manufacturer to provide gang tripping operation.



Ensure auxiliary output files are wired as follows:

AUXILIARY OUTPUT FILE	
TERMINAL BLOCK TA ASSIGNMENTS	
POSITION	FUNCTION
1	Flasher Unit #1, Circuit 1/FTR1 (OLA, OLB)/FTR3 (OLE)
2	Flasher Unit #1, Circuit 2/FTR2 (OLC, OLD)/FTR3 (OLF)
3	Flash Transfer Relay Coils
4	AC -
5	Power Circuit 5
6	Power Circuit 5
7	Equipment Ground Bus
8	NC

Provide four spare load resistors mounted in each cabinet. Ensure each load resistor is rated as shown in the table below. Wire one side of each load resistor to AC-. Connect the other side of each resistor to a separate terminal on a four (4) position terminal block. Mount the load resistors and terminal block either inside the back of Output File No. 1 or on the upper area of the Service Panel.

ACCEPTABLE LOAD RESISTOR VALUES	
VALUE (ohms)	WATTAGE
1.5K – 1.9 K	25W (min)
2.0K – 3.0K	10W (min)

Provide Model 200 load switches, Model 204 flashers, Model 242 DC isolators, Model 252 AC isolators, and Model 206L power supply units that conform to CALTRANS' *"Transportation Electrical Equipment Specifications"* dated March 12, 2009 with Erratum 1.

### C. Type 170 E Cabinet Physical Requirements:

Do not mold, cast, or scribe the name "City of Los Angeles" on the outside of the cabinet door as specified in LA Specification No. 54-053-08. Do not provide a Communications Terminal Panel as specified in LA Specification No. 54-053-08. Do not provide terminal block TBB on the Service Panel. Do not provide Cabinet Verification Test Program software or associated test jigs as specified in LA Specification No. 54-053-08.

Furnish unpainted, natural, aluminum cabinet shells. Ensure that all non-aluminum hardware on the cabinet is stainless steel or a Department approved non-corrosive alternate.

Ensure the lifting eyes, gasket channels, police panel, and all supports welded to the enclosure and doors are fabricated from 0.125 inch minimum thickness aluminum sheet and meet the same standards as the cabinet and doors.

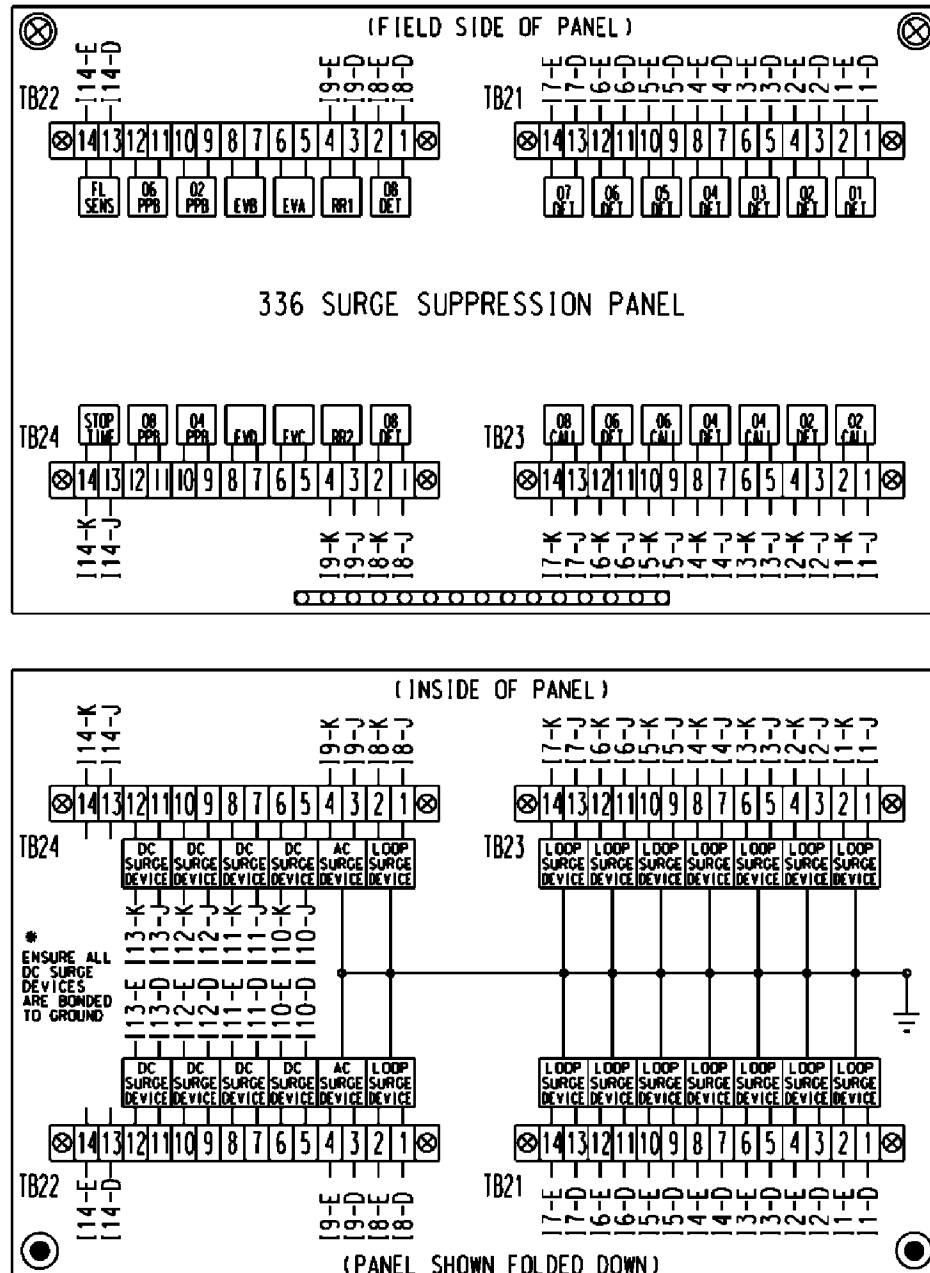
Provide front and rear doors with latching handles that allow padlocking in the closed position. Furnish 0.75 inch minimum diameter stainless steel handles with a minimum 0.5 inch shank. Place the padlocking attachment at 4.0 inches from the handle shank center to clear the lock and key. Provide an additional 4.0 inches minimum gripping length.

Provide Corbin #2 locks on the front and rear doors. Provide one (1) Corbin #2 and one (1) police master key with each cabinet. Ensure main door locks allow removal of keys in the locked position only.

Provide a surge protection panel with 16 loop surge protection devices and designed to allow sufficient free space for wire connection/disconnection and surge protection device replacement. For model 332 cabinets, provide an additional 20 loop surge protection devices. Provide an additional two AC+ interconnect surge devices to protect one slot and eight DC surge protection devices to protect four slots. Provide no protection devices on slot I14.

For pole mounted cabinets, mount surge protection devices for the AC+ interconnect inputs, inductive loop detector inputs, and low voltage DC inputs on a swing down panel assembly fabricated from sturdy aluminum. Attach the swing down panel to the bottom rear cabinet rack assembly using thumb screws. Ensure the swing down panel allows for easy removal of the input file without removing the surge protection panel assembly or its parts. Have the surge protection devices mounted horizontally on the panel and soldered to the feed through terminals of four 14 position terminal blocks with #8 screws mounted on the other side. Ensure the top row of terminals is connected to the upper slots and the bottom row of terminals is connected to the bottom slots. Provide a 15 position copper equipment ground bus attached to the field terminal side (outside) of

the swing down panel for termination of loop lead-in shield grounds. Ensure that a Number 4 AWG green wire connects the surge protection panel assembly ground bus to the main cabinet equipment ground.



For base mounted cabinets, mount surge protection panels on the left side of the cabinet as viewed from the rear. Attach each panel to the cabinet rack assembly using bolts and make it easily removable. Mount the surge protection devices in vertical rows on each panel and connect the



devices to one side of 12 position, double row terminal blocks with #8 screws. For each surge protection panel, terminate all grounds from the surge protection devices on a copper equipment ground bus attached to the surge protection panel. Wire the terminals to the rear of a standard input file using spade lugs for input file protection.

Provide permanent labels that indicate the slot and the pins connected to each terminal that may be viewed from the rear cabinet door. Label and orient terminals so that each pair of inputs is next to each other. Indicate on the labeling the input file (I or J), the slot number (1-14) and the terminal pins of the input slots (either D & E for upper or J & K for lower).

Provide a minimum 14 x 16 inch pull out, hinged top shelf located immediately below controller mounting section of the cabinet. Ensure the shelf is designed to fully expose the table surface outside the controller at a height approximately even with the bottom of the controller. Ensure the shelf has a storage bin interior which is a minimum of 1 inch deep and approximately the same dimensions as the shelf. Provide an access to the storage area by lifting the hinged top of the shelf. Fabricate the shelf and slide from aluminum or stainless steel and ensure the assembly can support the 2070L controller plus 15 pounds of additional weight. Ensure shelf has a locking mechanism to secure it in the fully extended position and does not inhibit the removal of the 2070L controller or removal of cards inside the controller when fully extended. Provide a locking mechanism that is easily released when the shelf is to be returned to its non-use position directly under the controller.

#### **D. Model 2018 Enhanced Conflict Monitor:**

Furnish Model 2018 Enhanced Conflict Monitors that provide monitoring of 18 channels. Ensure each channel consists of a green, yellow, and red field signal input. Ensure that the conflict monitor meets or exceeds CALTRANS' Transportation Electrical Equipment Specifications dated March 12, 2009 with Erratum 1 (hereafter referred to as CALTRANS' 2009 TEES) for a model 210 monitor unit and other requirements stated in this specification.

Ensure the conflict monitor is provided with an 18 channel conflict programming card. Pin EE and Pin T of the conflict programming card shall be connected together. Pin 16 of the conflict programming card shall be floating. Ensure that the absence of the conflict programming card will cause the conflict monitor to trigger (enter into fault mode), and remain in the triggered state until the programming card is properly inserted and the conflict monitor is reset.

Provide a conflict monitor that incorporates LED indicators into the front panel to dynamically display the status of the monitor under normal conditions and to provide a comprehensive review of field inputs with monitor status under fault conditions. Ensure that the monitor indicates the channels that were active during a conflict condition and the channels that experienced a failure for all other per channel fault conditions detected. Ensure that these indications and the status of each channel are retained until the Conflict Monitor is reset. Furnish LED indicators for the following:

- AC Power (Green LED indicator)
- VDC Failed (Red LED indicator)
- WDT Error (Red LED indicator)
- Conflict (Red LED indicator)
- Red Fail (Red LED indicator)
- Dual Indication (Red LED indicator)

- Yellow/Clearance Failure (Red LED indicator)
- PCA/PC Ajar (Red LED indicator)
- Monitor Fail/Diagnostic Failure (Red LED indicator)
- 54 Channel Status Indicators (1 Red, 1 Yellow, and 1 Green LED indicator for each of the 18 channels)

Provide a switch to set the Red Fail fault timing. Ensure that when the switch is in the ON position the Red Fail fault timing value is set to 1350 +/- 150ms (2018 mode). Ensure that when the switch is in the OFF position the Red Fail fault timing value is set to 850 +/- 150ms (210 mode).

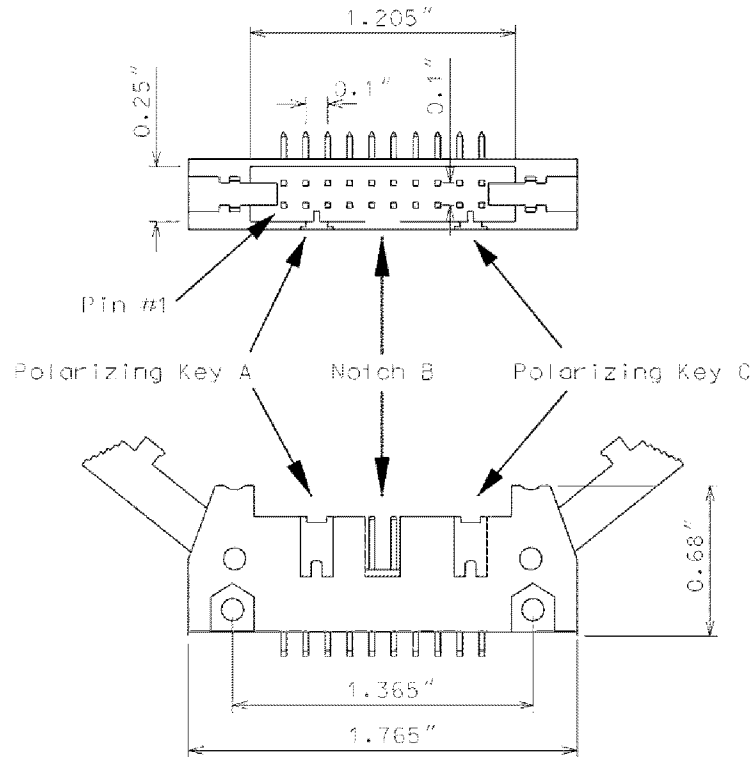
Provide a switch to set the Watchdog fault timing. Ensure that when the switch is in the ON position the Watchdog fault timing value is set to 1.0 +/- 0.1s (2018 mode). Ensure that when the switch is in the OFF position the Watchdog fault timing value is set to 1.5 +/- 0.1s (210 mode).

Provide a jumper or switch to set the AC line brown-out levels. Ensure that when the jumper is present or the switch is in the ON position the AC line dropout voltage threshold is 98 +/- 2 Vrms, the AC line restore voltage threshold is 103 +/- 2 Vrms, and the AC line brown-out timing value is set to 400 +/- 50ms (2018 mode). Ensure that when the jumper is not present or the switch is in the OFF position the AC line dropout voltage threshold is 92 +/- 2 Vrms, the AC line restore voltage threshold is 98 +/- 2 Vrms, and the AC line brown-out timing value is set to 80 +/- 17ms (210 mode).

Provide a jumper or switch that will enable and disable the Watchdog Latch function. Ensure that when the jumper is not present or the switch is in the OFF position the Watchdog Latch function is disabled. In this mode of operation, a Watchdog fault will be reset following a power loss, brownout, or power interruption. Ensure that when the jumper is present or the switch is in the ON position the Watchdog Latch function is enabled. In this mode of operation, a Watchdog fault will be retained until a Reset command is issued.

Provide a jumper that will reverse the active polarity for pin #EE (output relay common). Ensure that when the jumper is not present pin #EE (output relay common) will be considered 'Active' at a voltage greater than 70 Vrms and 'Not Active' at a voltage less than 50 Vrms (Caltrans mode). Ensure that when the jumper is present pin #EE (output relay common) will be considered 'Active' at a voltage less than 50 Vrms and 'Not Active' at a voltage greater than 70 Vrms (Failsafe mode).

In addition to the connectors required by CALTRANS' 2009 TEES, provide the conflict monitor with a red interface connector mounted on the front of the monitor. Ensure the connector is a 20 pin, right angle, center polarized, male connector with latching clip locks and polarizing keys. Ensure the right angle solder tails are designed for a 0.062" thick printed circuit board. Keying of the connector shall be between pins 3 and 5, and between 17 and 19. Ensure the connector has two rows of pins with the odd numbered pins on one row and the even pins on the other row. Ensure the connector pin row spacing is 0.10" and pitch is 0.10". Ensure the mating length of the connector pins is 0.24". Ensure the pins are finished with gold plating 30μ" thick.



Ensure the red interface connector pins on the monitor have the following functions:

Pin #	Function	Pin #	Function
1	Channel 15 Red	2	Channel 16 Red
3	Channel 14 Red	4	Chassis Ground
5	Channel 13 Red	6	Special Function 2
7	Channel 12 Red	8	Special Function 1
9	Channel 10 Red	10	Channel 11 Red
11	Channel 9 Red	12	Channel 8 Red
13	Channel 7 Red	14	Channel 6 Red
15	Channel 5 Red	16	Channel 4 Red
17	Channel 3 Red	18	Channel 2 Red
19	Channel 1 Red	20	Red Enable

Ensure that removal of the P20 cable connector will cause the conflict monitor to recognize a latching fault condition and place the cabinet into flashing operation.

Provide Special Function 1 and Special Function 2 inputs to the unit which shall disable only Red Fail Monitoring when either input is sensed active. A Special Function input shall be sensed active when the input voltage exceeds 70 Vrms with a minimum duration of 550 ms. A Special Function input shall be sensed not active when the input voltage is less than 50 Vrms or the duration is less than 250 ms. A Special Function input is undefined by these specifications and may or may not be sensed active when the input voltage is between 50 Vrms and 70 Vrms or the duration is between 250 ms and 550 ms.

Ensure the conflict monitor recognizes field signal inputs for each channel that meet the following requirements:

- consider a Red input greater than 70 Vrms and with a duration of at least 500 ms as an “on” condition;
- consider a Red input less than 50 Vrms or with a duration of less than 200 ms as an “off” condition (no valid signal);
- consider a Red input between 50 Vrms and 70 Vrms or with a duration between 200 ms and 500 ms to be undefined by these specifications;
- consider a Green or Yellow input greater than 25 Vrms and with a duration of at least 500 ms as an “on” condition;
- consider a Green or Yellow input less than 15 Vrms or with a duration of less than 200 ms as an “off” condition; and
- consider a Green or Yellow input between 15 Vrms and 25 Vrms or with a duration between 200 ms and 500 ms to be undefined by these specifications.

Provide a conflict monitor that recognizes the faults specified by CALTRANS’ 2009 TEES and the following additional faults. Ensure the conflict monitor will trigger upon detection of a fault and will remain in the triggered (in fault mode) state until the unit is reset at the front panel or through the external remote reset input for the following failures:

1. **Red Monitoring or Absence of Any Indication (Red Failure):** A condition in which no “on” voltage signal is detected on any of the green, yellow, or red inputs to a given monitor channel. If a signal is not detected on at least one input (R, Y, or G) of a conflict monitor channel for a period greater than 1000 ms when used with a 170 controller and 1500 ms when used with a 2070L controller, ensure monitor will trigger and put the intersection into flash. If the absence of any indication condition lasts less than 750 ms when used with a 170 controller and 1200 ms when used with a 2070L controller, ensure conflict monitor will not trigger. Red fail monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. Have red monitoring occur when all of the following input conditions are in effect:
  - a) Red Enable input to monitor is active (Red Enable voltages are “on” at greater than 70 Vrms, off at less than 50 Vrms, undefined between 50 and 70 Vrms), and
  - b) Neither Special Function 1 nor Special Function 2 inputs are active.

- c) Pin #EE (output relay common) is not active
2. **Short/Missing Yellow Indication Fault (Clearance Error):** Yellow indication following a green is missing or shorter than 2.7 seconds (with  $\pm 0.1$ -second accuracy). If a channel fails to detect an “on” signal at the Yellow input for a minimum of 2.7 seconds ( $\pm 0.1$  second) following the detection of an “on” signal at a Green input for that channel, ensure that the monitor triggers and generates a clearance/short yellow error fault indication. Short/missing yellow (clearance) monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. This fault shall not occur when the channel is programmed for Yellow Inhibit, when the Red Enable signal is inactive or pin #EE (output relay common) is active.
  3. **Dual Indications on the Same Channel:** In this condition, more than one indication (R,Y,G) is detected as “on” at the same time on the same channel. If dual indications are detected for a period greater than 500 ms, ensure that the conflict monitor triggers and displays the proper failure indication (Dual Ind fault). If this condition is detected for less than 200 ms, ensure that the monitor does not trigger. G-Y-R dual indication monitoring shall be enabled on a per channel basis by the use of switches located on the conflict monitor. G-Y dual indication monitoring shall be enabled for all channels by use of a switch located on the conflict monitor. This fault shall not occur when the Red Enable signal is inactive or pin #EE (output relay common) is active.
  4. **Configuration Settings Change:** The configuration settings are comprised of (as a minimum) the permissive diode matrix, dual indication switches, yellow disable jumpers, any option switches, any option jumpers, and the Watchdog Enable switch. Ensure the conflict monitor compares the current configuration settings with the previous stored configuration settings on power-up, on reset, and periodically during operation. If any of the configuration settings are changed, ensure that the conflict monitor triggers and causes the program card indicator to flash. Ensure that configuration change faults are only reset by depressing and holding the front panel reset button for a minimum of three seconds. Ensure the external remote reset input does not reset configuration change faults.

Ensure the conflict monitor will trigger and the AC Power indicator will flash at a rate of 2 Hz  $\pm$  20% with a 50% duty cycle when the AC Line voltage falls below the “drop-out” level. Ensure the conflict monitor will resume normal operation when the AC Line voltage returns above the “restore” level. Ensure the AC Power indicator will remain illuminated when the AC voltage returns above the “restore” level. Should an AC Line power interruption occur while the monitor is in the fault mode, then upon restoration of AC Line power, the monitor will remain in the fault mode and the correct fault and channel indicators will be displayed.

Provide a flash interval of at least 6 seconds and at most 10 seconds in duration following a power-up, an AC Line interruption, or a brownout restore. Ensure the conflict monitor will suspend all fault monitoring functions, close the Output relay contacts, and flash the AC indicator at a rate of 4 Hz  $\pm$  20% with a 50% duty cycle during this interval. Ensure the termination of the flash interval after at least 6 seconds if the Watchdog input has made 5 transitions between the True and False state and the AC Line voltage is greater than the “restore” level. If the watchdog input has not made

5 transitions between the True and False state within  $10 \pm 0.5$  seconds, the monitor shall enter a WDT error fault condition.

Ensure the conflict monitor will monitor an intersection with a minimum of four approaches using the four-section Flashing Yellow Arrow (FYA) vehicle traffic signal as outlined by the NCHRP 3-54 research project for protected-permissive left turn signal displays. Ensure the conflict monitor will operate in the FYA mode and FYAc (Compact) mode as specified below to monitor each channel for the following fault conditions: Conflict, Red Fail, Dual Indication, and Clearance. Provide a switch to select between the FYA mode and FYAc mode. Provide a switch to select each FYA phase movement for monitoring.

### FYA mode

FYA Signal Head	Phase 1	Phase 3	Phase 5	Phase 7
Red Arrow	Channel 9 Red	Channel 10 Red	Channel 11 Red	Channel 12 Red
Yellow Arrow	Channel 9 Yellow	Channel 10 Yellow	Channel 11 Yellow	Channel 12 Yellow
Flashing Yellow Arrow	Channel 9 Green	Channel 10 Green	Channel 11 Green	Channel 12 Green
Green Arrow	Channel 1 Green	Channel 3 Green	Channel 5 Green	Channel 7 Green

### FYAc mode

FYA Signal Head	Phase 1	Phase 3	Phase 5	Phase 7
Red Arrow	Channel 1 Red	Channel 3 Red	Channel 5 Red	Channel 7 Red
Yellow Arrow	Channel 1 Yellow	Channel 3 Yellow	Channel 5 Yellow	Channel 7 Yellow
Flashing Yellow Arrow	Channel 1 Green	Channel 3 Green	Channel 5 Green	Channel 7 Green
Green Arrow	Channel 9 Green	Channel 9 Yellow	Channel 10 Green	Channel 10 Yellow

Ensure that the conflict monitor will log at least nine of the most recent events detected by the monitor in non-volatile EEPROM memory (or equivalent). For each event, record at a minimum the time, date, type of event, status of each field signal indication with RMS voltage, and specific channels involved with the event. Ensure the conflict monitor will log the following events: monitor reset, configuration, previous fault, and AC line. Furnish the signal sequence log that shows all channel states (Greens, Yellows, and Reds) and the Red Enable State for a minimum of 2 seconds prior to the current fault trigger point. Ensure the display resolution of the inputs for the signal sequence log is not greater than 50 ms.

For conflict monitors used within an Ethernet communications system, provide a conflict monitor with an Ethernet 10/100 Mbps, RJ-45 port for data communication access to the monitor by a local notebook computer and remotely via a workstation or notebook computer device connected to the signal system local area network. The Ethernet port shall be electrically isolated from the conflict monitor's electronics and shall provide a minimum of 1500 Vrms isolation. Integrate monitor with Ethernet network in cabinet. Provide software to retrieve the time and date from a network server in order to synchronize the on-board times between the conflict monitor and the controller. Furnish and install the following Windows based, graphic user interface software on workstations and notebook computers where the signal system client software is installed: 1) software to view and retrieve all event log information, 2) software that will search and display a list of conflict monitor IP addresses and IDs on the network, and 3) software to change the conflict monitor's network parameters such as IP address and subnet mask.

For non-Ethernet connected monitors, provide a RS-232C/D compliant port (DB-9 female connector) on the front panel of the conflict monitor in order to provide communications from the conflict monitor to the 170/2070L controller or to a Department-furnished laptop computer. Electrically isolate the port interface electronics from all monitor electronics, excluding Chassis Ground. Ensure that the controller can receive all event log information through a controller Asynchronous Communications Interface Adapter (Type 170E) or Async Serial Comm Module (2070). Furnish and connect a serial cable from the conflict monitor's DB-9 connector to Comm Port 1 of the 2070 controller. Ensure conflict monitor communicates with the controller. Provide a Windows based graphic user interface software to communicate directly through the same monitor RS-232C/D compliant port to retrieve and view all event log information to a Department-furnished laptop computer. The RS-232C/D compliant port on the monitor shall allow the monitor to function as a DCE device with pin connections as follows:

Conflict Monitor RS-232C/D (DB-9 Female) Pinout		
Pin Number	Function	I/O
1	DCD	O
2	TX Data	O
3	RX Data	I
4	DTR	I
5	Ground	-
6	DSR	O
7	CTS	I
8	RTS	O
9	NC	-



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**MONITOR BOARD EDGE CONNECTOR**


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<b>Pin #</b>	<b>Function (Back Side)</b>	<b>Pin #</b>	<b>Function (Component Side)</b>
1	Channel 2 Green	A	Channel 2 Yellow
2	Channel 13 Green	B	Channel 6 Green
3	Channel 6 Yellow	C	Channel 15 Green
4	Channel 4 Green	D	Channel 4 Yellow
5	Channel 14 Green	E	Channel 8 Green
6	Channel 8 Yellow	F	Channel 16 Green
7	Channel 5 Green	H	Channel 5 Yellow
8	Channel 13 Yellow	J	Channel 1 Green
9	Channel 1 Yellow	K	Channel 15 Yellow
10	Channel 7 Green	L	Channel 7 Yellow
11	Channel 14 Yellow	M	Channel 3 Green
12	Channel 3 Yellow	N	Channel 16 Yellow
13	Channel 9 Green	P	Channel 17 Yellow
14	Channel 17 Green	R	Channel 10 Green
15	Channel 11 Yellow	S	Channel 11 Green
16	Channel 9 Yellow	T	Channel 18 Yellow
17	Channel 18 Green	U	Channel 10 Yellow
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18	Channel 12 Yellow	V	Channel 12 Green
19	Channel 17 Red	W	Channel 18 Red
20	Chassis Ground	X	Not Assigned
21	AC-	Y	DC Common
22	Watchdog Timer	Z	External Test Reset
23	+24VDC	AA	+24VDC
24	Tied to Pin 25	BB	Stop Time (Output)
25	Tied to Pin 24	CC	Not Assigned
26	Not Assigned	DD	Not Assigned
27	Relay Output, Side #3, N.O.	EE	Relay Output, Side #2, Common
28	Relay Output, Side #1, N.C.	FF	AC+

-- Slotted for keying between Pins 17/U and 18/V

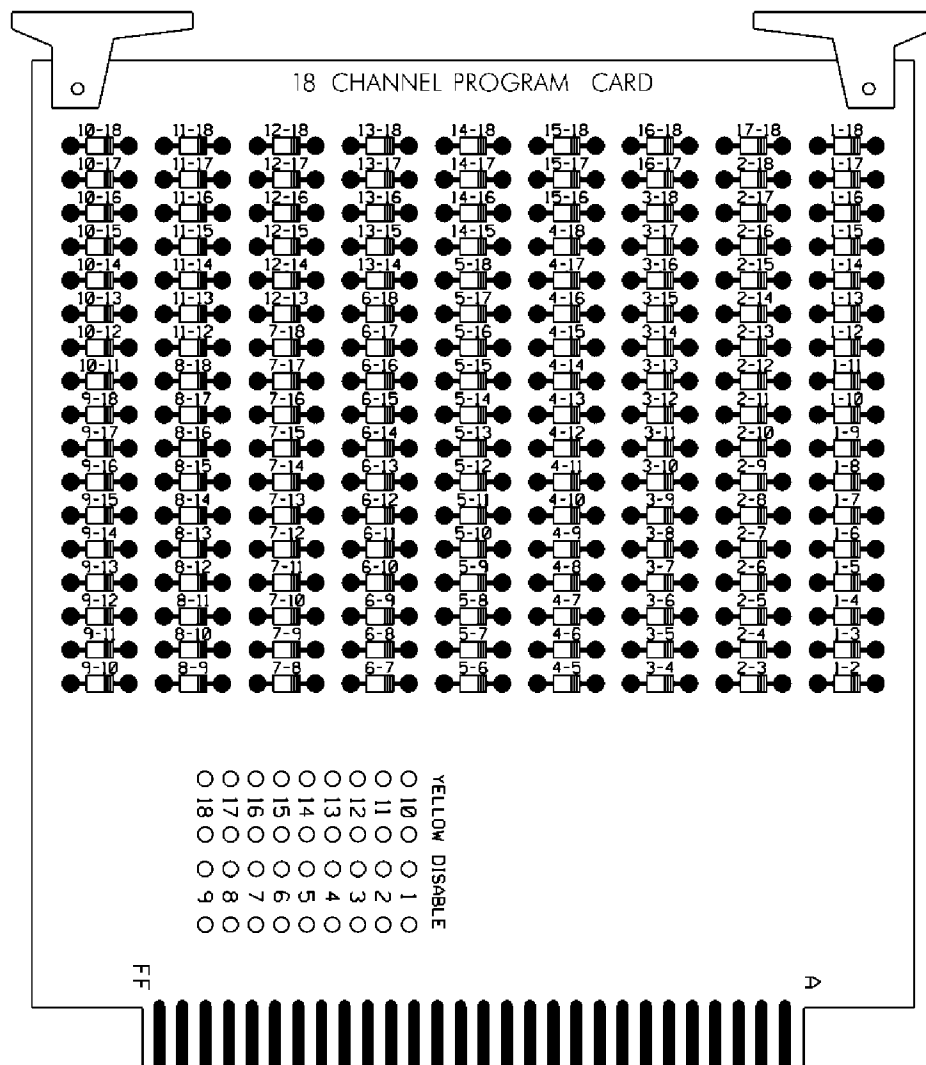
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**CONFLICT PROGRAM CARD PIN ASSIGNMENTS**


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<b>Pin #</b>	<b>Function (Back Side)</b>	<b>Pin #</b>	<b>Function (Component Side)</b>
1	Channel 2 Green	A	Channel 1 Green
2	Channel 3 Green	B	Channel 2 Green
3	Channel 4 Green	C	Channel 3 Green
4	Channel 5 Green	D	Channel 4 Green
5	Channel 6 Green	E	Channel 5 Green
6	Channel 7 Green	F	Channel 6 Green
7	Channel 8 Green	H	Channel 7 Green
8	Channel 9 Green	J	Channel 8 Green
9	Channel 10 Green	K	Channel 9 Green
10	Channel 11 Green	L	Channel 10 Green
11	Channel 12 Green	M	Channel 11 Green
12	Channel 13 Green	N	Channel 12 Green
13	Channel 14 Green	P	Channel 13 Green
14	Channel 15 Green	R	Channel 14 Green
15	Channel 16 Green	S	Channel 15 Green
16	N/C	T	PC AJAR
17	Channel 1 Yellow	U	Channel 9 Yellow
18	Channel 2 Yellow	V	Channel 10 Yellow
19	Channel 3 Yellow	W	Channel 11 Yellow
20	Channel 4 Yellow	X	Channel 12 Yellow
21	Channel 5 Yellow	Y	Channel 13 Yellow
22	Channel 6 Yellow	Z	Channel 14 Yellow
23	Channel 7 Yellow	AA	Channel 15 Yellow
24	Channel 8 Yellow	BB	Channel 16 Yellow
--		--	
25	Channel 17 Green	CC	Channel 17 Yellow
26	Channel 18 Green	DD	Channel 18 Yellow
27	Channel 16 Green	EE	PC AJAR (Program Card)
28	Yellow Inhibit Common	FF	Channel 17 Green

-- Slotted for keying between Pins 24/BB and 25/CC



### E. Preemption and Sign Control Box

Provide preemption and sign control box to operate in a Model 332 and Model 336S cabinet. Provide hardware to mount the box to the cage of the cabinet to ensure the front side is facing the opposite side of the cabinet. Furnish the material of the box from a durable finished metallic or thermoplastic case. Ensure the size of the box is not greater than 7(l) x 5(w) x 5(d) inches. Ensure that no modification is necessary to mount the box on the cabinet cage.

Provide the following components in the preemption and sign control box: relays, fuses, terminal blocks, MOVs, resistor, RC network, lamp, and push button switch.

Provide UL Listed or Recognized relay K1 as a DPDT enclosed relay (120 VAC, 60 Hz coil) with an 8-pin octal-style plug and associated octal base. Provide contact material made of AgCdO with a 10 amp, 240 VAC rating. Ensure the relay has a specified pickup voltage of 102 VAC.

Provide relay SSR1 as a Triac SPST normally open solid state relay that is rated for 120 VAC input and zero-crossing (resistive load) 25 amp @ 120 VAC output. Ensure the relay turns on at 90 Vrms within 10 ms and turns off at 10 Vrms within 40 ms. Ensure the relay has physical

characteristics as shown in the wiring detail in Figure 1. Provide 4 terminal screws with saddle clamps.

Provide fuses F1 and F2 as a UL Listed ¼" x 1-1/4" glass tube rated at 250 volts with a 10kA interrupting rating. Ensure F1 non-delay (fast-acting) and F2 slow-blow (time-delay) fuses have a maximum opening times of 60 minutes and 120 seconds for currents of 135 and 200 percent of the ampere rating, respectively. Ensure F2 slow-blow (time-delay) fuses have a minimum opening times of 12 seconds at 200 percent of the ampere rating. Provide fuse holders that are UL Recognized panel-mounted holders rated 250V, 15 ampere minimum with bayonet-type knobs which accept ¼" x 1-1/4" glass tube fuses.

Provide terminal blocks that are rated for 300V and are made of electrical grade thermoplastic or thermosetting plastic. Ensure each terminal block is of closed back design and has recessed-screw terminals with molded barriers between terminals. Ensure each terminal block is labeled with a block designation. Ensure each terminal is labeled with the function and a number.

Provide 3/4-inch diameter radial lead UL-recognized metal oxide varistors (MOVs) that have electrical performance as outlined below.

PROPERTIES OF MOV SURGE PROTECTOR	
Maximum Continuous Applied Voltage at 185° F	150 VAC (RMS) 200 VDC
Maximum Peak 8x20µs Current at 185° F	6500 A
Maximum Energy Rating at 185° F	80 J
Voltage Range 1 mA DC Test at 77° F	212-268 V
Max. Clamping Voltage 8x20µs, 100A at 77° F	395 V
Typical Capacitance (1 MHz) at 77° F	1600 pF

Provide resistor R1 as a 2K ohm, 12 watt, wirewound resistor with tinned terminals and attaching leads. Ensure the resistor is spaced apart from surrounding wires.

Provide a LED or incandescent lamp that has a voltage rating of 120 VAC with a minimum life rating at 50,000 hours.

Wire the preemption and sign control box as shown in Figure 1.

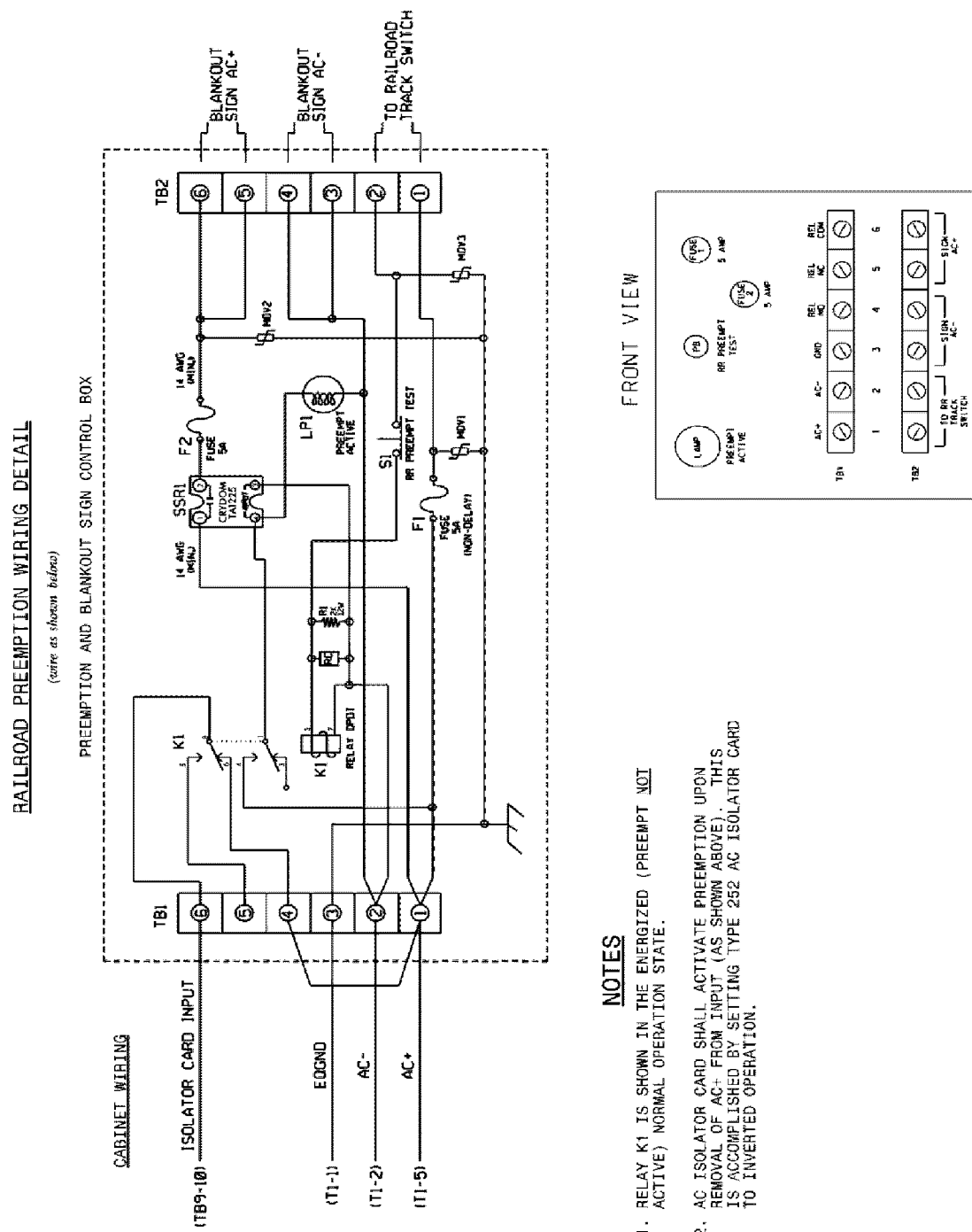


Figure 1

#### 4.4. MATERIALS – TYPE 170 DETECTOR SENSOR UNITS

Furnish detector sensor units that comply with Chapter 5 Section 1, “General Requirements,” and Chapter 5 Section 2, “Model 222 & 224 Loop Detector Sensor Unit Requirements,” of the CALTRANS “Transportation Electrical Equipment Specifications” dated March 12, 2009 with Erratum 1.

#### 4.5. MATERIALS – TYPE 2070E CONTROLLERS

Conform to CALTRANS *Transportation Electrical Equipment Specifications* (TEES) (dated March 12, 2009, plus Errata 1 dated January 21, 2010) except as required herein.

Furnish Model 2070E controllers. Ensure that removal of the CPU module from the controller will place the intersection into flash.

The Department will provide software at the beginning of the burning-in period. Contractor shall give 5 working days notice before needing software. Program software provided by the Department.

Provide Model 2070E controllers with the latest version of OS9 operating software and device drivers, composed of the unit chassis and at a minimum the following modules and assemblies:

- MODEL 2070-1E, CPU Module, Single Board, with 8Mb Datakey (blue in color)
- MODEL 2070-2A or approved MODEL 2070-2E, Field I/O Module (FI/O)
  - Note: Configure the Field I/O Module to disable both the External WDT Shunt/Toggle Switch and SP3 (SP3 active indicator is “off”)
- MODEL 2070-3B, Front Panel Module (FP), Display B (8x40)
- MODEL 2070-4, Power Supply Module, 10 AMP
- MODEL 2070-7A, Async Serial Com Module (9-pin RS-232)

Furnish one additional MODEL 2070-7A, Async Serial Com Module (9-pin RS-232) for all master controller locations.

For each master location and central control center, furnish a U.S. Robotics V.92 or approved equivalent auto-dial/auto-answer external modem to accomplish the interface to the Department-furnished microcomputers. Include all necessary hardware to ensure telecommunications.

# ST-1

Project B-4159

Jackson County

## Project Special Provisions Structure

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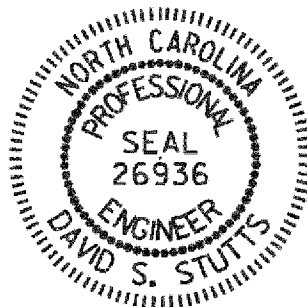
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Architectural Concrete Surface Treatment (SPECIAL)	ST-27
Application of Bridge Coating (SPECIAL)	ST-31

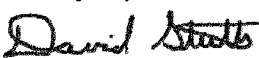
For "Electrical Conduit System", see Lighting and Electrical special provisions.

For "Restrained Joint Water Pipe Installed on Bridge", see Structure Utility special provisions.

For "Piles" and "Drilled Piers", see Geotechnical special provisions.

10/14/2015



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## **PROJECT SPECIAL PROVISIONS** **STRUCTURE**

**PROJECT B-4159**

**JACKSON COUNTY**

### **CONSTRUCTION, MAINTENANCE AND REMOVAL** **OF TEMPORARY ACCESS AT STATION 20+16.00 –L-**

**(12-12-13)**

#### **1.0 GENERAL**

Construct, maintain, and remove the temporary access required to provide the working area necessary for construction of the new bridge, construction of the temporary detour structure, or for the removal of an existing bridge, as applicable. Temporary access may involve the use of a work bridge or other methods; however, all types of temporary access are required to meet the requirements of all permits, the Standard Specifications, and this Special Provision.

#### **2.0 TEMPORARY WORK BRIDGE**

At the contractor's option, construction of a temporary work bridge within the limits shown on the plans is permitted. The temporary work bridge shall have a minimum span length of 20 feet. Submit details of the temporary work bridge to the Engineer prior to constructing the work bridge to ensure conformance with the plans and all permits. Completely remove the temporary bridge prior to final acceptance or as otherwise required by the permits.

#### **3.0 BASIS OF PAYMENT**

The lump sum price bid for "Construction, Maintenance and Removal of Temporary Access at Station \_\_\_\_\_" will be full compensation for the above work, or other methods of access, including all material, work bridge components, equipment, tools, labor, disposal, and incidentals necessary to complete the work.

### **PLACING LOAD ON STRUCTURE MEMBERS**

**(11-27-12)**

The 2012 Standard Specifications shall be revised as follows:

In **Section 420-20 – Placing Load on Structure Members** replace the first sentence of the fifth paragraph with the following:

Do not place vehicles or construction equipment on a bridge deck until the deck concrete develops the minimum specified 28 day compressive strength and attains an age of at least 7 curing days.



**STEEL REINFORCED ELASTOMERIC BEARINGS****(11-27-12)**

The 2012 Standard Specifications shall be revised as follows:

In **Section 1079-1 – Preformed Bearing Pads** add the following after the second paragraph:

Internal holding pins are required for all shim plates when the contract plans indicate the structure contains the necessary corrosion protection for a corrosive site.

Repair laminated (reinforced) bearing pads utilizing external holding pins via vulcanization. Submit product data for repair material and a detailed application procedure to the Materials and Tests Unit for approval before use and annually thereafter.

**THERMAL SPRAYED COATINGS (METALLIZATION)****(9-30-11)****1.0 DESCRIPTION**

Apply a thermal sprayed coating (TSC) and sealer to metal surfaces as specified herein when called for on the plans or by other Special Provisions, or when otherwise approved by the Engineer in accordance with the SSPC-CS 23.00/AWS C2.23/NACE No. 12 Specification. Only Arc Sprayed application methods are used to apply TSC coatings, the Engineer must approve other methods of application.

**2.0 QUALIFICATIONS**

Only use NCDOT approved TSC Contractors meeting the following requirements:

1. The capability of blast cleaning steel surfaces to SSPC SP-5 and SP-10 Finishes.
2. Employ Spray Operator(s) qualified in accordance with AWS C.16/C2.16M2002 and Quality Control Inspector(s) who have documented training in the applicable test procedures of ASTM D-3276 and SSPC-CS 23.00.

A summary of the contractor's related work experience and the documents verifying each Spray Operator's and Quality Control Inspector's qualifications are submitted to the Engineer before any work is performed.

**3.0 MATERIALS**

Provide wire in accordance with the metallizing equipment manufacturer's recommendations. Use the wire alloy specified on the plans which meets the requirements in Annex C of the SSPC-CS 23.00 Specification. Have the contractor provide a certified analysis (NCDOT Type 2 Certification) for each lot of wire material.

Apply an approved sealer to all metallized surfaces in accordance with Section 9 of SSPC-CS 23. The sealer must either meet SSPC Paint 27 or is an alternate approved by the Engineer.

**4.0 SURFACE PREPARATION AND TSC APPLICATION**

Grind flame cut edges to remove the carbonized surface prior to blasting. Bevel all flame cut edges in accordance with Article 442-10(D) regardless of included angle. Blast clean surfaces to be metallized with grit or mineral abrasive in accordance with Steel Structures Painting Council SSPC SP-5/10(as specified) to impart an angular surface profile of 2.5 - 4.0 mils. Surface preparation hold times are in accordance with Section 7.32 of SSPC-CS 23. If flash rusting occurs prior to metallizing, blast clean the metal surface again. Apply the thermal sprayed coating only when the surface temperature of the steel is at least 5°F above the dew point.

At the beginning of each work period or shift, conduct bend tests in accordance with Section 6.5 of SSPC-CS 23.00. Any disbonding or delamination of the coating that exposes the substrate requires corrective action, additional testing, and the Engineer's approval before resuming the metallizing process.

Apply TSC with the alloy to the thickness specified on the plans or as provided in the table below. All spot results (the average of 3 to 5 readings) must meet the minimum requirement. No additional tolerance (as allowed by SSPC PA-2) is permitted. (For Steel Beams: For pieces with less than 200 ft<sup>2</sup> measure 2 spots/surface per piece and for pieces greater than 200 ft<sup>2</sup> add 1 additional spots/surface for each 500 ft<sup>2</sup>).

<b>Application</b>	<b>Thickness</b>	<b>Alloy</b>	<b>Seal Coat</b>
Pot Bearings	8 mil	85/15 Zinc (W-Zn-Al-2)	0.5 mil
Armored Joint Angles	8 mil	85/15 Zinc (W-Zn-Al-2)	0.5 mil
Modular Joints	8 mil	99.99% Zn (W-Zn-1)	0.5 mil
Expansion Joint Seals	8 mil	99.99% Zn (W-Zn-1)	0.5 mil
Optional Disc Bearings	8 mil	85/15 Zinc (W-Zn-Al-2)	0.5 mil

When noted on the plans or as specified in the above chart, apply the sealer to all metallized surfaces in accordance with the manufacturer's recommendations and these provisions. Apply the seal coat only when the air temperature is above 40°F and the surface temperature of the steel is at least 5°F above the dew point. If the sealer is not applied within eight hours after the final application of TSC, the applicator verifies acceptable TSC surfaces and obtains approval from the Engineer before applying the sealer.

## 5.0 INSPECTION FREQUENCY

The TSC Contractor must conduct the following tests at the specified frequency and the results documented in a format approved by the Engineer.

