STATE OF NORTH CAROLINA

DEPARTMENT OF TRANSPORTATION

DIVISION 10 DISTRICT 3

CONTRACT PROPOSAL

WBS ELEMENT: 17BP.10.R.29 & 17BP.10.R.32 **COUNTY:** Anson

TYPE OF WORK & LOCATION: Replace Structure # 253 Over Cedar Branch On SR# 1415 with Pipe Culverts & Structure #310 over Caudle Branch on SR# 1410 with 3 - Sided Culvert

BID OPENING: May 1st , 2013

DATE OF AVAILABILITY: June 10th, 2013

COMPLETION DATE: September 30th, 2014

NOTICE:
All BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS $30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA.

NAME OF BIDDER

N.C. CONTRACTOR'S LICENSE NUMBER

ADDRESS OF BIDDER

*****DO NOT SEPARATE THE BID SHEET FROM THE PROPOSAL!*****

RETURN BIDS TO: Mr. Garland Haywood, PE
NC Department of Transportation
716 West Main Street
Albemarle, NC 28001

Table of Contents

INSTRUCTIONS TO BIDDERS

PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE PREPARING AND SUBMITTING YOUR BID.

All bids shall be prepared and submitted in accordance with the following requirements, except that bids may be prepared by electronic means as described elsewhere in the proposal. Failure to comply with any requirement shall cause the bid to be considered irregular and may be grounds for rejection of the bid.

1. The bid sheet furnished by NCDOT with the proposal shall be used and shall not be altered in any manner. **DO NOT SEPARATE THE BID SHEET FROM THE PROPOSAL!**
2. All entries on the bid sheet, including signatures, shall be written in ink.
3. The Bidder shall submit a unit price for every item on the bid form. The unit prices for the various contract items shall be written in figures. ***Unit Prices shall be rounded off by the bidder to contain no more than FOUR decimal places.***
4. An amount bid shall be entered on the bid sheet for every item. The amount bid for each item shall be determined by multiplying each unit bid by the quantity for that item, and shall be written in figures in the "Amount Bid" column of the sheet.
5. The total amount bid shall be written in figures in the proper place on the bid sheet. The total amount shall be determined by adding the amounts bid for each item.
6. Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Bidder shall initial the change in ink.
7. The bid shall be properly executed. All bids shall show the following information:
   a. Name of individual, firm, corporation, partnership, or joint venture submitting bid.
   b. Name of individual or representative submitting bid and position or title.
   c. Name, signature, and position or title of witness.
   d. Federal Identification Number
   e. Contractor's License Number
8. Bids submitted by corporations shall bear the seal of the corporation.
9. The bid shall not contain any unauthorized additions, deletions, or conditional bids.
10. The bidder shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
11. **THE PROPOSAL WITH THE BID SHEET STILL ATTACHED SHALL BE PLACED IN A SEALED ENVELOPE AND SHALL HAVE BEEN DELIVERED TO AND RECEIVED IN THE BRIDGE PROGRAM MANAGER'S OFFICE AT 716 WEST MAIN STREET, ALBEMARLE, NC 28001 BY 10:00 AM ON WEDNESDAY, MAY 1st, 2013.**

12. The sealed bid must display the following statement on the bottom left-hand corner of the sealed envelope:

    QUOTATION FOR WBS# 17BP.10.R.29, REPLACE STRUCTURE # 253 OVER CEDAR BRANCH ON SR# 1415 WITH PIPE CULVERTS & WBS# 17BP.10.R.32, REPLACE STRUCTURE # 310 OVER CAUDLE BRANCH ON SR# 1410 WITH 3-SIDED CULVERT, ANSON COUNTY TO BE OPENED 10:00 AM ON WEDNESDAY, May 1st , 2013.

13. If delivered by mail, the sealed envelope shall be addressed as follows:

    Mr. Garland Haywood, PE
    NC Department of Transportation
    716 West Main Street
    Albemarle, NC 28001

The award of the contract, if it is awarded, will be made to the lowest responsible Bidder in accordance with Section 102 (excluding 102-2 and 102-10) of the 2012 Standard Specifications for Roads and Structures. The lowest responsible Bidder will be notified that his bid has been accepted and that he has been awarded the contract. NCDOT reserves the right to reject all bids.

DIVISION CONTRACT
STANDARD PROVISIONS

COMPUTER BID PREPARATION (OPTIONAL)

(7-18-11) 102 SPI 1-18

The bidder may elect to prepare his bid and MBE/WBE or DBE participation electronically by means of a personal computer. For electronic bid preparation the Contractor shall download the Expedite program from the NCDOT "Project Letting" website. Then download the appropriate .ebs electronic file of line items and quantities unique to each project from the Division Office's website.

The only entries into the program which will be permitted by the Bidder are the appropriate unit or lump sum prices for those items which must be bid in order to provide a complete bid for the project, and any MBE/WBE or DBE participation in the appropriate section of the Expedite program. When these entries have been made, the program will automatically prepare a complete set of itemized proposal sheets which will include the amount bid for the various items and the total amount bid for the project in addition to the unit or lump sum prices bid. The computer generated itemized proposal sheets shall be printed and signed by a duly authorized representative in accordance with Subarticle 102-8(A)(8). This set of itemized proposal sheets, when submitted together with the appropriate proposal, will constitute the bid and shall be delivered to the appropriate Division Office or location specified in the INSTRUCTIONS TO BIDDERS. If the Bidder submits his bid on computer generated itemized proposal sheets, bid prices shall not be written on the itemized proposal sheets bound in the proposal. The computer generated itemized proposal sheets (.ebs bid file) shall also be copied to a compact disk (CD) furnished by the Contractor and shall be submitted to the Department with the bid.

In the case of a discrepancy between the unit or lump sum prices submitted on the itemized proposal sheets and those contained on the CD furnished by the Contractor, the unit or lump sum prices submitted on the printed and signed itemized proposal sheets shall prevail.

The requirements of the INSTRUCTIONS TO BIDDERS will apply to the preparation of bids except that a bid may be submitted on computer generated itemized proposal sheets in which case the entries on the itemized proposal sheets will not be required to be in ink. Changes to any entry on the computer generated itemized proposal sheets shall be made in accordance with requirement Number (6) of the INSTRUCTIONS TO BIDDERS. When the computer generated itemized proposal sheets are not signed and received with the proposal, the bid will be considered irregular

GENERAL

This contract is for the replacement of **Structure # 253 over Cedar Branch on SR 1415 and Structure # 310 over Caudle Branch on SR 1410.**

All work and materials shall be in accordance with the provisions of the General Guidelines of this contract, the Project Special Provisions, the North Carolina Department of Transportation 2012 Standard Specification for Roads and Structures, the North Carolina Department of Transportation Roadway Standards Drawings, and the current edition of the Manual of Uniform Traffic Control Devices (MUTCD).

The Contractor shall keep himself fully informed of all Federal, State and local laws, ordinances, and regulations, and shall comply with the provisions of Section 107 of the Standard Specifications.

AUTHORITY OF THE ENGINEER

The Engineer for this project shall be the Division Engineer, Division 10, Division of Highways, North Carolina Department of Transportation, acting directly or through his duly authorized representatives.

The Engineer will decide all questions which may arise as to the quality and acceptability of work performed and as to the rate of progress of the work; all questions which may arise as to the interpretation of the contract; and all questions as to the acceptable fulfillment of the contract on the part of the Contractor. His decision shall be final and he shall have executive authority to enforce and make effective such decisions and orders as the Contractor fails to carry out promptly.

The Contractor will be required to obtain written approval from the Engineer for any subcontract work performed on this project prior to the subcontracted work being performed in accordance with Article 108-6 of the NCDOT Standard Specifications for Roads and Structures.

MATERIALS AND TESTING

The Engineer reserves the right to perform all sampling and testing in accordance with Section 106 of the Standard Specifications and the Department's "Materials and Tests Manual." However the Engineer may reduce the frequency of sampling and testing where he deems it appropriate for the project under construction.

All steel products which are permanently incorporated into this project shall be domestically produced. The Contractor shall furnish a notarized certification certifying that steel products conform to this requirement.

The Contractor shall furnish the applicable certifications and documentation for all materials as required by the Standard Specifications. Material which is not properly certified will not be accepted.

Delivery tickets for all material paid by weight, shall be furnished in accordance with Section 106-7 of the Standard Specifications and shall include the following information:

1. NCDOT Work Order Number
2. Date
3. Time issued
4. Type of material
5. Gross weight
6. Tare weight
7. Net weight of material
8. Plant location
9. Truck number
10. Contractor's name
11. Public weighmaster's stamp or number
12. Public weighmaster's signature or initials in ink
13. Job mix formula number

BASIS OF PAYMENT AND CLAIMS

The quantity of unit or lump sum prices and payment will be full compensation for all work, including, but not limited to supervision, labor, transportation, fuels, lubricants, repair parts, equipment, machinery and tools, materials necessary for the prosecution and completion of the work. The quantities contained herein are estimated only and the quantity to be paid for shall be the actual quantities which were used on the project.

Payment to the Contractor will be made only for the actual quantities of the various items that are completed and accepted in accordance with the terms of the contract. Unless otherwise specified, all contract pay items will be produced, placed and paid in accordance with the Standard Specifications. In no case will the total amount paid to the contractor exceed the total contract quote by more than ten percent without prior written request from the Division Engineer to Fiscal Section.

CLAIMS FOR ADDITIONAL COMPENSATION OR EXTENSION OF TIME

The Contractor's attention is directed to the fact that Article 104-5 pertaining to revised contract unit prices will not apply to this contract.

Please be advised that General Statute 136-29 of the Road and Highway Laws of North Carolina provides that a contractor who has not received the amount he claims he is due under the contract may submit a written verified claim to the State Highway Administrator within sixty (60) days after receipt of the final statement. The mailing address for the State Highway Administrator is: N. C. Department of Transportation, 1536 Mail Service Center, Raleigh, NC 27699-1536.

SUPERVISION BY CONTRACTOR

At all times during the life of the project the Contractor shall provide one permanent employee who shall have the authority and capability for overall responsibility of the project and who shall be personally available at the work site within 24 hours notice. Such employee shall be fully authorized to conduct all business with the subcontractors, to negotiate and execute all supplemental agreements, and to execute the orders or directions of the Engineer.

At all times that work is actually being performed, the Contractor shall have present on the project one competent individual who is authorized to act in a supervisory capacity over all work on the project, including work subcontracted. The individual who has been so authorized shall be experienced in the type of work being performed and shall be fully capable of managing, directing, and coordinating the work; of reading and thoroughly understanding the contract, and plans; and receiving and carrying out directions from the Engineer or his authorized representatives. He shall be an employee of the Contractor unless otherwise approved by the Engineer.

The Contractor may, at his option, designate one employee to meet the requirements of both positions. However, whenever the designated employee is absent from the work site, an authorized individual qualified to act in a supervisory capacity on the project shall be present.

CONTRACT PAYMENT AND PERFORMANCE BOND

The successful bidder will be required to execute both a payment bond and a performance bond for a contract of $300,000 or more. The successful bidder, within 14 calendar days after the notice of award is received by him, shall provide the Department with a contract payment bond and a contract performance bond each in an amount equal to 100 percent of the amount of the contract. All bonds shall be on the State bond forms which will can be located @ **WWW.NCDOT.org.** The corporate surety furnishing the bonds shall be authorized to do business in the State. The successful bidder's failure to file acceptable bonds within 14 calendar days after the notice of award is received by him shall be just cause for rescinding the award of the contract.

NOTIFICATION OF OPERATIONS

The Contractor shall notify the Engineer 48 hours in advance of beginning work on this project. The Contractor shall give the Engineer sufficient notice of all operations for any sampling, inspection or acceptance testing required.

SUBLETTING OF CONTRACT

The Contractor shall not sublet, sell, transfer, assign or otherwise dispose of this contract or any portion thereof; or his right, title, or interest therein; without written consent of the Engineer. Subletting of this contract or any portion of the contract shall conform to the requirements of Article of 108-6 of the Standard Specifications.

DEFAULT OF CONTRACT

The Department of Transportation shall have the right to declare a default of contract for breach by the Contractor of any material term or condition of the contract. Default of contract shall be in accordance with the terms, conditions, and procedures of Article 108-9 of the Standard Specifications.

FINAL INVOICE

NOTE: On all HICAM projects the Contractor will be responsible to supply all material as needed to the Contract Administrator for entry into HICAM.

EXTENSION OF CONTRACT TIME

Failure on the part of the Contractor to furnish bonds or certifications, or to satisfy preliminary requirements necessary to issue the purchase order will not constitute grounds for extension of the contract time. If the Contractor has fulfilled all preliminary requirements for the issuance of a purchase order, and the purchase order authorization is not available by the date of availability, the Contractor shall be granted an extension equal to the number of calendar days the purchase order authorization is delayed after the date of availability.

GIFTS FROM VENDORS AND CONTRACTORS

(12-15-09) RG 152

By Executive Order 24, issued by Governor Perdue, and *N.C. G.S.§ 133-32*, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

(1) have a contract with a governmental agency; or
(2) have performed under such a contract within the past year; or
(3) anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and *G.S. § 133-32*.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

OUTSOURCING OUTSIDE THE USA
(9-21-04) (Rev. 5-16-06) SP1 G150

All work on consultant contracts, services contracts, and construction contracts shall be performed in the United States of America. No work shall be outsourced outside of the United States of America.

Outsourcing for the purpose of this provision is defined as the practice of subcontracting labor, work, services, staffing, or personnel to entities located outside of the United States.

The North Carolina Secretary of Transportation shall approve exceptions to this provision in writing.

EMPLOYMENT
(11-15-11) (Rev. 1-17-12) 108, 102 SP1 G184

Revise the *2012 Standard Specifications* as follows:

Page 1-20, Subarticle 102-15(O), delete and replace with the following:

(O) Failure to restrict a former Department employee as prohibited by Article 108-5.

Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

LOCATING EXISTING UNDERGROUND UTILITIES
(3-20-12) 105 SP1 G115

Revise the *2012 Standard Specifications* as follows:

Page 1-43, Article 105-8, line 28, after the first sentence, add the following:

Identify excavation locations by means of pre-marking with white paint, flags, or stakes or provide a specific written description of the location in the locate request.

STATE HIGHWAY ADMINISTRATOR TITLE CHANGE
(9-18-12) SP1 G185

Revise the *2012 Standard Specifications* as follows:

Replace all references to “State Highway Administrator” with “Chief Engineer”.

TWELVE MONTH GUARANTEE
(7-15-03) SP1 G145

(A) The Contractor shall guarantee materials and workmanship against latent and patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve months following the date of final acceptance of the work for maintenance and shall replace such defective materials and workmanship without cost to the Department. The Contractor will not

be responsible for damage due to faulty design, normal wear and tear, for negligence on the part of the Department, and/or for use in excess of the design.

(B) Where items of equipment or material carry a manufacturer's guarantee for any period in excess of twelve months, then the manufacturer's guarantee shall apply for that particular piece of equipment or material. The Department's first remedy shall be through the manufacturer although the Contractor is responsible for invoking the warranted repair work with the manufacturer. The Contractor's responsibility shall be limited to the term of the manufacturer's guarantee. NCDOT would be afforded the same warranty as provided by the Manufacturer.

This guarantee provision shall be invoked only for major components of work in which the Contractor would be wholly responsible for under the terms of the contract. Examples would include pavement structures, structure components, and sign structures. This provision will not be used as a mechanism to force the Contractor to return to the project to make repairs or perform additional work that the Department would normally compensate the Contractor for. In addition, routine maintenance activities (i.e. mowing grass, debris removal, ruts in earth shoulders,) are not parts of this guarantee.

Appropriate provisions of the payment and/or performance bonds shall cover this guarantee for the project.

To ensure uniform application statewide the Division Engineer will forward details regarding the circumstances surrounding any proposed guarantee repairs to the Chief Engineer for review and approval prior to the work being performed.

MINORITY BUSINESS ENTERPRISE AND WOMEN BUSINESS ENTERPRISE (DIVISIONS):

(10-16-07)(Rev. 1-17-12) 102-15(J) SP1 G67

Description

The purpose of this Special Provision is to carry out the North Carolina Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts financed in whole or in part with State funds.

Definitions

Additional MBE/WBE Subcontractors - Any MBE/WBE submitted at the time of bid that will not be used to meet either the MBE or WBE goal. No submittal of a Letter of Intent is required.

Committed MBE/WBE Subcontractor - Any MBE/WBE submitted at the time of bid that is being used to meet either the MBE or WBE goal by submission of a Letter of Intent. Or any MBE or WBE used as a replacement for a previously committed MBE or WBE firm.

Contract Goals Requirement - The approved MBE and WBE participation at time of award, but not greater than the advertised contract goals for each.

Goal Confirmation Letter - Written documentation from the Department to the bidder confirming the Contractor's approved, committed MBE and WBE participation along with a listing of the committed MBE and WBE firms.

Manufacturer - A firm that operates or maintains a factory or establishment that produces on the premises, the materials or supplies obtained by the Contractor.

MBE Goal - A portion of the total contract, expressed as a percentage, that is to be performed by committed MBE subcontractor(s).

Minority Business Enterprise (MBE) - A firm certified as a Disadvantaged Minority-Owned Business Enterprise through the North Carolina Unified Certification Program.

Regular Dealer - A firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, cement, gravel, stone, and petroleum products need not keep such products in stock, if it owns and operates distribution equipment for the products. Brokers and packagers are not regarded as manufacturers or regular dealers within the meaning of this section.

North Carolina Unified Certification Program (NCUCP) - A program that provides comprehensive services and information to applicants for MBE/WBE certification. The MBE/WBE program follows the same regulations as the federal Disadvantaged Business Enterprise (DBE) program in accordance with 49 CFR Part 26.

United States Department of Transportation (USDOT) - Federal agency responsible for issuing regulations (49 CFR Part 26) and official guidance for the DBE program.

WBE Goal - A portion of the total contract, expressed as a percentage, that is to be performed by committed WBE subcontractor(s).

Women Business Enterprise (WBE) - A firm certified as a Disadvantaged Women-Owned Business Enterprise through the North Carolina Unified Certification Program.

Forms and Websites Referenced in this Provision

Payment Tracking System - On-line system in which the Contractor enters the payments made to MBE and WBE subcontractors who have performed work on the project. https://apps.dot.state.nc.us/Vendor/PaymentTracking/

DBE-IS *Subcontractor Payment Information* - Form for reporting the payments made to all MBE/WBE firms working on the project. This form is for paper bid projects only. http://www.ncdot.org/doh/forms/files/DBE-IS.xls

RF-1 *MBE/WBE Replacement Request Form* - Form for replacing a committed MBE or WBE. https://apps.dot.state.nc.us/_includes/download/external.html?pdf=http%3A//www.ncdot.gov/doh/forms/files/RF-1.pdf

SAF *Subcontract Approval Form* - Form required for approval to sublet the contract. http://www.ncdot.org/doh/operations/dp_chief_eng/constructionunit/saf.xls

JC-1 *Joint Check Notification Form* - Form and procedures for joint check notification. The form acts as a written joint check agreement among the parties providing full and prompt disclosure of the expected use of joint checks.
https://apps.dot.state.nc.us/_includes/download/external.html?pdf=http%3A//www.ncdot.gov/doh/forms/files/JC-1.pdf

Letter of Intent - Form signed by the Contractor and the MBE/WBE subcontractor, manufacturer or regular dealer that affirms that a portion of said contract is going to be performed by the signed MBE/WBE for the amount listed at the time of bid.
http://www.ncdot.org/doh/preconstruct/ps/contracts/letterofintent.pdf

Listing of MBE and WBE Subcontractors Form - Form for entering MBE/WBE subcontractors on a project that will meet this MBE and WBE goals. This form is for paper bids only.
http://www.ncdot.gov/doh/preconstruct/ps/word/MISC3.doc

Subcontractor Quote Comparison Sheet - Spreadsheet for showing all subcontractor quotes in the work areas where MBEs and WBEs quoted on the project. This sheet is submitted with good faith effort packages.
http://www.ncdot.gov/business/ocs/goodfaith/excel/Ex_Subcontractor_Quote_Comparison.xls

MBE and WBE Goal

The following goals for participation by Minority Business Enterprises and Women Business Enterprises are established for this contract:

(A) Minority Business Enterprises **1.0 %**

(1) *If the MBE goal is more than zero,* the Contractor shall exercise all necessary and reasonable steps to ensure that MBEs participate in at least the percent of the contract as set forth above as the MBE goal.

(2) *If the MBE goal is zero,* the Contractor shall make an effort to recruit and use MBEs during the performance of the contract. Any MBE participation obtained shall be reported to the Department.

(B) Women Business Enterprises **6.0 %**

(1) *If the WBE goal is more than zero,* the Contractor shall exercise all necessary and reasonable steps to ensure that WBEs participate in at least the percent of the contract as set forth above as the WBE goal.

(2) *If the WBE goal is zero,* the Contractor shall make an effort to recruit and use WBEs during the performance of the contract. Any WBE participation obtained shall be reported to the Department.

Directory of Transportation Firms (Directory)

Real-time information is available about firms doing business with the Department and firms that are certified through NCUCP in the Directory of Transportation Firms. Only firms identified in the

Directory as MBE and WBE certified shall be used to meet the MBE and WBE goals respectively. The Directory can be found at the following link. https://partner.ncdot.gov/VendorDirectory/default.html

The listing of an individual firm in the directory shall not be construed as an endorsement of the firm's capability to perform certain work.

Listing of MBE/WBE Subcontractors

At the time of bid, bidders shall submit all MBE and WBE participation that they anticipate to use during the life of the contract. Only those identified to meet the MBE goal and the WBE goal will be considered committed, even though the listing shall include both committed MBE/WBE subcontractors and additional MBE/WBE subcontractors. Any additional MBE/WBE subcontractor participation submitted at the time of bid will be used toward overall race-neutral goals. Only those firms with current MBE and WBE certification at the time of bid opening will be acceptable for listing in the bidder's submittal of MBE and WBE participation. The Contractor shall indicate the following required information:

Blank forms will not be deemed to represent zero participation. Bids submitted that do not have MBE and WBE participation indicated on the appropriate form will not be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be rejected.

(A) *If either the MBE or WBE goal is more than zero,*

(1) Bidders, at the time the bid proposal is submitted, shall submit a listing of MBE/WBE participation, including the names and addresses on *Listing of MBE and WBE Subcontractors* contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the MBE and WBE participation for the contract.

(2) If bidders have no MBE or WBE participation, they shall indicate this on the *Listing of MBE and WBE Subcontractors* by entering the word "None" or the number "0." This form shall be completed in its entirety.

(3) The bidder shall be responsible for ensuring that the MBE/WBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that MBE's or WBE's participation will not count towards achieving the corresponding goal.

(B) *If either the MBE or WBE goal is zero,* bidders, at the time the bid proposal is submitted, shall enter the word "None"; or the number "0"; or if there is participation, add the value on the *Listing of MBE and WBE Subcontractors* contained elsewhere in the contract documents.

MBE or WBE Prime Contractor

When a certified MBE or WBE firm bids on a contract that contains MBE and WBE goals, the firm is responsible for meeting the goals or making good faith efforts to meet the goals, just like any other bidder. In most cases, a MBE or WBE bidder on a contract will meet one of the goals by virtue of the work it performs on the contract with its own forces. However, all the work that is performed by the MBE or WBE bidder and any other similarly certified subcontractors will count toward the goal. The

MBE or WBE bidder shall list itself along with any MBE or WBE subcontractors, if any, in order to receive credit toward the goals.

For example, on a proposed contract, the WBE goal is 10%, and the MBE goal is 8%. A WBE bidder puts in a bid where they will perform 40% of the contract work and have a WBE subcontractor which will perform another 5% of the work. Together the two WBE firms submit on the *Listing of MBE and WBE Subcontractors* a value of 45% of the contract which fulfills the WBE goal. The 8% MBE goal shall be obtained through MBE participation with MBE certified subcontractors or documented through a good faith effort. It should be noted that you cannot combine the two goals to meet an overall value. The two goals shall remain separate.

MBE/WBE prime contractors shall also follow Sections A or B listed under *Listing of MBE/WBE Subcontractors* just as a non-MBE/WBE bidder would.

Written Documentation – Letter of Intent

The bidder shall submit written documentation for each MBE/WBE that will be used to meet the MBE and WBE goals of the contract, indicating the bidder's commitment to use the MBE/WBE in the contract. This documentation shall be submitted on the Department's form titled *Letter of Intent*.

The documentation shall be received in the office of the Engineer no later than 12:00 noon of the sixth calendar day following opening of bids, unless the sixth day falls on Saturday, Sunday or an official state holiday. In that situation, it is due in the office of the Engineer no later than 12:00 noon on the next official state business day.

If the bidder fails to submit the Letter of Intent from each committed MBE and WBE to be used toward the MBE and WBE goals, or if the form is incomplete (i.e. both signatures are not present), the MBE/WBE participation will not count toward meeting the MBE/WBE goal. If the lack of this participation drops the commitment below either the MBE or WBE goal, the Contractor shall submit evidence of good faith efforts for the goal not met, completed in its entirety, to the Engineer no later than 12:00 noon of the eighth calendar day following opening of bids, unless the eighth day falls on Saturday, Sunday or an official state holiday. In that situation, it is due in the office of the Engineer no later than 12:00 noon on the next official state business day.

Submission of Good Faith Effort

If the bidder fails to meet or exceed either the MBE or the WBE goal, the apparent lowest responsive bidder shall submit to the Department documentation of adequate good faith efforts made to reach that specific goal(s).

One complete set and 9 copies of this information shall be received in the office of the Engineer no later than 12:00 noon of the sixth calendar day following opening of bids, unless the sixth day falls on Saturday, Sunday or an official state holiday. In that situation, it is due in the office of the Engineer no later than 12:00 noon on the next official state business day.

Note: Where the information submitted includes repetitious solicitation letters, it will be acceptable to submit a representative letter along with a distribution list of the firms that were solicited. Documentation of MBE/WBE quotations shall be a part of the good faith effort submittal. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

Consideration of Good Faith Effort for Projects with MBE/WBE Goals More Than Zero

Adequate good faith efforts mean that the bidder took all necessary and reasonable steps to achieve the goal which, by their scope, intensity, and appropriateness, could reasonably be expected to obtain sufficient MBE/WBE participation. Adequate good faith efforts also mean that the bidder actively and aggressively sought MBE/WBE participation. Mere *pro forma* efforts are not considered good faith efforts.

The Department will consider the quality, quantity, and intensity of the different kinds of efforts a bidder has made. Listed below are examples of the types of actions a bidder will take in making a good faith effort to meet the goals and are not intended to be exclusive or exhaustive, nor is it intended to be a mandatory checklist.

(A) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices through the use of the NCDOT Directory of Transportation Firms) the interest of all certified MBEs/WBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within at least 10 days prior to bid opening to allow the MBEs/WBEs to respond to the solicitation. Solicitation shall provide the opportunity to MBEs/WBEs within the Division and surrounding Divisions where the project is located. The bidder must determine with certainty if the MBEs/WBEs are interested by taking appropriate steps to follow up initial solicitations.

(B) Selecting portions of the work to be performed by MBEs/WBEs in order to increase the likelihood that the MBE and WBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE/WBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.

(C) Providing interested MBEs/WBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.

(D) (1) Negotiating in good faith with interested MBEs/WBEs. It is the bidder's responsibility to make a portion of the work available to MBE/WBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available MBE/WBE subcontractors and suppliers, so as to facilitate MBE/WBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of MBEs/WBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for MBEs/WBEs to perform the work.

(2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including MBE/WBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using MBEs/WBEs is not in itself sufficient reason for a bidder's failure to meet the contract MBE or WBE goals, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not

relieve the bidder of the responsibility to make good faith efforts. Bidding contractors are not, however, required to accept higher quotes from MBEs/WBEs if the price difference is excessive or unreasonable.

(E) Not rejecting MBEs/WBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership in specific groups, organizations, or associates and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.

(F) Making efforts to assist interested MBEs/WBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or bidder.

(G) Making efforts to assist interested MBEs/WBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

(H) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; Federal, State, and local minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of MBEs/WBEs. Contact within 7 days from the bid opening NCDOT's Business Development Manager in the Business Opportunity and Work Force Development Unit to give notification of the bidder's inability to get MBE or WBE quotes.

(I) Any other evidence that the bidder submits which shows that the bidder has made reasonable good faith efforts to meet the MBE and WBE goal.

In addition, the Department may take into account the following:

(1) Whether the bidder's documentation reflects a clear and realistic plan for achieving the MBE and WBE goals.

(2) The bidders' past performance in meeting the MBE and WBE goals.

(3) The performance of other bidders in meeting the MBE and WBE goals. For example, when the apparent successful bidder fails to meet the goals, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts the apparent successful bidder could have met the goals. If the apparent successful bidder fails to meet the MBE and WBE goals, but meets or exceeds the average MBE and WBE participation obtained by other bidders, the Department may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made a good faith effort.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy to the Department that the MBE and WBE goals can be met or that an adequate good faith effort has been made to meet the MBE and WBE goals.

Non-Good Faith Appeal

The Engineer will notify the contractor verbally and in writing of non-good faith. A contractor may appeal a determination of non-good faith made by the Goal Compliance Committee. If a contractor wishes to appeal the determination made by the Committee, they shall provide written notification to the Engineer. The appeal shall be made within 2 business days of notification of the determination of non-good faith.

Counting MBE/WBE Participation Toward Meeting MBE/WBE Goals

(A) Participation

The total dollar value of the participation by a committed MBE/WBE will be counted toward the contract goal requirements. The total dollar value of participation by a committed MBE/WBE will be based upon the value of work actually performed by the MBE/WBE and the actual payments to MBE/WBE firms by the Contractor.

(B) Joint Checks

Prior notification of joint check use shall be required when counting MBE/WBE participation for services or purchases that involves the use of a joint check. Notification shall be through submission of Form JC-1 *(Joint Check Notification Form)* and the use of joint checks shall be in accordance with the Department's Joint Check Procedures.

(C) Subcontracts (Non-Trucking)

A MBE/WBE may enter into subcontracts. Work that a MBE subcontracts to another MBE firm may be counted toward the MBE contract goal requirement. The same holds for work that a WBE subcontracts to another WBE firm. Work that a MBE subcontracts to a non-MBE firm does not count toward the MBE contract goal requirement. Again, the same holds true for the work that a WBE subcontracts to a non-WBE firm. If a MBE or WBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, it shall be presumed that the MBE or WBE is not performing a commercially useful function. The MBE/WBE may present evidence to rebut this presumption to the Department. The Department's decision on the rebuttal of this presumption may be subject to review by the Office of Inspector General, NCDOT.

(D) Joint Venture

When a MBE or WBE performs as a participant in a joint venture, the Contractor may count toward its contract goal requirement a portion of the total value of participation with the MBE or WBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the MBE or WBE performs with its forces.

(E) Suppliers

A contractor may count toward its MBE or WBE requirement 60 percent of its expenditures for materials and supplies required to complete the contract and obtained from a MBE or WBE regular dealer and 100 percent of such expenditures from a MBE or WBE manufacturer.

(F) Manufacturers and Regular Dealers

A contractor may count toward its MBE or WBE requirement the following expenditures to MBE/WBE firms that are not manufacturers or regular dealers:

(1) The fees or commissions charged by a MBE/WBE firm for providing a *bona fide* service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.

(2) With respect to materials or supplies purchased from a MBE/WBE, which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site (but not the cost of the materials and supplies themselves), provided the fees are determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

Commercially Useful Function

(A) MBE/WBE Utilization

The Contractor may count toward its contract goal requirement only expenditures to MBEs and WBEs that perform a commercially useful function in the work of a contract. A MBE/WBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the MBE/WBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a MBE/WBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the MBE/WBE credit claimed for its performance of the work, and any other relevant factors.

(B) MBE/WBE Utilization in Trucking

The following factors will be used to determine if a MBE or WBE trucking firm is performing a commercially useful function:

(1) The MBE/WBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting the MBE or WBE goal.

(2) The MBE/WBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.

(3) The MBE/WBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.

(4) The MBE may subcontract the work to another MBE firm, including an owner-operator who is certified as a MBE. The same holds true that a WBE may subcontract the work to another WBE firm, including an owner-operator who is certified as a WBE. When this occurs, the MBE or WBE who subcontracts work receives credit for the total value of the transportation services the subcontracted MBE or WBE provides on the contract. It should be noted that every effort shall be made by MBE and WBE contractors to subcontract to the same certification (i.e., MBEs to MBEs and WBEs to WBEs), in order to fulfill the goal requirement. This, however, may not always be possible due to the limitation of firms in the area. If the MBE or WBE firm shows a good faith effort has been made to reach out to similarly certified transportation service providers and there is no interest or availability, and they can get assistance from other certified providers, the Engineer will not hold the prime liable for meeting the goal.

(5) The MBE/WBE may also subcontract the work to a non-MBE/WBE firm, including from an owner-operator. The MBE/WBE who subcontracts the work to a non-MBE/WBE is entitled to credit for the total value of transportation services provided by the non-MBE/WBE subcontractor not to exceed the value of transportation services provided by MBE/WBE-owned trucks on the contract. Additional participation by non-MBE/WBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the MBE/WBE and the Contractor will not count towards the MBE/WBE contract requirement.

(6) A MBE/WBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the MBE/WBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the MBE/WBE, so long as the lease gives the MBE/WBE absolute priority for use of the leased truck. This type of lease may count toward the MBE/WBE's credit as long as the driver is under the MBE/WBE's payroll.

(7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the MBE/WBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

MBE/WBE Replacement

When a Contractor has relied on a commitment to a MBE or WBE firm (or an approved substitute MBE or WBE firm) to meet all or part of a contract goal requirement, the contractor shall not terminate the MBE/WBE for convenience. This includes, but is not limited to, instances in which the Contractor seeks to perform the work of the terminated subcontractor with another MBE/WBE subcontractor, a non-MBE/WBE subcontractor, or with the Contractor's own forces or those of an affiliate. A MBE/WBE may only be terminated after receiving the Engineer's written approval based upon a finding of good cause for the termination.

All requests for replacement of a committed MBE/WBE firm shall be submitted to the Engineer for approval on Form RF-1 *(Replacement Request).* If the Contractor fails to follow this procedure, the Contractor may be disqualified from further bidding for a period of up to 6 months.

The Contractor shall comply with the following for replacement of a committed MBE/WBE:

(A) Performance Related Replacement

When a committed MBE is terminated for good cause as stated above, an additional MBE that was submitted at the time of bid may be used to fulfill the MBE commitment. The same holds true if a committed WBE is terminated for good cause, an additional WBE that was submitted at the time of bid may be used to fulfill the WBE goal. A good faith effort will only be required for removing a committed MBE/WBE if there were no additional MBEs/WBEs submitted at the time of bid to cover the same amount of work as the MBE/WBE that was terminated.

If a replacement MBE/WBE is not found that can perform at least the same amount of work as the terminated MBE/WBE, the Contractor shall submit a good faith effort documenting the steps taken. Such documentation shall include, but not be limited to, the following:

(1) Copies of written notification to MBEs/WBEs that their interest is solicited in contracting the work defaulted by the previous MBE/WBE or in subcontracting other items of work in the contract.

(2) Efforts to negotiate with MBEs/WBEs for specific subbids including, at a minimum:

(a) The names, addresses, and telephone numbers of MBEs/WBEs who were contacted.
(b) A description of the information provided to MBEs/WBEs regarding the plans and specifications for portions of the work to be performed.

(3) A list of reasons why MBE/WBE quotes were not accepted.

(4) Efforts made to assist the MBEs/WBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.

(B) Decertification Replacement

(1) When a committed MBE/WBE is decertified by the Department after the SAF (*Subcontract Approval Form*) has been received by the Department, the Department will not require the Contractor to solicit replacement MBE/WBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract goal requirement.

(2) When a committed MBE/WBE is decertified prior to the Department receiving the SAF (*Subcontract Approval Form*) for the named MBE/WBE firm, the Contractor shall take all necessary and reasonable steps to replace the MBE/WBE subcontractor with another similarly certified MBE/WBE subcontractor to perform at least the same amount of work to meet the MBE/WBE goal requirement. If a MBE/WBE firm is not

found to do the same amount of work, a good faith effort must be submitted to NCDOT (see A herein for required documentation).

Changes in the Work

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed MBE/WBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a MBE/WBE based upon the Contractor's commitment, the MBE/WBE shall participate in additional work to the same extent as the MBE/WBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by MBEs/WBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction, and a portion or all of the work had been expected to be performed by a committed MBE/WBE, the Contractor shall seek participation by MBEs/WBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a MBE/WBE, the Contractor shall seek additional participation by MBEs/WBEs equal to the reduced MBE/WBE participation caused by the changes.

Reports and Documentation

A SAF (*Subcontract Approval Form*) shall be submitted for all work which is to be performed by a MBE/WBE subcontractor. The Department reserves the right to require copies of actual subcontract agreements involving MBE/WBE subcontractors.

When using transportation services to meet the contract commitment, the Contractor shall submit a proposed trucking plan in addition to the SAF. The plan shall be submitted prior to beginning construction on the project. The plan shall include the names of all trucking firms proposed for use, their certification type(s), the number of trucks owned by the firm, as well as the individual truck identification numbers, and the line item(s) being performed.

Within 30 calendar days of entering into an agreement with a MBE/WBE for materials, supplies or services, not otherwise documented by the SAF as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation shall also indicate the percentage (60% or 100%) of expenditures claimed for MBE/WBE credit.

Reporting Minority and Women Business Enterprise Participation

The Contractor shall provide the Engineer with an accounting of payments made to all MBE and WBE firms, including material suppliers and contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:

(A) Withholding of money due in the next partial pay estimate; or

(B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to MBEs/WBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from being approved for further work on future projects until the required information is submitted.

Contractors reporting transportation services provided by non-MBE/WBE lessees shall evaluate the value of services provided during the month of the reporting period only.

At any time, the Engineer can request written verification of subcontractor payments.

The Contractor shall report the accounting of payments on the Department's DBE-IS *(Subcontractor Payment Information)* with each invoice. Invoices will not be processed for payment until the DBE-IS is received.

Failure to Meet Contract Requirements

Failure to meet contract requirements in accordance with Subarticle 102-15(J) of the *2012 Standard Specifications* may be cause to disqualify the Contractor.

DIVISION CONTRACT
SPECIAL PROVISIONS--ROADWAY

CONTRACT TIME AND LIQUIDATED DAMAGES

(8-15-00) (Rev. 12-18-07) SP1 G07A

The date of availability for this contract is **June 10th, 2013** except that work in jurisdictional waters and wetlands shall not begin until a meeting between the DOT, Regulatory Agencies, and the Contractor is held as stipulated in the permits contained elsewhere in this proposal. This delay in availability has been considered in determining the contract time for this project.

The completion date for this contract is **September 30th, 2014.**

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **Two Hundred Dollars ($200.00)** per calendar day. These liquidated damages will not be cumulative with any liquidated damages which may become chargeable under Intermediate Contract Time Number 1.

INTERMEDIATE CONTRACT TIME NUMBER 1 AND LIQUIDATED DAMAGES

(7-1-95) (Rev. 2-21-12) 108 SP1 G13 A

Except for that work required under the Project Special Provisions entitled *Planting, Reforestation* and/or *Permanent Vegetation Establishment*, included elsewhere in this proposal, the Contractor will be required to complete all work included in this contract and shall place and maintain traffic on same.

The date of availability for the intermediate contract time for 17BP.10.R.29 is **June 10th, 2013.**

The completion date for the intermediate contract time for 17BP.10.R.29 is **October 7th, 2013.**

The liquidated damages for this intermediate contract time are **Five Hundred Dollars ($500.00)** per calendar day.

Upon apparent completion of all the work required to be completed by this intermediate date, a final inspection will be held in accordance with Article 105-17 and upon acceptance, the Department will assume responsibility for the maintenance of all work except *Planting, Reforestation* and/or *Permanent Vegetation Establishment*. The Contractor will be responsible for and shall make corrections of all damages to the completed roadway caused by his planting operations, whether occurring prior to or after placing traffic through the project.

NOTE: The Contractor will be required to give the Resident Engineer a minimum of fourteen (14) days' notice before closing roadway at structure site. The North Carolina Department of Transportation will be responsible for erection of all off site detour signs. The Contractor will be responsible for all traffic control items at work site. This includes but is not limited to, barricades, barricade signs and road closed signs. A breakdown of all traffic control items and who is responsible for erection and maintenance of these items is detailed on TCP#2. The NCDOT will be responsible for placement of all pavement markings and pavement markers.

INTERMEDIATE CONTRACT TIME NUMBER 2 AND LIQUIDATED DAMAGES

(7-1-95) (Rev. 2-21-12) 108 SP1 G13 A

Except for that work required under the Project Special Provisions entitled *Planting, Reforestation* and/or *Permanent Vegetation Establishment*, included elsewhere in this proposal, the Contractor will be required to complete all work included in this contract and shall place and maintain traffic on same.

The date of availability for the intermediate contract time for 17BP.10.R.32 is **October 8th, 2013.**

The completion date for the intermediate contract time for 17BP.10.R.32 is **March 31st, 2014.**

The liquidated damages for this intermediate contract time are **Five Hundred Dollars ($500.00)** per calendar day.

Upon apparent completion of all the work required to be completed by this intermediate date, a final inspection will be held in accordance with Article 105-17 and upon acceptance, the Department will assume responsibility for the maintenance of all work except *Planting, Reforestation* and/or *Permanent Vegetation Establishment*. The Contractor will be responsible for and shall make corrections of all

damages to the completed roadway caused by his planting operations, whether occurring prior to or after placing traffic through the project.

NOTE: The Contractor will be required to give the Resident Engineer a minimum of fourteen (14) days' notice before closing roadway at structure site. The North Carolina Department of Transportation will be responsible for erection of all off site detour signs. The Contractor will be responsible for all traffic control items at work site. This includes but is not limited to, barricades, barricade signs and road closed signs. A breakdown of all traffic control items and who is responsible for erection and maintenance of these items is detailed on TCP#2. The NCDOT will be responsible for placement of all pavement markings and pavement markers.

PERMANENT VEGETATION ESTABLISHMENT

(2-16-12) 104 SP1 G16

Establish a permanent stand of the vegetation mixture shown in the contract. During the period between initial vegetation planting and final project acceptance, perform all work necessary to establish 80% coverage of permanent vegetation within the project limits, as well as, in borrow and waste pits. This work shall include erosion control device maintenance and installation, repair seeding and mulching, supplemental seeding and mulching, mowing, and fertilizer topdressing, as directed. All work shall be performed in accordance with the applicable section of the *2012 Standard Specifications*.

Once the Engineer has determined that 80% coverage of permanent vegetation has been established, the Contractor will be notified to remove the remaining erosion control devices that are no longer needed. The Contractor will be responsible for, and shall correct any areas disturbed by operations performed in permanent vegetation establishment and the removal of temporary erosion control measures, whether occurring prior to or after placing traffic on the project.

Payment for *Response for Erosion Control*, *Seeding and Mulching*, *Repair Seeding*, *Supplemental Seeding*, *Mowing*, *Fertilizer Topdressing*, *Silt Excavation*, and *Stone for Erosion Control* will be made at contract unit prices for the affected items. Work required that is not represented by contract line items will be paid in accordance with Articles 104-7 or 104-3 of the *2012 Standard Specifications*. No additional compensation will be made for maintenance and removal of temporary erosion control items.

PROSECUTION OF WORK

(7-1-95) (Rev. 8-21-12) 108 SP1 G15R

The Contractor will be required to prosecute the work in a continuous and uninterrupted manner from the time he begins the work until completion and final acceptance of the project. The Contractor will not be permitted to suspend his operations except for reasons beyond his control or except where the Engineer has authorized a suspension of the Contractor's operations in writing.

In the event that the Contractor's operations are suspended in violation of the above provisions, the sum of **$ 500.00** will be charged the Contractor for each and every calendar day that such suspension takes place. The said amount is hereby agreed upon as liquidated damages due to extra engineering and maintenance costs and due to increased public hazard resulting from a suspension of the work. Liquidated damages chargeable due to suspension of the work will be additional to any liquidated damages that may become chargeable due to failure to complete the work on time.

UTILITY CONFLICTS

It shall be the responsibility of the Contractor to contact all affected utility owners and determine the precise locations of all utilities prior to beginning construction. Utility owners shall be contacted a minimum of 48 hours prior to the commencement of operations. Special care shall be used in working around or near existing utilities, protecting them when necessary to provide uninterrupted service. In the event that any utility service is interrupted, the Contractor shall notify the utility owner immediately and shall cooperate with the owner, or his representative, in the restoration of service in the shortest time possible. Existing fire hydrants shall be kept accessible to fire departments at all times.

The Contractor shall adhere to all applicable regulations and follow accepted safety procedures when working in the vicinity of utilities in order to insure the safety of construction personnel and the public. Utilities damaged by the Contractor due to his negligence will be repaired at the Contractor's expense.

Electric - Pee Dee Electric, Travis Wallace 910-997-4441, ext. 8842, twallace@pdemc.com
Telephone - Windstream, Mike Jones 707-694-4876, mike.jones@windstream.com
Water - Anson County Utilities, Steve Natoli 704-694-5208, anatoli@co.anson.nc.us

UTILITY CONSTRUCTION

PREPARED IN OFFICE OF
CH ENGINEERING
3220 GLEN ROYAL RD. RALEIGH, NC 27617
TELE 919.788.0224 FAX 919.788.0232
NC LICENSE #P-0189

1-22-13

January 22, 2013

Project: Bridge 253
County: Anson

PROJECT SPECIAL PROVISIONS
Utility Construction

Revise the 2012 Standard Specifications as follows:

Utility Owner's Contact Information:

Page 15-1; Sub-article 1500-2 Cooperation with the Utility Owner, paragraph 2, add the following sentences:

The utility owner is the Anson County Utilities. The contact person is Steve Natoli and he can be reached by phone at (704) 694-5208.

Page 15-6; Subarticle 1510-2 Materials: Ductile Iron Pipe shall be Pressure Class 350 and push-on restrained joint pipe. All other materials shall comply with Anson County Utilities standard specifications. Service Lines, taps, corporation stops, valves, boxes and re-connects shall be incidental to water meter relocation.

Page 15-6; Subarticle 1510-3 (B) Testing and Sterilization, change the allowable leakage formula to:

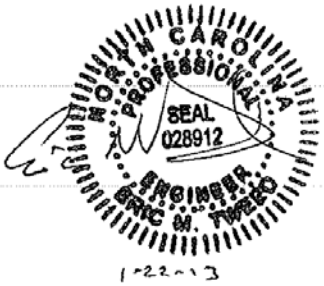
$$W = LD\sqrt{P} \div 148,000$$

Page 15-6; Subarticle 1510-3 (B) Line 32 Testing and Sterilization; seventh paragraph delete the words "may be performed concurrently or" and replace with "shall be performed".

PREPARED IN OFFICE OF

CH ENGINEERING

3220 GLEN ROYAL RD. RALEIGH, NC 27617
TELE 919.788.0224 FAX 919.788.0232
NC LICENSE #P-0189



January 22, 2013

Project: Bridge 310
County: Anson

PROJECT SPECIAL PROVISIONS
Utility Construction

Revise the 2012 Standard Specifications as follows:

Utility Owner's Contact Information:

Page 15-1; Sub-article 1500-2 Cooperation with the Utility Owner, paragraph 2, add the following sentences:

The utility owner is the Anson County Utilities. The contact person is Steve Natoli and he can be reached by phone at (704) 694-5208.

Page 15-6; Subarticle 1510-2 Materials: Ductile Iron Pipe shall be Pressure Class 350 and push-on restrained joint pipe. All other materials shall comply with Anson County Utilities standard specifications. Service Lines, taps, corporation stops, valves, boxes and re-connects shall be incidental to water meter relocation.

Page 15-6; Subarticle 1510-3 (B) Testing and Sterilization, change the allowable leakage formula to:

$$W = LD\sqrt{P} \div 148,000$$

Page 15-6; Subarticle 1510-3 (B) Line 32 Testing and Sterilization; seventh paragraph delete the words "may be performed concurrently or" and replace with "shall be performed".

OVERHEAD POWER LINE

It shall be the responsibility of the Contractor to contact Pee Dee EMC at least two weeks in advance of construction to allow them time to remove the overhead power line. Pee Dee Contact: Travis Wallace 910-997-4441, ext. 8842, twallace@pdemc.com

GRADING

The Contractor is to grade this project to the typical sections and details shown. **Grading shall be comprehensive grading as defined in Section 226 of the Standard Specifications,** and shall include excavation for paved shoulder construction, cutting and removal of paved driveways which intersect the roadway, reshaping, grading and compacting roadway shoulders and ditches, pavement removal, removal of existing concrete curb & gutter, sidewalk and concrete driveways. Contractor will restore all driveways to original or better condition. Any borrow will be incidental to comprehensive grading but undercut will be measured and paid for as Undercut Excavation (CY), see 226-1 of the Specifications. It shall be the Contractor's responsibility to dispose of any waste material or to furnish any borrow material needed. No material may be wasted or removed from the project unless approved by the Engineer.

The Contractor shall shape, compact, and grade the ditches and shoulders to the lines, grades, and typical sections established by the plans or as directed by the Engineer. Roadway ditches shall be cleaned, reshaped and maintained until final acceptance of the project. The Contractor shall grade the roadway ditches so that the continuous drainage at driveway at driveway pipes shall be maintained. However, it shall not be the Contractor's responsibility to remove existing internal obstructions from driveway pipes.

The Contractor shall excavate only that portion of shoulder area to which Asphalt Concrete Base Course can be placed during the same day's operations. No open excavation adjacent to the roadway is permitted after working hours. The excavated area shall be uniformly graded, well compacted, and free of debris and loose material. Areas which the Contractor excavates but is unable to place Asphalt Concrete Base Course for shall be backfilled and made safe at the end of the work day. The contractor shall provide "weep" cuts at intervals to prevent water retention between the pavement and excavation windrow in the event of rain.

The Contractor shall remove any portion of paved driveways which are in conflict with the shoulder widening. A straight uniform edge shall be established for removal of the pavement by sawing or cutting the pavement prior to removal. Driveways which equal or exceed the pavement design of the shoulder widening and have a compatible surface grade may be left in place at the discretion of the Engineer.

The Contractor shall extend the excavation around the radii of intersecting streets to form a uniform transition. In sections which do not begin or end at a intersection, the Contractor shall excavate and pave a minimum 50 foot transition taper at the beginning and end of the widened section. Access shall be maintained to all driveways within the project limits at all times. The Contractor shall restore all unpaved driveways to conditions acceptable to the Department of Transportation. Stone shall be placed in unpaved driveways as directed by the Engineer or his representative. Payment for stone for driveways shall be made under the item "Incidental Stone Base."

Paved driveways or driveway pipes damaged by the Contractor's equipment or operations shall be replaced, repaired or otherwise restored to original condition and state of repair by the Contractor within 15 calendar days of notification by the Engineer. The contractor is advised to make a detailed investigation of the original state of such features prior to commencing operations.

Grading will be as specified under section 226-3 of the Standard Specifications.

UNDERCUT EXCAVATION

Undercut excavation will be performed in accordance with Section 226 of the Standard Specifications and as directed by the Engineer. The contract unit bid price per cubic yard will include all incidentals associated with performing this work.

BORROW EXCAVATION

Any borrow material required must be approved by the Engineer in accordance with Section 230 of the Standard Specifications.

DENSITY TEST

Density tests may be taken in accordance with the Standard Specifications or as directed by the Engineer. The Contractor shall shape and compact the subgrade in the widened area to the satisfaction of the Engineer.

INCIDENTAL STONE

Incidental stone shall be placed and compacted in areas as directed by the Engineer. The contract unit bid price per ton will include all incidentals associated with performing this work.

PROCEDURE FOR MONITORING BORROW PIT DISCHARGE

2-20-07 SP 1G 181

Water discharge from borrow pit sites shall not cause surface waters to exceed 50 NTUs (nephelometric turbidity unit) in streams not designated as trout waters and 10 NTUs in streams, lakes or reservoirs designated as trout waters. For lakes and reservoirs not designated as trout waters, the turbidity shall not exceed 25 NTUs. If the turbidity exceeds these levels due to natural background conditions, the existing turbidity level shall not be increased.

If during any operating day, the downstream water quality exceeds the standard, the Contractor shall do all of the following:

(A) Either cease discharge or modify the discharge volume or turbidity levels to bring the downstream turbidity levels into compliance, or

(B) Evaluate the upstream conditions to determine if the exceedance of the standard is due to natural background conditions. If the background turbidity measurements exceed the standard, operation of the pit and discharge can continue as long as the stream turbidity levels are not increased due to the discharge.

(C) Measure and record the turbidity test results (time, date and sampler) at all defined sampling locations 30 minutes after startup and at a minimum, one additional sampling of all sampling locations during that 24-hour period in which the borrow pit is discharging.

(D) Notify DWQ within 24 hours of any stream turbidity standard exceedances that are not brought into compliance.

During the Environmental Assessment required by Article 230-4 of the *Standard Specifications*, the Contractor shall define the point at which the discharge enters into the State's surface waters and the appropriate sampling locations. Sampling locations shall include points upstream and downstream from the point at which the discharge enters these waters. Upstream sampling location shall be located so that it is not influenced by backwater conditions and represents natural background conditions. Downstream sampling location shall be located at the point where complete mixing of the discharge and receiving water has occurred.

The discharge shall be closely monitored when water from the dewatering activities is introduced into jurisdictional wetlands. Any time visible sedimentation (deposition of sediment) on the wetland surface is observed, the dewatering activity will be suspended until turbidity levels in the stilling basin can be reduced to a level where sediment deposition does not occur. Staining of wetland surfaces from suspended clay particles, occurring after evaporation or infiltration, does not constitute sedimentation. No activities shall occur in wetlands that adversely affect the functioning of a wetland. Visible sedimentation will be considered an indication of possible adverse impacts on wetland use.

The Engineer will perform independent turbidity tests on a random basis. These results will be maintained in a log within the project records. Records will include, at a minimum, turbidity test results, time, date and name of sampler. Should the Department's test results exceed those of the Contractor's test results, an immediate test shall be performed jointly with the results superceding the previous test results of both the Department and the Contractor.

The Contractor shall use the *NCDOT Turbidity Reduction Options for Borrow Pits Matrix,* available at http://www.ncdot.org/doh/preconstruct/ps/contracts/letting.html to plan, design, construct, and maintain BMPs to address water quality standards. Tier I Methods include stilling basins which are standard compensatory BMPs. Other Tier I methods are noncompensatory and shall be used when needed to meet the stream turbidity standards. Tier II Methods are also noncompensatory and are options that may be needed for protection of rare or unique resources or where special environmental conditions exist at the site which have led to additional requirements being placed in the DWQ's 401 Certifications and approval letters, Isolated Wetland Permits, Riparian Buffer Authorization or a DOT Reclamation Plan's Environmental Assessment for the specific site. Should the Contractor exhaust all Tier I Methods on a site exclusive of rare or unique resources or special environmental conditions, Tier II Methods may be required by regulators on a case by case basis per supplemental agreement.

The Contractor may use cation exchange capacity (CEC) values from proposed site borings to plan and develop the bid for the project. CEC values exceeding 15 milliequivalents per 100 grams of soil may indicate a high potential for turbidity and should be avoided when dewatering into surface water is proposed.

No additional compensation for monitoring borrow pit discharge will be paid

REMOVAL OF EXISTING PAVEMENT

Pavement removal shall be performed in accordance with Section 250 of the Standard Specifications unless otherwise instructed by the Engineer.

SHOULDER AND FILL SLOPE MATERIAL:

(5-21-02) 235, 560 SP2 R45 A

Description

Perform the required shoulder and slope construction for this project in accordance with the applicable requirements of Section 560 and Section 235 of the *2012 Standard Specifications*.

Measurement and Payment

Where the material has been obtained from an authorized stockpile or from a borrow source and *Borrow Excavation* is not included in the contract, no direct payment will be made for this work, as the cost of this work will be part of the work being paid at the contract lump sum price for *Grading.* If *Borrow Excavation* is included in this contract and the material has been obtained from an authorized stockpile or from a borrow source, measurement and payment will be as provided in Section 230 of the *2012 Standard Specifications* for *Borrow Excavation*.

CLEARING AND GRUBBING – METHOD II

(9-17-02) (Rev. 1-17-12) 200 SP2 R02A

Perform clearing on this project to the limits established by Method "II" shown on Standard No. 200.02 of the *2012 Roadway Standard Drawings*.

PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX

(11-21-00) SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the *2012 Standard Specifications.*

The base price index for asphalt binder for plant mix is **$ 553.75** per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **March 1, 2013**.

ASPHALT CONCRETE SURFACE COURSE COMPACTION:

(7-1-95) (Rev. 8-21-12) SP6 R49R

Compact the asphalt surface course on this project in accordance with Subarticle 610-9 of the *2012 Standard Specifications* and the following provision:

Perform the first rolling with a steel wheel roller followed by rolling with a self-propelled pneumatic tired roller with the final rolling by a steel wheel roller.

FINAL SURFACE TESTING NOT REQUIRED:

(5-18-04) (Rev. 5-15-12) 610 SP6 R45

Final surface testing is not required on this project.

TRAFFIC CONTROL

Contractor will be paid for all traffic control items that have been included in the contract. No direct payment will be made for providing other traffic control as required herein, as the cost of same will be considered incidental to the work being paid for under those various traffic control items that have

been included. Where the Contractor maintains traffic as required herein but no specific pay items have been included in the contract, all associated costs will be considered incidental to the work being paid for under the various items in the contract. See TCP-2 for bid items for which the Contractor is responsible.

GUARDRAIL ANCHOR UNITS, TYPE 350:
(4-20-04) (Rev. 8-16-11) 862 SP8 R65

Description

Furnish and install guardrail anchor units in accordance with the details in the plans, the applicable requirements of Section 862 of the *2012 Standard Specifications*, and at locations shown in the plans.

Materials

The Contractor may at his option, furnish any one of the guardrail anchor units or approved equal.

Guardrail anchor unit (ET-Plus) as manufactured by:

Trinity Industries, Inc.
2525 N. Stemmons Freeway
Dallas, Texas 75207
Telephone: 800-644-7976

The guardrail anchor unit (SKT 350) as manufactured by:

Road Systems, Inc.
3616 Old Howard County Airport
Big Spring, Texas 79720
Telephone: 915-263-2435

Prior to installation the Contractor shall submit to the Engineer:

(A) FHWA acceptance letter for each guardrail anchor unit certifying it meets the requirements of NCHRP Report 350, Test Level 3, in accordance with Article 106-2 of the *2012 Standard Specifications*.

(B) Certified working drawings and assembling instructions from the manufacturer for each guardrail anchor unit in accordance with Article 105-2 of the *2012 Standard Specifications*.

No modifications shall be made to the guardrail anchor unit without the express written permission from the manufacturer. Perform installation in accordance with the details in the plans, and details and assembling instructions furnished by the manufacturer.

Construction Methods

Guardrail end delineation is required on all approach and trailing end sections for both temporary and permanent installations. Guardrail end delineation consists of yellow reflective sheeting applied to the entire end section of the guardrail in accordance with Article 1088-3 of the *2012 Standard Specifications* and is incidental to the cost of the guardrail anchor unit.

Measurement and Payment

Measurement and payment will be made in accordance with Article 862-6 of the *2012 Standard Specifications*.

Payment will be made under:

Pay Item	**Pay Unit**
Guardrail Anchor Units, Type 350	Each

112" x 75" CORRUGATED ALUMINUM ALLOY PIPE ARCH CULVERT & HEADWALLS

Description

This item covers the furnishing and installation of ALUMINUM ALLOY PIPE and HEADWALLS for culverts as shown on the plans and further specified in these specifications. The scope of work also includes Section 300 (Pipe Installation) of the Standard Specification.

Materials

The pipe shall be fabricated from an ALUMINUM ALLOY coil, conforming to the current ASTM B-744 (AASHTO M-197) material specification. The materials shall also meet or exceed the requirements of the latest edition of the Standard Specifications.

Pipe is to be fully welded inside and out to headwalls using two root welds and two finish welds on either side of the wall. All finish welds are to be ground to a smooth finish.

Headwall and pipe are to be reinforced per AASHTO specifications and structural engineer's requirements.

Supplier must provide all necessary hardware including wale beams, caps, continuous flat gaskets, galvanized steel tieback rods with dead man anchor (DMA) plates, anchors, lugs, inserts, adjustable turnbuckles and all other materials relating to the pipe and headwall system necessary to complete the assembly.

The continuous flat gasket material to be used with all connecting bands shall be 3/8" thick and 24" wide and made from closed cell neoprene rubber which upon assembly provides a watertight seal.

Pipe sections and bands shall be assembled and alphanumerically match-marked for alignment at the manufacturing plant site prior to shipping to verify proper fit.

Pipe manufacturer shall provide certification of the measured dimensions of the pipe, bands and continuous flat gaskets. Certification must state that bands and gaskets have been pre-fitted and will securely tighten around the supplied pipe. Certification of the dimensions must be signed by the manufacturer's representative and dated.

Construction

Job Site Installation Assistance: A manufacturer's representative, with at least two (2) years of experience in the installation of this type of structure, is required to give technical advice with

assembly of the structure and headwalls, as well as, to be on site during the installation and backfilling of the pipe and headwalls through completion.

Installation shall be in accordance with AASHTO Standard Specifications for Highway Bridges, Section 26 and the Project Plans and Specifications.

Backfill Material: Recommended backfill material shall be the following:

Pipe Bedding and where water is encountered: NCDOT #57 Stone (Section 1005 of the NCDOT Standard Specifications).

Other backfill up to a minimum of 24" over the top of the pipe: NCDOT ABC stone (Section 1005 of the NCDOT Standard Specifications).

When transitioning from #57 stone to ABC stone, a minimum 4 oz. geotextile is required for separation of the different backfill materials.

Construction Loads: Construction loads may be higher than final design loads. Follow manufacturer's guidelines.

Final Design Plans

Contractor shall submit three (3) copies of detailed shop drawings, and one (1) copy of design calculations for review and approval. These drawings and calculations shall be sealed by a North Carolina Professional Engineer.

Measurement and Payment

Payment will be at the lump sum price bid for this item and such payment will be full compensation for all labor and materials required for the assembly and installation of the culverts and headwalls. Payment will be made under:

Pay Item	**Pay Unit**
112" x 75" C.A.A. Pipe Arch Culverts & Headwall	LS

TRENCHING FOR BASE COURSE:

(7-1-95) (Rev. 8-21-12) 610 SP6 R79AR

Perform all trenching necessary to place the asphalt concrete base course widening in accordance with the typical sections, at locations shown on the sketch maps, and as directed by the Engineer.

Perform the trenching for the base course on the same day that the base course is to be placed. If the base course cannot be placed on the same day the trench section is excavated, backfill the trench with earth material and compact it to the satisfaction of the Engineer. Once the trench is open, perform backfilling and re-opening of the trench at no cost to the Department.

The Contractor will be restricted to widening one side of the project at a time unless otherwise permitted by the Engineer. In widening, operate equipment and conduct operations in the same direction as the flow of traffic.

Density tests may be taken every 2,000 feet in the widened areas as directed by the Engineer. Shape and compact the subgrade in the widened areas to the satisfaction of the Engineer. Compact the asphalt concrete base course in the widened areas in accordance with the provisions of Article 610-9 of the *2012 Standard Specifications*.

Place the excavated material from trenching operation on the adjacent shoulder area as directed by the Engineer. Cut adequate weep holes in the excavated material to provide for adequate drainage as directed by the Engineer. Remove all excavated material from all drives to provide ingress and egress to abutting properties and from in front of mailboxes and paper boxes. Saw a neat edge and remove all asphalt and/or concrete driveways, and existing asphalt widening, as directed by the Engineer, to the width of the widening and dispose of any excavated concrete or asphalt materials. Properly reconnect driveways.

Upon completion of the paving operation, backfill the trench to the satisfaction of the Engineer. Properly dispose of any excess material remaining after this operation.

No direct payment will be made for trenching, sawing, and removal of driveways, depositing material on shoulder area, backfilling trench, or removal of spoil material, as the cost of this work shall be included in the bid unit price per ton for *Asphalt Concrete Base Course, Type S9.5B*.

EROSION CONTROL

The Contractor shall exercise every reasonable precaution throughout the life of the project to prevent erosion and siltation. Silt fence and erosion control measures shall be installed in accordance with the plans for this project, Division 16 of the Standard Specifications, and in locations as directed by the Engineer or his representative.

EROSION AND SEDIMENT CONTROL/STORMWATER CERTIFICATION:

(1-16-07) (Rev 11-16-10) 105-16, 225-2, 16 SP1 G180

General

Schedule and conduct construction activities in a manner that will minimize soil erosion and the resulting sedimentation and turbidity of surface waters. Comply with the requirements herein regardless of whether or not a National Pollution discharge Elimination System (NPDES) permit for the work is required.

Establish a chain of responsibility for operations and subcontractors' operations to ensure that the *Erosion and Sediment Control/Stormwater Pollution Prevention Plan* is implemented and maintained over the life of the contract.

(A) *Certified Supervisor* - Provide a certified Erosion and Sediment Control/Stormwater Supervisor to manage the Contractor and subcontractor operations, insure compliance with Federal, State and Local ordinances and regulations, and manage the Quality Control Program.

(B) *Certified Foreman* - Provide a certified, trained foreman for each construction operation that increases the potential for soil erosion or the possible sedimentation and turbidity of surface waters.

(C) *Certified Installer* - Provide a certified installer to install or direct the installation for erosion or sediment/stormwater control practices.

(D) *Certified Designer* - Provide a certified designer for the design of the erosion and sediment control/stormwater component of reclamation plans and, if applicable, for the design of the project erosion and sediment control/stormwater plan.

Roles and Responsibilities

(A) *Certified Erosion and Sediment Control/Stormwater Supervisor* - The Certified Supervisor shall be Level II and responsible for ensuring the erosion and sediment control/stormwater plan is adequately implemented and maintained on the project and for conducting the quality control program. The Certified Supervisor shall be on the project within 24 hours notice from initial exposure of an erodible surface to the project's final acceptance. Perform the following duties:

(1) Manage Operations - Coordinate and schedule the work of subcontractors so that erosion and sediment control/stormwater measures are fully executed for each operation and in a timely manner over the duration of the contract.

(a) Oversee the work of subcontractors so that appropriate erosion and sediment control/stormwater preventive measures are conformed to at each stage of the work.
(b) Prepare the required National Pollutant Discharge Elimination System (NPDES) Inspection Record and submit to the Engineer.
(c) Attend all weekly or monthly construction meetings to discuss the findings of the NPDES inspection and other related issues.
(d) Implement the erosion and sediment control/stormwater site plans requested.
(e) Provide any needed erosion and sediment control/stormwater practices for the Contractor's temporary work not shown on the plans, such as, but not limited to work platforms, temporary construction, pumping operations, plant and storage yards, and cofferdams.
(f) Acquire applicable permits and comply with requirements for borrow pits, dewatering, and any temporary work conducted by the Contractor in jurisdictional areas.
(g) Conduct all erosion and sediment control/stormwater work in a timely and workmanlike manner.
(h) Fully perform and install erosion and sediment control/stormwater work prior to any suspension of the work.
(i) Coordinate with Department, Federal, State and Local Regulatory agencies on resolution of erosion and sediment control/stormwater issues due to the Contractor's operations.
(j) Ensure that proper cleanup occurs from vehicle tracking on paved surfaces or any location where sediment leaves the Right-of-Way.
(k) Have available a set of erosion and sediment control/stormwater plans that are initialed and include the installation date of Best Management Practices. These practices shall include temporary and permanent groundcover and be properly updated to reflect necessary plan and field changes for use and review by Department personnel as well as regulatory agencies.

(2) Requirements set forth under the NPDES Permit - The Department's NPDES Stormwater permit (NCS000250) outlines certain objectives and management measures pertaining to construction activities. The permit references *NCG010000, General*

Permit to Discharge Stormwater under the NPDES, and states that the Department shall incorporate the applicable requirements into its delegated Erosion and Sediment Control Program for construction activities disturbing one or more acres of land. The Department further incorporates these requirements on all contracted structure and culvert work at jurisdictional waters, regardless of size. Some of the requirements are, but are not limited to:

(a) Control project site waste to prevent contamination of surface or ground waters of the state, i.e. from equipment operation/maintenance, construction materials, concrete washout, chemicals, litter, fuels, lubricants, coolants, hydraulic fluids, any other petroleum products, and sanitary waste.
(b) Inspect erosion and sediment control/stormwater devices and stormwater discharge outfalls at least once every 7 calendar days, twice weekly for construction related *Federal Clean Water Act, Section 303(d)* impaired streams with turbidity violations, and within 24 hours after a significant rainfall event of 0.5 inch that occurs within a 24 hour period.
(c) Maintain an onsite rain gauge or use the Department's Multi-Sensor Precipitation Estimate website to maintain a daily record of rainfall amounts and dates.
(d) Maintain erosion and sediment control/stormwater inspection records for review by Department and Regulatory personnel upon request.
(e) Implement approved reclamation plans on all borrow pits, waste sites and staging areas.
(f) Maintain a log of turbidity test results as outlined in the Department's Procedure for Monitoring Borrow Pit Discharge.
(g) Provide secondary containment for bulk storage of liquid materials.
(h) Provide training for employees concerning general erosion and sediment control/stormwater awareness, the Department's NPDES Stormwater Permit NCS000250 requirements, and the applicable requirements of the *General Permit, NCG010000*.
(i) Report violations of the NPDES permit to the Engineer immediately who will notify the Division of Water Quality Regional Office within 24 hours of becoming aware of the violation.

(3) Quality Control Program - Maintain a quality control program to control erosion, prevent sedimentation and follow provisions/conditions of permits. The quality control program shall:

(a) Follow permit requirements related to the Contractor and subcontractors' construction activities.
(b) Ensure that all operators and subcontractors on site have the proper erosion and sediment control/stormwater certification.
(c) Notify the Engineer when the required certified erosion and sediment control/stormwater personnel are not available on the job site when needed.
(d) Conduct the inspections required by the NPDES permit.
(e) Take corrective actions in the proper timeframe as required by the NPDES permit for problem areas identified during the NPDES inspections.
(f) Incorporate erosion control into the work in a timely manner and stabilize disturbed areas with mulch/seed or vegetative cover on a section-by-section basis.

(g) Use flocculants approved by state regulatory authorities where appropriate and where required for turbidity and sedimentation reduction.
(h) Ensure proper installation and maintenance of temporary erosion and sediment control devices.
(i) Remove temporary erosion or sediment control devices when they are no longer necessary as agreed upon by the Engineer.
(j) The Contractor's quality control and inspection procedures shall be subject to review by the Engineer. Maintain NPDES inspection records and make records available at all times for verification by the Engineer.

(B) *Certified Foreman* - At least one Certified Foreman shall be onsite for each type of work listed herein during the respective construction activities to control erosion, prevent sedimentation and follow permit provisions:

(1) Foreman in charge of grading activities
(2) Foreman in charge of structure or culvert construction over jurisdictional areas
(3) Foreman in charge of utility activities

The Contractor may request to use the same person as the Level II Supervisor and Level II Foreman. This person shall be onsite whenever construction activities as described above are taking place. This request shall be approved by the Engineer prior to work beginning.

The Contractor may request to name a single Level II Foreman to oversee multiple construction activities on small structure or culvert replacement projects. This request shall be approved by the Engineer prior to work beginning.

(C) *Certified Installers* - Provide at least one onsite, Level I Certified Installer for each of the following erosion and sediment control/stormwater crew:

(1) Seeding and Mulching
(2) Temporary Seeding
(3) Temporary Mulching
(4) Sodding
(5) Silt fence or other perimeter erosion/sediment control device installations
(6) Erosion control blanket installation
(7) Hydraulic tackifier installation
(8) Turbidity curtain installation
(9) Rock ditch check/sediment dam installation
(10) Ditch liner/matting installation
(11) Inlet protection
(12) Riprap placement
(13) Stormwater BMP installations (such as but not limited to level spreaders, retention/detention devices)
(14) Pipe installations within jurisdictional areas

If a Level I *Certified Installer* is not onsite, the Contractor may substitute a Level II Foreman for a Level I Installer, provided the Level II Foreman is not tasked to another crew requiring Level II Foreman oversight.

(D) *Certified Designer* - Include the certification number of the Level III-B Certified Designer on the erosion and sediment control/stormwater component of all reclamation plans and if applicable, the certification number of the Level III-A Certified Designer on the design of the project erosion and sediment control/stormwater plan.

Preconstruction Meeting

Furnish the names of the *Certified Erosion and Sediment Control/Stormwater Supervisor, Certified Foremen, Certified Installers* and *Certified Designer* and notify the Engineer of changes in certified personnel over the life of the contract within 2 days of change.

Ethical Responsibility

Any company performing work for the North Carolina Department of Transportation has the ethical responsibility to fully disclose any reprimand or dismissal of an employee resulting from improper testing or falsification of records.

Revocation or Suspension of Certification

Upon recommendation of the Chief Engineer - Operations to the certification entity, certification for *Supervisor, Certified Foremen, Certified Installers* and *Certified Designer* may be revoked or suspended with the issuance of an *Immediate Corrective Action (ICA), Notice of Violation (NOV),* or C*ease and Desist Order* for erosion and sediment control/stormwater related issues.

The Chief Engineer may recommend suspension or permanent revocation of certification due to the following:

(A) Failure to adequately perform the duties as defined within this certification provision.
(B) Issuance of an ICA, NOV, or Cease and Desist Order.
(C) Failure to fully perform environmental commitments as detailed within the permit conditions and specifications.
(D) Demonstration of erroneous documentation or reporting techniques.
(E) Cheating or copying another candidate’s work on an examination.
(F) Intentional falsification of records.
(G) Directing a subordinate under direct or indirect supervision to perform any of the above actions.
(H) Dismissal from a company for any of the above reasons.
(I) Suspension or revocation of one’s certification by another entity.

Suspension or revocation of a certification will be sent by certified mail to the certificant and the Corporate Head of the company that employs the certificant.

A certificant has the right to appeal any adverse action which results in suspension or permanent revocation of certification by responding, in writing, to the Chief Engineer within 10 calendar days after receiving notice of the proposed adverse action.

Chief Engineer - Operations
1537 Mail Service Center
Raleigh, NC 27699-1537

Failure to appeal within 10 calendar days will result in the proposed adverse action becoming effective on the date specified on the certified notice. Failure to appeal within the time specified will result in a waiver of all future appeal rights regarding the adverse action taken. The certificant will not be allowed to perform duties associated with the certification during the appeal process.

The Chief Engineer will hear the appeal and make a decision within 7 days of hearing the appeal. Decision of the Chief Engineer will be final and will be made in writing to the certificant.

If a certification is temporarily suspended, the certificant shall pass any applicable written examination and any proficiency examination, at the conclusion of the specified suspension period, prior to having the certification reinstated.

Measurement and Payment

Certified Erosion and Sediment Control/Stormwater Supervisor, Certified Foremen, Certified Installers and *Certified Designer* will be incidental to the project for which no direct compensation will be made.

STABILIZATION REQUIREMENTS

(11-4-11) S-1

Stabilization for this project shall comply with the time frame guidelines as specified by the NCG-010000 general construction permit effective August 3, 2011 issued by the North Carolina Department of Environment and Natural Resources Division of Water Quality. Temporary or permanent ground cover stabilization shall occur within 7 calendar days from the last land-disturbing activity, with the following exceptions in which temporary or permanent ground cover shall be provided in 14 calendar days from the last land-disturbing activity:

- Slopes between 2:1 and 3:1, with a slope length of 10 ft. or less
- Slopes 3:1 or flatter, with a slope of length of 50 ft. or less
- Slopes 4:1 or flatter

The stabilization timeframe for High Quality Water (HQW) Zones shall be 7 calendar days with no exceptions for slope grades or lengths. High Quality Water Zones (HQW) Zones are defined by North Carolina Administrative Code 15A NCAC 04A.0105 (25). Temporary and permanent ground cover stabilization shall be achieved in accordance with the provisions in this contract and as directed.

SEEDING AND MULCHING: (East)

The kinds of seed and fertilizer, and the rates of application of seed, fertilizer, and limestone, shall be as stated below. During periods of overlapping dates, the kind of seed to be used shall be determined. All rates are in pounds per acre.

All Roadway Areas

March 1 - August 31		**September 1 - February 28**		
50#	Tall Fescue	50#	Tall Fescue	Waste and
10#	Centipede	10#	Centipede	Borrow
25#	Bermudagrass (hulled)	35#	Bermudagrass (unhulled)	Locations
500#	Fertilizer	500#	Fertilizer	
4000#	Limestone	4000#	Limestone	

March 1 – August 31		**September 1 - February 28**	
75#	Tall Fescue	75#	Tall Fescue
25#	Bermudagrass (hulled)	35#	Bermudagrass (unhulled)
500#	Fertilizer	500#	Fertilizer
4000#	Limestone	4000#	Limestone

Note: 50# of Bahiagrass may be substituted for either Centipede or Bermudagrass only upon Engineer's request.

Approved Tall Fescue Cultivars

2nd Millennium	Duster	Magellan	Rendition
Avenger	Endeavor	Masterpiece	Scorpion
Barlexas	Escalade	Matador	Shelby
Barlexas II	Falcon II, III, IV & V	Matador GT	Signia
Barrera	Fidelity	Millennium	Silverstar
Barrington	Finesse II	Montauk	Southern Choice II
Biltmore	Firebird	Mustang 3	Stetson
Bingo	Focus	Olympic Gold	Tarheel
Bravo	Grande II	Padre	Titan Ltd
Cayenne	Greenkeeper	Paraiso	Titanium
Chapel Hill	Greystone	Picasso	Tomahawk
Chesapeake	Inferno	Piedmont	Tacer
Constitution	Justice	Pure Gold	Trooper
Chipper	Jaguar 3	Prospect	Turbo
Coronado	Kalahari	Quest	Ultimate
Coyote	Kentucky 31	Rebel Exeda	Watchdog
Davinci	Kitty Hawk	Rebel Sentry	Wolfpack
Dynasty	Kitty Hawk 2000	Regiment II	
Dominion	Lexington	Rembrandt	

On cut and fill slopes 2:1 or steeper Centipede shall be applied at the rate of 5 pounds per acre and add 20# of Sericea Lespedeza from January 1 - December 31.

Fertilizer shall be 10-20-20 analysis. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as a 10-20-20 analysis and as directed.

TEMPORARY SEEDING:

Fertilizer shall be the same analysis as specified for *Seeding and Mulching* and applied at the rate of 400 pounds and seeded at the rate of 50 pounds per acre. Sweet Sudan Grass, German Millet or Browntop Millet shall be used in summer months and Rye Grain during the remainder of the year. The Engineer will determine the exact dates for using each kind of seed.

FERTILIZER TOPDRESSING:

Fertilizer used for topdressing on all roadway areas except slopes 2:1 and steeper shall be 10-20-20 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 1-2-2 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 10-20-20 analysis and as directed.

Fertilizer used for topdressing on slopes 2:1 and steeper and waste and borrow areas shall be 16-8-8 grade and shall be applied at the rate of 500 pounds per acre. A different analysis of fertilizer may be used provided the 2-1-1 ratio is maintained and the rate of application adjusted to provide the same amount of plant food as 16-8-8 analysis and as directed.

SAFETY FENCE

Description

Safety Fence shall consist of furnishing materials, installing and maintaining polyethylene or polypropylene fence along the outside riparian buffer, wetland, or water boundary, or other boundaries located within the construction corridor to mark the areas that have been approved to infringe within the buffer, wetland, endangered vegetations, culturally sensitive areas or water. The fence shall be installed prior to any land disturbing activities.

Interior boundaries for jurisdictional areas noted above shall be delineated by stakes and highly visible flagging.

Jurisdictional boundaries at staging areas, waste sites, or borrow pits, whether considered outside or interior boundaries shall be delineated by stakes and highly visible flagging.

Materials

(A) Safety Fencing

Polyethylene or polypropylene fence shall be a highly visible preconstructed safety fence approved by the Engineer. The fence material shall have an ultraviolet coating.
Either wood posts or steel posts may be used. Wood posts shall be hardwood with a wedge or pencil tip at one end, and shall be at least 5 ft. in length with a minimum nominal 2" x 2” cross section. Steel posts shall be at least 5 ft. in length, and have a minimum weight of 0.85 lb/ft of length.

(B) Boundary Flagging

Wooden stakes shall be 4 feet in length with a minimum nominal 3/4”x 1-3/4” cross section. The flagging shall be at least 1” in width. The flagging material shall be vinyl and shall be orange in

color and highly visible.

Construction Methods

No additional clearing and grubbing is anticipated for the installation of this fence. The fence shall be erected to conform to the general contour of the ground.

(A) Safety Fencing

Posts shall be set at a maximum spacing of 10 ft., maintained in a vertical position and hand set or set with a post driver. If hand set, all backfill material shall be thoroughly tamped. Wood posts may be sharpened to a dull point if power driven. Posts damaged by power driving shall be removed and replaced prior to final acceptance. The tops of all wood posts shall be cut at a 30-degree angle. The wood posts may, at the option of the Contractor, be cut at this angle either before or after the posts are erected.

The fence fabric shall be attached to the wood posts with one 2" galvanized wire staple across each cable or to the steel posts with wire or other acceptable means.

Place construction stakes to establish the location of the safety fence in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications.* No direct pay will be made for the staking of the safety fence. All stakeouts shall be considered incidental to *Construction Surveying*.

The Contractor shall be required to maintain the safety fence in a satisfactory condition for the duration of the project as determined by the Engineer.

(B) Boundary Flagging

Installation for delineation of interior boundaries shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6” into the ground. Interior boundaries may be staked on a tangent that runs parallel to buffer but must not encroach on the buffer at any location. Interior boundaries of hand clearing shall be identified with a different colored flagging to distinguish it from mechanized clearing.

Installation for delineation of interior boundaries will be placed in accordance with Article 105-9 or Article 801-1 of the *Standard Specifications*. No direct pay will be made for delineation of the interior boundaries. All delineation shall be considered incidental to *Construction Surveying*. Installation for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall consist of wooden stakes on 25 feet maximum intervals with highly visible orange flagging attached. Stakes shall be installed a minimum of 6” into the ground. Additional flagging may be placed on overhanging vegetation to enhance visibility but does not substitute for installation of stakes.

Installation for delineation of all jurisdictional boundaries at staging areas, waste sites, or borrow pits shall be performed in accordance with Subarticle 230-5 or Subarticle 802-2(F) of the *Standard Specifications*. No direct pay will be made for delineation of any jurisdictional boundaries at staging areas, waste sites, or borrow pits. All delineation shall be considered incidental to *Borrow Material* or *Disposal of Waste and Debris*.

The Contractor shall be required to maintain alternative stakes and highly visible flagging in a satisfactory condition for the duration of the project as determined by the Engineer.

Measurement and Payment

Safety Fence will be measured and paid as the actual number of linear feet installed in place and accepted. Such payment will be full compensation including but not limited to clearing and grading, furnishing and installing fence fabric with necessary posts and post bracing, staples, tie wires, tools, equipment and incidentals necessary to complete this work.
Payment will be made under:

Pay Item	**Pay Unit**
Safety Fence	Linear Foot

PERMANENT SOIL REINFORCEMENT MAT

Description

This work consists of furnishing and placing *Permanent Soil Reinforcement Mat*, of the type specified, over previously prepared areas as directed.

Materials

The product shall be a permanent erosion control reinforcement mat and shall be constructed of synthetic or a combination of coconut and synthetic fibers evenly distributed throughout the mat between a bottom UV stabilized netting and a heavy duty UV stabilized top net. The matting shall be stitched together with UV stabilized polypropylene thread to form a permanent three-dimensional structure. The mat shall have the following minimum physical properties:

Property	**Test Method**	**Value Unit**
Light Penetration	ASTM D6567	9 %
Thickness	ASTM D6525	0.40 in
Mass Per Unit Area	ASTM D6566	0.55 lb/sy
Tensile Strength	ASTM D6818	385 lb/ft
Elongation (Maximum)	ASTM D6818	49 %
Resiliency	ASTM D1777	>70 %
UV Stability *	ASTM D4355	>80 %
Porosity (Permanent Net)	ECTC Guidelines	>85 %
Maximum Permissible Shear Stress (Vegetated)	Performance Bench Test	>8.0 lb/ft2
Maximum Allowable Velocity (Vegetated)	Performance Bench Test	>16.0 ft/s

*ASTM D1682 Tensile Strength and % strength retention of material after 1000 hours of exposure.

Submit a certification (Type 1, 2, or 3) from the manufacturer showing:

(A) the chemical and physical properties of the mat used, and
(B) conformance of the mat with this specification.

Construction Methods

Matting shall be installed in accordance with Subarticle 1631-3(B) of the *Standard Specifications*.

All areas to be protected with the mat shall be brought to final grade and seeded in accordance with Section 1660 of the *Standard Specifications*. The surface of the soil shall be smooth, firm, stable and free of rocks, clods, roots or other obstructions that would prevent the mat from lying in direct contact with the soil surface. Areas where the mat is to be placed will not need to be mulched.