Test/Standard	Location	Frequency	Specification
Ambient Conditions	Site	Each Process	5°F above the dew point
Abrasive Properties	Site	Each Day	Size, angularity, cleanliness
Surface Cleanliness SSPC Vis 1	All Surfaces	Visual All Surfaces	SSPC-SP-10 Atmospheric Service SSPC-SP - 5 Immersion Service
Surface Profile ASTM D-4417 Method C	Random Surfaces	3 per 500 ft <sup>2</sup>	2.5 - 4.0 mils
Bend Test SSPC-CS 23.00	Site	5 per shift	Pass Visual
Thickness SSPC PA-2R SSPC-CS 23.00	Each Surface	Use the method in PA-2 Appendix 3 for Girders and Appendix 4 for frames and miscellaneous steel. See Note 1.	Zn - 8 mils minimum Al - 8 mils minimum Zn Al - 8 mils minimum  Areas with more than twice the minimum thickness are inspected for compliance to the adhesion and cut testing requirements of this specification.
Adhesion ASTM 4541	Random Surfaces Splice Areas	1 set of 3 per 500 ft <sup>2</sup>	Zn > 500 psi Al > 1000 psi Zn Al > 750 psi
Cut Test - SSPC-CS 23.00	Random Surfaces	3 sets of 3 per 500 ft <sup>2</sup>	No peeling or delamination
Job Reference Std. SSPC-CS 23.00	Site	1 per job	Meets all the above requirements

## 6.0 REPAIRS

All Repairs are to be performed in accordance with the procedures below, depending on whether the repair surface is hidden or exposed. As an exception to the following, field welded splices on joint angles and field welding bearing plates to girders may be repaired in accordance with the procedures for hidden surfaces.

**For hidden surfaces (including but not limited to interior girders, interior faces of exterior girders, and below-grade sections of piles):**

1. Welding of metallized surfaces may be performed only if specifically permitted by the Engineer. Remove metallizing at the location of field welds by blast cleaning (SSPC SP-6

finish), or hand (SSPC SP-2 finish) or power tool cleaning (SSPC SP-3 finish) just prior to welding. Clean sufficiently to prevent contamination of the weld. All repairs to welded connections are metallized in accordance with SSPC CS 23.00.

2. Minor areas less than or equal to  $0.1 \text{ ft}^2$  exposing the substrate are metallized in accordance with SSPC CS 23.00 or painted in accordance with ASTM A780, "Repair of Damaged and Uncoated Areas of Hot Dip Galvanized Coatings."
3. Large areas greater than  $0.1 \text{ ft}^2$  exposing the substrate are metallized in accordance with SSPC CS 23.00.
4. Damaged (burnished) areas not exposing the substrate with less than the specified coating thickness are metallized in accordance with SSPC CS 23.00 or painted in accordance with ASTM A780, "Repair of Damaged and Uncoated Areas of Hot Dip Galvanized Coatings."
5. Damaged (burnished) areas not exposing the substrate with more than the specified coating thickness are not repaired.
6. Defective coating is repaired by either method 2 or 3 depending on the area of the defect.

**For Exposed Surfaces (including but not limited to exterior faces of exterior girders and above-grade sections of piles):**

1. Welding of metallized surfaces may be performed only if specifically permitted by the Engineer. Remove metallization at the location of field welds by blast cleaning (SSPC SP-6 finish), or hand (SSPC SP-2 finish) or power tool cleaning (SSPC SP-3 finish) just prior to welding. Clean sufficiently to prevent contamination of the weld. All repairs to welded connections are metallized in accordance with SSPC CS 23.00.
2. All areas exposing the substrate are metallized in accordance with SSPC CS 23.00
3. Defective coating is repaired by either method 2 or 3 depending on the area of the defect.

**7.0 TWELVE MONTH OBSERVATION PERIOD**

The contractor maintains responsibility for the coating system for a twelve (12) month observation period beginning upon the satisfactory completion of all the work required in the plans or as directed by the engineer. The contractor must guarantee the coating system under the payment and performance bond (refer to Article 109-10). To successfully complete the observation period, the coating system must meet the following requirements after twelve(12) months service:

- No visible rust, contamination or application defect is observed in any coated area.
- Painted surfaces have a uniform color and gloss.
- Surfaces have an adhesion of no less than 500 psi when tested in accordance with ASTM D-4541.

**8.0 BASIS OF PAYMENT**

The contract price bid for the bridge component to which the coating is applied will be full compensation for the thermal sprayed coating.

**ELASTOMERIC CONCRETE**

(9-27-12)

**1.0 DESCRIPTION**

Elastomeric concrete is a mixture of a two-part polymer consisting of polyurethane and/or epoxy and kiln-dried aggregate. Provide an elastomeric concrete and binder system that is preapproved. Use the concrete in the blocked out areas on both sides of the bridge deck joints as indicated on the plans.

**2.0 MATERIALS**

Provide materials that comply with the following minimum requirements at 14 days (or at the end of the specified curing time).

<b>ELASTOMERIC CONCRETE PROPERTIES</b>	<b>TEST METHOD</b>	<b>MINIMUM REQUIREMENT</b>
Compressive Strength, psi	<i>ASTM D695</i>	2000
5% Deflection Resilience	ASTM D695	95
Splitting Tensile Strength, psi	ASTM D3967	625
Bond Strength to Concrete, psi	ASTM D882 (D882M)	450
Durometer Hardness	ASTM D2240	50

<b>BINDER PROPERTIES (without aggregate)</b>	<b>TEST METHOD</b>	<b>MINIMUM REQUIREMENT</b>
Tensile Strength, psi	ASTM D638	1000
Ultimate Elongation	ASTM D638	150%
Tear Resistance, lb/in	ASTM D624	200

In addition to the requirements above, the elastomeric concrete must be resistant to water, chemical, UV and ozone exposure and withstand temperature extremes. Elastomeric concrete systems requiring preheated aggregates are not allowed.

**3.0 PREQUALIFICATION**

Manufacturers of elastomeric concrete materials shall submit samples (including aggregate, primer and binder materials) and a Type 3 certification in accordance with Article 106-3 of the Standard Specifications for prequalification to:

North Carolina Department of Transportation  
Materials and Tests Unit  
1801 Blue Ridge Road  
Raleigh, NC 27607

Prequalification will be determined for the system. Individual components will not be evaluated, nor will individual components of previously evaluated systems be deemed prequalified for use.

The submitted binder (a minimum volume of 1 gallon) and corresponding aggregate samples will be evaluated for compliance with the Materials requirements specified above. Systems satisfying all of the Materials requirements will be prequalified for a one year period. Before the end of this period new product samples shall be resubmitted for prequalification evaluation.

If, at any time, any formulation or component modifications are made to a prequalified system that system will no longer be approved for use.

#### **4.0 INSTALLATION**

The elastomeric concrete shall not be placed until the reinforced concrete deck slab has cured for seven full days and reached a minimum strength of 3000 psi.

Provide a manufacturer's representative at the bridge site during the installation of the elastomeric concrete to ensure that all steps being performed comply with all manufacturer installation requirements including, but not limited to weather conditions (ambient temperature, relative humidity, precipitation, wind, etc), concrete deck surface preparation, binder and aggregate mixing, primer application, elastomeric concrete placement, curing conditions and minimum curing time before joint exposure to traffic. Do not place elastomeric concrete if the ambient air or surface temperature is below 45°F.

Prepare the concrete surface within 48 hours prior to placing the elastomeric concrete. Before placing the elastomeric concrete, all concrete surfaces shall be thoroughly cleaned and dry. Sandblast the concrete surface in the blockout and clear the surface of all loose debris. Do not place the elastomeric concrete until the surface preparation is completed and approved.

Prepare and apply a primer, as per manufacturer's recommendations, to all concrete faces to be in contact with elastomeric concrete, and to areas specified by the manufacturer.

Prepare, batch, and place the elastomeric concrete in accordance with the manufacturer's instructions. Place the elastomeric concrete in the areas specified on the plans while the primer is still tacky and within 2 hours after applying the primer. Trowel the elastomeric concrete to a smooth finish.

The joint opening in the elastomeric concrete shall match the formed opening in the concrete deck prior to sawing the joint.

## 5.0 FIELD SAMPLING

Provide additional production material to allow freshly mixed elastomeric concrete to be sampled for acceptance. A minimum of six 2 inch cube molds and three 3x6 inch cylinders will be taken by the Department for each day's production. Compression, splitting tensile, and durometer hardness testing will be performed by the Department to determine acceptance. Materials failing to meet the requirements listed above are subject to removal and replacement at no cost to the Department.

## 6.0 BASIS OF PAYMENT

No separate payment will be made for elastomeric concrete. The lump sum contract price bid for "Foam Joint Seals" will be full compensation for furnishing and placing the Elastomeric Concrete.

## FOAM JOINT SEALS

(9-27-12)

### 1.0 SEALS

Use preformed seals compatible with concrete and resistant to abrasion, oxidation, oils, gasoline, salt and other materials that are spilled on or applied to the surface. Use a resilient, UV stable, preformed, impermeable, flexible, expansion joint seal. The joint seal shall consist of low-density, closed cell, cross-linked polyethylene non-extrudable, foam. The joint seal shall contain no EVA (Ethylene Vinyl Acetate). Cell generation shall be achieved by being physically blown using nitrogen. No chemical blowing agents shall be used in the cell generation process.

Use seals manufactured with grooves  $1/8'' \pm$  wide by  $1/8'' \pm$  deep and spaced between  $1/4''$  and  $1/2''$  apart along the bond surface running the length of the joint. Use seals with a depth that meets the manufacturer's recommendation, but is not less than 70% of the uncompressed width. Provide a seal designed so that, when compressed, the center portion of the top does not extend upward above the original height of the seal by more than  $1/4''$ . Provide a seal that has a working range of 30% tension and 60% compression and meets the requirements given below.

TEST	TEST METHOD	REQUIREMENT
Tensile strength	ASTM D3575-08, Suffix T	110 – 130 psi
Compression Set	ASTM D1056 Suffix B, 2 hr recovery	10% - 16%
Water Absorption	ASTM D3575	< 0.03 lb/ft <sup>2</sup>
Elongation at Break	ASTM D3575	180% - 210%
Tear Strength	ASTM D624 (D3575-08, Suffix G)	14 – 20 pli
Density	ASTM D3575-08, Suffix W, Method A	1.8 – 2.2 lb/ft <sup>3</sup>
Toxicity	ISO-10993.5	Pass (not cytotoxic)

Have the top of the joint seal clearly shop marked. Inspect the joint seals upon receipt to ensure that the marks are clearly visible before installation.

## **2.0 BONDING ADHESIVE**

Use a two component, 100% solid, modified epoxy adhesive supplied by the joint seal manufacturer that meets the requirements given below.

TEST	TEST METHOD	REQUIREMENT
Tensile strength	ASTM D638	3000 psi (min.)
Compressive strength	ASTM D695	7000 psi (min.)
Hardness	Shore D Scale	75-85 psi
Water Absorption	ASTM D570	0.25% by weight max.
Elongation to Break	ASTM D638	5% (max.)
Bond Strength	ASTM C882	2000 psi (min.)

Use an adhesive that is workable to 40°F. When installing in ambient air or surface temperatures below 40°F or for application on moist, difficult to dry concrete surfaces, use an adhesive specified by the manufacturer of the joint seal.

## **3.0 SAWING THE JOINT**

The joint opening shall be initially formed to the width shown on the plans including the blockout for the elastomeric concrete.

The elastomeric concrete shall have sufficient time to cure such that no damage can occur to the elastomeric concrete prior to sawing to the final width and depth as specified in the plans.



When sawing the joint to receive the foam seal, always use a rigid guide to control the saw in the desired direction. To control the saw and to produce a straight line as indicated on the plans, anchor and positively connect a template or a track to the bridge deck. Do not saw the joint by visual means such as a chalk line. Fill the holes used for holding the template or track to the deck with an approved, flowable non-shrink, non-metallic grout.

Saw cut to the desired width and depth in one or two passes of the saw by placing and spacing two metal blades on the saw shaft to the desired width for the joint opening.

The desired depth is the depth of the seal plus 1/4" above the top of the seal plus approximately 1" below the bottom of the seal. An irregular bottom of sawed joint is permitted as indicated on the plans. Grind exposed corners on saw cut edges to a 1/4" chamfer.

Saw cut a straight joint, centered over the formed opening and to the desired width specified in the plans. Prevent any chipping or damage to the sawed edges of the joint.

Remove any staining or deposited material resulting from sawing with a wet blade to the satisfaction of the Engineer.

#### **4.0 PREPARATION OF SAWED JOINT FOR SEAL INSTALLATION**

The elastomeric concrete shall cure a minimum of 24 hours prior to seal installation.

After sawing the joint, the Engineer will thoroughly inspect the sawed joint opening for spalls, popouts, cracks, etc. All necessary repairs will be made by the Contractor prior to blast cleaning and installing the seal.

Clean the joints by sandblasting with clean dry sand immediately before placing the bonding agent. Sandblast the joint opening to provide a firm, clean joint surface free of curing compound, loose material and any foreign matter. Sandblast the joint opening without causing pitting or uneven surfaces. The aggregate in the elastomeric concrete may be exposed after sandblasting.

After blasting, either brush the surface with clean brushes made of hair, bristle or fiber, blow the surface with compressed air, or vacuum the surface until all traces of blast products and abrasives are removed from the surface, pockets, and corners.

If nozzle blasting is used to clean the joint opening, use compressed air that does not contain detrimental amounts of water or oil.

Examine the blast cleaned surface and remove any traces of oil, grease or smudge deposited in the cleaning operations.

Bond the seal to the blast cleaned surface on the same day the surface is blast cleaned.

**5.0 SEAL INSTALLATION**

Install the joint seal according to the manufacturer's procedures and recommendations and as recommended below. Do not install the joint seal if the ambient air or surface temperature is below 45°F. Have a manufacturer's certified trained factory representative present during the installation of the first seal of the project.

Before installing the joint seal, check the uninstalled seal length to insure the seal is the same length as the deck opening. When the joint seal requires splicing, use the heat welding method by placing the joint material ends against a teflon heating iron of 425-475°F for 7 - 10 seconds, then pressing the ends together tightly. Do not test the welding until the material has completely cooled.

Begin installation by protecting the top edges of the concrete deck adjacent to the vertical walls of the joint as a means to minimize clean up. After opening both cans of the bonding agent, stir each can using separate stirring rods for each component to prevent premature curing of the bonding agent. Pour the two components, at the specified mixing ratio, into a clean mixing bucket. Mix the components with a low speed drill (400 rpm max.) until a uniform gray color is achieved without visible marbling. Apply bonding agent to both sides of the elastomeric concrete as well as both sides of the joint seal, making certain to completely fill the grooves with epoxy. With gloved hands, compress the joint seal and with the help of a blunt probe, push the seal into the joint opening until the seal is recessed approximately 1/4" below the surface. When pushing down on the joint seal, apply pressure only in a downward direction. Do not push the joint seal into the joint opening at an angle that would stretch the material. Seals that are stretched during installation shall be removed and rejected. Once work on placing a seal begins, do not stop until it is completed. Clean the excess epoxy from the top of the joint seal immediately with a trowel. Do not use solvents or any cleaners to remove the excess epoxy from the top of the seal. Remove the protective cover at the joint edges and check for any excess epoxy on the surface. Remove excess epoxy with a trowel, the use of solvents or any cleaners will not be allowed.

The installed system shall be watertight and will be monitored until final inspection and approval. Do not place pavement markings on top of foam joint seals.

**6.0 BASIS OF PAYMENT**

Payment for all foam joint seals will be at the lump sum contract price bid for "Foam Joint Seals". Prices and payment will be full compensation for furnishing all material, including elastomeric concrete, labor, tools and equipment necessary for installing these units in place and accepted.

**FALSEWORK AND FORMWORK****(4-5-12)****1.0 DESCRIPTION**

Use this Special Provision as a guide to develop temporary works submittals required by the Standard Specifications or other provisions; no additional submittals are required herein. Such temporary works include, but are not limited to, falsework and formwork.

Falsework is any temporary construction used to support the permanent structure until it becomes self-supporting. Formwork is the temporary structure or mold used to retain plastic or fluid concrete in its designated shape until it hardens. Access scaffolding is a temporary structure that functions as a work platform that supports construction personnel, materials, and tools, but is not intended to support the structure. Scaffolding systems that are used to temporarily support permanent structures (as opposed to functioning as work platforms) are considered to be falsework under the definitions given. Shoring is a component of falsework such as horizontal, vertical, or inclined support members. Where the term “temporary works” is used, it includes all of the temporary facilities used in bridge construction that do not become part of the permanent structure.

Design and construct safe and adequate temporary works that will support all loads imposed and provide the necessary rigidity to achieve the lines and grades shown on the plans in the final structure.

**2.0 MATERIALS**

Select materials suitable for temporary works; however, select materials that also ensure the safety and quality required by the design assumptions. The Engineer has authority to reject material on the basis of its condition, inappropriate use, safety, or nonconformance with the plans. Clearly identify allowable loads or stresses for all materials or manufactured devices on the plans. Revise the plan and notify the Engineer if any change to materials or material strengths is required.

**3.0 DESIGN REQUIREMENTS****A. Working Drawings**

Provide working drawings for items as specified in the contract, or as required by the Engineer, with design calculations and supporting data in sufficient detail to permit a structural and safety review of the proposed design of the temporary work.

On the drawings, show all information necessary to allow the design of any component to be checked independently as determined by the Engineer.

When concrete placement is involved, include data such as the drawings of proposed sequence, rate of placement, direction of placement, and location of all construction joints. Submit the number of copies as called for by the contract.

When required, have the drawings and calculations prepared under the guidance of, and sealed by, a North Carolina Registered Professional Engineer who is knowledgeable in temporary works design.

If requested by the Engineer, submit with the working drawings manufacturer's catalog data listing the weight of all construction equipment that will be supported on the temporary work. Show anticipated total settlements and/or deflections of falsework and forms on the working drawings. Include falsework footing settlements, joint take-up, and deflection of beams or girders.

As an option for the Contractor, overhang falsework hangers may be uniformly spaced, at a maximum of 36 inches, provided the following conditions are met:

Member Type (PCG)	Member Depth, (inches)	Max. Overhang Width, (inches)	Max. Slab Edge Thickness, (inches)	Max. Scream Wheel Weight, (lbs.)	Bracket Min. Vertical Leg Extension, (inches)
II	36	39	14	2000	26
III	45	42	14	2000	35
IV	54	45	14	2000	44
MBT	63	51	12	2000	50
MBT	72	55	12	1700	48

Overhang width is measured from the centerline of the girder to the edge of the deck slab.

For Type II, III & IV prestressed concrete girders (PCG), 45-degree cast-in-place half hangers and rods must have a minimum safe working load of 6,000 lbs.

For MBT prestressed concrete girders, 45-degree angle holes for falsework hanger rods shall be cast through the girder top flange and located, measuring along the top of the member, 1'-2 1/2" from the edge of the top flange. Hanger hardware and rods must have a minimum safe working load of 6,000 lbs.

The overhang bracket provided for the diagonal leg shall have a minimum safe working load of 3,750 lbs. The vertical leg of the bracket shall extend to the point that the heel bears on the girder bottom flange, no closer than 4 inches from the bottom of the member. However, for 72-inch members, the heel of the bracket shall bear on the web, near the bottom flange transition.

Provide adequate overhang falsework and determine the appropriate adjustments for deck geometry, equipment, casting procedures and casting conditions.

If the optional overhang falsework spacing is used, indicate this on the falsework submittal and advise the girder producer of the proposed details. Failure to notify the Engineer of hanger type and hanger spacing on prestressed concrete girder casting drawings may delay the approval of those drawings.

Falsework hangers that support concentrated loads and are installed at the edge of thin top flange concrete girders (such as bulb tee girders) shall be spaced so as not to exceed 75% of the manufacturer's stated safe working load. Use of dual leg hangers (such as Meadow Burke HF-42 and HF-43) are not allowed on concrete girders with thin top flanges. Design the falsework and forms supporting deck slabs and overhangs on girder bridges so that there will be no differential settlement between the girders and the deck forms during placement of deck concrete.

When staged construction of the bridge deck is required, detail falsework and forms for screed and fluid concrete loads to be independent of any previous deck pour components when the mid-span girder deflection due to deck weight is greater than  $\frac{3}{4}$ ".

Note on the working drawings any anchorages, connectors, inserts, steel sleeves or other such devices used as part of the falsework or formwork that remains in the permanent structure. If the plan notes indicate that the structure contains the necessary corrosion protection required for a Corrosive Site, epoxy coat, galvanize or metalize these devices. Electroplating will not be allowed. Any coating required by the Engineer will be considered incidental to the various pay items requiring temporary works.

Design falsework and formwork requiring submittals in accordance with the 1995 AASHTO *Guide Design Specifications for Bridge Temporary Works* except as noted herein.

#### 1. Wind Loads

Table 2.2 of Article 2.2.5.1 is modified to include wind velocities up to 110 mph. In addition, Table 2.2A is included to provide the maximum wind speeds by county in North Carolina.

**Table 2.2 - Wind Pressure Values**

Height Zone feet above ground	Pressure, lb/ft <sup>2</sup> for Indicated Wind Velocity, mph				
	70	80	90	100	110
0 to 30	15	20	25	30	35
30 to 50	20	25	30	35	40
50 to 100	25	30	35	40	45
over 100	30	35	40	45	50

## 2. Time of Removal

The following requirements replace those of Article 3.4.8.2.

Do not remove forms until the concrete has attained strengths required in Article 420-16 of the Standard Specifications and these Special Provisions.

Do not remove forms until the concrete has sufficient strength to prevent damage to the surface.

**Table 2.2A - Steady State Maximum Wind Speeds by Counties in North Carolina**

COUNTY	25 YR (mph)	COUNTY	25 YR (mph)	COUNTY	25 YR (mph)
Alamance	70	Franklin	70	Pamlico	100
Alexander	70	Gaston	70	Pasquotank	100
Alleghany	70	Gates	90	Pender	100
Anson	70	Graham	80	Perquimans	100
Ashe	70	Granville	70	Person	70
Avery	70	Greene	80	Pitt	90
Beaufort	100	Guilford	70	Polk	80
Bertie	90	Halifax	80	Randolph	70
Bladen	90	Harnett	70	Richmond	70
Brunswick	100	Haywood	80	Robeson	80
Buncombe	80	Henderson	80	Rockingham	70
Burke	70	Hertford	90	Rowan	70
Cabarrus	70	Hoke	70	Rutherford	70
Caldwell	70	Hyde	110	Sampson	90
Camden	100	Iredell	70	Scotland	70
Carteret	110	Jackson	80	Stanley	70
Caswell	70	Johnston	80	Stokes	70
Catawba	70	Jones	100	Surry	70
Cherokee	80	Lee	70	Swain	80
Chatham	70	Lenoir	90	Transylvania	80
Chowan	90	Lincoln	70	Tyrell	100
Clay	80	Macon	80	Union	70
Cleveland	70	Madison	80	Vance	70
Columbus	90	Martin	90	Wake	70
Craven	100	McDowell	70	Warren	70

Cumberland	80	Mecklenburg	70	Washington	100
Currituck	100	Mitchell	70	Watauga	70
Dare	110	Montgomery	70	Wayne	80
Davidson	70	Moore	70	Wilkes	70
Davie	70	Nash	80	Wilson	80
Duplin	90	New Hanover	100	Yadkin	70
Durham	70	Northampton	80	Yancey	70
Edgecombe	80	Onslow	100		
Forsyth	70	Orange	70		

#### B. Review and Approval

The Engineer is responsible for the review and approval of temporary works' drawings.

Submit the working drawings sufficiently in advance of proposed use to allow for their review, revision (if needed), and approval without delay to the work.

The time period for review of the working drawings does not begin until complete drawings and design calculations, when required, are received by the Engineer.

Do not start construction of any temporary work for which working drawings are required until the drawings have been approved. Such approval does not relieve the Contractor of the responsibility for the accuracy and adequacy of the working drawings.

### 4.0 CONSTRUCTION REQUIREMENTS

All requirements of Section 420 of the Standard Specifications apply.

Construct temporary works in conformance with the approved working drawings. Ensure that the quality of materials and workmanship employed is consistent with that assumed in the design of the temporary works. Do not weld falsework members to any portion of the permanent structure unless approved. Show any welding to the permanent structure on the approved construction drawings.

Provide tell-tales attached to the forms and extending to the ground, or other means, for accurate measurement of falsework settlement. Make sure that the anticipated compressive settlement and/or deflection of falsework does not exceed 1 inch. For cast-in-place concrete structures, make sure that the calculated deflection of falsework flexural members does not exceed 1/240 of their span regardless of whether or not the deflection is compensated by camber strips.

#### A. Maintenance and Inspection

Inspect and maintain the temporary work in an acceptable condition throughout the period of its use. Certify that the manufactured devices have been maintained in

a condition to allow them to safely carry their rated loads. Clearly mark each piece so that its capacity can be readily determined at the job site.

Perform an in-depth inspection of an applicable portion(s) of the temporary works, in the presence of the Engineer, not more than 24 hours prior to the beginning of each concrete placement. Inspect other temporary works at least once a month to ensure that they are functioning properly. Have a North Carolina Registered Professional Engineer inspect the cofferdams, shoring, sheathing, support of excavation structures, and support systems for load tests prior to loading.

#### **B. Foundations**

Determine the safe bearing capacity of the foundation material on which the supports for temporary works rest. If required by the Engineer, conduct load tests to verify proposed bearing capacity values that are marginal or in other high-risk situations.

The use of the foundation support values shown on the contract plans of the permanent structure is permitted if the foundations are on the same level and on the same soil as those of the permanent structure.

Allow for adequate site drainage or soil protection to prevent soil saturation and washout of the soil supporting the temporary works supports.

If piles are used, the estimation of capacities and later confirmation during construction using standard procedures based on the driving characteristics of the pile is permitted. If preferred, use load tests to confirm the estimated capacities; or, if required by the Engineer conduct load tests to verify bearing capacity values that are marginal or in other high risk situations.

The Engineer reviews and approves the proposed pile and soil bearing capacities.

### **5.0 REMOVAL**

Unless otherwise permitted, remove and keep all temporary works upon completion of the work. Do not disturb or otherwise damage the finished work.

Remove temporary works in conformance with the contract documents. Remove them in such a manner as to permit the structure to uniformly and gradually take the stresses due to its own weight.

### **6.0 METHOD OF MEASUREMENT**

Unless otherwise specified, temporary works will not be directly measured.

### **7.0 BASIS OF PAYMENT**

Payment at the contract unit prices for the various pay items requiring temporary works will be full compensation for the above falsework and formwork.



**SUBMITTAL OF WORKING DRAWINGS****(6-19-15)****1.0 GENERAL**

Submit working drawings in accordance with Article 105-2 of the *Standard Specifications* and this provision. For this provision, “submittals” refers to only those listed in this provision. The list of submittals contained herein does not represent a list of required submittals for the project. Submittals are only necessary for those items as required by the contract. Make submittals that are not specifically noted in this provision directly to the Engineer. Either the Structures Management Unit or the Geotechnical Engineering Unit or both units will jointly review submittals.

If a submittal contains variations from plan details or specifications or significantly affects project cost, field construction or operations, discuss the submittal with and submit all copies to the Engineer. State the reason for the proposed variation in the submittal. To minimize review time, make sure all submittals are complete when initially submitted. Provide a contact name and information with each submittal. Direct any questions regarding submittal requirements to the Engineer, Structures Management Unit contacts or the Geotechnical Engineering Unit contacts noted below.

In order to facilitate in-plant inspection by NCDOT and approval of working drawings, provide the name, address and telephone number of the facility where fabrication will actually be done if different than shown on the title block of the submitted working drawings. This includes, but is not limited to, precast concrete items, prestressed concrete items and fabricated steel or aluminum items.

**2.0 ADDRESSES AND CONTACTS**

For submittals to the Structures Management Unit, use the following addresses:

Via US mail:

Mr. T. K. Koch, P. E.  
State Structures Engineer  
North Carolina Department  
of Transportation  
Structures Management Unit  
1581 Mail Service Center  
Raleigh, NC 27699-1581

Attention: Mr. P. D. Lambert, P. E.

Via other delivery service:

Mr. T. K. Koch, P. E.  
State Structures Engineer  
North Carolina Department  
of Transportation  
Structures Management Unit  
1000 Birch Ridge Drive  
Raleigh, NC 27610

Attention: Mr. P. D. Lambert, P. E.

Submittals may also be made via email.

Send submittals to:

[plambert@ncdot.gov](mailto:plambert@ncdot.gov) (Paul Lambert)

Send an additional e-copy of the submittal to the following address:

[jgaither@ncdot.gov](mailto:jgaither@ncdot.gov) (James Gaither)  
[mrorie@ncdot.gov](mailto:mrorie@ncdot.gov) (Madonna Rorie)

For submittals to the Geotechnical Engineering Unit, use the following addresses:

For projects in Divisions 1-7, use the following Eastern Regional Office address:

Via US mail:

Mr. K. J. Kim, Ph. D., P. E.  
Eastern Regional Geotechnical  
Manager  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Eastern Regional Office  
1570 Mail Service Center  
Raleigh, NC 27699-1570

Via other delivery service:

Mr. K. J. Kim, Ph. D., P. E.  
Eastern Regional Geotechnical  
Manager  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Eastern Regional Office  
3301 Jones Sausage Road, Suite 100  
Garner, NC 27529

For projects in Divisions 8-14, use the following Western Regional Office address:

Via US mail:

Mr. Eric Williams, P. E.  
Western Regional Geotechnical  
Manager  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Western Regional Office  
5253 Z Max Boulevard  
Harrisburg, NC 28075

Via other delivery service:

Mr. Eric Williams, P. E.  
Western Region Geotechnical  
Manager  
North Carolina Department  
of Transportation  
Geotechnical Engineering Unit  
Western Regional Office  
5253 Z Max Boulevard  
Harrisburg, NC 28075

The status of the review of structure-related submittals sent to the Structures Management Unit can be viewed from the Unit's web site, via the "Drawing Submittal Status" link.

Direct any questions concerning submittal review status, review comments or drawing markups to the following contacts:

Primary Structures Contact: Paul Lambert (919) 707 – 6407  
(919) 250 – 4082 facsimile  
[plambert@ncdot.gov](mailto:plambert@ncdot.gov)

Secondary Structures Contacts: James Gaither (919) 707 – 6409  
Madonna Rorie (919) 707 – 6508

## Eastern Regional Geotechnical Contact (Divisions 1-7):

K. J. Kim (919) 662 – 4710  
 (919) 662 – 3095 facsimile  
[kkim@ncdot.gov](mailto:kkim@ncdot.gov)

## Western Regional Geotechnical Contact (Divisions 8-14):

Eric Williams (704) 455 – 8902  
 (704) 455 – 8912 facsimile  
[ewilliams3@ncdot.gov](mailto:ewilliams3@ncdot.gov)

**3.0 SUBMITTAL COPIES**

Furnish one complete copy of each submittal, including all attachments, to the Engineer. At the same time, submit the number of hard copies shown below of the same complete submittal directly to the Structures Management Unit and/or the Geotechnical Engineering Unit.

The first table below covers “Structure Submittals”. The Engineer will receive review comments and drawing markups for these submittals from the Structures Management Unit. The second table in this section covers “Geotechnical Submittals”. The Engineer will receive review comments and drawing markups for these submittals from the Geotechnical Engineering Unit.

Unless otherwise required, submit one set of supporting calculations to either the Structures Management Unit or the Geotechnical Engineering Unit unless both units require submittal copies in which case submit a set of supporting calculations to each unit. Provide additional copies of any submittal as directed.

**STRUCTURE SUBMITTALS**

<b>Submittal</b>	<b>Copies Required by Structures Management Unit</b>	<b>Copies Required by Geotechnical Engineering Unit</b>	<b>Contract Reference Requiring Submittal <sup>1</sup></b>
Arch Culvert Falsework	5	0	Plan Note, SN Sheet & “Falsework and Formwork”
Box Culvert Falsework <sup>7</sup>	5	0	Plan Note, SN Sheet & “Falsework and Formwork”
Cofferdams	6	2	Article 410-4
Foam Joint Seals <sup>6</sup>	9	0	“Foam Joint Seals”
Expansion Joint Seals (hold down plate type with base angle)	9	0	“Expansion Joint Seals”

**ST-22****B-4159****Jackson County**

Expansion Joint Seals (modular)	2, then 9	0	“Modular Expansion Joint Seals”
Expansion Joint Seals (strip seals)	9	0	“Strip Seals”
Falsework & Forms <sup>2</sup> (substructure)	8	0	Article 420-3 & “Falsework and Formwork”
Falsework & Forms (superstructure)	8	0	Article 420-3 & “Falsework and Formwork”
Girder Erection over Railroad	5	0	Railroad Provisions
Maintenance and Protection of Traffic Beneath Proposed Structure	8	0	“Maintenance and Protection of Traffic Beneath Proposed Structure at Station ____”
Metal Bridge Railing	8	0	Plan Note
Metal Stay-in-Place Forms	8	0	Article 420-3
Metalwork for Elastomeric Bearings <sup>4,5</sup>	7	0	Article 1072-8
Miscellaneous Metalwork <sup>4,5</sup>	7	0	Article 1072-8
Disc Bearings <sup>4</sup>	8	0	“Disc Bearings”
Overhead and Digital Message Signs (DMS) (metalwork and foundations)	13	0	Applicable Provisions
Placement of Equipment on Structures (cranes, etc.)	7	0	Article 420-20
Precast Concrete Box Culverts	2, then 1 reproducible	0	“Optional Precast Reinforced Concrete Box Culvert at Station ____”
Prestressed Concrete Cored Slab (detensioning sequences) <sup>3</sup>	6	0	Article 1078-11
Prestressed Concrete Deck Panels	6 and 1 reproducible	0	Article 420-3
Prestressed Concrete Girder (strand elongation and detensioning sequences)	6	0	Articles 1078-8 and 1078- 11

Removal of Existing Structure over Railroad	5	0	Railroad Provisions
Revised Bridge Deck Plans (adaptation to prestressed deck panels)	2, then 1 reproducible	0	Article 420-3
Revised Bridge Deck Plans (adaptation to modular expansion joint seals)	2, then 1 reproducible	0	“Modular Expansion Joint Seals”
Sound Barrier Wall (precast items)	10	0	Article 1077-2 & “Sound Barrier Wall”
Sound Barrier Wall Steel Fabrication Plans <sup>5</sup>	7	0	Article 1072-8 & “Sound Barrier Wall”
Structural Steel <sup>4</sup>	2, then 7	0	Article 1072-8
Temporary Detour Structures	10	2	Article 400-3 & “Construction, Maintenance and Removal of Temporary Structure at Station _____”
TFE Expansion Bearings <sup>4</sup>	8	0	Article 1072-8

**FOOTNOTES**

1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Articles refer to the *Standard Specifications*.
2. Submittals for these items are necessary only when required by a note on plans.
3. Submittals for these items may not be required. A list of pre-approved sequences is available from the producer or the Materials & Tests Unit.
4. The fabricator may submit these items directly to the Structures Management Unit.
5. The two sets of preliminary submittals required by Article 1072-8 of the *Standard Specifications* are not required for these items.
6. Submittals for Fabrication Drawings are not required. Submittals for Catalogue Cuts of Proposed Material are required. See Section 5.A of the referenced provision.
7. Submittals are necessary only when the top slab thickness is 18” or greater.

**GEOTECHNICAL SUBMITTALS**

<b>Submittal</b>	<b>Copies Required by Geotechnical Engineering Unit</b>	<b>Copies Required by Structures Management Unit</b>	<b>Contract Reference Requiring Submittal <sup>1</sup></b>
Drilled Pier Construction Plans <sup>2</sup>	1	0	Subarticle 411-3(A)
Crosshole Sonic Logging (CSL) Reports <sup>2</sup>	1	0	Subarticle 411-5(A)(2)
Pile Driving Equipment Data Forms <sup>2,3</sup>	1	0	Subarticle 450-3(D)(2)
Pile Driving Analyzer (PDA) Reports <sup>2</sup>	1	0	Subarticle 450-3(F)(3)
Retaining Walls <sup>4</sup>	8 drawings, 2 calculations	2 drawings	Applicable Provisions
Temporary Shoring <sup>4</sup>	5 drawings, 2 calculations	2 drawings	“Temporary Shoring” & “Temporary Soil Nail Walls”

**FOOTNOTES**

- References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Subarticles refer to the *Standard Specifications*.
- Submit one hard copy of submittal to the Engineer. Submit a second copy of submittal electronically (PDF via email) or by facsimile, US mail or other delivery service to the appropriate Geotechnical Engineering Unit regional office. Electronic submission is preferred.
- The Pile Driving Equipment Data Form is available from:  
[https://connect.ncdot.gov/resources/Geological/Pages/Geotech\\_Forms\\_Details.aspx](https://connect.ncdot.gov/resources/Geological/Pages/Geotech_Forms_Details.aspx)  
See second page of form for submittal instructions.
- Electronic copy of submittal is required. See referenced provision.

**CRANE SAFETY****(8-15-05)**

Comply with the manufacturer specifications and limitations applicable to the operation of any and all cranes and derricks. Prime contractors, sub-contractors, and fully operated rental companies shall comply with the current Occupational Safety and Health Administration regulations (OSHA).

Submit all items listed below to the Engineer prior to beginning crane operations involving critical lifts. A critical lift is defined as any lift that exceeds 75 percent of the manufacturer's crane chart capacity for the radius at which the load will be lifted or requires the use of more than one crane. Changes in personnel or equipment must be reported to the Engineer and all applicable items listed below must be updated and submitted prior to continuing with crane operations.

**CRANE SAFETY SUBMITTAL LIST**

- A. **Competent Person:** Provide the name and qualifications of the "Competent Person" responsible for crane safety and lifting operations. The named competent person will have the responsibility and authority to stop any work activity due to safety concerns.
- B. **Riggers:** Provide the qualifications and experience of the persons responsible for rigging operations. Qualifications and experience should include, but not be limited to, weight calculations, center of gravity determinations, selection and inspection of sling and rigging equipment, and safe rigging practices.
- C. **Crane Inspections:** Inspection records for all cranes shall be current and readily accessible for review upon request.
- D. **Certifications:** **By July 1, 2006**, crane operators performing critical lifts shall be certified by NC CCO (National Commission for the Certification of Crane Operators), or satisfactorily complete the Carolinas AGC's Professional Crane Operator's Proficiency Program. Other approved nationally accredited programs will be considered upon request. All crane operators shall also have a current CDL medical card. Submit a list of anticipated critical lifts and corresponding crane operator(s). Include current certification for the type of crane operated (small hydraulic, large hydraulic, small lattice, large lattice) and medical evaluations for each operator.

**GROUT FOR STRUCTURES****(9-30-11)****1.0 DESCRIPTION**

This special provision addresses grout for use in pile blockouts, grout pockets, shear keys, dowel holes and recesses for structures. This provision does not apply to grout placed in post-tensioning ducts for bridge beams, girders, or decks. Mix and place grout in accordance with the manufacturer's recommendations, the applicable sections of the Standard Specifications and this provision.

**2.0 MATERIAL REQUIREMENTS**

Use a Department approved pre-packaged, non-shrink, non-metallic grout. Contact the Materials and Tests Unit for a list of approved pre-packaged grouts and consult the manufacturer to determine if the pre-packaged grout selected is suitable for the required application.

When using an approved pre-packaged grout, a grout mix design submittal is not required.

The grout shall be free of soluble chlorides and contain less than one percent soluble sulfate. Supply water in compliance with Article 1024-4 of the Standard Specifications.

Aggregate may be added to the mix only where recommended or permitted by the manufacturer and Engineer. The quantity and gradation of the aggregate shall be in accordance with the manufacturer's recommendations.

Admixtures, if approved by the Department, shall be used in accordance with the manufacturer's recommendations. The manufacture date shall be clearly stamped on each container. Admixtures with an expired shelf life shall not be used.

The Engineer reserves the right to reject material based on unsatisfactory performance.

Initial setting time shall not be less than 10 minutes when tested in accordance with ASTM C266.

Test the expansion and shrinkage of the grout in accordance with ASTM C1090. The grout shall expand no more than 0.2% and shall exhibit no shrinkage. Furnish a Type 4 material certification showing results of tests conducted to determine the properties listed in the Standard Specifications and to assure the material is non-shrink.

Unless required elsewhere in the contract the compressive strength at 3 days shall be at least 5000 psi. Compressive strength in the laboratory shall be determined in accordance with ASTM C109 except the test mix shall contain only water and the dry manufactured material. Compressive strength in the field will be determined by molding and testing 4" x 8" cylinders in accordance with AASHTO T22. Construction loading and traffic loading shall not be allowed until the 3 day compressive strength is achieved.

When tested in accordance with ASTM C666, Procedure A, the durability factor of the grout shall not be less than 80.

**3.0 SAMPLING AND PLACEMENT**

Place and maintain components in final position until grout placement is complete and accepted. Concrete surfaces to receive grout shall be free of defective concrete, laitance, oil, grease and other foreign matter. Saturate concrete surfaces with clean water and remove excess water prior to placing grout.



Do not place grout if the grout temperature is less than 50°F or more than 90°F or if the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below 45°F.

Provide grout at a rate that permits proper handling, placing and finishing in accordance with the manufacturer's recommendations unless directed otherwise by the Engineer. Use grout free of any lumps and undispersed cement. Agitate grout continuously before placement.

Control grout delivery so the interval between placing batches in the same component does not exceed 20 minutes.

The Engineer will determine the locations to sample grout and the number and type of samples collected for field and laboratory testing. The compressive strength of the grout will be considered the average compressive strength test results of 3 cube or 2 cylinder specimens at 28 days.

#### **4.0 BASIS OF PAYMENT**

No separate payment will be made for "Grout for Structures". The cost of the material, equipment, labor, placement, and any incidentals necessary to complete the work shall be considered incidental to the structure item requiring grout.

### **ARCHITECTURAL CONCRETE SURFACE TREATMENT**

**(SPECIAL)**

#### **1.0 GENERAL**

The work covered by this special provision consists of constructing textured surfaces on interior and exterior faces of concrete parapets, outside vertical face of the sidewalks and overhangs of the bridge deck, and the exposed surfaces of the end bents as shown on the plans and in this Special Provision. The Contractor shall furnish all materials, labor, equipment, and incidentals necessary for the construction of architectural concrete surface treatment using simulated stone masonry form liners (molds), a compatible concrete coloring system, and a compatible anti-graffiti finish coating.

Except where otherwise specified, textured concrete surfaces shall be constructed using form lining materials and patterns as specified and approved by the Engineer. The approved test panels/samples shall be the referenced standard. The form liner product shall match the pattern and texture as specified and described in the sample.

The contractor is required to use the same source of form liner and coloration contractor to construct the textured surfaces. For information purposes only, a manufacturer of form liners and source of color application artist is HUNT VALLEY CONTRACTORS, INC., 3705 Crondall Lane, Owings Mills, MD 21117, Telephone 410-356-9677.

## 2.0 SUBMITTALS

**Shop Drawings** - The Contractor shall submit for review and acceptance, plan and elevation views and details showing overall simulated stone pattern, joint locations, form tie locations, and end, edge or other special conditions. The drawings should include typical cross sections of applicable surfaces, joints, corners, stone relief, stone size, pitch/working line, mortar joint and bed depths. If necessary, the Contractor shall revise the shop drawings until the proposed form liner patterns and arrangement have been accepted by the Engineer. Shop drawings should be of sufficient scale to show the detail of all stone and joints patterns. The size of the sheets used for the shop drawings shall be 22" x 34".

The form liner shall be patterned such that long continuous horizontal or vertical lines do not occur on the finished exposed surface. The line pattern shall be random in nature and shall conceal construction joint lines. Special attention should be given to details for wrapping form liners around corners.

Shop drawings shall be reviewed and accepted prior to fabrication of form liners.

**Sample Panels** – After the shop drawings have been reviewed and accepted by the Engineer, the Contractor shall construct 24" x 24" transportable sample panel(s) at the project site. The materials used in construction of the sample panel(s) shall comply with section 420 of the Standard Specifications. The sample panel(s) shall be constructed using approved form liners. Sample panels will be required for each different form liner pattern that is to be used on the project. Any sample panel that is not accepted by the Engineer is to be removed from the project site and a new sample panel produced at no additional expense to the Department.

Architectural surface treatments and patterns of the finished work shall achieve the same final effect as demonstrated on the accepted sample panel(s). Upon acceptance by the Engineer, the sample panel(s) shall be used as the quality standard for the project. After the acceptance of the completed structure, the Contractor shall dispose of the sample panels as directed by the Engineer.

## 3.0 MATERIAL REQUIREMENTS

**Form Liner** – The form liner shall be a high quality, re-useable product manufactured of high strength urethane rubber or other approved material which attaches easily to the form work system, and shall not compress more than ¼" when concrete is poured at a rate of 10 vertical feet per hour. The form liners shall be removable without causing deterioration of the surface or underlying concrete.

**Form Release Agent** – Form release agent shall be a non-staining petroleum distillate free from water, asphaltic, and other insoluble residue, or an equivalent product. Form release agents shall be compatible with the color system applied and any special surface finish.

**Form Ties** - Form ties shall be set back a minimum of 2" from the finished concrete surface. The ties shall be designed so that all material in the device to a depth of at least 2" back of the concrete face (bottom of simulated mortar groove) can be disengaged and removed without spalling or damaging the concrete. The Contractor shall submit the type of form ties to the Engineer for approval.

**Concrete color system/stain** – Special surface color system shall be performed using approved coloring systems/stains suitable for the purpose intended and applied in a manner consistent with the design intent of the project. Color system shall be in multiple colors of gray, brown, tan, white and black to achieve a full, natural color variation in the finished surface. The approved sample panel shall be the basis for determining the appropriate color/stain application.

The coloring agent shall be a penetrating stain mix or other approved coloring system with a compatible finish designed for exterior application on old or new concrete with field evidence of resistance to moisture, acid or alkali, mildew, mold or fungus discoloration or degradation. The coloring agent shall be breathable, allowing moisture and vapor transmission. Final coloring system and colors are subject to acceptance by the Engineer.

**Anti-Graffiti Coating** – This coating shall be compatible with the concrete color system/stain. After application, the anti-graffiti coating shall be dry to the touch within 1 hour and shall achieve a final cure within 3 hours. The color of the anti-graffiti shall be clear after full cure. Provide one gallon of graffiti remover, thinners, dryers and all necessary components recommended by the manufacturer to the North Carolina Department of Transportation Materials and Tests Unit, Chemical Testing Engineer.

**Quality Standards** - Manufacturer of simulated stone masonry form liners and custom coloring system shall have at least five years of experience making stone masonry molds and color stains to create formed concrete surfaces to match natural stone shapes, surface textures and colors. The Contractor shall schedule a pre-installation conference with manufacturer representative and the Engineer to assure understanding of simulated stone masonry form liner use, color application, requirements for construction of sample panel(s), and to coordinate the work. The Contractor shall be required to disclose their source of simulated stone masonry manufacturer and final coloration contractor at the Preconstruction Conference.

#### 4.0 CONSTRUCTION

**Form Liner Preparation** – Prior to each concrete pour, the form liners shall be clean and free of build-up. Each liner shall be visually inspected for blemishes and tears. Repairs shall be made in accordance with the manufacturer's recommendations. Repairs shall be accepted by the Engineer before being used. Form liner panels that do not perform as intended or are no longer repairable shall be replaced.

**Form Liner Attachment** – Form liners shall be securely attached to forms in accordance with the manufacturer's recommendations, with less than a 1/4" seam. Blend form liner

butt joints into the stone pattern and finish off the final concrete surface. Create no visible vertical or horizontal seams or conspicuous form liner butt joint marks. At locations where the form liners are joined, carefully blend to match the balance of the stone pattern.

Form liners shall be installed to withstand anticipated concrete placement pressures without leakage and without causing physical or visual defects. Wall ties shall be coordinated with the form liner system.

The Contractor shall have a technical representative from the form liner manufacturer on site for technical supervision during the installation and removal of form liners. Unless directed by the Engineer, installation and removal of form liners shall not be permitted if the technical representative is not present.

**Form Release** – Form release agent shall be applied in accordance with the manufacturer's recommendations. The material shall be compatible with the form liner material and the concrete coloring system and in accordance with this Special Provision. Form release agent should be worked into all areas, especially pattern recesses.

**Patching** – All form tie holes and other defects in finished uncolored surface shall be filled or repaired within 48 hours of form removal. Use patching materials and procedures in accordance with the manufacturer's recommendations.

**Surface Finish** – All surfaces that are to receive coloring agent application shall be free of all laitance, dirt, dust, grease, efflorescence, paint or any other foreign material prior to the application of coloring agent. Cleaning of surfaces to be accomplished by pressure washing with water set at 3000 psi to remove laitance. The fan nozzle shall be held perpendicular to the surface at a distance of 1 to 2 feet. Sandblasting will not be permitted.

Final surface shall be free of blemishes, discolorations, surface voids, and other irregularities. All patterns should be continuous without visual disruption. Linear butt joints shall be carefully blended into the approved pattern and finished off the final concrete surface. No visible vertical or horizontal seams or conspicuous form marks created by butt joining will be permitted.