Measurement and Payment

Permanent Soil Reinforcement Mat will be measured and paid for as the actual number of square yards measured along the surface of the ground over which Permanent Soil Reinforcement Mat is installed and accepted. Overlaps will not be included in the measurement, and will be considered as incidental to the work. Such payment shall be full compensation for furnishing and installing the mat, including overlaps, and for all required maintenance.

Payment will be made under:

Pay Item	**Pay Unit**
Permanent Soil Reinforcement	Square Yard

COIR FIBER MAT

Description

Furnish material, install and maintain coir fiber mat in locations shown on the plans or in locations as directed. Work includes providing all materials, excavating and backfilling, and placing and securing coir fiber mat with stakes, steel reinforcement bars or staples as directed.

Materials

Item	**Section**
Coir Fiber Mat	1060-14

Anchors: Stakes, reinforcement bars, or staples shall be used as anchors.

Wooden Stakes:

Provide hardwood stakes 12"- 24" long with a 2" x 2" nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving through the coir fiber mat and down into the underlying soil. The other end of the stake needs to have a 1"- 2" long head at the top with a 1"- 2" notch following to catch and secure the coir fiber mat.

Steel Reinforcement Bars:

Provide uncoated #10 steel reinforcement bars 24" nominal length. The bars shall have a 4" diameter bend at one end with a 4" straight section at the tip to catch and secure the coir fiber mat.

Staples:

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

Construction Methods

Place the coir fiber mat immediately upon final grading. Provide a smooth soil surface free from stones, clods, or debris that will prevent the contact of the mat with the soil. Unroll the mat and apply without stretching such that it will lie smoothly but loosely on the soil surface.

For stream relocation applications, take care to preserve the required line, grade, and cross section of the area covered. Bury the top slope end of each piece of mat in a narrow trench at least 6 in. deep and tamp firmly. Where one roll of matting ends and a second roll begins, overlap the end of the upper roll over the buried end of the second roll so there is a 6 in. overlap. Construct check trenches at least 12 in. deep every 50 ft. longitudinally along the edges of the mat or as directed. Fold over and bury mat to the full depth of the trench, close and tamp firmly.
Overlap mat at least 6 in. where 2 or more widths of mat are installed side by side.

Place anchors across the mat at the ends approximately 1 ft. apart. Place anchors along the outer edges and down the center of the mat 3 ft. apart.

Adjustments in the trenching or anchoring requirements to fit individual site conditions may be required.

Measurement and Payment

Coir Fiber Mat will be measured and paid for as the actual number of square yards measured along the surface of the ground over which coir fiber mat is installed and accepted.

No measurement will be made for anchor items.

Payment will be made under:

Pay Item	**Pay Unit**
Coir Fiber Mat	Square Yard

FLOATING TURBIDITY CURTAIN:

Description

This work consists of furnishing a *Floating Turbidity Curtain* to deter silt suspension and movement of silt particles during construction. The floating turbidity curtain shall be constructed at locations as directed.

Materials

The curtain material shall be made of a tightly woven nylon, plastic or other non-deteriorating material meeting the following specifications:

Property	Value
Grab tensile strength	*md-370 lbs *cd-250 lbs
Mullen burst stength	480 psi
Trapezoid tear strength	*md-100 lbs *cd-60 lbs
Apparent opening size	70 US standard sieve
Percent open area	4% permittivity 0.28 sec-1

*md - machine direction
*cd - cross machine direction

In the event that more than one width of fabric is required, a 6" overlap of the material shall also be required.

The curtain material shall be supported by a flotation material having over 29 lbs/ft buoyancy. The floating curtain shall have a 5/16" galvanized chain as ballast and dual 5/16" galvanized wire ropes with a heavy vinyl coating as load lines.

Construction Methods

The Contractor shall maintain the *Floating Turbidity Curtain* in a satisfactory condition until its removal is requested by the Engineer. The curtain shall extend to the bottom of the jurisdictional resource. Anchor the curtain according to manufacturer recommendations.

Measurement and Payment

Floating Turbidity Curtain will be measured and paid for as the actual number of square yards of curtain furnished as specified and accepted. Such price and payment will be full compensation for the work as described in this section including but not limited to furnishing all materials, tools, equipment, and all incidentals necessary to complete the work.

Payment will be made under:

Pay Item	**Pay Unit**
Floating Turbidity Curtain	Square Yard

MINIMIZE REMOVAL OF VEGETATION

The Contractor shall minimize removal of vegetation at stream banks and disturbed areas within the project limits as directed.

STOCKPILE AREAS

The Contractor shall install and maintain erosion control devices sufficient to contain sediment around any erodible material stockpile areas as directed.

ACCESS AND HAUL ROADS

At the end of each working day, the Contractor shall install or re-establish temporary diversions or earth berms across access/haul roads to direct runoff into sediment devices. Silt fence sections that are temporarily removed shall be reinstalled across access/haul roads at the end of each working day.

WASTE AND BORROW SOURCES

Payment for temporary erosion control measures, except those made necessary by the Contractor's own negligence or for his own convenience, will be paid for at the appropriate contract unit price for the devices or measures utilized in borrow sources and waste areas.

No additional payment will be made for erosion control devices or permanent seeding and mulching in any commercial borrow or waste pit. All erosion and sediment control practices that may be required on a commercial borrow or waste site will be done at the Contractor's expense.

WATTLES WITH POLYACRYLAMIDE (PAM)

(10-19-10) (Rev. 1-17-12) 1060,1630,1631 T2

Description

Wattles are tubular products consisting of excelsior fibers encased in synthetic netting. Wattles are used on slopes or channels to intercept runoff and act as a velocity break. Wattles are to be placed at locations shown on the plans or as directed. Installation shall follow the detail provided in the plans and as directed. Work includes furnishing materials, installation of wattles, matting installation, PAM application, and removing wattles.

Materials

Wattle shall meet the following specifications:

100% Curled Wood(Excelsior) Fibers	
Minimum Diameter	12 in.
Minimum Density	2.5 lb/ft^3 +/- 10%
Net Material	Synthetic
Net Openings	1 in. x 1 in.
Net Configuration	Totally Encased
Minimum Weight	20 lb. +/- 10% per 10 ft. length

Stakes shall be used as anchors.

Provide hardwood stakes a minimum of 2-ft. long with a 2 in. x 2 in. nominal square cross section. One end of the stake must be sharpened or beveled to facilitate driving down into the underlying soil.

Matting shall meet the requirements of Article 1060-8 of the *2012 Standard Specifications*, or shall meet specifications provided elsewhere in this contract.

Provide staples made of 0.125" diameter new steel wire formed into a *u* shape not less than 12" in length with a throat of 1" in width.

Polyacrylamide (PAM) shall be applied in powder form and shall be anionic or neutrally charged. Soil samples shall be obtained in areas where the wattles will be placed, and from offsite material used to construct the roadway, and analyzed for the appropriate PAM flocculant to be utilized with each wattle. The PAM product used shall be listed on the North Carolina Department of Environment and Natural Resources (NCDENR) Division of Water Quality (DWQ) web site as an approved PAM product for use in North Carolina.

Construction Methods

Wattles shall be secured to the soil by wire staples approximately every 1 linear foot and at the end of each section of wattle. A minimum of 4 stakes shall be installed on the downstream side of the wattle with a maximum spacing of 2 linear feet along the wattle, and according to the detail. Install a minimum of 2 stakes on the upstream side of the wattle according to the detail provided in the plans. Stakes shall be driven into the ground a minimum of 10 in. with no more than 2 in. projecting from the top of the wattle. Drive stakes at an angle according to the detail provided in the plans.

Only install wattle(s) to a height in ditch so flow will not wash around wattle and scour ditch slopes and according to the detail provided in the plans and as directed. Overlap adjoining sections of wattles a minimum of 6 in.

Installation of matting shall be in accordance with the detail provided in the plans, and in accordance with Article 1631-3 of the *2012 Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Apply PAM over the lower center portion of the wattle where the water is going to flow over at a rate of 2 ounces per wattle, and 1 ounce of PAM on matting on each side of the wattle. PAM applications shall be done during construction activities after every rainfall event that is equal to or exceeds 0.50 in.

The Contractor shall maintain the wattles until the project is accepted or until the wattles are removed, and shall remove and dispose of silt accumulations at the wattles when so directed in accordance with the requirements of Section 1630 of the *2012 Standard Specifications*.

Measurement and Payment

Wattles will be measured and paid for by the actual number of linear feet of wattles which are installed and accepted. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to install the *Wattles*.

Matting will be measured and paid for in accordance with Article 1631-4 of the *2012 Standard Specifications*, or in accordance with specifications provided elsewhere in this contract.

Polyacrylamide (PAM) will be measured and paid for by the actual weight in pounds of PAM applied to the wattles. Such price and payment will be full compensation for all work covered by this section, including, but not limited to, furnishing all materials, labor, equipment and incidentals necessary to apply the *Polyacrylamide (PAM)*.

Payment will be made under:

Pay Item	Pay Unit
Polyacrylamide (PAM)	Pound
Wattle	Linear Foot

SPECIALIZED HAND MOWING

Description

This work consists of specialized hand mowing around or under fixed objects, including but not limited to guardrails, signs, barriers and slopes in a method acceptable to the Engineer.

Specialized hand mowing shall be completed with mechanically powered trimmers, string trimmers, hand operated rotary mowers, or self-propelled mowers of sufficient size and quality to perform the work timely and efficiently.

The quantity of mowing to be performed will be affected by the actual conditions that occur during the construction of the project. The quantity of mowing may be increased, decreased or eliminated entirely as directed. Such variations in quantity will not be considered as alterations in the details of construction or a change in the character of the work.

Measurement and Payment

Specialized Hand Mowing will be measured and paid for as the actual number of man hours worked while hand mowing along the surface of the ground, as directed. Where an area has been mowed more than once, as directed, separate measurement will be made each time the area is mowed.

Payment will be made under:

Pay Item	Pay Unit
Specialized Hand Mowing	MHR

RESPONSE FOR EROSION CONTROL

Description

Furnish the labor, materials, tools and equipment necessary to move personnel, equipment, and supplies to the project necessary for the pursuit of any or all of the following work as shown herein, by an approved subcontractor.

Section	Erosion Control Item	Unit
1605	Temporary Silt Fence	LF
SP	Special Sediment Control Fence	LF/TON
1615	Temporary Mulching	ACR
1620	Seed - Temporary Seeding	LB
1620	Fertilizer - Temporary Seeding	TN
1631	Matting for Erosion Control	SY
SP	Coir Fiber Mat	SY

SP	Coir Fiber Baffles	LF
SP	Permanent Soil Reinforcement Mat	SY
1660	Seeding and Mulching	ACR
1661	Seed - Repair Seeding	LB
1661	Fertilizer - Repair Seeding	TON
1662	Seed - Supplemental Seeding	LB
1665	Fertilizer Topdressing	TON
SP	Safety/Highly Visible Fencing	LF
SP	Response for Erosion Control	EA

Construction Methods

Provide an approved subcontractor who performs an erosion control action as described in Form 1675. Each erosion control action may include one or more of the above work items.

Measurement and Payment

Response for Erosion Control will be measured and paid for by counting the actual number of times the subcontractor moves onto the project, including borrow and waste sites, and satisfactorily completes an erosion control action described in Form 1675. The provisions of Article 104-5 of the *Standard Specifications* will not apply to this item of work.

Payment will be made under:

Pay Item	**Pay Unit**
Response for Erosion Control	Each

POSTED WEIGHT LIMITS

(7-1-95) (Rev. 8-21-12) 107 SP1 G 24R

The Contractor's attention is directed to the fact that many Primary and Secondary Roads and structures are posted with weight limits less than the legal limit. Do not exceed the posted weight limits in transporting materials and/or equipment to the projects, unless otherwise indicated below. Make a thorough examination of all projects and haul routes and be prepared to discuss them at the Preconstruction Conference.

The following maps have posted weight limits:

(See Insert)

DRIVEWAYS AND PRIVATE PROPERTY

The Contractor shall maintain access to driveways for all residents and property owners throughout the life of the project.

The Contractor shall not perform work for private citizens or agencies in conjunction with this project or within the project limits of this contract. Any driveway paved by a Contractor which ties into a NCDOT system road being paved by the Contractor must be paved either prior to the road paving project or after its completion.

WATERLINE RELOCATION

The work covered by this provisions only applies to the construction of the waterline as required by the plans and provisions herein or as directed by the engineer. The Contractor shall furnish any and all materials, labor, equipment, and incidentals necessary to complete the proposed utility work.

For the construction of the waterline, the Contractor shall adhere to the Anson County Standard Water Specifications and the details which are included on Sheet UC-4 of the Utility Construction Drawings.

Per these specifications the Contractor shall contact Anson County Utilities for operation of valves and for inspection during the construction.

Apply the applicable provisions of the Rules and Regulations of the North Carolina Department of Environment and Natural Resources, Division of Environmental Health to the construction of water lines. Perform all work in accordance with the applicable plumbing codes.

STANDARD WATERLINE SPECIFICATIONS AND DETAILS

A. DESCRIPTION

All materials, equipment, and labor for water main construction shall be furnished in accordance with these specifications and in accordance with the Plans prepared by a Registered Professional Engineer licensed to practice in the state of North Carolina.

B. MATERIAL SPECIFICATIONS

Unless superseded or modified in the Detailed Specifications, all materials, apparatus, supplies, methods of manufacture, or construction shall conform to the specifications contained in this Section. National material standards (ASTM, ANSI, AWWA, etc.) referred to herein shall be considered to be the latest revisions only.

1. PIPE

a. **Polyvinyl Chloride (PVC) Pipe:**
Unless amended on the Construction Drawings or elsewhere in these specifications, all 4-inch through 12-inch water main pipe may be PVC 1120 in accordance with AWWA C-900. All 1-1/2" and 2" water main pipe shall be PVC 1120 in accordance with ASTM D-2241. The pipe shall be minimum Pressure Class 200 with a SDR of 14 or less for C-900 pipe and a SDR of 21 or less for ASTM 2241 pipe. PVC Pressure Pipe shall be made from white or blue pigmented virgin materials and shall be furnished in lengths of 20 feet. Lesser lengths will be accepted to allow the proper placement of fittings, valves, etc. All PVC Water Pipe will be shipped, stored, and strung at the project in such a manner as to be protected from total accumulated exposure to sunlight and possible ultraviolet radiation of no more than four (4) weeks.

a. Push on Joint: Pipe jointing will be by elastomeric joints only. Joints shall conform to ASTM D-3139 for 2-inch pipe and to AWWA C-900 for 4-inch through 12-inch pipe.

Pipe bells, with gasket seats, shall be formed as the pipe is extruded. Sleeve couplings are not permitted except as necessary for repairs of existing mains or as necessary during pressure/leakage tests.

b. **Ductile Iron Pipe:**

Ductile iron pipe shall conform to the requirements of AWWA Standard C-151 and shall have a cement-mortar lining of standard thickness in accordance with AWWA C-104. Unless otherwise shown on the construction plans, all ductile iron pipe shall be furnished with push on joints in accordance with AWWA C-111.

Sixteen-inch (16”) and larger diameter pipe shall be minimum Pressure Class 250. Also, the pipe class selection for 16-inch and larger pipe shall be based on the installation conditions. This pipe class shall be as shown on the plans and/or elsewhere in these specifications.

Twelve-inch (12") and smaller diameter pipe shall be minimum Pressure Class 350.

1. Mechanical Joint Accessories: Bolts and gaskets for mechanical joint pipe and fittings shall be furnished by the pipe/fitting manufacturer and shall conform to ANSI Specifications A21.11 (AWWA C-111).

2. Push-On Joint Material: Gaskets for push-on pipe shall be furnished by the pipe manufacturer. Gaskets and gasket lubricant shall meet the requirements of ANSI Specification A21.11 (AWWA C-111).

3. Flange Joints And Accessories: Flange joints shall be in accordance with ANSI A21.10 of either Class 125 or Class 250, as required. Flanges, flange bolts and nuts, and gaskets shall conform to the dimensional requirements of ANSI B16.1 for Class 125 or ANSI B16.2 for Class 250. Bolts shall have standard Hexagonal heads and shall be provided with standard hexagonal cold pressed nuts unless otherwise specified. Bolts and nuts shall be made of the best quality refined iron or mill steel and shall have sound, well fitting threads.

4. Restrained Joint Pipe: Flexible restrained joints shall be supplied by the pipe manufacturer. Gaskets with vulcanized internal stainless steel locking segments may be used for 6-inch through 12-inch ductile iron pipe. The following manufacturers are approved: U. S. Pipe and Field Lok Gasket. Only designs using a welded retainer ring on the spigot will be allowed for 16-inch and larger diameter pipe. Push-on or mechanical joint designs may be used for the pipe and associated fittings. The following manufacturer's products are approved: American Lok Ring, American Lok Fast, Griffin Snap Lok, Griffin Bolt Lok, and U.S. Pipe TR Flex.

c. **Copper Tubing:**

Copper tubing shall be Type K, per ASTM B-88. End connections may be flared or compression. Copper services shall conform to AWWA C-800.

2. FITTINGS

a. **PVC Fittings:**

All fittings for 4-inch through 12-inch PVC pipe shall be cast iron or ductile iron as specified. Fittings for 2-inch PVC pipe shall be push on joint PVC or threaded malleable iron. Malleable iron fittings shall be furnished with threaded PVC adapters to connect the fittings to the push on joint pipe. Elastomeric joints for PVC adapters and PVC fittings with push on joints shall conform to ASTM D-3139. PVC adapters and fittings shall have a minimum pressure rating of 200 PSI and shall, except for threaded areas on adapters, have a SDR of 13.5.

b. **Cast Fittings:**
Cast fittings furnished for ductile iron or PVC pipe may be pressure Class 250, cast from ductile iron or gray iron, in accordance with AWWA C-110 or pressure Class 350 compact fittings, cast from ductile iron in accordance with AWWA C-153. Fittings shall be furnished with mechanical or flanged joints as indicated on the construction plans. All mechanical joint fittings will be bell and spigot unless otherwise indicated on the plans. All cast fittings shall have cement mortar lining of standard thickness in accordance with AWWA C-104.

c. **Restrained Mechanical Joint Fittings:**
Mechanical joint restraints may be through the use of a follower gland with restraining device that imparts a wedging action against the pipe. The restraining device may have twist off nuts to ensure proper contact with the pipe. Glands and restraining devices shall be manufactured of ductile iron. The restraining devices shall be heat-treated to a hardness of 370BHN. Gland dimensions shall be compatible with the MJ fittings herein before specified. The restrained joint
shall be rated for a minimum 250 PSI working pressure with a 2:1 safety factor.

Mechanical joint restraints may be through the use of a specially machined ductile iron ring and follower gland that is used with standard mechanical joint gaskets and T-bolts.

Retainer glands are allowed on the installation of PVC C-900 pipe.

Restrained joints may be used where shown on the plan, standard details or as approved by the Engineer.

Restrained joints shall be “Mega-Lug” as manufactured by EBAA Iron, Inc, “TR Flex” or "Flex-Ring" restrained joints as manufactured by U.S. Pipe or American Ductile Iron Pipe, or approved equal. Unless otherwise specified, gasket material shall be standard styrene butadiene copolymer (SBR). The manufacturer shall furnish test results showing that restrained joints in the sizes specified have been successfully tested to at least twice the specified pressure rating of the joint without leakage or failure. Tests shall be performed on pipe with nominal metal thickness less than or equal to that specified for the project. Torque-activated restrained joint devices that rely on threaded bolts or set-screws for joint restraint shall not be used.

d. **Copper Fittings:**
Fittings for copper tubing and polyethylene tubing shall be red brass containing 85% copper, 5% lead, 5% tin, and 5% zinc in conformance with ASTM B-62. Fittings may be flared or compression as applicable, in accordance with AWWA C-800. Compression fittings shall utilize a compression nut and/or split clamp with tightening screw. Stab type fittings are not approved.

3. FIRE HYDRANTS

Fire hydrants shall conform to AWWA C-502, and shall be constructed for 3'-0" minimum depth of trench. All fire hydrants shall be constructed with a bronze main valve seat which screws into a threaded bronze connection at the base of the hydrant. All fire hydrants shall be equipped with two 2-1/2 inch hose nozzles with National Standard Threads, and one 4- inch (minimum opening) pumper nozzle with National Standard Threads.

Threads are essentially:

1. 6 Threads Per Inch
2. O.D.: 4.875"
3. Pitch Diameter.: 4.777"
4. Root: 4.653"
5. Gauge: 2C

All hydrants shall open by turning to the left or counterclockwise, shall have a minimum valve opening size of 4-1/2 inches and shall be furnished with a 6-inch mechanical joint inlet. The operating nut shall be a 1-1/4 inch pentagon. Any extensions required shall be as recommended and supplied by the hydrant manufacturer.

All fire hydrants and any portions of the hydrant assembly exposed to view (above adjacent ground elevation) shall be painted with two (2) or more evenly applied coats of red hydrant enamel paint. Hydrants shall be painted red before unloading at the construction site. Hydrants will be retouched/repainted as necessary after installation and prior to acceptance.

All fire hydrants shall be one of the following models:

MANUFACTURER	MODEL
Mueller Company	Super Centurion 200 No. 421
American Flow Control	Mark 73-2
Waterous	Trend WB 77

Fire hydrant tees will be Griffin Swivel Hydrant tee, Tyler 5-125 swivel hydrant tee or approved equal. A mechanical joint bend may be used

Piping extensions for hydrant installations may be made with 6-inch ductile iron nipples with Tyler long swivel hydrant adapters, Mega-lug MJ Restraint, U.S. Pipe Field Lok Gaskets, Romac GripRing, or other approved method by the Anson County Utility Department.

Fire hydrant adjustment with the use of a swivel joint fitting to adjust fire hydrant height may be permitted. Approved manufacturers should be Gradelock or approved equal.

4. VALVES

a. **Gate Valves:**

Gate valves on water mains smaller than 16-inch in diameter shall be direct bury gate valves and shall be furnished with valve boxes set in concrete “doughnut” pads as specified. Gate valves shall be furnished with non rising stems only, and stem seals shall be of the "0" ring type only. Valves six (2") inches and larger shall be furnished with two inch square operating nuts and shall open by turning to the left or counterclockwise. Valve ends shall normally be mechanical joint with necessary glands, gaskets and bolts furnished with the valve. Flange ends shall be furnished for special installations as shown on the construction plans. Flange by mechanical joint ends shall be furnished for tapping sleeve & valve installations.

Gate valves may be of the double disc parallel seat type in accordance with AWWA C-500 or of the resilient seat type in accordance with AWWA C-509 with a working pressure of 200 PSI. Resilient seated gate valves must be furnished with durable opaque end shields to prevent ultra violet damage to the rubber discs.

Only valves which have been specifically approved by the Anson County Utility Department may be furnished. The gate valves listed in the following chart have been approved:

6", 8", 12" MJ GATE VALVES		
Manufacturer	**Double Disc Valve**	**Resilient Seat Valve**
Mueller Company	A-2360 Series	A-2360 Series
American Flow Control		Series 2500
Kennedy Valve Company	F-5065	8571
Clow Valve Company	5065	F6100

2" GATE VALVES		
Manufacturer	**Resilient Seat**	**Bronze Disc**
Clow Valve Company	F 6103	
American Flow Control	Series 2500	
Mueller Company	2360 Series	
Kennedy Valve Company	Kenseal II	
Jenkins Company		No. 370
Stockham Company		B 128
Hammond Company		IB 645

b. **Butterfly Valves:**

All valves on water mains 16-inches in diameter and larger, except tapping valves, shall be direct bury butterfly valves with mechanical joint ends conforming to all requirements of AWWA C-504. Unless otherwise shown on the construction plans, all butterfly valves shall be Class 150B.

Each butterfly valve shall be furnished with a manual operator equipped with a two inch square operating nut. The operator shall open the valve when the operating nut is turned

to the left or counterclockwise. The valve and operator shall be assembled for installation in a horizontal line with the main valve shaft horizontal and the operator shaft and operating nut aligned vertically to accept a valve key operated from the surface.

Butterfly valves shall be shop painted for buried service in accordance with AWWA C-504.

Butterfly valves shall be manufactured by American Darling, Clow, Kennedy, Mueller, or approved equal.

c. **Detector Check Valves:**
Four-inch through ten-inch detector check valves shall be rated for 175 PSI working pressure with flanged ends per ANSI B16.1, Class 125. The valve body may be steel, cast iron, or ductile iron. Steel body valves shall be hot dip zinc galvanized or fusion bonded epoxy coated. Cast/ductile iron body valves shall be fusion bonded epoxy coated. Operating mechanism shall be by internal weight or linkage and spring and shall be all bronze or stainless steel. Valve shall have rubber faced clapper and bronze seat. Valves shall have two tapped bosses on each side to permit installation of a metered bypass. Valve shall be UL listed/FM approved. The following valves are approved:

MANUFACTURER	MODEL
Ames Company	1000 DCV
Hersey Products	Model EDC III
Watts Regulator	07F Series

d. **Tapping Valves:**
All tapping valves on water mains shall be AWWA approved. All tapping valves shall open left or counterclockwise. The following tapping valves are approved:

MANUFACTURER	MODEL
American Flow Control	Series 2500 FLANGE X MJ
Mueller	T-2360-19 FLANGE X MJ
Clow	F-6114 FLANGE X MJ

5. AIR RELEASES
All air releases specifically indicated to be installed on water mains shall be automatic air release valves. Fire hydrants located at topographical high points and blow-offs at the end of water mains shall generally serve as manual air release valves. The following automatic air release models are approved:

AUTOMATIC AIR RELEASES	
MANUFACTURER	**MODEL**
Crispin	PL10
Val-Matic	38

6. BLOW-OFF ASSEMBLIES

a. Two-inch (2") blow-off assemblies shall be constructed with a 2" hard body valve with a 2" operating nut transitioning to Schedule 80 PVC with a brass cap inside two separate valve boxes.

b. Two-inch (2") blow-off assemblies shall be an eclipse TF500 as manufactured by Kupferle Foundry Company. Plunger valve shall be used instead of 2" hard body valve. Assembly shall be installed inside a valve box. Connection to the 2" water main shall be with a threaded brass elbow and a 2" DIP slip joint male adaptor.

Above-ground flushing hydrants may be used instead of the 2" blow-off assemblies on line sizes of 2-inch and greater provided that they have a nozzle opening no smaller than 2 ½-inches. All moving parts shall be enclosed and made from high quality brass. The above-ground flushing hydrants shall be the Kupferle Foundry Company MainGuard No. 77 or approved equal.

7. WATER MAIN TAPS

All taps on existing active mains shall be made by Anson County Utility Department forces in conjunction with the proposed water main construction.

a. **Tapping Sleeves:**

Tapping sleeves may be ductile/cast iron mechanical joint, stainless steel full gasket, or fabricated steel with wedge gasket around tap opening. Twelve-inch (12") and smaller sleeves shall be rated for 200 PSI working pressure. Sixteen-inch (16") and larger sleeves shall be rated for 150 PSI working pressure.

Mechanical joint tapping sleeves shall be furnished complete with joint accessories including split glands, split end gaskets, bolts, etc., and shall be compatible with the type and class of pipe being tapped. The outlet flange shall be Class 125 per ANSI B16.1 compatible with approved tapping valves.

Stainless steel tapping sleeves shall be manufactured from 18-8, type 304 stainless steel. The outlet flange may be ductile iron or stainless steel. The gasket shall be a grid pattern design and shall provide full circumferential sealing around the pipe to be tapped. The sleeve shall include a test plug for pressure testing the installed sleeve prior to making the tap. All welds shall be passivated. The outlet flange shall be Class D per AWWA C-207-ANSI 150 pound drilling compatible with approved tapping valves.

Fabricated steel tapping sleeves shall be fusion bonded epoxy coated to a 12 mil thickness. The sleeve shall include a test plug for pressure testing the installed sleeve prior to making the tap. The steel outlet flange shall be Class D per AWWA C-207-ANSI 150 pound drilling compatible with approved tapping sleeve. The following table is a list of approved tapping sleeves:

TAPPING SLEEVES			
Class 350 DIP	**PVC C-900**	**Asbestos Cement**	**Steel Size Pipe**
Mueller - H615	Mueller - H615	AFC - A2800	Romac SST

Clow - F5205	Clow - F5205	Clow - F5205 AC	Smith Blair - 662
Romac SST	AFC - C2800	Romac SST	PowerSeal
PowerSeal	Romac SST	PowerSeal	
	PowerSeal		

b. **Service Saddles:**
All corporation stops for services or air releases on PVC pipe as well as 1-inch and larger corporations installed on ductile iron pipe shall be installed with service saddles having threads to accept standard AWWA Corporation valve inlet thread. Service saddles for 2-inch PVC shall be brass. Service saddles for 6, 8, & 12-inch PVC or 6-inch and larger ductile iron pipe may be brass, ductile iron or stainless steel. Steel straps must be preformed at the factory to the specified outside diameters of PVC pipe. The following manufacturers and models are approved:

BRASS SERVICE SADDLES				
Manufacturer	**2" PVC**	**6" PVC**	**8" PVC**	**12" PVC**
Ford	S-70	101B	101B	101B
Jones	-	J996	J996	J996
McDonald	3801	3805	3805	3805
Mueller	13420	16084	16088	16093
Rockwell	-	321	321	321
Romac	-	101B	101B	101B
PowerSeal	3401	3401	3401	3401

DUCTILE IRON SERVICE SADDLES			
Manufacturer	**Single Strap**	**Double Strap**	**Stainless Steel Strap**
Ford	F101	F202	FS101
Rockwell	311	313	315
Smith/Blair	311	312	315
JCM Industries	401	402	403
Romac	101	202	1015
PowerSeal	3416	3418	3415

STAINLESS STEEL SERVICE SADDLES	
Manufacturer	**Saddle No**
Ford	T-2360-19 FLANGE X MJ
Romac	F-6114 FLANGE X MJ
Rockwell	

c. **Corporation Stops:**
Corporation stops shall comply with AWWA C-800 and shall be high pressure rated at 150 PSI in accordance with Section 3.3 of the standard. Inlet threads shall be standard AWWA Corporation valve inlet thread. Outlet threads shall be according to the indicated connection. All corporations installed on C-900 PVC pipe as well as 1-inch

and larger corporations installed on ductile iron pipe shall require a tapping saddle/service clamp as hereinafter specified.

Manufacturer	¾" and 1" Services		1 ½" and 2" Services			
			Ball Type		Plug Type	
	Flare	Compress	FIP	Increasing IP	FIP	Increasing IP
Ford	F600	F1000	FB1600	FB800	-	F800
Hays	5200	5200 CJ, CF	4484	4440	-	-
Jones	J1500	J3401	J1932	-	-	J89
McDonald	4701	4701T	3148B	-	-	3121
Mueller	H15000	H15008	-	-	H10045	H10003

Manufacturer	1" Air Release	2" Air Release			
		Ball Type		Plug Type	
		FIP	Increasing IP	FIP	Increasing IP
Ford	F200	FB1600	FB800	-	F800
Hays	5230	4484	4440	-	-
Jones	-	J1932	-	-	J89
McDonald	3120	3148B	-	-	3121
Mueller	H9971	-	-	H10045	H10003

8. REPAIR/TIE-IN SLEEVES/CLAMPS

a. **Sleeves:**
Solid cast iron mechanical joint sleeves (long pattern) shall be used where indicated for tie-ins between new mains and existing mains and when replacing defective sections of pipe with new pipe.

b. Repair clamps or split sleeves will not be allowed on new construction. These may be used to repair existing mains if specifically directed by the Engineer.

9. 3/4" – 1" WATER SERVICES AND METERS

a. Service Line Pipe: Pipe shall be only Type K copper tubing.

b. Meter Yoke Assembly: Meter yokes shall be furnished with an angle valve and check valve as part of the assembly.

The following meter yoke assemblies are approved:

Manufacturer	3/4" x 5/8" Meters	1" Meters
McDonald	26-207WD2D-33	26-412-WD2D-44
Mueller	H-1470-2A	H-1470-2A

c. Meter Boxes And Lids: Concrete or plastic boxes for 5/8-inch meters (3/4-inch

services) shall conform to the Standard Details. Concrete or plastic boxes for 1- inch meters shall conform to the Standard Details.

Concrete meter boxes shall be made of concrete mix, 1-2-1, one part cement, two parts granite screenings, and one part 3/8" granite stone. The meter boxes shall be concrete machine made and tamped with pneumatic tamps to insure the proper density. All concrete items shall be steam cured 24 hours and yard cured for two weeks.

Meter box lids may be concrete, plastic, or cast iron, with dimensions as shown on the Standard Details, structured in such a manner as to accept a hinged cast iron reading lid. All reading lids shall be of grey iron conforming to ASTM A-48, Class 35 iron, fully bituminous coated in accordance with standard practice.

10. 1-1/2" AND LARGER WATER SERVICES AND METERS

All service lines 2" and smaller shall be copper. All service lines larger than 2" shall be PVC C-900 or ductile iron.

All meters 1-1/2" and larger shall be installed in precast concrete or plastic vaults conforming to the Standard Details. Vaults shall be approved for use within North Carolina Department of Transportation right-of-way and shall be designed for H-20 loading. Vaults may be adjusted as shown on the standard details using standard size clay or concrete brick. Vaults shall have double leaf aluminum covers conforming to the Standard Details. All meters shall conform to American Water Works Association (AWWA) standards as specified below. The manufacturers meter serial number shall be imprinted on the outer case of the meter and on the register lid.

All registers for the various types and sizes of meter specified shall be magnetic drive, straight reading, recording in gallons, equipped with a center-sweep test hand, dehumidified, air tight, and hermetically sealed.

All meters where the register is separate or removable from the main case, and held in place by screws must have the head of each screw drilled two ways, and sealed with a copper wire and lead seal before delivery. The security of such registers must be guaranteed non-removable except by destruction of seal wire, or seal.

The manufacturer must furnish with each meter a certificate of accuracy which references the particular meter serial number. The certificate of accuracy must be furnished to the Engineer before the meter is activated. The certificate must reference the job name or number. The number can be added by the supplier or contractor.

The manufacturer shall guarantee that all meters furnished under this specification will meet the required new meter accuracy standards in accordance with AWWA standards.

1. <u>Displacement Meters - Sizes 1-1/2" and 2":</u>

    a. Meters in these sizes shall be positive displacement type conforming to AWWA C-700.

    b. All 1-1/2" and 2" meters shall be furnished with spuds and brass end connections.

c. Meters may be furnished with either nutating or oscillating type of piston or disc.

d. Main casings and bottom plate shall be of a copper alloy containing not less then 75% copper.

e. Measuring chambers for 1-1/2" and 2" meters shall be of a copper alloy containing not less than 85% copper.

f. All meters are to have strainers.

g. Registers shall be permanently hermetically sealed and shall have standard trial gear combinations. The manufacturer shall furnish the County with a certificate which unconditionally guarantees the registers for a minimum period of 25 years against defects in material or workmanship.

2. Turbine Meters - Sizes 1-1/2" 2", 3", 4":

a. These meters shall conform to AWWA C-701.

b. Main casings shall be of a copper alloy containing not less than 75% copper.

c. Measuring cages or chambers shall be made of a copper alloy containing not less than 85% copper or of a suitable synthetic polymer.

d. Meters are to have strainers.

e. All 1-1/2” and 2" meters shall be furnished with spuds and brass end connections. Laying length shall be same as standards for displacement meter.

f. Meters size 1-1/2" and 2" shall meet the performance specifications of AWWA C-700 for displacement meters.

g. Meters 3" and 4" shall test 100% + 1.5% at the following flow in GPM and size:

3" - 5 to 350
4" - 5 to 650

h. The following turbine meters are presently approved as meeting the above performance specifications:

1-1/2" Hersey MVR100
2" Hersey MVR160
3" Hersey MVR350
4" Hersey MVR650

Other makes and models may be added to this approved list when they are certified as meeting the above performance standard and when appropriate test data are submitted.

3. Combined Fire And Domestic Service Meters - Sizes 6", 8", 10", and 12":

a. Meters shall comply with AWWA C-703.

b. Meters must be approved for use for fireline service by Underwriters Laboratories or National Fire Protection Association.

c. Companion flanges, gaskets, bolts and nuts shall be provided.

d. Meters must have stop and check valves on bypass meter.

e. Measuring cages or chambers shall be made of a copper alloy containing not less than 85% copper.

f. Main casing for bypass meters shall be of a copper alloy containing not less than 75% copper.

g. Casing for main line meters shall be of copper alloy containing not less than 75% copper or galvanized zinc treated cast iron.

h. Only Hersey Model MFM #2 - MVR as manufactured by Hersey Products, Inc., or approved equal combined Fire and Domestic service type meter will be accepted.

11. FERROUS CASTINGS

1. Valve Boxes: All valve boxes shall conform to the dimensions shown on the Standard Details. Valve boxes shall be of cast iron conforming to ASTM A-48, Class 30.

12. MISCELLANEOUS STEEL

1. Steel Encasement Pipe: Steel pipe shall be welded or seamless, consisting of Grade "B" steel as specified in ASTM A-139.

Minimum yield strength shall be 35,000 PSI; and pipe thickness shall be as specified for each individual job.

All pipe shall be furnished with beveled ends prepared for field welding of circumferential joints. All burrs at pipe ends shall be removed.

Encasement pipe must be approved by the appropriate controlling agency (D.O.T., R.R., etc.) and the Engineer prior to ordering.

2. Structural Steel Tunnel Liner Plates: The tunnel liner plates shall be either the four (4) flange type (as approved for use within D.O.T. right-of-way) or the lap seam type (as approved for use within railroad right-of-way) fabricated to permit assembly of a continuous steel support system as the tunnel is excavated. Tunnel liner plates shall be fabricated from hot rolled, carbon steel sheets or plates conforming to the specifications of ASTM A-569.

The tunnel liner shall be designed in accordance with the requirements of Section 16-Division I and constructed to conform to Section 25-Division II or the current or interim AASHTO Standard Specifications For Highway Structures.

Liner plates shall be galvanized in accordance with AASHTO M167 and fully bituminously coated in accordance with AASHTO M190. All hardware necessary to the tunneling operation shall be hot-dip galvanized in accordance with ASTM A-153 prior to bituminous coating application. Hardware shall conform to ASTM Specification A-307, Grade A.

The minimum mechanical properties of the flat steel plate before cold forming used for the design of the tunnel liner shall be:

A. Minimum Tensile Strength of Liner Plates: 42,000 P.S.I.

B. Minimum Yield Strength of Liner Plates: 28,000 P.S.I.

C. Steel Liner Plates must be approved by the appropriate controlling agency (DOT, Railroad, etc.) and the Engineer prior to ordering. Gauge or thickness of liner plates will be as noted on the plans or elsewhere in these specifications.

D. Elongation in 2-inches: 30 percent

E. The moment of inertia shall be .042 inches to the 4th power per inch of width for four flange 12 gage liner plate.

1. Steel Reinforcing For Concrete:

a. Bars: All reinforcement bars shall conform to the Standard Specifications for BILLET-STEEL BARS FOR CONCRETE, REINFORCEMENT, ASTM A-615. All bars shall be deformed and of structural Grade 60.

b. Wire: All reinforcement wire fabric shall conform to the Standard Specifications for WELDED STEEL WIRE FABRIC FOR CONCRETE REINFORCEMENT, ASTM A-185.

13. CONCRETE

1. Portland Cement: All concrete shall conform to the Standard Specifications for READY MIXED CONCRETE, ASTM C-94. An air-entraining admixture, conforming to ASTM C-260, shall be added to either Type I, Type II, or Type III Portland Cement.

   Fly Ash conforming to ASTM C-618 for Class C Fly Ash may be added to the concrete mix but shall not be considered as replacement for more than 10% of the cement therein (strengths shall not be less than hereinafter required).

   Types I, IA, III and IIIA Portland Cement shall only be used for concrete encasement, concrete blocking, and/or as directed by the Engineer, and shall conform to ASTM C-150.

   Types II and IIA Portland Cement shall be used in reinforced concrete pipe, reinforced concrete piers and concrete or reinforced concrete rip-rap as directed by the Engineer, and shall conform to ASTM C-150 except that Tricalcium Aluminate (3CaOAl2O3) content shall not exceed 8%.

2. Aggregates: All aggregates used for concreting shall conform to ASTM C-33 and shall be checked daily for any variances in moisture content. Said variances shall be corrected and/or taken into consideration for each batch.

a. Coarse Aggregates: Shall be uniformly and evenly graded for each application in accordance with A.C.I. Standard 318. Unless otherwise approved, aggregate shall be sound, crushed, angular granitic stone. Smooth or rounded stone (river rock) shall not be acceptable.

b. Fine Aggregates: Shall consist of natural sand, manufactured sand or a combination thereof. Fine aggregates shall conform to the sieve analysis as specified in paragraph 4.1 of the standard except that the percent passing a No. 50 sieve shall not exceed 5% and the percent passing a No. 100 sieve shall be 0% as provided for in paragraph 4.2 of the standard.

3. Mix Design: Concrete shall be watertight, resistant to freeze-thaw cycles and moderate sulfate attack, abrasion resistant, workable, and/or finishable. These qualities may be met through the use of admixtures (if and only if approved in the mix design as hereinafter specified) conforming to the appropriate ASTM with the exception of the use of calcium chloride, which shall be limited to no more than 1% by cement weight - thoroughly mixed to insure uniform distribution within the mix. If the concrete is used with reinforcing steel, no calcium chloride will be allowed.

The Contractor shall assume responsibility for concrete mixture. The concrete shall be proportioned to meet the following requirements: (Note: This mix does not apply "in total" to precast manhole or reinforced concrete pipe).

A. Compressive Strength	Minimum 3600 PSI
B. Water-Cement Ratio By Weight	Maximum-0.50
C. Slump	Min. 3" Max. 5"
D. Air Content (Entrained & Entrapped)	Min. 4% Max. 6%
E. Coarse Aggregate	3/4"-1/2 (as required by the application)

When required by the Engineer, and prior to beginning construction, the Contractor, at his expense, shall obtain from an approved commercial testing laboratory a design for a suitable concrete mix and submit same with his list of materials and material suppliers for approval.

4. Curing Compound: All concrete curing compounds shall conform to the standard specifications for LIQUID MEMBRANE - FORMING COMPOUNDS FOR CURING CONCRETE, ASTM C-309, Type 2.

Curing compounds shall be applied as forms are stripped.

5. Grouts: All grouts shall be of a non-shrink nature (as may be achieved through additives or proportioning) and depending upon application range from plastic to flowable cement water paste. Testing as specified above for concrete may be required for acceptance of grouts to include frequent checks for consistency by a time-of-flow measurement.

Expansion grouts shall be either Gilco pre-mixed or Supreme non-metallic grout as manufactured by Gifford-Hill and Company, Incorporated, or Embeco 636 grout as manufactured by Master Builders or equal.

Acceptable range of testing requirements:

Compressive Strength .... 10,500 to 12,500 PSI Bond
Strength..... .... 1,350 to 1,700 PSI
% Expansion .. .. +.025% to +0.75%

Expansion grouts shall be used only as directed by the Engineer.

Grouts shall be mixed (if applicable) and placed in accordance with the manufacturer's current recommendations, for each specific application.

6. Mortar: Mortar used in water meter vaults and water valve vaults shall be Type M mortar in accordance with ASTM C-270.

14. STONE AND BRICK

1. Granular Bedding Material: All bedding material shall be angular, clean washed crushed stone graded in accordance with Size #67 in ASTM D-448 for "Standard Sizes of Coarse Aggregate" (NCDOT Standard Size #67).

   Bedding material will be used only as instructed in the Specifications and/or as specifically directed by the Engineer.

2. Stone Stabilization Material: All stone stabilization material shall be angular, clean washed crushed stone graded in accordance with standard sizes #467 in ASTM D-448 (NCDOT Standard Size #467M).

   Stabilization material will be used only as instructed in the specifications and/or as specifically directed by the Engineer.

3. Silt Check Dam Material: Shall be coarse angular, clean washed crushed stone, gravel, or rock, well graded, and ranging in size from 2-inch through 6-inch, (NCDOT stone for erosion control Class A).

4. Rip Rap: All rip rap shall consist of clean field stone or rough unhewn quarry stone, resistant to the action of air and water, varying in weight from 25 to 250 pounds with 60% weighing a minimum of 100 pounds each and no more than 5% weighing less than 50 pounds each, (NCDOT Class 2 Rip Rap). Rip Rap will be placed from a minimum of 4.0 feet below the toe of the bank to top of the bank in areas determined by field conditions. Rip rap thickness shall be 1-1/2 times the diameter of the largest stones used, or 2.0 feet, whichever is greater.

5. Brick: Brick shall be clay or shale brick meeting the requirements of ASTM C-62 for Grade SW, except as otherwise provided below.

Brick shall be of uniform standard commercial size, with straight and parallel edges and square corners. They shall be burned hard and entirely true, free from injurious cracks and flaws, tough, strong, and shall have a clear ring when struck together. The sides, ends and faces of all brick shall be plane surfaces at right angles and parallel one to the other.

Concrete brick may be used in lieu of clay or shale brick for adjustment of water meter vaults and/or water valve vaults. Concrete brick shall meet the requirements of ASTM C-55 for Grade S-II except that the absorption of brick used in minor drainage structures shall not exceed 10 lbs./ft.3.

15. TRAFFIC CONTROL DEVICES

All traffic control signs, barrels, barricades, pavement markings, etc., shall conform to the "Manual on Uniform Traffic Control Devices" (MUTCD) published by the U.S.D.O.T. and any supplements to the MUTCD adopted by N.C.D.O.T.

16. EROSION CONTROL

1. Seed: All seed shall be labeled to show that it meets the current requirements of the North Carolina Seed Law. Seed shall have been tested within the six (6) months immediately preceding its use.

   Further specifications for each seed item are given below:

   a. Kentucky Fescue #31: Minimum 98% pure live seed; maximum 1% weed seed; minimum 90% germination.

   b. Sericea Lespedeza (Scarified and Unscarified): Minimum 98% pure live seed; maximum .50% weed seed; minimum 85% germination. Scarified may include 20% hard seed.

   c. Rye Grass (Annual): Minimum 98% pure live seed; maximum .10% weed seed; minimum 85% germination.

   d. Sudangrass: Minimum 98% pure live seed; maximum .25% weed seed; minimum 85% germination.

   e. Certification/Supplier: The contractor shall furnish the State's Landscape Supervisor in Albemarle, North Carolina (for work in Department of Transportation rights-of-ways) the name of the supplier of seed, the seed type and the total amount (not seed rate) to be used in restoring disturbed groundcover. This information shall be furnished at least four (4) weeks prior to reseeding operations so that quality tests can be made by the appropriate controlling agency.

      Seed containing prohibited noxious weed seed shall not be accepted. Seed shall conform to the state law restrictions for restricted noxious weeds.

2. Fertilizer: All fertilizer for undeveloped areas shall have minimum 5-10-10 analysis or a comparable 1-2-2 ratio. All fertilizer for established lawn areas shall have a minimum 10-10-10 analysis or a comparable 1-1-1 ratio.

   All fertilizer shall be uniform in composition, dry and free flowing and shall be delivered to the job site in the original unopened containers, each bearing the manufacturer's guaranteed analysis.

   Any fertilizer which becomes caked or otherwise damaged will not be accepted. The quality of all fertilizer and all operations in connection with furnishing same, shall comply with the current requirements of the North Carolina Fertilizer Law and with the current applicable Rules and Regulations adopted by the North Carolina Board of Agriculture.

3. Lime: All lime shall be finely ground limestone (Dolomite) containing not less than 85% total carbonates. Lime shall conform to the specifications of the North Carolina Department of Agriculture for Agricultural Grade.

4. Superphosphate: All superphosphates shall be composed of finely ground phosphate rock, as commonly used for agricultural purposes, containing not less than 20% available phosphoric acid.

5. Mulch: All mulch shall be small grain or tame hay. Small grain or tame hay shall be furnished undamaged, air dried, threshed and free of undesirable weed seed.

6. Erosion Control Fabric: Material shall be as specified in the Environmental Protection Section of these specifications per Erosion Control Standard Detail 16.62.

7. Jute Netting Or Thatching: All jute shall be of a uniform open plain weave of single jute yarn, 18-inches in width (+/-1"). The yarn shall be of loosely twisted construction and shall not vary in thickness by more than one-half its normal diameter. There shall be 78 warp ends (+/-2), per width of netting; 41 weft ends (+/-1), per linear yard; and the weight shall average 1.22 pounds (+/-5%) per linear yard of netting. Jute shall be anchored into place in accordance with the manufacturer's requirements. Installation shall only be at the direction of the Engineer.

8. Erosion Control Blanket: Erosion control blankets shall be manufactured from wood fiber, straw, coconut fiber or other degradable material woven into a mat and secured with photodegradable plastic mesh or biodegradable thread. Blankets shall be installed according to manufacturer’s recommendations where directed by the Engineer. The following manufacturers are approved, AMXCO-Curlex Blanket, North American Green-SC150, HV Excelsior or approved equal.

9. Gabions: Gabions shall be manufactured from zinc coated steel wire mesh (minimum gauge) to form rectangular units. The front, base, back and lid shall be woven into a single unit and the ends and diaphragms shall be factory connected to the base. The individual units shall be installed per the manufacturer’s instructions and filled with hard durable, clean stone from 4-8 inches inside, or as approved by the Engineer.

DETAILED SPECIFICATIONS FOR WATER MAIN CONSTRUCTION

A. HANDLING AND STORAGE OF MATERIALS

The Contractor shall be responsible for the safe storage of materials furnished by or to him, and accepted by him and intended for the work, until they have been incorporated in the completed project. The interior of all pipe, fittings and other accessories shall be kept free from dirt and foreign materials at all times. Valves and hydrants shall be drained and stored in a manner that will protect them from damage by freezing.

1. Transportation of Materials and Equipment: The Contractor and his Suppliers are directed to contact the North Carolina Department of Transportation to verify axle load limits on State maintained roads (and structures) which are to be used for hauling equipment and materials for this project. The Contractor and his Suppliers shall do all that is necessary to satisfy the Department of Transportation requirements and will be responsible for any damage to roads which may be attributed to this project.

   All materials required to construct this project shall be furnished by the contractor and shall be delivered and distributed at the site by the Contractor or his material supplier.

2. Loading/Unloading Materials: All pipe, fittings, valves, hydrants and accessories shall be loaded and unloaded by lifting with hoists or skidding so as to avoid shock or damage. Under no circumstances shall such materials be dropped. Pipe handled on skidways shall not be skidded or rolled against pipe already on the ground.

3. Responsibility for Materials on Site: In distributing the material at the site of the work, each piece shall be unloaded opposite or near the place where it is to be laid in the trench. Pedestrian or vehicular traffic shall not be unduly inconvenienced by material placed along the street right-of-way.

   The Contractor will string in advance no more than the amount of pipe and material that can be installed within two (2) weeks unless approved by the Engineer. Other material may be placed in storage yards as specified below. All materials shall be placed in such a manner as not to impede any traffic. Materials strung through residential areas (or any area with maintained lawns) shall be placed in such a manner that normal lawn maintenance is not restricted and must either be installed within two (2) weeks or removed to an approved storage yard, as required by the Engineer.

4. Material and Equipment Storage: The Contractor will be responsible for locating and providing any required offsite storage areas for construction materials and equipment. Unless prior written consent from the owner of the proposed storage area is received by the Anson County Utility Department, the Contractor will be required to store all equipment and materials within the project site or the limits of the right-of-way provided. The materials and equipment storage shall comply with all state and local ordinances throughout the construction period. Material and equipment may only be stored within road right-of-way if approved by the controlling agency.

   The Contractor shall be responsible for safeguarding materials and equipment against fire, theft, and vandalism and shall not hold the County responsible in any way for the occurrence of the same.

5. Care of Coatings and Linings: Pipe and fittings, including hydrants, shall be so handled that the coating and lining will not be damaged. If, however, any part of the coating or lining is damaged, the repair shall be made by the Contractor at his expense in a manner satisfactory to the Engineer.

B. CONNECTION TO EXISTING MAINS

Connections to the existing system shall be made by Anson County Utility Department personnel or in the presence of Anson County Utility Department Inspection personnel. Valves, hydrants, blow offs, etc. will be operated by Anson County Utility Department personnel and/or the Contractor if specifically directed by the Anson County Utility Department to do so. The Contractor shall provide all labor, materials, and equipment required for connection to the existing system. Only one (1) connection between the existing system and the new extension will be allowed until testing, chlorination, and successful sampling of the new extension is complete.

If connection to existing mains will necessitate an interruption of service, the Contractor will schedule the connection for a time that is most convenient to the affected customers as determined by the Anson County Utility Department. Adequate notice will be provided to those customers who will be put out of service by the connection. When such interruption of service is approved, the Contractor will have all required labor, material and equipment at the site before beginning any work and the service interruption will be kept to an absolute minimum.

The Contractor shall verify blocking at existing valves prior to making connections and will be required to block, rod, or restrain existing and new pipe, fittings and valves as necessary.

C. EXISTING UTILITIES

The Contractor/Developer shall be responsible for all costs associated with an adjustment or modification of existing County infrastructure that was the result of the construction of the proposed water mains or other improvements in the area.

The Contractor will be required to excavate to determine the precise location of utilities, or other underground obstructions, which are shown on the Construction Plans. Such location and excavation shall be at least 500 feet ahead of construction or as noted in the Special Provision Section of this document.

All utility owners will be notified prior to excavation as required by the 1985 Underground Damage Prevention Act. Owners who are members of North Carolina One-Call may be notified in accordance with current North Carolina One-Call procedures. The Contractor will be fully responsible for damage to any utilities if the owners have not been properly notified as required by the Underground Damage Prevention Act.

Utility owners may, at their option, have representatives present to supervise excavation in the vicinity of their utilities. The cost of such supervision, if any, shall be borne by the Contractor.

Conflicts with underground utilities may necessitate changes in alignment and/or grade of this construction. All such changes will be approved by the Engineer before construction proceeds.

When underground obstructions not shown on the Construction Plans are encountered, the Contractor shall promptly report the conflict to the Engineer and shall not proceed with construction until the conflict is resolved by the Engineer.

When a PVC water main crosses other buried pipeline utilities (storm drain, gas, encased or capped telephone conduit, etc.) 12-inches clearance must be maintained between the water line and utility and the water main shall receive Type III stone bedding. If this clearance requires the water main to be deeper than 5 feet, the Contractor may install a DIP (galvanized steel pipe for 2-inch mains) water main over the utility with less than 12-inches clearance provided there is 3 feet cover over the water main.

D. WATER LINE/SEWER LINE CLEARANCE

When a water main crosses or is parallel to an existing sewer main, the Contractor shall install ductile iron pipe for the water main and sewer main as described below.

1. Vertical Separation Of Water Lines & Sewer Lines: Whenever it is necessary for a water main to cross over a sewer main with less than 18-inches of vertical separation, the water main and sewer main shall be constructed of ductile iron pipe, for a distance of 10 feet on each side of the point of crossing.

   Whenever it is necessary for a water main to cross under a sewer main, the water main and sewer main shall be constructed of ductile iron pipe, for a distance of 10 feet on each side of the point of crossing.

2. Horizontal Separation Of Water Lines And Sewer Lines: Water mains shall be laid at least 10 feet horizontally from existing or proposed sewer mains unless local conditions or barriers prevent a 10-foot horizontal separation. In that case, the water main will be laid in a separate trench, with the elevation of the bottom of the water main at least 18-inches above the top of the sewer. When these conditions are not met, the water main and sewer main shall be constructed of Ductile Iron Pipe.

E. EXCAVATION

All excavations shall be as specified below. Excavation within street rights-of-way shall be backfilled when left unattended for more than 1 hour unless otherwise approved by the controlling agency.

1. Trench Excavation: No more than 100 LF of trench shall be opened in advance of the pipe laying unless prior approval is given by the Engineer. Ground conditions and/or location will be considered by the Engineer in making this determination.

   a. Trench Width: Maximum trench width for pipe shall be equal to the outside diameter (as measured at the pipe barrel) of the pipe plus sixteen (16) inches.

      Trench width shall be measured between faces of cut at the top of the pipe bell. If the Contractor varies from this requirement without approval of the Inspector, he shall at his own expense install Type II or Type III bedding defined in this specification.

b. Trench Bottom Conformation: The excavation shall be made to the elevations, grades, and lines shown on the Construction Plans. The trench bottom shall be excavated slightly above grade and cut down to the pipe grade by hand in the fine grading operation. The trench bottom shall be true and even with bell holes at each joint to provide the barrel of the pipe with soil or granular bedding support for its full length.

This should prevent point loading at the bells. If the trench bottom is inadvertently cut below grade, the Contractor shall (at his own expense) fill it to grade with approved material thoroughly tamped, or with #67 bedding stone.

Pipe depth and/or soil conditions may require Type II or Type III granular embedment. This bedding shall also be shaped to allow adequate support of the pipe.

If the trench passes either under or over another pipeline or previous excavation, the trench bottom in this area shall be tamped, if necessary, so the disturbed soil has approximately the same supportive strength as the native soil.

2. Excavation For Structures: The excavation shall be made to the lines, grades and elevations shown on the Plans and the Standard Details. The area excavated shall be limited to no more than is necessary to allow the proper installation of the structure as determined by the Engineer. The excavation shall remain open no longer than is necessary to allow the proper and complete installation of the structure.

   a. Structure Pit Bottom Conformation: The pit bottom shall be true and even, and capable of supporting the structure as determined by the Engineer. If the pit bottom is inadvertently cut below grade, the Contractor shall fill it to the proper elevation with approved material capable of continually maintaining adequate supportive strength.

3. Excavation for Bore Pits: The excavation shall be controlled by the limits of the existing rights-of-way and shall not exceed these without prior written approval of the current property owner. The excavation shall be made to the proper elevation, line and grade to install the casing pipe as shown on the construction plans.

   The pit bottom shall be true and even with adequate stabilization to maintain proper elevation and grade on the boring rig for the duration of the bore. The walls of the pit shall be shored or sloped to comply with OSHA requirements.

   The bore pit shall not be left open overnight on NCDOT maintained roadways without specific approval from the NCDOT. If approval is obtained, concrete median barriers are required to be placed around the bore pit within the road right-of-way.

4. Rock Excavation: Rock excavation shall be defined as solid ledge rock that requires drilling and blasting, sledging, or barring for its removal. Soft, disintegrated rock that can be removed with a pick shall not be classified as solid rock.

Boulders greater than one cubic yard in volume will also be considered rock excavation. Smaller boulders and soft rock which in the opinion of the Engineer can be excavated by the use of a power shovel, without undue delay, shall not be classified as rock.

Rock shall be removed to the following limits as measured between vertical planes - twelve-inches (12") outside the pipe bell - parallel to the water line and for a depth of six (6) inches below the pipe bell. Rock around structures shall be removed to the same 12- inch limit as measured between vertical planes around the structure to a depth necessary to allow proper installation of the structure. Over excavation of rock due to removal methods, or for safety considerations, shall be the Contractors responsibility.

When rock removal is necessary for pipeline installation, either Type II or Type III bedding shall be installed as specified and directed by the Engineer.

All blasting shall be conducted in the manner as described elsewhere in these Specifications.

5. Piling Excavated Material: All excavated material shall be piled in a manner that will not endanger the work. Excavated material will be piled a safe distance away from the edge of the excavation allowing room for an adequate angle of repose and if shoring, sheeting, and bracing is used to protect the excavation, no material will be piled within three (3) feet of the nearest edge.

   Sidewalks, driveways, hydrants, valve pit covers, valve boxes, curb stop boxes, existing manholes, fire and police call boxes, or other utility controls shall be unobstructed and accessible until the work is completed. Gutters, catch basins, and natural watercourses shall not be obstructed or silted.

6. Dewatering: The Contractor shall at all times provide and maintain ample means and equipment with which to remove and properly dispose of water entering the excavation or other parts of the work and shall keep all excavations dry until such time as pipe laying and grading is completed.

   Water shall not be allowed to rise around the pipe in unbackfilled trenches nor shall it be allowed to rise over masonry until the concrete or mortar has set (minimum 24 hours). All water pumped or drained from the work shall be disposed of in such a manner as to minimize siltation and erosion on adjacent property or other construction.

7. Shoring And Shielding: The Contractor shall comply with OSHA trenching and excavation regulations as revised in Subpart P of Part 1926 in the Federal Register. Shoring and/or shielding systems shall be used as specified in Subpart P to prevent caving of trench banks and to provide a safe excavation.

   The Contractor will be responsible for excavation safety and shall designate his "competent person" (as defined in Subpart P) for the determination of proper shielding/shoring systems.

   If, in the opinion of the Engineer, the trench/excavation is not in compliance with OSHA regulations, the Contractor may be directed to stop work. Continued unsafe

conditions will be reported to the appropriate regulatory agency. The Contractor will be responsible for paying all fines resulting from safety violations.

F. WATER MAIN PIPE LAYING

In all instances, pipe shall be installed in a workmanlike manner and true to line and grade. The various pipes specified shall be handled and installed in accordance with the manufacturer's recommendations and good engineering practices. The following requirements and/or standards of the Anson County Utility Department shall govern the construction.

1. Pipe Bedding: Unless otherwise specified or noted on the Plans the following bedding classes are as commonly required by this Department.

   When granular material embedment is required, the Contractor will backfill above the granular bedding as specified for Type I bedding to an elevation one (1) foot above the top of the pipe bell.

   a. Type I - Shaped Bottom Bedding: Shaped bottom bedding shall be such that the pipe bears uniformly upon undisturbed native earth. Soil is then backfilled by hand around the pipe and completely under the pipe haunches in uniform layers not exceeding six (6) inches in depth to an elevation one (1) foot above the top of the pipe bell.

      Each layer shall be placed; then carefully and uniformly tamped so that the pipe is not damaged nor the alignment disturbed.

   b. Type II - Granular Material Embedment: For Type II bedding, the trench bottom shall be undercut a minimum of six (6) inches below the pipe barrel grade and filled with an approved stone to an elevation such that the pipe will be completely and uniformly bedded to a vertical height of one-third the outside diameter of the pipe for the pipe's entire length and the entire width of the ditch. Type II embedment shall be used as directed by the Engineer.

   c. Type III - Granular Material Embedment: For Type III bedding, the trench bottom shall be undercut a minimum of six (6) inches below the pipe barrel grade and filled with an approved stone to an elevation such that the pipe will be completely and uniformly bedded to vertical height of one-half the outside diameter of the pipe for the pipe's entire length and width of the ditch. Type III Granular material embedment shall be used as directed by the Engineer.

   d. Concrete Encasement and Cradles: Concrete encasement or cradles will be used only as designed for individual cases or as directed by the Engineer and will be noted on the plans and in the Special Provisions when applicable.

   e. Stone Stabilization: Stabilization stone shall be used when the trench must be undercut in excess of the six (6) inches required for Type II or Type III bedding, either due to excessive ground water or the existence of unsuitable material incapable of adequately supporting the pipe.

The Contractor shall undercut the trench as necessary and shall place and compact the stone stabilization material required to establish a stable bottom to receive either the Type II or Type III granular bedding and pipe.

2. Depth of Pipe Installation: Unless otherwise indicated on Plans, or required by existing utility location, all pipe will be installed with the top of the pipe at least 3.0' below the edge of adjacent roadway pavement or 3.0' below the ground at the pipe, whichever is greatest. The Contractor is instructed to check the construction plans and blow-up views for additional requirements.

   The maximum depth of cover for the previously specified pressure classifications shall be as follows:

   Type I Bedding..... ..... 10 feet
   Type II Bedding..... ..... 15 feet
   Type III Bedding..... ..... 20 feet

   The Contractor may be required to vary the depth of pipe to achieve minimum clearance from existing utilities while maintaining the minimum cover specified whether or not the existing pipelines, conduits, cables, mains, etc. are shown on the Plans.

3. Alignment and Grade:

   a. New Subdivision Streets: The water main shall be laid and maintained to the required lines and grades with fittings, valves and hydrants at the required locations; spigots centered in bells; and all valves and hydrant stems plumb. The curb must be in place and backfilled, and the area between the curb and the street right-of-way line graded smooth and to finished grade before water mains are installed. The water main shall be installed behind the curb as shown on approved plans or directed by the Engineer.

      In special circumstances, the Engineer may approve installation of water mains before the curb is installed. In such cases, the street must be graded according to approved grading plans for the entire width of the street right-of-way, the water main staked five feet behind the proposed curb line with 90 degree offset stakes every 50 feet, and "cut sheets" provided showing the vertical distance between each offset stake and the trench bottom at that point. Such staking will be done only by a surveyor registered in the State of North Carolina.

      After the curb and gutter has been installed, the location and depth of the main, valves, fire hydrants, etc., will be checked for conformance with Anson County Utility Department standards. Any deficiencies will be corrected to the satisfaction of the Engineer prior to testing, disinfection and activation of the mains.

   b. Existing Streets: The water mains shall be installed as shown on the plans unless an obstruction prevents such alignment or grade. The Contractor will be required to adjust the location of the water main where possible to avoid such conflicts as specified and as directed by the Engineer.

All construction layout and surveying which may be required for construction shall be provided by the Contractor and any costs associated shall be included in the various pay items of the proposal. The Contractor is responsible for determining the amount of construction layout and surveying that may be required to complete construction.

G. INSTALLATION AND ASSEMBLY

Proper implements, tools, and facilities satisfactory to the Engineer shall be provided and used by the Contractor for the safe and convenient prosecution of the work. All pipe, fittings, valves and hydrants shall be carefully lowered into the trench piece by piece by means of a backhoe or other suitable means, in such a manner as to prevent damage to protective coatings and linings. Under no circumstances shall water main materials be dropped or dumped into the trench.

1. Inspection of Material: The pipe and fittings shall be inspected for defects.

2. Cleaning Pipe and Fittings: All lumps, blisters and excess coatings shall be removed from the bell and spigot ends of each pipe, and the outside of the spigot and the inside of the bell shall be wire- brushed and wiped clean and dry and free from oil and grease before the pipe is laid.

3. Laying Pipe: Pipe shall be laid with bell ends facing in the direction of laying, unless otherwise approved by the Engineer. Every precaution shall be taken to prevent foreign material from entering the pipe while it is being placed.

   If the pipe laying crew cannot put the pipe into the trench and in place without getting earth into it, the Engineer may require that before lowering the pipe into the trench, a heavy, tightly woven canvas bag of suitable size shall be placed over each end and left there until the connection is to be made to the adjacent pipe. During laying operations, no debris, tools, clothing or other materials shall be placed in the pipe.

   After placing a length of pipe in the trench, the spigot end shall be centered in the bell and the pipe forced home and brought to correct line and grade. The pipe shall be secured in place with approved backfill material tamped under it except at the bells. Precautions shall be taken to prevent dirt from entering the joint space.

   At times when pipe laying is not in progress, the open ends of pipe shall be closed by a watertight plug or other means approved by the Engineer. This provision shall apply during the noon hours as well as overnight. If water is in the trench, the seal shall remain in place until the trench is pumped completely dry.

4. Permissible Deflection of Joints: Wherever it is necessary to deflect pressure pipe from a straight line, either in the vertical or horizontal plane, to avoid obstruction or plumb valve stems, or where long radius curves are permitted, the amount of deflection allowed shall not exceed that required for satisfactory sealing of the joint as recommended by the manufacturer, and shall be approved by the Engineer.

5. Installation of Push-On Joint Pipe: The gasket groove and bell socket shall be cleaned and lubricated, and the gasket inserted as specified by the pipe manufacturer. Sterile lubricant, as furnished or specified by the manufacturer shall be applied to the gasket

and beveled spigot end of the pipe. The beveled spigot end of pipe shall be pushed straight into bell using either a bar, jack, lever puller, or backhoe. A timber header will be placed between the jack or backhoe bucket and the pipe to prevent damage to the pipe. At no time will the joint be made by swinging the pipe. The pipe will be deflected, if required, after the joint is made.

6. Installing Mechanical Joint Pipe and Fittings: All spigots shall be centrally located in the bell and adequate anchorage shall be provided where abrupt changes in direction and dead ends occur. All pipe surfaces with which the rubber gasket seals come into contact will be brushed with a wire brush just prior to assembly in order to remove all loose rust or foreign material and to provide a clean surface for the installation of the gasket. The pipe surface with which the gasket comes into contact and the gasket will be brushed with soapy water just prior to the installation of the gasket and the making up of the joint. Torque loads shall be applied to the standard cast iron bolts used in making the joint as follows:

BOLT SIZE, INCHES	RANGE OF TORQUE, FT. POUNDS
5/8”	40-60
3/4”	60-90
1”	70-100
1-1/4”	90-120

The above torque loads may be applied with torque measuring or indicating wrenches. Torque wrenches may be used to check the application of approximate torque loads applied by men trained to give an average pull on a definite length of regular socket wrench. The following lengths of wrenches should satisfactorily produce the above ranges of torques when used by the average man:

BOLT SIZE, INCHES	LENGTH OF WRENCH, INCHES
5/8”	8
3/4“	10
1”	12
1-1/4”	14

When tightening bolts, the gland will be brought up toward the pipe flange evenly, maintaining approximately the same distance between the gland and the face of the flange at all points around the socket.

7. Bend and Fitting Location: The Contractor is advised that the bends and fittings indicated on the plans are for a guide only. The Contractor will be required to furnish additional bends and fittings as needed to complete all installations.

8. Cutting Pipe: The cutting of pipe for inserting valves, fittings, or closure pieces shall be done in a neat and workmanlike manner without damage to the pipe or cement lining and so as to leave a smooth end at right angles to the axis of the pipe. Cut ends of a pipe shall be beveled before installation in a push-on joint bell.

When making connections to existing mains which require water mains to be removed from service, automatic traveling pipe cutting machines will be required on pipe 16-inch and larger. At other times, hand-held pipe saws may be used provided the pipe is marked, prior to cutting, such as to provide a cut at right angles to the axis of the pipe. Handheld pipe saws may be used in all applications for cutting pipe smaller than 16-inch.

Flame cutting of pipe with an acetylene torch will not be allowed.

There will be no cutting of Asbestos Cement Pipe with a hand held pipe saw. Only an ax will be permitted to cut the pipe.

9. PVC Pipe Installation: PVC water main shall be installed in accordance with the Recommended Practice for the Installation of PVC Pressure Pipe UNI-B-3 and AWWA C-900. Backfill shall be as specified elsewhere in these specifications.

   Unless otherwise indicated on the Plans, or required by existing utility locations, all PVC water pipe shall be installed with a minimum cover of 3.0 feet. The maximum cover shall be as previously specified. Ductile Iron Pipe shall be installed when the minimum cover is less than 3.0 feet and in all crossings of other pipelines (storm drainage, gas, etc.) when vertical distance between the water main and the other pipeline is less than 12-inches.

H. INSTALLING VALVES, HYDRANTS, AND FITTINGS

Valves, hydrants and fittings shall be installed in the manner specified for installation and assembly of pipe. Valves and hydrants shall be installed at locations shown on the plans and/or as directed by the Engineer.

1. Valve Boxes: A valve box conforming to the Standard Details shall be installed for every gate valve. The valve box shall not transmit shock or stress to the valve and shall be centered and plumb over the operating nut, with the box cover flush with the surface of the pavement or other existing surface.

   Where the box is not set in pavement, the top section shall be anchored by an 18" x 18" x 6" concrete pad, or an approved pre-cast concrete pad, set flush with the existing terrain. The top section will be grouted into the pre-cast concrete pad. The location of valves will be identified by the letter "V" imprinted into the curb adjacent to mainline or hydrant valve.

   All butterfly valves shall be installed with operating nuts plumb and centered beneath a manhole frame and cover, valve box top section and riser pipe as shown in the Standard Details. Extension stems as shown on the standard detail will be required on valves where the operating nut is more than 4.0 feet below the top of the frame and cover.

2. Valve Blocking: All end of line valves 12-inch and smaller installed on PVC or DIP water mains and all 12-inch valves installed along PVC water mains shall be securely wedge blocked with concrete bearing against, and cut into the excavated sides of the trench. Care shall be taken in forming and pouring the "wedge" blocking so the fitting joints will be accessible for repair and/or valve extraction.

3. Fire Hydrants: Hydrants shall be set with no less than three (3) foot bury on water mains 12" and smaller and with four (4) foot or more for water mains 16" and larger. Because of varying topography, extensions, and/or hydrants with greater bury may be required. Extensions will be made by the hydrant manufacturer.

   All hydrants and hydrant guard valves will be installed plumb and in accordance with the Standard Details. The appropriate plan view will be noted on the Plans or in the Special Provisions. Each hydrant installation will include a drainage bed of clean washed stone approximately 1 cubic foot in size at the "weep hole". Piping from the main to the hydrant shall be 6-inch DIP. The hydrant shall be restrained by either the use of Mega-Lug fittings or by threaded rod.

4. Blocking Fittings: All plugs, caps, tees, and bends deflecting 11-1/4 degree or more on pressure mains 2" in diameter or larger shall be provided with thrust blocking, placed as shown on the Plans and/or as directed by the Engineer, and consisting of ready mix concrete having a compressive strength of not less than 3,600 lbs per square inch at 28 days.

   Bagged mix concrete may be used for blocking, anchorage, concrete valve pads, etc. on water mains and valves 12-inches and smaller, when less than 1/2 yard is required. Blocking shall be placed between solid ground and the fittings to be anchored. The area of bearing on the pipe and on the ground in each instance shall be that shown or directed by the Engineer. The blocking shall be so placed that the pipe and fittings will be accessible for repair.

5. Restrained Joints: Restrained joints shall be installed where shown on the plans, standard details or when approved by the Engineer, and may be installed in lieu of blocking. Installation shall be per manufacturer's recommendations, as shown on the plans, special provisions, and/or as directed by the Engineer.

I. WATER MAIN TAPS

1. Installation Of Tapping Sleeves And Valves: Tapping sleeves and valves will be installed by Anson County Utility Department personnel or by the Contractor under direct supervision of Anson County Utility Department personnel. No work will be done (including excavation of the existing main) except when Anson County Utility Department personnel are present.

   Tapping valves shall be supported at all times to prevent the tapping sleeve from slipping on the main. Tapping sleeves and valves will be field pressure tested after installation on the pipe but before the tap is made.

   First, the tapping valve will be opened and the sleeve and valve filled with water and placed under the rated pressure of the sleeve (200 PSI for 12-inch and smaller, 150 PSI for 16-inch and larger). The pressure gauge shall be observed for five minutes with no loss of pressure. Then the pressure shall be released, the valve closed and procedure repeated with test pressure against the outside of the valve gate or wedge.

When tapping sleeves are furnished with test plugs, the test may be made in a single step with the valve closed and pressure applied through the test plug.

2. 3/4" And 1" Water Service Connections: Only those connections which have been approved by the Anson County Utility Department will be made.

   Service lines will be made perpendicular to the water main and shall, unless otherwise approved, terminate at the brass pipe after the meter box. All taps will be made substantially as shown on the Standard Details. Services lines will be installed with a minimum depth of cover of 20-inches and a maximum depth of cover of 30-inches. Service connections must be installed prior to pressure testing and sterilization. Allowance for the joints in service connections will be included when computing the allowable leakage. The Contractor shall flush each connection after testing and sterilization is complete.

   Meter box locations shall be as shown on the standard details. Meter boxes shall be concrete or plastic as previously specified. In areas with sidewalks or proposed sidewalks, the meter boxes are to be set either totally in or totally out of the sidewalk. Meter boxes set in sidewalks shall have concrete lids. Meter boxes will not be set in driveway locations.

   3/4-inch service connections to Ductile Iron Pipe shall be made by tapping the main directly with a corporation stop. Service connections to PVC pipe as well as 1-inch and larger service connections to DIP shall be made by using tapping saddles threaded to accept corporation stops.

   In new streets, piping beneath pavement on "long side" taps will be installed prior to paving. Backfill shall be compacted as specified with extreme care taken to prevent damage to the copper piping. Piping beneath paved areas will be one continuous piece.

3. 1-1/2 Inch And Larger Services: Master meters 1-1/2-inch and larger and fire lines with detector checks may be installed by the Contractor. Such installation shall conform to the Standard Details, as applicable for the meter installations and to the applicable Material Specification and Detailed Specification sections for service line piping. All portions of the installation, including property line valves, shall be contained within the street right-of-way. The Contractor shall consult with the project inspector to determine location of meter vaults before installation begins.

J. BACKFILL

All backfill shall be free from roots, vegetative matter, waste, construction material, or other objectionable material, including but not limited to rock larger than 8-inches in diameter. Rock shall not exceed 10% of the fill material, and shall not be placed within 2-feet of the pipeline or within 2-feet of finish grade. Backfill material shall be capable of being tamped by mechanical tamps using relatively low velocity and heavy blows. The material shall have no tendency to flow or behave in a plastic manner under the tamping blows. Material deemed by the Engineer as unsuitable for backfill shall be removed from the job site before backfilling operations begin.

1. Replacement of Wet Or Unsuitable Material: When the Engineer determines that the material excavated from the trench is unsuitable for backfill because of the material

type or because it contains excessive debris, rock or organics, it shall be removed from the project and replaced with a backfill material approved by the Engineer. When the moisture content of an otherwise suitable material is too high to achieve specified compaction, as determined by a moisture content and density test, the Contractor shall replace the material as necessary to meet backfill requirements. The wet material may be dried to optimum moisture content and used for backfill in subsequent phases of the project. Should an otherwise suitable material be found too dry to achieve compaction requirements, water may be added to the material to raise the moisture content to optimum. Borrow material placed at the direction of the Engineer shall be clean earth at optimum moisture content, concord (pit) gravel or ABC stone.

2. Backfilling of Trenches: Trenches shall be backfilled immediately after the pipe is laid. Backfill around pipe and to an elevation of one (1) foot above the pipe bell shall be done only by hand and in layers not exceeding six (6) inches with every layer thoroughly tamped. Successive layers of backfill shall be compacted in place as specified below.

   Should water rise in an unbackfilled ditch after the pipe has been placed, the Engineer may require the Contractor to remove the pipe, muck the trench and relay the pipe using Type II or Type III granular bedding.

   a. Backfill of trenches within water main rights-of-way: Trenches excavated outside existing roadway and railway right-of-way may be backfilled, above the initial one (1) foot, by mechanical means in layers up to twelve (12) inches thick, unless otherwise approved by the Engineer.

   b. Backfill of trenches within road and railway rights-of-way: Trenches excavated within existing road and railway rights-of-way shall be backfilled in layers not to exceed six (6) inches and each layer shall be thoroughly tamped.

K. COMPACTION REQUIREMENTS

Compaction for pipeline and structure excavations shall be attained by the use of mechanical tamps. Each layer of backfill shall be placed loose and thoroughly compacted in place. Heavy rollers, vehicles or other equipment shall not be used for compacting backfill nor allowed to cross over completed work except at points adjudged capable of adequately protecting the pipeline. Pneumatic tamps, ram type tamps or vibrating tamps with sheepsfoot rollers will be required to meet the specifications of "Mechanical Tamp".

1. Pipeline Compaction: Trenches excavated outside existing road and railway rights-of-way shall be backfilled as specified above and tamped thoroughly:

   a. All material shall have an in-place density of at least 95% of maximum density or as directed by the Engineer.

   b. Should any public or private roadways, service road, drive, etc. be encountered during this construction, the Contractor shall at the Engineer's direction comply with the compaction requirements specified below for work within road and railway rights-of- way.

2. Compaction Within Road and Railway Rights-of-Way: Trenches excavated within existing road and railway rights-of-way, and all structure excavation regardless of location, shall be backfilled as previously specified and thoroughly tamped:

   a. Unless otherwise required by the controlling agency, all material from the bottom of trench to within six (6) inches of the subgrade shall have an in-place density of 95% of the maximum dry density as defined by a standard proctor curve for the material.

   b. All material within six (6) inches of the subgrade level shall have an in place density of 100% of the maximum dry density.

   c. On roadway shoulders, all material shall have an in place density of 95% of maximum dry density.

3. Compaction Testing: Moisture content and density testing of backfill will be performed by Anson County. Tests will be performed within all street, highway and railway rights-of-way to insure that the specified compaction requirements are met. The Contractor will cooperate fully with the soils technicians in providing access to backfill at any requested depth for the purpose of performing moisture content/density testing. When requested, the Contractor shall excavate a backfilled ditch to any specified depth for a compaction test and shall insure that the ditch meets all OSHA safety standards before the technician enters to perform the test.

   A "standard proctor curve" which establishes the relationship between moisture content and dry density for soil will be determined by the method described in ASTM D-98 or by AASHTO Method T-99. Field density tests will be performed using either the sand cone method or a nuclear moisture/density gauge. Any backfill which does not meet the specified compaction will be re-tamped, or removed and replaced as approved by the Engineer.

L. REMOVAL AND RESTORATION OF PAVEMENT AND ROAD SURFACES

All removal and restoration of pavement and road surfaces will be in accordance with the specifications approved by Anson County or of the North Carolina Department of Transportation and Safety, Division of Highways, whichever applies.

All restored bituminous and concrete pavements shall be placed to existing cross-section and ride quality. Restored pavement will in all instances be flush and level with existing pavement at the sawed edges, and at existing gutter lines where applicable unless otherwise approved by the Engineer. When pavement repairs do not meet the above criteria or are not performed in a workmanship manner as determined by the Engineer, Anson County, or North Carolina Department of Transportation, whichever applies, the contractor will remove and re-perform the restoration as specified.

Pavement will be replaced as follows. In all pavement cuts either the permanent pavement or a temporary pavement consisting of 1-1/2" of black asphaltic concrete (later to be replaced permanently) will be placed immediately upon completion of the subgrade unless otherwise approved by the Engineer.

1. Specifications for Cutting Pavement: Unless otherwise approved or required, concrete pavement shall be removed to the nearest expansion or contraction joint. The Contractor will contact Anson County and/or NCDOT's District Engineer for a determination of the limits of concrete replacement and location of joints. Where sawed joints are allowed, the depth of the sawed cut shall be at least one (1) inch and shall extend at least 1/5 of the depth of the concrete. More depth may be required if necessary to prevent damage to surrounding pavement.

   Bituminous pavement shall be cut in a smooth and straight line. Sawing is required on asphaltic concrete. The width of pavement left between the edge of the ditch and the existing edge of the pavement or the front line of the gutter, shall be at least 2 feet. Residual strips of pavement less than 2 feet in width must be removed and replaced.

   Existing pavement shall be removed on each side of the trench for at least 12 inches beyond top of trench.

   The Contractor shall remove and replace pavement which, in the opinion of the Engineer, has been cracked or displaced by the operation of the Contractor.

2. Specification For Restoring Concrete Pavement: The concrete used to restore pavement shall have a minimum 28 day compressive strength of 3600 P.S.I. The concrete as placed shall conform to the shape, grade, and finish of the existing pavement and will be one (1) inch deeper than the original pavement including base, but in no instance less than six (6) inches.

3. Specification For Restoring Asphalt Pavement: All material above the sub-base level shall be hot-mix bituminous concrete conforming to North Carolina Department of Transportation Standard Specifications for Roads and Structures for both mix design and placement.

   The asphalt pavement as placed shall be one (1) inch deeper than the original pavement including base, but in no instance less than six (6) inches within County maintained roadways or eight (8) inches within state maintained roadways. The asphalt shall be placed in lifts not greater than 4 inches and shall be hot mix bituminous concrete binder I-19.0A. The last two (2) inches in either instance shall be S-9.5A “Super Pave” suitable to the appropriate controlling agency. S-9.5A “Super Pave” asphalt pavement resurfacing will be placed with paving machines and/or rollers of a size and type currently approved by the North Carolina Department of Transportation for use on resurfacing contracts.

   If a bituminous surfacing overlays a concrete base, the Contractor, at the option of the Engineer, shall replace the concrete to its original thickness, or to a level 2 inches below the finished surface. The Engineer may direct the Contractor to omit all concrete and to replace the pavement with bituminous materials.

   Tack coats shall be employed with each lift. Tack coats shall be placed on both horizontal and vertical surfaces (pavement cuts or face of concrete gutters).

   Under normal conditions, asphalt binder will be placed in pavement cuts at the end of each work day. S-9.5A shall be replaced weekly or within five days following

completion of pipeline construction along a continuous section of pavement. During inclement weather, the Engineer may permit the use of temporary asphalt (cold mix) to seal the trench until permanent asphalt can be placed.

M. CONCRETE CONSTRUCTION

1. Acceptance of Concrete: Concrete shall be accepted on the basis of its meeting the requirements listed under the Material Specifications and Detail Specifications Section of this contract. The Inspector will accept no ready mix concrete without the plant dispatch ticket.

   The Engineer shall make or require any tests as he deems necessary to insure that the concrete meets specifications. Such tests may be performed by CMUD materials technicians or the Engineer may require the test to be performed by an independent testing laboratory at the Contractor's expense.

2. Placement: Concrete will not be accepted if it cannot be placed within ninety (90) minutes of the dispatch time. Time requirements may fluctuate marginally due to temperature.

   Concrete shall be deposited in such a manner so as to prevent contamination by foreign material and segregation due to rehandling or flowing. Segregated concrete and/or concrete containing foreign material will not be accepted. Depositing will not be permitted when temperature has not exceeded 35 degrees and rising by 10:00 A.M. Depositing shall cease when the descending air temperature in the shade falls below 40 degrees. It shall not resume until the ascending air temperature rises to 35 degrees. All concrete shall be kept from freezing by the Contractor. Frozen concrete shall be replaced at the Contractor's expense. Free fall shall not exceed 3 feet in any case.