Reinforced concrete shall be finished in accordance with the Standard Specifications, except that curing of concrete should be done to accommodate the application of coloring and surface finish treatment.

**Grout pattern joints** – Grout pattern joints shall be constructed to simulate the appearance of mortared joints produced in laid up masonry work. Grout pattern joints shall be produced in accordance with the form liner / concrete color system manufacturer.

**Color/Stain Application** – Finished concrete and patches shall stand in place 30 days after form liners are removed prior to application of coloring/staining agent. Maintain the concrete temperature between 40°F and 85°F during color/stain application and for 48 hours after color/stain application. Consult the manufacturer's recommendations for

preparation, application, curing, and storage of coloring agents/stains. The contractor shall provide a Color Application Artist who is trained in the special techniques to achieve realistic surface appearances. Treated surfaces located adjacent to exposed soil or pavement shall be temporarily covered to prevent dirt or soil splatter from rain.

Following the completion of all work, repairs of any damage made by other construction operations shall be made to the form lined and colored surfaces as directed by the Engineer.

**Anti-Graffiti Coating Application** - The coating shall be applied after full cure of the color coating. Apply the anti-graffiti coating by brush, roller or airless spray when the ambient temperature is between 45° F and 90° F, and the surface temperature is between 50° F and 100° F. Ensure the surface is clean and dry before applying the anti-graffiti coating. The minimum dry film thickness of the anti-graffiti coating shall be 2.0 mils.

**Experience and Qualifications** - The Contractor shall have a minimum of three consecutive years of experience in architectural concrete surface treatment construction on similar types of projects. The Contractor shall furnish to the Engineer 5 references who were responsible for supervision of similar projects and will testify to the successful completion of these projects. Include name, address, telephone number, and specific type of application.

## **5.0 BASIS OF PAYMENT**

Architectural concrete surface treatment as described on the plans and in this Special Provision will be paid for at the contract unit price bid for "Architectural Concrete Surface Treatment". The above price and payment will be full compensation for all work covered by this Special Provision, the plans and applicable parts of the Standard Specifications and shall include, but not be limited to, furnishing all labor, materials, equipment, and other incidentals including sample panels, coloring and anti-graffiti coating necessary to complete this work.

Payment will be made under:

Architectural Concrete Surface Treatment.....Square Feet

## **APPLICATION OF BRIDGE COATING**

**(SPECIAL)**

### **GENERAL**

This work consists of preparing and cleaning concrete and galvanized surfaces as well as furnishing and applying a colored base coating with a compatible anti-graffiti finish coating to the surfaces described herein. The base coating and anti-graffiti coating shall be applied to all surfaces indicated on the plans or as directed by the Engineer and shall be applied only after the

surface preparation specified herein has been completed, inspected and approved by the Engineer.

Alternate coating methods may be submitted for review and approval.

**MATERIALS**

The base coating shall be compatible with the anti-graffiti finish coating and must be designed specifically for coating galvanized surfaces or damp, uncured concrete. The coating material shall be delivered to the job site in sealed containers bearing the manufacturer's original labels. The brand, color, and type shall be clearly marked on each container. A copy of the manufacturer's Materials Safety Data Sheet and a copy of the manufacturer's printed instructions shall be presented to the Engineer at the time of delivery.

The coating material shall be stored in airtight, upright containers. The containers shall be stored in a dry location where the temperature remains above 40° F and less than 100° F.

The coating material shall have a shelf life of not less than 12 months. After application, the base coating shall be dry to the touch within 48 hours and shall achieve a final cure within 2 to 3 weeks under ideal conditions. After application, the anti-graffiti coating shall be dry to the touch within 1 hour and shall achieve a final cure within 3 hours.

The color of the base coating shall be in accordance with the Federal Standard 595. Colors and areas of application shall be as follows:

Superstructure

FS 30227 (Light Tan) or similar color approved by the Engineer shall be applied to the outside face of exterior girders and bottom of all girders.

FS 30045 (Brown) or similar color approved by the Engineer shall be applied to the tops, interior and exterior faces of the barrier rails not treated with the form liners, end posts, lamp pedestals, exterior vertical edges of deck, and bottoms of overhangs.

Substructure

FS 30095 (Dark Tan) or similar color approved by the Engineer shall be applied to all end bent back wall and wing wall faces not treated with form liners, all vertical faces and bottom of bent caps, and all exposed area of columns.

The color of the anti-graffiti coating shall be clear after full cure.

Provide one gallon of graffiti remover, thinners, dryers and all necessary components recommended by the manufacturer to the North Carolina Department of Transportation Materials and Tests Unit, Chemical Testing Engineer.

**MATERIAL TESTING AND CERTIFICATION**

Before coating material is applied, a Type 2 certification shall be supplied attesting that the product furnished is in accordance with the same formula as that previously subjected to the tests specified below and approved. Copies of the current tests reports shall be attached to the certification. Reports for tests made more than 4 years prior to shipment to the project site will not be accepted.

All testing shall be performed by a qualified commercial testing laboratory that has been approved by the North Carolina Department of Transportation Materials and Tests Unit.

The applied coating shall be subjected to and shall satisfy the requirements of the tests listed below, prior to use on the structure.

Freeze-Thaw

1. Three concrete specimens, not less than 4 inches by 6 inches by 6 inches, of the mix design for the structure shall be cast and cured. Fourteen days moist curing with a drying period at room temperature, 60° F to 80° F, for 24 hours will be required before applying the coating material to the specimens. Caution shall be taken that there be no excessive oil on specimen forms. The coating shall be applied to the sides of specimens at a spreading rate of  $50 \pm 10$  square feet per gallon. Brush application will be permitted. Cementitious coatings shall be cured at room temperature and 30 percent relative humidity for 24 hours, at room temperature and 90 percent relative humidity for 48 hours, at room temperature and 50 percent relative humidity for 4 days for a total curing time of 7 days.
2. The specimens shall be immersed in water at room temperature for 3 hours, then removed.
3. The specimens shall be placed in cold storage at -15°F for 1 hour and then removed.
4. The specimens shall be thawed at room temperature for one hour.
5. Steps 3 and 4 shall be repeated for a total of 250 cycles. At the end of 250 cycles, the specimens shall show no visible defects.

Accelerated Weathering

Coating shall be subjected to a 7,500 hour exposure test in a Twin-Carbon-Arc-Weatherometer, ASTM G 23, Type D, at an opening temperature of 145° F. The test shall be made at 20-minute cycles consisting of 17 minutes of light and 3 minutes of water spray plus light. At the end of the exposure test, the exposed samples shall show no chipping, flaking, or peeling. The panels for this test shall be prepared by applying the coating at a spreading rate of  $50 \pm 10$  square feet per gallon to both sides and edges of panels cut from asbestos cement shingles in accordance with Federal Specification SS-S-346, Type I. Curing time shall be in accordance with Freeze-Thaw Test curing time.

Fungus Growth Resistance

Coating shall pass a fungus resistance test in accordance with Federal Specification TT-P-29g. Fungus growth shall not be indicated after a minimum incubation period of 21 days.

Abrasion Resistance

Coating shall pass the 2,000 litre sand abrasion test in accordance with Method 6191 Abrasion Resistance-Falling Sand, Federal Test Method Standard 141a, ASTM D968-81. The specimens for this test shall be prepared by applying the coating to a cleaned steel panel at a spreading rate of  $50 \pm 10$  square feet per gallon. The specimens shall be cured at room temperature for 21 days.

Impact Resistance

Coating shall be applied to a concrete panel prepared according to Federal Test Method Standard 141a, Method 2051, at a spreading rate of  $50 \pm 10$  square feet per gallon, and allowed to cure for 21 days at room temperature. The test shall then be run using the Gardner Mandrel Impact Tester in accordance with ASTM D 2794 using a one-half inch indenter with an impact load of 6 inch-pounds. The coating shall show no chipping under this impact load.

Salt-Spray Resistance

A concrete specimen shall be coated at the rate of  $50 \pm 10$  square feet per gallon and cured for 21 days at room temperature. The coated specimen shall be exposed to a 5 percent salt solution in accordance with ASTM B 117 for 2,500 hours where the atmospheric temperature is maintained at  $90^{\circ} \pm 2^{\circ}\text{F}$ . At the end of 2,500 hours of exposure, the coating shall show no ill effects, loss of adhesion, or deterioration.

Flexibility

A sheet metal specimen shall be coated at a rate of  $50 \pm 10$  square feet per gallon and allowed to cure for 48 hours at room temperature. The coated specimen shall be bent 180 degrees over a one inch round mandrel. After bending, the coating shall show no breaking.

In addition to the certification and test reports required above, a service record shall be supplied showing that the coating material has a satisfactory service record on concrete and, when applicable, galvanized surfaces for a period of not less than 5 years prior to the date of submission of the service record. The coating shall also have shown satisfactory service characteristics without peeling, chipping, flaking, and non-uniform change in texture or color. The structure for the specific product shall be named in the service record.

In addition to the above requirements, each batch delivered to the project shall be sampled and tested for color and the following product analysis data submitted:

- (a) Weight per gallon



- (b) Viscosity in Kreb units
- (c) Weight percent pigment
- (d) Weight percent vehicle solids
- (e) Infrared spectra of vehicle solution
- (f) Drying time

**SURFACE PREPARATION**

Prepare concrete surfaces and galvanized surfaces in accordance with Section 420-17(B) and Section 442-12 of the Standard Specifications, respectively, or the manufacturer's recommendations, whichever is more restrictive. All surfaces to be coated shall be free of efflorescence, flaking coatings, dirt, oil, curing compounds, release agents and other deleterious substances prior to the application of the coating.

Concrete curing compounds and release agents must be removed. Water blasting will be allowed; however, the blasting operation must not remove or damage the concrete.

Prior to application of the coating, all concrete surfaces to be coated shall be sprayed with water. If the water soaks into the concrete surfaces, the coating may be applied once all surfaces dry. If the water beads up and is repelled, the surfaces require further cleaning before application of the coating.

**APPLICATION**

The coating application, including equipment used, shall be in accordance with the manufacturer's recommendations. The coating shall be applied by qualified personnel with previous experience similar to the work outlined in the contract plans.

The material shall be thoroughly mixed in its original container and shall not be thinned. Containers with coatings that have formed skins shall not be permitted for use.

The base coating may be applied over damp, but not wet concrete surfaces and shall be applied at a rate of  $50 \pm 10$  square feet per gallon. The application rate shall produce a uniform color texture. The base coating shall be applied only when the ambient temperature is between 40° F and rising, and 100° F. It shall not be applied over frozen surfaces or if rain is imminent. If a freshly applied surface is damaged by rain, re-coating may be necessary based on the Engineers assessment of the damage.

Schedule the application of the base coating as one of the final finishing operations or when construction-generated dust will be minimal. To prevent lap marks, a wet edge shall be maintained at all times. Stopping and starting in mid-sections will not be allowed. Start or end at natural breaks in the surface, i.e. at a panel edges, corners or joints. When applying the base coating with a roller, the material shall be applied in vertical strokes initially, cross rolled for even film and appearance, and then finished with vertical strikes.

Apply the anti-graffiti coating by brush, roller or airless spray when the ambient temperature is between 45° F and 90° F, and the surface temperature is between 50° F and 100° F. Ensure the surface is clean and dry before applying the anti-graffiti coating.

**FINISHED PRODUCT**

All coating material in the finished state shall be capable of accommodating the thermal and elastic expansion ranges of the concrete or, when applicable, galvanized surfaces without cracking.

The texture of the completed finish coat shall be similar to that of rubbed concrete. The completed finished coating shall be tightly bonded to the structure and present a uniform appearance and texture. Additional coats may be required by the Engineer in order to produce the desired surface texture and uniformity.

Coatings shall be entirely removed from the structure and reapplied if there is failure to positively adhere as evident by chipping, flaking, peeling, or the desired surface appearance is not achieved.

The average thickness of the completed finish coating shall not exceed 1/8 of an inch. The minimum dry film thickness of the anti-graffiti coating shall be 2.0 mils.

**BASIS OF PAYMENT**

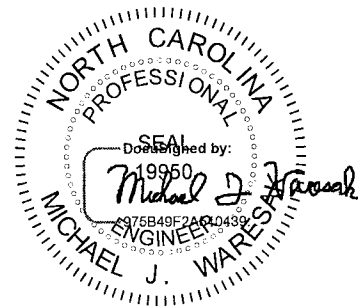
The bridge coating will be paid for at the contract lump sum price bid for "Application of Bridge Coating." Price and payment shall be full compensation for surface preparation, furnishing and applying the materials, labor, equipment and any incidentals necessary to complete this work.

Payment will be made under:

Application of Bridge Coating.....Lump Sum

PROJECT SPECIAL PROVISIONS  
Utility Construction

 **McGill**  
ASSOCIATES  
55 Broad Street, Asheville, NC 28801  
P 828.252.0575, Firm License No. C-0459



10/27/2015

**16" RESTRAINED JOINT WATER PIPE INSTALLED ON BRIDGE**

**1.0 GENERAL DESCRIPTION**

This item shall consist of installation of the 16-inch permanent water line attached to the bridge, including all hangers, supports, sleeves, insulation, piping and related appurtenances as shown on the drawings. 16" Restrained Joint Water Pipe Installed on Bridge shall be installed per the details and notes on the plans.

**2.0 MATERIALS**

Contractor shall submit shop drawings and submittals for all materials for review and approval by the Engineer. Shop drawings shall include all information required to determine if the material meets the standards described in the specifications and on the drawings.

**Piping**

All pipe and fittings shall be constructed of ductile iron. All ductile iron pipe and fittings shall be Class 350 and rated for 350 psi working pressure. All piping materials shall conform to NCDOT 2012 Standard Specifications. All piping materials shall conform to the requirements of ANSI/AWWA C151/A21.51, ANSI/AWWA C110/A21.10, ANSI/AWWA C111/A21.11 and ANSI/AWWA C153/A21.53. Restrained Joint Piping shall provide restraint against external forces and against joint separation due to internal pressure by means of the pipe joint gasket. All pipe shall be capable of a working pressure of 350 psi and designed for exposed installations. The pipe shall be capable of deflections up to at least 3 <sup>3</sup>/<sub>4</sub> - degrees at the joints for each 20 foot joint. Restrained joints shall be boltless, and shall have been factory tested to withstand thrust resulting from at least twice the specified working pressure. Retainer rings shall be manufactured of ductile iron compatible with the pipe material. Ductile iron pipe shall have the manufacturer's

10/27/2015

standard asphaltic coating on the exterior, and cement-lined on the interior in accordance with ASNI/AWWA C104/A21.4.

### **Hangers, hanger rods, supports and lateral supports**

All support and hanger materials shall be 304 stainless steel as specified on the plans.

### **Pipe sleeves**

Pipe sleeves shall be the diameter and thickness shown on the plans and details and shall conform to the requirements of ASTM A53 Grade B or API 5L Grade B.

### **Concrete Inserts**

Concrete inserts for pipe hanger system shall have a stainless steel body rated for a maximum vertical pull-out load of 4,480 pounds. Insert shall include a threaded hole for the hanger rod and shall mount flush to the bottom of the concrete.

### **Hardware**

All bolts, nuts and washers shall be high strength and 304 stainless steel as specified on the plans.

### **Sleeve seals**

Seals shall be placed to fill the annular space between the carrier pipe and pipe sleeves through the bents and walls, as shown on the plans. The seal shall be of an approved link, lock or modular sleeve and casing type. Seals shall be modular mechanical types consisting of interlocking synthetic or rubber links shaped to continuously fill the annular space between the walls and the carrier pipe. Links shall be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and nut. The seal shall be recessed a minimum of 2-inches from the fill face of the walls and bents. After the seal assembly is positioned in the sleeve, tightening of the bolts shall cause the rubber sealing elements to expand and provide a watertight seal between the walls and carrier pipe. The seal shall be constructed so as to provide insulation between the pipe and bents, thus preventing the flow of stray currents from the pipe to the bridge.

### **Pipe Insulation and Protection Shield**

All molded pipe insulation shall be manufactured to meet ASTM C 585 for sizes required in the particular system. It shall be of a type suitable for installation on piping systems as above. Molded fibrous glass pipe insulation shall comply with the requirements of ASTM C 547. Insulation thickness shall be as noted on the plans and details. Insulation shall have an aluminum jacket. In addition, an insulation protection shield shall be provided at each hanger support to distribute the load and

prevent crushing of the insulation without breaking the vapor barrier. The insulation protection shield shall be constructed of carbon steel with a galvanized finish, and comply with Federal Specification A-A-1192A (Type 40), WW-H-171-E (Type 41), ANSI/MSS Sp-69 and MSS Sp-58 (Type 40). A neoprene pad shall be installed between the insulation protection shield and the pipe hanger supports.

All accessory materials shall be in accordance with project drawings and specifications and the manufacturer's instructions.

### **Material Delivery and handling**

The Contractor shall coordinate material deliveries with the manufacturer/supplier. All materials shall be handled and stored in accordance with the manufacturer's recommendations using methods that will prevent damage to the materials.

The Contractor shall unload pipe, fittings, valves and appurtenances so as to avoid deformation or other injury thereto. Pipe shall not be placed within pipe of a larger size and shall not be rolled or dragged over gravel or rock during handling. If any defective material is discovered, it shall be removed and replaced with sound pipe or shall be repaired by the Contractor in an approved manner and at their expense.

The Contractor shall store all pipe and appurtenances on sills above storm drainage level and delivered for installation. Plastic or rubber materials shall not be stored in direct sunlight. Pipe, fittings, valves and appurtenances shall be protected from dirt and damage.

When any material is damaged during transporting, unloading, handling or storing, the undamaged portions may be used as needed, or, if damaged sufficiently, the Engineer will reject the material as being unfit for installation. All of the insulation materials and accessories covered by this specification shall be delivered to the job site and stored in a safe, dry place with appropriate labels and/ or other product identification.

The contractor shall use whatever means are necessary to protect the insulation materials and accessories before, during and after installation. No insulation material shall be installed that has become damaged in any way. The contractor shall use all means necessary to protect work and materials installed by other trades.

If any insulation material has become wet because of transit or job site exposure to moisture or water, the contractor shall not install such material, and shall remove it from the job site.

## **3.0 INSTALLATION**

The work under this section consists of furnishing all materials, labor, equipment, incidentals and services required for the complete installation of exposed piping, fittings, valves and appurtenances suspended from structures as shown on the drawings and details and described in the specifications. All piping is to be installed in strict accordance with the manufacturer recommendations and the plans and contract specifications.

#### **Concrete inserts, pipe hangers and supports**

The Contractor shall be responsible for the layout and installation of all anchors, supports, hangers, braces, pipe sleeves as shown on the drawings and details. It is the responsibility of the Contractor to insure that the layout of the required inserts, anchors and supports are correct to allow installation of the pipe as shown on the plans and that there are no conflicts with the bridge structure or the pipe joints. Each 20-foot joint of Restrained Joint pipe shall be supported by one combination hanger/lateral support assembly behind its bell, and one hanger assembly at mid-joint.

In the event of damage to the coating of any components of the pipe support and hanging system, the Contractor shall have appropriate coating available on site to facilitate application of touch up coating repairs to prevent corrosion.

#### **Restrained Joint Pipe Installation**

Pipe installation through the walls and attachment to the pipe hangers and supports shall be according to the plans and details. Lateral joint deflections shall be limited to those shown on the plans and shall be strictly within the allowable deflections as specified by the pipe manufacturer. No vertical joint deflections shall be permitted. Contractor shall adjust pipe hanger heights as necessary to maintain a uniform vertical alignment with no vertical joint deflections. No bends shall be permitted.

When installing and attaching the Restrained Joint pipe to the bridge, the Contractor shall make only one connection at a time. The pipe shall be thoroughly cleaned of foreign matter, using a wire brush if required. The pipe and fittings shall be installed in strict compliance with the manufacturers' recommendations and with the "Piping Industry Standards".

**IMPORTANT INSTALLATION NOTE: ALL HANGER AND LATERAL SUPPORT SYSTEMS FOR THE RESTRAINED JOINT PIPE INSTALLED ON BRIDGE SHALL BE COMPLETELY INSTALLED PRIOR TO PRESSURIZING THE PIPE. CONTRACTOR SHALL PROVIDE PLUGS AND THRUST RESTRAINT AT EACH END PRIOR TO PRESSURIZATION OF THE PIPE ON THE BRIDGE. PIPE SHALL THEN BE PRESSURIZED TO 250 PSI TEST PRESSURE PRIOR TO MAKING PIPE CONNECTIONS AT EACH END OF THE BRIDGE TO ALLOW PIPE JOINTS TO FULLY EXTEND AND PREVENT LATERAL MOVEMENT OF THE PIPE. CONTRACTOR SHALL CONFIRM THIS SEQUENCE AND ALL REQUIRED**

**RESTRAINT AND SUPPORTS WITH THE PIPE MANUFACTURER PRIOR TO PRESSURIZING THE RESTRAINED JOINT PIPE ON THE BRIDGE.**

Prior to placing the water pipe into service, the pipe shall be pressure tested at 250 psi and sterilized in accordance with NCDENR regulations and NCDOT 2012 Standard Specifications, Section 1510, "Water Lines". Water line pipe shall be sterilized in accordance with NCDENR requirements and AWWA C651. and approved by the utility owner (Tuckaseegee Water and Sewer Authority) prior to being placed into service.

**Pipe Insulation**

Flanges, couplings and valve bonnets shall be covered with an oversized pipe insulation section sized to provide the same insulation thickness as on the main pipe section. An oversized insulation section shall be used to form a collar between the two insulation sections with low-density blanket insulation being used to fill gaps. Jacketing shall match that used on straight pipe sections.

The insulation shall then be protected with aluminum jacketing as shown on the details. Joints shall be applied so they will shed water and shall be sealed completely. All piping shall be supported in such a manner that neither the insulation nor the vapor/weather barrier is compromised by the hanger or the effects of the hanger. In all cases, hanger spacing shall be such that the circumferential joint may be made outside the hanger.

Accessory materials installed as part of insulation work under this section shall include (but not be limited to): Closure Materials (Butt strips, bands, wires, staples, mastics, adhesives; pressure-sensitive tapes), field-applied jacketing materials and support materials (Hanger straps, hanger rods, saddles, support rings). All accessory materials shall be installed in accordance with project drawings and specifications and the manufacturer's instructions

**Pipe sleeves**

The pipe sleeves shall be installed in the end bents and bent Nos. 1 and 2 as shown on the plans. Both ends of the sleeve shall be installed flush with both faces of the bent walls. If the ends of the sleeves have to be cut to fit, precautions shall be taken to protect the walls and all rough edges on the ends and inside the sleeves shall be smoothed out prior to pipe installation.

**4.0 MEASUREMENT AND PAYMENT**

All equipment, materials and labor required for installation shall be considered incidental. 16" Restrained Joint Water Pipe Installed on Bridge shall be paid for at the Lump Sum bid price for the complete item installed between the stations noted on the plans.

PROJECT SPECIAL PROVISIONS  
ELECTRICAL CONDUIT SYSTEM

1.0 DESCRIPTION:

The work covered by this section consists of furnishing and installing a conduit system embedded in the parapet and anchor bolts for light standard attachment by others. Perform all work in accordance with these special provisions, the plans, the National Electrical Code (NEC), and Division 14 of the North Carolina Department of Transportation "Standard Specifications for Roads and Structures."

The Contractor actually performing the work described in these special provisions shall have a license of the proper classification from the North Carolina State Board of Examiners of Electrical Contractors.

The licensed Electrical Contractor must be available on the job site when the work is being performed or when requested by the Engineer. The licensed Electrical Contractor shall have a set of plans and special provisions in his possession on the job site, and must maintain accurate "as built" plans.

The site contact for this project is Andy DeGrove, Project Manager of Facilities Management at Western Carolina University. He can be contacted at 828-227-3124 or email [degrove@email.wcu.edu](mailto:degrove@email.wcu.edu).

2.0 MATERIALS:

Submit copies of catalog cuts and/or drawings for all proposed materials for the Engineer's review and approval. Include the brand name, stock number, description, size, rating, manufacturing specification, and applicable contract item number(s) on each submittal. Allow forty (40) days for submittal review. The Engineer will advise the Contractor of reasons for rejected submittals and will return approved submittals to the Contractor. Do not deliver material to the project prior to submittal approval.

2.1 Conduit System in Parapet

Non-metallic conduit shall be rigid PVC (Polyvinyl chloride) heavy wall approved for above ground and underground use per U.L. 651 "Schedule 40, 80 Type E B and A Rigid PVC Conduit and Fittings". Use Terminations designed for PVC conduit, to seal and stub out each PVC conduit, and to provide watertight protection.

Type BJ junction box shall be NEMA Type-4 cast iron, hot-dipped galvanized with recess flange for flush mounting sized as shown on the plans. It shall have a neoprene gasket cover with brass or stainless steel screws and shall be suitable for a watertight installation. A mounting button with a blind tapped bolt hole shall be provided on the interior for future connection of a



grounding lug. The covers shall be a standardized design so that replacement can be done without disturbing the box or conduit system.

## 2.2 Miscellaneous

Use mastic that is a permanent, non-hardening, water sealing compound that adheres to metal, plastic, and concrete.

Provide jute that is a burlap-like material used for filling voids and protecting components from waterproofing and adhesive compounds.

Provide zinc rich paint conforming to Section 1080-9 of the Standard Specifications.

Provide pull lines specifically designed for pulling rope through conduit. Use pull lines made of 2-ply line, with a tensile strength of (240 pounds) minimum. Use rot and mildew resistant pull lines that are resistant to tangling when being dispensed.

The light standard manufacturer shall provide anchor bolts, nuts, washer and shims.

## 3.0 CONSTRUCTION METHODS:

### 3.1 Conduit System in Parapet

Securely fasten all conduit and boxes prior to placing any concrete. After the conduit is encased in concrete, clean each conduit by snaking with a steel band that has an approved tube cleaner, equipped with a mandrel of a diameter not less than 85% of the nominal inside diameter of the conduit. To ensure against corrosion in the areas where hot dipped galvanizing has been damaged, cover all raw metal surfaces with a cold galvanized, zinc rich paint.

Stub the conduit out at an accessible location and seal with termination kits designed specifically for that purpose. Use termination kits of the same material as the conduit. Place backfill in accordance with Section 300-7 of the Standard Specifications. Conduit may enter junction boxes through field drilled holes protected with zinc rich paint before the conduit is inserted. Use threaded adapter and PVC bushing at all junction box to conduit connections. Install a pull line in each conduit for future use. Leave sufficient slack for attachment of a rope that will be used to install conductors. Coordinate electrical conduit system work with work by others. Allow installation of circuitry and light standards as directed by the Engineer.

Install anchor bolts according to light standard manufacturer's recommendations. Protect exposed threaded portion of anchor bolts, to guard against damage from concrete installation.

Use mastic that is a permanent, non-hardening, water sealing compound that adheres to metal, plastic and concrete.

Provide jute that is a burlap-like material used for filling voids and protecting components from waterproofing and adhesive compounds.

Fill the space between the conduit and the sleeve with mastic and jute. Install the mastic with a minimum distance of 2" at each end of the sleeve and the remaining interior space filled with jute. Finish the mastic by making it smooth and flush with the concrete.

All work must be inspected and approved by the Engineer before concealment.

#### 4.0 MEASUREMENT AND PAYMENT:

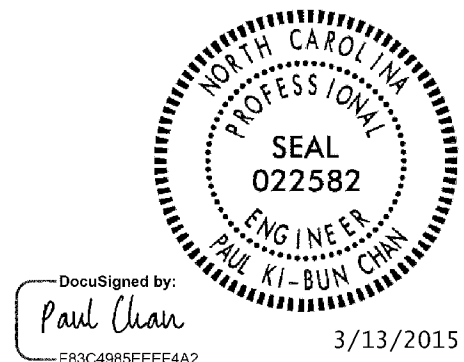
No direct measurement will be made for the conduit system(s), since it will be paid for on a lump sum basis.

Payment for the conduit system(s) will be made at the contract lump sum price for "Electrical Conduit System at Station ".

Such price and payment for the conduit system as provided above will be considered full compensation for all materials, equipment, and labor necessary to complete the work in accordance with the plans and these special provisions.

Payment will be made under:

Electrical Conduit System at Station 20+16.00 -L- \_\_\_\_\_ Lump Sum



**PROJECT SPECIAL PROVISION**

(10-18-95) (Rev. 10-15-13)

Z-1

**PERMITS**

The Contractor's attention is directed to the following permits, which have been issued to the Department of Transportation by the authority granting the permit.

**PERMIT**

Dredge and Fill and/or  
Work in Navigable Waters (404)

Water Quality (401)

TVA

**AUTHORITY GRANTING THE PERMIT**

U. S. Army Corps of Engineers

Division of Environmental Management, DENR  
State of North Carolina

Tennessee Valley Authority

The Contractor shall comply with all applicable permit conditions during construction of this project. Those conditions marked by \* are the responsibility of the Department and the Contractor has no responsibility in accomplishing those conditions.

Agents of the permitting authority will periodically inspect the project for adherence to the permits.

The Contractor's attention is also directed to Articles 107-10 and 107-13 of the *2012 Standard Specifications* and the following:

Should the Contractor propose to utilize construction methods (such as temporary structures or fill in waters and/or wetlands for haul roads, work platforms, cofferdams, etc.) not specifically identified in the permit (individual, general, or nationwide) authorizing the project it shall be the Contractor's responsibility to coordinate with the Engineer to determine what, if any, additional permit action is required. The Contractor shall also be responsible for initiating the request for the authorization of such construction method by the permitting agency. The request shall be submitted through the Engineer. The Contractor shall not utilize the construction method until it is approved by the permitting agency. The request normally takes approximately 60 days to process; however, no extensions of time or additional compensation will be granted for delays resulting from the Contractor's request for approval of construction methods not specifically identified in the permit.

**Where construction moratoriums are contained in a permit condition which restricts the Contractor's activities to certain times of the year, those moratoriums will apply only to the portions of the work taking place in the waters or wetlands provided that activities outside those areas is done in such a manner as to not affect the waters or wetlands.**

**NOTE: Includes USACE Modification #1 - See pages 81 - 85**

**P-2**  
**U.S. ARMY CORPS OF ENGINEERS**  
**WILMINGTON DISTRICT**

Action ID. **2014-02330 TIP No. B-4159**

County: **Jackson**

**GENERAL PERMIT (REGIONAL AND NATIONWIDE) VERIFICATION**

Property Owner / Authorized Agent: **North Carolina Department of Transportation**  
**Attn: Mr. Richard W. Hancock, P.E.**

Address: **1598 Mail Service Center**  
**Raleigh, North Carolina 27699-1598**

Telephone No.: **919-707-6103**

Size and location of property (water body, road name/number, town, etc.): **The project is located at Bridge No. 108 over the Tuckasegee River on SR 1002 in Cullowhee, Jackson County, North Carolina.**

Description of project area and activity: **In order to replace Bridge No. 108, the permittee is authorized to impact waters of the U.S. as follows:**

**Summary of Authorized Impacts and Required Mitigation**

Impact ID #	NWP / GP #	Open Water (ac)		Wetland (ac)		Stream	
		Temporary	Permanent	Temporary	Permanent	Temporary	Permanent
Site 1 (Tuckasegee River)	<b><u>13 and 33</u></b>					<b>0.19 acre* (temporary work pads)</b>	<b>185 lf* (bank stabilization)</b>
Impact Totals		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.19 acre</b>	<b>185 lf</b>
Total Loss of Waters of the U.S. (ac)		<b>0</b>		Total Loss of Waters of the U.S. (lf)		<b>0</b>	
Required Wetland Mitigation (ac)		<b>0</b>		Required Stream Mitigation (lf)		<b>0</b>	

\*Post bridge construction, the work pad material will be removed except for the material along the banks; the material left in place will constitute the 185 lf of bank stabilization authorized above.

Applicable Law: ☒ Section 404 (Clean Water Act, 33 USC 1344)  
☐ Section 10 (Rivers and Harbors Act, 33 USC 403)  
Authorization: Regional General Permit Number:  
Nationwide Permit Number: **13 and 33**

Your work is authorized by the above referenced permit provided it is accomplished in strict accordance with the attached conditions, your submitted plans, and the following special conditions:

**Special Conditions**

1. All work must be performed in strict compliance with the description of work and plans in the application dated July 16, 2014. Any modification to the description of work and/or the permit plans must be approved by the USACE prior to implementation.
2. All work shall be conducted in accordance with the attached letter from the U.S. Fish and Wildlife Service dated June 27, 2014.
3. The project must be implemented and/or conducted so that all reasonable and practicable measures to ensure that equipment, structures, fill pads, and work associated with the project do not adversely affect upstream and/or downstream reaches. Adverse effects include, but are not limited to, channel instability, flooding, and/or shoreline/streambank erosion. During construction, the permittee shall routinely monitor for these effects, cease all work if/when detected, take initial corrective measures to correct actively eroding areas, and notify the USACE immediately. Permanent corrective measures may require additional authorization from the USACE.

4. All conditions of the attached North Carolina Wildlife Resources Commission letter of August 22, 2014, are hereby incorporated as special conditions of this permit.
5. The permittee shall require its contractors and/or agents to comply with the terms and conditions of this authorization letter in the construction and maintenance of this project, and shall provide each of its contractors and/or agents associated with the construction or maintenance of this project with a copy of this authorization letter, all conditions, and any authorized modifications. A copy of this authorization letter, all conditions, and any authorized modifications, shall be available at the project site during construction and maintenance of this project.
6. This permit does not authorize temporary placement or double handling of excavated or fill material within waters or wetlands outside the permitted area.
7. The permittee will report any violation of these conditions or violations of Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act in writing to the Wilmington District, U. S Army Corps of Engineers, within 24 hours of the permittee's discovery of the violation.

Any violation of the attached conditions or deviation from your submitted plans may subject the permittee to a stop work order, a restoration order, a Class I administrative penalty, and/or appropriate legal action.

This verification will remain valid until the expiration date identified below unless the nationwide authorization is modified, suspended or revoked. If, prior to the expiration date identified below, the nationwide permit authorization is reissued and/or modified, this verification will remain valid until the expiration date identified below, provided it complies with all requirements of the modified nationwide permit. If the nationwide permit authorization expires or is suspended, revoked, or is modified, such that the activity would no longer comply with the terms and conditions of the nationwide permit, activities which have commenced (i.e., are under construction) or are under contract to commence in reliance upon the nationwide permit, will remain authorized provided the activity is completed within twelve months of the date of the nationwide permit's expiration, modification or revocation, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend or revoke the authorization.

Activities subject to Section 404 (as indicated above) may also require an individual Section 401 Water Quality Certification. You should contact the NC Division of Water Resources (telephone 919-807-6300) to determine Section 401 requirements.

For activities occurring within the twenty coastal counties subject to regulation under the Coastal Area Management Act (CAMA), prior to beginning work you must contact the N.C. Division of Coastal Management.

This Department of the Army verification does not relieve the permittee of the responsibility to obtain any other required Federal, State or local approvals/permits.

If there are any questions regarding this verification, any of the conditions of the Permit, or the Corps of Engineers regulatory program, please contact Lori Beckwith at 828-271-7980.

Corps Regulatory Official: Lori Beckwith **BECKWITH.LORETTA.ANN.1173452264**  
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ou=PKI, ou=USA,  
cn=BECKWITH.LORETTA.ANN.1173452264  
Date: 2015.01.14 11:22:27 -05'00'

Date: **January 14, 2015**

Expiration Date of Verification: **March 18, 2017**

**Determination of Jurisdiction:**

- A. ☒ Based on preliminary information, there appear to be waters of the US including wetlands within the above described project area. This preliminary determination is not an appealable action under the Regulatory Program Administrative Appeal Process ( Reference 33 CFR Part 331).
- B. ☐ There are Navigable Waters of the United States within the above described project area subject to the permit requirements of Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.
- C. ☐ There are waters of the US and/or wetlands within the above described project area subject to the permit requirements of Section 404 of the Clean Water Act (CWA)(33 USC § 1344). Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.
- D. ☐ The jurisdictional areas within the above described project area have been identified under a previous action. Please reference jurisdictional determination issued \_\_\_\_\_. Action ID \_\_\_\_\_

**E. Basis of Jurisdictional Determination:** A basis is not required for a PJD, however the stream channel in the project area is the Tuckasegee River, which is a TNW. The Tuckasegee River flows to Lake Fontana. Lake Fontana is a water of the U.S. regulated pursuant to Section 10 of the Rivers and Harbors Act of 1899. This PJD applies to the impact areas only.

**Attention USDA Program Participants**

This delineation/determination has been conducted to identify the limits of Corps' Clean Water Act jurisdiction for the particular site identified in this request. The delineation/determination may not be valid for the wetland conservation provisions of the Food Security Act of 1985. If you or your tenant are USDA Program participants, or anticipate participation in USDA programs, you should request a certified wetland determination from the local office of the Natural Resources Conservation Service, prior to starting work.

**F. Appeals Information (This information applies only to approved jurisdictional determinations as indicated in B and C above).**

(This information applies only to approved jurisdictional determinations as indicated in B and C above).

This correspondence constitutes an approved jurisdictional determination for the above described site. If you object to this determination, you may request an administrative appeal under Corps regulations at 33 CFR Part 331. Enclosed you will find a request for appeal (RFA) form. If you request to appeal this determination you must submit a completed RFA form to the following address:

US Army Corps of Engineers  
South Atlantic Division  
Attn: Jason Steele, Review Officer  
60 Forsyth Street SW, Room 10M15  
Atlanta, Georgia 30303-8801  
Phone: (404) 562-5137

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 CFR part 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP. Should you decide to submit an RFA form, it must be received at the above address by March 16, 2015.

**\*\*It is not necessary to submit an RFA form to the Division Office if you do not object to the determination in this correspondence.\*\***

Corps Regulatory Official: Lori Beckwith

**BECKWITH.LORETTA.A.ANN.1173452264**

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ou=USA, cn=BECKWITH.LORETTA.ANN.1173452264  
Date: 2015.01.14 11:22:42 -05'00'

Issue Date: **January 14, 2015**

Expiration Date: N/A for PJD

Copies Furnished by email: USFWS, Mr. Jason Mays and NCDOT Mr. Michael Turchy

Permit Number: 2014-02330  
Permit Type: NW13 and 33  
Name of County: Jackson  
Name of Permittee: North Carolina Department of Transportation  
Attn: Richard W. Hancock, P.E.  
Date of Issuance: January 14, 2015  
Project Manager: Lori Beckwith

Upon completion of the activity authorized by this permit and any mitigation required by the permit, sign this certification and return it to the following address:

U.S. Army Corps of Engineers  
Attention: CESAW-RG-A  
151 Patton Avenue, Room 208  
Asheville, North Carolina 28801-5006

Please note that your permitted activity is subject to a compliance inspection by a U. S. Army Corps of Engineers representative. Failure to comply with any terms or conditions of this authorization may result in the Corps suspending, modifying or revoking the authorization and/or issuing a Class I administrative penalty, or initiating other appropriate legal action.

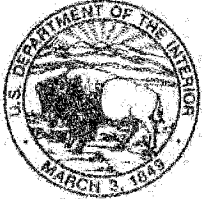
I hereby certify that the work authorized by the above referenced permit has been completed in accordance with the terms and conditions of the said permit, and required mitigation was completed in accordance with the permit conditions.

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Signature of Permittee

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Date



## United States Department of the Interior

### FISH AND WILDLIFE SERVICE

Asheville Field Office  
160 Zillicoa Street  
Asheville, North Carolina 28801  
June 27, 2014

Mr. Richard Hancock, P.E., Manager  
Project Development and Environmental Analysis Unit  
North Carolina Department of Transportation  
1548 Mail Service Center  
Raleigh, North Carolina 27699-1548

Dear Mr. Hancock:

Subject: Endangered Species Concurrence, Proposed Replacement of Bridge No. 108 over the Tuckaseegee River on SR 1002 in Jackson County, North Carolina, Federal Project No. BRZ-1002 (13), WBS Element No. 35507.1.1, T.I.P. No. B-4159

On May 30, 2014, we received your letter (via email) requesting section 7 concurrence on the subject project and its possible effect on the federally endangered Appalachian elktoe (*Alasmidonta raveneliana*). The following comments are provided in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531-1543) (Act).

North Carolina Natural Heritage Program records indicate that there are multiple occurrences of the Appalachian elktoe in the Tuckaseegee River. Critical habitat for this species in the Tuckaseegee River extends up to a point about 225 meters upstream from the proposed project site. The nearest known record for the species is located about 6.9 kilometers (km) downstream from the proposed project site. Habitat characteristics within the project footprint are adequate for the Appalachian elktoe; however, hypolimnetic discharge from reservoirs upstream alter water temperatures and may reduce reproduction by remaining too cold for glochidial development. It is presently unknown exactly where in the Tuckaseegee River the temperature becomes warm enough to support mussel fauna, but a shell fragment collected at the Locust Creek Access Area (approximately 1.2 km upstream from the nearest record of the Appalachian elktoe) indicates that there is a chance that mussels extend farther upstream than presently known. Surveys conducted in 2011 focused primarily on the project footprint and the area immediately downstream from the subject bridge; however, other construction-related direct and indirect effects do have potential to affect the species or critical habitat farther downstream in the Tuckaseegee River. This possibility is exacerbated by the potential for flash flooding, as demonstrated during the construction of B-3861, 1.5 km upstream. Possible direct and indirect effects to the downstream critical habitat and the species include construction runoff,



sedimentation, fuel/fluid spills, road runoff pollutants, and destabilization of the stream channel resulting in long-term degradation of the Tuckasegee River and subsequent deposition of bank material in the downstream critical habitat. In order to better define the potential for indirect effects, we request that the North Carolina Department of Transportation (NCDOT) participate with our office in a preconstruction survey effort that will better define the proximity of the Appalachian elktoe downstream of the project area. We believe that this additional survey effort is necessary to ensure that the potential for indirect effects have been appropriately minimized.

To minimize the potential for negative effects, the NCDOT has committed to the following minimization measures. The minimization measures listed below are paraphrased from the May 30, 2014, request for concurrence and the accompanying February 20, 2012, biological conclusion memo.

1. Design Standards for Sensitive Watersheds will be used.
2. Construction will be phased so that no more than 50 percent of the river channel will be blocked by the work pads.
3. Work pads will be stabilized by jersey barriers on their upstream sides to prevent them from washing away during high flows.
4. The NCDOT will adhere to the North Carolina Wildlife Resources Commission's trout moratorium.

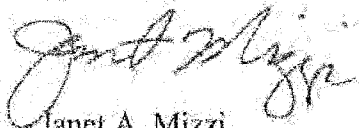
In addition to the minimization measures included in the request for concurrence, the following additional measures were discussed during a phone conversation on June 24, 2014, between Mr. Jason Mays of our staff and Mr. Michael Turchy (NCDOT) and were verified as project commitments in an email on the same date.

1. All equipment will be refueled and receive maintenance inside a designated refueling and maintenance area that is provisioned to quickly contain spills of fuel, lubricants, and other fluids.
2. The NCDOT will establish a robust contact network with Duke Energy that will ensure that impending high flows are anticipated and that any equipment on work pads be moved to high ground, with an appropriate safety margin, to ensure that equipment is not lost in the river.
3. Demolition of the existing structure will be conducted in a manner that minimizes dropping material into the river.
4. The NCDOT will participate in a preconstruction survey during the 2014 field season in order to validate the assumption that the Appalachian elktoe is not within the area that may be affected by indirect effects.

We believe these commitments will minimize the potential for negative effects to the Appalachian elktoe and its critical habitat. With implementation of the above-listed measures, we concur with the NCDOT's determination that the subject bridge construction and demolition may affect, but is not likely to adversely affect, the Appalachian elktoe and is not likely to adversely modify the critical habitat. Therefore, we believe the requirements under section 7(c) of the Act are fulfilled. However, obligations under section 7 of the Act must be reconsidered if: (1) new information reveals impacts of this identified action that may affect listed species or critical habitat in a manner not previously considered, (2) this action is subsequently modified in a manner that was not considered in this review, or (3) a new species is listed or critical habitat is determined that may be affected by the identified action.

If you have questions about these comments, please contact Mr. Jason Mays of our staff at 828/258-3939, Ext. 226. In any future correspondence concerning this project, please reference our Log Number 4-2-14-242

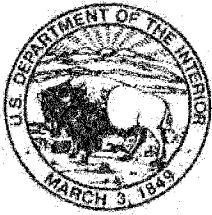
Sincerely,



Janet A. Mizzi  
Field Supervisor

cc:

Ms. Lori Beckwith, Asheville Regulatory Field Office, U.S. Army Corps of Engineers,  
151 Patton Avenue, Room 208, Asheville, NC 28801-5006  
Ms. Marla J. Chambers, Western NCDOT Permit Coordinator, North Carolina Wildlife  
Resources Commission, 12275 Swift Road, Oakboro, NC 28129



# United States Department of the Interior

## FISH AND WILDLIFE SERVICE

Asheville Field Office  
160 Zillicon Street  
Asheville, North Carolina 28801

July 23, 2015

Mr. Richard W. Hancock, P.E.  
North Carolina Department of Transportation  
1598 Mail Service Center  
Raleigh, North Carolina 27669

Dear Mr. Hancock:


Subject: Endangered Species Concurrence for proposed replacement of Bridge Number 108 on SR 1002 over Tuckaseegee River in Jackson County, North Carolina. T.I.P No. B-4159

On June 30, 2015, we received your letter (via email) requesting section 7 concurrence on effects the subject bridge replacement project may have on the federally threatened northern long-eared bat (*Myotis septentrionalis*, NLEB). The following comments are provided in accordance with section 7 of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531-1543) (Act).

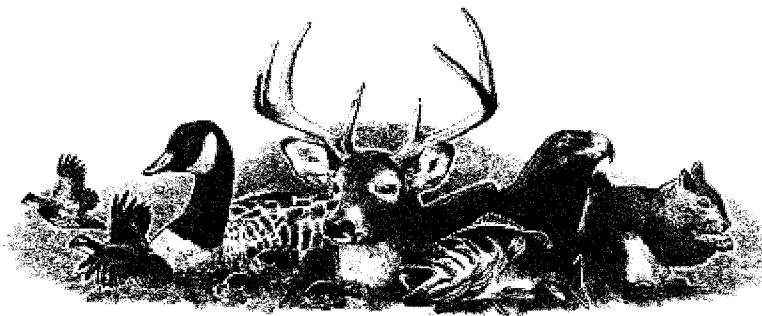
We have reviewed the information provided in your concurrence request letter and attached survey reports. The action area for this proposed project is within the known range of the aforementioned species. We agree that your assessment of the bridge structure indicates that demolition will not have an effect on the species and that your proposal to conduct tree clearing between October 15 and April 15 will reduce the potential for effects to NLEB to a discountable level. Accordingly, we concur with your biological conclusion that the proposed construction may affect, but is not likely to adversely affect the northern long-eared bat. Therefore, we believe the requirements under section 7(c) of the Act are fulfilled. However, obligations under section 7 of the Act must be reconsidered if: (1) new information reveals impacts of this identified action that may affect listed species or critical habitat in a manner not previously considered, (2) this action is subsequently modified in a manner that was not considered in this review, or (3) a new species is listed or critical habitat is determined that may be affected by the identified action.

If we can be of assistance or if you have any questions about these comments, please contact Mr. Jason Mays of our staff at 828/258-3939, Ext. 226. In any future correspondence concerning this project, please reference our Log Number 4-2-15-350.

Sincerely,



Janet Mizzi  
Field Supervisor



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◊ North Carolina Wildlife Resources Commission ◊

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Gordon Myers, Executive Director

TO: Lori Beckwith, NCDOT Regulatory Project Manager  
Asheville Regulatory Field Office, USACE

FROM: Marla Chambers, Western NCDOT Coordinator *Marla Chambers*  
Habitat Conservation Program, NCWRC

DATE: August 22, 2014

SUBJECT: Review of NCDOT's application for a Section 404 permit to replace Bridge No. 108 over the Tuckesegee River on SR 1002 (Old Cullowhee Road), Jackson County, North Carolina.

The North Carolina Department of Transportation (NCDOT) has submitted an application to obtain a Section 404 Permit from the U.S. Army Corps of Engineers (USACE). Staff biologists with the North Carolina Wildlife Resources Commission (NCWRC) have reviewed the information provided. These comments are provided in accordance with the provisions of the state and federal Environmental Policy Acts (G.S. 113A-1 through 113-10; 1 NCAC 25 and 42 U.S.C. 4332(2)(c), respectively), the Clean Water Act of 1977 (33 U.S.C. 466 et seq.) and the Fish and Wildlife Coordination Act (48 Stat. 401, as amended; 16 U.S.C. 661-667d), as applicable.