3. Forms: Forms may be made of wood, plywood, metal, or any other material approved by the Engineer. Forms shall be mortar tight, of material strong enough to resist noticeable deflection or bulging between supports and the interior dimensions of the forms shall be such that the finished concrete shall be of the form and dimensions shown on the Plans. The design of the forms shall take into account the effect of vibration of concrete as it is placed and also the rate of speed at which the forms will be filled. Forms shall be coated with a lubricant as approved by the Engineer.

   Mechanical vibrators, of an approved type, and continuous spading and/or rodding of concrete shall be used to produce proper contact of concrete with forms and reinforcing steel in piers and with forms and pipe in monolithic inverts insuring a compact, dense and impervious artificial stone of uniform texture.

4. Curing: All concrete will be cured for a seven (7) day period after placement according to the following procedure.

   a. Forms will normally be left in place for the entire seven (7) day period. Exposed surfaces not covered by forms will be kept moist continuously for the entire seven day period or will be cured through use of an approved curing compound which will be applied after all surface water has disappeared.

b. At the discretion of the Engineer, forms may be removed after the initial set and before the end of the seven day period. In such cases, the areas previously covered by forms shall be cured as described above.

c. The Engineer may permit backfill of certain structures (e.g. concrete piers) before the end of the curing period. In such cases, the forms shall be stripped and the surfaces that remain exposed after backfill shall be cured as described in (a) above. Curing compound shall not be required for backfilled surfaces except where specified by the plans or Special Provisions.

5. Finishing: The structure shall have a uniform and textured surface. All form marks exposed to view shall be rubbed off with a stone.

6. Testing: The following tests may be performed to ensure the concrete quality:

a. Compressive strength in accordance with ASTM C-31 and ASTM C-39. Test cylinders which are formed in the field will be left in the field until compression testing (7 day, 14 day, 28 day) is completed thereby more closely approximately the curing conditions of the field placed concrete.

b. Slump Test in accordance with ASTM C-143.

c. Air Content Test in accordance with either ASTM C-173 or ASTM C-231.

N. DRY BORE WITH STEEL ENCASEMENT

Unless otherwise shown on the construction plans, casing pipe installed under State and/or County maintained roadways shall not require a protective coating. Casing pipe installed within Railroad rights-of-way may require a coal-tar primer coat, followed by a single application of hot coal-tar enamel 3/32 inches thick (+/-1/32 inch) plus a bonded 15 lb. felt wrap or an approved equal coating.

Unless prohibited by the railroad, uncoated pipe may be used if and only if the wall thickness specified is increased to the next thicker standard size, or a minimum of 0.0063 inches thicker than standard.

1. Bore Pits (or Tunnel Pits): Bore or tunnel pits shall be safed-up, shored, well marked, lighted, and not left unattended except as approved by the Engineer. Requirements for stabilization and dewatering of bore pits shall be as hereinbefore specified. The angle of repose method (sloping pit walls) for creating a safe working area shall not be used unless specifically allowed or approved by the Engineer.

2. Installation: Smooth wall or spiral weld steel pipe may be jacked through dry bores slightly larger than the pipe, bored progressively ahead of the leading edge of the advancing pipe as spoil is mucked by the auger back through the pipe. As the dry boring operation progresses, each new section of encasement pipe shall be butt-welded to the section previously jacked into place. Continuous checks shall be made as to the elevation, grade and alignment of each successive section of encasement as well as the tracks (rails) upon which the boring rig travels.

If voids are encountered or occur outside the encasement pipe, grout holes shall be installed in the top section of the encasement pipe at ten (10) foot centers and the voids filled with 1:3 Portland Cement grout at sufficient pressure to prevent settlement in the roadway/railway.

Boring operations shall be continuous to their completion, and unnecessary or prolonged stoppages shall not be allowed.

In the event an obstruction is encountered during the boring and jacking operations, the auger is to be withdrawn and the excess pipe is to be cut off, capped, and filled with 1:3 Portland Cement Grout at sufficient pressure to fill all voids before reapplying to the Controlling Agency for permission to open cut, bore at an alternate location, or install a tunnel.

Installation shall be to the limits specified by the Controlling Agency and/or as delineated in their encroachment issued to the County. (Copy of the encroachment agreement must be kept at the site throughout boring operations).

The completed casing installation shall be such as to prevent the formation of a waterway under the road or railbed.

The Controlling Agency shall have full authority to require remedial measures and/or to stop all work if, in its opinion, said work will cause any damage to the roadway/railway section or endanger traffic. In all instances the Controlling Agencies reserve the right to sample, test, and approve all materials and methods used.

The Contractor shall notify the Controlling Agency through the Construction Engineer and acknowledgement shall be received a minimum of five (5) working days prior to beginning any work within roadway or railway rights-of-way. If required, 24-hours' notice will be given prior to completion.

O. BLASTING

Prior to commencing any blasting operations the Contractor shall notify Anson County and obtain blasting permits as required. The Contractor must furnish certification of Insurance specifically covering any and all obligations assumed pursuant to the use of explosives.

All blasting operations shall be conducted in strict accordance with any and all decrees, rules, regulations, ordinances, and laws as may be imposed by any regulatory body and/or agency having jurisdiction over the work relative to handling, transporting, use and storage of explosives. Blasting shall be done only by competent, sober and experienced personnel whose activities shall be conducted in a workmanlike manner. Satisfactory information must be provided to the Engineer that the blaster meets or exceeds the qualifications enumerated in OSHA Regulations Part 1926, Subpart U, Section 1926.901 - Blaster Qualifications.

All rock, dirt and debris from blasting shall be contained within the excavation by use of weighted mats or undisturbed overburden. The Contractor's blaster shall be fully responsible for determining the method of containment and the weight, size and placement of material required to contain the charge he is using.

Charges shall be sized such that no damage to houses, structures, roadways etc., outside the limits of the excavation will occur. Where there is a possibility of such damage, the charge will initially be set at a very low level and increased in small increments until the proper charge is determined. The Contractor shall be held responsible for any and all injury to persons or damage to public or private property.

1. Permission to Blast: The Contractor shall not be allowed to blast within any rights-of-way maintained by any agency (NCDOT, R.R., Gas, etc.) other than the County without specific approval of the controlling agency and only in accordance with their respective requirements.

P. TESTING AND INSPECTION

Required testing of pipelines and valves shall be done under the direct supervision of Anson County Utility Department inspection personnel. Field testing shall not negate the requirements for material certifications as contained in the material specification section of this contract. Unless otherwise directed by Anson County Utility Department inspection personnel, all testing and disinfection will be completed prior to connection to any existing line. The Contractor shall provide open ventilation of confined spaces. The Contractor shall be responsible for providing all

1. Hydrostatic and Leakage Tests: On completion of the line or sections of the lines, connections and appurtenances, the line shall be filled and hydrostatically tested. The water for this purpose can be taken from existing lines under the supervision of the Anson County Utility Department inspection personnel and leakage will be measured by the Anson County Utility Department inspection personnel with a meter furnished by the Anson County Utility Department. All leaks and any defective material shall be repaired or replaced to the satisfaction of Anson County Utility Department inspection personnel and the tests repeated until the requirements of this specification are met. Any special equipment, pumps, etc. required to make the test shall be furnished and operated by the contractor as directed by Anson County Utility Department inspection personnel.

   The Contractor shall use great care to be sure that all air is expelled from each section under test. If fire hydrants or other openings are not available for the purpose of expelling air, the Contractor shall provide air releases of sufficient size (as determined by Anson County Utility Department inspection personnel) in accordance with the Standard Drawings, at his expense. Specific procedures for testing mains are as follows:

   a. Test pressure will be 200 PSI at the low point of the section under test. When testing against butterfly valves, the differential pressure at the valve must not exceed 150 PSI for valves rated at 150 PSI.

      If the test cannot be made with differential pressure of 150 PSI, 250 PSI valves will be specified. Differential pressures across gate valves may be up to 200 PSI.

   b. Allowable leakage will be determined by Table 6, AWWA C-600 or by the formula $L = 0.000106SD$ where S is the length of pipe under test and D is the pipe diameter. Add 0.0043 gal/hr. for each 3/4-inch service and 0.0057 gal/hr. for each 1-inch service.

TABLE 6

Allowable Leakage per 1000 ft. (305m) of Pipeline* - gph‡

Avg. Test Pressure Psi (Bar)	Nominal Pipe Diameter - in.															
	3	4	6	8	10	12	14	16	18	20	24	30	36	42	48	54
450 (31)	0.48	0.64	0.95	1.27	1.59	1.91	2.23	2.55	2.87	3.18	3.82	4.78	5.73	6.69	7.64	8.60
400 (28)	0.45	0.60	0.90	1.20	1.50	1.80	2.10	2.40	2.70	3.00	3.60	4.50	5.41	6.31	7.21	8.11
350 (24)	0.42	0.56	0.84	1.12	1.40	1.69	1.97	2.25	2.53	2.81	3.37	4.21	5.06	5.90	6.74	7.58
300 (21)	0.39	0.52	0.78	1.04	1.30	1.56	1.82	2.08	2.34	2.60	3.12	3.90	4.68	5.45	6.24	7.02
275 (19)	0.37	0.50	0.75	1.00	1.24	1.47	1.74	1.99	2.24	2.49	2.99	3.73	4.48	5.23	5.98	6.72
250 (17)	0.36	0.47	0.71	0.95	1.19	1.42	1.66	1.91	2.14	2.37	2.85	3.56	4.27	4.99	5.70	6.64
225 (16	0.34	0.45	0.68	0.90	1.13	1.35	1.58	1.80	2.03	2.25	2.70	3.38	4.05	4.73	5.41	6.03
200 (14)	0.32	0.43	0.64	0.85	1.06	1.28	1.48	1.70	1.91	2.12	2.55	3.19	3.82	4.46	5.09	5.73
175 (12)	0.30	0.40	0.59	0.80	0.99	1.19	1.39	1.59	1.79	1.98	2.38	2.98	3.58	4.17	4.77	5.36
150 (10)	0.28	0.37	0.55	0.74	0.92	1.10	1.29	1.47	1.66	1.84	2.21	2.76	3.31	3.86	4.41	4.97
125 (9)	0.26	0.34	0.50	0.67	0.84	1.01	1.18	1.34	1.61	1.68	2.01	2.52	3.02	3.53	4.03	4.53
100 (7)	0.23	0.30	0.45	0.60	0.75	0.90	1.05	1.20	1.35	1.50	1.80	2.25	2.70	3.15	3.60	4.05

* If the pipeline under test contains sections of various diameters, the allowable leakage will be the sum of the computed leakage for each size.

‡ To obtain leakage in liters/hour, multiply the values in the table by 3.785.

c. Pressure and leakage tests will be run concurrently and for a duration of four hours except as modified below.

d. The Contractor will pressurize the line and verify that it is within allowable leakage before the official test is started.

e. Anson County Utility Department inspection personnel will begin the test and remain at the job for the first hour, making sure that the test pressure is maintained within +/- 5 PSI. The Contractor is to maintain the pressure within +/- 5 PSI for the duration of the test period. At the end of the first hour, with the line pumped to full test pressure, he will read the meter and record the first hour leakage. If the first hour leakage is within allowable, he will return at the end of the fourth hour and again read the meter. If the total leakage for the four hour period does not exceed four times the first hour leakage, the test will be terminated. If the total leakage exceeds four times the first hour leakage, but is still within allowable, the test will be held an additional hour. If the fifth hour leakage does not exceed the average hourly leakage for the first four hours, the test will be terminated at the end of the fifth hour. Otherwise, the test will be held until the leakage is non-increasing and within allowable for two consecutive hours.

f. If leakage exceeds allowable for the four hour test, the test will be terminated and re-scheduled after the Contractor has verified that actual leakage is within the allowable leakage, but no earlier than the next work day.

g. If the first hour leakage does not exceed 10% of the allowable, or if the allowable leakage rate does not exceed 0.4 gal/hr., the test may be terminated at the end of two hours provided the second hour leakage does not exceed the first hour leakage. If the second hour leakage exceeds the first hour leakage, the test will be held for an additional period as described in Paragraph (e) above.

h. The maximum length of pipe tested in one test shall be 5,000 feet or as close to 5,000 feet as possible depending on valve spacing.

i. During the last stages of the test and without any reduction in pressure, first the hydrant guard valves will be closed, then progressing in an orderly manner from the end opposite the test pump, each main line valve will be closed and pressure released to determine if it is holding pressure (minimum 10 minutes per valve closing).

j. Unless otherwise directed by Anson County Utility Department inspection personnel, each Butterfly Valve will be tested to 150 PSI for a minimum of 10 minutes after the pipeline has been successfully tested.

k. When testing mains with Contractor installed water services, Anson County Utility Department inspection personnel may on a random basis require jumpers in selected meter yokes with full test pressure applied to the property line valve. Such jumpers will be furnished by the Anson County Utility Department and installed by the Contractor.

2. Disinfection of Mains: All of the water mains installed shall be thoroughly flushed and disinfected before being placed in service. This work shall be done under the direct supervision of Anson County Utility Department inspection personnel. The Contractor shall supply all labor, equipment and materials necessary for carrying out this work. After a thorough flushing and cleaning out, sufficient chlorine compounds shall be introduced in the lines to produce a chlorine concentration of at least 50 parts per million. The chlorine solution shall be retained in the lines for at least twenty-four (24) hours. At the end of this period, the chlorine residual shall be at least 20 parts per million. The lines will then be flushed sufficiently to clear them of chlorine exceeding one part per million. Samples of water from the mains will then be taken and analyzed for bacteriological purity. If the mains fail to meet the bacteriological standard for purity, disinfecting and flushing will be repeated until such standards have been met. All analysis for chlorine and bacteriological purity will be by Anson County Utility Department personnel.

3. Drainage of Mains: Drainage of mains and disposal of chlorinated water shall be in accordance with all Federal, State and local laws, ordinances and regulations. Mains shall be drained to sanitary sewers, where available. Drainage directly to surface waters (creeks, rivers, streams, lakes, ponds, etc.) will not be allowed. Drainage branches, blowoffs, air vents and appurtenances shall be provided with valves and shall be located and installed as shown on the Plans and Standard Details. Drainage of mains will be accomplished in such a manner as to minimize erosion and siltation to adjoining

properties. Water velocity from drainage and/or blow-off will be dissipated as necessary to prevent erosion.

Drainage branches or blowoffs shall not be connected to any sewer, submerged in any streams, or installed in any other manner that will permit back siphonage into the distribution system.

Q. REPAIRS

The Contractor shall make any needed repairs to newly installed unactivated mains. Repairs to existing and/or activated mains will be made by the Anson County Utility Department unless the Contractor is otherwise directed by the Engineer.

1. Repairs to New mains: Repairs shall be made by cutting out and removing the damaged/defective section and replacing those with new pipe using long pattern solid sleeves to connect plain ends. Bell clamps will not be allowed to repair newly installed

2. Repairs to Existing Mains: The Contractor will not be required to repair existing mains unless specifically directed by the Engineer, or specified elsewhere in these specifications. Repair methods will be considered on a case by case basis.

R. RESTORATION

All surfaces and structures (both public and private) within and adjacent to the construction operations shall be restored to a condition comparable to that existing prior to construction or as specified in the Special Provisions.

All surplus materials shall be disposed of in a manner acceptable to the Engineer, and the construction area shall be left in a neat condition, with special attention called to proper drainage, smoothness of surface, and general clean up. No machinery or equipment shall be left or stored on the job site after the project is completed.

Unless otherwise specified, complete restoration to include fertilizing, seeding, and mulching of any and all areas disturbed during construction shall be completed within thirty (30) working days following the initial ground disturbing activity.

1. Water meters, valve boxes, drain pipes, and other structures encountered shall be reset or relaid to match or clear surface grade and/or water main pipe grade as applicable.

2. All shoulder areas shall be restored, stabilized, and maintained to their original condition. Concrete, asphalt, gravel, and dirt walks, drives and roadways are to be replaced to their original shape and serviceability. Unless otherwise approved by the Engineer, all areas (shoulders, side streets, drive, parking areas, etc.) which exhibit a gravel surface at the time of construction will be re-graveled with a minimum depth of six (6) inches of CABC stone compacted-in-place for the width and length of the disturbed area and then feathered gradually into the existing cross section. When a driveway is finished with other than CABC stone, a one inch finish coating to match existing gravel gradation and appearance shall be placed.

The Contractor should note that all existing side streets and drives which are either dirt or gravel will be restored as specified for graveled areas.

3. Refuse Burial: The Contractor shall not bury rock, broken concrete/asphalt, construction material, timber, etc. within the street or water main right-of-way.

4. Rip-Rap: The Contractor shall place stone rip-rap as specified in those areas subject to severe water action where directed by the Engineer.

   Placement of rip-rap as shown on the Construction Plans shall be considered a guide only, with final determination made at the time of construction by the Engineer. Either the addition or deletion of quantities may be required.
   Stone rip-rap will be installed no steeper than a 2:1 slope except when specifically approved by the Engineer. Grading will be required as necessary to insure continuous even flow.

   The rip-rap installation shall include all earthwork necessary to stabilize the creek bank and to provide cover for the water line.

5. Jute Netting/Erosion Blanket: The Contractor shall install jute netting or Erosion Control Blanket in areas subject to high runoff velocities, areas subject to concentrated runoff and on steep slopes as shown on the plans and/or as directed by the Engineer.

6. Fertilizing, Seeding, and Mulching: Established lawns and landscaped areas damaged by construction shall be restored to their former condition by seeding, unless the type and condition of the existing sod warrants it being cut, removed, preserved, and replaced. All areas, regardless of previous condition, damaged by construction shall be fertilized, seeded, and mulched as outlined below:

   a. Seed Bed Preparation: The seed bed shall be prepared by pulverizing the soil in an approved manner to a depth of three (3) inches for field conditions or slopes that are 3:1 or flatter and to a depth of one (1) to three (3) inches, as determined on site for slopes steeper than 3:1. The soil shall be tilled until a well pulverized, firm, reasonably uniform seed bed is prepared conforming substantially to ground elevations as shown on the Plans and/or as existed prior to construction. The disturbed area shall blend uniformly into adjacent topography. Good surface drainage must be provided, allowances for settlement made and ground elevations adjusted accordingly. Visible ponding will not be allowed. All stones, roots, sticks, rubbish, and other objectionable material shall be removed.

   b. Soil Improvements: Soil additives shall be incorporated in an approved manner into the top soil at the following rates:

      1. Fertilizer - 20 pounds per 1000 square feet of 5-10-10 fertilizer generally and 30 pounds per 1000 square feet of 10-10-10 fertilizer for established lawn areas.

      2. Lime - 100 pounds per 1000 square feet.

      3. Superphosphate (0-20-0) - 12 pounds per 1000 square feet.

c. Seeding: Seeding must be done within thirty (30) calendar days after the initial ground disturbing activity.

The seed bed must be in good, friable condition and not muddy or hard at the time seeding is performed.

Seed shall be applied at the rate specified and raked or tilled into the topsoil with the resulting furroughs running across the natural slope of the ground. Under no circumstances will any tilling activity be allowed parallel with said slope. Slopes steeper than 3:1 shall require the use of hydraulic seeding unless otherwise specifically approved by the Engineer.

d. Mulching: After fertilizing, seeding and raking, dried straw shall be spread uniformly over the area at a rate of 90 pounds per 1000 square feet. Approximately 1/4 of the ground should remain visible to avoid smothering seedlings. The straw shall be sprayed with liquid asphalt to bond it together and anchor it in place within road right-of-way and areas subject to erosion.

1. Liquid asphalt, thinned with kerosene, shall be used during freezing weather and shall be either rapid or medium curing. It shall be applied at a rate of 200 gallons per ton of straw or approximately 9 gallons per 1000 square feet.

2. Emulsified asphalt, thinned with water shall be used when temperatures are less severe, shall be rapid curing only, and shall be applied at a rate of 150 gallons per ton of straw or approximately 7 gallons per 1000 square feet.

e. Maintenance: The Contractor shall maintain the seeded areas until there is a uniform growth three (3) inches high. Maintenance shall consist of watering, weed and pest control within established lawns, fertilization, erosion repair, reseeding and all else necessary to establish a vigorous healthy and uniform stand of grass. All areas and spots which do not show a uniform stand of grass, for any reason, shall be treated repeatedly until a uniform stand is attained.

Seasonal seeding mixtures and rates of application shall be as follows. All rates are in pounds per 1000 square feet and any rates listed below may be cut by 1/2 for temporary erosion control measures only

SEPTEMBER 15 - MARCH 1

Maintained/Established Lawns or road rights-of-way

6# Kentucky Fescue No. 31
2# Rye Grain
30# Fertilizer (10-10-10)
100# Lime
12# Superphosphate

Open-Field (Anything other than an established lawn)

4# Kentucky Fescue No. 31

2# Rye Grain
20# Fertilizer (5-10-10)
100# Lime
12# Superphosphate

Open-Field For Slopes 2:1 or greater or areas subject to erosion

2# Kentucky Fescue No. 31
4# Sericea Lespedeza (Unscarified)
2# Rye Grain
30# Fertilizer (5-10-10)
100# Lime
12# Superphosphate

FEBRUARY 1 - OCTOBER 15

Maintained/Established Lawns or road rights-of-way

8# Kentucky Fescue No. 31
30# Fertilizer (10-10-10)
100# Lime
12# Superphosphate

Open-Field For Slopes 2:1 or greater or areas subject to erosion

2# Kentucky Fescue No. 31
4# Sericea Lespedeza (Scarified)
2# Sudangrass (May, June, and July only)
20# Fertilizer (5-10-10)
100# Lime
12# Superphosphate

The Engineer will be consulted prior to seeding for a determination of appropriate seed mixture. Unless otherwise required by the North Carolina Department of Transportation or the Engineer, seeding within road rights-of-way will be as specified for established lawns.

S. WORK WITHIN NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RIGHTS-OF- WAY

Construction is permitted within the rights-of-way of the North Carolina Department of Transportation (DOT), Division of Highways in accordance with their Policies And Procedures For Accommodating Utilities On Highway Rights-Of-Way as amended. The Anson County Utility Department is required to enforce this entire document as it relates to this work. All bidders are required to become familiar with the document and any amendments which are available from the Manager of Right-of-Way, North Carolina Department of Transportation, Division of Highways, Raleigh, North Carolina.

1. Anson County Utility Department has entered into an encroachment agreement with the North Carolina Department of Transportation (NCDOT) which grants the right for any work within the Department of Transportation's rights-of-way. The encroachment

agreement for any work within NCDOT rights-of-way under this project is included as a special provision in this contract. A copy of the approved encroachment has been attached to this contract or will be supplied to the Contractor prior to construction. The Contractor shall have a copy of the approved encroachment agreement at the construction site at all times.

2. Certain notices are required in writing before any work can proceed within NCDOT's rights-of-way. Upon ample notice by the Contractor, the Engineer will make this notification.

3. Proper signing before, during, and after construction in conformance with the manual on Uniform Traffic Control Devices for Streets and Highways will be required. In addition, warning signs as related to soft and/or low shoulders and broken pavement may be required by the Engineer.

4. Piling and/or storage of excavated material upon the pavement and on some types of shoulders is prohibited unless special permission is granted by the NCDOT Division Engineer. Any material spilled, tracked or placed on the pavement is to be cleaned and damaged pavement repaired subject to stoppage of all work by the Anson County Utility Department. Drainage ditches are to be protected from siltation as specified on the plans and must be opened at the end of each work day or as weather conditions require.

5. Open trenches are prohibited between dusk and dawn and at designated peak traffic hours unless special permission is received from the NCDOT Division Engineer.

6. When cutting of pavement is permitted, only one-half of the road width shall be opened at any time. Full traffic flow is to be maintained between dusk and dawn and at other peak hours of traffic as required by the encroachment agreement or other Special Provision.

7. The Contractor and his suppliers are directed to contact NCDOT to verify axle load limits on State maintained roads and structures which will be used for hauling of equipment or materials for this project. The Contractor and his suppliers shall do all that is necessary to satisfy the NCDOT's requirements and will be responsible for any damage to roads and structures resulting from project construction.

8. <u>Project Closeout Clause:</u>
   The Contractor upon conducting a final inspection of a water main installed within NCDOT right-of-way limits shall contact NCDOT and have them present at the Final Inspection. Any comments made by the NCDOT representative shall be added to the punch list and addressed by the Contractor in a timely manner. The Contractor will be responsible for providing a letter from NCDOT signifying their acceptance of the work done within NCDOT right-of-way before acceptance and ownership of the water main is given by the Anson County Utility Department.

T. DRAWINGS AND DATA

Complete specifications, data, and catalog cuts or drawings covering the items furnished under this section shall be submitted in accordance with the submittals section.

Drawings and data submitted shall include complete connection and schematic wiring diagrams for electric actuators and controls.

U. COMPENSATION

No direct payment will be made for utility construction work required by the preceding provisions, which are general requirements applying to utility construction, and all of the requirements stated will be considered incidental work, paid for at the contract unit prices of the various utility items included in the contract. Measurement and payments for items shall be in accordance with the **NC Department of Transportation's "Standard Specifications for Roads and Structures" dated January 2012**, unless otherwise specified herein.

ENVIRONMENTAL PERMITS

The Contractor shall adhere to the Army Corps of Engineers Nationwide Permit 14 and the North Carolina Department of the Environment and Natural Resources, Division of Water Quality Permit 3886

NATIONWIDE PERMIT 14
DEPARTMENT OF THE ARMY
CORPS OF ENGINEERS
FINAL NOTICE OF ISSUANCE AND MODIFICATION OF NATIONWIDE PERMITS
FEDERAL REGISTER
AUTHORIZED MARCH 19, 2012

Linear Transportation Projects. Activities required for the construction, expansion, modification, or improvement of linear transportation projects (e.g., roads, highways, railways, trails, airport runways, and taxiways) in waters of the United States. For linear transportation projects in non-tidal waters, the discharge cannot cause the loss of greater than 1/2-acre of waters of the United States. For linear transportation projects in tidal waters, the discharge cannot cause the loss of greater than 1/3-acre of waters of the United States. Any stream channel modification, including bank stabilization, is limited to the minimum necessary to construct or protect the linear transportation project; such modifications must be in the immediate vicinity of the project.

This NWP also authorizes temporary structures, fills, and work necessary to construct the linear transportation project. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

This NWP cannot be used to authorize non-linear features commonly associated with transportation projects, such as vehicle maintenance or storage buildings, parking lots, train stations, or aircraft hangars.

Notification: The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity if: (1) the loss of waters of the United States exceeds 1/10-acre; or (2) there is a discharge in a special aquatic site, including wetlands. (See general condition 31.) (Sections 10 and 404)

Note: Some discharges for the construction of farm roads or forest roads, or temporary roads for moving mining equipment, may qualify for an exemption under Section 404(f) of the Clean Water Act (see 33 CFR 323.4).

NATIONWIDE PERMIT CONDITIONS

The following General Conditions must be followed in order for any authorization by a NWP to be valid:

1. Navigation. (a) No activity may cause more than a minimal adverse effect on navigation.

(b) Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the United States.

(c) The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

2. Aquatic Life Movements. No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those aquatic species.

3. Spawning Areas. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.

4. Migratory Bird Breeding Areas. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.

5. Shellfish Beds. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48, or is a shellfish seeding or habitat restoration activity authorized by NWP 27.

6. Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).

7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.

8. Adverse Effects From Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.

9. Management of Water Flows. To the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization and storm water management activities, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the pre-construction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).

10. Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.

11. Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be taken to minimize soil disturbance.

12. Soil Erosion and Sediment Controls. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the United States during periods of low-flow or no-flow.

13. Removal of Temporary Fills. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The affected areas must be revegetated, as appropriate.

14. Proper Maintenance. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety and compliance with applicable NWP general conditions, as well as any activity-specific conditions added by the district engineer to an NWP authorization.

15. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.

16. Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a “study river” for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency responsible for the designated Wild and Scenic River or study river (e.g., National Park Service, U.S. Forest Service, Bureau of Land Management, U.S. Fish and Wildlife Service).

17. Tribal Rights. No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.

18. Endangered Species. (a) No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify the critical habitat of such species. No activity is authorized under any NWP which “may affect” a listed species or critical habitat, unless Section 7 consultation addressing the effects of the proposed activity has been completed.

(b) Federal agencies should follow their own procedures for complying with the requirements of the ESA. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address ESA compliance for the NWP activity, or whether additional ESA consultation is necessary.

(c) Non-federal permittees must submit a pre-construction notification to the district engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, and shall not begin work on the activity until notified by the district engineer that the requirements of the ESA have been satisfied and that the activity is authorized. For activities that might affect Federally-listed endangered or threatened species or designated critical habitat, the pre-construction notification must include the name(s) of the endangered or threatened species that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work. The district engineer will determine whether the proposed activity "may affect" or will have "no effect" to listed species and designated critical habitat and will notify the non-Federal applicant of the Corps' determination within 45 days of receipt of a complete pre-construction notification. In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the project, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification the proposed activities will have "no effect" on listed species or critical habitat, or until Section 7 consultation has been completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(d) As a result of formal or informal consultation with the FWS or NMFS the district engineer may add species-specific regional endangered species conditions to the NWPs.

(e) Authorization of an activity by a NWP does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with "incidental take" provisions, etc.) from the U.S. FWS or the NMFS, The Endangered Species Act prohibits any person subject to the jurisdiction of the United States to take a listed species, where "take" means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct. The word "harm" in the definition of "take" means an act which actually kills or injures wildlife. Such an act may include significant habitat modification or degradation where it actually kills or injures wildlife by significantly impairing essential behavioral patterns, including breeding, feeding or sheltering.

(f) Information on the location of threatened and endangered species and their critical habitat can be obtained directly from the offices of the U.S. FWS and NMFS or their world wide web pages at http://www.fws.gov/ or http://www.fws.gov/ipac and http://www.noaa.gov/fisheries.html respectively.

19. Migratory Birds and Bald and Golden Eagles. The permittee is responsible for obtaining any "take" permits required under the U.S. Fish and Wildlife Service's regulations governing compliance with the Migratory Bird Treaty Act or the Bald and Golden Eagle Protection Act. The permittee should contact the appropriate local office of the U.S. Fish and Wildlife Service to determine if such "take" permits are required for a particular activity.

20. Historic Properties. (a) In cases where the district engineer determines that the activity may affect properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

(b) Federal permittees should follow their own procedures for complying with the requirements of Section 106 of the National Historic Preservation Act. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The

district engineer will review the documentation and determine whether it is sufficient to address section 106 compliance for the NWP activity, or whether additional section 106 consultation is necessary.

(c) Non-federal permittees must submit a pre-construction notification to the district engineer if the authorized activity may have the potential to cause effects to any historic properties listed on, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, including previously unidentified properties. For such activities, the pre-construction notification must state which historic properties may be affected by the proposed work or include a vicinity map indicating the location of the historic properties or the potential for the presence of historic properties. Assistance regarding information on the location of or potential for the presence of historic resources can be sought from the State Historic Preservation Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). When reviewing pre-construction notifications, district engineers will comply with the current procedures for addressing the requirements of Section 106 of the National Historic Preservation Act. The district engineer shall make a reasonable and good faith effort to carry out appropriate identification efforts, which may include background research, consultation, oral history interviews, sample field investigation, and field survey. Based on the information submitted and these efforts, the district engineer shall determine whether the proposed activity has the potential to cause an effect on the historic properties. Where the non-Federal applicant has identified historic properties on which the activity may have the potential to cause effects and so notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA has been completed.

(d) The district engineer will notify the prospective permittee within 45 days of receipt of a complete pre-construction notification whether NHPA Section 106 consultation is required. Section 106 consultation is not required when the Corps determines that the activity does not have the potential to cause effects on historic properties (see 36 CFR §800.3(a)). If NHPA section 106 consultation is required and will occur, the district engineer will notify the non-Federal applicant that he or she cannot begin work until Section 106 consultation is completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(e) Prospective permittees should be aware that section 110k of the NHPA (16 U.S.C. 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who, with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to notify the ACHP and provide documentation specifying the circumstances, the degree of damage to the integrity of any historic properties affected, and proposed mitigation. This documentation must include any views obtained from the applicant, SHPO/THPO, appropriate Indian tribes if the undertaking occurs on or affects historic properties on tribal lands or affects properties of interest to those tribes, and other parties known to have a legitimate interest in the impacts to the permitted activity on historic properties.

21. Discovery of Previously Unknown Remains and Artifacts. If you discover any previously unknown historic, cultural or archeological remains and artifacts while accomplishing the activity authorized by this permit, you must immediately notify the district engineer of what you have found, and to the maximum extent practicable, avoid construction activities that may affect the remains and artifacts until the required coordination has been completed. The district engineer will initiate the Federal, Tribal and state coordination required to determine if the items or remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

22. Designated Critical Resource Waters. Critical resource waters include, NOAA-managed marine sanctuaries and marine monuments, and National Estuarine Research Reserves. The district engineer may designate, after notice and opportunity for public comment, additional waters officially designated by a state as having particular environmental or ecological significance, such as outstanding national resource waters or state natural heritage sites. The district engineer may also designate additional critical resource waters after notice and opportunity for public comment.

(a) Discharges of dredged or fill material into waters of the United States are not authorized by NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, 50, 51, and 52 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters.

(b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 31, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.

23. Mitigation. The district engineer will consider the following factors when determining appropriate and practicable mitigation necessary to ensure that adverse effects on the aquatic environment are minimal:

(a) The activity must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the United States to the maximum extent practicable at the project site (i.e., on site).

(b) Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating for resource losses) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.

(c) Compensatory mitigation at a minimum one-for-one ratio will be required for all wetland losses that exceed 1/10-acre and require pre-construction notification, unless the district engineer determines in writing that either some other form of mitigation would be more environmentally appropriate or the adverse effects of the proposed activity are minimal, and provides a project-specific waiver of this requirement. For wetland losses of 1/10-acre or less that require pre-construction notification, the district engineer may determine on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effects on the aquatic environment. Compensatory mitigation projects provided to offset losses of aquatic resources must comply with the applicable provisions of 33 CFR part 332.

(1) The prospective permittee is responsible for proposing an appropriate compensatory mitigation option if compensatory mitigation is necessary to ensure that the activity results in minimal adverse effects on the aquatic environment.

(2) Since the likelihood of success is greater and the impacts to potentially valuable uplands are reduced, wetland restoration should be the first compensatory mitigation option considered.

(3) If permittee-responsible mitigation is the proposed option, the prospective permittee is responsible for submitting a mitigation plan. A conceptual or detailed mitigation plan may be used by the district engineer to make the decision on the NWP verification request, but a final mitigation plan that addresses the applicable requirements of 33 CFR 332.4(c)(2) – (14) must be approved by the district engineer before the permittee begins work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation (see 33 CFR 332.3(k)(3)).

(4) If mitigation bank or in-lieu fee program credits are the proposed option, the mitigation plan only needs to address the baseline conditions at the impact site and the number of credits to be provided.

(5) Compensatory mitigation requirements (e.g., resource type and amount to be provided as compensatory mitigation, site protection, ecological performance standards, monitoring requirements)

may be addressed through conditions added to the NWP authorization, instead of components of a compensatory mitigation plan.

(d) For losses of streams or other open waters that require pre-construction notification, the district engineer may require compensatory mitigation, such as stream rehabilitation, enhancement, or preservation, to ensure that the activity results in minimal adverse effects on the aquatic environment.

(e) Compensatory mitigation will not be used to increase the acreage losses allowed by the acreage limits of the NWPs. For example, if an NWP has an acreage limit of 1/2-acre, it cannot be used to authorize any project resulting in the loss of greater than 1/2-acre of waters of the United States, even if compensatory mitigation is provided that replaces or restores some of the lost waters. However, compensatory mitigation can and should be used, as necessary, to ensure that a project already meeting the established acreage limits also satisfies the minimal impact requirement associated with the NWPs.

(f) Compensatory mitigation plans for projects in or near streams or other open waters will normally include a requirement for the restoration or establishment, maintenance, and legal protection (e.g., conservation easements) of riparian areas next to open waters. In some cases, riparian areas may be the only compensatory mitigation required. Riparian areas should consist of native species. The width of the required riparian area will address documented water quality or aquatic habitat loss concerns. Normally, the riparian area will be 25 to 50 feet wide on each side of the stream, but the district engineer may require slightly wider riparian areas to address documented water quality or habitat loss concerns. If it is not possible to establish a riparian area on both sides of a stream, or if the waterbody is a lake or coastal waters, then restoring or establishing a riparian area along a single bank or shoreline may be sufficient. Where both wetlands and open waters exist on the project site, the district engineer will determine the appropriate compensatory mitigation (e.g., riparian areas and/or wetlands compensation) based on what is best for the aquatic environment on a watershed basis. In cases where riparian areas are determined to be the most appropriate form of compensatory mitigation, the district engineer may waive or reduce the requirement to provide wetland compensatory mitigation for wetland losses.

(g) Permittees may propose the use of mitigation banks, in-lieu fee programs, or separate permittee-responsible mitigation. For activities resulting in the loss of marine or estuarine resources, permittee-responsible compensatory mitigation may be environmentally preferable if there are no mitigation banks or in-lieu fee programs in the area that have marine or estuarine credits available for sale or transfer to the permittee. For permittee-responsible mitigation, the special conditions of the NWP verification must clearly indicate the party or parties responsible for the implementation and performance of the compensatory mitigation project, and, if required, its long-term management.

(h) Where certain functions and services of waters of the United States are permanently adversely affected, such as the conversion of a forested or scrub-shrub wetland to a herbaceous wetland in a permanently maintained utility line right-of-way, mitigation may be required to reduce the adverse effects of the project to the minimal level.

24. Safety of Impoundment Structures. To ensure that all impoundment structures are safely designed, the district engineer may require non-Federal applicants to demonstrate that the structures comply with established state dam safety criteria or have been designed by qualified persons. The district engineer may also require documentation that the design has been independently reviewed by similarly qualified persons, and appropriate modifications made to ensure safety.

25. Water Quality. Where States and authorized Tribes, or EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water Quality Certification must be obtained or waived (see 33 CFR 330.4(c)). The district engineer or State or Tribe may require additional water quality management measures to ensure that the authorized activity does not result in more than minimal degradation of water quality.

26. Coastal Zone Management. In coastal states where an NWP has not previously received a state coastal zone management consistency concurrence, an individual state coastal zone management consistency concurrence must be obtained, or a presumption of concurrence must occur (see 33 CFR 330.4(d)). The district engineer or a State may require additional measures to ensure that the authorized activity is consistent with state coastal zone management requirements.

27. Regional and Case-By-Case Conditions. The activity must comply with any regional conditions that may have been added by the Division Engineer (see 33 CFR 330.4(e)) and with any case specific conditions added by the Corps or by the state, Indian Tribe, or U.S. EPA in its section 401 Water Quality Certification, or by the state in its Coastal Zone Management Act consistency determination.

28. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is prohibited, except when the acreage loss of waters of the United States authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal waters is constructed under NWP 14, with associated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of the United States for the total project cannot exceed 1/3-acre.

29. Transfer of Nationwide Permit Verifications. If the permittee sells the property associated with a nationwide permit verification, the permittee may transfer the nationwide permit verification to the new owner by submitting a letter to the appropriate Corps district office to validate the transfer. A copy of the nationwide permit verification must be attached to the letter, and the letter must contain the following statement and signature:

"When the structures or work authorized by this nationwide permit are still in existence at the time the property is transferred, the terms and conditions of this nationwide permit, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below."

_____

(Transferee)

_____

(Date)

30. Compliance Certification. Each permittee who receives an NWP verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any required compensatory mitigation. The success of any required permittee-responsible mitigation, including the achievement of ecological performance standards, will be addressed separately by the district engineer. The Corps will provide the permittee the certification document with the NWP verification letter. The certification document will include:

(a) A statement that the authorized work was done in accordance with the NWP authorization, including any general, regional, or activity-specific conditions;

(b) A statement that the implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, the certification must include the documentation required by 33 CFR 332.3(l)(3) to confirm that the permittee secured the appropriate number and resource type of credits; and

(c) The signature of the permittee certifying the completion of the work and mitigation.

31. Pre-Construction Notification. (a) Timing. Where required by the terms of the NWP, the prospective permittee must notify the district engineer by submitting a pre-construction notification (PCN) as early as possible. The district engineer must determine if the PCN is complete within 30 calendar days of the date of receipt and, if the PCN is determined to be incomplete, notify the prospective permittee within that 30 day period to request the additional information necessary to make the PCN complete. The request must specify the information needed to make the PCN complete. As a general rule, district engineers will request additional information necessary to make the PCN complete only once. However, if the prospective permittee does not provide all of the requested information, then the district engineer will notify the prospective permittee that the PCN is still incomplete and the PCN review process will not commence until all of the requested information has been received by the district engineer. The prospective permittee shall not begin the activity until either:

(1) He or she is notified in writing by the district engineer that the activity may proceed under the NWP with any special conditions imposed by the district or division engineer; or

(2) 45 calendar days have passed from the district engineer's receipt of the complete PCN and the prospective permittee has not received written notice from the district or division engineer. However, if the permittee was required to notify the Corps pursuant to general condition 18 that listed species or critical habitat might be affected or in the vicinity of the project, or to notify the Corps pursuant to general condition 20 that the activity may have the potential to cause effects to historic properties, the permittee cannot begin the activity until receiving written notification from the Corps that there is "no effect" on listed species or "no potential to cause effects" on historic properties, or that any consultation required under Section 7 of the Endangered Species Act (see 33 CFR 330.4(f)) and/or Section 106 of the National Historic Preservation (see 33 CFR 330.4(g)) has been completed. Also, work cannot begin under NWPs 21, 49, or 50 until the permittee has received written approval from the Corps. If the proposed activity requires a written waiver to exceed specified limits of an NWP, the permittee may not begin the activity until the district engineer issues the waiver. If the district or division engineer notifies the permittee in writing that an individual permit is required within 45 calendar days of receipt of a complete PCN, the permittee cannot begin the activity until an individual permit has been obtained. Subsequently, the permittee's right to proceed under the NWP may be modified, suspended, or revoked only in accordance with the procedure set forth in 33 CFR 330.5(d)(2).