The NCDOT proposes to replace Bridge No. 108 over the Tuckesegee River on SR 1002 (Old Cullowhee Road), a four-span, 290 foot bridge, with a three span, 300 foot bridge using staged construction. Permanent stream impacts proposed total 185 linear feet (lf) for bank stabilization; temporary impacts total 0.19 acres for work pads to remove the old structure and install the new bridge. The Tuckesegee River (Class B, Trout waters) in the project area is designated as critical habitat for the federally endangered Appalachian elktoe (*Alasmidonta raveneliana*) and known occurrences are approximately 6.9 kilometers downstream. NCDOT is consulting with the USFWS regarding protection of this species during project construction. NCDOT has committed to sediment and erosion control measures that adhere to the Design Standards in Sensitive Watersheds and other measures to protect downstream sensitive resources. We support the proposed protective measures.

Trout reproduction is expected in the project area; therefore, a moratorium prohibiting in-stream work and land disturbance within the 25-foot trout buffer should apply from October 15 to April 15 to protect the egg and fry stages of trout. This project is on a priority list of bridge replacement sites that has been developed for providing public access to public waters, in accordance with the MOA between our agencies. The Designated Public Mountain Trout Waters section of this river is entirely on private property; therefore, bridge crossings are crucial for maintaining public access to this important resource. We request that NCDOT coordinate with our agency to maintain or create angler access, including parking (a pull-over) and a way for anglers to easily walk down the bank, into their construction plans for this project to provide the public permanent and safe access to this valuable resource.

NCWRC can concur with the issuance the Section 404 permit provided that the following conditions are implemented:

1. In-stream work and land disturbance within the 25-foot wide buffer zone are prohibited during the trout spawning seasons of October 15 through April 15 to protect the egg and fry stages of trout.
2. Sediment and erosion control measures shall adhere to the Design Standards in Sensitive Watersheds and be strictly maintained until project completion.
3. Herbaceous vegetation shall be planted on all bare soil as soon as possible following the completion of permanent or temporary ground disturbing activities to provide appropriate long-term erosion control.
4. Tall fescue and straw mulch shall not be used in riparian areas. We encourage NCDOT to utilize onsite vegetation and materials for bank stabilization when practicable. Erosion control matting shall be used on steep slopes and for establishing permanent vegetation in riparian areas. The matting shall be well anchored with staples or wooden stakes and, whenever possible, include live stakes of native trees. Matting in riparian areas should not contain plastic mesh, which can entangle and trap small animals.
5. Stormwater should be directed to buffer areas or retention basins and should not be routed directly into the waterway.
6. The natural dimension, pattern, and profile of the waterway above and below the crossing should not be modified by widening the channel or changing the depth of the waterway.
7. Removal of vegetation in riparian areas should be minimized. Native trees and shrubs should be planted along the banks, as appropriate to the setting, to reestablish the riparian zone and to provide long-term erosion control.
8. Grading and backfilling should be minimized, and tree and shrub growth should be retained, if possible, to ensure long term availability of shoreline cover for fish and wildlife.

9. Where practicable, riprap placed for bank stabilization should be limited to the banks below the high water mark, and vegetation should be used for stabilization above the high water elevation.
10. If concrete will be used during construction, work must be accomplished so that wet (uncured) concrete does not contact surface waters. This will lessen the chance of altering the water chemistry and causing a fish kill.
11. Discharging hydroseeding mixtures and washing out hydroseeders and other equipment in or adjacent to surface waters is prohibited.
12. Heavy equipment should be operated from the bank rather than in the channel whenever possible in order to minimize sedimentation and reduce the likelihood of introducing other pollutants into the waterway. All mechanized equipment operated near surface waters should be inspected and maintained regularly to prevent contamination of surface waters from fuels, lubricants, hydraulic fluids or other toxic materials.

Thank you for the opportunity to review and comment on this project. If you have any questions regarding these comments, please contact me at [marla.chambers@ncwildlife.org](mailto:marla.chambers@ncwildlife.org) or (704) 982-9181.

cc: Jason Mays, USFWS  
Amy Chapman, NCDWR  
Mark Davis, NCDOT

**NATIONWIDE PERMIT 12  
DEPARTMENT OF THE ARMY  
CORPS OF ENGINEERS  
FINAL NOTICE OF ISSUANCE AND MODIFICATION OF NATIONWIDE PERMITS  
FEDERAL REGISTER  
AUTHORIZED MARCH 19, 2012**

**Utility Line Activities.** Activities required for the construction, maintenance, repair, and removal of utility lines and associated facilities in waters of the United States, provided the activity does not result in the loss of greater than 1/2-acre of waters of the United States for each single and complete project.

**Utility lines:** This NWP authorizes the construction, maintenance, or repair of utility lines, including outfall and intake structures, and the associated excavation, backfill, or bedding for the utility lines, in all waters of the United States, provided there is no change in pre-construction contours. A “utility line” is defined as any pipe or pipeline for the transportation of any gaseous, liquid, liquescent, or slurry substance, for any purpose, and any cable, line, or wire for the transmission for any purpose of electrical energy, telephone, and telegraph messages, and radio and television communication. The term “utility line” does not include activities that drain a water of the United States, such as drainage tile or french drains, but it does apply to pipes conveying drainage from another area.

Material resulting from trench excavation may be temporarily sidecast into waters of the United States for no more than three months, provided the material is not placed in such a manner that it is dispersed by currents or other forces. The district engineer may extend the period of temporary side casting for no more than a total of 180 days, where appropriate. In wetlands, the top 6 to 12 inches of the trench should normally be backfilled with topsoil from the trench. The trench cannot be constructed or backfilled in such a manner as to drain waters of the United States (e.g., backfilling with extensive gravel layers, creating a french drain effect). Any exposed slopes and stream banks must be stabilized immediately upon completion of the utility line crossing of each waterbody.

**Utility line substations:** This NWP authorizes the construction, maintenance, or expansion of substation facilities associated with a power line or utility line in non-tidal waters of the United States, provided the activity, in combination with all other activities included in one single and complete project, does not result in the loss of greater than 1/2-acre of waters of the United States. This NWP does not authorize discharges into non-tidal wetlands adjacent to tidal waters of the United States to construct, maintain, or expand substation facilities.

**Foundations for overhead utility line towers, poles, and anchors:** This NWP authorizes the construction or maintenance of foundations for overhead utility line towers, poles, and anchors in all waters of the United States, provided the foundations are the minimum size necessary and separate footings for each tower leg (rather than a larger single pad) are used where feasible.

**Access roads:** This NWP authorizes the construction of access roads for the construction and maintenance of utility lines, including overhead power lines and utility line substations, in non-tidal waters of the United States, provided the activity, in combination with all other activities included in one single and complete project, does not cause the loss of greater than 1/2-acre of non-tidal waters of the United States. This NWP does not authorize discharges into non-



tidal wetlands adjacent to tidal waters for access roads. Access roads must be the minimum width necessary (see Note 2, below). Access roads must be constructed so that the length of the road minimizes any adverse effects on waters of the United States and must be as near as possible to pre-construction contours and elevations (e.g., at grade corduroy roads or geotextile/gravel roads). Access roads constructed above pre-construction contours and elevations in waters of the United States must be properly bridged or culverted to maintain surface flows.

This NWP may authorize utility lines in or affecting navigable waters of the United States even if there is no associated discharge of dredged or fill material (See 33 CFR Part 322). Overhead utility lines constructed over section 10 waters and utility lines that are routed in or under section 10 waters without a discharge of dredged or fill material require a section 10 permit.

This NWP also authorizes temporary structures, fills, and work necessary to conduct the utility line activity. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

\* Notification: The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity if any of the following criteria are met: (1) the activity involves mechanized land clearing in a forested wetland for the utility line right-of-way; (2) a section 10 permit is required; (3) the utility line in waters of the United States, excluding overhead lines, exceeds 500 feet; (4) the utility line is placed within a jurisdictional area (i.e., water of the United States), and it runs parallel to or along a stream bed that is within that jurisdictional area; (5) discharges that result in the loss of greater than 1/10-acre of waters of the United States; (6) permanent access roads are constructed above grade in waters of the United States for a distance of more than 500 feet; or (7) permanent access roads are constructed in waters of the United States with impervious materials. (See general condition 31.) (Sections 10 and 404)

Note 1: Where the proposed utility line is constructed or installed in navigable waters of the United States (i.e., section 10 waters) within the coastal United States, the Great Lakes, and United States territories, copies of the pre-construction notification and NWP verification will be sent by the Corps to the National Oceanic and Atmospheric Administration (NOAA), National Ocean Service (NOS), for charting the utility line to protect navigation.

Note 2: Access roads used for both construction and maintenance may be authorized, provided they meet the terms and conditions of this NWP. Access roads used solely for construction of the utility line must be removed upon completion of the work, in accordance with the requirements for temporary fills.

Note 3: Pipes or pipelines used to transport gaseous, liquid, liquescent, or slurry substances over navigable waters of the United States are considered to be bridges, not utility lines, and may require a permit from the U.S. Coast Guard pursuant to Section 9 of the Rivers and Harbors Act of 1899. However, any discharges of dredged or fill material into waters of the United States associated with such pipelines will require a section 404 permit (see NWP 15).

Note 4: For overhead utility lines authorized by this NWP, a copy of the PCN and NWP verification will be provided to the Department of Defense Siting Clearinghouse, which will evaluate potential effects on military activities.

**NATIONWIDE PERMIT 13  
DEPARTMENT OF THE ARMY  
CORPS OF ENGINEERS  
FINAL NOTICE OF ISSUANCE AND MODIFICATION OF NATIONWIDE PERMITS  
FEDERAL REGISTER  
AUTHORIZED MARCH 19, 2012**

**Bank Stabilization.** Bank stabilization activities necessary for erosion prevention, provided the activity meets all of the following criteria:

- (a) No material is placed in excess of the minimum needed for erosion protection;
- (b) The activity is no more than 500 feet in length along the bank, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in minimal adverse effects;
- (c) The activity will not exceed an average of one cubic yard per running foot placed along the bank below the plane of the ordinary high water mark or the high tide line, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in minimal adverse effects;
- (d) The activity does not involve discharges of dredged or fill material into special aquatic sites, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in minimal adverse effects;
- (e) No material is of a type, or is placed in any location, or in any manner, that will impair surface water flow into or out of any waters of the United States;
- (f) No material is placed in a manner that will be eroded by normal or expected high flows (properly anchored trees and treetops may be used in low energy areas); and,
- (g) The activity is not a stream channelization activity.

This NWP also authorizes temporary structures, fills, and work necessary to construct the bank stabilization activity. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

Invasive plant species shall not be used for bioengineering or vegetative bank stabilization.

- \* **Notification:** The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity if the bank stabilization activity: (1) involves discharges into special aquatic sites; or (2) is in excess of 500 feet in length; or (3) will involve the discharge of greater than an average of one cubic yard per running foot along the bank below the plane of the ordinary high water mark or the high tide line. (See general condition 31.) (Sections 10 and 404)

**NATIONWIDE PERMIT 33  
DEPARTMENT OF THE ARMY  
CORPS OF ENGINEERS  
FINAL NOTICE OF ISSUANCE AND MODIFICATION OF NATIONWIDE PERMITS  
FEDERAL REGISTER  
AUTHORIZED MARCH 19, 2012**

**Temporary Construction, Access, and Dewatering.** Temporary structures, work, and discharges, including cofferdams, necessary for construction activities or access fills or dewatering of construction sites, provided that the associated primary activity is authorized by the Corps of Engineers or the U.S. Coast Guard. This NWP also authorizes temporary structures, work, and discharges, including cofferdams, necessary for construction activities not otherwise subject to the Corps or U.S. Coast Guard permit requirements. Appropriate measures must be taken to maintain near normal downstream flows and to minimize flooding. Fill must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. The use of dredged material may be allowed if the district engineer determines that it will not cause more than minimal adverse effects on aquatic resources. Following completion of construction, temporary fill must be entirely removed to an area that has no waters of the United States, dredged material must be returned to its original location, and the affected areas must be restored to pre-construction elevations. The affected areas must also be revegetated, as appropriate. This permit does not authorize the use of cofferdams to dewater wetlands or other aquatic areas to change their use. Structures left in place after construction is completed require a separate section 10 permit if located in navigable waters of the United States. (See 33 CFR part 322.)

\* **Notification:** The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity (see general condition 31). The pre-construction notification must include a restoration plan showing how all temporary fills and structures will be removed and the area restored to pre-project conditions. (Sections 10 and 404)

**NATIONWIDE PERMIT CONDITIONS**

The following General Conditions must be followed in order for any authorization by a NWP to be valid:

1. Navigation. (a) No activity may cause more than a minimal adverse effect on navigation.

(b) Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the United States.

(c) The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

2. Aquatic Life Movements. No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those aquatic species.

3. Spawning Areas. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.

4. Migratory Bird Breeding Areas. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.

5. Shellfish Beds. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48, or is a shellfish seeding or habitat restoration activity authorized by NWP 27.

6. Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).

7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.

8. Adverse Effects From Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.

9. Management of Water Flows. To the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization and storm water management activities, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the pre-construction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).

10. Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.

11. Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be taken to minimize soil disturbance.

12. Soil Erosion and Sediment Controls. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the United States during periods of low-flow or no-flow.

13. Removal of Temporary Fills. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The affected areas must be revegetated, as appropriate.

14. Proper Maintenance. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety and compliance with applicable NWP general conditions, as well as any activity-specific conditions added by the district engineer to an NWP authorization.

15. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.

16. Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a “study river” for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency responsible for the designated Wild and Scenic River or study river (e.g., National Park Service, U.S. Forest Service, Bureau of Land Management, U.S. Fish and Wildlife Service).

17. Tribal Rights. No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.

18. Endangered Species. (a) No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify the critical habitat of such species. No activity is authorized under any NWP which “may affect” a listed species or critical habitat, unless Section 7 consultation addressing the effects of the proposed activity has been completed.

(b) Federal agencies should follow their own procedures for complying with the requirements of the ESA. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address ESA compliance for the NWP activity, or whether additional ESA consultation is necessary.

\* (c) Non-federal permittees must submit a pre-construction notification to the district engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, and shall not begin work on the activity until notified by the district engineer that the requirements of the ESA have been satisfied and that the activity is authorized. For activities that might affect Federally-listed endangered or threatened species or designated critical habitat, the pre-construction notification must include the name(s) of the endangered or threatened species that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work. The district engineer will determine whether the proposed activity “may affect” or will have “no effect” to listed species and designated critical habitat and will notify the non-Federal applicant of the Corps’ determination within 45 days of receipt of a complete pre-construction notification. In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the project, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification the proposed activities will have “no effect” on listed species or critical habitat, or until Section 7 consultation has been completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(d) As a result of formal or informal consultation with the FWS or NMFS the district engineer may add species-specific regional endangered species conditions to the NWPs.

(e) Authorization of an activity by a NWP does not authorize the “take” of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with “incidental take” provisions, etc.) from the U.S. FWS or the NMFS, The Endangered Species Act prohibits any person subject to the jurisdiction of the United States to take a listed species, where “take” means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct. The word “harm” in the definition of “take” means an act which actually kills or injures wildlife. Such an act may include significant habitat modification or degradation where it actually kills or injures wildlife by significantly impairing essential behavioral patterns, including breeding, feeding or sheltering.

(f) Information on the location of threatened and endangered species and their critical habitat can be obtained directly from the offices of the U.S. FWS and NMFS or their world wide web pages at <http://www.fws.gov/> or <http://www.fws.gov/ipac> and <http://www.noaa.gov/fisheries.html> respectively.

19. Migratory Birds and Bald and Golden Eagles. The permittee is responsible for obtaining any “take” permits required under the U.S. Fish and Wildlife Service’s regulations governing compliance with the Migratory Bird Treaty Act or the Bald and Golden Eagle Protection Act. The permittee should contact the appropriate local office of the U.S. Fish and Wildlife Service to determine if such “take” permits are required for a particular activity.

20. Historic Properties. (a) In cases where the district engineer determines that the activity may affect properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

(b) Federal permittees should follow their own procedures for complying with the requirements of Section 106 of the National Historic Preservation Act. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address section 106 compliance for the NWP activity, or whether additional section 106 consultation is necessary.

\* (c) Non-federal permittees must submit a pre-construction notification to the district engineer if the authorized activity may have the potential to cause effects to any historic properties listed on, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, including previously unidentified properties. For such activities, the pre-construction notification must state which historic properties may be affected by the proposed work or include a vicinity map indicating the location of the historic properties or the potential for the presence of historic properties. Assistance regarding information on the location of or potential for the presence of historic resources can be sought from the State Historic Preservation Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). When reviewing pre-construction notifications, district engineers will comply with the current procedures for addressing the requirements of Section 106 of the National Historic Preservation Act. The district engineer shall make a reasonable and good faith effort to carry out appropriate identification efforts, which may include background research, consultation, oral history interviews, sample field investigation, and field survey. Based on the information submitted and these efforts, the district engineer shall determine whether the proposed activity has the potential to cause an effect on the historic properties. Where the non-Federal applicant has identified historic properties on which the activity may have the potential to cause effects and so notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA has been completed.

(d) The district engineer will notify the prospective permittee within 45 days of receipt of a complete pre-construction notification whether NHPA Section 106 consultation is required. Section 106 consultation is not required when the Corps determines that the activity does not have the potential to cause effects on historic properties (see 36 CFR §800.3(a)). If NHPA



section 106 consultation is required and will occur, the district engineer will notify the non-Federal applicant that he or she cannot begin work until Section 106 consultation is completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(e) Prospective permittees should be aware that section 110k of the NHPA (16 U.S.C. 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who, with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to notify the ACHP and provide documentation specifying the circumstances, the degree of damage to the integrity of any historic properties affected, and proposed mitigation. This documentation must include any views obtained from the applicant, SHPO/THPO, appropriate Indian tribes if the undertaking occurs on or affects historic properties on tribal lands or affects properties of interest to those tribes, and other parties known to have a legitimate interest in the impacts to the permitted activity on historic properties.

21. Discovery of Previously Unknown Remains and Artifacts. If you discover any previously unknown historic, cultural or archeological remains and artifacts while accomplishing the activity authorized by this permit, you must immediately notify the district engineer of what you have found, and to the maximum extent practicable, avoid construction activities that may affect the remains and artifacts until the required coordination has been completed. The district engineer will initiate the Federal, Tribal and state coordination required to determine if the items or remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

22. Designated Critical Resource Waters. Critical resource waters include, NOAA-managed marine sanctuaries and marine monuments, and National Estuarine Research Reserves. The district engineer may designate, after notice and opportunity for public comment, additional waters officially designated by a state as having particular environmental or ecological significance, such as outstanding national resource waters or state natural heritage sites. The district engineer may also designate additional critical resource waters after notice and opportunity for public comment.

(a) Discharges of dredged or fill material into waters of the United States are not authorized by NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, 50, 51, and 52 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters.

(b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 31, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.

23. Mitigation. The district engineer will consider the following factors when determining appropriate and practicable mitigation necessary to ensure that adverse effects on the aquatic environment are minimal:

(a) The activity must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the United States to the maximum extent practicable at the project site (i.e., on site).

(b) Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating for resource losses) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.

(c) Compensatory mitigation at a minimum one-for-one ratio will be required for all wetland losses that exceed 1/10-acre and require pre-construction notification, unless the district engineer determines in writing that either some other form of mitigation would be more environmentally appropriate or the adverse effects of the proposed activity are minimal, and provides a project-specific waiver of this requirement. For wetland losses of 1/10-acre or less that require pre-construction notification, the district engineer may determine on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effects on the aquatic environment. Compensatory mitigation projects provided to offset losses of aquatic resources must comply with the applicable provisions of 33 CFR part 332.

(1) The prospective permittee is responsible for proposing an appropriate compensatory mitigation option if compensatory mitigation is necessary to ensure that the activity results in minimal adverse effects on the aquatic environment.

(2) Since the likelihood of success is greater and the impacts to potentially valuable uplands are reduced, wetland restoration should be the first compensatory mitigation option considered.

(3) If permittee-responsible mitigation is the proposed option, the prospective permittee is responsible for submitting a mitigation plan. A conceptual or detailed mitigation plan may be used by the district engineer to make the decision on the NWP verification request, but a final mitigation plan that addresses the applicable requirements of 33 CFR 332.4(c)(2) – (14) must be approved by the district engineer before the permittee begins work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation (see 33 CFR 332.3(k)(3)).

(4) If mitigation bank or in-lieu fee program credits are the proposed option, the mitigation plan only needs to address the baseline conditions at the impact site and the number of credits to be provided.

(5) Compensatory mitigation requirements (e.g., resource type and amount to be provided as compensatory mitigation, site protection, ecological performance standards, monitoring requirements) may be addressed through conditions added to the NWP authorization, instead of components of a compensatory mitigation plan.

(d) For losses of streams or other open waters that require pre-construction notification, the district engineer may require compensatory mitigation, such as stream rehabilitation, enhancement, or preservation, to ensure that the activity results in minimal adverse effects on the aquatic environment.

(e) Compensatory mitigation will not be used to increase the acreage losses allowed by the acreage limits of the NWPs. For example, if an NWP has an acreage limit of 1/2-acre, it cannot be used to authorize any project resulting in the loss of greater than 1/2-acre of waters of

the United States, even if compensatory mitigation is provided that replaces or restores some of the lost waters. However, compensatory mitigation can and should be used, as necessary, to ensure that a project already meeting the established acreage limits also satisfies the minimal impact requirement associated with the NWP.

(f) Compensatory mitigation plans for projects in or near streams or other open waters will normally include a requirement for the restoration or establishment, maintenance, and legal protection (e.g., conservation easements) of riparian areas next to open waters. In some cases, riparian areas may be the only compensatory mitigation required. Riparian areas should consist of native species. The width of the required riparian area will address documented water quality or aquatic habitat loss concerns. Normally, the riparian area will be 25 to 50 feet wide on each side of the stream, but the district engineer may require slightly wider riparian areas to address documented water quality or habitat loss concerns. If it is not possible to establish a riparian area on both sides of a stream, or if the waterbody is a lake or coastal waters, then restoring or establishing a riparian area along a single bank or shoreline may be sufficient. Where both wetlands and open waters exist on the project site, the district engineer will determine the appropriate compensatory mitigation (e.g., riparian areas and/or wetlands compensation) based on what is best for the aquatic environment on a watershed basis. In cases where riparian areas are determined to be the most appropriate form of compensatory mitigation, the district engineer may waive or reduce the requirement to provide wetland compensatory mitigation for wetland losses.

(g) Permittees may propose the use of mitigation banks, in-lieu fee programs, or separate permittee-responsible mitigation. For activities resulting in the loss of marine or estuarine resources, permittee-responsible compensatory mitigation may be environmentally preferable if there are no mitigation banks or in-lieu fee programs in the area that have marine or estuarine credits available for sale or transfer to the permittee. For permittee-responsible mitigation, the special conditions of the NWP verification must clearly indicate the party or parties responsible for the implementation and performance of the compensatory mitigation project, and, if required, its long-term management.

(h) Where certain functions and services of waters of the United States are permanently adversely affected, such as the conversion of a forested or scrub-shrub wetland to a herbaceous wetland in a permanently maintained utility line right-of-way, mitigation may be required to reduce the adverse effects of the project to the minimal level.

24. Safety of Impoundment Structures. To ensure that all impoundment structures are safely designed, the district engineer may require non-Federal applicants to demonstrate that the structures comply with established state dam safety criteria or have been designed by qualified persons. The district engineer may also require documentation that the design has been independently reviewed by similarly qualified persons, and appropriate modifications made to ensure safety.

25. Water Quality. Where States and authorized Tribes, or EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water Quality Certification must be obtained or waived (see 33 CFR 330.4(c)). The district engineer or State or Tribe may require additional water quality management measures to ensure that the authorized activity does not result in more than minimal degradation of water quality.

26. Coastal Zone Management. In coastal states where an NWP has not previously received a state coastal zone management consistency concurrence, an individual state coastal zone management consistency concurrence must be obtained, or a presumption of concurrence must occur (see 33 CFR 330.4(d)). The district engineer or a State may require additional measures to ensure that the authorized activity is consistent with state coastal zone management requirements.

27. Regional and Case-By-Case Conditions. The activity must comply with any regional conditions that may have been added by the Division Engineer (see 33 CFR 330.4(e)) and with any case specific conditions added by the Corps or by the state, Indian Tribe, or U.S. EPA in its section 401 Water Quality Certification, or by the state in its Coastal Zone Management Act consistency determination.

28. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is prohibited, except when the acreage loss of waters of the United States authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal waters is constructed under NWP 14, with associated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of the United States for the total project cannot exceed 1/3-acre.

29. Transfer of Nationwide Permit Verifications. If the permittee sells the property associated with a nationwide permit verification, the permittee may transfer the nationwide permit verification to the new owner by submitting a letter to the appropriate Corps district office to validate the transfer. A copy of the nationwide permit verification must be attached to the letter, and the letter must contain the following statement and signature:

“When the structures or work authorized by this nationwide permit are still in existence at the time the property is transferred, the terms and conditions of this nationwide permit, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below.”

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(Transferee)

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(Date)

- \* 30. Compliance Certification. Each permittee who receives an NWP verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any required compensatory mitigation. The success of any required permittee-responsible mitigation, including the achievement of ecological performance standards, will be addressed separately by the district engineer. The Corps will provide the permittee the certification document with the NWP verification letter. The certification document will include:

(a) A statement that the authorized work was done in accordance with the NWP authorization, including any general, regional, or activity-specific conditions;

(b) A statement that the implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, the certification must include the documentation required by 33 CFR 332.3(l)(3) to confirm that the permittee secured the appropriate number and resource type of credits; and

(c) The signature of the permittee certifying the completion of the work and mitigation.

\* 31. Pre-Construction Notification. (a) Timing. Where required by the terms of the NWP, the prospective permittee must notify the district engineer by submitting a pre-construction notification (PCN) as early as possible. The district engineer must determine if the PCN is complete within 30 calendar days of the date of receipt and, if the PCN is determined to be incomplete, notify the prospective permittee within that 30 day period to request the additional information necessary to make the PCN complete. The request must specify the information needed to make the PCN complete. As a general rule, district engineers will request additional information necessary to make the PCN complete only once. However, if the prospective permittee does not provide all of the requested information, then the district engineer will notify the prospective permittee that the PCN is still incomplete and the PCN review process will not commence until all of the requested information has been received by the district engineer. The prospective permittee shall not begin the activity until either:

(1) He or she is notified in writing by the district engineer that the activity may proceed under the NWP with any special conditions imposed by the district or division engineer; or

(2) 45 calendar days have passed from the district engineer's receipt of the complete PCN and the prospective permittee has not received written notice from the district or division engineer. However, if the permittee was required to notify the Corps pursuant to general condition 18 that listed species or critical habitat might be affected or in the vicinity of the project, or to notify the Corps pursuant to general condition 20 that the activity may have the potential to cause effects to historic properties, the permittee cannot begin the activity until receiving written notification from the Corps that there is "no effect" on listed species or "no potential to cause effects" on historic properties, or that any consultation required under Section 7 of the Endangered Species Act (see 33 CFR 330.4(f)) and/or Section 106 of the National Historic Preservation (see 33 CFR 330.4(g)) has been completed. Also, work cannot begin under NWPs 21, 49, or 50 until the permittee has received written approval from the Corps. If the proposed activity requires a written waiver to exceed specified limits of an NWP, the permittee may not begin the activity until the district engineer issues the waiver. If the district or division engineer notifies the permittee in writing that an individual permit is required within 45 calendar days of receipt of a complete PCN, the permittee cannot begin the activity until an individual permit has been obtained. Subsequently, the permittee's right to proceed under the NWP may be modified, suspended, or revoked only in accordance with the procedure set forth in 33 CFR 330.5(d)(2).

(b) Contents of Pre-Construction Notification: The PCN must be in writing and include the following information:

(1) Name, address and telephone numbers of the prospective permittee;

(2) Location of the proposed project;

(3) A description of the proposed project; the project's purpose; direct and indirect adverse environmental effects the project would cause, including the anticipated amount of loss of water of the United States expected to result from the NWP activity, in acres, linear feet, or other appropriate unit of measure; any other NWP(s), regional general permit(s), or individual permit(s) used or intended to be used to authorize any part of the proposed project or any related activity. The description should be sufficiently detailed to allow the district engineer to determine that the adverse effects of the project will be minimal and to determine the need for compensatory mitigation. Sketches should be provided when necessary to show that the activity complies with the terms of the NWP. (Sketches usually clarify the project and when provided results in a quicker decision. Sketches should contain sufficient detail to provide an illustrative description of the proposed activity (e.g., a conceptual plan), but do not need to be detailed engineering plans);

(4) The PCN must include a delineation of wetlands, other special aquatic sites, and other waters, such as lakes and ponds, and perennial, intermittent, and ephemeral streams, on the project site. Wetland delineations must be prepared in accordance with the current method required by the Corps. The permittee may ask the Corps to delineate the special aquatic sites and other waters on the project site, but there may be a delay if the Corps does the delineation, especially if the project site is large or contains many waters of the United States. Furthermore, the 45 day period will not start until the delineation has been submitted to or completed by the Corps, as appropriate;

(5) If the proposed activity will result in the loss of greater than 1/10-acre of wetlands and a PCN is required, the prospective permittee must submit a statement describing how the mitigation requirement will be satisfied, or explaining why the adverse effects are minimal and why compensatory mitigation should not be required. As an alternative, the prospective permittee may submit a conceptual or detailed mitigation plan.

(6) If any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, for non-Federal applicants the PCN must include the name(s) of those endangered or threatened species that might be affected by the proposed work or utilize the designated critical habitat that may be affected by the proposed work. Federal applicants must provide documentation demonstrating compliance with the Endangered Species Act; and

(7) For an activity that may affect a historic property listed on, determined to be eligible for listing on, or potentially eligible for listing on, the National Register of Historic Places, for non-Federal applicants the PCN must state which historic property may be affected by the proposed work or include a vicinity map indicating the location of the historic property. Federal applicants must provide documentation demonstrating compliance with Section 106 of the National Historic Preservation Act.

(c) Form of Pre-Construction Notification: The standard individual permit application form (Form ENG 4345) may be used, but the completed application form must clearly indicate that it is a PCN and must include all of the information required in paragraphs (b)(1) through (7) of this general condition. A letter containing the required information may also be used.

(d) Agency Coordination: (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the project's adverse environmental effects to a minimal level.

(2) For all NWP activities that require pre-construction notification and result in the loss of greater than 1/2-acre of waters of the United States, for NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 activities that require pre-construction notification and will result in the loss of greater than 300 linear feet of intermittent and ephemeral stream bed, and for all NWP 48 activities that require pre-construction notification, the district engineer will immediately provide (e.g., via e-mail, facsimile transmission, overnight mail, or other expeditious manner) a copy of the complete PCN to the appropriate Federal or state offices (U.S. FWS, state natural resource or water quality agency, EPA, State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Office (THPO), and, if appropriate, the NMFS). With the exception of NWP 37, these agencies will have 10 calendar days from the date the material is transmitted to telephone or fax the district engineer notice that they intend to provide substantive, site-specific comments. The comments must explain why the agency believes the adverse effects will be more than minimal. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the pre-construction notification. The district engineer will fully consider agency comments received within the specified time frame concerning the proposed activity's compliance with the terms and conditions of the NWPs, including the need for mitigation to ensure the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The district engineer will provide no response to the resource agency, except as provided below. The district engineer will indicate in the administrative record associated with each pre-construction notification that the resource agencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.

(3) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by Section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act.

(4) Applicants are encouraged to provide the Corps with either electronic files or multiple copies of pre-construction notifications to expedite agency coordination.

#### D. District Engineer's Decision

1. In reviewing the PCN for the proposed activity, the district engineer will determine whether the activity authorized by the NWP will result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public interest. For a linear project, this determination will include an evaluation of the individual crossings to determine whether they individually satisfy the terms and conditions of the NWP(s), as well as the cumulative effects caused by all of the crossings authorized by NWP. If an applicant requests a waiver of the 300 linear foot limit on impacts to intermittent or ephemeral streams or of an otherwise applicable limit, as provided for in NWPs 13, 21, 29, 36, 39, 40, 42, 43, 44, 50, 51 or 52, the district engineer will only grant the waiver upon a written determination that the NWP activity will result in minimal adverse effects. When making minimal effects determinations the district engineer will consider the direct and indirect effects caused by the NWP activity. The district engineer will also consider site specific factors, such as the environmental setting in the

vicinity of the NWP activity, the type of resource that will be affected by the NWP activity, the functions provided by the aquatic resources that will be affected by the NWP activity, the degree or magnitude to which the aquatic resources perform those functions, the extent that aquatic resource functions will be lost as a result of the NWP activity (e.g., partial or complete loss), the duration of the adverse effects (temporary or permanent), the importance of the aquatic resource functions to the region (e.g., watershed or ecoregion), and mitigation required by the district engineer. If an appropriate functional assessment method is available and practicable to use, that assessment method may be used by the district engineer to assist in the minimal adverse effects determination. The district engineer may add case-specific special conditions to the NWP authorization to address site-specific environmental concerns.

2. If the proposed activity requires a PCN and will result in a loss of greater than 1/10-acre of wetlands, the prospective permittee should submit a mitigation proposal with the PCN. Applicants may also propose compensatory mitigation for projects with smaller impacts. The district engineer will consider any proposed compensatory mitigation the applicant has included in the proposal in determining whether the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The compensatory mitigation proposal may be either conceptual or detailed. If the district engineer determines that the activity complies with the terms and conditions of the NWP and that the adverse effects on the aquatic environment are minimal, after considering mitigation, the district engineer will notify the permittee and include any activity-specific conditions in the NWP verification the district engineer deems necessary. Conditions for compensatory mitigation requirements must comply with the appropriate provisions at 33 CFR 332.3(k). The district engineer must approve the final mitigation plan before the permittee commences work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation. If the prospective permittee elects to submit a compensatory mitigation plan with the PCN, the district engineer will expeditiously review the proposed compensatory mitigation plan. The district engineer must review the proposed compensatory mitigation plan within 45 calendar days of receiving a complete PCN and determine whether the proposed mitigation would ensure no more than minimal adverse effects on the aquatic environment. If the net adverse effects of the project on the aquatic environment (after consideration of the compensatory mitigation proposal) are determined by the district engineer to be minimal, the district engineer will provide a timely written response to the applicant. The response will state that the project can proceed under the terms and conditions of the NWP, including any activity-specific conditions added to the NWP authorization by the district engineer.

3. If the district engineer determines that the adverse effects of the proposed work are more than minimal, then the district engineer will notify the applicant either: (a) That the project does not qualify for authorization under the NWP and instruct the applicant on the procedures to seek authorization under an individual permit; (b) that the project is authorized under the NWP subject to the applicant's submission of a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level; or (c) that the project is authorized under the NWP with specific modifications or conditions. Where the district engineer determines that mitigation is required to ensure no more than minimal adverse effects occur to the aquatic environment, the activity will be authorized within the 45-day PCN period, with activity-specific



conditions that state the mitigation requirements. The authorization will include the necessary conceptual or detailed mitigation or a requirement that the applicant submit a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level. When mitigation is required, no work in waters of the United States may occur until the district engineer has approved a specific mitigation plan or has determined that prior approval of a final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation.

### **FURTHER INFORMATION**

1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP.
2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.
3. NWPs do not grant any property rights or exclusive privileges.
4. NWPs do not authorize any injury to the property or rights of others.
5. NWPs do not authorize interference with any existing or proposed Federal project.

### **DEFINITIONS**

Best management practices (BMPs): Policies, practices, procedures, or structures implemented to mitigate the adverse environmental effects on surface water quality resulting from development. BMPs are categorized as structural or non-structural.

Compensatory mitigation: The restoration (re-establishment or rehabilitation), establishment (creation), enhancement, and/or in certain circumstances preservation of aquatic resources for the purposes of offsetting unavoidable adverse impacts which remain after all appropriate and practicable avoidance and minimization has been achieved.

Currently serviceable: Useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

Direct effects: Effects that are caused by the activity and occur at the same time and place.

Discharge: The term “discharge” means any discharge of dredged or fill material.

Enhancement: The manipulation of the physical, chemical, or biological characteristics of an aquatic resource to heighten, intensify, or improve a specific aquatic resource function(s). Enhancement results in the gain of selected aquatic resource function(s), but may also lead to a decline in other aquatic resource function(s). Enhancement does not result in a gain in aquatic resource area.

Ephemeral stream: An ephemeral stream has flowing water only during, and for a short duration after, precipitation events in a typical year. Ephemeral stream beds are located above the water table year-round. Groundwater is not a source of water for the stream. Runoff from rainfall is the primary source of water for stream flow.

Establishment (creation): The manipulation of the physical, chemical, or biological characteristics present to develop an aquatic resource that did not previously exist at an upland site. Establishment results in a gain in aquatic resource area.

High Tide Line: The line of intersection of the land with the water’s surface at the maximum height reached by a rising tide. The high tide line may be determined, in the absence

of actual data, by a line of oil or scum along shore objects, a more or less continuous deposit of fine shell or debris on the foreshore or berm, other physical markings or characteristics, vegetation lines, tidal gages, or other suitable means that delineate the general height reached by a rising tide. The line encompasses spring high tides and other high tides that occur with periodic frequency but does not include storm surges in which there is a departure from the normal or predicted reach of the tide due to the piling up of water against a coast by strong winds such as those accompanying a hurricane or other intense storm.

Historic Property: Any prehistoric or historic district, site (including archaeological site), building, structure, or other object included in, or eligible for inclusion in, the National Register of Historic Places maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian organization and that meet the National Register criteria (36 CFR part 60).

Independent utility: A test to determine what constitutes a single and complete non-linear project in the Corps regulatory program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.

Indirect effects: Effects that are caused by the activity and are later in time or farther removed in distance, but are still reasonably foreseeable.

Intermittent stream: An intermittent stream has flowing water during certain times of the year, when groundwater provides water for stream flow. During dry periods, intermittent streams may not have flowing water. Runoff from rainfall is a supplemental source of water for stream flow.

Loss of waters of the United States: Waters of the United States that are permanently adversely affected by filling, flooding, excavation, or drainage because of the regulated activity. Permanent adverse effects include permanent discharges of dredged or fill material that change an aquatic area to dry land, increase the bottom elevation of a waterbody, or change the use of a waterbody. The acreage of loss of waters of the United States is a threshold measurement of the impact to jurisdictional waters for determining whether a project may qualify for an NWP; it is not a net threshold that is calculated after considering compensatory mitigation that may be used to offset losses of aquatic functions and services. The loss of stream bed includes the linear feet of stream bed that is filled or excavated. Waters of the United States temporarily filled, flooded, excavated, or drained, but restored to pre-construction contours and elevations after construction, are not included in the measurement of loss of waters of the United States. Impacts resulting from activities eligible for exemptions under Section 404(f) of the Clean Water Act are not considered when calculating the loss of waters of the United States.

Non-tidal wetland: A non-tidal wetland is a wetland that is not subject to the ebb and flow of tidal waters. The definition of a wetland can be found at 33 CFR 328.3(b). Non-tidal wetlands contiguous to tidal waters are located landward of the high tide line (i.e., spring high tide line).

Open water: For purposes of the NWPs, an open water is any area that in a year with normal patterns of precipitation has water flowing or standing above ground to the extent that an ordinary high water mark can be determined. Aquatic vegetation within the area of standing or

flowing water is either non-emergent, sparse, or absent. Vegetated shallows are considered to be open waters. Examples of “open waters” include rivers, streams, lakes, and ponds.

Ordinary High Water Mark: An ordinary high water mark is a line on the shore established by the fluctuations of water and indicated by physical characteristics, or by other appropriate means that consider the characteristics of the surrounding areas (see 33 CFR 328.3(e)).

Perennial stream: A perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow.

Practicable: Available and capable of being done after taking into consideration cost, existing technology, and logistics in light of overall project purposes.

Pre-construction notification: A request submitted by the project proponent to the Corps for confirmation that a particular activity is authorized by nationwide permit. The request may be a permit application, letter, or similar document that includes information about the proposed work and its anticipated environmental effects. Pre-construction notification may be required by the terms and conditions of a nationwide permit, or by regional conditions. A pre-construction notification may be voluntarily submitted in cases where pre-construction notification is not required and the project proponent wants confirmation that the activity is authorized by nationwide permit.

Preservation: The removal of a threat to, or preventing the decline of, aquatic resources by an action in or near those aquatic resources. This term includes activities commonly associated with the protection and maintenance of aquatic resources through the implementation of appropriate legal and physical mechanisms. Preservation does not result in a gain of aquatic resource area or functions.

Re-establishment: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former aquatic resource. Re-establishment results in rebuilding a former aquatic resource and results in a gain in aquatic resource area and functions.

Rehabilitation: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of repairing natural/historic functions to a degraded aquatic resource. Rehabilitation results in a gain in aquatic resource function, but does not result in a gain in aquatic resource area.

Restoration: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former or degraded aquatic resource. For the purpose of tracking net gains in aquatic resource area, restoration is divided into two categories: re-establishment and rehabilitation.

Riffle and pool complex: Riffle and pool complexes are special aquatic sites under the 404(b)(1) Guidelines. Riffle and pool complexes sometimes characterize steep gradient sections of streams. Such stream sections are recognizable by their hydraulic characteristics. The rapid movement of water over a coarse substrate in riffles results in a rough flow, a turbulent surface, and high dissolved oxygen levels in the water. Pools are deeper areas associated with riffles. A slower stream velocity, a streaming flow, a smooth surface, and a finer substrate characterize pools.

Riparian areas: Riparian areas are lands adjacent to streams, lakes, and estuarine-marine shorelines. Riparian areas are transitional between terrestrial and aquatic ecosystems, through

which surface and subsurface hydrology connects riverine, lacustrine, estuarine, and marine waters with their adjacent wetlands, non-wetland waters, or uplands. Riparian areas provide a variety of ecological functions and services and help improve or maintain local water quality. (See general condition 23.)

Shellfish seeding: The placement of shellfish seed and/or suitable substrate to increase shellfish production. Shellfish seed consists of immature individual shellfish or individual shellfish attached to shells or shell fragments (i.e., spat on shell). Suitable substrate may consist of shellfish shells, shell fragments, or other appropriate materials placed into waters for shellfish habitat.

Single and complete linear project: A linear project is a project constructed for the purpose of getting people, goods, or services from a point of origin to a terminal point, which often involves multiple crossings of one or more waterbodies at separate and distant locations. The term “single and complete project” is defined as that portion of the total linear project proposed or accomplished by one owner/developer or partnership or other association of owners/developers that includes all crossings of a single water of the United States (i.e., a single waterbody) at a specific location. For linear projects crossing a single or multiple waterbodies several times at separate and distant locations, each crossing is considered a single and complete project for purposes of NWP authorization. However, individual channels in a braided stream or river, or individual arms of a large, irregularly shaped wetland or lake, etc., are not separate waterbodies, and crossings of such features cannot be considered separately.

Single and complete non-linear project: For non-linear projects, the term “single and complete project” is defined at 33 CFR 330.2(i) as the total project proposed or accomplished by one owner/developer or partnership or other association of owners/developers. A single and complete non-linear project must have independent utility (see definition of “independent utility”). Single and complete non-linear projects may not be “piecemealed” to avoid the limits in an NWP authorization.

Stormwater management: Stormwater management is the mechanism for controlling stormwater runoff for the purposes of reducing downstream erosion, water quality degradation, and flooding and mitigating the adverse effects of changes in land use on the aquatic environment.

Stormwater management facilities: Stormwater management facilities are those facilities, including but not limited to, stormwater retention and detention ponds and best management practices, which retain water for a period of time to control runoff and/or improve the quality (i.e., by reducing the concentration of nutrients, sediments, hazardous substances and other pollutants) of stormwater runoff.

Stream bed: The substrate of the stream channel between the ordinary high water marks. The substrate may be bedrock or inorganic particles that range in size from clay to boulders. Wetlands contiguous to the stream bed, but outside of the ordinary high water marks, are not considered part of the stream bed.

Stream channelization: The manipulation of a stream’s course, condition, capacity, or location that causes more than minimal interruption of normal stream processes. A channelized stream remains a water of the United States.

Structure: An object that is arranged in a definite pattern of organization. Examples of structures include, without limitation, any pier, boat dock, boat ramp, wharf, dolphin, weir, boom, breakwater, bulkhead, revetment, riprap, jetty, artificial island, artificial reef, permanent

mooring structure, power transmission line, permanently moored floating vessel, piling, aid to navigation, or any other manmade obstacle or obstruction.

Tidal wetland: A tidal wetland is a wetland (i.e., water of the United States) that is inundated by tidal waters. The definitions of a wetland and tidal waters can be found at 33 CFR 328.3(b) and 33 CFR 328.3(f), respectively. Tidal waters rise and fall in a predictable and measurable rhythm or cycle due to the gravitational pulls of the moon and sun. Tidal waters end where the rise and fall of the water surface can no longer be practically measured in a predictable rhythm due to masking by other waters, wind, or other effects. Tidal wetlands are located channelward of the high tide line, which is defined at 33 CFR 328.3(d).

Vegetated shallows: Vegetated shallows are special aquatic sites under the 404(b)(1) Guidelines. They are areas that are permanently inundated and under normal circumstances have rooted aquatic vegetation, such as seagrasses in marine and estuarine systems and a variety of vascular rooted plants in freshwater systems.

Waterbody: For purposes of the NWP, a waterbody is a jurisdictional water of the United States. If a jurisdictional wetland is adjacent – meaning bordering, contiguous, or neighboring – to a waterbody determined to be a water of the United States under 33 CFR 328.3(a)(1)-(6), that waterbody and its adjacent wetlands are considered together as a single aquatic unit (see 33 CFR 328.4(c)(2)). Examples of “waterbodies” include streams, rivers, lakes, ponds, and wetlands.

**Final Regional Conditions 2012**

*NOTICE ABOUT WEB LINKS IN THIS DOCUMENT:*

*The web links (both internal to our District and any external links to collaborating agencies) in this document are valid at the time of publication. However, the Wilmington District Regulatory Program web page addresses, as with other agency web sites, may change over the timeframe of the five-year Nationwide Permit renewal cycle, in response to policy mandates or technology advances. While we will make every effort to check on the integrity of our web links and provide re-direct pages whenever possible, we ask that you report any broken links to us so we can keep the page information current and usable. We apologize in advanced for any broken links that you may encounter, and we ask that you navigate from the regulatory home page (wetlands and stream permits) of the Wilmington District Corps of Engineers, to the “Permits” section of our web site to find links for pages that cannot be found by clicking directly on the listed web link in this document.*

**Final 2012 Regional Conditions for Nationwide Permits (NWP) in the  
Wilmington District**

**1.0 Excluded Waters**

The Corps has identified waters that will be excluded from the use of all NWP’s during certain timeframes. These waters are:

**1.1 Anadromous Fish Spawning Areas**

Waters of the United States identified by either the North Carolina Division of Marine Fisheries (NCDMF) or the North Carolina Wildlife Resources Commission (NCWRC) as anadromous fish spawning areas are excluded during the period between February 15 and June 30, without prior written approval from NCDMF or NCWRC and the Corps.

**1.2 Trout Waters Moratorium**

Waters of the United States in the twenty-five designated trout counties of North Carolina are excluded during the period between October 15 and April 15 without prior written approval from the NCWRC. (See Section 2.7 for a list of the twenty-five trout counties).

**1.3 Sturgeon Spawning Areas as Designated by the National Marine Fisheries Service (NMFS)**

Waters of the United States designated as sturgeon spawning areas are excluded during the period between February 1 and June 30, without prior written approval from the NMFS.

**\* 2.0 Waters Requiring Additional Notification**

The Corps has identified waters that will be subject to additional notification requirements for activities authorized by all NWP's. These waters are:

**\* 2.1 Western NC Counties that Drain to Designated Critical Habitat**

For proposed activities within Waters of the U.S. that require a Pre-Construction Notification pursuant to General Condition 31 (PCN) and are located in the sixteen counties listed below, applicants must provide a copy of the PCN to the US Fish and Wildlife Service, 160 Zillicoa Street, Asheville, North Carolina 28801. This PCN must be sent concurrently to the US Fish and Wildlife Service and the Corps Asheville Regulatory Field Office. Please see General Condition 18 for specific notification requirements related to Federally Endangered Species and the following website for information on the location of designated critical habitat.

Counties with tributaries that drain to designated critical habitat that require notification to the Asheville US Fish and Wildlife Service: Avery, Cherokee, Forsyth, Graham, Haywood, Henderson, Jackson, Macon Mecklenburg, Mitchell, Stokes, Surry, Swain, Transylvania, Union and Yancey.

Website and office addresses for Endangered Species Act Information:

The Wilmington District has developed the following website for applicants which provides guidelines on how to review linked websites and maps in order to fulfill NWP general condition 18 requirements: <http://www.saw.usace.army.mil/wetlands/ESA>

Applicants who do not have internet access may contact the appropriate US Fish and Wildlife Service offices listed below or the US Army Corps of Engineers at (910) 251- 4633:

US Fish and Wildlife Service  
Asheville Field Office  
160 Zillicoa Street  
Asheville, NC 28801  
Telephone: (828) 258-3939

Asheville US Fish and Wildlife Service Office counties: All counties west of and including Anson, Stanly, Davidson, Forsyth and Stokes Counties

US Fish and Wildlife Service  
Raleigh Field Office  
Post Office Box 33726  
Raleigh, NC 27636-3726  
Telephone: (919) 856-4520

Raleigh US Fish and Wildlife Service Office counties: all counties east of and including Richmond, Montgomery, Randolph, Guilford, and Rockingham Counties.

**\* 2.2 Special Designation Waters**

Prior to the use of any NWP in any of the following identified waters and contiguous wetlands in North Carolina, applicants must comply with Nationwide Permit General Condition 31 (PCN). The North Carolina waters and contiguous wetlands that require additional notification requirements are:

“Outstanding Resource Waters” (ORW) or “High Quality Waters” (HQW) as designated by the North Carolina Environmental Management Commission; “Inland Primary Nursery Areas” (IPNA) as designated by the NCWRC; “Contiguous Wetlands” as defined by the North Carolina Environmental Management Commission; or “Primary Nursery Areas” (PNA) as designated by the North Carolina Marine Fisheries Commission.

**2.3 Coastal Area Management Act (CAMA) Areas of Environmental Concern**

Non-federal applicants for any NWP in a designated “Area of Environmental Concern” (AEC) in the twenty (20) counties of Eastern North Carolina covered by the North Carolina Coastal Area Management Act (CAMA) must also obtain the required CAMA permit. Development activities for non-federal projects may not commence until a copy of the approved CAMA permit is furnished to the appropriate Wilmington District Regulatory Field Office (Wilmington Field Office – 69 Darlington Avenue, Wilmington, NC 28403 or Washington Field Office – 2407 West 5th Street, Washington, NC 27889).

**\* 2.4 Barrier Islands**

Prior to the use of any NWP on a barrier island of North Carolina, applicants must comply with Nationwide Permit General Condition 31 (PCN).

**\* 2.5 Mountain or Piedmont Bogs**

Prior to the use of any NWP in a Bog classified by the North Carolina Wetland Assessment Methodology (NCWAM), applicants shall comply with Nationwide Permit General Condition 31 (PCN). The latest version of NCWAM is located on the NC DWQ web site at: <http://portal.ncdenr.org/web/wq/swp/ws/pdu/ncwam> .

**\* 2.6 Animal Waste Facilities**

Prior to use of any NWP for construction of animal waste facilities in waters of the US, including wetlands, applicants shall comply with Nationwide Permit General Condition 31 (PCN).

**\* 2.7 Trout Waters**

Prior to any discharge of dredge or fill material into streams or waterbodies within the twenty-five (25) designated trout counties of North Carolina, the applicant shall comply with Nationwide Permit General Condition 31 (PCN). The applicant shall also provide a copy of the notification to the appropriate NCWRC office to facilitate the determination of any potential



impacts to designated Trout Waters. Notification to the Corps of Engineers will include a statement with the name of the NCWRC biologist contacted, the date of the notification, the location of work, a delineation of wetlands, a discussion of alternatives to working in the mountain trout waters, why alternatives were not selected, and a plan to provide compensatory mitigation for all unavoidable adverse impacts to mountain trout waters.

#### NCWRC and NC Trout Counties

Western Piedmont Region Coordinator	Alleghany	Caldwell	Watauga
20830 Great Smoky Mtn. Expressway	Ashe	Mitchell	Wilkes
Waynesville, NC 28786	Avery	Stokes	
Telephone: (828) 452-2546	Burke	Surry	

Mountain Region Coordinator	Buncombe	Henderson	Polk
20830 Great Smoky Mtn. Expressway	Cherokee	Jackson	Rutherford
Waynesville, NC 28786	Clay	Macon	Swain
Telephone: (828) 452-2546	Graham	Madison	Transylvania
Fax: (828) 452-7772	Haywood	McDowell	Yancey

### 3.0 List of Corps Regional Conditions for All Nationwide Permits

The following conditions apply to all Nationwide Permits in the Wilmington District:

#### 3.1 Limitation of Loss of Perennial Stream Bed

NWPs may not be used for activities that may result in the loss or degradation of greater than 300 total linear feet of perennial, intermittent or ephemeral stream, unless the District Commander has waived the 300 linear foot limit for ephemeral and intermittent streams on a case-by-case basis and he determines that the proposed activity will result in minimal individual and cumulative adverse impacts to the aquatic environment. Loss of stream includes the linear feet of stream bed that is filled, excavated, or flooded by the proposed activity. Waivers for the loss of ephemeral and intermittent streams must be in writing and documented by appropriate/accepted stream quality assessments\*. This waiver only applies to the 300 linear feet threshold for NWPs.

\*NOTE: Applicants should utilize the most current methodology prescribed by Wilmington District to assess stream function and quality. Information can be found at:

<http://www.saw.usace.army.mil/wetlands/permits/nwp/nwp2012> (see "Quick Links")

### **3.2 Mitigation for Loss of Stream Bed**

For any NWP that results in a loss of more than 150 linear feet of perennial and/or ephemeral/intermittent stream, the applicant shall provide a mitigation proposal to compensate for more than minimal individual and cumulative adverse impacts to the aquatic environment. For stream losses less than 150 linear feet, that require a PCN, the District Commander may determine, on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effect on the aquatic environment.

### **3.3 Pre-construction Notification for Loss of Streambed Exceeding 150 Feet.**

Prior to use of any NWP for any activity which impacts more than 150 total linear feet of perennial stream or ephemeral/ intermittent stream, the applicant must comply with Nationwide Permit General Condition 31 (PCN). This applies to NWPs that do not have specific notification requirements. If a NWP has specific notification requirements, the requirements of the NWP should be followed.

### **3.4 Restriction on Use of Live Concrete**

For all NWPs which allow the use of concrete as a building material, live or fresh concrete, including bags of uncured concrete, may not come into contact with the water in or entering into waters of the US. Water inside coffer dams or casings that has been in contact with wet concrete shall only be returned to waters of the US when it is no longer poses a threat to aquatic organisms.

### **3.5 Requirements for Using Riprap for Bank Stabilization**

For all NWPs that allow for the use of riprap material for bank stabilization, the following measures shall be applied:

**3.5.1.** Filter cloth must be placed underneath the riprap as an additional requirement of its use in North Carolina waters.

**3.5.2.** The placement of riprap shall be limited to the areas depicted on submitted work plan drawings.

**3.5.3.** The riprap material shall be clean and free from loose dirt or any pollutant except in trace quantities that would not have an adverse environmental effect.

**3.5.4.** It shall be of a size sufficient to prevent its movement from the authorized alignment by natural forces under normal conditions.

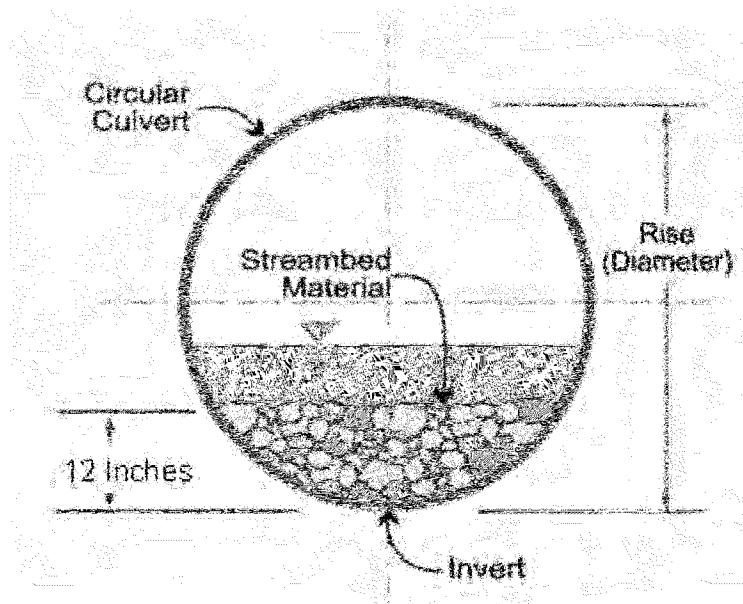
**3.5.5.** The riprap material shall consist of clean rock or masonry material such as, but not limited to, granite, marl, or broken concrete.

**3.5.6.** A waiver from the specifications in this Regional Condition may be requested in writing. The waiver will only be issued if it can be demonstrated that the impacts of complying with this Regional condition would result in greater adverse impacts to the aquatic environment.

### 3.6 Safe Passage Requirements for Culvert Placement

For all NWP's that involve the construction/installation of culverts, measures will be included in the construction/installation that will promote the safe passage of fish and other aquatic organisms. The dimension, pattern, and profile of the stream above and below a pipe or culvert should not be modified by widening the stream channel or by reducing the depth of the stream in connection with the construction activity. The width, height, and gradient of a proposed culvert should be such as to pass the average historical low flow and spring flow without adversely altering flow velocity. Spring flow should be determined from gage data, if available. In the absence of such data, bankfull flow can be used as a comparable level.

In the twenty (20) counties of North Carolina designated as coastal counties by the Coastal Area Management Act (CAMA): All pipes/culverts must be sufficiently sized to allow for the burial of the bottom of the pipe/culvert at least one foot below normal bed elevation when they are placed within the Public Trust Area of Environmental Concern (AEC) and/or the Estuarine Waters AEC as designated by CAMA, and/or all streams appearing as blue lines on United States Geological Survey (USGS) 7.5-minute quadrangle maps.



In all other counties: Culverts greater than 48 inches in diameter will be buried at least one foot below the bed of the stream. Culverts 48 inches in diameter or less shall be buried or placed on the stream bed as practicable and appropriate to maintain aquatic passage, and every effort shall be made to maintain the existing channel slope. The bottom of the culvert must be placed at a

depth below the natural stream bottom to provide for passage during drought or low flow conditions.

Culverts are to be designed and constructed in a manner that minimizes destabilization and head cutting. Destabilizing the channel and head cutting upstream should be considered and appropriate actions incorporated in the design and placement of the culvert.

A waiver from the depth specifications in this condition may be requested in writing. The waiver will be issued if it can be demonstrated that the proposal would result in the least impacts to the aquatic environment.

All counties: Culverts placed within riparian and/or riverine wetlands must be installed in a manner that does not restrict the flow and circulation patterns of waters of the United States. Culverts placed across wetland fills purely for the purposes of equalizing surface water do not have to be buried.

### **3.7 Notification to NCDENR Shellfish Sanitation Section**

Applicants shall notify the NCDENR Shellfish Sanitation Section prior to dredging in or removing sediment from an area closed to shell fishing where the effluent may be released to an area open for shell fishing or swimming in order to avoid contamination from the disposal area and cause a temporary shellfish closure to be made. Such notification shall also be provided to the appropriate Corps of Engineers Regulatory Field Office. Any disposal of sand to the ocean beach should occur between November 1 and April 30 when recreational usage is low. Only clean sand should be used and no dredged sand from closed shell fishing areas may be used. If beach disposal were to occur at times other than stated above or if sand from a closed shell fishing area is to be used, a swimming advisory shall be posted, and a press release shall be issued by the permittee.

### **3.8 Preservation of Submerged Aquatic Vegetation**

Adverse impacts to Submerged Aquatic Vegetation (SAV) are not authorized by any NWP within any of the twenty coastal counties defined by North Carolina's Coastal Area Management Act of 1974 (CAMA).

### **3.9 Sedimentation and Erosion Control Structures and Measures**

**3.9.1.** All PCNs will identify and describe sedimentation and erosion control structures and measures proposed for placement in waters of the US. The structures and measures should be depicted on maps, surveys or drawings showing location and impacts to jurisdictional wetlands and streams.

### 4.3 NWP #12 - Utility Line Activities

**4.3.1.** Pipeline/utility line construction through jurisdictional waters and wetlands will be accomplished utilizing directional drilling/boring methods to the maximum extent practicable.

**4.3.2.** Temporary discharge of excavated or fill material into wetlands and waters of the United States will be for the absolute minimum period of time necessary to accomplish the work. Temporary discharges will be fully contained with appropriate erosion control or containment methods or otherwise such fills will consist of non-erodible materials.

**4.3.3.** The work area authorized by this permit, including temporary and/or permanent fills, will be minimized to the greatest extent practicable. Justification for work corridors exceeding forty (40) feet in width is required and will be based on pipeline diameter and length, size of equipment required to construct the utility line, and other construction information deemed necessary to support the request. The applicant is required to provide this information to the Corps with the initial notification package.

**4.3.4.** In areas where a sub-aqueous utility line is to cross a federally-maintained channel, (i.e., the Atlantic Intracoastal Waterway [AIWW]), the line will be buried at least six (6) feet below the allowable overdepth of the authorized channel, including all side slopes. For areas outside federally-maintained channels, sub-aqueous lines must be installed at a minimum depth of two (2) feet below the substrate when such lines might interfere with navigation.

**4.3.5.** The minimum clearance\*(see NOTE in 4.3.6.) for aerial communication lines, or any lines not transmitting electrical power, will be ten (10) feet above the clearance required for nearby stationary bridges as established by the U.S. Coast Guard. In the event the U.S. Coast Guard has not established a bridge clearance, minimum vertical clearances for power and aerial lines will not be less than required by Section 23, Rule 232, of the latest revision of the National Electrical Safety Code (ANSI C2). Clearances will not be less than shown in Table 232-1, Item 7, ANSI C2.

**4.3.6.** The minimum clearance\* for an aerial line, transmitting electrical power, is based on the low point of the line under conditions that produce the greatest sag, taking into consideration temperature, load, wind, length or span and the type of supports. The minimum clearance for an aerial electrical power transmission line crossing navigable waters of the US shall be governed by the system voltage, as indicated below:

Nominal System	Minimum Clearance
Voltage, kilovolt	Above Bridge Clearance (As Established by the U.S. Coast Guard)
115 and below	20 feet
138	22
161	24
230	26
350	30
500	35

700	42
750 to 765	45

\*NOTE: Minimum clearance is the distance measured between the lowest point of a stationary bridge, including any infrastructure attached to underside of the bridge, and the Mean High Water (MHW) of the navigable waters of the US beneath the bridge.

**4.3.7.** On navigable waters of the US, including all federal navigation projects, where there is no bridge for reference for minimum clearance, the proposed project will need to be reviewed by the US Army Corps of Engineers in order to determine the minimum clearance between the line and MHW necessary to protect navigational interests.

**4.3.8.** A plan to restore and re-vegetate wetland areas cleared for construction must be submitted with the required PCN. Cleared wetland areas shall be re-vegetated to the maximum extent practicable with native species of canopy, shrub, and herbaceous species. Fescue grass shall not be used.

**4.3.9.** For the purposes of this NWP, any permanently maintained corridor along the utility ROW within forested wetlands shall be considered a permanent impact and a compensatory mitigation plan will be required for all such impacts associated with the requested activity.

**4.3.10.** Use of rip-rap or any other engineered structures to stabilize a stream bed should be avoided to the maximum extent practicable. If riprap stabilization is needed, it should be placed only on the stream banks, or, if it is necessary to be placed in the stream bed, the finished top elevation of the riprap should not exceed that of the original stream bed.

**4.3.11.** When directional boring or horizontal directional drilling (HDD) under waters of the U.S., including wetlands, permittees shall closely monitor the project for hydraulic fracturing or “fracking.” Any discharge from hydraulic fracturing or “fracking” into waters of the U.S., including wetlands, shall be reported to the appropriate Corps Regulatory Field Office within 48 hours. Restoration and/or mitigation may be required as a result from any unintended discharges.

**4.0 NWP # 13 – Bank Stabilization**

**4.0.1.** Unanchored trees, treetops, or debris may not be used as stream bank stabilization material.

**4.0.2.** Properly anchored and cabled structural stabilization techniques, such as timber crib structures, revetments, and root wads, are acceptable materials to stabilize stream banks.

**4.0.3.** If riprap stabilization is needed, it should be placed only on the stream banks, or, if it is necessary to be placed in the stream bed, the finished top elevation of the riprap should not exceed that of the original stream bed.

**4.2 NWP #33 – Temporary Construction, Access and Dewatering**

The required restoration plan must include a timetable for restoration activities.

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**Water Quality Certification No. 3884**

**GENERAL CERTIFICATION FOR PROJECTS ELIGIBLE  
FOR U.S. ARMY CORPS OF ENGINEERS NATIONWIDE PERMIT  
NUMBERS 12 (UTILITY LINE ACTIVITIES) AND 47 (PIPELINE SAFETY PROGRAM  
DESIGNATED TIME SENSITIVE INSPECTIONS AND REPAIRS),  
AND RIPARIAN AREA PROTECTION RULES (BUFFER RULES)**

Water Quality Certification Number 3884 is issued in conformity with the requirements of Section 401, Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Quality (DWQ) Regulations in 15A NCAC 02H .0500 and 15A NCAC 02B .0200 for the discharge of fill material to waters and wetland areas as described in 33 CFR 330 Appendix A (B) (12 and 47) of the Corps of Engineers regulations including any fill activity for utility line backfill and bedding, and for the Riparian Area Protection Rules (Buffer Rules) in 15A NCAC 02B .0200.

The State of North Carolina certifies that the specified category of activity will not violate applicable portions of Sections 301, 302, 303, 306 and 307 of the Public Laws 92-500 and 95-217 if conducted in accordance with the conditions hereinafter set forth.

**Activities meeting any one (1) of the following thresholds or circumstances require *written approval* for a 401 Water Quality Certification from the Division of Water Quality (the "Division"):**

- \* a) Permanent impacts to any wetlands and/or waters, including streams, or
  - b) Any stream relocation; or
  - \* c) Impacts to any wetlands and/or waters if the maintenance corridor in a wetland or at a stream crossing is greater than 15 feet wide (except activities located in areas with Riparian Area Protection Rules when the maintenance corridor at stream crossing must be 10 feet wide or less). Gas pipelines may have a maintenance corridor wider than fifteen feet if mitigation is provided for these additional wetland fills.
  - d) Any impact associated with a Notice of Violation or an enforcement action for violation(s) of DWQ Wetland Rules (15A NCAC 02H .0500), Isolated Wetland Rules (15A NCAC 02H .1300), DWQ Surface Water or Wetland Standards, or Riparian Buffer Rules (15A NCAC 02B .0200); or
  - \* e) Any impacts to streams and/or buffers in the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan or Goose Creek Watersheds (or any other basin or watershed with Riparian Area Protection Rules [Buffer Rules] in effect at the time of application) *unless* the activities are listed as "EXEMPT" from these rules or a Buffer Authorization Certificate is issued through N.C. Division of Coastal Management (DCM) delegation for "ALLOWABLE" activities.
- \* In accordance with North Carolina General Statute 143-215.3D(e), written approval for a 401 Water Quality General Certification must include the appropriate fee. If a project also requires a CAMA Permit, then one payment to both agencies shall be submitted and will be the higher of the two fees.

**Activities included in this General Certification that do not meet one of the thresholds listed above do not require written approval from the Division as long as they comply with the Conditions of Certification listed below. If any of these Conditions cannot be met, then written approval from the Division is required.**



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**Water Quality Certification No. 3884**

**Conditions of Certification:**

1. No Impacts Beyond those Authorized in the Written Approval or Beyond the Threshold of Use of this Certification

No waste, spoil, solids, or fill of any kind shall occur in wetlands, waters, or riparian areas beyond the footprint of the impacts depicted in the Pre-Construction Notification, as authorized in the written approval from the Division or beyond the thresholds established for use of this Certification without written authorization, including incidental impacts. All construction activities, including the design, installation, operation, and maintenance of sediment and erosion control Best Management Practices shall be performed so that no violations of state water quality standards, statutes, or rules occur. Approved plans and specifications for this project are incorporated by reference and are enforceable parts of this permit.

2. Standard Erosion and Sediment Control Practices

Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices and if applicable, comply with the specific conditions and requirements of the NPDES Construction Stormwater Permit issued to the site:

- a. Design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal or exceed the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
- b. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
- c. Reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act and the Mining Act of 1971.
- d. Sufficient materials required for stabilization and/or repair of erosion control measures and stormwater routing and treatment shall be on site at all times.
- e. If the project occurs in waters or watersheds classified as Primary Nursery Areas (PNAs), SA, WS-I, WS-II, High Quality (HQW), or Outstanding Resource (ORW) waters, then the sedimentation and erosion control designs must comply with the requirements set forth in 15A NCAC 04B .0124, *Design Standards in Sensitive Watersheds*.

3. No Sediment and Erosion Control Measures in Wetlands or Waters

Sediment and erosion control measures shall not be placed in wetlands or waters. Exceptions to this condition require application submittal to and written approval by the Division. If placement of sediment and erosion control devices in wetlands and waters is unavoidable, then design and placement of temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands, stream beds, or banks, adjacent to or upstream and downstream of the above structures. All sediment and erosion control devices shall be removed and the natural grade restored within two (2) months of the date that the Division of Land Resources (DLR) or locally delegated program has released the specific area within the project.

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**Water Quality Certification No. 3884**

**4. Construction Stormwater Permit NCG010000**

An NPDES Construction Stormwater Permit is required for construction projects that disturb one (1) or more acres of land. This Permit allows stormwater to be discharged during land disturbing construction activities as stipulated in the conditions of the permit. If your project is covered by this permit, full compliance with permit conditions including the erosion & sedimentation control plan, inspections and maintenance, self-monitoring, record keeping and reporting requirements is required. A copy of the general permit (NCG010000), inspection log sheets, and other information may be found at <http://portal.ncdenr.org/web/wq/ws/su/npdessw/tab-w>.

The North Carolina Department of Transportation (NCDOT) shall be required to be in full compliance with the conditions related to construction activities within the most recent version of their individual NPDES (NCS000250) stormwater permit.

**5. Construction Moratoriums and Coordination**

If activities must occur during periods of high biological activity (i.e. sea turtle nesting, fish spawning, or bird nesting), then biological monitoring may be required at the request of other state or federal agencies and coordinated with these activities.

All moratoriums on construction activities established by the NC Wildlife Resources Commission (WRC), US Fish and Wildlife Service (USFWS), NC Division of Marine Fisheries (DMF), or National Marine Fisheries Service (NMFS) to lessen impacts on trout, anadromous fish, larval/post-larval fishes and crustaceans, or other aquatic species of concern shall be implemented. Exceptions to this condition require written approval by the resource agency responsible for the given moratorium.

Work within the twenty-five (25) designated trout counties or identified state or federal endangered or threatened species habitat shall be coordinated with the appropriate WRC, USFWS, NMFS, and/or DMF personnel.

**6. Work in the Dry**

All work in or adjacent to stream waters shall be conducted so that the flowing stream does not come in contact with the disturbed area. Approved best management practices from the most current version of the NC Sediment and Erosion Control Manual, or the NC DOT Construction and Maintenance Activities Manual, such as sandbags, rock berms, cofferdams, and other diversion structures shall be used to minimize excavation in flowing water. Exceptions to this condition require application submittal to and written approval by the Division.

**7. Riparian Area Protection (Buffer) Rule**

Activities located in the protected riparian areas (whether jurisdictional wetlands or not), within the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan, or Goose Creek Watersheds (or any other basin or watershed with buffer rules) shall be limited to "uses" identified within and constructed in accordance with 15A NCAC 02B .0233, .0259, .0243, .0250, .0267 and .0605, and shall be located, designed, constructed, and maintained to have minimal disturbance to protect water quality to the maximum extent practicable through the use of best management practices. All buffer rule requirements, including diffuse flow requirements, must be met.

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**Water Quality Certification No. 3884**

**\* 8. Compensatory Mitigation**

In accordance with 15A NCAC 02H .0506 (h), compensatory mitigation may be required for losses of equal to or greater than 150 linear feet of streams (intermittent and perennial) and/or equal to or greater than one (1) acre of wetlands. For linear public transportation projects, impacts equal to or exceeding 150 linear feet per stream shall require mitigation.

Buffer mitigation may be required for any project with Buffer Rules in effect at the time of application for activities classified as "Allowable with Mitigation" or "Prohibited" within the Table of Uses.

A determination of buffer, wetland, and stream mitigation requirements shall be made for any General Water Quality Certification for this Nationwide and/or Regional General Permit. Design and monitoring protocols shall follow the US Army Corps of Engineers Wilmington District *Stream Mitigation Guidelines* (April 2003) or its subsequent updates. Compensatory mitigation plans shall be submitted to the Division for written approval as required in those protocols. The mitigation plan must be implemented and/or constructed before any impacts occur on site. Alternatively, the Division will accept payment into an in-lieu fee program or a mitigation bank. In these cases, proof of payment shall be provided to the Division before any impacts occur on site.

- \* 9. Relocated stream designs should include the same dimensions, patterns, and profiles as the existing channel (or a stable reference reach if the existing channel is unstable), to the maximum extent practical. The new channel should be constructed in the dry and water shall not be turned into the new channel until the banks are stabilized. Vegetation used for bank stabilization shall be limited to native woody species, and should include establishment of a 30-foot wide wooded and an adjacent 20-foot wide vegetated buffer on both sides of the relocated channel to the maximum extent practical. A transitional phase incorporating appropriate erosion control matting materials and seedling establishment is allowable, however matting that incorporates plastic mesh and/or plastic twine shall not be used in wetlands, riparian buffers or floodplains as recommended by the North Carolina Sediment and Erosion Control Manual. Rip-rap, A-Jacks, concrete, gabions or other hard structures may be allowed if it is necessary to maintain the physical integrity of the stream; however, the applicant must provide written justification and any calculations used to determine the extent of rip-rap coverage. Please note that if the stream relocation is conducted as a stream restoration as defined in the US Army Corps of Engineers Wilmington District, April 2003 *Stream Mitigation Guidelines* (or its subsequent updates), the restored length may be used as compensatory mitigation for the impacts resulting from the relocation.

10. For sewer lines, when the construction corridor is parallel to a stream, then the edge of the construction corridor shall not be closer than 10 feet from top of bank. For streams classified as WS (except WS-IV or WS-V), B, SA, ORW, HQW, or SB from normal high water (or tide elevation) and wetlands, the edge of the construction corridor shall not be closer than 50 feet to a stream; or 100 feet to private or public water supply sources or waters classified as WS-I waters or Class I or Class II impounded reservoirs used as a source of drinking water in accordance with 15A NCAC 02T .0305(f).

Utility lines within the Riparian Buffers shall be installed in accordance with the Table of uses in the most recent version of the appropriate buffer rules.

Utility lines shall not cross a stream channel at other than a near-perpendicular direction (i.e., stream channel crossings shall not be at an angle of less than 75 degrees or more than 105 degrees to the stream bank).

11. Any wastewater line that crosses any stream shall be installed in accordance with the most recent version of the Gravity Sewer minimum Design Criteria or the most recent version of

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### Water Quality Certification No. 3884

the Minimum Design Criteria for the Fast-Track Permitting of Pump Stations and Force Main published on the Division of Water Quality's website. Exceptions to this condition require application submittal to, and written approval by, the Division.

12. If concrete is used during the construction, then all necessary measures shall be taken to prevent direct contact between uncured or curing concrete and waters of the state. Water that inadvertently contacts uncured concrete shall not be discharged to waters of the state due to the potential for elevated pH and possible aquatic life/ fish kills.
13. Any rip-rap required for proper culvert placement, stream stabilization, or restoration of temporarily disturbed areas shall be restricted to the the area directly impacted by approved construction activity. All rip-rap shall be buried and/or "keyed in" such that the original stream elevation and streambank contours are restored and maintained. Placement of rip-rap or other approved materials shall not result in de-stabilization of the stream bed or banks upstream or downstream of the area.
14. Annual native species suitable for wet locations shall be planted and established within jurisdictional wetlands for soil and erosion control. Non-native perennials such as fescue are prohibited.
15. A one-time application of fertilizer to re-establish vegetation is allowed in disturbed areas including riparian buffers, but is restricted to no closer than 10 feet from top of bank of streams. Any fertilizer application must comply with all other Federal, State and Local regulations.
16. The construction corridor (including access roads, sediment and erosion control measures and stockpiling of materials) is limited to 40 feet (12.2 meters) in width in wetlands and across stream channels and must be minimized to the maximum extent practicable.
17. Permanent, maintained access corridors shall be restricted to the minimum width practicable and shall not exceed 15 feet in width except at manhole locations. A 15-foot by 15-foot perpendicular vehicle turnaround must be spaced at least 500 feet (152.4 meters) apart.
18. An anti-seep collar shall be placed at the downstream (utility line gradient) wetland boundary and every 150 feet (45.7 meters) up the gradient until the utility exits the wetland for buried utility lines. Anti-seep collars may be constructed with class B concrete, compacted clay, PVC pipe, or metal collars. Wetland crossings that are directionally drilled, and perpendicular wetland crossings that are open cut and less than 150 feet (45.7 meters) long do not require anti-seep collars. The compacted clay shall have a specific infiltration of 1 X 10<sup>-5</sup> cm/sec or less. A section and plan view diagram is attached for the anti-seep collars.

The following specifications shall apply to class B concrete:

- a) Minimum cement content, sacks per cubic yard with rounded course aggregate 5.0
  - b) Minimum cement content, sacks per cubic yard with angular course aggregate 5.5
  - c) Maximum water-cement ratio gallons per sack 6.8
  - d) Slump range 2" to 4"
  - e) Minimum strength - 28 day psi 2,500
19. The applicant shall have a specific plan for restoring wetland contours. Any excess material will be removed to a high ground disposal area.

The mixing of topsoil and subsoils within the wetlands along utility corridors shall be minimized to the greatest extent practical. During excavation, the soils shall be placed on fabric to minimize impacts whenever possible. Topsoil excavated from utility trenches will be

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### Water Quality Certification No. 3884

piled separately from subsoils and will be backfilled into the trench only after the subsoils have been placed and compacted.

Along utility corridors within wetlands, grub stumps only as needed to install the utility and cut remaining stumps off at grade level. The general stripping of topsoil within wetlands along the utility corridor is not permitted.

- \* 20. If an environmental document is required under the National or State Environmental Policy Act (NEPA or SEPA), then this General Certification is not valid until a Finding of No Significant Impact (FONSI) or Record of Decision (ROD) is issued by the State Clearinghouse.
- 21. In the twenty (20) coastal counties, the appropriate DWQ Regional Office must be contacted to determine if Coastal Stormwater Regulations will be required.
- 22. This General Certification does not relieve the applicant of the responsibility to obtain all other required Federal, State, or Local approvals.
- 23. The applicant/permittee and their authorized agents shall conduct all activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act), and any other appropriate requirements of State and Federal Law. If the Division determines that such standards or laws are not being met, including failure to sustain a designated or achieved use, or that State or Federal law is being violated, or that further conditions are necessary to assure compliance, then the Division may reevaluate and modify this General Water Quality Certification.
- \* 24. When written authorization is required for use of this certification, upon completion of all permitted impacts included within the approval and any subsequent modifications, the applicant shall be required to return the certificate of completion attached to the approval. One copy of the certificate shall be sent to the DWQ Central Office in Raleigh at 1650 Mail Service Center, Raleigh, NC, 27699-1650.
- 25. Additional site-specific conditions, including monitoring and/or modeling requirements, may be added to the written approval letter for projects proposed under this Water Quality Certification in order to ensure compliance with all applicable water quality and effluent standards.
- 26. This certification grants permission to the director, an authorized representative of the Director, or DENR staff, upon the presentation of proper credentials, to enter the property during normal business hours.

This General Certification shall expire on the same day as the expiration date of the corresponding Nationwide and/or Regional General Permit. The conditions in effect on the date of issuance of Certification for a specific project shall remain in effect for the life of the project, regardless of the expiration date of this Certification.

Non-compliance with or violation of the conditions herein set forth by a specific project may result in revocation of this General Certification for the project and may also result in criminal and/or civil penalties.

The Director of the North Carolina Division of Water Quality may require submission of a formal application for Individual Certification for any project in this category of activity if it is determined that the project is likely to have a significant adverse effect upon water quality, including state or federally listed endangered or threatened aquatic species, or degrade the waters so that existing uses of the wetland or downstream waters are precluded.

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Public hearings may be held for specific applications or group of applications prior to a Certification decision if deemed in the public's best interest by the Director of the North Carolina Division of Water Quality.

Effective date: March 19, 2012

DIVISION OF WATER QUALITY

By

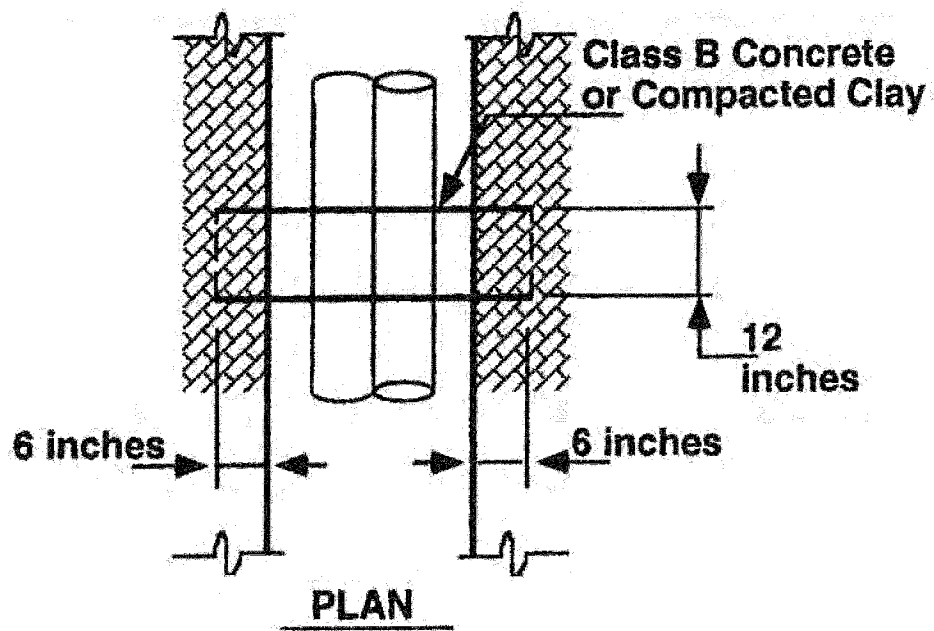
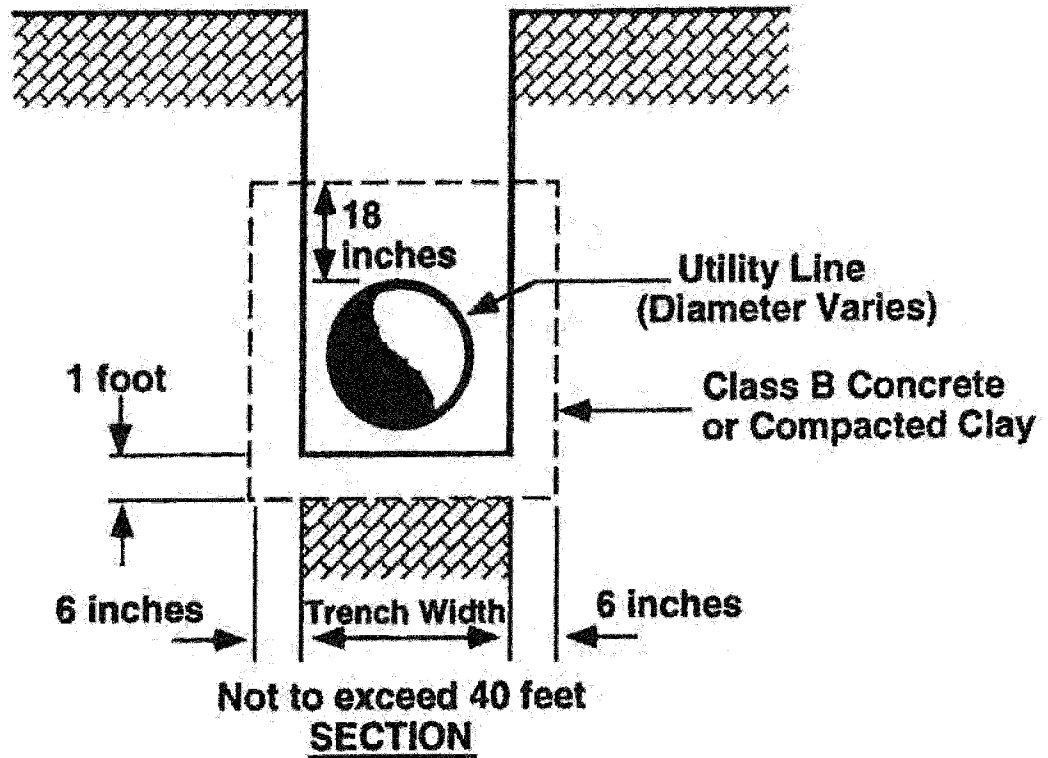


Charles Wakild, P.E.

Director

*History Note: Water Quality Certification (WQC) Number 3884 issued March 19, 2012 replaces WQC Number 3819 issued March 19, 2010; WQC Number 3699 issued November 1, 2007; WQC Number 3625 issued March 19, 2007; WQC Number 3374 issued March 18, 2002; WQC Number 3288 issued June 1, 2000; WQC Number 3101 issued February 11, 1997; WQC Number 3022 issued September 6, 1995; WQC Number 2664 issued January 21, 1992. This General Certification is rescinded when the Corps of Engineers reauthorizes any of the corresponding Nationwide and/or Regional General Permits or when deemed appropriate by the Director of the Division of Water Quality.*

**ANTI-SEEP COLLAR**





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**Water Quality Certification No. 3885**

**GENERAL CERTIFICATION FOR STREAM RESTORATION, ENHANCEMENT AND  
STABILIZATION PROJECTS AND WETLAND AND RIPARIAN RESTORATION AND  
CREATION ACTIVITIES INCLUDING THOSE ELIGIBLE FOR U.S. ARMY CORPS OF  
ENGINEERS NATIONWIDE PERMIT NUMBERS 13 (BANK STABILIZATION) AND  
27 (WETLAND AND RIPARIAN RESTORATION AND CREATION),  
AND REGIONAL PERMIT 197800080 (BULKHEADS AND RIPRAP)  
AND RIPARIAN AREA PROTECTION RULES (BUFFER RULES)**

Water Quality Certification Number 3885 is issued in conformity with the requirements of Section 401, Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Quality Regulations in 15A NCAC 02H .0500 and 15A NCAC 02B .0200 for the discharge of fill material to waters as described in 33 CFR 330 Appendix A (B) (13 and 27) and Regional Permit 197800080 and for the Riparian Area Protection Rules (Buffer Rules) in 15A NCAC 02B .0200.

**The State of North Carolina certifies that the specified category of activity will not violate applicable portions of Sections 301, 302, 303, 306 and 307 of the Public Laws 92-500 and 95-217 if conducted in accordance with the conditions hereinafter set forth.**

**Activities meeting any one (1) of the following thresholds or circumstances require written approval for a 401 Water Quality Certification from the Division of Water Quality (the "Division"):**

- \* a) All proposed fill or modification of wetlands and/or waters, including streams and streambanks, regardless of the purpose of the restoration, enhancement, stabilization, or creation activity, except for single and independent projects involving in-stream structures for the sole purpose of streambank stabilization, which are designed based on current natural channel techniques, and do not exceed a total of three structures within 100 feet or less of streambank; or
  - b) Any stream relocation; or
  - c) Bank Stabilization projects qualifying for Nationwide Permit 13 for erosion protection which utilize non-natural armoring such as riprap, gabion baskets, deflection walls etc of greater than 150 feet in streambank length; or
  - d) Bank Stabilization projects qualifying for Nationwide Permit 13 for erosion protection which utilize natural streambank sloping, vegetation, and other natural channel protection techniques of greater than 500 feet of streambank length; or
  - e) Any impact associated with a Notice of Violation or an enforcement action for violation(s) of DWQ Wetland Rules (15A NCAC 02H .0500), Isolated Wetland Rules (15A NCAC 02H .1300), DWQ Surface Water or Wetland Standards, or Riparian Buffer Rules (15A NCAC 02B .0200); or
  - \* f) Any impacts to streams and/or buffers in the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan or Goose Creek Watersheds (or any other basin or watershed with Riparian Area Protection Rules [Buffer Rules] in effect at the time of application) *unless* the activities are listed as "EXEMPT" from these rules or a Buffer Authorization Certificate is issued through N.C. Division of Coastal Management (DCM) delegation for "ALLOWABLE" activities.
- \* In accordance with North Carolina General Statute 143-215.3D(e), written approval for a 401 Water Quality General Certification must include the appropriate fee. If a project also requires a CAMA Permit, then one payment to both agencies shall be submitted and will be the higher of the two fees.



Activities included in this General Certification that do not meet one of the thresholds listed above do not require written approval from the Division as long as they comply with the Conditions of Certification listed below. If any of these Conditions cannot be met, then written approval from the Division is required.

Conditions of Certification:

1. Activities shall meet the definitions, design, and monitoring protocols specified within the US Army Corps of Engineers Wilmington District *Regulatory Guidance Letter* (RGL02-02) and the *Stream Mitigation Guidelines* (April 2003) or any subsequent updates to these documents.
2. No Impacts Beyond those Authorized in the Written Approval or Beyond the Threshold of Use of this Certification

No waste, spoil, solids, or fill of any kind shall occur in wetlands, waters, or riparian areas beyond the footprint of the impacts depicted in the Pre-Construction Notification, as authorized in the written approval from the Division or beyond the thresholds established for use of this Certification without written authorization, including incidental impacts. All construction activities, including the design, installation, operation, and maintenance of sediment and erosion control Best Management Practices shall be performed so that no violations of state water quality standards, statutes, or rules occur. Approved plans and specifications for this project are incorporated by reference and are enforceable parts of this permit.

3. Standard Erosion and Sediment Control Practices

Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices and if applicable, comply with the specific conditions and requirements of the NPDES Construction Stormwater Permit issued to the site:

- a. Design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal or exceed the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
- b. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
- c. Reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act and the Mining Act of 1971.
- d. Sufficient materials required for stabilization and/or repair of erosion control measures and stormwater routing and treatment shall be on site at all times.
- e. If the project occurs in waters or watersheds classified as Primary Nursery Areas (PNAs), SA, WS-I, WS-II, High Quality (HQW), or Outstanding Resource (ORW) waters, then the sedimentation and erosion control designs must comply with the requirements set forth in 15A NCAC 04B .0124, *Design Standards in Sensitive Watersheds*.

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**Water Quality Certification No. 3885**

4. No Sediment and Erosion Control Measures in Wetlands or Waters

Sediment and erosion control measures shall not be placed in wetlands or waters. Exceptions to this condition require application submittal to and written approval by the Division. If placement of sediment and erosion control devices in wetlands and waters is unavoidable, then design and placement of temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands, stream beds, or banks, adjacent to or upstream and downstream of the above structures. All sediment and erosion control devices shall be removed and the natural grade restored within two (2) months of the date that the Division of Land Resources (DLR) or locally delegated program has released the specific area within the project.

5. Construction Stormwater Permit NCG010000

An NPDES Construction Stormwater Permit is required for construction projects that disturb one (1) or more acres of land. This Permit allows stormwater to be discharged during land disturbing construction activities as stipulated in the conditions of the permit. If your project is covered by this permit, full compliance with permit conditions including the erosion & sedimentation control plan, inspections and maintenance, self-monitoring, record keeping and reporting requirements is required. A copy of the general permit (NCG010000), inspection log sheets, and other information may be found at <http://portal.ncdenr.org/web/wq/ws/su/npdcssw/#tab-w>

The North Carolina Department of Transportation (NCDOT) shall be required to be in full compliance with the conditions related to construction activities within the most recent version of their individual NPDES (NCS000250) stormwater permit.

6. Construction Moratoriums and Coordination

If activities must occur during periods of high biological activity (i.e. sea turtle nesting, fish spawning, or bird nesting), then biological monitoring may be required at the request of other state or federal agencies and coordinated with these activities.

All moratoriums on construction activities established by the NC Wildlife Resources Commission (WRC), US Fish and Wildlife Service (USFWS), NC Division of Marine Fisheries (DMF), or National Marine Fisheries Service (NMFS) to lessen impacts on trout, anadromous fish, larval/post-larval fishes and crustaceans, or other aquatic species of concern shall be implemented. Exceptions to this condition require written approval by the resource agency responsible for the given moratorium.

Work within the twenty-five (25) designated trout counties or identified state or federal endangered or threatened species habitat shall be coordinated with the appropriate WRC, USFWS, NMFS, and/or DMF personnel.

7. Work in the Dry

All work in or adjacent to stream waters shall be conducted so that the flowing stream does not come in contact with the disturbed area. Approved best management practices from the most current version of the NC Sediment and Erosion Control Manual, or the NC DOT Construction and Maintenance Activities Manual, such as sandbags, rock berms, cofferdams, and other diversion structures shall be used to minimize excavation in flowing water. Exceptions to this condition require application submittal to and written approval by the Division.

## 8. Riparian Area Protection (Buffer) Rules

Activities located in the protected riparian areas (whether jurisdictional wetlands or not), within the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan, or Goose Creek Watersheds (or any other basin or watershed with buffer rules) shall be limited to "uses" identified within and constructed in accordance with 15A NCAC 02B .0233, .0259, .0243, .0250, .0267 and .0605, and shall be located, designed, constructed, and maintained to have minimal disturbance to protect water quality to the maximum extent practicable through the use of best management practices. All buffer rule requirements, including diffuse flow requirements, must be met.

9. If concrete is used during the construction, then all necessary measures shall be taken to prevent direct contact between uncured or curing concrete and waters of the state. Water that inadvertently contacts uncured concrete shall not be discharged to waters of the state due to the potential for elevated pH and possible aquatic life/ fish kills.
10. All temporary fill and culverts shall be removed and the impacted area returned to natural conditions within 60 days of the determination that the temporary impact is no longer necessary. The impacted areas shall be restored to original grade, including each stream's original cross sectional dimensions, plan form pattern, and longitudinal bed and bed profile, and the various sites shall be stabilized with natural woody vegetation (except for the approved maintenance areas) and restored to prevent erosion.
11. All temporary pipes/ culverts/ riprap pads etc, shall be installed in all streams as outlined in the most recent edition of the *North Carolina Sediment and Erosion Control Planning and Design Manual* or the *North Carolina Surface Mining Manual* so as not to restrict stream flow or cause dis-equilibrium during use of this General Certification.
12. Any riprap required for proper culvert placement, stream stabilization, or restoration of temporarily disturbed areas shall be restricted to the area directly impacted by the approved construction activity. All rip-rap shall be buried and/or "keyed in" such that the original stream elevation and streambank contours are restored and maintained. Placement of rip-rap or other approved materials shall not result in de-stabilization of the stream bed or banks upstream or downstream of the area.
13. Any rip-rap used for stream stabilization shall be of a size and density so as not to be able to be carried off by wave, current action, or stream flows and consist of clean rock or masonry material free of debris or toxic pollutants. Rip-rap shall not be installed in the streambed except in specific areas required for velocity control and to ensure structural integrity of bank stabilization measures.
14. A one-time application of fertilizer to re-establish vegetation is allowed in disturbed areas including riparian buffers, but is restricted to no closer than 10 feet from top of bank of streams. Any fertilizer application must comply with all other Federal, State and Local regulations.
15. Applications for riprap groins proposed in accordance with 15A NCAC 07H .1401 (NC Division of Coastal Management General Permit for construction of Wooden and Riprap Groins in Estuarine and Public Trust Waters) must meet all the specific conditions for design and construction specified in 15A NCAC 07H .1405.

**P-58**  
**Water Quality Certification No. 3885**

**\* 16. Compensatory Mitigation**

In accordance with 15A NCAC 02H .0506 (h), compensatory mitigation may be required for losses of equal to or greater than 150 linear feet of streams (intermittent and perennial) and/or equal to or greater than one (1) acre of wetlands. For linear public transportation projects, impacts equal to or exceeding 150 linear feet per stream shall require mitigation.

Buffer mitigation may be required for any project with Buffer Rules in effect at the time of application for activities classified as "Allowable with Mitigation" or "Prohibited" within the Table of Uses.