(b) Contents of Pre-Construction Notification: The PCN must be in writing and include the following information:

(1) Name, address and telephone numbers of the prospective permittee;

(2) Location of the proposed project;

(3) A description of the proposed project; the project's purpose; direct and indirect adverse environmental effects the project would cause, including the anticipated amount of loss of water of the United States expected to result from the NWP activity, in acres, linear feet, or other appropriate unit of measure; any other NWP(s), regional general permit(s), or individual permit(s) used or intended to be used to authorize any part of the proposed project or any related activity. The description should be sufficiently detailed to allow the district engineer to determine that the adverse effects of the project will be minimal and to determine the need for compensatory mitigation. Sketches should be provided when necessary to show that the activity complies with the terms of the NWP. (Sketches usually clarify the project and when provided results in a quicker decision. Sketches should contain sufficient detail to provide an illustrative description of the proposed activity (e.g., a conceptual plan), but do not need to be detailed engineering plans);

(4) The PCN must include a delineation of wetlands, other special aquatic sites, and other waters, such as lakes and ponds, and perennial, intermittent, and ephemeral streams, on the project site. Wetland delineations must be prepared in accordance with the current method required by the Corps.

The permittee may ask the Corps to delineate the special aquatic sites and other waters on the project site, but there may be a delay if the Corps does the delineation, especially if the project site is large or contains many waters of the United States. Furthermore, the 45 day period will not start until the delineation has been submitted to or completed by the Corps, as appropriate;

(5) If the proposed activity will result in the loss of greater than 1/10-acre of wetlands and a PCN is required, the prospective permittee must submit a statement describing how the mitigation requirement will be satisfied, or explaining why the adverse effects are minimal and why compensatory mitigation should not be required. As an alternative, the prospective permittee may submit a conceptual or detailed mitigation plan.

(6) If any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, for non-Federal applicants the PCN must include the name(s) of those endangered or threatened species that might be affected by the proposed work or utilize the designated critical habitat that may be affected by the proposed work. Federal applicants must provide documentation demonstrating compliance with the Endangered Species Act; and

(7) For an activity that may affect a historic property listed on, determined to be eligible for listing on, or potentially eligible for listing on, the National Register of Historic Places, for non-Federal applicants the PCN must state which historic property may be affected by the proposed work or include a vicinity map indicating the location of the historic property. Federal applicants must provide documentation demonstrating compliance with Section 106 of the National Historic Preservation Act.

(c) Form of Pre-Construction Notification: The standard individual permit application form (Form ENG 4345) may be used, but the completed application form must clearly indicate that it is a PCN and must include all of the information required in paragraphs (b)(1) through (7) of this general condition. A letter containing the required information may also be used.

(d) Agency Coordination: (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the project's adverse environmental effects to a minimal level.

(2) For all NWP activities that require pre-construction notification and result in the loss of greater than 1/2-acre of waters of the United States, for NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 activities that require pre-construction notification and will result in the loss of greater than 300 linear feet of intermittent and ephemeral stream bed, and for all NWP 48 activities that require pre-construction notification, the district engineer will immediately provide (e.g., via e-mail, facsimile transmission, overnight mail, or other expeditious manner) a copy of the complete PCN to the appropriate Federal or state offices (U.S. FWS, state natural resource or water quality agency, EPA, State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Office (THPO), and, if appropriate, the NMFS). With the exception of NWP 37, these agencies will have 10 calendar days from the date the material is transmitted to telephone or fax the district engineer notice that they intend to provide substantive, site-specific comments. The comments must explain why the agency believes the adverse effects will be more than minimal. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the pre-construction notification. The district engineer will fully consider agency comments received within the specified time frame concerning the proposed activity's compliance with the terms and conditions of the NWPs, including the need for mitigation to ensure the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The district engineer will provide no response to the resource agency, except as provided below. The district engineer will indicate in the administrative record associated with each pre-construction notification that the resource agencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide

whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.

(3) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by Section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act.

(4) Applicants are encouraged to provide the Corps with either electronic files or multiple copies of pre-construction notifications to expedite agency coordination.

D. District Engineer's Decision

1. In reviewing the PCN for the proposed activity, the district engineer will determine whether the activity authorized by the NWP will result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public interest. For a linear project, this determination will include an evaluation of the individual crossings to determine whether they individually satisfy the terms and conditions of the NWP(s), as well as the cumulative effects caused by all of the crossings authorized by NWP. If an applicant requests a waiver of the 300 linear foot limit on impacts to intermittent or ephemeral streams or of an otherwise applicable limit, as provided for in NWPs 13, 21, 29, 36, 39, 40, 42, 43, 44, 50, 51 or 52, the district engineer will only grant the waiver upon a written determination that the NWP activity will result in minimal adverse effects. When making minimal effects determinations the district engineer will consider the direct and indirect effects caused by the NWP activity. The district engineer will also consider site specific factors, such as the environmental setting in the vicinity of the NWP activity, the type of resource that will be affected by the NWP activity, the functions provided by the aquatic resources that will be affected by the NWP activity, the degree or magnitude to which the aquatic resources perform those functions, the extent that aquatic resource functions will be lost as a result of the NWP activity (e.g., partial or complete loss), the duration of the adverse effects (temporary or permanent), the importance of the aquatic resource functions to the region (e.g., watershed or ecoregion), and mitigation required by the district engineer. If an appropriate functional assessment method is available and practicable to use, that assessment method may be used by the district engineer to assist in the minimal adverse effects determination. The district engineer may add case-specific special conditions to the NWP authorization to address site-specific environmental concerns.

2. If the proposed activity requires a PCN and will result in a loss of greater than 1/10-acre of wetlands, the prospective permittee should submit a mitigation proposal with the PCN. Applicants may also propose compensatory mitigation for projects with smaller impacts. The district engineer will consider any proposed compensatory mitigation the applicant has included in the proposal in determining whether the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The compensatory mitigation proposal may be either conceptual or detailed. If the district engineer determines that the activity complies with the terms and conditions of the NWP and that the adverse effects on the aquatic environment are minimal, after considering mitigation, the district engineer will notify the permittee and include any activity-specific conditions in the NWP verification the district engineer deems necessary. Conditions for compensatory mitigation requirements must comply with the appropriate provisions at 33 CFR 332.3(k). The district engineer must approve the final mitigation plan before the permittee commences work in waters of the United States, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation. If the prospective permittee elects to submit a compensatory mitigation plan with the PCN, the district engineer will expeditiously review the proposed compensatory mitigation plan. The district engineer must review the proposed compensatory mitigation plan within 45 calendar days of receiving a

complete PCN and determine whether the proposed mitigation would ensure no more than minimal adverse effects on the aquatic environment. If the net adverse effects of the project on the aquatic environment (after consideration of the compensatory mitigation proposal) are determined by the district engineer to be minimal, the district engineer will provide a timely written response to the applicant. The response will state that the project can proceed under the terms and conditions of the NWP, including any activity-specific conditions added to the NWP authorization by the district engineer.

3. If the district engineer determines that the adverse effects of the proposed work are more than minimal, then the district engineer will notify the applicant either: (a) That the project does not qualify for authorization under the NWP and instruct the applicant on the procedures to seek authorization under an individual permit; (b) that the project is authorized under the NWP subject to the applicant's submission of a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level; or (c) that the project is authorized under the NWP with specific modifications or conditions. Where the district engineer determines that mitigation is required to ensure no more than minimal adverse effects occur to the aquatic environment, the activity will be authorized within the 45-day PCN period, with activity-specific conditions that state the mitigation requirements. The authorization will include the necessary conceptual or detailed mitigation or a requirement that the applicant submit a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level. When mitigation is required, no work in waters of the United States may occur until the district engineer has approved a specific mitigation plan or has determined that prior approval of a final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation.

FURTHER INFORMATION

1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP.
2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.
3. NWPs do not grant any property rights or exclusive privileges.
4. NWPs do not authorize any injury to the property or rights of others.
5. NWPs do not authorize interference with any existing or proposed Federal project.

DEFINITIONS

Best management practices (BMPs): Policies, practices, procedures, or structures implemented to mitigate the adverse environmental effects on surface water quality resulting from development. BMPs are categorized as structural or non-structural.

Compensatory mitigation: The restoration (re-establishment or rehabilitation), establishment (creation), enhancement, and/or in certain circumstances preservation of aquatic resources for the purposes of offsetting unavoidable adverse impacts which remain after all appropriate and practicable avoidance and minimization has been achieved.

Currently serviceable: Useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

Direct effects: Effects that are caused by the activity and occur at the same time and place.

Discharge: The term "discharge" means any discharge of dredged or fill material.

Enhancement: The manipulation of the physical, chemical, or biological characteristics of an aquatic resource to heighten, intensify, or improve a specific aquatic resource function(s).

Enhancement results in the gain of selected aquatic resource function(s), but may also lead to a decline in other aquatic resource function(s). Enhancement does not result in a gain in aquatic resource area.

Ephemeral stream: An ephemeral stream has flowing water only during, and for a short duration after, precipitation events in a typical year. Ephemeral stream beds are located above the water table year-round. Groundwater is not a source of water for the stream. Runoff from rainfall is the primary source of water for stream flow.

Establishment (creation): The manipulation of the physical, chemical, or biological characteristics present to develop an aquatic resource that did not previously exist at an upland site. Establishment results in a gain in aquatic resource area.

High Tide Line: The line of intersection of the land with the water's surface at the maximum height reached by a rising tide. The high tide line may be determined, in the absence of actual data, by a line of oil or scum along shore objects, a more or less continuous deposit of fine shell or debris on the foreshore or berm, other physical markings or characteristics, vegetation lines, tidal gages, or other suitable means that delineate the general height reached by a rising tide. The line encompasses spring high tides and other high tides that occur with periodic frequency but does not include storm surges in which there is a departure from the normal or predicted reach of the tide due to the piling up of water against a coast by strong winds such as those accompanying a hurricane or other intense storm.

Historic Property: Any prehistoric or historic district, site (including archaeological site), building, structure, or other object included in, or eligible for inclusion in, the National Register of Historic Places maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian organization and that meet the National Register criteria (36 CFR part 60).

Independent utility: A test to determine what constitutes a single and complete non-linear project in the Corps regulatory program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.

Indirect effects: Effects that are caused by the activity and are later in time or farther removed in distance, but are still reasonably foreseeable.

Intermittent stream: An intermittent stream has flowing water during certain times of the year, when groundwater provides water for stream flow. During dry periods, intermittent streams may not have flowing water. Runoff from rainfall is a supplemental source of water for stream flow.

Loss of waters of the United States: Waters of the United States that are permanently adversely affected by filling, flooding, excavation, or drainage because of the regulated activity. Permanent adverse effects include permanent discharges of dredged or fill material that change an aquatic area to dry land, increase the bottom elevation of a waterbody, or change the use of a waterbody. The acreage of loss of waters of the United States is a threshold measurement of the impact to jurisdictional waters for determining whether a project may qualify for an NWP; it is not a net threshold that is calculated after considering compensatory mitigation that may be used to offset losses of aquatic functions and services. The loss of stream bed includes the linear feet of stream bed that is filled or excavated. Waters of the United States temporarily filled, flooded, excavated, or drained, but restored to pre-construction contours and elevations after construction, are not included in the measurement of loss of waters of the United States. Impacts resulting from activities eligible for exemptions under Section 404(f) of the Clean Water Act are not considered when calculating the loss of waters of the United States.

Non-tidal wetland: A non-tidal wetland is a wetland that is not subject to the ebb and flow of tidal waters. The definition of a wetland can be found at 33 CFR 328.3(b). Non-tidal wetlands contiguous to tidal waters are located landward of the high tide line (i.e., spring high tide line).

Open water: For purposes of the NWPs, an open water is any area that in a year with normal patterns of precipitation has water flowing or standing above ground to the extent that an ordinary high water mark can be determined. Aquatic vegetation within the area of standing or flowing water is either non-emergent, sparse, or absent. Vegetated shallows are considered to be open waters. Examples of "open waters" include rivers, streams, lakes, and ponds.

Ordinary High Water Mark: An ordinary high water mark is a line on the shore established by the fluctuations of water and indicated by physical characteristics, or by other appropriate means that consider the characteristics of the surrounding areas (see 33 CFR 328.3(e)).

Perennial stream: A perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow.

Practicable: Available and capable of being done after taking into consideration cost, existing technology, and logistics in light of overall project purposes.

Pre-construction notification: A request submitted by the project proponent to the Corps for confirmation that a particular activity is authorized by nationwide permit. The request may be a permit application, letter, or similar document that includes information about the proposed work and its anticipated environmental effects. Pre-construction notification may be required by the terms and conditions of a nationwide permit, or by regional conditions. A pre-construction notification may be voluntarily submitted in cases where pre-construction notification is not required and the project proponent wants confirmation that the activity is authorized by nationwide permit.

Preservation: The removal of a threat to, or preventing the decline of, aquatic resources by an action in or near those aquatic resources. This term includes activities commonly associated with the protection and maintenance of aquatic resources through the implementation of appropriate legal and physical mechanisms. Preservation does not result in a gain of aquatic resource area or functions.

Re-establishment: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former aquatic resource. Re-establishment results in rebuilding a former aquatic resource and results in a gain in aquatic resource area and functions.

Rehabilitation: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of repairing natural/historic functions to a degraded aquatic resource. Rehabilitation results in a gain in aquatic resource function, but does not result in a gain in aquatic resource area.

Restoration: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former or degraded aquatic resource. For the purpose of tracking net gains in aquatic resource area, restoration is divided into two categories: re-establishment and rehabilitation.

Riffle and pool complex: Riffle and pool complexes are special aquatic sites under the 404(b)(1) Guidelines. Riffle and pool complexes sometimes characterize steep gradient sections of streams. Such stream sections are recognizable by their hydraulic characteristics. The rapid movement of water over a course substrate in riffles results in a rough flow, a turbulent surface, and high dissolved oxygen levels in the water. Pools are deeper areas associated with riffles. A slower stream velocity, a streaming flow, a smooth surface, and a finer substrate characterize pools.

Riparian areas: Riparian areas are lands adjacent to streams, lakes, and estuarine-marine shorelines. Riparian areas are transitional between terrestrial and aquatic ecosystems, through which surface and subsurface hydrology connects riverine, lacustrine, estuarine, and marine waters with their adjacent wetlands, non-wetland waters, or uplands. Riparian areas provide a variety of ecological functions and services and help improve or maintain local water quality. (See general condition 23.)

Shellfish seeding: The placement of shellfish seed and/or suitable substrate to increase shellfish production. Shellfish seed consists of immature individual shellfish or individual shellfish attached to shells or shell fragments (i.e., spat on shell). Suitable substrate may consist of shellfish shells, shell fragments, or other appropriate materials placed into waters for shellfish habitat.

Single and complete linear project: A linear project is a project constructed for the purpose of getting people, goods, or services from a point of origin to a terminal point, which often involves multiple crossings of one or more waterbodies at separate and distant locations. The term "single and complete project" is defined as that portion of the total linear project proposed or accomplished by one owner/developer or partnership or other association of owners/developers that includes all crossings of a single water of the United States (i.e., a single waterbody) at a specific location. For linear projects crossing a single or multiple waterbodies several times at separate and distant locations, each crossing is considered a single and complete project for purposes of NWP authorization. However, individual channels in a braided stream or river, or individual arms of a large, irregularly shaped wetland or lake, etc., are not separate waterbodies, and crossings of such features cannot be considered separately.

Single and complete non-linear project: For non-linear projects, the term "single and complete project" is defined at 33 CFR 330.2(i) as the total project proposed or accomplished by one owner/developer or partnership or other association of owners/developers. A single and complete non-linear project must have independent utility (see definition of "independent utility"). Single and complete non-linear projects may not be "piecemealed" to avoid the limits in an NWP authorization.

Stormwater management: Stormwater management is the mechanism for controlling stormwater runoff for the purposes of reducing downstream erosion, water quality degradation, and flooding and mitigating the adverse effects of changes in land use on the aquatic environment.

Stormwater management facilities: Stormwater management facilities are those facilities, including but not limited to, stormwater retention and detention ponds and best management practices, which retain water for a period of time to control runoff and/or improve the quality (i.e., by reducing the concentration of nutrients, sediments, hazardous substances and other pollutants) of stormwater runoff.

Stream bed: The substrate of the stream channel between the ordinary high water marks. The substrate may be bedrock or inorganic particles that range in size from clay to boulders. Wetlands contiguous to the stream bed, but outside of the ordinary high water marks, are not considered part of the stream bed.

Stream channelization: The manipulation of a stream's course, condition, capacity, or location that causes more than minimal interruption of normal stream processes. A channelized stream remains a water of the United States.

Structure: An object that is arranged in a definite pattern of organization. Examples of structures include, without limitation, any pier, boat dock, boat ramp, wharf, dolphin, weir, boom, breakwater, bulkhead, revetment, riprap, jetty, artificial island, artificial reef, permanent mooring structure, power transmission line, permanently moored floating vessel, piling, aid to navigation, or any other manmade obstacle or obstruction.

Tidal wetland: A tidal wetland is a wetland (i.e., water of the United States) that is inundated by tidal waters. The definitions of a wetland and tidal waters can be found at 33 CFR 328.3(b) and 33 CFR 328.3(f), respectively. Tidal waters rise and fall in a predictable and measurable rhythm or cycle due to the gravitational pulls of the moon and sun. Tidal waters end where the rise and fall of the water surface can no longer be practically measured in a predictable rhythm due to masking by other waters, wind, or other effects. Tidal wetlands are located channelward of the high tide line, which is defined at 33 CFR 328.3(d).

Vegetated shallows: Vegetated shallows are special aquatic sites under the 404(b)(1) Guidelines. They are areas that are permanently inundated and under normal circumstances have rooted aquatic vegetation, such as seagrasses in marine and estuarine systems and a variety of vascular rooted plants in freshwater systems.

Waterbody: For purposes of the NWPs, a waterbody is a jurisdictional water of the United States. If a jurisdictional wetland is adjacent – meaning bordering, contiguous, or neighboring – to a waterbody determined to be a water of the United States under 33 CFR 328.3(a)(1)-(6), that waterbody

and its adjacent wetlands are considered together as a single aquatic unit (see 33 CFR 328.4(c)(2)). Examples of "waterbodies" include streams, rivers, lakes, ponds, and wetlands.

NOTICE ABOUT WEB LINKS IN THIS DOCUMENT:
The web links (both internal to our District and any external links to collaborating agencies) in this document are valid at the time of publication. However, the Wilmington District Regulatory Program web page addresses, as with other agency web sites, may change over the timeframe of the five-year Nationwide Permit renewal cycle, in response to policy mandates or technology advances. While we will make every effort to check on the integrity of our web links and provide re-direct pages whenever possible, we ask that you report any broken links to us so we can keep the page information current and usable. We apologize in advanced for any broken links that you may encounter, and we ask that you navigate from the regulatory home page (wetlands and stream permits) of the Wilmington District Corps of Engineers, to the "Permits" section of our web site to find links for pages that cannot be found by clicking directly on the listed web link in this document.

Final Regional Conditions 2012

Final Regional Conditions for Nationwide Permits (NWP) in the Wilmington District

1.0 Excluded Waters

The Corps has identified waters that will be excluded from the use of all NWP's during certain timeframes. These waters are:

1.1 Anadromous Fish Spawning Areas

Waters of the United States identified by either the North Carolina Division of Marine Fisheries (NCDMF) or the North Carolina Wildlife Resources Commission (NCWRC) as anadromous fish spawning areas are excluded during the period between February 15 and June 30, without prior written approval from NCDMF or NCWRC and the Corps.

1.2 Trout Waters Moratorium

Waters of the United States in the twenty-five designated trout counties of North Carolina are excluded during the period between October 15 and April 15 without prior written approval from the NCWRC. (See Section 2.7 for a list of the twenty-five trout counties).

1.3 Sturgeon Spawning Areas as Designated by the National Marine Fisheries Service (NMFS)

Waters of the United States designated as sturgeon spawning areas are excluded during the period between February 1 and June 30, without prior written approval from the NMFS.

2.0 Waters Requiring Additional Notification

The Corps has identified waters that will be subject to additional notification requirements for activities authorized by all NWP's. These waters are:

2.1 Western NC Counties that Drain to Designated Critical Habitat

For proposed activities within Waters of the U.S. that require a Pre-Construction Notification pursuant to General Condition 31 (PCN) and are located in the sixteen counties listed below, applicants must provide a copy of the PCN to the US Fish and Wildlife Service, 160 Zillicoa Street, Asheville, North Carolina 28801. This PCN must be sent concurrently to the US Fish and Wildlife Service and the Corps Asheville Regulatory Field Office. Please see General Condition 18 for specific notification requirements related to Federally Endangered Species and the following website for information on the location of designated critical habitat.

Counties with tributaries that drain to designated critical habitat that require notification to the Asheville US Fish and Wildlife Service: Avery, Cherokee, Forsyth, Graham, Haywood, Henderson, Jackson, Macon Mecklenburg, Mitchell, Stokes, Surry, Swain, Transylvania, Union and Yancey.

Website and office addresses for Endangered Species Act Information:

The Wilmington District has developed the following website for applicants which provides guidelines on how to review linked websites and maps in order to fulfill NWP general condition 18 requirements.

http://www.saw.usace.army.mil/wetlands/ESA

Applicants who do not have internet access may contact the appropriate US Fish and Wildlife Service offices listed below or the US Army Corps of Engineers at (910) 251- 4633:

US Fish and Wildlife Service
Asheville Field Office
160 Zillicoa Street
Asheville, NC 28801
Telephone: (828) 258-3939

Asheville US Fish and Wildlife Service Office counties: All
counties west of and including Anson, Stanly, Davidson, Forsyth and Stokes Counties

US Fish and Wildlife Service
Raleigh Field Office
Post Office Box 33726
Raleigh, NC 27636-3726
Telephone: (919) 856-4520

Raleigh US Fish and Wildlife Service Office counties: all counties east of and including Richmond, Montgomery, Randolph, Guilford, and Rockingham Counties.

2.2 Special Designation Waters

Prior to the use of any NWP in any of the following identified waters and contiguous wetlands in North Carolina, applicants must comply with Nationwide Permit General Condition 31 (PCN). The North Carolina waters and contiguous wetlands that require additional notification requirements are:

“Outstanding Resource Waters” (ORW) or “High Quality Waters” (HQW) as designated by the North Carolina Environmental Management Commission; “Inland Primary Nursery Areas” (IPNA) as designated by the NCWRC; “Contiguous Wetlands” as defined by the North Carolina Environmental

Management Commission; or "Primary Nursery Areas" (PNA) as designated by the North Carolina Marine Fisheries Commission.

2.3 Coastal Area Management Act (CAMA) Areas of Environmental Concern

Non-federal applicants for any NWP in a designated "Area of Environmental Concern" (AEC) in the twenty (20) counties of Eastern North Carolina covered by the North Carolina Coastal Area Management Act (CAMA) must also obtain the required CAMA permit. Development activities for non-federal projects may not commence until a copy of the approved CAMA permit is furnished to the appropriate Wilmington District Regulatory Field Office (Wilmington Field Office – 69 Darlington Avenue, Wilmington, NC 28403 or Washington Field Office – 2407 West 5th Street, Washington, NC 27889).

2.4 Barrier Islands

Prior to the use of any NWP on a barrier island of North Carolina, applicants must comply with Nationwide Permit General Condition 31 (PCN).

2.5 Mountain or Piedmont Bogs

Prior to the use of any NWP in a Bog classified by the North Carolina Wetland Assessment Methodology (NCWAM), applicants shall comply with Nationwide Permit General Condition 31 (PCN). The latest version of NCWAM is located on the NC DWQ web site at: http://portal.ncdenr.org/web/wq/swp/ws/pdu/ncwam .

2.6 Animal Waste Facilities

Prior to use of any NWP for construction of animal waste facilities in waters of the US, including wetlands, applicants shall comply with Nationwide Permit General Condition 31 (PCN).

2.7 Trout Waters

Prior to any discharge of dredge or fill material into streams or waterbodies within the twenty-five (25) designated trout counties of North Carolina, the applicant shall comply with Nationwide Permit General Condition 31 (PCN). The applicant shall also provide a copy of the notification to the appropriate NCWRC office to facilitate the determination of any potential impacts to designated Trout Waters. Notification to the Corps of Engineers will include a statement with the name of the NCWRC biologist contacted, the date of the notification, the location of work, a delineation of wetlands, a discussion of alternatives to working in the mountain trout waters, why alternatives were not selected, and a plan to provide compensatory mitigation for all unavoidable adverse impacts to mountain trout waters.

NCWRC and NC Trout Counties

Western Piedmont Region Coordinator	Alleghany	Caldwell	Watauga
20830 Great Smoky Mtn. Expressway	Ashe	Mitchell	Wilkes
Waynesville, NC 28786	Avery	Stokes	
Telephone: (828) 452-2546	Burke	Surry	

Mountain Region Coordinator	Buncombe	Henderson	Polk
20830 Great Smoky Mtn. Expressway	Cherokee	Jackson	Rutherford
Waynesville, NC 28786	Clay	Macon	Swain
Telephone: (828) 452-2546	Graham	Madison	Transylvania
Fax: (828) 452-7772	Haywood	McDowell	Yancey

3.0 List of Corps Regional Conditions for All Nationwide Permits

The following conditions apply to all Nationwide Permits in the Wilmington District:

3.1 Limitation of Loss of Perennial Stream Bed

NWPs may not be used for activities that may result in the loss or degradation of greater than 300 total linear feet of perennial streams, intermittent or ephemeral stream, unless the District Commander has waived the 300 linear foot limit for ephemeral and intermittent streams on a case-by-case basis and he determines that the proposed activity will result in minimal individual and cumulative adverse impacts to the aquatic environment. Loss of stream includes the linear feet of stream bed that is filled, excavated, or flooded by the proposed activity. Waivers for the loss of ephemeral and intermittent streams must be in writing and documented by appropriate/accepted stream quality assessments*. This waiver only applies to the 300 linear feet threshold for NWPs.

*NOTE: Applicants should utilize the most current methodology prescribed by Wilmington District to assess stream function and quality. Information can be found at:

http://www.saw.usace.army.mil/wetlands/permits/nwp/nwp2012 (see "Quick Links")

3.2 Mitigation for Loss of Stream Bed

For any NWP that results in a loss of more than 150 linear feet of perennial and/or ephemeral/intermittent stream, the applicant shall provide a mitigation proposal to compensate for more than minimal individual and cumulative adverse impacts to the aquatic environment. For stream losses less than 150 linear feet, that require a PCN, the District Commander may determine, on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effect on the aquatic environment.

3.3 Pre-construction Notification for Loss of Streambed Exceeding 150 Feet.

Prior to use of any NWP for any activity which impacts more than 150 total linear feet of perennial stream or ephemeral/ intermittent stream, the applicant must comply with Nationwide Permit General Condition 31 (PCN). This applies to NWPs that do not have specific notification requirements. If a NWP has specific notification requirements, the requirements of the NWP should be followed.

3.4 Restriction on Use of Live Concrete

For all NWPs which allow the use of concrete as a building material, live or fresh concrete, including bags of uncured concrete, may not come into contact with the water in or entering into waters of the state. Water inside coffer dams or casings that has been in contact with wet concrete shall only be returned to waters of the state when it is no longer poses a threat to aquatic organisms.

3.5 Requirements for Using Riprap for Bank Stabilization

For all NWPs that allow for the use of riprap material for bank stabilization, the following measures shall be applied:

3.5.1. Filter cloth must be placed underneath the riprap as an additional requirement of its use in North Carolina waters.

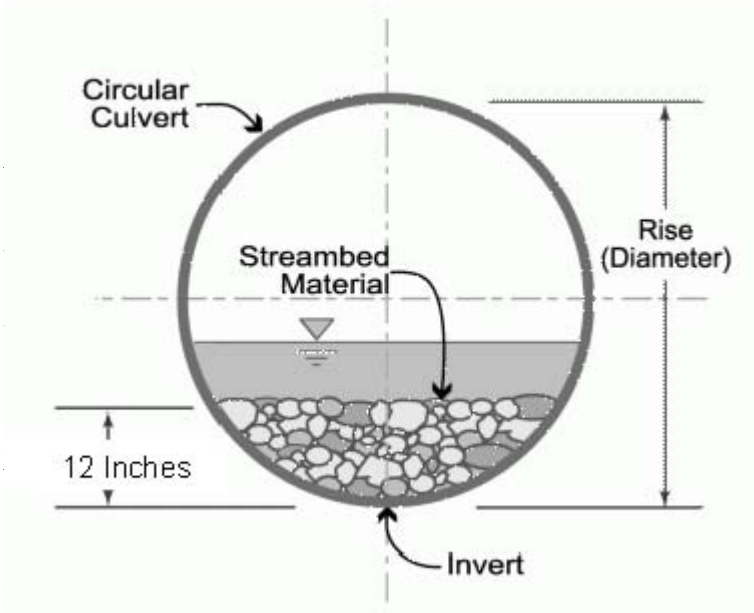
3.5.2. The placement of riprap shall be limited to the areas depicted on submitted work plan drawings.

3.5.3. The riprap material shall be clean and free from loose dirt or any pollutant except in trace quantities that would not have an adverse environmental effect.

3.5.4. It shall be of a size sufficient to prevent its movement from the authorized alignment by natural forces under normal conditions.

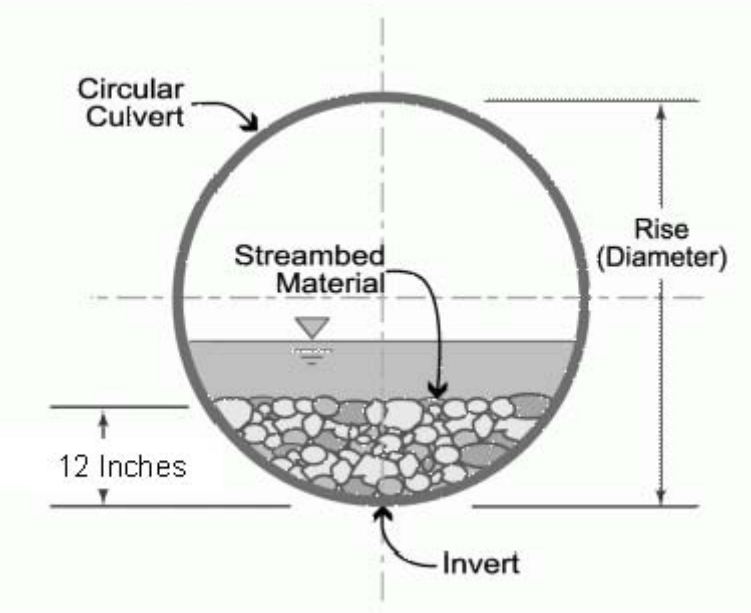
3.5.5. The riprap material shall consist of clean rock or masonry material such as, but not limited to, granite, marl, or broken concrete.

3.5.6. A waiver from the specifications in this Regional Condition may be requested in writing. The waiver will only be issued if it can be demonstrated that the impacts of complying with this Regional condition would result in greater adverse impacts to the aquatic environment.



culverts, measures will be included in the of fish and other aquatic organisms. The ow a pipe or culvert should not be modified f the stream in connection with the proposed culvert should be such as to pass versely altering flow velocity. Spring flow bsence of such data, bankfull flow can be

s coastal counties by the Coastal Area iciently sized to allow for the burial of the ed elevation when they are placed within the r the Estuarine Waters AEC as designated by ed States Geological Survey (USGS) 7.5-



In all other counties: Culverts greater than 48 inches in diameter will be buried at least one foot below the bed of the stream. Culverts 48 inches in diameter or less shall be buried or placed on the stream bed as practicable and appropriate to maintain aquatic passage, and every effort shall be made to maintain the existing channel slope. The bottom of the culvert must be placed at a depth below the natural stream bottom to provide for passage during drought or low flow conditions.

Culverts are to be designed and constructed in a manner that minimizes destabilization and head cutting. Destabilizing the channel and head cutting upstream should be considered and appropriate actions incorporated in the design and placement of the culvert.

A waiver from the depth specifications in this condition may be requested in writing. The waiver will be issued if it can be demonstrated that the proposal would result in the least impacts to the aquatic environment.

All counties: Culverts placed within riparian and/or riverine wetlands must be installed in a manner that does not restrict the flow and circulation patterns of waters of the United States. Culverts placed across wetland fills purely for the purposes of equalizing surface water do not have to be buried.

3.7 Notification to NCDENR Shellfish Sanitation Section

Applicants shall notify the NCDENR Shellfish Sanitation Section prior to dredging in or removing sediment from an area closed to shell fishing where the effluent may be released to an area open for shell fishing or swimming in order to avoid contamination from the disposal area and cause a temporary shellfish closure to be made. Such notification shall also be provided to the appropriate Corps of Engineers Regulatory Field Office. Any disposal of sand to the ocean beach should occur between November 1 and April 30 when recreational usage is low. Only clean sand should be used and no dredged sand from closed shell fishing areas may be used. If beach disposal were to occur at times other than stated above or if sand from a closed shell fishing area is to be used, a swimming advisory shall be posted, and a press release shall be issued by the permittee.

3.8 Preservation of Submerged Aquatic Vegetation

Adverse impacts to Submerged Aquatic Vegetation (SAV) are not authorized by any NWP within any of the twenty coastal counties defined by North Carolina's Coastal Area Management Act of 1974 (CAMA).

3.9 Sedimentation and Erosion Control Structures and Measures

3.9.1. All preconstruction notifications will identify and describe sedimentation and erosion control structures and measures proposed for placement in waters of the US. The structures and measures should be depicted on maps, surveys or drawings showing location and impacts to jurisdictional wetlands and streams.

4.0 Additional Regional Conditions for Specific Nationwide Permits

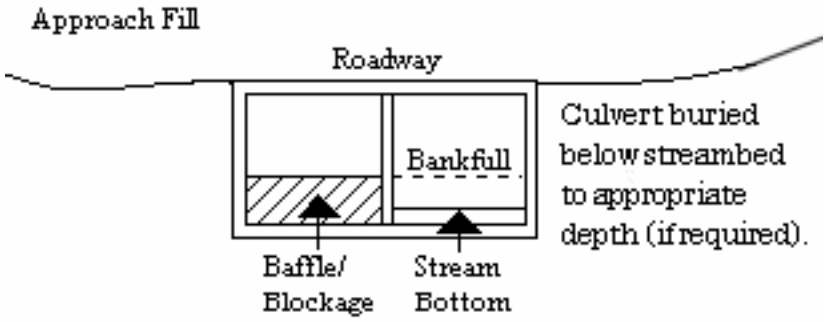
4.1 NWP #14 - Linear Transportation Crossings

4.1.1. If appropriate, applicants shall employ natural channel design (see definition below and NOTE below) to the maximum extent practicable for stream relocations. In the event it is not appropriate to employ natural channel design, any stream relocation shall be considered a permanent impact and the applicant shall provide a mitigation plan to compensate for the loss of aquatic function associated with the proposed activity.

Natural Channel Design: A geomorphologic approach to stream restoration based on an understanding of valley type, general watershed conditions, dimension, pattern, profile, hydrology and sediment transport of natural, stable channels (reference condition) and applying this understanding to the reconstruction of a stable channel.
NOTE: For projects located within the Coastal Plain ecoregion of North Carolina and within headwater areas across the state, applicants should reference the following links for more information regarding appropriate stream design:

http://www.saw.usace.army.mil/wetlands/permits/nwp

4.1.2. Bank-full flows (or less) shall be accommodated through maintenance of the existing bank-full channel cross sectional area. Additional culverts at such crossings shall be allowed only to receive flows exceeding bank-full.



4.1.3. Where adjacent floodplain is available, flows exceeding bank-full should be accommodated by installing culverts at the floodplain elevation.

4.1.4. This NWP authorizes only upland to upland crossings and cannot be used in combination with Nationwide Permit 18 to create an upland within waters of the United States, including wetlands.

4.1.5. This NWP cannot be used for private projects located in tidal waters or tidal wetlands.

4.1.6. Excavation of existing stream channels shall be limited to the minimum necessary to construct or install the proposed culvert. The final width of the impacted streams at the culvert inlet and outlet should be no greater than the original stream width. A waiver from this condition may be requested in writing. The waiver will be issued if it can be demonstrated that it is not practicable to limit the final width of the culvert to that of the impacted stream at the culvert inlet and outlet and the proposed design would result in less impacts to the aquatic environment.

U.S. ARMY CORPS OF ENGINEERS
WILMINGTON DISTRICT

Action ID. **SAW-2012-01854** County: **Anson** USGS Quad: **NC-Polkton**

GENERAL PERMIT (REGIONAL AND NATIONWIDE) VERIFICATION

Property Owner / Authorized Agent: **North Carolina DOT, Division 10**
Address: **Attn: Mr. Larry Thompson**
716 West Main Street
Albemarle, NC 28001

Size and location of property (water body, road name/number, town, etc.): **The project site is located within jurisdictional waters of the U.S. (Cedar Branch), along SR 1415 (Curlee Road), north of Polkton, in Anson County, North Carolina, 35.03897 N/ -80.20650 W.**

Description of projects area and activity: **This verification authorizes impacts to jurisdictional waters of the U.S. in association with an NC DOT bridge replacement project of bridge no. 253 over Cedar Branch, a perennial RPW, on SR 1415. Permanent impacts authorized total 71 linear feet of stream channel for the replacement of the existing bridge and headwalls with double 112" X 75" diameter CSP Arch (Corregated Steel Pipe Arch), new wingwalls and rip rap placement. Temporary impacts total 56 linear feet of stream channel for dewatering during construction.**

Applicable Law: ☒ Section 404 (Clean Water Act, 33 USC 1344)
☐ Section 10 (Rivers and Harbors Act, 33 USC 403)
Authorization: Regional General Permit Number:
Nationwide Permit Number: **14**

Your work is authorized by the above referenced permit provided it is accomplished in strict accordance with the attached conditions and your submitted plans. Any violation of the attached conditions or deviation from your submitted plans may subject the permittee to a stop work order, a restoration order and/or appropriate legal action.

Special Conditions:

1. All work must be performed in strict compliance with the plans received by this office on November 13, 2012, which are a part of this permit. Any modification to the permit plans must be approved by the USACE prior to implementation.

2. Failure to institute and carry out the details of these special conditions will result in a directive to cease all ongoing and permitted work within waters and/or wetlands associated with the permitted project, or such other remedies and/or fines as the District Engineer or his authorized representatives may seek.

3. The permittee shall require its contractors and/or agents to comply with the terms and conditions of this permit in the construction and maintenance of this project, and shall provide each of its contractors and/or agents associated with the construction or maintenance of this project with a copy of this permit, and any authorized modifications. A copy of this permit, and any authorized modifications, including all conditions, shall be available at the project site during construction and maintenance of this project.

4. This permit does not authorize temporary placement or double handling of excavated or fill material within waters or wetlands outside the permitted area.

5. The permittee will report any violation of these conditions or violations of Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act in writing to the Wilmington District, U. S Army Corps of Engineers, within 24 hours of the permittee's discovery of the violation.

6. **In order to compensate for impacts associated with this permit, mitigation shall be provided in accordance with the provisions outlined on the most recent version of the attached Compensatory Mitigation Responsibility Transfer Form. The requirements of this form, including any special conditions listed on this form, are hereby incorporated as special conditions of this permit authorization.**

This verification will remain valid until the expiration date identified below unless the nationwide authorization is modified, suspended or revoked. If, prior to the expiration date identified below, the nationwide permit authorization is reissued and/or modified, this verification will remain valid until the expiration date identified below, provided it complies with all requirements of the modified nationwide permit. If the nationwide permit authorization expires or is suspended, revoked, or is modified, such that the activity would no longer comply with the terms and conditions of the nationwide permit, activities which have commenced (i.e., are under construction) or are under contract to commence in reliance upon the nationwide permit, will remain authorized provided the activity is completed within twelve months of the date of the nationwide permit's expiration, modification or revocation, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend or revoke the authorization.

Activities subject to Section 404 (as indicated above) may also require an individual Section 401 Water Quality Certification. You should contact the NC Division of Water Quality (telephone (919) 807-6300) to determine Section 401 requirements. You may also visit their website at: http://portal.ncdenr.org/web/wq/swp/ws/webscape

For activities occurring within the twenty coastal counties subject to regulation under the Coastal Area Management Act (CAMA), prior to beginning work you must contact the N.C. Division of Coastal Management.

This Department of the Army verification does not relieve the permittee of the responsibility to obtain any other required Federal, State or local approvals/permits.

If there are any questions regarding this verification, any of the conditions of the Permit, or the Corps of Engineers regulatory program, please contact Liz Hair at 828-271-7980.

Corps Regulatory Official Liz Hair Date: **December 10, 2012**

Expiration Date of Verification: **December 10, 2014**

The Wilmington District is committed to providing the highest level of support to the public. To help us ensure we continue to do so, please complete the Customer Satisfaction Survey located at our website at http://per2.nwp.usace.army.mil/survey.html to complete the survey online.

Determination of Jurisdiction:

A. ☐ Based on preliminary information, there appear to be waters of the US including wetlands within the above described project area. This preliminary determination is not an appealable action under the Regulatory Program Administrative Appeal Process (Reference 33 CFR Part 331).

B. ☐ There are Navigable Waters of the United States within the above described project area subject to the permit requirements of Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.

C. ☒ **There are waters of the US and/or wetlands within the above described project area subject to the permit requirements of Section 404 of the Clean Water Act (CWA)(33 USC § 1344). Unless there is a change in the law or our published regulations, this determination may be relied upon for a period not to exceed five years from the date of this notification.**

D. ☐ The jurisdictional areas within the above described project area have been identified under a previous action. Please reference jurisdictional determination issued __. Action ID

Basis of Jurisdictional Determination:
There are stream channels located on the property that exhibit indicators of ordinary high water marks. The stream channel on the property is known as Cedar Branch(a perennial RPW) which flows into Lanes Creek (a perennial RPW) (HUC 03040105). Navigation: Cedar Branch>Lanes Creek>Rocky River> Pee Dee River, a Section 10 Navigable water of the U.S., at the Blewett Falls Dam on the Anson/Richmond County line..

Attention USDA Program Participants:
This delineation/determination has been conducted to identify the limits of Corps' Clean Water Act jurisdiction for the particular site identified in this request. The delineation/determination may not be valid for the wetland conservation provisions of the Food Security Act of 1985. If you or your tenant are USDA Program participants, or anticipate participation in USDA programs, you should request a certified wetland determination from the local office of the Natural Resources Conservation Service, prior to starting work.

Appeals Information: (This information applies only to approved jurisdictional determinations as indicated in B and C above).

This correspondence constitutes an approved jurisdictional determination for the above described site. If you object to this determination, you may request an administrative appeal under Corps regulations at 33 CFR Part 331. Enclosed you will find a request for appeal (RFA) form. If you request to appeal this determination you must submit a completed RFA form to the following address:

US Army Corps of Engineers
South Atlantic Division
Attn: Jason Steele, Review Officer
60 Forsyth Street SW, Room 10M15
Atlanta, Georgia 30303-8801
Phone: (404) 562-5137

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 CFR part 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP. Should you decide to submit an RFA form, it must be received at the above address by February 10, 2013.