A determination of buffer, wetland, and stream mitigation requirements shall be made for any General Water Quality Certification for this Nationwide and/or Regional General Permit. Design and monitoring protocols shall follow the US Army Corps of Engineers Wilmington District *Stream Mitigation Guidelines* (April 2003) or its subsequent updates. Compensatory mitigation plans shall be submitted to the Division for written approval as required in those protocols. The mitigation plan must be implemented and/or constructed before any impacts occur on site. Alternatively, the Division will accept payment into an in-lieu fee program or a mitigation bank. In these cases, proof of payment shall be provided to the Division before any impacts occur on site.

- \* 17. If an environmental document is required under the National or State Environmental Policy Act (NEPA or SEPA), then this General Certification is not valid until a Finding of No Significant Impact (FONSI) or Record of Decision (ROD) is issued by the State Clearinghouse.
- 18. In the twenty (20) coastal counties, the appropriate DWQ Regional Office must be contacted to determine if Coastal Stormwater Regulations will be required.
- 19. This General Certification does not relieve the applicant of the responsibility to obtain all other required Federal, State, or Local approvals.
- 20. The applicant/permittee and their authorized agents shall conduct all activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act), and any other appropriate requirements of State and Federal Law. If the Division determines that such standards or laws are not being met, including failure to sustain a designated or achieved use, or that State or Federal law is being violated, or that further conditions are necessary to assure compliance, then the Division may reevaluate and modify this General Water Quality Certification.
- \* 21. When written authorization is required for use of this certification, upon completion of all permitted impacts included within the approval and any subsequent modifications, the applicant shall be required to return the certificate of completion attached to the approval. One copy of the certificate shall be sent to the DWQ Central Office in Raleigh at 1650 Mail Service Center, Raleigh, NC, 27699-1650.
- 22. Additional site-specific conditions, including monitoring and/or modeling requirements, may be added to the written approval letter for projects proposed under this Water Quality Certification in order to ensure compliance with all applicable water quality and effluent standards.
- 23. This certification grants permission to the director, an authorized representative of the Director, or DENR staff, upon the presentation of proper credentials, to enter the property during normal business hours.

**P-59**  
**Water Quality Certification No. 3885**

This General Certification shall expire on the same day as the expiration date of the corresponding Nationwide and/or Regional General Permit. The conditions in effect on the date of issuance of Certification for a specific project shall remain in effect for the life of the project, regardless of the expiration date of this Certification.

Non-compliance with or violation of the conditions herein set forth by a specific project may result in revocation of this General Certification for the project and may also result in criminal and/or civil penalties.

The Director of the North Carolina Division of Water Quality may require submission of a formal application for Individual Certification for any project in this category of activity if it is determined that the project is likely to have a significant adverse effect upon water quality, including state or federally listed endangered or threatened aquatic species, or degrade the waters so that existing uses of the wetland or downstream waters are precluded.

Public hearings may be held for specific applications or group of applications prior to a Certification decision if deemed in the public's best interest by the Director of the North Carolina Division of Water Quality.

Effective date: March 19, 2012

DIVISION OF WATER QUALITY

By



Charles Wakild, P.E.

Director

*History Note. Water Quality Certification (WQC) Number 3885 issued March 19, 2012 replaces WQC Number 3689 issued November 1, 2007; WQC Number 3626 issued March, 2007; WQC Number 3495 issued December 31, 2004; and WQC Number 3399 issued March 2003. This General Certification is rescinded when the Corps of Engineers reauthorizes any of the corresponding Nationwide and/or Regional General Permits and/or when deemed appropriate by the Director of the Division of Water Quality.*

**P-60**  
**Water Quality Certification No. 3893**

**GENERAL CERTIFICATION FOR PROJECTS ELIGIBLE**  
**FOR U.S. ARMY CORPS OF ENGINEERS NATIONWIDE PERMIT NUMBER 33**  
**(TEMPORARY CONSTRUCTION, ACCESS AND DEWATERING)**  
**AND RIPARIAN AREA PROTECTION RULES (BUFFER RULES)**

Water Quality Certification Number 3893 is issued in conformity with the requirements of Section 401, Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Quality Regulations in 15A NCAC 02H .0500 and 15A NCAC 02B .0200 for the discharge of fill material to waters and wetland areas as described in 33 CFR 330 Appendix A (B) (33) and for the Riparian Area Protection Rules (Buffer Rules) in 15A NCAC 02B .0200.

The State of North Carolina certifies that the specified category of activity will not violate applicable portions of Sections 301, 302, 303, 306 and 307 of the Public Laws 92-500 and 95-217 if conducted in accordance with the conditions hereinafter set forth.

**Activities meeting any one (1) of the following thresholds or circumstances require written approval for a 401 Water Quality Certification from the Division of Water Quality (the "Division"):**

- a. Any stream relocation, or
  - b. Any impact associated with a Notice of Violation or an enforcement action for violation(s) of DWQ Wetland Rules (15A NCAC 02H .0500), Isolated Wetland Rules (15A NCAC 02H .1300), DWQ Surface Water or Wetland Standards, or Riparian Buffer Rules (15A NCAC 02B .0200); or
  - \* c. Any impacts to streams and/or buffers in the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan or Goose Creek Watersheds (or any other basin or watershed with Riparian Area Protection Rules [Buffer Rules] in effect at the time of application) *unless* the activities are listed as "EXEMPT" from these rules or a Buffer Authorization Certificate is issued through N.C. Division of Coastal Management (DCM) delegation for "ALLOWABLE" activities.
- \* In accordance with North Carolina General Statute 143-215.3D(e), written approval for a 401 Water Quality General Certification must include the appropriate fee. If a project also requires a CAMA Permit, then one payment to both agencies shall be submitted and will be the higher of the two fees.

**Activities included in this General Certification that do not meet one of the thresholds listed above do not require written approval from the Division as long as they comply with the Conditions of Certification listed below. If any of these Conditions cannot be met, then written approval from the Division is required.**

**Conditions of Certification:**

1. No Impacts Beyond those Authorized in the Written Approval or Beyond the Threshold of Use of this Certification

No waste, spoil, solids, or fill of any kind shall occur in wetlands, waters, or riparian areas beyond the footprint of the impacts depicted in the Pre-Construction Notification, as authorized in the written approval from the Division or beyond the thresholds established for use of this Certification without written authorization, including incidental impacts. All construction activities, including the design, installation, operation, and maintenance of sediment and erosion control Best Management Practices shall be performed so that no violations of state water quality standards, statutes, or rules occur. Approved plans and specifications for this project are incorporated by reference and are enforceable parts of this permit.

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**Water Quality Certification No. 3893**

**2. Standard Erosion and Sediment Control Practices**

Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices and if applicable, comply with the specific conditions and requirements of the NPDES Construction Stormwater Permit issued to the site:

- a. Design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal or exceed the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
- b. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
- c. Reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act and the Mining Act of 1971.
- d. Sufficient materials required for stabilization and/or repair of erosion control measures and stormwater routing and treatment shall be on site at all times.
- e. If the project occurs in waters or watersheds classified as Primary Nursery Areas (PNAs), SA, WS-I, WS-II, High Quality (HQW), or Outstanding Resource (ORW) waters, then the sedimentation and erosion control designs must comply with the requirements set forth in 15A NCAC 04B .0124, *Design Standards in Sensitive Watersheds*.

**3. No Sediment and Erosion Control Measures in Wetlands or Waters**

Sediment and erosion control measures shall not be placed in wetlands or waters. Exceptions to this condition require application submittal to and written approval by the Division. If placement of sediment and erosion control devices in wetlands and waters is unavoidable, then design and placement of temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands, stream beds, or banks, adjacent to or upstream and downstream of the above structures. All sediment and erosion control devices shall be removed and the natural grade restored within two (2) months of the date that the Division of Land Resources (DLR) or locally delegated program has released the specific area within the project.

**4. Construction Stormwater Permit NCG010000**

An NPDES Construction Stormwater Permit is required for construction projects that disturb one (1) or more acres of land. This Permit allows stormwater to be discharged during land disturbing construction activities as stipulated in the conditions of the permit. If your project is covered by this permit, full compliance with permit conditions including the erosion & sedimentation control plan, inspections and maintenance, self-monitoring, record keeping and reporting requirements is required. A copy of the general permit (NCG010000), inspection log sheets, and other information may be found at <http://portal.ncdenr.org/web/wq/ws/su/npdassw#tab-w>

The North Carolina Department of Transportation (NCDOT) shall be required to be in full compliance with the conditions related to construction activities within the most recent version of their individual NPDES (NCS000250) stormwater permit.



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**Water Quality Certification No. 3893**

**5. Construction Moratoriums and Coordination**

If activities must occur during periods of high biological activity (i.e. sea turtle nesting, fish spawning, or bird nesting), then biological monitoring may be required at the request of other state or federal agencies and coordinated with these activities.

All moratoriums on construction activities established by the NC Wildlife Resources Commission (WRC), US Fish and Wildlife Service (USFWS), NC Division of Marine Fisheries (DMF), or National Marine Fisheries Service (NMFS) to lessen impacts on trout, anadromous fish, larval/post-larval fishes and crustaceans, or other aquatic species of concern shall be implemented. Exceptions to this condition require written approval by the resource agency responsible for the given moratorium.

Work within the twenty-five (25) designated trout counties or identified state or federal endangered or threatened species habitat shall be coordinated with the appropriate WRC, USFWS, NMFS, and/or DMF personnel.

**6. Work in the Dry**

All work in or adjacent to stream waters shall be conducted so that the flowing stream does not come in contact with the disturbed area. Approved best management practices from the most current version of the NC Sediment and Erosion Control Manual, or the NC DOT Construction and Maintenance Activities Manual, such as sandbags, rock berms, cofferdams, and other diversion structures shall be used to minimize excavation in flowing water. Exceptions to this condition require application submittal to and written approval by the Division.

**7. Riparian Area Protection (Buffer) Rules**

Activities located in the protected riparian areas (whether jurisdictional wetlands or not), within the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan, or Goose Creek Watersheds (or any other basin or watershed with buffer rules) shall be limited to "uses" identified within and constructed in accordance with 15A NCAC 02B .0233, .0259, .0243, .0250, .0267 and .0605, and shall be located, designed, constructed, and maintained to have minimal disturbance to protect water quality to the maximum extent practicable through the use of best management practices. All buffer rule requirements, including diffuse flow requirements, must be met.

8. If concrete is used during the construction, then all necessary measures shall be taken to prevent direct contact between uncured or curing concrete and waters of the state. Water that inadvertently contacts uncured concrete shall not be discharged to waters of the state due to the potential for elevated pH and possible aquatic life/ fish kills.

9. Bridge deck drains shall not discharge directly into the stream. Stormwater shall be directed across the bridge and pre-treated through site-appropriate means (grassed swales, pre-formed scour holes, vegetated buffers, etc.) before entering the stream. Please refer to the most current version of *Stormwater Best Management Practices*. Exceptions to this condition require written approval by the Division.

**10. Placement of culverts and other structures in Waters and Wetlands**

Culverts required for this project shall be designed and installed in such a manner that the original stream profiles are not altered and allow for aquatic life movement during low flows. Existing stream dimensions (including the cross section dimensions, pattern, and longitudinal profile) must be maintained above and below locations of each culvert.



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### Water Quality Certification No. 3893

Placement of culverts and other structures in waters and streams must be below the elevation of the streambed by one foot for all culverts with a diameter greater than 48 inches, and 20 percent of the culvert diameter for culverts having a diameter less than or equal to 48 inches, to allow low flow passage of water and aquatic life.

When topographic constraints indicate culvert slopes of greater than 5%, culvert burial is not required, provided that all alternative options for flattening the slope have been investigated and aquatic life movement/ connectivity has been provided when possible (rock ladders, crossvanes, etc). Notification to the Division including supporting documentation to include a location map of the culvert, culvert profile drawings, and slope calculations shall be provided to the Division 60 days prior to the installation of the culvert.

When bedrock is present in culvert locations, culvert burial is not required provided that there is sufficient documentation of the presence of bedrock. Notification to the Division including supporting documentation such as, but not limited to, a location map of the culvert, geotechnical reports, photographs, etc shall be provided to the Division a minimum of 60 days prior to the installation of the culvert. If bedrock is discovered during construction, then the Division shall be notified by phone or email within 24 hours of discovery.

If other site-specific topographic constraints preclude the ability to bury the culverts as described above and/or it can be demonstrated that burying the culvert would result in destabilization of the channel, then exceptions to this condition require application submittal to, and written approval by, the Division of Water Quality, regardless of the total impacts to streams or wetlands from the project.

Installation of culverts in wetlands must ensure continuity of water movement and be designed to adequately accommodate high water or flood conditions. Additionally, when roadways, causeways, or other fill projects are constructed across FEMA-designated floodways or wetlands, openings such as culverts or bridges must be provided to maintain the natural hydrology of the system as well as prevent constriction of the floodway that may result in destabilization of streams or wetlands.

The establishment of native, woody vegetation and other soft stream bank stabilization techniques must be used where practicable instead of riprap or other bank hardening methods.

#### \* 11. Compensatory Mitigation

In accordance with 15A NCAC 02H .0506 (h), compensatory mitigation may be required for losses of equal to or greater than 150 linear feet of streams (intermittent and perennial) and/or equal to or greater than one (1) acre of wetlands. For linear public transportation projects, impacts equal to or exceeding 150 linear feet per stream shall require mitigation.

Buffer mitigation may be required for any project with Buffer Rules in effect at the time of application for activities classified as "Allowable with Mitigation" or "Prohibited" within the Table of Uses.

A determination of buffer, wetland, and stream mitigation requirements shall be made for any General Water Quality Certification for this Nationwide and/or Regional General Permit. Design and monitoring protocols shall follow the US Army Corps of Engineers Wilmington District *Stream Mitigation Guidelines* (April 2003) or its subsequent updates. Compensatory mitigation plans shall be submitted to the Division for written approval as required in those protocols. The mitigation plan must be implemented and/or constructed before any impacts occur on site. Alternatively, the Division will accept payment into an in-lieu fee program or a mitigation bank. In these cases, proof of payment shall be provided to the Division before any impacts occur on site.

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**Water Quality Certification No. 3893**

12. Relocated stream designs should include the same dimensions, patterns, and profiles as the existing channel (or a stable reference reach if the existing channel is unstable), to the maximum extent practical. The new channel should be constructed in the dry and water shall not be turned into the new channel until the banks are stabilized. Vegetation used for bank stabilization shall be limited to native woody species, and should include establishment of a 30-foot wide wooded and an adjacent 20-foot wide vegetated buffer on both sides of the relocated channel to the maximum extent practical. A transitional phase incorporating appropriate erosion control matting materials and seedling establishment is allowable, however matting that incorporates plastic mesh and/or plastic twine shall not be used in wetlands, riparian buffers or floodplains as recommended by the North Carolina Sediment and Erosion Control Manual. Rip-rap, A-Jacks, concrete, gabions or other hard structures may be allowed if it is necessary to maintain the physical integrity of the stream; however, the applicant must provide written justification and any calculations used to determine the extent of rip-rap coverage. Please note that if the stream relocation is conducted as a stream restoration as defined in the US Army Corps of Engineers Wilmington District, April 2003 *Stream Mitigation Guidelines* (or its subsequent updates), the restored length may be used as compensatory mitigation for the impacts resulting from the relocation.
13. All temporary fill and culverts shall be removed and the impacted area returned to natural conditions within 60 days of the determination that the temporary impact is no longer necessary. The impacted areas shall be restored to original grade, including each stream's original cross sectional dimensions, plan form pattern, and longitudinal bed and bed profile, and the various sites shall be stabilized with natural woody vegetation (except for the approved maintenance areas) and restored to prevent erosion.
14. Pipes shall be installed under the road or causeway in all streams to carry at least the 25-year storm event as outlined in the most recent edition of the *North Carolina Sediment and Erosion Control Planning and Design Manual* or the *North Carolina Surface Mining Manual* so as not to restrict stream flow during use of this General Certification.
- \* 15. If an environmental document is required under the National or State Environmental Policy Act (NEPA or SEPA), then this General Certification is not valid until a Finding of No Significant Impact (FONSI) or Record of Decision (ROD) is issued by the State Clearinghouse.
16. In the twenty (20) coastal counties, the appropriate DWQ Regional Office must be contacted to determine if Coastal Stormwater Regulations will be required.
17. This General Certification does not relieve the applicant of the responsibility to obtain all other required Federal, State, or Local approvals.
18. The applicant/permittee and their authorized agents shall conduct all activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act), and any other appropriate requirements of State and Federal Law. If the Division determines that such standards or laws are not being met, including failure to sustain a designated or achieved use, or that State or Federal law is being violated, or that further conditions are necessary to assure compliance, then the Division may reevaluate and modify this General Water Quality Certification.
- \* 19. When written authorization is required for use of this certification, upon completion of all permitted impacts included within the approval and any subsequent modifications, the applicant shall be required to return the certificate of completion attached to the approval. One copy of the certificate shall be sent to the DWQ Central Office in Raleigh at 1650 Mail Service Center, Raleigh, NC, 27699-1650.

**P-65**  
**Water Quality Certification No. 3893**

20. Additional site-specific conditions, including monitoring and/or modeling requirements, may be added to the written approval letter for projects proposed under this Water Quality Certification in order to ensure compliance with all applicable water quality and effluent standards.
21. This certification grants permission to the director, an authorized representative of the Director, or DENR staff, upon the presentation of proper credentials, to enter the property during normal business hours.

This General Certification shall expire on the same day as the expiration date of the corresponding Nationwide and/or Regional General Permit. The conditions in effect on the date of issuance of Certification for a specific project shall remain in effect for the life of the project, regardless of the expiration date of this Certification.

Non-compliance with or violation of the conditions herein set forth by a specific project may result in revocation of this General Certification for the project and may also result in criminal and/or civil penalties.

The Director of the North Carolina Division of Water Quality may require submission of a formal application for Individual Certification for any project in this category of activity if it is determined that the project is likely to have a significant adverse effect upon water quality, including state or federally listed endangered or threatened aquatic species, or degrade the waters so that existing uses of the wetland or downstream waters are precluded.

Public hearings may be held for specific applications or group of applications prior to a Certification decision if deemed in the public's best interest by the Director of the North Carolina Division of Water Quality.

Effective date: March 19, 2012

DIVISION OF WATER QUALITY

By

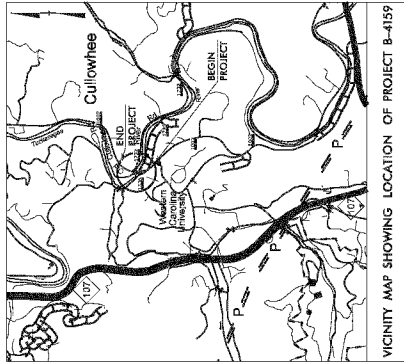


Charles Wakild, P.E.

Director

*History Note: Water Quality Certification (WQC) Number 3893 issued March 19, 2012 replaces WQC Number 3688 issued November 1, 2007; WQC Number 3634 issued March 19, 2007; WQC Number 3366 issued March 18, 2002; WQC Number 3114 issued February 11, 1997; and WQC Number 2727 issued May 1, 1992. This General Certification is rescinded when the Corps of Engineers reauthorizes any of the corresponding Nationwide and/or Regional General Permits or when deemed appropriate by the Director of the Division of Water Quality.*

See Sheet 1-A For Index of Sheets  
See Sheet 1-B For Conventional Symbols



VICINITY MAP SHOWING LOCATION OF PROJECT B-4159

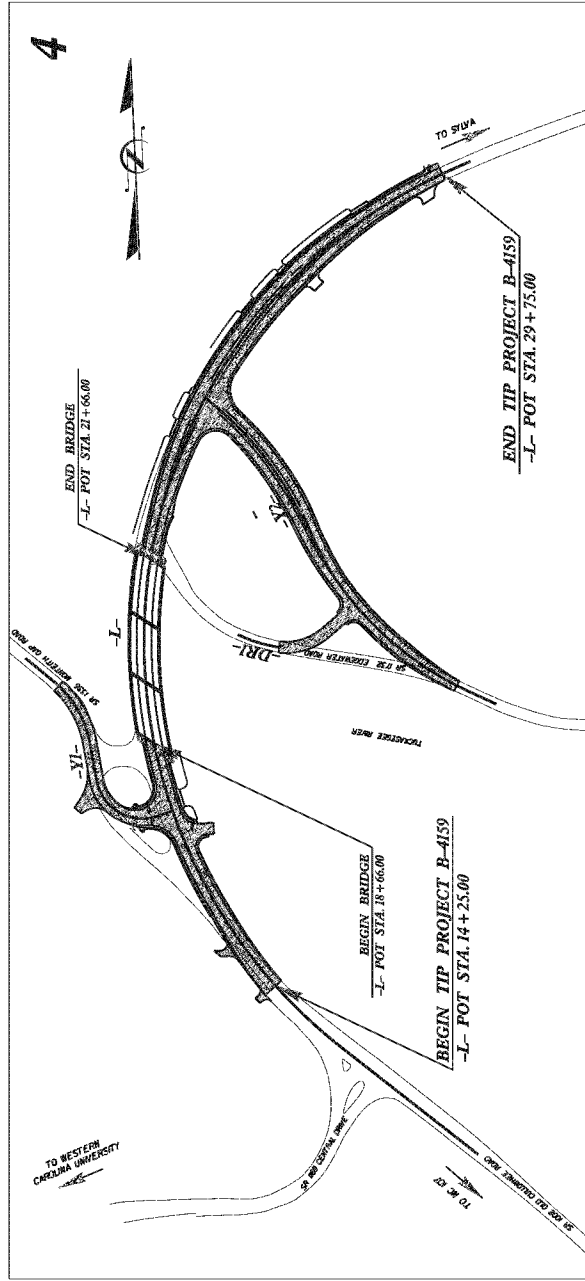
# STATE OF NORTH CAROLINA DIVISION OF HIGHWAYS

## JACKSON COUNTY

LOCATION: BRIDGE NO. 108 OVER THE TUCKASEGEE RIVER  
ON SR 1082 (OLD CULLOWHEE RD.)

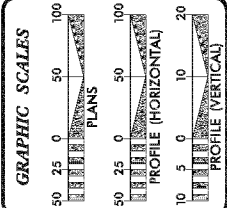
TYPE OF WORK: GRADING, PAVING, DRAINAGE  
AND STRUCTURE

WETLAND AND SURFACE WATER IMPACTS PERMIT



THIS PROJECT WAS DESIGNED USING  
THE SUB REGIONAL TIER GUIDELINES  
FOR BRIDGE PROJECTS

THERE IS NO CONTROL OF ACCESS ON THIS PROJECT  
CLEARING ON THIS PROJECT SHALL BE PERFORMED TO THE LIMITS ESTABLISHED BY METHOD II



DESIGN DATA	
ADT 2012 =	9,800
ADT 2032 =	15,400
DHV =	10 %
D =	60 %
T =	5 % *
V =	40 MPH
* TTST 2% DUAL 3%	
FUNC CLASS =	
RURAL COLLECTOR	

PROJECT LENGTH	
LENGTH OF ROADWAY TIP PROJECT B-4159 =	0.237 MILES
LENGTH OF STRUCTURE TIP PROJECT B-4159 =	0.057 MILES
TOTAL LENGTH OF TIP PROJECT B-4159 =	0.294 MILES

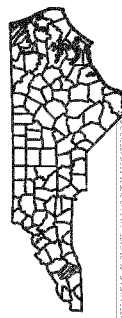
Prepared in the Office of: <b>DIVISION OF HIGHWAYS</b> 1600 Birch Rider Dr., Raleigh, NC, 27616	
RIGHT OF WAY DATE: NOVEMBER 22, 2013	PROJECT ENGINEER: TONY HOUSER, P.E.
LETTING DATE: DECEMBER 16, 2014	PROJECT DESIGN ENGINEER: LEE ANN MOORE

HYDRAULICS ENGINEER	
SIGNATURE:	P.E.
ROADWAY DESIGN ENGINEER	
SIGNATURE:	P.E.



PRELIMINARY PLANS  
DO NOT USE FOR CONSTRUCTION

STATE	N.C.	STATE PROJECT NUMBER	B-4159	SHEET	1
DATE	3/3/2013	DATE	3/3/2013	DESCRIPTION	FE
BY	33507.1.1	BY	33507.2.1	ROW & UTIL	



P-66

TIP PROJECT: B-4159

CONTRACT: C203498

F.A. PROJECT NO. : BR7-1002(13)

PHASE 1A - REMOVE PART OF EXISTING BRIDGE USING WORKPAD

VERTICAL GRADE DATA -L-  
C=18.2132% Δ=10.4017%  
P.I. = 16+35.50 -L-  
ELEV. = 2080.04  
VC = 380'

18+00

19+00

20+00

21+00

22+00

23+00

24+00

25+00

26+00

27+00

28+00

29+00

30+00

31+00

32+00

33+00

34+00

35+00

36+00

37+00

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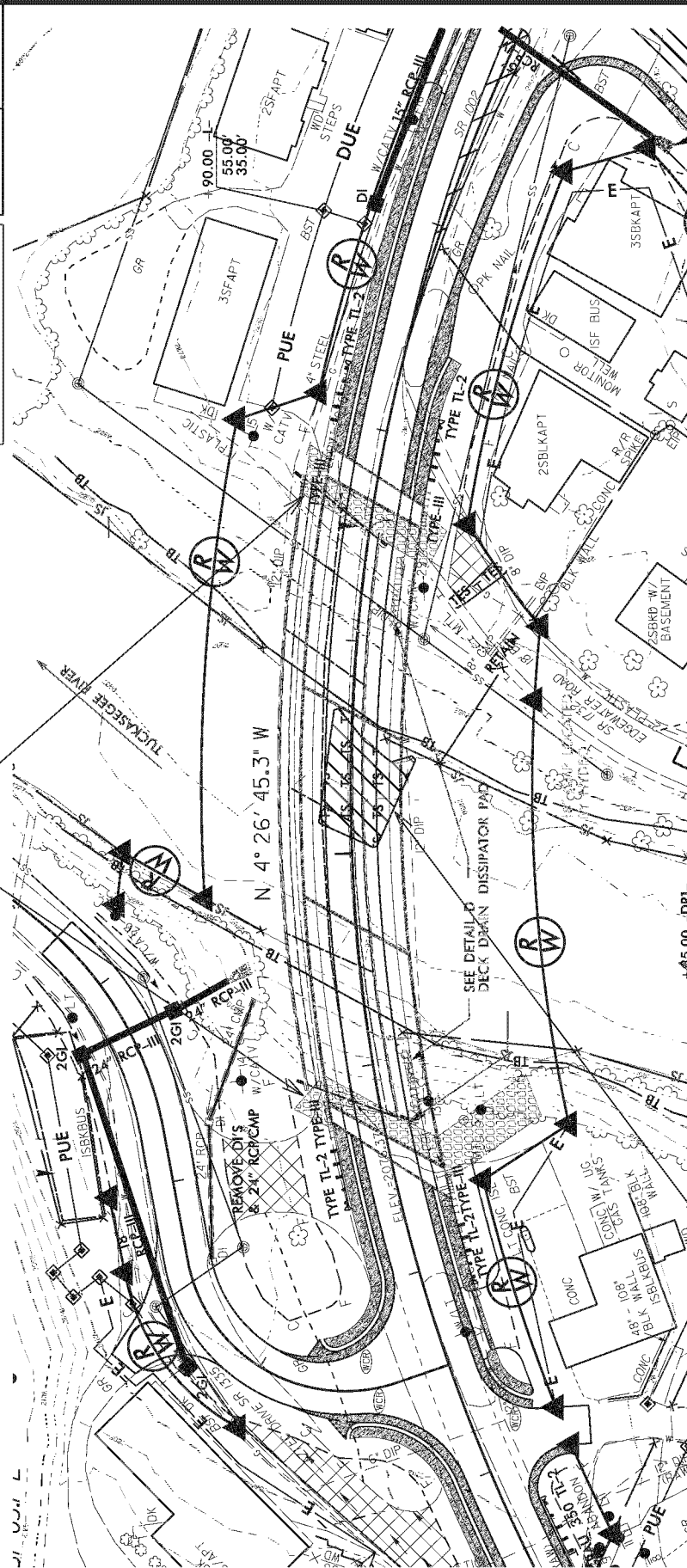
315+00

316+00

PROJECT REFERENCE NO.	SHEET NO.
B-4759	11
Normal/Design Engineer	HYDRAULICS ENGINEER
ROADWAY DESIGN ENGINEER	
PRELIMINARY PLANS DO NOT USE FOR CONSTRUCTION	

PERMIT DRAWING  
SHEET 3 OF 11

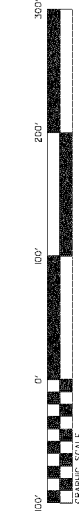
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shown in plan view for clarity.



PLAN VIEW

TEMP. WORK PAD  
PHASE 1A

18' DENOTES TEMPORARY  
IMPACTS IN SURFACE WATER



Scale: 1" = 50'







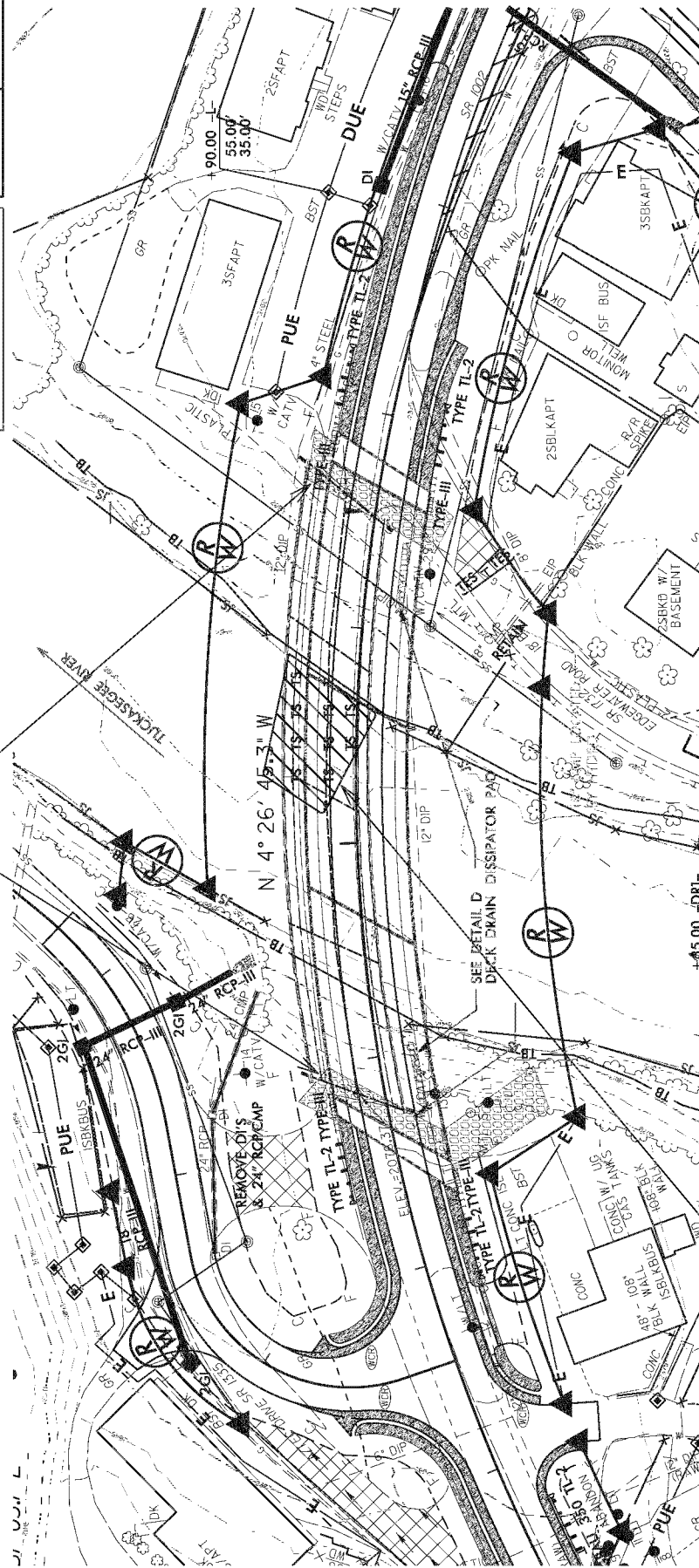






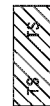
PERMIT DRAWING  
SHEET 7 OF 11

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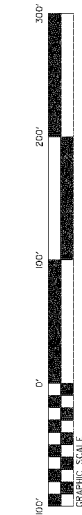


TEMP. WORK PAD  
PHASE 2A

# PLAN VIEW



DENOTES TEMPORARY  
IMPACTS IN SURFACE WATER



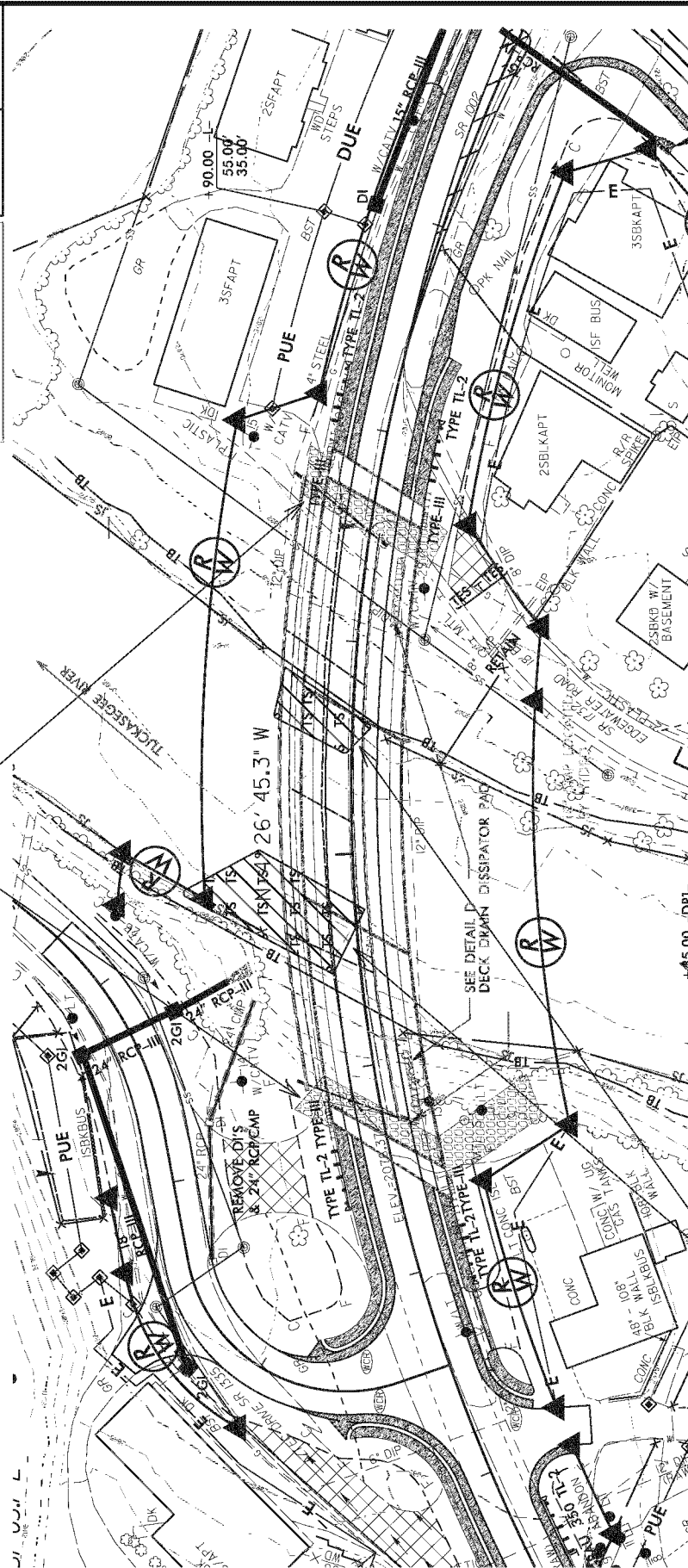
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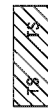
PERMIT DRAWING  
SHEET 9 OF 11

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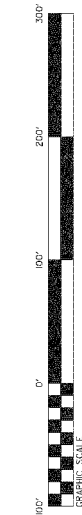


**TEMP. WORK PAD  
PHASE 2B**

## PLAN VIEW



DENOTES TEMPORARY  
IMPACTS IN SURFACE WATER



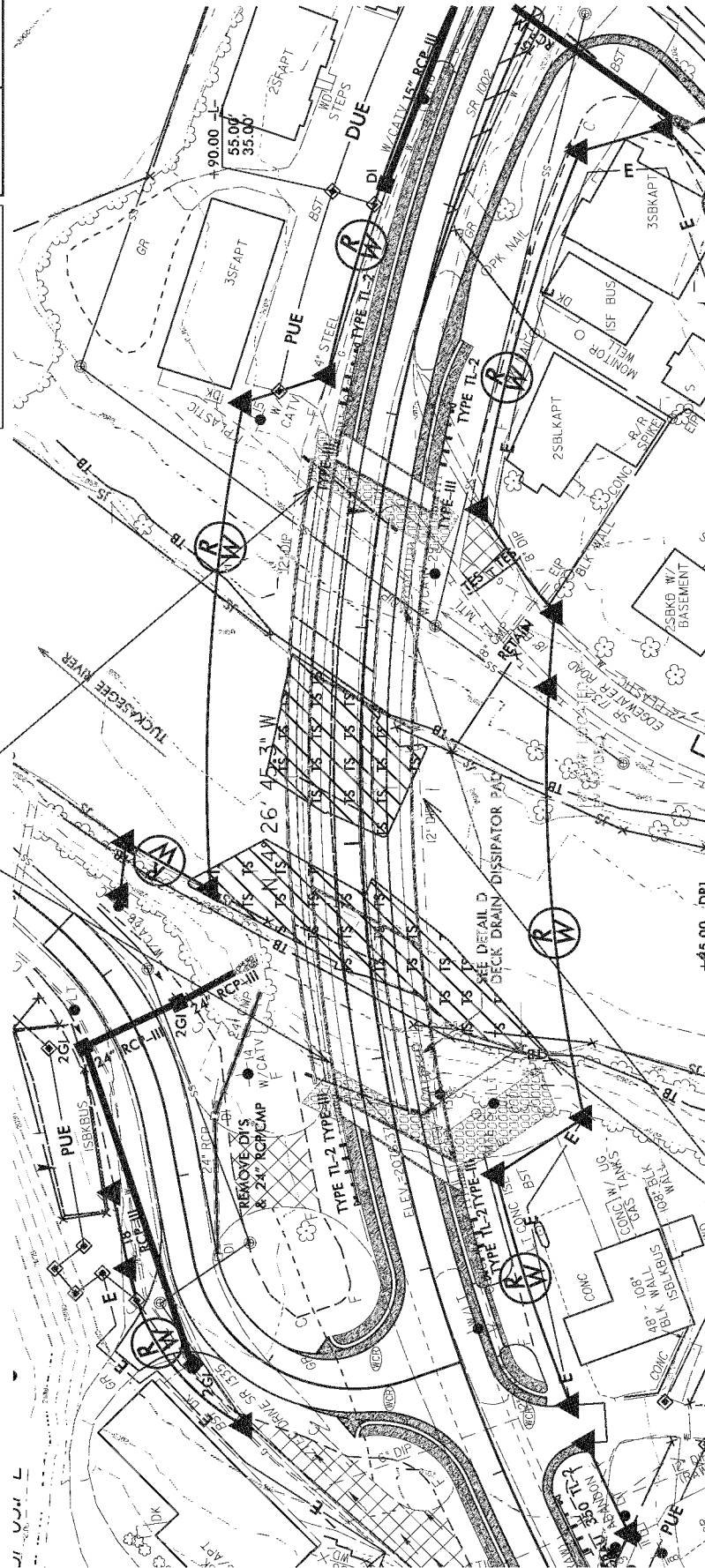
Scale: 1"=50'



PROJECT REFERENCE NO.	SHEET NO.
B-459	10
ROADWAY DESIGN ENGINEER	HYDRAULICS ENGINEER
PRELIMINARY PLANS	AS SHOWN FOR CONSTRUCTION

PERMIT DRAWING  
SHEET 10 OF 11

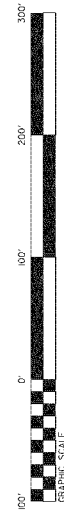
Excavation shown in profile view is not  
shown in plan view for clarity.



TOTAL COMBINED  
TEMPORARY IMPACTS

15' 15'  
DENOTES TEMPORARY  
IMPACTS IN SURFACE WATER

PLAN VIEW



Scale: 1" = 50'



WETLAND PERMIT IMPACT SUMMARY												
			WETLAND IMPACTS				SURFACE WATER IMPACTS					
Site No.	Station (From/To)	Structure Size / Type	Permanent Fill In Wetlands (ac)	Temp. Fill In Wetlands (ac)	Excavation in Wetlands (ac)	Mechanized Clearing in Wetlands (ac)	Hand Clearing in Wetlands (ac)	Permanent SW impacts (ac)	Temp. SW impacts (ac)	Existing Channel Impacts Permanent (ft)	Existing Channel Impacts Temp. (ft)	Natural Stream Design (ft)
1	20+07/20+68 -L-	Temp Workpad 1A							0.04			
1	18+87/20+70 -L-	Temp Workpad 1B							0.08			
1	20+14/20+86 -L-	Temp Workpad 2A							0.05			
1	19+45/20+86 -L-	Temp Workpad 2B							0.08			
1	18+87/20+86 -L-	Bank Stabilization									185	



# Tennessee Valley Authority

## Section 26a Approval

Permit # 262601

Reservoir Murphy - Off

Category 3

DOT Project # B-4159 Replace Bridge No. 108 over Tuckasegee River, Jackson County, NC

Name	Company	Address	Phone/Email
	North Carolina Department of Transportation	1581 Mail Service Center Raleigh NC 27699-1581	919-707-6514

Tract(s)

Subdivision/Lot(s)

Stream

Mile

Bank

Map Sheet(s)

Subdivision: N/A

175 Quad Sheet SW

The facilities and/or activities listed below are APPROVED subject to the plans and general and special conditions attached.

1. Bridge - Vehicular

Length (ft., in.): 280'; Width (ft., in.): 38'6"

This permit SUPERSEDES all previous TVA approvals at this location including permits approved under land record numbers:

TVA Representative:

Tammy W Mccoy

Date:

08-28-2014

May require review by U.S. Army Corps of Engineers (USACE). Plans have been forwarded to the USACE.

No construction shall commence until you have written approval or verification that no permit is required.

Applicant is also responsible for all local and state approvals that may be required relating to water quality.

No construction shall commence until you have written approval or verification that no permit is required.

## GENERAL AND STANDARD CONDITIONS

### Section 26a

#### General Conditions

- 1) You agree to make every reasonable effort to construct and operate the facility authorized herein in a manner so as to minimize any adverse impact on water quality, aquatic life, wildlife, vegetation, and natural environmental values.
- 2) This permit may be revoked by TVA by written notice if:
  - a) the structure is not completed in accordance with approved plans;
  - b) if in TVA's judgment the structure is not maintained in a good state of repair and in good, safe, and substantial condition;
  - c) the structure is abandoned;
  - d) the structure or work must be altered or removed to meet the requirements of future reservoir or land management operations of the United States or TVA;
  - e) TVA finds that the structure has an adverse effect upon navigation, flood control, or public lands or reservations;
  - f) all invoices related to this permit are not timely paid;
  - g) you no longer have sufficient property rights to maintain a structure at this location; or
  - h) a land use agreement (e.g., license, easement, lease) for use of TVA land at this location related to this permit expires, is terminated or cancelled, or otherwise ceases to be effective.
- 3) If this permit for this structure is revoked, you agree to remove the structure, at your expense, upon written notice from TVA. In the event you do not remove the structure within 30 days of written notice to do so, TVA shall have the right to remove or cause to have removed, the structure or any part thereof. You agree to reimburse TVA for all costs incurred in connection with removal.
- 4) In issuing this Approval of Plans, TVA makes no representations that the structures or work authorized or property used temporarily or permanently in connection therewith will not be subject to damage due to future operations undertaken by the United States and/or TVA for the conservation or improvement of navigation, for the control of floods, or for other purposes, or due to fluctuations in elevations of the water surface of the river or reservoir, and no claim or right to compensation shall accrue from any such damage. By the acceptance of this approval, applicant covenants and agrees to make no claim against TVA or the United States by reason of any such damage, and to indemnify and save harmless TVA and the United States from any and all claims by other persons arising out of any such damage.
- 5) In issuing this Approval of Plans, TVA assumes no liability and undertakes no obligation or duty (in tort, contract, strict liability or otherwise) to the applicant or to any third party for any damages to property (real or personal) or personal injuries (including death) arising out of or in any way connected with applicant's construction, operation, or maintenance of the facility which is the subject of this Approval of Plans.
- 6) This approval shall not be construed to be a substitute for the requirements of any federal, state, or local statute, regulation, ordinance, or code, including, but not limited to, applicable building codes, now in effect or hereafter enacted. State 401 water quality certification may apply.
- 7) The facility will not be altered, or modified, unless TVA's written approval has been obtained prior to commencing work.
- 8) You understand that covered second stories are prohibited by Section 1304.204 of the Section 26a Regulations.
- 9) You agree to notify TVA of any transfer of ownership of the approved structure to a third party. Third party is required to make application to TVA for permitting of the structure in their name (1304.10). Any permit which is not transferred within 60 days is subject to revocation.
- 10) You agree to stabilize all disturbed areas within 30 days of completion of the work authorized. All land-disturbing activities shall be conducted in accordance with Best Management Practices as defined by Section 208 of the Clean Water Act to control erosion and sedimentation to prevent adverse water quality and related aquatic impacts. Such practices shall be consistent with sound engineering and construction principles; applicable federal, state, and local statutes, regulations, or ordinances; and proven techniques for controlling erosion and sedimentation, including any required conditions under Section 6 of the Standard Conditions.
- 11) You agree not to use or permit the use of the premises, facilities, or structures for any purposes that will result in draining or dumping into the reservoir of any refuse, sewage, or other material in violation of applicable standards or requirements relating to pollution control of any kind now in effect or hereinafter established.



- 12) The Native American Graves Protection and Repatriation Act and the Archaeological Resources Protection Act apply to archaeological resources located on the premises of land connected to any application made unto TVA. If LESSEE (or licensee or grantee (for easement) or applicant (for 26a permit)) discovers human remains, funerary objects, sacred objects, objects of cultural patrimony, or any other archaeological resources on or under the premises, LESSEE (or licensee, grantee, or applicant) shall immediately stop activity in the area of the discovery, make a reasonable effort to protect the items, and notify TVA by telephone (865-228-1374). Work may not be resumed in the area of the discovery until approved by TVA.
- 13) You should contact your local government official(s) to ensure that this facility complies with all applicable local floodplain regulations.
- 14) You agree to abide by the conditions of the vegetation management plan. Unless otherwise stated on this permit, vegetation removal is prohibited on TVA land.
- 15) You agree to securely anchor all floating facilities to prevent them from floating free during major floods.
- 16) You are responsible for accurately locating your facility, and this authorization is valid and effective only if your facility is located as shown on your application or as otherwise approved by TVA in this permit. The facility must be located on land owned or leased by you, or on TVA land at a location approved by TVA.
- 17) You agree to allow TVA employees access to your water use facilities to ensure compliance with any TVA issued approvals.
- 18) It is understood that you own adequate property rights at this location. If at any time it is determined that you do not own sufficient property rights, or that you have only partial ownership rights in the land at this location, this permit may be revoked. TVA may require the applicant to provide appropriate verification of ownership.
- 19) In accordance with 18 CFR Part 1304.9, Approval for construction covered by this permit expires 18 months after the date of issuance unless construction has been initiated.

**Standard Conditions** (Only items that pertain to this request have been listed.)

**2) Ownership Rights**

- e) You recognize and understand that this authorization conveys no property rights, grants no exclusive license, and in no way restricts the general public's privilege of using shoreland owned by or subject to public access rights owned by TVA. It is also subject to any existing rights of third parties. Nothing contained in this approval shall be construed to detract or deviate from the rights of the United States and TVA held over this land under the Grant of Flowage Easement. This Approval of Plans does not give any property rights in real estate or material and does not authorize any injury to private property or invasion of private or public rights. It merely constitutes a finding that the facility, if constructed at the location specified in the plans submitted and in accordance with said plans, would not at this time constitute an obstruction unduly affecting navigation, flood control, or public lands or reservations.

**3) Shoreline Modification and Stabilization**

- c) Bank, shoreline, and floodplain stabilization will be permanently maintained in order to prevent erosion, protect water quality, and preserve aquatic habitat.