It is not necessary to submit an RFA form to the Division Office if you do not object to the determination in this correspondence.

Corps Regulatory Official: Liz Hair

Issue Date: **December 10, 2012** Expiration Date: Five years from *Issue Date*

Permit Number: SAW-2012-01854

Permit Type: NW14

Name of County: Anson

Name of Permittee: North Carolina DOT, Division 10

Date of Issuance: December 10, 2012

Project Manager: Liz Hair

Upon completion of the activity authorized by this permit and any mitigation required by the permit, sign this certification and return it to the following address:

U.S. Army Corps of Engineers
Attention: CESAW-RG-A
151 Patton Avenue, Room 208
Asheville, North Carolina 28801-5006

Please note that your permitted activity is subject to a compliance inspection by an U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, or revocation.

I hereby certify that the work authorized by the above referenced permit has been completed in accordance with the terms and conditions of the said permit, and required mitigation was completed in accordance with the permit conditions.

_____ _____

Signature of Permittee Date

NOTIFICATION OF ADMINISTRATIVE APPEAL OPTIONS AND PROCESS AND REQUEST FOR APPEAL

Applicant: North Carolina DOT, Division 10		File Number: SAW-2012-01854	Date: December 10, 2012
Attached is:			See Section below
	INITIAL PROFFERED PERMIT (Standard Permit or Letter of permission)		A
	PROFFERED PERMIT (Standard Permit or Letter of permission)		B
	PERMIT DENIAL		C
X	APPROVED JURISDICTIONAL DETERMINATION		D
	PRELIMINARY JURISDICTIONAL DETERMINATION		E

SECTION I - The following identifies your rights and options regarding an administrative appeal of the above decision. Additional information may be found at http://www.usace.army.mil/CECW/Pages/reg_materials.aspx or Corps regulations at 33 CFR Part

A: INITIAL PROFFERED PERMIT: You may accept or object to the permit.

- ACCEPT: If you received a Standard Permit, you may sign the permit document and return it to the district engineer for final authorization. If you received a Letter of Permission (LOP), you may accept the LOP and your work is authorized. Your signature on the Standard Permit or acceptance of the LOP means that you accept the permit in its entirety, and waive all rights to appeal the permit, including its terms and conditions, and approved jurisdictional determinations associated with the permit.
- OBJECT: If you object to the permit (Standard or LOP) because of certain terms and conditions therein, you may request that the permit be modified accordingly. You must complete Section II of this form and return the form to the district engineer. Your objections must be received by the district engineer within 60 days of the date of this notice, or you will forfeit your right to appeal the permit in the future. Upon receipt of your letter, the district engineer will evaluate your objections and may: (a) modify the permit to address all of your concerns, (b) modify the permit to address some of your objections, or (c) not modify the permit having determined that the permit should be issued as previously written. After evaluating your objections, the district engineer will send you a proffered permit for your reconsideration, as indicated in Section B below.

B: PROFFERED PERMIT: You may accept or appeal the permit

- ACCEPT: If you received a Standard Permit, you may sign the permit document and return it to the district engineer for final authorization. If you received a Letter of Permission (LOP), you may accept the LOP and your work is authorized. Your signature on the Standard Permit or acceptance of the LOP means that you accept the permit in its entirety, and waive all rights to appeal the permit, including its terms and conditions, and approved jurisdictional determinations associated with the permit.
- APPEAL: If you choose to decline the proffered permit (Standard or LOP) because of certain terms and conditions therein, you may appeal the declined permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.

C: PERMIT DENIAL: You may appeal the denial of a permit under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.

D: APPROVED JURISDICTIONAL DETERMINATION: You may accept or appeal the approved JD or provide new information.

- ACCEPT: You do not need to notify the Corps to accept an approved JD. Failure to notify the Corps within 60 days of the date of this notice, means that you accept the approved JD in its entirety, and waive all rights to appeal the approved JD.
- APPEAL: If you disagree with the approved JD, you may appeal the approved JD under the Corps of Engineers Administrative Appeal Process by completing Section II of this form and sending the form to the division engineer. This form must be received by the division engineer within 60 days of the date of this notice.

E: PRELIMINARY JURISDICTIONAL DETERMINATION: You do not need to respond to the Corps regarding the preliminary JD. The Preliminary JD is not appealable. If you wish, you may request an approved JD (which may be appealed), by contacting the Corps district for further instruction. Also you may provide new information for further consideration by the Corps to reevaluate the JD.

SECTION II - REQUEST FOR APPEAL or OBJECTIONS TO AN INITIAL PROFFERED PERMIT

REASONS FOR APPEAL OR OBJECTIONS: (Describe your reasons for appealing the decision or your objections to an initial proffered permit in clear concise statements. You may attach additional information to this form to clarify where your reasons or objections are addressed in the administrative record.)

ADDITIONAL INFORMATION: The appeal is limited to a review of the administrative record, the Corps memorandum for the record of the appeal conference or meeting, and any supplemental information that the review officer has determined is needed to clarify the administrative record. Neither the appellant nor the Corps may add new information or analyses to the record. However, you may provide additional information to clarify the location of information that is already in the administrative record.

POINT OF CONTACT FOR QUESTIONS OR INFORMATION:

If you have questions regarding this decision and/or the appeal process you may contact: Liz Hair, Project Manager USACE, Asheville Regulatory Field Office 151 Patton Ave RM 208 Asheville, NC 28801 828-271-7980	If you only have questions regarding the appeal process you may also contact: Mr. Jason Steele, Administrative Appeal Review Officer CESAD-PDO U.S. Army Corps of Engineers, South Atlantic Division 60 Forsyth Street, Room 10M15 Atlanta, Georgia 30303-8801 Phone: (404) 562-5137

RIGHT OF ENTRY: Your signature below grants the right of entry to Corps of Engineers personnel, and any government consultants, to conduct investigations of the project site during the course of the appeal process. You will be provided a 15 day notice of any site investigation, and will have the opportunity to participate in all site investigations.

_____ Signature of appellant or agent.	Date:	Telephone number:

For appeals on Initial Proffered Permits send this form to:

District Engineer, Wilmington Regulatory Division, 69 Darlington Avenue, Attn: Ms. Liz Hair Wilmington, North Carolina 28403

For Permit denials, Proffered Permits and approved Jurisdictional Determinations send this form to:

Division Engineer, Commander, U.S. Army Engineer Division, South Atlantic, Attn: Mr. Jason Steele, Administrative Appeal Officer, CESAD-PDO, 60 Forsyth Street, Room 10M15, Atlanta, Georgia 30303-8801. Phone: (404) 562-5137

U.S. ARMY CORPS OF ENGINEERS
Wilmington District
Compensatory Mitigation Responsibility Transfer Form

Permittee: North Carolina Department of Transportation, Division 10 **Action ID:** SAW-2012-01854
Project Name: Bridge Replacement BR no. 253 over Cedar Branch **County:** Anson

Instructions to Permittee: The Permittee must provide a copy of this form to the Mitigation Sponsor, either an approved Mitigation Bank or the North Carolina Ecosystem Enhancement Program (NCEEP), who will then sign the form to verify the transfer of the mitigation responsibility. Once the Sponsor has signed this form, it is the Permittee's responsibility to ensure that to the U.S. Army Corps of Engineers (USACE) Project Manager identified on page two is in receipt of a signed copy of this form before conducting authorized impacts, unless otherwise specified below. If more than one mitigation Sponsor will be used to provide the mitigation associated with the permit, or if the impacts and/or the mitigation will occur in more than one 8-digit Hydrologic Unit Code (HUC), multiple forms will be attached to the permit, and the separate forms for each Sponsor and/or HUC must be provided to the appropriate mitigation Sponsors.

Instructions to Sponsor: The Sponsor must verify that the mitigation requirements shown below are available at the identified site. By signing below, the Sponsor is accepting full responsibility for the identified mitigation, regardless of whether or not they have received payment from the Permittee. Once the form is signed, the Sponsor must update the appropriate ledger and provide a copy of the signed form to the Permittee and to the USACE Bank/In-Lieu Fee Program Manager. The Sponsor must also comply with all reporting requirements established in their authorizing instrument.

Permitted Impacts and Compensatory Mitigation Requirements:

Permitted Impacts Requiring Mitigation* **8-digit HUC and Basin:** 03040105, Yadkin River Basin

Stream Impacts (linear feet)			Wetland Impacts (acres)			
Warm	Cool	Cold	Riparian Riverine	Riparian Non-riverine	Non-Riparian	Coastal
71						

*If more than one mitigation sponsor will be used for the permit, only include impacts to be mitigated by this sponsor.

Compensatory Mitigation Requirements: **8-digit HUC and Basin:** 03040105, Yadkin River Basin

Stream Mitigation (credits)			Wetland Mitigation (credits)			
Warm	Cool	Cold	Riparian Riverine	Riparian Non-riverine	Non-Riparian	Coastal
142						

Mitigation Site Debited: NC EEP
(List the name of the bank to be debited. For umbrella banks, also list the specific site. For NCEEP, list NCEEP. If the NCEEP acceptance letter identifies a specific site, also list the specific site to be debited).

Section to be completed by the Mitigation Sponsor

Statement of Mitigation Liability Acceptance: I, the undersigned, verify that I am authorized to approve mitigation transactions for the Mitigation Sponsor shown below, and I certify that the Sponsor agrees to accept full responsibility for providing the mitigation identified in this document (see the table above), associated with the USACE Permittee and Action ID number shown. I also verify that released credits (and/or advance credits for NCEEP), as approved by the USACE, are currently available at the mitigation site identified above. Further, I understand that if the Sponsor fails to provide the required compensatory mitigation, the USACE Wilmington District Engineer may pursue measures against the Sponsor to ensure compliance associated with the mitigation requirements.

Mitigation Sponsor Name:

Name of Sponsor's Authorized Representative:

Signature of Sponsor's Authorized Representative **Date of Signature**

USACE Wilmington
District
Compensatory Mitigation Responsibility Transfer Form, Page 2

Conditions for Transfer of Compensatory Mitigation Credit:

- Once this document has been signed by the Mitigation Sponsor and the USACE is in receipt of the signed form, the Permittee is no longer responsible for providing the mitigation identified in this form, though the Permittee remains responsible for any other mitigation requirements stated in the permit conditions.
- Construction within jurisdictional areas authorized by the permit identified on page one of this form can begin only after the USACE is in receipt of a copy of this document signed by the Sponsor, confirming that the Sponsor has accepted responsibility for providing the mitigation requirements listed herein. For authorized impacts conducted by the North Carolina Department of Transportation (NCDOT), construction within jurisdictional areas may proceed upon permit issuance; however, a copy of this form signed by the Sponsor must be provided to the USACE within 30 days of permit issuance. NCDOT remains fully responsible for the mitigation until the USACE has received this form, confirming that the Sponsor has accepted responsibility for providing the mitigation requirements listed herein.
- Signed copies of this document must be retained by the Permittee, Mitigation Sponsor, and in the USACE administrative records for both the permit and the Bank/ILF Instrument. It is the Permittee's responsibility to ensure that the USACE Project Manager (address below) is provided with a signed copy of this form.
- If changes are proposed to the type, amount, or location of mitigation after this form has been signed and returned to the USACE, the Sponsor must obtain case-by-case approval from the USACE Project Manager and/or North Carolina Interagency Review Team (NCIRT). If approved, higher mitigation ratios may be applied, as per current District guidance and a new version of this form must be completed and included in the USACE administrative records for both the permit and the Bank/ILF Instrument.

Comments/Additional Conditions:

This form is not valid unless signed by the mitigation Sponsor and USACE Project Manager. For questions regarding this form or any of the conditions of the permit authorization, contact the Project Manager at the address below.

USACE Project Manager: Liz Hair

HAIR.SARAH.E
A.1054693512
Digitally signed by HAIR.SARAH.E
A.1054693512
DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=USA, cn=HAIR.SARAH.E
A.1054693512
Date: 2012.12.10 13:59:09 -05'00'

HAIR.SARAH.E
A.1054693512
Digitally signed by HAIR.SARAH.E
A.1054693512
DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=USA, cn=HAIR.SARAH.E
A.1054693512
Date: 2012.12.10 13:59:09 -05'00'

USACE Project Manager Signature

December 10, 2012
Date of Signature

Current Wilmington District mitigation guidance, including information on mitigation ratios, functional assessments, and mitigation bank location and availability, and credit classifications (including stream temperature and wetland groupings) is available at http://ribits.usace.army.mil.

The Wilmington District is committed to providing the highest level of support to the public. To help us ensure we continue to do so, please complete the Customer Satisfaction Survey located at our website at http://regulatory.usacesurvey.com/ to complete the survey online.

NC DIVISION OF WATER QUALITY - GENERAL CERTIFICATION CONDITIONS

For the most recent General Certification conditions, call the NC Division of Water Quality, Wetlands/401 Certification Unit at (919) 807-6353 or access the following website: http://portal.ncdenr.org/web/wq/swp/ws/401/certsandpermits/gcs

NC DIVISION OF COASTAL MANAGEMENT - STATE CONSISTENCY

In a letter dated March 13, 2012, the North Carolina Division of Coastal Management found this NWP consistent with the North Carolina Coastal Zone Management Program. Updates on CAMA Consistency for NC can be found on the NC DCM web site at: http://dcm2.enr.state.nc.us/Permits/consist.htm

EASTERN BAND OF THE CHEROKEE INDIANS TRIBAL WATER QUALITY CERTIFICATIONS

In a letter dated April 20, 2012, US EPA, on behalf of the Eastern Band of Cherokee Indians, provided Tribal General Conditions for Nationwide Permits on Cherokee Indian Reservation. These Tribal General Conditions are located on the Corps website at: http://www.saw.usace.army.mil/WETLANDS/NWP2007/EBCI-certs.html

Citations:

2012 Nationwide Permits Public Notice for Final Issue Date: February 15, 2012

Reissuance of Nationwide Permits, Federal Register / Vol. 77, No. 34 / Tuesday, February 21, 2012 / Notices p.10184

2112 Wilmington District Regional Conditions – Authorized March 29, 2012

This and other information can be found on the Corps web site at: http://www.saw.usace.army.mil/WETLANDS/permits/NWP/NWP2012

Water Quality Certification No. 3886

GENERAL CERTIFICATION FOR PROJECTS ELIGIBLE FOR U.S. ARMY CORPS OF ENGINEERS NATIONWIDE PERMIT NUMBER 14 (LINEAR TRANSPORTATION PROJECTS) AND REGIONAL GENERAL PERMIT 198200031 (WORK ASSOCIATED WITH BRIDGE CONSTRUCTION, MAINTENANCE OR REPAIR CONDUCTED BY NCDOT OR OTHER GOVERNMENT AGENCIES} AND RIPARIAN AREA PROTECTION RULES (BUFFER RULES)

Water Quality Certification Number 3886 is issued in conformity with the requirements of Section 401, Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Quality (DWQ) Regulations in 15A NCAC 02H .0500 and 15A NCAC 02B .0200 for the discharge of fill material to waters and adjacent wetland areas or to wetland areas that are not a part of the surface tributary system to interstate waters or navigable waters of the United States (as described in 33 CFR 330 Appendix A (B) (14) of the Corps of Engineers regulations (Nationwide Permit No. 14 and Regional General Permit 198200031) and for the Riparian Area Protection Rules (Buffer Rules) in 15A NCAC 02B .0200.

The State of North Carolina certifies that the specified category of activity will not violate applicable portions of Sections 301, 302, 303, 306 and 307 of the Public Laws 92-500 and 95-217 if conducted in accordance with the conditions hereinafter set forth.

Any proposed fill or modification of wetlands and/or waters, including streams, under this General Certification requires application to, and written approval from the Division of Water Quality except for the single family lot exemption described below.

Activities meeting any one (1) of the following thresholds or circumstances require *written approval* for a 401 Water Quality Certification from the Division of Water Quality (the "Division"):

a) Any temporary or permanent impacts to wetlands, open waters and/or streams, including stream relocations, except for construction of a driveway to a single family lot as long as the driveway involves *less than 25 feet* of temporary and/or permanent stream channel impacts, including any in-stream stabilization needed for the crossing; or

b) Any impact associated with a high density project (as defined in Item (A)(iv) of the ***401 Stormwater Requirements)*** that is not subject to either a state stormwater program (such as, but not limited to, Coastal Counties, HQW, ORW or state-implemented Phase II NPDES) or a certified community's stormwater program; or

c) Any impact associated with a Notice of Violation or an enforcement action for violation(s) of DWQ Wetland Rules (15A NCAC 02H . 0500), Isolated Wetland Rules (15A NCAC 02H . 1300), DWQ Surface Water or Wetland Standards, or Riparian Buffer Rules (15A NCAC 02B .0200); or

d) Any impacts to streams and/or buffers in the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan or Goose Creek Watersheds (or any other basin or watershed with Riparian Area Protection Rules (Buffer Rules] in effect at the time of application) *unless* the activities are listed as "EXEMPT" from these rules or a Buffer Authorization Certificate is issued through N.C. Division of Coastal Management (DCM) delegation for "ALLOWABLE" activities.

In accordance with North Carolina General Statute 143-215.3D(e), written approval for a 401 Water Quality General Certification must include the appropriate fee. If a project also requires a CAMA Permit, then one payment to both agencies shall be submitted and will be the higher of the two fees.

Activities included in this General Certification that do not meet one of the thresholds listed above do not require written approval from the Division as long as they comply with the Conditions of Certification listed below. If any of these Conditions cannot be met, then written approval from the Division is required.

Water Quality Certification No. 3886

Conditions of Certification:

1. No Impacts Beyond those Authorized in the Written Approval or Beyond the Threshold of Use of this Certification

   No waste, spoil, solids, or fill of any kind shall occur in wetlands, waters, or riparian areas beyond the footprint of the impacts depicted in the Pre-Construction Notification, as authorized in the written approval from the Division or beyond the thresholds established for use of this Certification without written authorization, including incidental impacts. All construction activities, including the design, installation, operation, and maintenance of sediment and erosion control Best Management Practices shall be performed so that no violations of state water quality standards, statutes, or rules occur. Approved plans and specifications for this project are incorporated by reference and are enforceable parts of this permit.

2. Standard Erosion and Sediment Control Practices

   Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices and if applicable, comply with the specific conditions and requirements of the NPDES Construction Stormwater Permit issued to the site:

   a. Design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal or exceed the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual.* The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.

   b. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.

   c. Reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act and the Mining Act of 1971.

   d. Sufficient materials required for stabilization and/or repair of erosion control measures and stormwater routing and treatment shall be on site at all times.

   e. If the project occurs in waters or watersheds classified as Primary Nursery Areas (PNAs), SA, WS-1, WS-11, High Quality (HQW), or Outstanding Resource (ORW) waters, then the sedimentation and erosion control designs must comply with the requirements set forth in 15A NCAC 04B .0124, *Design Standards in Sensitive Watersheds*.

3. No Sediment and Erosion Control Measures in Wetlands or Waters

Sediment and erosion control measures shall not be placed in wetlands or waters. Exceptions to this condition require application submittal to and written approval by the **Division**. **If placement of sediment and erosion control devices in wetlands and waters is** unavoidable, then design and placement of temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands, stream beds, or banks, adjacent to or upstream and downstream of the above structures. All sediment and erosion control devices shall be removed and the natural grade restored within two (2) months of the date that the Division of Land Resources (DLR) or locally delegated program has released the specific area within the project.

4. Construction Stormwater Permit NCG010000

An NPDES Construction Stormwater Permit is required for construction projects that disturb one (1) or more acres of land. This Permit allows stormwater to be discharged during land disturbing construction activities as stipulated in the conditions of the permit. If your project is covered by this permit, full compliance with permit conditions including the erosion & sedimentation control plan, inspections and maintenance, self-monitoring, record keeping and reporting requirements is required. A copy of the general permit (NCG010000), inspection log sheets, and other information may be found at http://portal.ncdenr.org/web/wq/ws/su/npdessw#tab-w .

The North Carolina Department of Transportation (NCDOT) shall be required to be in full compliance with the conditions related to construction activities within the most recent version of their individual NPDES (NCS000250) stormwater permit.

5. Construction Moratoriums and Coordination

If activities must occur during periods of high biological activity (i.e. sea turtle nesting, fish spawning, or bird nesting), then biological monitoring may be required at the request of other state or federal agencies and coordinated with these activities.

All moratoriums on construction activities established by the NC Wildlife Resources Commission (WRC), US Fish and Wildlife Service (USFWS), NC Division of Marine Fisheries (DMF), or National Marine Fisheries Service (NMFS) to lessen impacts on trout, anadromous fish, larval/post-larval fishes and crustaceans, or other aquatic species of concern shall be implemented. Exceptions to this condition require written approval by the resource agency responsible for the given moratorium.

Work within the twenty-five (25) designated trout counties or identified state or federal endangered or threatened species habitat shall be coordinated with the appropriate WRC, USFWS, NMFS, and/or DMF personnel.

6. Work in the Dry

All work in or adjacent to stream waters shall be conducted so that the flowing stream does not come in contact with the disturbed area. Approved best management practices from the most current version of the NC Sediment and Erosion Control Manual, or the NC DOT Construction and Maintenance Activities Manual, such as sandbags, rock berms, cofferdams, and other diversion structures shall be used to minimize excavation in flowing water. Exceptions to this condition require application submittal to and written approval by the Division.

7. Riparian Area Protection (Buffer) Rules

Activities located in the protected riparian areas (whether jurisdictional wetlands or not), within the Neuse, Tar-Pamlico, or Catawba River Basins or in the Randleman, Jordan, or Goose Creek Watersheds (or any other basin or watershed with buffer rules) shall be limited to "uses" identified within and constructed in accordance with 15A NCAC 02B .0233, .0259, .0243, .0250, .0267 and .0605, and shall be located, designed, constructed, and maintained to have minimal disturbance to protect water quality to the maximum extent practicable through the use of best management practices. All buffer rule requirements, including diffuse flow requirements, must be met.

8. If concrete is used during the construction, then all necessary measures shall be taken to prevent direct contact between uncured or curing concrete and waters of the state. Water that inadvertently contacts uncured concrete shall not be discharged to waters of the state due to the potential for elevated pH and possible aquatic life/ fish kills.

9. Bridge deck drains shall not discharge directly into the stream. Stormwater shall be directed across the bridge and pre-treated through site-appropriate means (grassed swales, pre- formed scour holes, vegetated buffers, etc.) before entering the stream. Please refer to the most current version of *Stormwater Best Management Practices*. Exceptions to this condition require written approval by the Division.

10. Compensatory Mitigation

In accordance with 15A NCAC 02H .0506 (h), compensatory mitigation may be required for losses of equal to or greater than 150 linear feet of streams (intermittent and perennial) and/or equal to or greater than one (1) acre of wetlands. For linear public transportation projects, impacts equal to or exceeding 150 linear feet per stream shall require mitigation.

Buffer mitigation may be required for any project with Buffer Rules in effect at the time of application for activities classified as "Allowable with Mitigation" or "Prohibited" within the Table of Uses.

A determination of buffer, wetland, and stream mitigation requirements shall be made for any General Water Quality Certification for this Nationwide and/or Regional General Permit. Design and monitoring protocols shall follow the US Army Corps of Engineers Wilmington District *Stream Mitigation Guidelines* (April 2003) or its subsequent updates. Compensatory mitigation plans shall be submitted to the Division for written approval as required in those protocols. The mitigation plan must be implemented and/or constructed before any impacts occur on site. Alternatively, the Division will accept payment into an in-lieu fee program or a mitigation bank. In these cases, proof of payment shall be provided to the Division before any impacts occur on site.

11. Relocated stream designs should include the same dimensions, patterns, and profiles as the existing channel (or a stable reference reach if the existing channel is unstable), to the maximum extent practical. The new channel should be constructed in the dry and water shall not be turned into the new channel until the banks are stabilized. Vegetation used for bank stabilization shall be limited to native woody species, and should include establishment of a 30-foot wide wooded and an adjacent 20-foot wide vegetated buffer on both sides of the relocated channel to the maximum extent practical. A transitional phase incorporating appropriate erosion control matting materials and seedling establishment is allowable, however matting that incorporates plastic mesh and/or plastic twine shall not be used in wetlands, riparian buffers or floodplains as recommended by the North Carolina Sediment and Erosion Control Manual. Rip-rap, A-Jacks, concrete, gabions or other hard structures may be allowed if it is necessary to maintain the physical integrity of the stream; however, the applicant must provide written justification and any calculations used to determine the extent of rip-rap coverage. Please note that if the stream relocation is conducted as a stream restoration as defined in the US i\rmy Corps of Engineers Wilmington District, April 2003 *Stream Mitigation Guidelines* (or its subsequent updates), the restored length may be used as compensatory mitigation for the impacts resulting from the relocation.

12. Stormwater Management Plan Requirements

All applications shall address stormwater management throughout the entire project area per the 401 Stormwater Requirements, referenced herein as **"Attachment A"** at the end of this Certification.

13. Placement of Culverts and Other Structures in Waters and Wetlands

Culverts required for this project shall be designed and installed in such a manner that the original stream profiles are not altered and allow for aquatic life movement during low flows. Existing stream dimensions (including the cross section dimensions, pattern, and longitudinal profile) must be maintained above and below locations of each culvert.

Placement of culverts and other structures in waters and streams must be below the elevation of the streambed by one foot for all culverts with a diameter greater than 48 inches, and 20 percent of the culvert diameter for culverts having a diameter less than or equal to 48 inches, to allow low flow passage of water and aquatic life.

When topographic constraints indicate culvert slopes of greater than 5%, culvert burial is not required, provided that all alternative options for flattening the slope have been investigated and aquatic life movement! connectivity has been provided when possible (rock ladders, crossvanes, etc.). Notification to the Division including supporting documentation to include a location map of the culvert, culvert profile drawings, and slope calculations shall be provided to the Division 60 days prior to the installation of the culvert.

When bedrock is present in culvert locations, culvert burial is not required provided that there is sufficient documentation of the presence of bedrock. Notification to the Division including supporting documentation such as, but not limited to, a location map of the culvert, geotechnical reports, photographs, etc. shall be provided to the Division a minimum of 60 days prior to the installation of the culvert. If bedrock is discovered during construction, then the Division shall be notified by phone or email within 24 hours of discovery.

If other site-specific topographic constraints preclude the ability to bury the culverts as described above and/or it can be demonstrated that burying the culvert would result in destabilization of the channel, then exceptions to this condition require application submittal to, and written approval by, the Division of Water Quality, regardless of the total impacts to streams or wetlands from the project.

Installation of culverts in wetlands must ensure continuity of water movement and be designed to adequately accommodate high water or flood conditions. Additionally, when roadways, causeways, or other fill projects are constructed across FEMA-designated floodways or wetlands, openings such as culverts or bridges must be provided to maintain the natural hydrology of the system as we!! as prevent constriction of the floodway that may result in destabilization of streams or wetlands.

The establishment of native, woody vegetation and other soft stream bank stabilization techniques must be used where practicable instead of riprap or other bank hardening methods.

14. All temporary fill and culverts shall be removed and the impacted area returned to natural conditions within 60 days of the determination that the temporary impact is no longer necessary. The impacted areas shall be restored to original grade, including each stream's original cross sectional dimensions, plan form pattern, and longitudinal bed and bed profile, and the various sites shall be stabilized with natural woody vegetation (except for the approved maintenance areas) and restored to prevent erosion.

15. All temporary pipes/ culverts/ riprap pads etc., shall be installed in all streams as outlined in the most recent edition of the *North Carolina Sediment and Erosion Control Planning and Design Manual* or the *North Carolina Surface Mining Manual* so as not to restrict stream flow or cause dis-equilibrium during use of this General Certification.

16. Any riprap required for proper culvert placement, stream stabilization, or restoration of temporarily disturbed areas shall be restricted to the area directly impacted by the approved construction activity. All rip-rap shall buried and/or "keyed in" such that the original stream elevation and streambank contours are restored and maintained. Placement of rip-rap or other approved materials shall not result in de-stabilization of the stream bed or banks upstream or downstream of the area.

17. Any rip-rap used for stream stabilization shall be of a size and density so as not to be able to be carried off by wave, current action, or stream flows and consist of clean rock or masonry material free of debris or toxic pollutants. Rip-rap shall not be installed in the streambed except in specific areas required for velocity control and to ensure structural integrity of bank stabilization measures.

18. A one-time application of fertilizer to re-establish vegetation is allowed in disturbed areas including riparian buffers, but is restricted to no closer than 10 feet from top of bank of streams. Any fertilizer application must comply with all other Federal, State and Local regulations.

19. If this Water Quality Certification is used to access building sites, then all lots owned by the applicant must be buildable without additional impacts to streams or wetlands. The applicant is required to provide evidence that the lots are buildable without requiring additional impacts to wetlands, waters, or buffers if required to do so in writing by the Division. For road construction purposes, this Certification shall only be utilized from natural high ground to natural high ground.

20. Deed notifications or similar mechanisms shall be placed on all retained jurisdictional wetlands, waters, and protective buffers within the project boundaries in order to assure compliance for future wetland, water, and buffer impact. These mechanisms shall be put in place at the time of recording of the property or of individual lots. whichever is appropriate. A sample deed notification can be downloaded from the 401/Wetlands Unit web site at http:l/portal.ncdenr.org/web/wq/swp/ws/401/certsandpermits/apply/forms. The text of the sample deed notification may be modified as appropriate to suit to a specific project. Documentation of deed notifications shall be provided to the Division upon request.

Water Quality Certification No. 3886

21. If an environmental document is required under the National or State Environmental Policy Act (NEPA or SEPA), then this General Certification is not valid until a Finding of No Significant Impact (FONSI) or Record of Decision (ROD) is issued by the State Clearinghouse.

22. In the twenty (20) coastal counties, the appropriate DWQ Regional Office must be contacted to determine if Coastal Stormwater Regulations will be required.

23. This General Certification does not relieve the applicant of the responsibility to obtain all other required Federal, State, or Local approvals.

24. The applicant/permittee and their authorized agents shall conduct all activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act), and any other appropriate requirements of State and Federal Law. If the Division determines that such standards or laws are not being met, including failure to sustain a designated or achieved use, or that State or Federal law is being violated, or that further conditions are necessary to assure compliance, then the Division may reevaluate and modify this General Water Quality Certification.

25. When written authorization is required for use of this certification, upon completion of all permitted impacts included within the approval and any subsequent modifications, the applicant shall be required to return the certificate of completion attached to the approval. One copy of the certificate shall be sent to the DWQ Central Office in Raleigh at 1650 Mail Service Center, Raleigh, NC, 27699-1650.

26. Additional site-specific conditions, including monitoring and/or modeling requirements, may be added to the written approval letter for projects proposed under this Water Quality Certification in order to ensure compliance with all applicable water quality and effluent standards.

27. This certification grants permission to the director, an authorized representative of the Director, or DENR staff, upon the presentation of proper credentials, to enter the property during normal business hours.

This General Certification shall expire on the same day as the expiration date of the corresponding Nationwide and/or Regional General Permit. The conditions in effect on the date of issuance of Certification for a specific project shall remain in effect for the life of the project, regardless of the expiration date of this Certification.

Non-compliance with or violation of the conditions herein set forth by a specific project may result in revocation of this General Certification for the project and may also result in criminal and/or civil penalties.

The Director of the North Carolina Division of Water Quality may require submission of a formal application for Individual Certification for any project in this category of activity if it is determined that the project is likely to have a significant adverse effect upon water quality, including state or federally listed endangered or threatened aquatic species, or degrade the waters so that existing uses of the wetland or downstream waters are precluded.

Water Quality Certification No. 3886

Public hearings may be held for specific applications or group of applications prior to a Certification decision if deemed in the public's best interest by the Director of the North Carolina Division of Water Quality.

Effective date: March 19, 2012

DIVISION OF WATER QUALITY

By

Charles Wakild, P.E.

Director

History Note: Water Quality Certification (WQC) Number 3886 issued March 12, 2012 replaces WQC Number 3820 issued Apri16, 2010; WQC Number 3627 issued March 2007; WQC Number 3404 issued March 2003; WQC Number 3375 issued March 18, 2002; WQC Number 3289 issued June 1, 2000; WQC Number 3103 issued February 11, 1997; WQC Number 2732 issued May 1, 1992; WQC Number 2666 issued January 21, 1992; WQC Number 2177 issued November 5, 1987. This WQC is rescinded when the Corps of Engineers reauthorizes any of the corresponding Nationwide and/or Regional General Permits or when deemed appropriate by the Director of the Division of Water Quality.

Water Quality Certification No. 3886

Attachment A: 401 Stormwater Requirements

The requirements listed below shall be implemented in order to comply with Condition 12 of this General Certification. For the North Carolina Department of Transportation, compliance with NCDOT's Individual NPDES permit NCS000250 shall serve to satisfy the 401 and Isolated Wetland Stormwater Requirements. [1]

A. **Design and Implementation Requirements.** All projects, regardless of project area, amount of built-upon area or amount of jurisdictional impact, shall meet the following stormwater design requirements:

i. **Non-Erosive Discharge to Streams and Wetlands.** Stormwater conveyances that discharge to streams and wetlands must discharge at a non-erosive velocity prior to entering the stream or wetland during the peak flow from the ten-year storm.

ii. **Vegetated Setbacks.** A 30-foot wide vegetated setback must be maintained adjacent to streams, rivers and tidal waters in areas that are not subject to a state Riparian Area Protection Rule or other more stringent vegetated setback requirements. The width of the setback shall be measured horizontally from the normal pool elevation of impounded structures, the top-of-bank of streams and rivers, and the mean high waterline of tidal waters, perpendicular to shoreline. Vegetated setback and filters required by state rules or local governments may be met concurrently with this requirement and may contain coastal, isolated or 404 jurisdictional wetlands. Non-jurisdictional portions of the vegetated setback may be cleared and graded, but must be planted with and maintained in grass or other vegetative or plant material.[3]

iii. **Construction and Operation.** The stormwater management plan must be constructed and operational before any permanent building or other structure is occupied or utilized at the site. The stormwater management plan, including drainage patterns, must be maintained in perpetuity.[4]

iv. **Coordination with Other Stormwater Programs.** Projects that are subject to another Division of Water Quality (DWQ) stormwater program, including (but not limited to) the 20 Coastal Counties, HQW, ORW or state-implemented Phase II NPDES, or a Certified Community's stormwater management program, must be constructed and maintained in compliance with the approved stormwater management plans

v. **Stormwater Design Requirements for Projects Not Covered Under Item (iv).** Projects that are not subject to another DWQ stormwater program or a Certified Community's stormwater program shall meet all of the following requirements:

a. **Low Density.** A site is low density if all the following requirements are met:

1. The development has a built upon area of twenty-four percent (24%) or less, considering both current and future development. When determining the amount of built upon area, coastal wetlands shall be included; however, ponds, lakes and rivers as specified in North Carolina's Schedule of Classifications shall be excluded. If a portion of project has a density greater than 24%, the higher density area must be located in an upland area and away from surface waters and drainageways to the maximum extent practicable.[6]

2. All stormwater runoff from the built upon areas is transported primarily via vegetated conveyances designed in accordance with the most recent version of the *NC DWQ Stormwater Best Management Practices Manual*. Alternative designs may be approved if the applicant can show that the design provides

equal or better water quality protection than the practices specified in the manual. The project must not include a stormwater collection system (such as piped conveyances) as defined in 15A NCAC 028 .0202(60).[7]

b. **High Density.** Projects that do not meet the Low Density requirements shall meet the following requirements:

1. Stormwater runoff from the entire site must be treated by structural stormwater controls (BMPs) that are designed to remove eighty-five percent (85%) of the average annual amount of Total Suspended Solids (TSS). Stormwater runoff that drains directly to Nutrient Sensitive Waters (NSW) must also be treated to remove thirty percent (30%) of Total Nitrogen (TN) and Total Phosphorus (TP)[8]

2. All BMPs must be designed in accordance with the version of the *NC DWQ Stormwater Best Management Practices Manual* that is in place on the date of stormwater management plan submittal. Alternative designs may be approved if the applicant can show that the design provides equal or better water quality protection than the practices specified in the manual.[9]

3. DWQ may add specific stormwater management requirements on a case-by-case basis in order to ensure that a proposed activity will not violate water quality standards.[10]

4. DWQ may approve Low Impact Developments (LIDs) that meet the guidance set forth in the *Low Impact Development: A Guidebook for North Carolina*.[11]

5. Proposed new development undertaken by a local government solely as a public road project shall follow the requirements of the NC DOT BMP Toolbox rather than Items (1)-(4) above.[12]

B. **Submittal Requirements.** The submittal requirements listed below apply only to projects that require written authorization as indicated in the applicable General Certification as well as projects that require an Isolated Wetlands Permit. **Any required documentation shall be sent to the Wetlands, Buffers and Stormwater Compliance and Permitting Unit at 1650 Mail Service Center, Raleigh, NC 27699-1650.**

i. **Projects that are Subject to Another DWQ Stormwater Program:** If the project is subject to another DWQ stormwater program, such as the 20 Coastal Counties, HQW, ORW or state-implemented Phase II NPDES, then the applicant shall submit a copy of the stormwater approval letter before any impacts occur on site.[13]

ii. **Projects that are Subject to a Certified Community's Stormwater Program.** If the project is subject to a certified local government's stormwater program, then the applicant shall submit one set of approved stormwater management plan details and calculations with documentation of the local government's approval before any impacts occur on sites

iii. **Projects Not Covered Under Items** (i) **or (ii).** If the project is not subject to another DWQ Stormwater Program or a Certified Community's stormwater program, then it shall be reviewed and approved by the DWQ through the Water Quality Certification authorization process.

a. **Low Density.** For low density projects, the applicant shall submit two copies of the DWQ Low Density Supplement Form with all required items.[13]

b. **High Density.** For high density projects, the applicant shall submit two copies of a DWQ 8MP Supplement Form and all required items at the specified scales for each 8MP that is proposed.[13]

iv. **Phasing.** Stormwater management plans may be phased on a case-by-case basis, with the submittal of a final stormwater management plan per Items (i)-(iii) above required for the current phase and a conceptual stormwater management plan for the future phase(s). The stormwater management plan for each future phase must be approved by the appropriate entity before construction of that phase is commenced. The approved stormwater management plan for each future phase must be constructed and operational before any permanent building or other structure associated with that phase is occupied. [4]

v. **Stormwater Management Plan Modifications.** The stormwater management plan may not be modified without prior written authorization from the entity that approved the plan. If the project is within a Certified Community, then the applicant shall submit one set of approved stormwater management plan details and calculations with documentation of the local government's approval for record-keeping purposes. If the project is subject to DWQ review, then the applicant shall submit two copies of the appropriate Supplement Forms per Item (iii) above for any 8MPs that have been modified for DWQ's review and approval.[15]

---

1 The stormwater requirement for 401 applications is codified in 15A NCAC 02H .0506(b)(5) and (c)(5).

2 Non erosive discharge rates are required in SL 2008-211§2(b)(1). The 10-year design storm standard is codified in 15A NCAC 02H .1008(f)(2) and .1008(g)(1).

3 30-foot vegetated setbacks are required in SL 2006-246§9(d), SL 2008-211§2(b), 15A NCAC 02H .1006(2)(c) and .1007(1)(a).

4 Construction and maintenance of the stormwater plan is necessary to satisfy 15A NCAC 02H .0506(b)(5).

5 Conveys application procedure to streamline the permitting process and reduce any unnecessary duplication in the review of stormwater management plans.

6 Low density built upon area thresholds are set in SL 2006-246§9(c) and SL 2008-211§2(b).

7 The requirement for low density development to use vegetated conveyances is codified in SL 2006-246§9(c), SL 2008-211§2(b), 15A NCAC 02H .1006(2)(b) and .1007(1)(a). The Stormwater 8MP Manual is also referenced in 15A NCAC 028 .0265(3)(a) and .0277(4)(e).

8 85% TSS removal is required in SL 2006-246§9(d), SL 2008-211§2(b), 15A NCAC 02H .1006(2)(c), 15A NCAC 02H .1007(1)(a). The 30% TN and TP removal requirements for NSW waters are set forth in 15A NCAC 028 .0232, 15A NCAC 028 .0257(a)(1), 15A NCAC 028 .0265(3)(a) and 15A NCAC 028 .0277(4).

9 The Stormwater 8MP Manual is also referenced in 15A NCA028 .0265(3)(a) and .0277(4)(e).

10 The requirement for DWQ to ensure that water quality standards are protected before issuing a 401 certification is codified in 15A NCAC 02H .0506.

11 The LID Toolbox is also referenced in 15A NCAC 028 .0277(4)(g).

12 The term "public road project" is defined in15A NCAC 028 .0265(3)(a).

13 Conveys application procedure to streamline the permitting process.

14 Phased development is addressed as a "common plan of development" in 15A NCAC 02H .1003(3).

15 Procedures for modifying stormwater plans are set forth in 15A NCAC 02H .1011.

North Carolina Department of Environment and Natural Resources
Division of Water Quality

Beverly Eaves Perdue
Governor

Charles Wakild, P. E.
Director

Dee Freeman
Secretary

RECEIVED
NOV 15 2012
DIVISION ENGINEER ... DIVISION

November 15, 2012
DWQ# 12-1037
Anson County

Mr. Barry Moose
NCDOT, Division 10
716 W. Main St.
Albemarle, NC 28001

Subject: Bridge Replacement to Culvert, SR 1415 (Curlee Rd.)

APPROVAL of 401 Water Quality Certification with Additional Conditions

Dear Mr. Moose:

You have our approval, in accordance with the general certification and those conditions listed below, to impact 127 linear feet (lf) (permanent, 71 lf) of Cedar Branch to replace the bridge with two culverts in Anson County, per your application received by the Division of Water Quality (DWQ) on November 13, 2012. After reviewing your application, we have determined that this project is covered by Water Quality General Certification Number 3886. The General Certification corresponds with Nationwide Permit Number 14 of the U.S. Army Corps of Engineers.

The above noted Certification will expire when the associated 404 permit expires unless otherwise specified in the General Certification. This approval is only valid for the purpose and design that you described in your application (and conditions listed below). If you change your project, you must notify us in writing, and you may be required to submit a new application for certification. If the property is sold, the new owner must be given a copy of the Certification and approval letter; and is thereby responsible for complying with all conditions.

In addition to the requirements of the Certification, the following additional conditions apply:

1) Design and placement of culverts and other structures including temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands or streambeds or banks, adjacent to or upstream and downstream of the above structures. The applicant is required to provide evidence that the equilibrium is being maintained if requested in writing by DWQ. If this condition is unable to be met due to bedrock or other limiting features encountered during construction, please contact DWQ for guidance on how to proceed and to determine whether or not a permit modification will be required.

2) During construction, in addition to the proposed coir logs, the ditch lines shall be matted.