**5) Bridges and Culverts**

- d) All natural stream values (including equivalent energy dissipation, elevations, and velocities; riparian vegetation; riffle/pool sequencing; habitat suitable for fish and other aquatic life) must be provided at all stream modification sites. This must be accomplished using a combination of rock and bioengineering, and is not accomplished using solid, homogeneous riprap from bank to bank.
- e) You agree to remove demolition and construction by-products from the site for recycling if practicable, or proper disposal--outside of the 100-year floodplain. Appropriate BMPs will be used during the removal of any abandoned roadway or structures.

**6) Best Management Practices**

- a) You agree that removal of vegetation will be minimized, particularly any woody vegetation providing shoreline/streambank stabilization.
- b) You agree to installation of cofferdams and/or silt control structures between construction areas and surface waters prior to any soil-disturbing construction activity, and clarification of all water that accumulates behind these devices to meet state water quality criteria at the stream mile where activity occurs before it is returned to the unaffected portion of the stream. Cofferdams must be used wherever construction activity is at or below water elevation.
- d) You agree to keep equipment out of the reservoir or stream and off reservoir or stream banks, to the extent practicable (i.e., performing work "in the dry").

- e) You agree to avoid contact of wet concrete with the stream or reservoir, and avoid disposing of concrete washings, or other substances or materials, in those waters.
- f) You agree to use erosion control structures around any material stockpile areas.
- g) You agree to apply clean/shaken riprap or shot rock (where needed at water/bank interface) over a water permeable/soil impermeable fabric or geotextile and in such a manner as to avoid stream sedimentation or disturbance, or that any rock used for cover and stabilization shall be large enough to prevent washout and provide good aquatic habitat.
- h) You agree to remove, redistribute, and stabilize (with vegetation) all sediment which accumulates behind cofferdams or silt control structures.
- i) You agree to use vegetation (versus riprap) wherever practicable and sustainable to stabilize streambanks, shorelines, and adjacent areas. These areas will be stabilized as soon as practicable, using either an appropriate seed mixture that includes an annual (quick cover) as well as one or two perennial legumes and one or two perennial grasses, or sod. In winter or summer, this will require initial planting of a quick cover annual only, to be followed by subsequent establishment of the perennials. Seed and soil will be protected as appropriate with erosion control netting and/or mulch and provided adequate moisture. Streambank and shoreline areas will also be permanently stabilized with native woody plants, to include trees wherever practicable and sustainable (this vegetative prescription may be altered if dictated by geologic conditions or landowner requirements). You also agree to install or perform additional erosion control structures/techniques deemed necessary by TVA.

#### Additional Conditions

You should contact your local government official(s) to ensure that this facility complies with all applicable local floodplain regulations.

**U.S. ARMY CORPS OF ENGINEERS  
WILMINGTON DISTRICT**

Action ID. 2014-02330 TIP No. B-4159 Modification #1

County: Jackson

**GENERAL PERMIT (REGIONAL AND NATIONWIDE) VERIFICATION**

Property Owner / Authorized Agent: North Carolina Department of Transportation  
Attn: Mr. Richard W. Hancock, P.E.

Address: 1598 Mail Service Center  
Raleigh, North Carolina 27699-1598

Telephone No.: 919-707-6103

Size and location of property (water body, road name/number, town, etc.): The project is located at Bridge No. 108 over the Tuckasegee River on SR 1002 in Cullowhee, Jackson County, North Carolina.

Description of project area and activity: A Nationwide Permit (NWP) verification letter was issued by this office on January 14, 2015, and authorized NCDOT to impact waters of the U.S. (temporary work pads and bank stabilization) in order to replace Bridge No. 108/TIP No. B-4159. After issuance of the NWP verification letter, it was determined that the existing water line would have to be replaced, as it is closer to the bridge bents than previously believed, and will likely be destroyed during construction. Because of this, NCDOT must provide a temporary water line until the new line on the bridge is complete. NCDOT estimates that this temporary line will be in place for one year. The summary below reflects the additional impact to waters of the U.S. for the temporary water line, as well as the previously authorized impacts.

**Summary of Authorized Impacts and Required Mitigation**

Impact ID #	NWP/ GP #	Open Water (ac)		Wetland (ac)		Stream	
		Temporary	Permanent	Temporary	Permanent	Temporary	Permanent
Site 1 (Tuckasegee River)	<u>13 and 33</u>					<b>0.19 acre*</b> <b>(temporary work pads)</b>	<b>185 lf*</b> <b>(bank stabilization)</b>
Site 2 (Tuckasegee River)	<u>12</u>					<b>125 sq ft/0.003 acre</b> <b>(temporary water line)</b>	
Impact Totals		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.193 acre</b>	<b>185 lf</b>
Total Loss of Waters of the U.S. (ac)		<b>0</b>		Total Loss of Waters of the U.S. (lf)		<b>0</b>	
Required Wetland Mitigation (ac)		<b>0</b>		Required Stream Mitigation (lf)		<b>0</b>	

\*Post bridge construction, the work pad material will be removed except for the material along the banks; the material left in place will constitute the 185 lf of bank stabilization authorized above.

Applicable Law: ☒ Section 404 (Clean Water Act, 33 USC 1344)  
☐ Section 10 (Rivers and Harbors Act, 33 USC 403)

Authorization: Regional General Permit Number:  
Nationwide Permit Number: 12, 13, and 33

Your work is authorized by the above referenced permit provided it is accomplished in strict accordance with the attached conditions, your submitted plans, and the following special conditions:

**Special Conditions**

1. All work must be performed in strict compliance with the description of work and plans in the application dated July 16, 2014, and the project modification received on October 7, 2015 (temporary 12" water line). Any modification to the description of work and/or the permit plans must be approved by the USACE prior to implementation.
2. All tree clearing activities shall occur between October 15<sup>th</sup> and April 15<sup>th</sup> of any year.
3. Work shall be conducted in accordance with the attached letter from the U.S. Fish and Wildlife Service dated June 27, 2014.
4. The project must be implemented and/or conducted so that all reasonable and practicable measures to ensure that equipment, structures, fill pads, and work associated with the project do not adversely affect upstream and/or downstream reaches. Adverse effects include, but are not limited to, channel instability, flooding, and/or shoreline/streambank erosion. During construction, the permittee shall routinely monitor for these effects, cease all work if/when detected, take initial corrective measures to correct actively eroding areas, and notify the USACE immediately. Permanent corrective measures may require additional authorization from the USACE.
5. All conditions of the attached North Carolina Wildlife Resources Commission letter of August 22, 2014, are hereby incorporated as special conditions of this permit.
6. The permittee shall require its contractors and/or agents to comply with the terms and conditions of this authorization letter in the construction and maintenance of this project, and shall provide each of its contractors and/or agents associated with the construction or maintenance of this project with a copy of this authorization letter, all conditions, and any authorized modifications. A copy of this authorization letter, all conditions, and any authorized modifications, shall be available at the project site during construction and maintenance of this project.
7. This permit does not authorize temporary placement or double handling of excavated or fill material within waters or wetlands outside the permitted area.
8. The permittee will report any violation of these conditions or violations of Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act in writing to the Wilmington District, U. S Army Corps of Engineers, within 24 hours of the permittee's discovery of the violation.

Any violation of the attached conditions or deviation from your submitted plans may subject the permittee to a stop work order, a restoration order, a Class I administrative penalty, and/or appropriate legal action.

This verification will remain valid until the expiration date identified below unless the nationwide authorization is modified, suspended or revoked. If, prior to the expiration date identified below, the nationwide permit authorization is reissued and/or modified, this verification will remain valid until the expiration date identified below, provided it complies with all requirements of the modified nationwide permit. If the nationwide permit authorization expires or is suspended, revoked, or is modified, such that the activity would no longer comply with the terms and conditions of the nationwide permit, activities which have commenced (i.e., are under construction) or are under contract to commence in reliance upon the nationwide permit, will remain authorized provided the activity is completed within twelve months of the date of the nationwide permit's expiration, modification or revocation, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend or revoke the authorization.

Activities subject to Section 404 (as indicated above) may also require an individual Section 401 Water Quality Certification. You should contact the NC Division of Water Resources (telephone 919-807-6300) to determine Section 401 requirements.

For activities occurring within the twenty coastal counties subject to regulation under the Coastal Area Management Act (CAMA), prior to beginning work you must contact the N.C. Division of Coastal Management.

This Department of the Army verification does not relieve the permittee of the responsibility to obtain any other required Federal, State or local approvals/permits.

If there are any questions regarding this verification, any of the conditions of the Permit, or the Corps of Engineers regulatory program, please contact Lori Beckwith at 828-271-7980.

Corps Regulatory Official: Lori Beckwith

Date: **November 12, 2015**

Expiration Date of Verification: **March 18, 2017**

**Determination of Jurisdiction:**

- A. ☐ Based on preliminary information, there appear to be waters of the US including wetlands within the above described project area. This preliminary determination is not an appealable action under the Regulatory Program Administrative Appeal Process ( Reference 33 CFR Part 331).
- B. ☐ There are Navigable Waters of the United States within the above described project area subject to the permit requirements of Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.
- C. ☐ There are waters of the US and/or wetlands within the above described project area subject to the permit requirements of Section 404 of the Clean Water Act (CWA)(33 USC § 1344). Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.
- D. ☒ The jurisdictional areas within the above described project area have been identified previously in a Preliminary Jurisdictional Determination issued by the USACE on January 14, 2015, under this file number.
- E. Basis of Jurisdictional Determination:** A basis is not required for a PJD, however the stream channel in the project area is the Tuckasegee River, which is a TNW. The Tuckasegee River flows to Lake Fontana. Lake Fontana is a water of the U.S. regulated pursuant to Section 10 of the Rivers and Harbors Act of 1899. This PJD applies to the impact areas only.

**Attention USDA Program Participants**

This delineation/determination has been conducted to identify the limits of Corps' Clean Water Act jurisdiction for the particular site identified in this request. The delineation/determination may not be valid for the wetland conservation provisions of the Food Security Act of 1985. If you or your tenant are USDA Program participants, or anticipate participation in USDA programs, you should request a certified wetland determination from the local office of the Natural Resources Conservation Service, prior to starting work.

Corps Regulatory Official: Lori Beckwith

PJD was issued on: January 14, 2015

Expiration Date: N/A for PJD

Copies furnished by email:

USFWS – Mr. Jason Mays and Mr. Andrew Henderson

NCDOT - Mr. Michael Turchy and Ms. Carla Dagnino

Permit Number: 2014-02330 TIP No. B-4159 Modification #1

Permit Type: NW12, 13, and 33

Name of County: Jackson

Name of Permittee: North Carolina Department of Transportation  
Attn: Richard W. Hancock, P.E.

Date of Issuance: November 11, 2015

Project Manager: Lori Beckwith

Upon completion of the activity authorized by this permit and any mitigation required by the permit, sign this certification and return it to the following address:

U.S. Army Corps of Engineers  
Attention: CESAW-RG-A  
151 Patton Avenue, Room 208  
Asheville, North Carolina 28801-5006

Please note that your permitted activity is subject to a compliance inspection by a U. S. Army Corps of Engineers representative. Failure to comply with any terms or conditions of this authorization may result in the Corps suspending, modifying or revoking the authorization and/or issuing a Class I administrative penalty, or initiating other appropriate legal action.

I hereby certify that the work authorized by the above referenced permit has been completed in accordance with the terms and conditions of the said permit, and required mitigation was completed in accordance with the permit conditions.

---

Signature of Permittee

---

Date

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
ROADWAY ITEMS						
0001	0000100000-N	800	MOBILIZATION	Lump Sum	L.S.	
0002	0000400000-N	801	CONSTRUCTION SURVEYING	Lump Sum	L.S.	
0003	0001000000-E	200	CLEARING & GRUBBING .. ACRE(S)	Lump Sum	L.S.	
0004	0008000000-E	200	SUPPLEMENTARY CLEARING & GRUB-BING	1 ACR		
0005	0022000000-E	225	UNCLASSIFIED EXCAVATION	128,800 CY		
0006	0030000000-N	SP	BRIDGE APPROACH FILL - SUB REGIONAL TIER, STATION ***** (20+16.00 -L-)	Lump Sum	L.S.	
0007	0036000000-E	225	UNDERCUT EXCAVATION	200 CY		
0008	0156000000-E	250	REMOVAL OF EXISTING ASPHALT PAVEMENT	4,470 SY		
0009	0163000000-E	250	REMOVAL OF EXISTING CONCRETE PAVEMENT	80 SY		
0010	0177000000-E	250	BREAKING OF EXISTING ASPHALT PAVEMENT	620 SY		
0011	0195000000-E	265	SELECT GRANULAR MATERIAL	100 CY		
0012	0196000000-E	270	GEOTEXTILE FOR SOIL STABILIZA- TION	1,400 SY		
0013	0199000000-E	SP	TEMPORARY SHORING	1,034 SF		
0014	0318000000-E	300	FOUNDATION CONDITIONING MATE- RIAL, MINOR STRUCTURES	276 TON		
0015	0320000000-E	300	FOUNDATION CONDITIONING GEO- TEXTILE	1,186 SY		
0016	0366000000-E	310	15" RC PIPE CULVERTS, CLASS III	356 LF		
0017	0372000000-E	310	18" RC PIPE CULVERTS, CLASS III	452 LF		
0018	0378000000-E	310	24" RC PIPE CULVERTS, CLASS III	352 LF		



County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0019	0390000000-E	310	36" RC PIPE CULVERTS, CLASS III	116 LF		
0020	0448200000-E	310	15" RC PIPE CULVERTS, CLASS IV	196 LF		
0021	0582000000-E	310	15" CS PIPE CULVERTS, 0.064" THICK	88 LF		
0022	0995000000-E	340	PIPE REMOVAL	278 LF		
0023	0996000000-N	350	PIPE CLEAN-OUT	1 EA		
0024	1011000000-N	500	FINE GRADING	Lump Sum	L.S.	
0025	1099500000-E	505	SHALLOW UNDERCUT	680 CY		
0026	1099700000-E	505	CLASS IV SUBGRADE STABILIZATION	1,229 TON		
0027	1121000000-E	520	AGGREGATE BASE COURSE	21 TON		
0028	1220000000-E	545	INCIDENTAL STONE BASE	120 TON		
0029	1231000000-E	560	SHOULDER BORROW	120 CY		
0030	1330000000-E	607	INCIDENTAL MILLING	470 SY		
0031	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	2,000 TON		
0032	1498000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	1,550 TON		
0033	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	1,510 TON		
0034	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	255 TON		
0035	1693000000-E	654	ASPHALT PLANT MIX, PAVEMENT REPAIR	10 TON		
0036	1869000000-E	710	*****11 PORT CEM CONC PAVEMENT, MISCELLANEOUS (WITHOUT DOWELS) (6")	80 SY		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0037	2022000000-E	815	SUBDRAIN EXCAVATION	22.4 CY		
0038	2033000000-E	815	SUBDRAIN FINE AGGREGATE	16.8 CY		
0039	2044000000-E	815	6" PERFORATED SUBDRAIN PIPE	100 LF		
0040	2070000000-N	815	SUBDRAIN PIPE OUTLET	1 EA		
0041	2077000000-E	815	6" OUTLET PIPE	6 LF		
0042	2190000000-N	828	TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE	1 EA		
0043	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	17 EA		
0044	2308000000-E	840	MASONRY DRAINAGE STRUCTURES	9.38 LF		
0045	2364000000-N	840	FRAME WITH TWO GRATES, STD 840.16	5 EA		
0046	2366000000-N	840	FRAME WITH TWO GRATES, STD 840.24	10 EA		
0047	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	1 EA		
0048	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)	1 EA		
0049	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)	1 EA		
0050	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA		
0051	2535000000-E	846	***X *** CONCRETE CURB (8" X 18")	730 LF		
0052	2549000000-E	846	2'-6" CONCRETE CURB & GUTTER	1,230 LF		
0053	2591000000-E	848	4" CONCRETE SIDEWALK	850 SY		
0054	2605000000-N	848	CONCRETE CURB RAMP	4 EA		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0055	2612000000-E	848	6" CONCRETE DRIVEWAY	260 SY		
0056	3360000000-E	863	REMOVE EXISTING GUARDRAIL	512.5 LF		
0057	3420000000-E	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS	725 LF		
0058	3420000000-E	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS, SHOP CURVED	37.5 LF		
0059	3435000000-N	SP	GENERIC GUARDRAIL ITEM PAINTED GUARDRAIL ANCHOR UNITS, TYPE 350 TL-2	6 EA		
0060	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING SBGR ANCHOR UNITS, TYPE III WITH PAINTED LAPS	4 EA		
0061	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL ADDITIONAL GUARDRAIL POSTS	10 EA		
0062	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL TERMINAL SECTIONS WITH PAINTED LAPS	3 EA		
0063	3628000000-E	876	RIP RAP, CLASS I	29 TON		
0064	3649000000-E	876	RIP RAP, CLASS B	15 TON		
0065	3656000000-E	876	GEOTEXTILE FOR DRAINAGE	1,641 SY		
0066	4072000000-E	903	SUPPORTS, 3-LB STEEL U-CHANNEL	310 LF		
0067	4096000000-N	904	SIGN ERECTION, TYPE D	2 EA		
0068	4102000000-N	904	SIGN ERECTION, TYPE E	24 EA		
0069	4116100000-N	904	SIGN ERECTION, RELOCATE, TYPE **** (GROUND MOUNTED) (E)	1 EA		
0070	4155000000-N	907	DISPOSAL OF SIGN SYSTEM, U- CHANNEL	20 EA		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0071	4400000000-E	1110	WORK ZONE SIGNS (STATIONARY)	114 SF		
0072	4405000000-E	1110	WORK ZONE SIGNS (PORTABLE)	322 SF		
0073	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	70 SF		
0074	4420000000-N	1120	PORTABLE CHANGEABLE MESSAGE SIGN	2 EA		
0075	4430000000-N	1130	DRUMS	100 EA		
0076	4445000000-E	1145	BARRICADES (TYPE III)	56 LF		
0077	4450000000-N	1150	FLAGGER	640 HR		
0078	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	2 EA		
0079	4470000000-N	1160	RESET TEMPORARY CRASH CUSHION	2 EA		
0080	4490000000-E	1170	PORTABLE CONCRETE BARRIER (ANCHORED)	500 LF		
0081	4505000000-E	1170	RESET PORTABLE CONCRETE BARRIER (ANCHORED)	500 LF		
0082	4650000000-N	1251	TEMPORARY RAISED PAVEMENT MARKERS	222 EA		
0083	4695000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	157 LF		
0084	4697000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 120 MILS)	223 LF		
0085	4710000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	116 LF		
0086	4725000000-E	1205	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	22 EA		
0087	4770000000-E	1205	COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (IV)	1,944 LF		
0088	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	16,759 LF		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0089	4820000000-E	1205	PAINT PAVEMENT MARKING LINES (8")	115 LF		
0090	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	202 LF		
0091	4845000000-N	1205	PAINT PAVEMENT MARKING SYMBOL	4 EA		
0092	4847000000-E	1205	POLYUREA PAVEMENT MARKING LINES (4", *****) (HIGHLY REFLECTIVE ELEMENTS)	11,206 LF		
0093	4850000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (4")	4,000 LF		
0094	4870000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (24")	50 LF		
0095	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	19 EA		
0096	5325000000-E	1510	*** WATER LINE (1")	150 LF		
0097	5325200000-E	1510	2" WATER LINE	264 LF		
0098	5325600000-E	1510	6" WATER LINE	375 LF		
0099	5325800000-E	1510	8" WATER LINE	200 LF		
0100	5326000000-E	1510	10" WATER LINE	40 LF		
0101	5326200000-E	1510	12" WATER LINE	437 LF		
0102	5326600000-E	1510	16" WATER LINE	461 LF		
0103	5534000000-E	1515	*** VALVE (1")	1 EA		
0104	5536000000-E	1515	2" VALVE	1 EA		
0105	5540000000-E	1515	6" VALVE	1 EA		
0106	5546000000-E	1515	8" VALVE	4 EA		
0107	5552000000-E	1515	10" VALVE	1 EA		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0108	5558000000-E	1515	12" VALVE	2 EA		
0109	5648000000-N	1515	RELOCATE WATER METER	5 EA		
0110	5649000000-N	1515	RECONNECT WATER METER	3 EA		
0111	5666000000-E	1515	FIRE HYDRANT	1 EA		
0112	5691300000-E	1520	8" SANITARY GRAVITY SEWER	187 LF		
0113	5768000000-N	1520	SANITARY SEWER CLEAN-OUT	5 EA		
0114	5775000000-E	1525	4' DIA UTILITY MANHOLE	3 EA		
0115	5801000000-E	1530	ABANDON 8" UTILITY PIPE	62 LF		
0116	5804000000-E	1530	ABANDON 12" UTILITY PIPE	754 LF		
0117	5815500000-N	1530	REMOVE FIRE HYDRANT	1 EA		
0118	5828000000-N	1530	REMOVE UTILITY MANHOLE	1 EA		
0119	5882000000-N	SP	GENERIC UTILITY ITEM 16" VALVE	5 EA		
0120	5888000000-E	SP	GENERIC UTILITY ITEM 12" RIVER CROSSING WATER PIPE	135 LF		
0121	5912000000-N	SP	GENERIC UTILITY ITEM CONTROL VALVE UTILITY VAULT	Lump Sum	L.S.	
0122	5912000000-N	SP	GENERIC UTILITY ITEM REMOVE EXISTING CONTROL VALVE AND VAULT	Lump Sum	L.S.	
0123	6000000000-E	1605	TEMPORARY SILT FENCE	5,410 LF		
0124	6006000000-E	1610	STONE FOR EROSION CONTROL, CLASS A	165 TON		
0125	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	1,750 TON		
0126	6012000000-E	1610	SEDIMENT CONTROL STONE	1,280 TON		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0127	6015000000-E	1615	TEMPORARY MULCHING	9 ACR		
0128	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	600 LB		
0129	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEED- ING	3 TON		
0130	6024000000-E	1622	TEMPORARY SLOPE DRAINS	200 LF		
0131	6029000000-E	SP	SAFETY FENCE	1,000 LF		
0132	6030000000-E	1630	SILT EXCAVATION	1,550 CY		
0133	6036000000-E	1631	MATting FOR EROSION CONTROL	18,000 SY		
0134	6037000000-E	SP	COIR FIBER MAT	1,100 SY		
0135	6038000000-E	SP	PERMANENT SOIL REINFORCEMENT MAT	500 SY		
0136	6042000000-E	1632	1/4" HARDWARE CLOTH	600 LF		
0137	6048000000-E	SP	FLOATING TURBIDITY CURTAIN	125 SY		
0138	6070000000-N	1639	SPECIAL STILLING BASINS	16 EA		
0139	6071010000-E	SP	WATTLE	200 LF		
0140	6071020000-E	SP	POLYACRYLAMIDE (PAM)	150 LB		
0141	6084000000-E	1660	SEEDING & MULCHING	9 ACR		
0142	6087000000-E	1660	MOWING	3 ACR		
0143	6090000000-E	1661	SEED FOR REPAIR SEEDING	100 LB		
0144	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	0.5 TON		
0145	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	425 LB		
0146	6108000000-E	1665	FERTILIZER TOPDRESSING	12.25 TON		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0147	6114500000-N	1667	SPECIALIZED HAND MOWING	10 MHR		
0148	6117000000-N	SP	RESPONSE FOR EROSION CONTROL	25 EA		
0149	6123000000-E	1670	REFORESTATION	0.1 ACR		
0150	6132000000-N	SP	GENERIC EROSION CONTROL ITEM CONCRETE WASHOUT STRUCTURE	4 EA		
0151	7048500000-E	1705	PEDESTRIAN SIGNAL HEAD (16", 1 SECTION W/COUNTDOWN)	4 EA		
0152	7060000000-E	1705	SIGNAL CABLE	2,510 LF		
0153	7120000000-E	1705	VEHICLE SIGNAL HEAD (12", 3 SECTION)	14 EA		
0154	7132000000-E	1705	VEHICLE SIGNAL HEAD (12", 4 SECTION)	4 EA		
0155	7264000000-E	1710	MESSENGER CABLE (3/8")	1,250 LF		
0156	7300000000-E	1715	UNPAVED TRENCHING (***** (1, 2"))	350 LF		
0157	7300100000-E	1715	UNPAVED TRENCHING FOR TEMP- ORARY LEAD-IN	310 LF		
0158	7301000000-E	1715	DIRECTIONAL DRILL (***** (3, 2"))	140 LF		
0159	7324000000-N	1716	JUNCTION BOX (STANDARD SIZE)	12 EA		
0160	7360000000-N	1720	WOOD POLE	10 EA		
0161	7372000000-N	1721	GUY ASSEMBLY	8 EA		
0162	7408000000-E	1722	1" RISER WITH WEATHERHEAD	1 EA		
0163	7420000000-E	1722	2" RISER WITH WEATHERHEAD	5 EA		
0164	7444000000-E	1725	INDUCTIVE LOOP SAWCUT	1,150 LF		
0165	7456000000-E	1726	LEAD-IN CABLE (***** (14-2)	2,640 LF		



County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0166	7590000000-N	SP	METAL POLE WITH DUAL MAST ARM	2 EA		
0167	7613000000-N	SP	SOIL TEST	2 EA		
0168	7614100000-E	SP	DRILLED PIER FOUNDATION	10 CY		
0169	7631000000-N	SP	MAST ARM WITH METAL POLE DE-SIGN	2 EA		
0170	7636000000-N	1745	SIGN FOR SIGNALS	4 EA		
0171	7642100000-N	1743	TYPE I POST WITH FOUNDATION	4 EA		
0172	7642200000-N	1743	TYPE II PEDESTAL WITH FOUNDATION	1 EA		
0173	7648000000-N	1746	RELOCATE EXISTING SIGN	1 EA		
0174	7684000000-N	1750	SIGNAL CABINET FOUNDATION	1 EA		
0175	7756000000-N	1751	CONTROLLER WITH CABINET (TYPE 2070L, BASE MOUNTED)	1 EA		
0176	7768000000-N	1751	CONTROLLER WITH CABINET (TYPE 2070L, POLE MOUNTED)	1 EA		
0177	7780000000-N	1751	DETECTOR CARD (TYPE 2070L)	8 EA		
0178	7901000000-N	1753	CABINET BASE EXTENDER	1 EA		
<b>STRUCTURE ITEMS</b>						
0179	8017000000-N	SP	CONSTRUCTION, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ***** (20+16.00 -L-)	Lump Sum	L.S.	
0180	8035000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION ***** (20+16.00 -L-)	Lump Sum	L.S.	
0181	8105560000-E	411	4'-0" DIA DRILLED PIERS IN SOIL	100 LF		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0182	8105660000-E	411	4'-0" DIA DRILLED PIERS NOT IN SOIL	100 LF		
0183	8111600000-E	411	PERMANENT STEEL CASING FOR 4'-0" DIA DRILLED PIER	97.3 LF		
0184	8115000000-N	411	CSL TESTING	1 EA		
0185	8121000000-N	412	UNCLASSIFIED STRUCTURE EXCAVATION AT STATION ***** (20+16.00 -L-)	Lump Sum	L.S.	
0186	8147000000-E	420	REINFORCED CONCRETE DECK SLAB	14,751 SF		
0187	8161000000-E	420	GROOVING BRIDGE FLOORS	11,427 SF		
0188	8182000000-E	420	CLASS A CONCRETE (BRIDGE)	267.2 CY		
0189	8210000000-N	422	BRIDGE APPROACH SLABS, STATION ***** (20+16.00 -L-)	Lump Sum	L.S.	
0190	8217000000-E	425	REINFORCING STEEL (BRIDGE)	57,121 LB		
0191	8238000000-E	425	SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	7,948 LB		
0192	8265000000-E	430	54" PRESTRESSED CONCRETE GIRDERS	1,756.8 LF		
0193	8364000000-E	450	HP12X53 STEEL PILES	1,420 LF		
0194	8391000000-N	450	STEEL PILE POINTS	26 EA		
0195	8392500000-E	450	PREDRILLING FOR PILES	500 LF		
0196	8475000000-E	460	TWO BAR METAL RAIL	562.7 LF		
0197	8517000000-E	460	1'-***X ***** CONCRETE PARAPET (1'-3 1/2" X 2'-6")	595.27 LF		
0198	8608000000-E	876	RIP RAP CLASS II (2'-0" THICK)	300 TON		
0199	8622000000-E	876	GEOTEXTILE FOR DRAINAGE	334 SY		

County : Jackson

Line #	Item Number	Sec #	Description	Quantity	Unit Cost	Amount
0200	8657000000-N	430	ELASTOMERIC BEARINGS	Lump Sum	L.S.	
0201	8692000000-N	SP	FOAM JOINT SEALS	Lump Sum	L.S.	
0202	8734000000-N	SP	ELECTRICAL CONDUIT SYSTEM AT STA***** (20+16.00 -L-)	Lump Sum	L.S.	
0203	8860000000-N	SP	GENERIC STRUCTURE ITEM 16" RESTRAINED JOINT WATER PIPE INSTALLED ON BRIDGE	Lump Sum	L.S.	
0204	8860000000-N	SP	GENERIC STRUCTURE ITEM APPLICATION OF BRIDGE COATING	Lump Sum	L.S.	
0205	8892000000-E	SP	GENERIC STRUCTURE ITEM ARCHITECTURAL CONCRETE SURFACE TREATMENT	3,200.5 SF		

1329/Nov02/Q337321.6/D996118050000/E205

Total Amount Of Bid For Entire Project :

Vendor 1 of 8: NHM CONSTRUCTORS, LLC (11870)  
Call Order 007 (Proposal: C203498)

### Bid Information

---

**Proposal County:** JACKSON

**Vendor Address:** PO Box 6385  
Asheville , NC , 28816

**Signature Check:** William\_Newman\_11870

**Time Bid Received:** December 15, 2015 01:54 PM

**Amendment Count:** 0

**Bid Checksum:** 4CE1C3A2

**Bid Total:** \$6,587,787.58

**Items Total:** \$6,587,787.58

**Time Total:** \$0.00

**Bidding Errors:**

DBE Warning : DBE Warning: DBE Commitment Goal not met

DBE Goal Set 7.0

DBE Goal Obt. 2.9

Vendor 1 of 8: NHM CONSTRUCTORS, LLC (11870)  
Call Order 007 (Proposal: C203498)

Bid Bond Information

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<b>Projects:</b>	<b>Bond Maximum:</b>
<b>Counties:</b>	<b>State of Incorporation:</b>
<b>Bond ID:</b> ERX7-ARPP-Y87D-5E2H	<b>Agency Execution Date:</b> 12/15/2015
<b>Paid by Check:</b> No	<b>Surety Name:</b> SurePathNetwork
<b>Bond Percent:</b> 5%	<b>Bond Agency Name:</b> Philadelphia Indemnity Insurance Company

Bidder 1 of 8

Vendor 11870's Bid Information for Call 007, Letting L151215, 12/15/15

NHM Constructors, LLC (11870)  
 Call Order 007 (Proposal ID C203498)

## LIST OF DBE PARTICIPANTS

VENDOR NUMBER	DBE NAME ADDRESS	WORK CODE TYPE OF WORK	CERT TYPE AMOUNT	
6101WB	DMJ'S INCORPORATED P.O. BOX 924 , ROBBINSVILLE, NC 28771		Sub	90,795.00 Committed
15178MB	ESPINOZA HAULING & TRUCKING CO 25 HOLLY HILL ROAD , BRYSON CITY, NC 28713		Sub	41,356.10 Committed
4898WB	BULLINGTON CONSTRUCTION INC 417 FOXGLOVE LANE , INDIAN TRAIL, NC 28079		Sub	52,491.25 Committed
3765WB	STAY ALERT SAFETY SERVICES INC POST OFFICE BOX 467 , KERNERSVILLE, NC 27285		Sub	8,920.20 Committed
			TOTAL:	\$193,562.55 2.94%

Vendor 11870's Bid Information for Call 007, Letting L151215, 12/15/15

NHM Constructors, LLC (11870)  
 Call Order 007 (Proposal ID C203498)

## Miscellaneous Data Info - Contractor Responses:

=====

## NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

## Explanation:

NOT ANSWERED  
 NOT ANSWERED  
 NOT ANSWERED  
 NOT ANSWERED

## AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowledges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number	County
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	

Bidder 1 of 8

## Bid Bond Data Info - Contractor Responses:

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BondID: ERX7-ARPP-Y87D-5E2H

Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Philadelphia Indemnity Insurance Company

Bond Execution Date: 12/15/2015

Bond Amount: \$329,389.38 (Five Percent of Bid)

State of NC  
Dept of Transportation

Date: 11-17-15  
Revised:

Contract ID: C203498

Project(s): BRZ-1002(13)

Letting Date: 12-15-15 Call Order: 007

Bidder: 11870 - NHM Constructors, LLC

Line	Item	Approx.	Unit Price	Bid Amount
No.	Description	Quantity	Dollars   Cts	Dollars   Ct
		and Units		
Section 0001 ROADWAY ITEMS				
Alt Group				
0001	0000100000-N MOBILIZATIO	LUMP	LUMP	329,332.00
0002	0000400000-N CONSTRUCTIO	LUMP	LUMP	40,000.00
	N SURVEYING			
0003	0001000000-E CLEARING &	LUMP	LUMP	54,620.00
	GRUBBING .. ACRE(S)			
0004	0008000000-E SUPPLEMENTA	1.000	7,352.00000	7,352.00
	RY CLEARING & GRUB-BING	ACR		
0005	0022000000-E UNCLASSIFIE	128,800.000	9.69000	1,248,072.00
	D EXCAVATION	CY		
0006	0030000000-N BRIDGE	LUMP	LUMP	70,000.00
	APPROACH FILL - SUB			
	REGIONAL TIER, STATION			
	***** (20+16.00 -L-)			
0007	0036000000-E UNDERCUT	200.000	15.00000	3,000.00
	EXCAVATION	CY		
0008	0156000000-E REMOVAL OF	4,470.000	4.10000	18,327.00
	EXISTING ASPHALT	SY		
	PAVEMENT			
0009	0163000000-E REMOVAL OF	80.000	9.70000	776.00
	EXISTING CONCRETE	SY		
	PAVEMENT			
0010	0177000000-E BREAKING OF	620.000	3.20000	1,984.00
	EXISTING ASPHALT			
	PAVEMENT	SY		



State of NC  
Dept of Transportation

Date: 11-17-15  
Revised:

Contract ID: C203498

Project(s): BRZ-1002(13)

Letting Date: 12-15-15 Call Order: 007

Bidder: 11870 - NHM Constructors, LLC

Line No.	Item Description	Approx. Quantity and Units	Unit Price Dollars   Cts	Bid Amount Dollars   Cts
0011	0195000000-E SELECT GRANULAR MATERIAL	100.000 CY	61.00000	6,100.00
0012	0196000000-E GEOTEXTILE FOR SOIL STABILIZA-TION	1,400.000 SY	4.10000	5,740.00
0013	0199000000-E TEMPORARY SHORING	1,034.000 SF	75.00000	77,550.00
0014	0318000000-E FOUNDATION CONDITIONING MATE- RIAL, MINOR STRUCTURES	276.000 TON	37.90000	10,460.40
0015	0320000000-E FOUNDATION CONDITIONING GEO- TEXTILE	1,186.000 SY	4.40000	5,218.40
0016	0366000000-E 15" RC PIPE CULVERTS, CLASS III	356.000 LF	43.70000	15,557.20
0017	0372000000-E 18" RC PIPE CULVERTS, CLASS III	452.000 LF	48.40000	21,876.80
0018	0378000000-E 24" RC PIPE CULVERTS, CLASS III	352.000 LF	59.40000	20,908.80
0019	0390000000-E 36" RC PIPE CULVERTS, CLASS III	116.000 LF	85.10000	9,871.60
0020	0448200000-E 15" RC PIPE CULVERTS, CLASS IV	196.000 LF	45.00000	8,820.00
0021	0582000000-E 15" CS PIPE CULVERTS, 0.064" THICK	88.000 LF	45.10000	3,968.80
0022	0995000000-E PIPE REMOVAL	278.000 LF	12.80000	3,558.40

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0023	0996000000-N PIPE CLEAN-OUT	1.000 EA	1,200.00000	1,200.00
0024	1011000000-N FINE GRADING	LUMP	LUMP	28,512.00
0025	1099500000-E SHALLOW UNDERCUT	680.000 CY	10.50000	7,140.00
0026	1099700000-E CLASS IV SUBGRADE STABILIZATION	1,229.000 TON	23.10000	28,389.90
0027	1121000000-E AGGREGATE BASE COURSE	21.000 TON	49.70000	1,043.70
0028	1220000000-E INCIDENTAL STONE BASE	120.000 TON	30.70000	3,684.00
0029	1231000000-E SHOULDER BORROW	120.000 CY	8.50000	1,020.00
0030	1330000000-E INCIDENTAL MILLING	470.000 SY	12.75000	5,992.50
0031	1489000000-E ASPHALT CONC BASE COURSE, TYPE B25.0B	2,000.000 TON	74.00000	148,000.00
0032	1498000000-E ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	1,550.000 TON	74.00000	114,700.00
0033	1519000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5B	1,510.000 TON	80.00000	120,800.00
0034	1575000000-E ASPHALT BINDER FOR PLANT MIX	255.000 TON	500.00000	127,500.00

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No.	Description	Quantity	Dollars   Cts	Dollars   Cts
		and Units		
0035	1693000000-E ASPHALT PLANT MIX, PAVEMENT REPAIR	10.000 TON	300.00000	3,000.00
0036	1869000000-E ***** PORT CEM CONC PAVEMENT, MISCELLANEOUS (WITHOUT DOWELS) (6")	80.000 SY	58.00000	4,640.00
0037	2022000000-E SUBDRAIN EXCAVATION	22.400 CY	9.00000	201.60
0038	2033000000-E SUBDRAIN FINE AGGREGATE	16.800 CY	52.30000	878.64
0039	2044000000-E 6" PERFORATED SUBDRAIN PIPE	100.000 LF	12.50000	1,250.00
0040	2070000000-N SUBDRAIN PIPE OUTLET	1.000 EA	272.70000	272.70
0041	2077000000-E 6" OUTLET PIPE	6.000 LF	15.20000	91.20
0042	2190000000-N TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE	1.000 EA	1,418.00000	1,418.00
0043	2286000000-N MASONRY DRAINAGE STRUCTURES	17.000 EA	1,540.00000	26,180.00
0044	2308000000-E MASONRY DRAINAGE STRUCTURES	9.380 LF	263.40000	2,470.69
0045	2364000000-N FRAME WITH TWO GRATES, STD 840.16	5.000 EA	526.00000	2,630.00

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0046	2366000000-N FRAME WITH TWO GRATES, STD 840.24	10.000	457.00000	4,570.00
	EA			
0047	2367000000-N FRAME WITH TWO GRATES, STD 840.29	1.000	481.00000	481.00
	EA			
0048	2374000000-N FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)	1.000	615.00000	615.00
	EA			
0049	2374000000-N FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)	1.000	647.00000	647.00
	EA			
0050	2396000000-N FRAME WITH COVER, STD 840.54	1.000	409.00000	409.00
	EA			
0051	2535000000-E **"X **" CONCRETE CURB (8" X 18")	730.000	29.00000	21,170.00
	LF			
0052	2549000000-E 2'-6" CONCRETE CURB & GUTTER	1,230.000	25.95000	31,918.50
	LF			
0053	2591000000-E 4" CONCRETE SIDEWALK	850.000	45.00000	38,250.00
	SY			
0054	2605000000-N CONCRETE CURB RAMP	4.000	2,500.00000	10,000.00
	EA			
0055	2612000000-E 6" CONCRETE DRIVEWAY	260.000	80.00000	20,800.00
	SY			
0056	3360000000-E REMOVE EXISTING GUARDRAIL	512.500	1.00000	512.50
	LF			

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0057	3420000000-E GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS	725.000 LF	22.80000	16,530.00
0058	3420000000-E GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS, SHOP CURVED	37.500 LF	24.50000	918.75
0059	3435000000-N GENERIC GUARDRAIL ITEM PAINTED GUARDRAIL ANCHOR UNITS, TYPE 350 TL-2	6.000 EA	3,675.00000	22,050.00
0060	3435000000-N GENERIC GUARDRAIL ITEM WEATHERING SBGR ANCHOR UNITS, TYPE III WITH PAINTED LAPS	4.000 EA	2,950.00000	11,800.00
0061	3435000000-N GENERIC GUARDRAIL ITEM WEATHERING STEEL ADDITIONAL GUARDRAIL POSTS	10.000 EA	35.00000	350.00
0062	3435000000-N GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL TERMINAL SECTIONS WITH PAINTED LAPS	3.000 EA	110.00000	330.00
0063	3628000000-E RIP RAP, CLASS I	29.000 TON	52.00000	1,508.00
0064	3649000000-E RIP RAP, CLASS B	15.000 TON	50.70000	760.50
0065	3656000000-E GEOTEXTILE FOR DRAINAGE	1,641.000 SY	4.00000	6,564.00
0066	4072000000-E SUPPORTS, 3-LB STEEL U-CHANNEL	310.000 LF	5.15000	1,596.50

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			Dollars	Cts	Dollars	Cts
0067	4096000000-N SIGN ERECTION, TYPE D	2.000 EA	95.00000		190.00	
0068	4102000000-N SIGN ERECTION, TYPE E	24.000 EA	75.00000		1,800.00	
0069	4116100000-N SIGN ERECTION, RELOCATE, TYPE **** (GROUND MOUNTED) (E)	1.000 EA	225.00000		225.00	
0070	4155000000-N DISPOSAL OF SIGN SYSTEM, U- CHANNEL	20.000 EA	5.00000		100.00	
0071	4400000000-E WORK ZONE SIGNS (STATIONARY)	114.000 SF	6.75000		769.50	
0072	4405000000-E WORK ZONE SIGNS (PORTABLE)	322.000 SF	8.35000		2,688.70	
0073	4410000000-E WORK ZONE SIGNS (BARRICADE MOUNTED)	70.000 SF	6.95000		486.50	
0074	4420000000-N PORTABLE CHANGEABLE MESSAGE SIGN	2.000 EA	5,000.00000		10,000.00	
0075	4430000000-N DRUMS	100.000 EA	40.00000		4,000.00	
0076	4445000000-E BARRICADES (TYPE III)	56.000 LF	19.00000		1,064.00	
0077	4450000000-N FLAGGER	640.000 HR	20.00000		12,800.00	
0078	4465000000-N TEMPORARY CRASH CUSHIONS	2.000 EA	4,850.00000		9,700.00	

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0079	4470000000-N RESET TEMPORARY CRASH CUSHION	2.000 EA	2,200.00000	4,400.00
0080	4490000000-E PORTABLE CONCRETE BARRIER (ANCHORED)	500.000 LF	43.96000	21,980.00
0081	4505000000-E RESET PORTABLE CONCRETE BARRIER (ANCHORED)	500.000 LF	10.00000	5,000.00
0082	4650000000-N TEMPORARY RAISED PAVEMENT MARKERS	222.000 EA	6.50000	1,443.00
0083	4695000000-E THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	157.000 LF	3.00000	471.00
0084	4697000000-E THERMOPLASTIC PAVEMENT MARKING LINES (8", 120 MILS)	223.000 LF	3.50000	780.50
0085	4710000000-E THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	116.000 LF	8.00000	928.00
0086	4725000000-E THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	22.000 EA	120.00000	2,640.00
0087	4770000000-E COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (IV)	1,944.000 LF	3.50000	6,804.00
0088	4810000000-E PAINT PAVEMENT MARKING LINES (4")	16,759.000 LF	0.25000	4,189.75
0089	4820000000-E PAINT PAVEMENT MARKING LINES (8")	115.000 LF	1.00000	115.00

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			Dollars	Cts	Dollars	Cts
0090	4835000000-E PAINT PAVEMENT MARKING LINES (24")	202.000 LF	3.00000		606.00	
0091	4845000000-N PAINT PAVEMENT MARKING SYMBOL	4.000 EA	65.00000		260.00	
0092	4847000000-E POLYUREA PAVEMENT MARKING LINES (4", *****) (HIGHLY REFLECTIVE ELEMENTS)	11,206.000 LF	0.80000		8,964.80	
0093	4850000000-E REMOVAL OF PAVEMENT MARKING LINES (4")	4,000.000 LF	1.00000		4,000.00	
0094	4870000000-E REMOVAL OF PAVEMENT MARKING LINES (24")	50.000 LF	3.00000		150.00	
0095	4905000000-N SNOWPLOWABL E PAVEMENT MARKERS	19.000 EA	100.00000		1,900.00	
0096	5325000000-E *** WATER LINE (1")	150.000 LF	30.00000		4,500.00	
0097	5325200000-E 2" WATER LINE	264.000 LF	40.00000		10,560.00	
0098	5325600000-E 6" WATER LINE	375.000 LF	70.00000		26,250.00	
0099	5325800000-E 8" WATER LINE	200.000 LF	86.00000		17,200.00	
0100	5326000000-E 10" WATER LINE	40.000 LF	157.00000		6,280.00	



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0101	5326200000-E 12" WATER LINE	437.000 LF	109.00000	47,633.00
0102	5326600000-E 16" WATER LINE	461.000 LF	172.00000	79,292.00
0103	5534000000-E *** VALVE (1")	1.000 EA	400.00000	400.00
0104	5536000000-E 2" VALVE	1.000 EA	900.00000	900.00
0105	5540000000-E 6" VALVE	1.000 EA	1,257.00000	1,257.00
0106	5546000000-E 8" VALVE	4.000 EA	1,600.00000	6,400.00
0107	5552000000-E 10" VALVE	1.000 EA	1,900.00000	1,900.00
0108	5558000000-E 12" VALVE	2.000 EA	3,000.00000	6,000.00
0109	5648000000-N RELOCATE WATER METER	5.000 EA	800.00000	4,000.00
0110	5649000000-N RECONNECT WATER METER	3.000 EA	600.00000	1,800.00
0111	5666000000-E FIRE HYDRANT	1.000 EA	5,000.00000	5,000.00
0112	5691300000-E 8" SANITARY GRAVITY SEWER	187.000 LF	120.00000	22,440.00

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0113	5768000000-N SANITARY SEWER CLEAN-OUT	5.000 EA	400.00000	2,000.00
0114	5775000000-E 4' DIA UTILITY MANHOLE	3.000 EA	3,000.00000	9,000.00
0115	5801000000-E ABANDON 8" UTILITY PIPE	62.000 LF	6.00000	372.00
0116	5804000000-E ABANDON 12" UTILITY PIPE	754.000 LF	8.00000	6,032.00
0117	5815500000-N REMOVE FIRE HYDRANT	1.000 EA	600.00000	600.00
0118	5828000000-N REMOVE UTILITY MANHOLE	1.000 EA	800.00000	800.00
0119	5882000000-N GENERIC UTILITY ITEM 16" VALVE	5.000 EA	5,230.00000	26,150.00
0120	5888000000-E GENERIC UTILITY ITEM 12" RIVER CROSSING WATER PIPE	135.000 LF	395.00000	53,325.00
0121	5912000000-N GENERIC UTILITY ITEM CONTROL VALVE UTILITY VAULT	LUMP	LUMP	95,305.00
0122	5912000000-N GENERIC UTILITY ITEM REMOVE EXISTING CONTROL VALVE AND VAULT	LUMP	LUMP	5,000.00
0123	6000000000-E TEMPORARY SILT FENCE	5,410.000 LF	2.00000	10,820.00

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			Dollars	Cts	Dollars	Ct
0124	6006000000-E STONE FOR EROSION CONTROL, CLASS A	165.000 TON	38.70000		6,385.50	
0125	6009000000-E STONE FOR EROSION CONTROL, CLASS B	1,750.000 TON	38.70000		67,725.00	
0126	6012000000-E SEDIMENT CONTROL STONE	1,280.000 TON	37.00000		47,360.00	
0127	6015000000-E TEMPORARY MULCHING	9.000 ACR	800.00000		7,200.00	
0128	6018000000-E SEED FOR TEMPORARY SEEDING	600.000 LB	2.00000		1,200.00	
0129	6021000000-E FERTILIZER FOR TEMPORARY SEED-ING	3.000 TON	700.00000		2,100.00	
0130	6024000000-E TEMPORARY SLOPE DRAINS	200.000 LF	12.00000		2,400.00	
0131	6029000000-E SAFETY FENCE	1,000.000 LF	2.00000		2,000.00	
0132	6030000000-E SILT EXCAVATION	1,550.000 CY	9.00000		13,950.00	
0133	6036000000-E MATTING FOR EROSION CONTROL	18,000.000 SY	1.35000		24,300.00	
0134	6037000000-E COIR FIBER MAT	1,100.000 SY	5.00000		5,500.00	
0135	6038000000-E PERMANENT SOIL REINFORCEMENT MAT	500.000 SY	5.00000		2,500.00	

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			Dollars	Cts	Dollars	Ct
0136	6042000000-E 1/4" HARDWARE CLOTH	600.000 LF	5.00000		3,000.00	
0137	6048000000-E FLOATING TURBIDITY CURTAIN	125.000 SY	24.07000		3,008.75	
0138	6070000000-N SPECIAL STILLING BASINS	16.000 EA	1,200.00000		19,200.00	
0139	6071010000-E WATTLE	200.000 LF	10.00000		2,000.00	
0140	6071020000-E POLYACRYLAM IDE (PAM)	150.000 LB	8.00000		1,200.00	
0141	6084000000-E SEEDING & MULCHING	9.000 ACR	1,650.00000		14,850.00	
0142	6087000000-E MOWING	3.000 ACR	100.00000		300.00	
0143	6090000000-E SEED FOR REPAIR SEEDING	100.000 LB	2.00000		200.00	
0144	6093000000-E FERTILIZER FOR REPAIR SEEDING	0.500 TON	400.00000		200.00	
0145	6096000000-E SEED FOR SUPPLEMENTAL SEEDING	425.000 LB	2.00000		850.00	
0146	6108000000-E FERTILIZER TOPDRESSING	12.250 TON	800.00000		9,800.00	
0147	6114500000-N SPECIALIZED HAND MOWING	10.000 MHR	40.00000		400.00	

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			Dollars	Cts	Dollars	Cts
0148	6117000000-N RESPONSE FOR EROSION CONTROL	25.000 EA	75.00000		1,875.00	
0149	6123000000-E REFORESTATI ON	0.100 ACR	5,000.00000		500.00	
0150	6132000000-N GENERIC EROSION CONTROL ITEM CONCRETE WASHOUT STRUCTURE	4.000 EA	0.00000		0.00	
0151	7048500000-E PEDESTRIAN SIGNAL HEAD (16", 1SECTION W/COUNTDOWN)	4.000 EA	800.00000		3,200.00	
0152	7060000000-E SIGNAL CABLE	2,510.000 LF	2.90000		7,279.00	
0153	7120000000-E VEHICLE SIGNAL HEAD (12", 3 SECTION)	14.000 EA	900.00000		12,600.00	
0154	7132000000-E VEHICLE SIGNAL HEAD (12", 4 SECTION)	4.000 EA	1,100.00000		4,400.00	
0155	7264000000-E MESSENGER CABLE (3/8")	1,250.000 LF	4.00000		5,000.00	
0156	7300000000-E UNPAVED TRENCHING (***** (1, 2"))	350.000 LF	7.00000		2,450.00	
0157	7300100000-E UNPAVED TRENCHING FOR TEMP- ORARY LEAD-IN	310.000 LF	2.00000		620.00	
0158	7301000000-E DIRECTIONAL DRILL (***** (3, 2"))	140.000 LF	25.00000		3,500.00	

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			Dollars	Cts	Dollars	Cts
0159	7324000000-N JUNCTION BOX (STANDARD SIZE)	12.000 EA	325.00000		3,900.00	
0160	7360000000-N WOOD POLE	10.000 EA	950.00000		9,500.00	
0161	7372000000-N GUY ASSEMBLY	8.000 EA	350.00000		2,800.00	
0162	7408000000-E 1" RISER WITH WEATHERHEAD	1.000 EA	325.00000		325.00	
0163	7420000000-E 2" RISER WITH WEATHERHEAD	5.000 EA	450.00000		2,250.00	
0164	7444000000-E INDUCTIVE LOOP SAWCUT	1,150.000 LF	7.00000		8,050.00	
0165	7456000000-E LEAD-IN CABLE (***** (14-2)	2,640.000 LF	1.75000		4,620.00	
0166	7590000000-N METAL POLE WITH DUAL MAST ARM	2.000 EA	12,820.00000		25,640.00	
0167	7613000000-N SOIL TEST	2.000 EA	950.00000		1,900.00	
0168	7614100000-E DRILLED PIER FOUNDATION	10.000 CY	500.00000		5,000.00	
0169	7631000000-N MAST ARM WITH METAL POLE DE- SIGN	2.000 EA	50.00000		100.00	
0170	7636000000-N SIGN FOR SIGNALS	4.000 EA	300.00000		1,200.00	

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Line No.	Item Description	Approx. Quantity and Units	Unit Price Dollars   Cts	Bid Amount Dollars   Ct
0171	7642100000-N TYPE I POST WITH FOUNDATION	4.000 EA	1,100.00000	4,400.00
0172	7642200000-N TYPE II PEDESTAL WITH FOUND- ATION	1.000 EA	1,400.00000	1,400.00
0173	7648000000-N RELOCATE EXISTING SIGN	1.000 EA	100.00000	100.00
0174	7684000000-N SIGNAL CABINET FOUNDATION	1.000 EA	750.00000	750.00
0175	7756000000-N CONTROLLER WITH CABINET (TYPE 2070L, BASE MOUNTED)	1.000 EA	12,500.00000	12,500.00
0176	7768000000-N CONTROLLER WITH CABINET (TYPE 2070L, POLE MOUNTED)	1.000 EA	11,550.00000	11,550.00
0177	7780000000-N DETECTOR CARD (TYPE 2070L)	8.000 EA	115.00000	920.00
0178	7901000000-N CABINET BASE EXTENDER	1.000 EA	550.00000	550.00
	Section 0001 Total			3,722,069.08

Section 0004 STRUCTURE ITEMS - NPAR (TUCKASEEGEE WATER & SEWER  
AUTHORITY)

Alt Group

0179	8017000000-N CONSTRUCTIO N, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ***** (20+16.00 -L-)	LUMP	LUMP	250,000.00
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Line	Item	Approx.	Unit Price	Bid Amount
No.	Description	Quantity and Units	Dollars   Cts	Dollars   Ct
0180	8035000000-N REMOVAL OF EXISTING STRUCTURE AT STATION ***** (20+16.00 -L-)	LUMP	LUMP	340,000.00
0181	8105560000-E 4'-0" DIA DRILLED PIERS IN SOIL	100.000 LF	1,000.00000	100,000.00
0182	8105660000-E 4'-0" DIA DRILLED PIERS NOT IN SOIL	100.000 LF	1,000.00000	100,000.00
0183	8111600000-E PERMANENT STEEL CASING FOR 4'-0" DIA DRILLED PIER	97.300 LF	10.00000	973.00
0184	8115000000-N CSL TESTING	1.000 EA	2,450.00000	2,450.00
0185	8121000000-N UNCLASSIFIED STRUCTURE EXCAVATION AT STATION ***** (20+16.00 -L-)	LUMP	LUMP	55,000.00
0186	8147000000-E REINFORCED CONCRETE DECK SLAB	14,751.000 SF	33.00000	486,783.00
0187	8161000000-E GROOVING BRIDGE FLOORS	11,427.000 SF	0.85000	9,712.95
0188	8182000000-E CLASS A CONCRETE (BRIDGE)	267.200 CY	1,100.00000	293,920.00
0189	8210000000-N BRIDGE APPROACH SLABS, STATION***** (20+16.00 -L-)	LUMP	LUMP	55,000.00
0190	8217000000-E REINFORCING STEEL (BRIDGE)	57,121.000 LB	1.50000	85,681.50



State of NC  
Dept of Transportation

Date: 11-17-15  
Revised:

Contract ID: C203498

Project(s): BRZ-1002(13)

Letting Date: 12-15-15 Call Order: 007

Bidder: 11870 - NHM Constructors, LLC

Line	Item	Approx.	Unit Price	Bid Amount
No.	Description	Quantity	Dollars   Cts	Dollars   Ct
		and Units		
0191	8238000000-E SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	7,948.000 LB	2.50000	19,870.00
0192	8265000000-E 54" PRESTRESSED CONCRETE GIR- DERS	1,756.800 LF	240.00000	421,632.00
0193	8364000000-E HP12X53 STEEL PILES	1,420.000 LF	40.00000	56,800.00
0194	8391000000-N STEEL PILE POINTS	26.000 EA	180.00000	4,680.00
0195	8392500000-E PREDRILLING FOR PILES	500.000 LF	1.00000	500.00
0196	8475000000-E TWO BAR METAL RAIL	562.700 LF	140.00000	78,778.00
0197	8517000000-E 1'-**"X *****" CONCRETE PARA- PET (1'-3 1/2" X 2'-6")	595.270 LF	115.00000	68,456.05
0198	8608000000-E RIP RAP CLASS II (2'-0" THICK)	300.000 TON	55.00000	16,500.00
0199	8622000000-E GEOTEXTILE FOR DRAINAGE	334.000 SY	8.00000	2,672.00
0200	8657000000-N ELASTOMERIC BEARINGS	LUMP	LUMP	7,800.00
0201	8692000000-N FOAM JOINT SEALS	LUMP	LUMP	12,000.00

State of NC  
Dept of Transportation

Date: 11-17-15  
Revised:

Contract ID: C203498

Project(s): BRZ-1002(13)

Letting Date: 12-15-15 Call Order: 007

Bidder: 11870 - NHM Constructors, LLC

Line No.	Item Description	Approx. Quantity and Units	Unit Price Dollars   Cts	Bid Amount Dollars   Ct
0202	8734000000-N ELECTRICAL CONDUIT SYSTEM AT STA***** (20+16.00 -L-)	LUMP	LUMP	27,500.00
0203	8860000000-N GENERIC STRUCTURE ITEM 16" RESTRAINED JOINT WATER PIPE INSTALLED ON BRIDGE	LUMP	LUMP	180,000.00
0204	8860000000-N GENERIC STRUCTURE ITEM APPLICATION OF BRIDGE COATING	LUMP	LUMP	125,000.00
0205	8892000000-E GENERIC STRUCTURE ITEM ARCHITECTURAL CONCRETE SURFACE TREATMENT	3,200.500 SF	20.00000	64,010.00
	Section 0004 Total			2,865,718.50
	Bid Total			6,587,787.58

## NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:

NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED  
NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowledges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number	County
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	
NOT ANSWERED	

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION  
DBE COMMITMENT ITEMS

DATE: 11-17-15  
PAGE: 22

PROPOSAL: C203498  
 LETTING: L151215                      CALL: 007  
 VENDOR: 11870      NHM Constructors, LLC

LINE NO.	ITEM NO.	ITEM DESC.	UNIT TYPE	SUBCONTRACTOR QUANTITY	SUBCONTRACTOR UNIT PRICE	EXTENDED AMOUNT
DBE SUBCONTRACTOR: 6101 DMJ'S INCORPORATED						
Will Use Quote: Yes						
0123	6000000000-E	TEMPORARY SI	LF	5410.000	2.00000	10820.00
0127	6015000000-E	TEMPORARY MU	ACR	9.000	800.00000	7200.00
0128	6018000000-E	SEED FOR TEM	LB	600.000	2.00000	1200.00
0129	6021000000-E	FERT FOR TEM	TON	3.000	700.00000	2100.00
0131	6029000000-E	SAFETY FENCE	LF	1000.000	2.00000	2000.00
0133	6036000000-E	MATting FOR	SY	18000.000	1.35000	24300.00
0134	6037000000-E	COIR FIBER M	SY	1100.000	5.00000	5500.00
0135	6038000000-E	PERM SOIL RE	SY	500.000	5.00000	2500.00
0136	6042000000-E	1/4" HARDWAR	LF	600.000	5.00000	3000.00
0139	6071010000-E	WATTLE	LF	200.000	10.00000	2000.00
0140	6071020000-E	POLYACRYLAMI	LB	150.000	8.00000	1200.00
0141	6084000000-E	SEEDING AND	ACR	9.000	1650.00000	14850.00
0142	6087000000-E	MOWING	ACR	3.000	100.00000	300.00
0143	6090000000-E	SEED FOR REP	LB	100.000	2.00000	200.00
0144	6093000000-E	FERT FOR REP	TON	0.500	400.00000	200.00
0145	6096000000-E	SEED FOR SUP	LB	425.000	2.00000	850.00
0146	6108000000-E	FERTILIZER T	TON	12.250	800.00000	9800.00
0147	6114500000-N	SPECIALIZED	MHR	10.000	40.00000	400.00
0148	6117000000-N	RESPONSE FOR	EA	25.000	75.00000	1875.00
0149	6123000000-E	REFORESTATIO	ACR	0.100	5000.00000	500.00

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:	90,795.00 Committed
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )	90,795.

DBE SUBCONTRACTOR: 15178 ESPINOZA HAULING & TRUCKING CO INC  
 Will Use Quote: Yes

0031	1489000000-E	ASP CONC BAS	TON	2000.000	8.20000	16400.00
		hauling at \$8.20 per ton				
0032	1498000000-E	ASP CONC INT	TON	1550.000	8.20000	12710.00
		hauling at 8.00 per ton				
0033	1519000000-E	ASP CONC SUR	TON	1510.000	8.11000	12246.10
		hauling 1494 tons at \$8.20 per ton				

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:	41,356.10 Committed
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )	41,356.

DBE SUBCONTRACTOR: 4898 BULLINGTON CONSTRUCTION INC  
 Will Use Quote: Yes

0056	3360000000-E	REMOVE EXIST	LF	512.500	1.00000	512.50
0057	3420000000-E	GENERIC GUAR	LF	725.000	22.80000	16530.00
0058	3420000000-E	GENERIC GUAR	LF	37.500	24.50000	918.75
0059	3435000000-N	GENERIC GUAR	EA	6.000	3675.00000	22050.00

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION  
DBE COMMITMENT ITEMS

DATE: 11-17-15  
PAGE: 23

LINE NO.	ITEM NO.	ITEM DESC.	UNIT TYPE	SUBCONTRACTOR QUANTITY	SUBCONTRACTOR UNIT PRICE	EXTENDED AMOUNT
0060	3435000000-N	GENERIC GUAR	EA	4.000	2950.00000	11800.00
0061	3435000000-N	GENERIC GUAR	EA	10.000	35.00000	350.00
0062	3435000000-N	GENERIC GUAR	EA	3.000	110.00000	330.00
DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:						52,491.25 Committed
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )						52,491.

DBE SUBCONTRACTOR: 3765 STAY ALERT SAFETY SERVICES INC  
Will Use Quote: Yes

0066	4072000000-E	SUPPORT, 3-L	LF	310.000	5.15000	1596.50
0067	4096000000-N	SIGN ERECTIO	EA	2.000	95.00000	190.00
0068	4102000000-N	SIGN ERECTIO	EA	24.000	75.00000	1800.00
0069	4116100000-N	SIGN ERECT,	EA	1.000	225.00000	225.00
0070	4155000000-N	DISPOSE SIGN	EA	20.000	5.00000	100.00
0071	4400000000-E	WORK ZONE SI	SF	114.000	6.75000	769.50
0072	4405000000-E	WORK ZONE SI	SF	322.000	8.35000	2688.70
0073	4410000000-E	WORK ZONE SI	SF	70.000	6.95000	486.50
0076	4445000000-E	BARRICADES (	LF	56.000	19.00000	1064.00
DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:						8,920.20 Committed
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )						8,920.2

TOTAL DBE COMMITMENT FOR VENDOR:	Entered:	2.94% or	193562.55
	Required:	8.00% or	527023.01
		<GOAL NOT MET>	

THIS PROPOSAL CONTAINS THE FOLLOWING ERRORS/WARNINGS (IF ANY)

DBE Warning : DBE Warning: DBE Commitment Goal not met

This Bid contains 0 amendment files

Electronic Bid Submission

By submitting this bid electronically, I hereby acknowledge that all requirements included in the hard copy proposal, addendum, amendments, plans, standard specifications, supplemental specifications and special provisions are part of the bid and contract. Further, I acknowledge that I have read, understand, accept, acknowledge and agree to comply with all statements in this electronic bid.

I Hereby certify that I have the authority to submit this bid.

Signature

Agency

Date

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## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
<b>ROADWAY ITEMS</b>						
0001	0000100000-N	800	MOBILIZATION	Lump Sum LS	329,332.00	329,332.00
0002	0000400000-N	801	CONSTRUCTION SURVEYING	Lump Sum LS	40,000.00	40,000.00
0003	0001000000-E	200	CLEARING & GRUBBING .. ACRE(S)	Lump Sum LS	54,620.00	54,620.00
0004	0008000000-E	200	SUPPLEMENTARY CLEARING & GRUB- BING	1 ACR	7,352.00	7,352.00
0005	0022000000-E	225	UNCLASSIFIED EXCAVATION	128,800 CY	9.69	1,248,072.00
0006	0030000000-N	SP	BRIDGE APPROACH FILL - SUB REGIONAL TIER, STATION ***** (20+16.00 -L-)	Lump Sum LS	70,000.00	70,000.00
0007	0036000000-E	225	UNDERCUT EXCAVATION	200 CY	15.00	3,000.00
0008	0156000000-E	250	REMOVAL OF EXISTING ASPHALT PAVEMENT	4,470 SY	4.10	18,327.00
0009	0163000000-E	250	REMOVAL OF EXISTING CONCRETE PAVEMENT	80 SY	9.70	776.00
0010	0177000000-E	250	BREAKING OF EXISTING ASPHALT PAVEMENT	620 SY	3.20	1,984.00
0011	0195000000-E	265	SELECT GRANULAR MATERIAL	100 CY	61.00	6,100.00
0012	0196000000-E	270	GEOTEXTILE FOR SOIL STABILIZA- TION	1,400 SY	4.10	5,740.00
0013	0199000000-E	SP	TEMPORARY SHORING	1,034 SF	75.00	77,550.00
0014	0318000000-E	300	FOUNDATION CONDITIONING MATE- RIAL, MINOR STRUCTURES	276 TON	37.90	10,460.40
0015	0320000000-E	300	FOUNDATION CONDITIONING GEO- TEXTILE	1,186 SY	4.40	5,218.40
0016	0366000000-E	310	15" RC PIPE CULVERTS, CLASS III	356 LF	43.70	15,557.20
0017	0372000000-E	310	18" RC PIPE CULVERTS, CLASS III	452 LF	48.40	21,876.80
0018	0378000000-E	310	24" RC PIPE CULVERTS, CLASS III	352 LF	59.40	20,908.80



## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0019	0390000000-E	310	36" RC PIPE CULVERTS, CLASS III	116 LF	85.10	9,871.60
0020	0448200000-E	310	15" RC PIPE CULVERTS, CLASS IV	196 LF	45.00	8,820.00
0021	0582000000-E	310	15" CS PIPE CULVERTS, 0.064" THICK	88 LF	45.10	3,968.80
0022	0995000000-E	340	PIPE REMOVAL	278 LF	12.80	3,558.40
0023	0996000000-N	350	PIPE CLEAN-OUT	1 EA	1,200.00	1,200.00
0024	1011000000-N	500	FINE GRADING	Lump Sum LS	28,512.00	28,512.00
0025	1099500000-E	505	SHALLOW UNDERCUT	680 CY	10.50	7,140.00
0026	1099700000-E	505	CLASS IV SUBGRADE STABILIZATION	1,229 TON	23.10	28,389.90
0027	1121000000-E	520	AGGREGATE BASE COURSE	21 TON	49.70	1,043.70
0028	1220000000-E	545	INCIDENTAL STONE BASE	120 TON	30.70	3,684.00
0029	1231000000-E	560	SHOULDER BORROW	120 CY	8.50	1,020.00
0030	1330000000-E	607	INCIDENTAL MILLING	470 SY	12.75	5,992.50
0031	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	2,000 TON	74.00	148,000.00
0032	1498000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	1,550 TON	74.00	114,700.00
0033	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	1,510 TON	80.00	120,800.00
0034	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	255 TON	500.00	127,500.00
0035	1693000000-E	654	ASPHALT PLANT MIX, PAVEMENT REPAIR	10 TON	300.00	3,000.00
0036	1869000000-E	710	***** PORT CEM CONC PAVEMENT, MISCELLANEOUS (WITHOUT DOWELS) (6")	80 SY	58.00	4,640.00
0037	2022000000-E	815	SUBDRAIN EXCAVATION	22.4 CY	9.00	201.60

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0038	2033000000-E	815	SUBDRAIN FINE AGGREGATE	16.8 CY	52.30	878.64
0039	2044000000-E	815	6" PERFORATED SUBDRAIN PIPE	100 LF	12.50	1,250.00
0040	2070000000-N	815	SUBDRAIN PIPE OUTLET	1 EA	272.70	272.70
0041	2077000000-E	815	6" OUTLET PIPE	6 LF	15.20	91.20
0042	2190000000-N	828	TEMPORARY STEEL PLATE COVERS FOR MASONRY DRAINAGE STRUCTURE	1 EA	1,418.00	1,418.00
0043	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	17 EA	1,540.00	26,180.00
0044	2308000000-E	840	MASONRY DRAINAGE STRUCTURES	9.38 LF	263.40	2,470.69
0045	2364000000-N	840	FRAME WITH TWO GRATES, STD 840.16	5 EA	526.00	2,630.00
0046	2366000000-N	840	FRAME WITH TWO GRATES, STD 840.24	10 EA	457.00	4,570.00
0047	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	1 EA	481.00	481.00
0048	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (E)	1 EA	615.00	615.00
0049	2374000000-N	840	FRAME WITH GRATE & HOOD, STD 840.03, TYPE ** (G)	1 EA	647.00	647.00
0050	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA	409.00	409.00
0051	2535000000-E	846	***X *** CONCRETE CURB (8" X 18")	730 LF	29.00	21,170.00
0052	2549000000-E	846	2'-6" CONCRETE CURB & GUTTER	1,230 LF	25.95	31,918.50
0053	2591000000-E	848	4" CONCRETE SIDEWALK	850 SY	45.00	38,250.00
0054	2605000000-N	848	CONCRETE CURB RAMP	4 EA	2,500.00	10,000.00
0055	2612000000-E	848	6" CONCRETE DRIVEWAY	260 SY	80.00	20,800.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0056	3360000000-E	863	REMOVE EXISTING GUARDRAIL	512.5 LF	1.00	512.50
0057	3420000000-E	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS	725 LF	22.80	16,530.00
0058	3420000000-E	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL WITH PAINTED LAPS, SHOP CURVED	37.5 LF	24.50	918.75
0059	3435000000-N	SP	GENERIC GUARDRAIL ITEM PAINTED GUARDRAIL ANCHOR UNITS, TYPE 350 TL-2	6 EA	3,675.00	22,050.00
0060	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING SBGR ANCHOR UNITS, TYPE III WITH PAINTED LAPS	4 EA	2,950.00	11,800.00
0061	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL ADDITIONAL GUARDRAIL POSTS	10 EA	35.00	350.00
0062	3435000000-N	SP	GENERIC GUARDRAIL ITEM WEATHERING STEEL BM GUARDRAIL TERMINAL SECTIONS WITH PAINTED LAPS	3 EA	110.00	330.00
0063	3628000000-E	876	RIP RAP, CLASS I	29 TON	52.00	1,508.00
0064	3649000000-E	876	RIP RAP, CLASS B	15 TON	50.70	760.50
0065	3656000000-E	876	GEOTEXTILE FOR DRAINAGE	1,641 SY	4.00	6,564.00
0066	4072000000-E	903	SUPPORTS, 3-LB STEEL U-CHANNEL	310 LF	5.15	1,596.50
0067	4096000000-N	904	SIGN ERECTION, TYPE D	2 EA	95.00	190.00
0068	4102000000-N	904	SIGN ERECTION, TYPE E	24 EA	75.00	1,800.00
0069	4116100000-N	904	SIGN ERECTION, RELOCATE, TYPE **** (GROUND MOUNTED) (E)	1 EA	225.00	225.00
0070	4155000000-N	907	DISPOSAL OF SIGN SYSTEM, U-CHANNEL	20 EA	5.00	100.00
0071	4400000000-E	1110	WORK ZONE SIGNS (STATIONARY)	114 SF	6.75	769.50
0072	4405000000-E	1110	WORK ZONE SIGNS (PORTABLE)	322 SF	8.35	2,688.70

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0073	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	70 SF	6.95	486.50
0074	4420000000-N	1120	PORTABLE CHANGEABLE MESSAGE SIGN	2 EA	5,000.00	10,000.00
0075	4430000000-N	1130	DRUMS	100 EA	40.00	4,000.00
0076	4445000000-E	1145	BARRICADES (TYPE III)	56 LF	19.00	1,064.00
0077	4450000000-N	1150	FLAGGER	640 HR	20.00	12,800.00
0078	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	2 EA	4,850.00	9,700.00
0079	4470000000-N	1160	RESET TEMPORARY CRASH CUSHION	2 EA	2,200.00	4,400.00
0080	4490000000-E	1170	PORTABLE CONCRETE BARRIER (ANCHORED)	500 LF	43.96	21,980.00
0081	4505000000-E	1170	RESET PORTABLE CONCRETE BARRIER (ANCHORED)	500 LF	10.00	5,000.00
0082	4650000000-N	1251	TEMPORARY RAISED PAVEMENT MARKERS	222 EA	6.50	1,443.00
0083	4695000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	157 LF	3.00	471.00
0084	4697000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 120 MILS)	223 LF	3.50	780.50
0085	4710000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	116 LF	8.00	928.00
0086	4725000000-E	1205	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	22 EA	120.00	2,640.00
0087	4770000000-E	1205	COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (IV)	1,944 LF	3.50	6,804.00
0088	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	16,759 LF	0.25	4,189.75
0089	4820000000-E	1205	PAINT PAVEMENT MARKING LINES (8")	115 LF	1.00	115.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0090	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	202 LF	3.00	606.00
0091	4845000000-N	1205	PAINT PAVEMENT MARKING SYMBOL	4 EA	65.00	260.00
0092	4847000000-E	1205	POLYUREA PAVEMENT MARKING LINES (4", *****) (HIGHLY REFLECTIVE ELEMENTS)	11,206 LF	0.80	8,964.80
0093	4850000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (4")	4,000 LF	1.00	4,000.00
0094	4870000000-E	1205	REMOVAL OF PAVEMENT MARKING LINES (24")	50 LF	3.00	150.00
0095	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	19 EA	100.00	1,900.00
0096	5325000000-E	1510	*** WATER LINE (1")	150 LF	30.00	4,500.00
0097	5325200000-E	1510	2" WATER LINE	264 LF	40.00	10,560.00
0098	5325600000-E	1510	6" WATER LINE	375 LF	70.00	26,250.00
0099	5325800000-E	1510	8" WATER LINE	200 LF	86.00	17,200.00
0100	5326000000-E	1510	10" WATER LINE	40 LF	157.00	6,280.00
0101	5326200000-E	1510	12" WATER LINE	437 LF	109.00	47,633.00
0102	5326600000-E	1510	16" WATER LINE	461 LF	172.00	79,292.00
0103	5534000000-E	1515	*** VALVE (1")	1 EA	400.00	400.00
0104	5536000000-E	1515	2" VALVE	1 EA	900.00	900.00
0105	5540000000-E	1515	6" VALVE	1 EA	1,257.00	1,257.00
0106	5546000000-E	1515	8" VALVE	4 EA	1,600.00	6,400.00
0107	5552000000-E	1515	10" VALVE	1 EA	1,900.00	1,900.00
0108	5558000000-E	1515	12" VALVE	2 EA	3,000.00	6,000.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0109	5648000000-N	1515	RELOCATE WATER METER	5 EA	800.00	4,000.00
0110	5649000000-N	1515	RECONNECT WATER METER	3 EA	600.00	1,800.00
0111	5666000000-E	1515	FIRE HYDRANT	1 EA	5,000.00	5,000.00
0112	5691300000-E	1520	8" SANITARY GRAVITY SEWER	187 LF	120.00	22,440.00
0113	5768000000-N	1520	SANITARY SEWER CLEAN-OUT	5 EA	400.00	2,000.00
0114	5775000000-E	1525	4' DIA UTILITY MANHOLE	3 EA	3,000.00	9,000.00
0115	5801000000-E	1530	ABANDON 8" UTILITY PIPE	62 LF	6.00	372.00
0116	5804000000-E	1530	ABANDON 12" UTILITY PIPE	754 LF	8.00	6,032.00
0117	5815500000-N	1530	REMOVE FIRE HYDRANT	1 EA	600.00	600.00
0118	5828000000-N	1530	REMOVE UTILITY MANHOLE	1 EA	800.00	800.00
0119	5882000000-N	SP	GENERIC UTILITY ITEM 16" VALVE	5 EA	5,230.00	26,150.00
0120	5888000000-E	SP	GENERIC UTILITY ITEM 12" RIVER CROSSING WATER PIPE	135 LF	395.00	53,325.00
0121	5912000000-N	SP	GENERIC UTILITY ITEM CONTROL VALVE UTILITY VAULT	Lump Sum LS	95,305.00	95,305.00
0122	5912000000-N	SP	GENERIC UTILITY ITEM REMOVE EXISTING CONTROL VALVE AND VAULT	Lump Sum LS	5,000.00	5,000.00
0123	6000000000-E	1605	TEMPORARY SILT FENCE	5,410 LF	2.00	10,820.00
0124	6006000000-E	1610	STONE FOR EROSION CONTROL, CLASS A	165 TON	38.70	6,385.50
0125	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	1,750 TON	38.70	67,725.00
0126	6012000000-E	1610	SEDIMENT CONTROL STONE	1,280 TON	37.00	47,360.00
0127	6015000000-E	1615	TEMPORARY MULCHING	9 ACR	800.00	7,200.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0128	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	600 LB	2.00	1,200.00
0129	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEED- ING	3 TON	700.00	2,100.00
0130	6024000000-E	1622	TEMPORARY SLOPE DRAINS	200 LF	12.00	2,400.00
0131	6029000000-E	SP	SAFETY FENCE	1,000 LF	2.00	2,000.00
0132	6030000000-E	1630	SILT EXCAVATION	1,550 CY	9.00	13,950.00
0133	6036000000-E	1631	MATTING FOR EROSION CONTROL	18,000 SY	1.35	24,300.00
0134	6037000000-E	SP	COIR FIBER MAT	1,100 SY	5.00	5,500.00
0135	6038000000-E	SP	PERMANENT SOIL REINFORCEMENT MAT	500 SY	5.00	2,500.00
0136	6042000000-E	1632	1/4" HARDWARE CLOTH	600 LF	5.00	3,000.00
0137	6048000000-E	SP	FLOATING TURBIDITY CURTAIN	125 SY	24.07	3,008.75
0138	6070000000-N	1639	SPECIAL STILLING BASINS	16 EA	1,200.00	19,200.00
0139	6071010000-E	SP	WATTLE	200 LF	10.00	2,000.00
0140	6071020000-E	SP	POLYACRYLAMIDE (PAM)	150 LB	8.00	1,200.00
0141	6084000000-E	1660	SEEDING & MULCHING	9 ACR	1,650.00	14,850.00
0142	6087000000-E	1660	MOWING	3 ACR	100.00	300.00
0143	6090000000-E	1661	SEED FOR REPAIR SEEDING	100 LB	2.00	200.00
0144	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	0.5 TON	400.00	200.00
0145	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	425 LB	2.00	850.00
0146	6108000000-E	1665	FERTILIZER TOPDRESSING	12.25 TON	800.00	9,800.00
0147	6114500000-N	1667	SPECIALIZED HAND MOWING	10 MHR	40.00	400.00
0148	6117000000-N	SP	RESPONSE FOR EROSION CONTROL	25 EA	75.00	1,875.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0149	6123000000-E	1670	REFORESTATION	0.1 ACR	5,000.00	500.00
0150	6132000000-N	SP	GENERIC EROSION CONTROL ITEM CONCRETE WASHOUT STRUCTURE	4 EA	0.00	0.00
0151	7048500000-E	1705	PEDESTRIAN SIGNAL HEAD (16", 1 SECTION W/COUNTDOWN)	4 EA	800.00	3,200.00
0152	7060000000-E	1705	SIGNAL CABLE	2,510 LF	2.90	7,279.00
0153	7120000000-E	1705	VEHICLE SIGNAL HEAD (12", 3 SECTION)	14 EA	900.00	12,600.00
0154	7132000000-E	1705	VEHICLE SIGNAL HEAD (12", 4 SECTION)	4 EA	1,100.00	4,400.00
0155	7264000000-E	1710	MESSENGER CABLE (3/8")	1,250 LF	4.00	5,000.00
0156	7300000000-E	1715	UNPAVED TRENCHING (***** (1, 2"))	350 LF	7.00	2,450.00
0157	7300100000-E	1715	UNPAVED TRENCHING FOR TEMP- ORARY LEAD-IN	310 LF	2.00	620.00
0158	7301000000-E	1715	DIRECTIONAL DRILL (***** (3, 2"))	140 LF	25.00	3,500.00
0159	7324000000-N	1716	JUNCTION BOX (STANDARD SIZE)	12 EA	325.00	3,900.00
0160	7360000000-N	1720	WOOD POLE	10 EA	950.00	9,500.00
0161	7372000000-N	1721	GUY ASSEMBLY	8 EA	350.00	2,800.00
0162	7408000000-E	1722	1" RISER WITH WEATHERHEAD	1 EA	325.00	325.00
0163	7420000000-E	1722	2" RISER WITH WEATHERHEAD	5 EA	450.00	2,250.00
0164	7444000000-E	1725	INDUCTIVE LOOP SAWCUT	1,150 LF	7.00	8,050.00
0165	7456000000-E	1726	LEAD-IN CABLE (***** (14-2)	2,640 LF	1.75	4,620.00
0166	7590000000-N	SP	METAL POLE WITH DUAL MAST ARM	2 EA	12,820.00	25,640.00
0167	7613000000-N	SP	SOIL TEST	2 EA	950.00	1,900.00



## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0168	7614100000-E	SP	DRILLED PIER FOUNDATION	10 CY	500.00	5,000.00
0169	7631000000-N	SP	MAST ARM WITH METAL POLE DE-SIGN	2 EA	50.00	100.00
0170	7636000000-N	1745	SIGN FOR SIGNALS	4 EA	300.00	1,200.00
0171	7642100000-N	1743	TYPE I POST WITH FOUNDATION	4 EA	1,100.00	4,400.00
0172	7642200000-N	1743	TYPE II PEDESTAL WITH FOUNDATION	1 EA	1,400.00	1,400.00
0173	7648000000-N	1746	RELOCATE EXISTING SIGN	1 EA	100.00	100.00
0174	7684000000-N	1750	SIGNAL CABINET FOUNDATION	1 EA	750.00	750.00
0175	7756000000-N	1751	CONTROLLER WITH CABINET (TYPE 2070L, BASE MOUNTED)	1 EA	12,500.00	12,500.00
0176	7768000000-N	1751	CONTROLLER WITH CABINET (TYPE 2070L, POLE MOUNTED)	1 EA	11,550.00	11,550.00
0177	7780000000-N	1751	DETECTOR CARD (TYPE 2070L)	8 EA	115.00	920.00
0178	7901000000-N	1753	CABINET BASE EXTENDER	1 EA	550.00	550.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0179	8017000000-N	SP	CONSTRUCTION, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ***** (20+16.00 -L-)	Lump Sum LS	250,000.00	250,000.00
0180	8035000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION ***** (20+16.00 -L-)	Lump Sum LS	340,000.00	340,000.00
0181	8105560000-E	411	4'-0" DIA DRILLED PIERS IN SOIL	100 LF	1,000.00	100,000.00
0182	8105660000-E	411	4'-0" DIA DRILLED PIERS NOT IN SOIL	100 LF	1,000.00	100,000.00
0183	8111600000-E	411	PERMANENT STEEL CASING FOR 4'-0" DIA DRILLED PIER	97.3 LF	10.00	973.00
0184	8115000000-N	411	CSL TESTING	1 EA	2,450.00	2,450.00
0185	8121000000-N	412	UNCLASSIFIED STRUCTURE EXCAVA- TION AT STATION ***** (20+16.00 -L-)	Lump Sum LS	55,000.00	55,000.00
0186	8147000000-E	420	REINFORCED CONCRETE DECK SLAB	14,751 SF	33.00	486,783.00
0187	8161000000-E	420	GROOVING BRIDGE FLOORS	11,427 SF	0.85	9,712.95
0188	8182000000-E	420	CLASS A CONCRETE (BRIDGE)	267.2 CY	1,100.00	293,920.00
0189	8210000000-N	422	BRIDGE APPROACH SLABS, STATION ***** (20+16.00 -L-)	Lump Sum LS	55,000.00	55,000.00
0190	8217000000-E	425	REINFORCING STEEL (BRIDGE)	57,121 LB	1.50	85,681.50
0191	8238000000-E	425	SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	7,948 LB	2.50	19,870.00
0192	8265000000-E	430	54" PRESTRESSED CONCRETE GIR- DERS	1,756.8 LF	240.00	421,632.00
0193	8364000000-E	450	HP12X53 STEEL PILES	1,420 LF	40.00	56,800.00
0194	8391000000-N	450	STEEL PILE POINTS	26 EA	180.00	4,680.00
0195	8392500000-E	450	PREDRILLING FOR PILES	500 LF	1.00	500.00

## Contract Item Sheets For C203498

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0196	8475000000-E	460	TWO BAR METAL RAIL	562.7 LF	140.00	78,778.00
0197	8517000000-E	460	1'-**"X *****" CONCRETE PARA-PET (1'-3 1/2" X 2'-6")	595.27 LF	115.00	68,456.05
0198	8608000000-E	876	RIP RAP CLASS II (2'-0" THICK)	300 TON	55.00	16,500.00
0199	8622000000-E	876	GEOTEXTILE FOR DRAINAGE	334 SY	8.00	2,672.00
0200	8657000000-N	430	ELASTOMERIC BEARINGS	Lump Sum LS	7,800.00	7,800.00
0201	8692000000-N	SP	FOAM JOINT SEALS	Lump Sum LS	12,000.00	12,000.00
0202	8734000000-N	SP	ELECTRICAL CONDUIT SYSTEM AT STA ***** (20+16.00 -L-)	Lump Sum LS	27,500.00	27,500.00
0203	8860000000-N	SP	GENERIC STRUCTURE ITEM 16" RESTRAINED JOINT WATER PIPE INSTALLED ON BRIDGE	Lump Sum LS	180,000.00	180,000.00
0204	8860000000-N	SP	GENERIC STRUCTURE ITEM APPLICATION OF BRIDGE COATING	Lump Sum LS	125,000.00	125,000.00
0205	8892000000-E	SP	GENERIC STRUCTURE ITEM ARCHITECTURAL CONCRETE SURFACE TREATMENT	3,200.5 SF	20.00	64,010.00
TOTAL AMOUNT OF BID FOR ENTIRE PROJECT						\$6,587,787.58

1221/Feb03/Q337321.6/D996118050000/E205

Contract No. C203498  
County Jackson

Rev. 5-19-11

**EXECUTION OF CONTRACT  
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION  
LIMITED LIABILITY COMPANY**

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Contractor intends to do the work with its own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion Affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

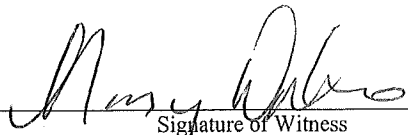
**SIGNATURE OF CONTRACTOR**

**NHM Constructors, LLC**

Full Name of Firm

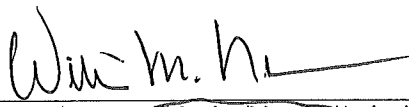
**PO Box 6385 Asheville, NC 28816**

Address as Prequalified

  
Signature of Witness

**Nancy Workman**

Print or type Signer's name

  
Signature of ~~Member/Manager~~ Authorized Agent  
Select appropriate title

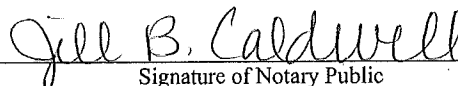
**William M. Newman**

Print or type Signer's Name

**AFFIDAVIT MUST BE NOTARIZED**

Subscribed and sworn to before me this the

**5th** day of **February** 20**16**.

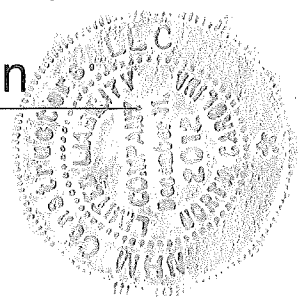
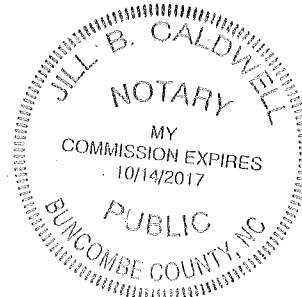
  
Signature of Notary Public

of **Buncombe** County

State of **North Carolina**

My Commission Expires: Oct. 14, 2017

**NOTARY SEAL**



## DEBARMENT CERTIFICATION

### Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
2. The terms *covered transaction*, *debarred*, *suspended*, *ineligible*, *lower tier covered transaction*, *participant*, *person*, *primary covered transaction*, *principal*, *proposal*, and *voluntarily excluded*, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

### DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

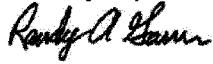
Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

☐ Check here if an explanation is attached to this certification.

**Contract No.**     **C203498**

**County (ies):**     **Jackson**

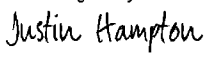
ACCEPTED BY THE  
DEPARTMENT OF TRANSPORTATION

DocuSigned by:  
  
A7079FC32A09478...  
Contract Officer

2/9/2016

Date

Execution of Contract and Bonds  
Approved as to Form:

DocuSigned by:  
  
F23E75DCE8CB439...  
Attorney General

2/9/2016

Date

Signature Sheet (Bid - Acceptance by Department)

Contract No. C203498  
County Jackson

Rev 5-17-11

**CONTRACT PAYMENT BOND**

Date of Payment Bond Execution February 3, 2016

Name of Principal Contractor NHM Constructors, LLC

Name of Surety: Philadelphia Indemnity Insurance Company

Name of Contracting Body: North Carolina Department of Transportation  
Raleigh, North Carolina

Amount of Bond: Six Million Five Hundred Eighty Seven Thousand Seven Hundred Eighty Seven Dollars and 58/100 \$6,587,787.58

Contract ID No.: C203498

County Name: Jackson

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.



Contract No. C203498  
County Jackson

Rev 5-17-11

**CONTRACT PAYMENT BOND**

*Affix Seal of Surety Company*

Philadelphia Indemnity Insurance Company  
Print or type Surety Company Name

By Debra S. Ritter  
Print, stamp or type name of Attorney-in-Fact

By: Debra S. Ritter  
Signature of Attorney-in-Fact

Jenny Snell  
Signature of Witness

Jenny Snell  
Print or type Signer's name

5605 Carnegie Blvd., Suite 300, Charlotte, NC 28209  
Address of Attorney-in-Fact

Contract No. C203498  
County Jackson

Rev 5-17-11

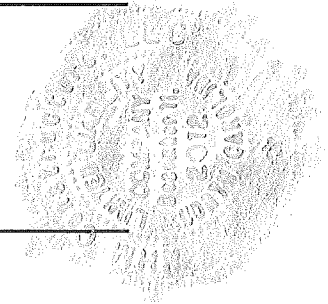
**CONTRACT PAYMENT BOND**  
**LIMITED LIABILITY COMPANY**  
**SIGNATURE OF CONTRACTOR (Principal)**

Name of Contractor NHM Constructors, LLC  
Full name of Firm

P. O. Box 6385, Asheville, NC 28816  
Address as prequalified

By: William M. Newman  
Signature of ~~Member, Manager~~ Authorized Agent  
Select appropriate title

WILLIAM M. NEWMAN  
Print or type Signer's name



Contract No. C203498  
County Jackson

Rev 5-17-11

**CONTRACT PERFORMANCE BOND**

Date of Performance Bond Execution: February 3, 2016

Name of Principal Contractor: NHM Constructors, LLC

Name of Surety: Philadelphia Indemnity Insurance Company

Name of Contracting Body: North Carolina Department of Transportation  
Raleigh, North Carolina

Amount of Bond: Six Million Five Hundred Eighty Seven  
Thousand Seven Hundred Eighty Seven Dollars and 58/100 \$6,587,787.58

Contract ID No.: C203498

County Name: Jackson

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No. C203498  
County Jackson

Rev 5-17-11

**CONTRACT PERFORMANCE BOND**

*Affix Seal of Surety Company*

Philadelphia Indemnity Insurance Company  
Print or type Surety Company Name

By Debra S. Ritter  
Print, stamp or type name of Attorney-in-Fact

By: Debra S. Ritter  
Signature of Attorney-in-Fact

Jenny Snell  
Signature of Witness

Jenny Snell  
Print or type Signer's name

5605 Carnegie Blvd., Suite 300, Charlotte, NC 28209  
Address of Attorney-in-Fact

Contract No. C203498  
County Jackson

Rev 5-17-11

**CONTRACT PERFORMANCE BOND**

**LIMITED LIABILITY COMPANY**

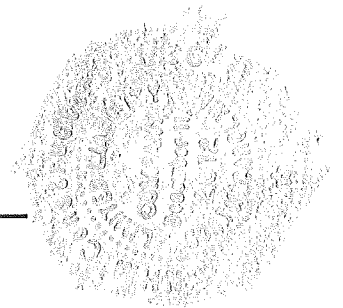
**SIGNATURE OF CONTRACTOR (Principal)**

Name of Contractor NHM Constructors, LLC  
Full name of Firm

P. O. Box 6385, Asheville, NC 28816  
Address as prequalified

By: William M. Newman  
Signature of ~~Member, Manager, Authorized Agent~~  
Select appropriate title

William M. Newman  
Print or type Signer's name





# PHILADELPHIA INSURANCE COMPANIES

A Member of the Tokio Marine Group

## PHILADELPHIA INDEMNITY INSURANCE COMPANY

231 St. Asaph's Rd., Suite 100  
Bala Cynwyd, PA 19004-0950

### Power of Attorney

Surety Bond Number: PB02341700384

Principal: NHM Constructors, LLC

Obligee: NC Department of Transportation

KNOW ALL PERSONS BY THESE PRESENTS: That PHILADELPHIA INDEMNITY INSURANCE COMPANY (the Company), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, does hereby constitute and appoint Debra S. Ritter, its true and lawful Attorney-in-fact with full authority to execute on its behalf bonds, undertakings, recognizances and other contracts of indemnity and writings obligatory in the nature thereof, issued in the course of its business and to bind the Company thereby, in an amount not to exceed \$75,000,000.

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PHILADELPHIA INDEMNITY INSURANCE COMPANY at a meeting duly called the 1<sup>st</sup> day of July, 2011.

**RESOLVED:** That the Board of Directors hereby authorizes the President or any Vice President of the Company to:  
(1) Appoint Attorney(s) in Fact and authorize the Attorney(s) in Fact to execute on behalf of the Company bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof and to attach the seal of the Company thereto; and (2) to remove, at any time, any such Attorney-in-Fact and revoke the authority given. And, be it

**FURTHER RESOLVED:** That the signatures of such officers and the seal of the Company may be affixed to any such Power of Attorney or certificate relating thereto by facsimile, and any such Power of Attorney so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with the respect to any bond or undertaking to which it is attached.

IN TESTIMONY WHEREOF, PHILADELPHIA INDEMNITY INSURANCE COMPANY HAS CAUSED THIS INSTRUMENT TO BE SIGNED AND ITS CORPORATE SEAL TO BE AFFIXED BY ITS AUTHORIZED OFFICE THIS 10<sup>TH</sup> DAY OF JUNE 2013.

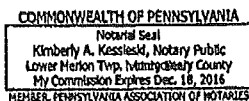


(Seal)

*Robert D. O'Leary Jr.*

Robert D. O'Leary Jr., President & CEO  
Philadelphia Indemnity Insurance Company

On this 10<sup>th</sup> day of June 2013, before me came the individual who executed the preceding instrument, to me personally known, and being by me duly sworn said that he is the therein described and authorized officer of the PHILADELPHIA INDEMNITY INSURANCE COMPANY; that the seal affixed to said instrument is the Corporate seal of said Company; that the said Corporate Seal and his signature were duly affixed.



Notary Public: \_\_\_\_\_

residing at: \_\_\_\_\_

My commission expires: \_\_\_\_\_

Bala Cynwyd, PA

December 18, 2016

(Notary Seal)

I, Edward Sayago, Corporate Secretary of PHILADELPHIA INDEMNITY INSURANCE COMPANY, do hereby certify that the foregoing resolution of the Board of Directors and this Power of Attorney issued pursuant thereto on this 10<sup>TH</sup> day of June 2013 true and correct and are still in full force and effect. I do further certify that Robert D. O'Leary Jr., who executed the Power of Attorney as President, was on the date of execution of the attached Power of Attorney the duly elected President of PHILADELPHIA INDEMNITY INSURANCE COMPANY.

In Testimony Whereof I have subscribed my name and affixed the facsimile seal of each Company this 3rd day of February, 2016



*Edward Sayago*

Edward Sayago, Corporate Secretary  
PHILADELPHIA INDEMNITY INSURANCE COMPANY