3) Rip rap shall be limited to the greatest extent practical in the construction of the flood plain bench at the inlet and outlet of the secondary culvert. If possible, depending on stream velocity/hydraulics, coir logs and heavy matting should be considered.

Mooresville Regional Office
Location: 610 East Center Avenue, Suite 301, Mooresville, NC 28115
Phone: (704) 663-1699\Fax: (704) 663-6040\ Customer Service: 1-877-623-6748
Internet: http://portal.ncdenr.org/web/wq

DIVISION CONTRACT
SPECIAL PROVISIONS--STRUCTURE

PRECAST REINFORCED CONCRETE 3-SIDED CULVERT AT STA. 12+33.22 -L- (17BP.10.R.32)

1.0 GENERAL

Where a precast reinforced concrete 3-sided culvert is required on the plans, design the precast culvert sections in accordance with AASHTO, the requirements of the Standard Specifications and the Special Provisions in this Contract.

The design of the precast members is the responsibility of the Contractor and is subject to review, comments and approval. Submit two sets of detailed plans for review. Include all details in the plans, including the size and spacing of the required reinforcement necessary to build the precast culvert. Include checked design calculations for the precast members complying with the latest AASHTO Standard Specifications and requirements detailed herein. Have a North Carolina Registered Professional Engineer check and seal the plans and design calculations. Specifications should include both the manufacturing and installation of three sided culvert. After the plans are reviewed and, if necessary, the corrections made, submit one set of reproducible tracings on 22" x 34" sheets to become the revised contract plans.

A mandatory pre-installation meeting is required prior to installation. Representatives from the Contractor, the precast culvert manufacturer, and the Department should attend this meeting. The precast culvert manufacturer representative shall be on site during installation.

2.0 PRECAST SECTIONS

A. Manufacture

Precast culverts may be manufactured by either the wet cast method or dry cast method.

1. Mixture-In addition to the requirements of Section 1077 of the Standard Specifications, do not proportion the mix with less than 564 lb/yd3(335 kg/m3) of Portland cement.

2. Handling- Handling devices or holes are permitted in each section for the purpose of handling and laying. Submit details of handling devices or holes for approval and do not cast any concrete until approval is granted. Remove all handling devices flush with concrete surfaces as directed. Fill holes in a neat workmanlike manner with an approved non-metallic non-shrink grout, concrete, or hole plug.

B. Joints

Produce the precast reinforced concrete culvert section with keyway joints. Design and form these ends of the culvert section so, when the sections are laid together, they make a continuous line of culvert sections with a smooth interior free of appreciable irregularities in the flowline. The keyway joints shall be grouted with a non-shrink, non- metallic grout or Class AA concrete. The material shall be shown on the shop drawings when they are submitted for review. The internal joint material shall be installed in accordance with the

manufacturers recommendations. Seal the external keyway joint with an outside sealer wrap that is at least 12 inches (300 mm) wide and covers the joint on both sides and the top of the section. Use ConWrap CS-212 from Concrete Sealants, Inc., EZ-Wrap from Press-Seal Gasket Corporation, Seal Wrap from Mar- Mac Manufacturing Co., Inc., Cadilloc External Pipe Joint from Cadilloc or an approved equal for the outside sealer wrap. If the outside sealer wrap is not applied in a continuous strip along the entire joint, a 12 inch (300 mm) minimum lap of the outside sealer wrap is permitted. Before placing the outside sealer wrap, clean and prime the area receiving the outside sealer wrap in accordance with the sealer wrap manufacturer recommendation. The joint wrap manufacturer installation recommendations shall be included with shop drawings submitted for review.

During the backfilling operation, care shall be taken to keep the joint wrap in its proper location over the joint

C. Installation

1. Lifting- It is the responsibility of the contractor to ensure that a crane of the correct lifting capacity is available to handle precast concrete units. Site conditions must be checked well in advance of shipping to ensure proper crane location and to avoid any lifting restrictions. The lift anchors or holes provided in each section are only means to lift the elements unless otherwise approved by manufacturer.

2. Construction Equipment Weight Restrictions- In no case shall equipment operating in excess of the design load be permitted over the culvert units unless otherwise approved by manufacturer.

3. Equipment Restrictions- No construction equipment shall cross the bare precast concrete unit. The contractor shall refer to the Manufacturers specifications for additional restrictions.

4. Backfill- No backfill shall be placed against any structural elements until they have been approved by the Engineer. Complete backfill in accordance with Section 414 of the Standard Specifications and Manufacturer's Specifications.

D. Design

Design for the foundation, headwalls, wingwalls, and wingwall footings shall be the responsibility of the Contractor. Foundations and footings shall be cast-in-place reinforced concrete. The design shall conform to the information shown on the plans, shall meet the three-sided culvert manufacturer's requirements, and be submitted to the Engineer for review.

E. Construction of Foundation

Foundation Excavation for precast culvert shall meet the requirements of Section 410 of the Standard Specifications.

The bridge units and wing walls shall be installed on cast in place concrete footings. The Contractor shall be responsible for the construction of the foundations per plans and specifications. The footings shall be given a smooth float finish and shall reach a compressive strength of 2,000 psi before placement of the precast elements. Backfilling

shall not begin until footing has reached full design compressive strength unless otherwise approved by the Engineer.

3.0 BASIS OF PAYMENT

Payment for Foundation Excavation will be paid for according to section 410 of the Standard Specifications.

Payment for Unclassified Structure Excavation will be paid for according to section 412 of Standard Specifications.

The Precast Reinforced Concrete Culvert as described on the plans and in this Special Provision will be paid for at the lump sum bid price the "Installation of Precast Reinforced Concrete 3-Sided Culvert". Such price and payment will be full compensation for all work covered by this Special Provision, the plans and applicable parts of the Standard Specifications and will include, but not limited to, furnishing all labor, materials(including filter fabric), equipment and other incidentals necessary to complete this work. Such price and payment will also be full compensation for concrete, reinforcing steel, labor, equipment and all other related materials necessary for the completion of the culvert section including foundations, headwalls, and wing walls including footings.

Payment will be made under:

Installation Precast Reinforced Concrete 3-Sided Culvert at Station 12+33.22 -L-	Lump Sum

FALSEWORK AND FORMWORK

1.0 DESCRIPTION

Use this Special Provision as a guide to develop temporary works submittals required by the Standard Specifications or other provisions; no additional submittals are required herein. Such temporary works include, but are not limited to, falsework and formwork.

Falsework is any temporary construction used to support the permanent structure until it becomes self-supporting. Formwork is the temporary structure or mold used to retain plastic or fluid concrete in its designated shape until it hardens. Access scaffolding is a temporary structure that functions as a work platform that supports construction personnel, materials, and tools, but is not intended to support the structure. Scaffolding systems that are used to temporarily support permanent structures (as opposed to functioning as work platforms) are considered to be falsework under the definitions given. Shoring is a component of falsework such as horizontal, vertical, or inclined support members. Where the term "temporary works" is used, it includes all of the temporary facilities used in structure construction that do not become part of the permanent structure.

Design and construct safe and adequate temporary works that will support all loads imposed and provide the necessary rigidity to achieve the lines and grades shown on the plans in the final structure.

2.0 MATERIALS

Select materials suitable for temporary works; however, select materials that also ensure the safety and quality required by the design assumptions. The Engineer has authority to reject material on the basis of its condition, inappropriate use, safety, or nonconformance with the plans. Clearly identify allowable loads or stresses for all materials or manufactured devices on the plans. Revise the plan and notify the Engineer if any change to materials or material strengths is required.

3.0 DESIGN REQUIREMENTS

A. Working Drawings

Provide working drawings for items as specified in the contract, or as required by the Engineer, with design calculations and supporting data in sufficient detail to permit a structural and safety review of the proposed design of the temporary work.

On the drawings, show all information necessary to allow the design of any component to be checked independently as determined by the Engineer.

When concrete placement is involved, include data such as the drawings of proposed sequence, rate of placement, direction of placement, and location of all construction joints. Submit the number of copies as called for by the contract.

When required, have the drawings and calculations prepared under the guidance of, and sealed by, a North Carolina Registered Professional Engineer who is knowledgeable in temporary works design.

Design falsework and formwork requiring submittals in accordance with the 1995 AASHTO *Guide Design Specifications for Bridge Temporary Works* except as noted herein.

1. Wind Loads

Table 2.2 of Article 2.2.5.1 is modified to include wind velocities up to 110 mph. In addition, Table 2.2A is included to provide the maximum wind speeds by county in North Carolina.

Table 2.2 - Wind Pressure Values

Height Zone feet above ground	Pressure, lb/ft^2 for Indicated Wind Velocity, mph				
	70	80	90	100	110
0 to 30	15	20	25	30	35
30 to 50	20	25	30	35	40
50 to 100	25	30	35	40	45
over 100	30	35	40	45	50

2. Time of Removal

The following requirements replace those of Article 3.4.8.2.

Do not remove forms until the concrete has attained strengths required in Article 420-16 of the Standard Specifications and these Special Provisions.

Do not remove forms until the concrete has sufficient strength to prevent damage to the surface

Table 2.2A - Steady State Maximum Wind Speeds by Counties in North Carolina

COUNTY	25 YR (mph)	COUNTY	25 YR (mph)	COUNTY	25 YR (mph)
Alamance	70	Franklin	70	Pamlico	100
Alexander	70	Gaston	70	Pasquotank	100
Alleghany	70	Gates	90	Pender	100
Anson	70	Graham	80	Perquimans	100
Ashe	70	Granville	70	Person	70
Avery	70	Greene	80	Pitt	90
Beaufort	100	Guilford	70	Polk	80
Bertie	90	Halifax	80	Randolph	70
Bladen	90	Harnett	70	Richmond	70
Brunswick	100	Haywood	80	Robeson	80
Buncombe	80	Henderson	80	Rockingham	70
Burke	70	Hertford	90	Rowan	70
Cabarrus	70	Hoke	70	Rutherford	70
Caldwell	70	Hyde	110	Sampson	90
Camden	100	Iredell	70	Scotland	70
Carteret	110	Jackson	80	Stanley	70
Caswell	70	Johnston	80	Stokes	70
Catawba	70	Jones	100	Surry	70
Cherokee	80	Lee	70	Swain	80
Chatham	70	Lenoir	90	Transylvania	80
Chowan	90	Lincoln	70	Tyrell	100
Clay	80	Macon	80	Union	70
Cleveland	70	Madison	80	Vance	70
Columbus	90	Martin	90	Wake	70
Craven	100	McDowell	70	Warren	70
Cumberland	80	Mecklenburg	70	Washington	100
Currituck	100	Mitchell	70	Watauga	70
Dare	110	Montgomery	70	Wayne	80
Davidson	70	Moore	70	Wilkes	70
Davie	70	Nash	80	Wilson	80
Duplin	90	New Hanover	100	Yadkin	70
Durham	70	Northampton	80	Yancey	70
Edgecombe	80	Onslow	100		
Forsyth	70	Orange	70		

B. Review and Approval

The Engineer is responsible for the review and approval of temporary works' drawings.

Submit the working drawings sufficiently in advance of proposed use to allow for their review, revision (if needed), and approval without delay to the work.

The time period for review of the working drawings does not begin until complete drawings and design calculations, when required, are received by the Engineer.

Do not start construction of any temporary work for which working drawings are required until the drawings have been approved. Such approval does not relieve the Contractor of the responsibility for the accuracy and adequacy of the working drawings.

4.0 CONSTRUCTION REQUIREMENTS

All requirements of Section 420 of the Standard Specifications apply.

Construct temporary works in conformance with the approved working drawings. Ensure that the quality of materials and workmanship employed is consistent with that assumed in the design of the temporary works. Do not weld falsework members to any portion of the permanent structure unless approved. Show any welding to the permanent structure on the approved construction drawings.

Provide tell-tales attached to the forms and extending to the ground, or other means, for accurate measurement of falsework settlement. Make sure that the anticipated compressive settlement and/or deflection of falsework does not exceed 1 inch. For cast-in-place concrete structures, make sure that the calculated deflection of falsework flexural members does not exceed 1/240 of their span regardless of whether or not the deflection is compensated by camber strips.

A. Maintenance and Inspection

Inspect and maintain the temporary work in an acceptable condition throughout the period of its use. Certify that the manufactured devices have been maintained in a condition to allow them to safely carry their rated loads. Clearly mark each piece so that its capacity can be readily determined at the job site.

Perform an in-depth inspection of an applicable portion(s) of the temporary works, in the presence of the Engineer, not more than 24 hours prior to the beginning of each concrete placement. Inspect other temporary works at least once a month to ensure that they are functioning properly. Have a North Carolina Registered Professional Engineer inspect the cofferdams, shoring, sheathing, support of excavation structures, and support systems for load tests prior to loading.

B. Foundations

Determine the safe bearing capacity of the foundation material on which the supports for temporary works rest. If required by the Engineer, conduct load tests to verify proposed bearing capacity values that are marginal or in other high-risk situations.

The use of the foundation support values shown on the contract plans of the permanent structure is permitted if the foundations are on the same level and on the same soil as those of the permanent structure.

Allow for adequate site drainage or soil protection to prevent soil saturation and washout of the soil supporting the temporary works supports.

If piles are used, the estimation of capacities and later confirmation during construction using standard procedures based on the driving characteristics of the pile is permitted. If preferred, use load tests to confirm the estimated capacities; or, if required by the Engineer conduct load tests to verify bearing capacity values that are marginal or in other high risk situations.

The Engineer reviews and approves the proposed pile and soil bearing capacities.

4.0 REMOVAL

Unless otherwise permitted, remove and keep all temporary works upon completion of the work. Do not disturb or otherwise damage the finished work.

Remove temporary works in conformance with the contract documents. Remove them in such a manner as to permit the structure to uniformly and gradually take the stresses due to its own weight.

5.0 METHOD OF MEASUREMENT

Unless otherwise specified, temporary works will not be directly measured.

6.0 BASIS OF PAYMENT

Payment at the contract unit prices for the various pay items requiring temporary works will be full compensation for the above falsework and formwork.

SUBMITTAL OF WORKING DRAWINGS

General

Submit working drawings in accordance with Article 105-2 of the *Standard Specifications* and this provision. For this provision, "submittals" refers to only those listed in this provision. The list of submittals contained herein does not represent a list of required submittals for the project. Submittals are only necessary for those items as required by the contract. Make submittals that are not specifically noted in this provision directly to the Resident Engineer. Either the Structure Design Unit or the Geotechnical Engineering Unit or both units will jointly review submittals.

If a submittal contains variations from plan details or specifications or significantly affects project cost, field construction or operations, discuss the submittal with and submit all copies to the Resident Engineer. State the reason for the proposed variation in the submittal. To minimize review time, make sure all submittals are complete when initially submitted. Provide a contact name and information with each submittal. Direct any questions regarding submittal requirements to the Resident Engineer, Structure Design Unit contacts or the Geotechnical Engineering Unit contacts noted below.

In order to facilitate in-plant inspection by NCDOT and approval of working drawings, provide the name, address and telephone number of the facility where fabrication will actually be done if different than shown on the title block of the submitted working drawings. This includes, but is not limited to, precast concrete items, prestressed concrete items and fabricated steel or aluminum items.

Addresses and Contacts

For submittals to the Structure Design Unit, use the following addresses:

Via US mail:

Mr. G. R. Perfetti, P. E.
State Structures Engineer
North Carolina Department
of Transportation
Structures Management Unit
1581 Mail Service Center
Raleigh, NC 27699-1581

Attention: Mr. P. D. Lambert, P. E.

Via other delivery service:

Mr. G. R. Perfetti, P. E.
State Structures Engineer
North Carolina Department
of Transportation
Structures Management Unit
1000 Birch Ridge Drive
Raleigh, NC 27610

Attention: Mr. P. D. Lambert, P. E.

Submittals may also be made via email.

Send submittals to:

plambert@ncdot.gov (Paul Lambert)

Send an additional e-copy of the submittal to the following address:

jgaither@ncdot.gov (James Gaither)

jlbolden@ncdot.gov (James Bolden)

For submittals to the Geotechnical Engineering Unit, use the following addresses:

For projects in Divisions 1-7, use the following Eastern Regional Office address:

Via US mail:
Mr. K. J. Kim, Ph. D., P. E.
Eastern Regional Geotechnical
Manager
North Carolina Department
of Transportation
Geotechnical Engineering Unit
Eastern Regional Office
1570 Mail Service Center
Raleigh, NC 27699-1570

Via other delivery service:
Mr. K. J. Kim, Ph. D., P. E.
Eastern Regional Geotechnical
Manager
North Carolina Department
of Transportation
Geotechnical Engineering Unit
Eastern Regional Office
3301 Jones Sausage Road, Suite 100
Garner, NC 27529

For projects in Divisions 8-14, use the following Western Regional Office address:

Via US mail:

Mr. John Pilipchuk, L. G., P. E.
Western Regional Geotechnical
Manager
North Carolina Department

Via other delivery service:

Mr. John Pilipchuk, L. G., P. E.
Western Region Geotechnical
Manager
North Carolina Department

of Transportation
Geotechnical Engineering Unit
Western Regional Office
5253 Z Max Boulevard
Harrisburg, NC 28075

of Transportation
Geotechnical Engineering Unit
Western Regional Office
5253 Z Max Boulevard
Harrisburg, NC 28075

The status of the review of structure-related submittals sent to the Structure Design Unit can be viewed from the Unit's web site, via the "Contractor Submittal" link.

Direct any questions concerning submittal review status, review comments or drawing markups to the following contacts:

Primary Structures Contact: Paul Lambert (919) 707 – 6407
(919) 250 – 4082 facsimile
plambert@ncdot.gov

Secondary Structures Contacts: James Gaither (919) 707 – 6409
James Bolden (919) 707 – 6408

Eastern Regional Geotechnical Contact (Divisions 1-7):
K. J. Kim (919) 662 – 4710
(919) 662 – 3095 facsimile
kkim@ncdot.gov

Western Regional Geotechnical Contact (Divisions 8-14):
John Pilipchuk (704) 455 – 8902
(704) 455 – 8912 facsimile
jpilipchuk@ncdot.gov

Submittal Copies

Furnish one complete copy of each submittal, including all attachments, to the Resident Engineer. At the same time, submit the number of hard copies shown below of the same complete submittal directly to the Structure Design Unit and/or the Geotechnical Engineering Unit.

The first table below covers "Structure Submittals". The Resident Engineer will receive review comments and drawing markups for these submittals from the Structure Design Unit. The second table in this section covers "Geotechnical Submittals". The Resident Engineer will receive review comments and drawing markups for these submittals from the Geotechnical Engineering Unit.

Unless otherwise required, submit one set of supporting calculations to either the Structure Design Unit or the Geotechnical Engineering Unit unless both units require submittal copies in which case submit a set of supporting calculations to each unit. Provide additional copies of any submittal as directed.

STRUCTURE SUBMITTALS

Submittal	Copies Required by Structure Design Unit	Copies Required by Geotechnical Engineering	Contract Reference Requiring Submittal [1]

	Unit		
Arch Culvert Falsework	5	0	Plan Note, SN Sheet & "Falsework and Formwork"
Box Culvert Falsework [7]	5	0	Plan Note, SN Sheet & "Falsework and Formwork"
Cofferdams	6	2	Article 410-4
Foam Joint Seals [6]	9	0	"Foam Joint Seals"
Expansion Joint Seals (hold down plate type with base angle)	9	0	"Expansion Joint Seals"
Expansion Joint Seals (modular)	2, then 9	0	"Modular Expansion Joint Seals"
Expansion Joint Seals (strip seals)	9	0	"Strip Seals"
Falsework & Forms [2] (substructure)	8	0	Article 420-3 & "Falsework and Formwork"
Falsework & Forms (superstructure)	8	0	Article 420-3 & "Falsework and Formwork"
Girder Erection over Railroad	5	0	Railroad Provisions
Maintenance and Protection of Traffic Beneath Proposed Structure	8	0	"Maintenance and Protection of Traffic Beneath Proposed Structure at Station ___"
Metal Bridge Railing	8	0	Plan Note
Metal Stay-in-Place Forms	8	0	Article 420-3
Metalwork for Elastomeric Bearings [4,5]	7	0	Article 1072-8
Miscellaneous Metalwork [4,5]	7	0	Article 1072-8
Optional Disc Bearings [4]	8	0	"Optional Disc Bearings"
Overhead and Digital Message Signs (DMS) (metalwork and foundations)	13	0	Applicable Provisions
Placement of Equipment on Structures (cranes, etc.)	7	0	Article 420-20
Pot Bearings [4]	8	0	"Pot Bearings"
Precast Concrete Box Culverts	2, then 1 reproducible	0	"Optional Precast Reinforced Concrete Box Culvert at Station ___"

Prestressed Concrete Cored Slab (detensioning sequences) [3]	6	0	Article 1078-11
Prestressed Concrete Deck Panels	6 and 1 reproducible	0	Article 420-3
Prestressed Concrete Girder (strand elongation and detensioning sequences)	6	0	Articles 1078-8 and 1078-11
Removal of Existing Structure over Railroad	5	0	Railroad Provisions
Revised Bridge Deck Plans (adaptation to prestressed deck panels)	2, then 1 reproducible	0	Article 420-3
Revised Bridge Deck Plans (adaptation to modular expansion joint seals)	2, then 1 reproducible	0	"Modular Expansion Joint Seals"
Sound Barrier Wall (precast items)	10	0	Article 1077-2 & "Sound Barrier Wall"
Sound Barrier Wall Steel Fabrication Plans [5]	7	0	Article 1072-8 & "Sound Barrier Wall"
Structural Steel [4]	2, then 7	0	Article 1072-8
Temporary Detour Structures	10	2	Article 400-3 & "Construction, Maintenance and Removal of Temporary Structure at Station ____"
TFE Expansion Bearings [4]	8	0	Article 1072-8

FOOTNOTES

1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Articles refer to the *Standard Specifications*.
2. Submittals for these items are necessary only when required by a note on plans.
3. Submittals for these items may not be required. A list of pre-approved sequences is available from the producer or the Materials & Tests Unit.
4. The fabricator may submit these items directly to the Structure Design Unit.
5. The two sets of preliminary submittals required by Article 1072-8 of the *Standard Specifications* are not required for these items.
6. Submittals for Fabrication Drawings are not required. Submittals for Catalogue Cuts of Proposed Material are required. See Section 5.A of the referenced provision.

7. Submittals are necessary only when the top slab thickness is 18” or greater.

GEOTECHNICAL SUBMITTALS

Submittal	Copies Required by Geotechnical Engineering Unit	Copies Required by Structure Design Unit	Contract Reference Requiring Submittal [1]
Drilled Pier Construction Plans [2]	1	0	Subarticle 411-3(A)
Crosshole Sonic Logging (CSL) Reports [2]	1	0	Subarticle 411-5(A)(2)
Pile Driving Equipment Data Forms [2,3]	1	0	Subarticle 450-3(D)(2)
Pile Driving Analyzer (PDA) Reports [2]	1	0	Subarticle 450-3(F)(3)
Retaining Walls [4]	8 drawings, 2 calculations	2 drawings	Applicable Provisions
Temporary Shoring [4]	5 drawings, 2 calculations	2 drawings	“Temporary Shoring” & “Temporary Soil Nail Walls”

FOOTNOTES

1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Subarticles refer to the *Standard Specifications*.

2. Submit one hard copy of submittal to the Resident or Structure Maintenance Engineer. Submit a second copy of submittal electronically (PDF via email) or by facsimile, US mail or other delivery service to the appropriate Geotechnical Engineering Unit regional office. Electronic submission is preferred.

3. The Pile Driving Equipment Data Form is available from:
   www.ncdot.org/doh/preconstruct/highway/geotech/formdet/
   See second page of form for submittal instructions.

4. Electronic copy of submittal is required. See referenced provision.

CRANE SAFETY

Comply with the manufacturer specifications and limitations applicable to the operation of any and all cranes and derricks. Prime contractors, sub-contractors, and fully operated rental companies shall comply with the current Occupational Safety and Health Administration regulations (OSHA).

Submit all items listed below to the Engineer prior to beginning crane operations involving critical lifts. A critical lift is defined as any lift that exceeds 75 percent of the manufacturer’s crane chart capacity for the radius at which the load will be lifted or requires the use of more than one crane.

Changes in personnel or equipment must be reported to the Engineer and all applicable items listed below must be updated and submitted prior to continuing with crane operations.

Crane Safety Submittal List

A. **Competent Person:** Provide the name and qualifications of the "Competent Person" responsible for crane safety and lifting operations. The named competent person will have the responsibility and authority to stop any work activity due to safety concerns.

B. **Riggers:** Provide the qualifications and experience of the persons responsible for rigging operations. Qualifications and experience should include, but not be limited to, weight calculations, center of gravity determinations, selection and inspection of sling and rigging equipment, and safe rigging practices.

C. **Crane Inspections:** Inspection records for all cranes shall be current and readily accessible for review upon request.

D. **Certifications: By July 1, 2006**, crane operators performing critical lifts shall be certified by NC CCO (National Commission for the Certification of Crane Operators), or satisfactorily complete the Carolinas AGC's Professional Crane Operator's Proficiency Program. Other approved nationally accredited programs will be considered upon request. All crane operators shall also have a current CDL medical card. Submit a list of anticipated critical lifts and corresponding crane operator(s). Include current certification for the type of crane operated (small hydraulic, large hydraulic, small lattice, large lattice) and medical evaluations for each operator.

GROUT FOR STRUCTURES

1.0 DESCRIPTION

This special provision addresses grout for use in pile blockouts, grout pockets, shear keys, dowel holes and recesses for structures. This provision does not apply to grout placed in post-tensioning ducts for structure beams, girders, or decks. Mix and place grout in accordance with the manufacturer's recommendations, the applicable sections of the Standard Specifications and this provision.

2.0 MATERIAL REQUIREMENTS

Use a Department approved pre-packaged, non-shrink, non-metallic grout. Contact the Materials and Tests Unit for a list of approved pre-packaged grouts and consult the manufacturer to determine if the pre-packaged grout selected is suitable for the required application.

When using an approved pre-packaged grout, a grout mix design submittal is not required.

The grout shall be free of soluble chlorides and contain less than one percent soluble sulfate. Supply water in compliance with Article 1024-4 of the Standard Specifications.

Aggregate may be added to the mix only where recommended or permitted by the manufacturer and Engineer. The quantity and gradation of the aggregate shall be in accordance with the manufacturer's recommendations.

Admixtures, if approved by the Department, shall be used in accordance with the manufacturer's recommendations. The manufacture date shall be clearly stamped on each container. Admixtures with an expired shelf life shall not be used.

The Engineer reserves the right to reject material based on unsatisfactory performance.

Initial setting time shall not be less than 10 minutes when tested in accordance with ASTM C266.

Test the expansion and shrinkage of the grout in accordance with ASTM C1090. The grout shall expand no more than 0.2% and shall exhibit no shrinkage. Furnish a Type 4 material certification showing results of tests conducted to determine the properties listed in the Standard Specifications and to assure the material is non-shrink.

Unless required elsewhere in the contract the compressive strength at 3 days shall be at least 5000 psi. Compressive strength in the laboratory shall be determined in accordance with ASTM C109 except the test mix shall contain only water and the dry manufactured material. Compressive strength in the field will be determined by molding and testing 4" x 8" cylinders in accordance with AASHTO T22. Construction loading and traffic loading shall not be allowed until the 3 day compressive strength is achieved.

When tested in accordance with ASTM C666, Procedure A, the durability factor of the grout shall not be less than 80.

3.0 SAMPLING AND PLACEMENT

Place and maintain components in final position until grout placement is complete and accepted. Concrete surfaces to receive grout shall be free of defective concrete, laitance, oil, grease and other foreign matter. Saturate concrete surfaces with clean water and remove excess water prior to placing grout.

Do not place grout if the grout temperature is less than 50°F or more than 90°F or if the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below 45°F.

Provide grout at a rate that permits proper handling, placing and finishing in accordance with the manufacturer's recommendations unless directed otherwise by the Engineer. Use grout free of any lumps and undispersed cement. Agitate grout continuously before placement.

Control grout delivery so the interval between placing batches in the same component does not exceed 20 minutes.

The Engineer will determine the locations to sample grout and the number and type of samples collected for field and laboratory testing. The compressive strength of the grout will be considered the average compressive strength test results of 3 cube or 2 cylinder specimens at 28 days.

4.0 BASIS OF PAYMENT

No separate payment will be made for “Grout for Structures”. The cost of the material, equipment, labor, placement, and any incidentals necessary to complete the work shall be considered incidental to the structure item requiring grout.

STATE	STATE PROJECT REFERENCE NO.	SHEET NO.	TOTAL SHEETS
N.C.	17BP.10.R.29	1	8

STATE OF NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT

STRUCTURE SUBSURFACE INVESTIGATION

PROJ. REFERENCE NO. 17BP.10.R.29 (17BP10R29) F.A. PROJ. _____

COUNTY ANSON

PROJECT DESCRIPTION REPLACE BRIDGE NO. 253 ON SR 1415 (BILL CURLEE RD.) OVER CEDAR BRANCH

SITE DESCRIPTION _____

CONTENTS

PERSONNEL

J.K. STICKNEY

M.L. SMITH

C.I. SMITH

INVESTIGATED BY J.E. BEVERLY

CHECKED BY C.B. LITTLE

SUBMITTED BY C.B. LITTLE

DATE NOVEMBER 2011

CAUTION NOTICE

THE SUBSURFACE INFORMATION AND THE SUBSURFACE INVESTIGATION ON WHICH IT IS BASED WERE MADE FOR THE PURPOSE OF STUDY, PLANNING, AND DESIGN, AND NOT FOR CONSTRUCTION OR PAY PURPOSES. THE VARIOUS FIELD BORING LOGS, ROCK CORES, AND SOIL TEST DATA AVAILABLE MAY BE REVIEWED OR INSPECTED IN RALEIGH BY CONTACTING THE N.C. DEPARTMENT OF TRANSPORTATION, GEOTECHNICAL ENGINEERING UNIT AT (919) 250-4088. NEITHER THE SUBSURFACE PLANS AND REPORTS, NOR THE FIELD BORING LOGS, ROCK CORES, OR SOIL TEST DATA ARE PART OF THE CONTRACT.

GENERAL SOIL AND ROCK STRATA DESCRIPTIONS AND INDICATED BOUNDARIES ARE BASED ON A GEOTECHNICAL INTERPRETATION OF ALL AVAILABLE SUBSURFACE DATA AND MAY NOT NECESSARILY REFLECT THE ACTUAL SUBSURFACE CONDITIONS BETWEEN BORINGS OR BETWEEN SAMPLED STRATA WITHIN THE BOREHOLE. THE LABORATORY SAMPLE DATA AND THE IN SITU (IN-PLACE) TEST DATA CAN BE RELIED ON ONLY TO THE DEGREE OF RELIABILITY INHERENT IN THE STANDARD TEST METHODS. THE OBSERVED WATER LEVELS OR SOIL MOISTURE CONDITIONS INDICATED IN THE SUBSURFACE INVESTIGATIONS ARE AS RECORDED AT THE TIME OF THE INVESTIGATION. THESE WATER LEVELS OR SOIL MOISTURE CONDITIONS MAY VARY CONSIDERABLY WITH TIME ACCORDING TO CLIMATIC CONDITIONS INCLUDING TEMPERATURES, PRECIPITATION, AND WIND, AS WELL AS OTHER NON-CLIMATIC FACTORS.

THE BIDDER OR CONTRACTOR IS CAUTIONED THAT DETAILS SHOWN ON THE SUBSURFACE PLANS ARE PRELIMINARY ONLY AND IN MANY CASES THE FINAL DESIGN DETAILS ARE DIFFERENT. FOR BIDDING AND CONSTRUCTION PURPOSES, REFER TO THE CONSTRUCTION PLANS AND DOCUMENTS FOR FINAL DESIGN INFORMATION ON THIS PROJECT. THE DEPARTMENT DOES NOT WARRANT OR GUARANTEE THE SUFFICIENCY OR ACCURACY OF THE INVESTIGATION MADE, NOR THE INTERPRETATIONS MADE, OR OPINION OF THE DEPARTMENT AS TO THE TYPE OF MATERIALS AND CONDITIONS TO BE ENCOUNTERED. THE BIDDER OR CONTRACTOR IS CAUTIONED TO MAKE SUCH INDEPENDENT SUBSURFACE INVESTIGATIONS AS HE DEEMS NECESSARY TO SATISFY HIMSELF AS TO CONDITIONS TO BE ENCOUNTERED ON THIS PROJECT. THE CONTRACTOR SHALL HAVE NO CLAIM FOR ADDITIONAL COMPENSATION OR FOR AN EXTENSION OF TIME FOR ANY REASON RESULTING FROM THE ACTUAL CONDITIONS ENCOUNTERED AT THE SITE DIFFERING FROM THOSE INDICATED IN THE SUBSURFACE INFORMATION.

NOTE - THE INFORMATION CONTAINED HEREIN IS NOT IMPLIED OR GUARANTEED BY THE N.C. DEPARTMENT OF TRANSPORTATION AS BEING ACCURATE NOR IT IS CONSIDERED TO BE PART OF THE PLANS, SPECIFICATIONS, OR CONTRACT FOR THE PROJECT.

NOTE - BY HAVING REQUESTED THIS INFORMATION THE CONTRACTOR SPECIFICALLY WAIVES ANY CLAIMS FOR INCREASED COMPENSATION OR EXTENSION OF TIME BASED ON DIFFERENCES BETWEEN THE CONDITIONS INDICATED HEREIN AND THE ACTUAL CONDITIONS AT THE PROJECT SITE.

NORTH CAROLINA LICENSED SEAL 1104 11-28-11 GEOLOGIST CLINTON B. LITTLE

DRAWN BY: J.K. McCLURE

PROJECT REFERENCE NO.	SHEET NO.
17BP.10.R.29 (17BP10R29)	2

NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT
SOIL AND ROCK LEGEND, TERMS, SYMBOLS, AND ABBREVIATIONS

SOIL DESCRIPTION

SOIL IS CONSIDERED TO BE THE UNCONSOLIDATED, SEMI-CONSOLIDATED, OR WEATHERED EARTH MATERIALS THAT CAN BE PENETRATED WITH A CONTINUOUS FLIGHT POWER AUGER, AND YIELD LESS THAN 100 BLOWS PER FOOT ACCORDING TO STANDARD PENETRATION TEST (AASHTO T206, ASTM D-1586). SOIL CLASSIFICATION IS BASED ON THE AASHTO SYSTEM. BASIC DESCRIPTIONS GENERALLY SHALL INCLUDE: CONSISTENCY, COLOR, TEXTURE, MOISTURE, AASHTO CLASSIFICATION, AND OTHER PERTINENT FACTORS SUCH AS MINERALOGICAL COMPOSITION, ANGULARITY, STRUCTURE, PLASTICITY, ETC. (EXAMPLE):

VERY STIFF, BROWN, SILTY CLAY, MOIST WITH INTERBEDDED FINE SAND LAYERS, HIGHLY PLASTIC, A-7-6

SOIL LEGEND AND AASHTO CLASSIFICATION

GENERAL CLASS.	GRANULAR MATERIALS (≤ 35% PASSING #200)						SILT-CLAY MATERIALS (> 35% PASSING #200)				ORGANIC MATERIALS		
GROUP CLASS.	A-1		A-3	A-2			A-4	A-5	A-6	A-7	A-1, A-2, A-3	A-4, A-5, A-6, A-7	
	A-1-a	A-1-b		A-2-4	A-2-5	A-2-6, A-2-7				A-7-5, A-7-6			
SYMBOL													
% PASSING #10	50 MX										GRANULAR SOILS	SILT-CLAY SOILS	MUCK, PEAT
#40	30 MX	50 MX	51 MN										
#200	15 MX	25 MX	10 MX	35 MX	35 MX	35 MX	36 MN	36 MN	36 MN	36 MN			
LIQUID LIMIT / PLASTIC INDEX	6 MX		NP	40 MX / 10 MX	41 MN / 10 MX	40 MX / 11 MN	40 MX / 10 MX	41 MN / 10 MX	40 MX / 11 MN	41 MN / 11 MN	SOILS WITH LITTLE OR MODERATE AMOUNTS OF ORGANIC MATTER		HIGHLY ORGANIC SOILS
GROUP INDEX	0		0	0		4 MX	8 MX	12 MX	16 MX	No MX			
USUAL TYPES OF MAJOR MATERIALS	STONE FRAGS. (GRAVEL) AND SAND		FINE SAND	SILTY OR CLAYEY GRAVEL AND SAND			SILTY SOILS		CLAYEY SOILS				
GEN. RATING AS A SUBGRADE	EXCELLENT TO GOOD						FAIR TO POOR				FAIR TO POOR	POOR	UNSUITABLE

PI OF A-7-5 SUBGROUP IS ≤ LL - 30 ; PI OF A-7-6 SUBGROUP IS > LL - 30

CONSISTENCY OR DENSENESS

PRIMARY SOIL TYPE	COMPACTNESS OR CONSISTENCY	RANGE OF STANDARD PENETRATION RESISTANCE (N-VALUE)	RANGE OF UNCONFINED COMPRESSIVE STRENGTH (Q_u) (TONS/FT²)
GENERALLY GRANULAR MATERIAL (NON-COHESIVE)	VERY LOOSE	<4	N/A
	LOOSE	4 TO 10	
	MEDIUM DENSE	10 TO 30	
	DENSE	30 TO 50	
	VERY DENSE	>50	
GENERALLY SILT-CLAY MATERIAL (COHESIVE)	VERY SOFT	<2	<0.25
	SOFT	2 TO 4	0.25 TO 0.50
	MEDIUM STIFF	4 TO 8	0.5 TO 1.0
	STIFF	8 TO 15	1 TO 2
	VERY STIFF	15 TO 30	2 TO 4
	HARD	>30	>4

TEXTURE OR GRAIN SIZE

U.S. STD. SIEVE SIZE	4	10	40	60	200	270
OPENING (MM)	4.76	2.00	0.42	0.25	0.075	0.053

BOULDER (BLDR.)	COBBLE (COB.)	GRAVEL (GR.)	COARSE SAND (CSE. SD.)	FINE SAND (F SD.)	SILT (SL.)	CLAY (CL.)

GRAIN SIZE	MM	305	75	2.0	0.25	0.05	0.005
	IN.	12	3				

SOIL MOISTURE - CORRELATION OF TERMS

SOIL MOISTURE SCALE (ATTERBERG LIMITS)	FIELD MOISTURE DESCRIPTION	GUIDE FOR FIELD MOISTURE DESCRIPTION
	- SATURATED - (SAT.)	USUALLY LIQUID; VERY WET, USUALLY FROM BELOW THE GROUND WATER TABLE
LL — LIQUID LIMIT	- WET - (W)	SEMISOLIDS; REQUIRES DRYING TO ATTAIN OPTIMUM MOISTURE
PLASTIC RANGE (PI)		
PL — PLASTIC LIMIT		
OM — OPTIMUM MOISTURE	- MOIST - (M)	SOLIDS; AT OR NEAR OPTIMUM MOISTURE
SL — SHRINKAGE LIMIT		
	- DRY - (D)	REQUIRES ADDITIONAL WATER TO ATTAIN OPTIMUM MOISTURE

PLASTICITY

	PLASTICITY INDEX (PI)	DRY STRENGTH
NONPLASTIC	0-5	VERY LOW
LOW PLASTICITY	6-15	SLIGHT
MED. PLASTICITY	16-25	MEDIUM
HIGH PLASTICITY	26 OR MORE	HIGH

COLOR

DESCRIPTIONS MAY INCLUDE COLOR OR COLOR COMBINATIONS (TAN, RED, YELLOW-BROWN, BLUE-GRAY). MODIFIERS SUCH AS LIGHT, DARK, STREAKED, ETC. ARE USED TO DESCRIBE APPEARANCE.

GRADATION

WELL GRADED - INDICATES A GOOD REPRESENTATION OF PARTICLE SIZES FROM FINE TO COARSE.
UNIFORM - INDICATES THAT SOIL PARTICLES ARE ALL APPROXIMATELY THE SAME SIZE. (ALSO POORLY GRADED)
GAP-GRADED - INDICATES A MIXTURE OF UNIFORM PARTICLES OF TWO OR MORE SIZES.

ANGULARITY OF GRAINS

THE ANGULARITY OR ROUNDNESS OF SOIL GRAINS IS DESIGNATED BY THE TERMS ANGULAR, SUBANGULAR, SUBROUNDED, OR ROUNDED.

MINERALOGICAL COMPOSITION

MINERAL NAMES SUCH AS QUARTZ, FELDSPAR, MICA, TALC, KAOLIN, ETC. ARE USED IN DESCRIPTIONS WHENEVER THEY ARE CONSIDERED OF SIGNIFICANCE.

COMPRESSIBILITY

SLIGHTLY COMPRESSIBLE	LIQUID LIMIT LESS THAN 31
MODERATELY COMPRESSIBLE	LIQUID LIMIT EQUAL TO 31-50
HIGHLY COMPRESSIBLE	LIQUID LIMIT GREATER THAN 50

PERCENTAGE OF MATERIAL

ORGANIC MATERIAL	GRANULAR SOILS	SILT - CLAY SOILS	OTHER MATERIAL	
TRACE OF ORGANIC MATTER	2 - 3%	3 - 5%	TRACE	1 - 10%
LITTLE ORGANIC MATTER	3 - 5%	5 - 12%	LITTLE	10 - 20%
MODERATELY ORGANIC	5 - 10%	12 - 20%	SOME	20 - 35%
HIGHLY ORGANIC	>10%	>20%	HIGHLY	35% AND ABOVE

GROUND WATER

- WATER LEVEL IN BORE HOLE IMMEDIATELY AFTER DRILLING
- STATIC WATER LEVEL AFTER 24 HOURS
- PW — PERCHED WATER, SATURATED ZONE, OR WATER BEARING STRATA
- SPRING OR SEEP

MISCELLANEOUS SYMBOLS

- ROADWAY EMBANKMENT (RE) WITH SOIL DESCRIPTION
- SOIL SYMBOL
- ARTIFICIAL FILL (AF) OTHER THAN ROADWAY EMBANKMENT
- INFERRED SOIL BOUNDARY
- INFERRED ROCK LINE
- ALLUVIAL SOIL BOUNDARY
- 25/605 — DIP & DIP DIRECTION OF ROCK STRUCTURES
- SPT / CPT / DMT / VST / PMT — TEST BORING
- AUGER BORING
- CORE BORING
- MW — MONITORING WELL
- PIEZOMETER INSTALLATION
- SLOPE INDICATOR INSTALLATION
- CONE PENETROMETER TEST
- SOUNDING ROD
- TEST BORING W/ CORE
- SPT N-VALUE
- (REF) — SPT REFUSAL

ABBREVIATIONS

AR - AUGER REFUSAL	MED. - MEDIUM	VST - VANE SHEAR TEST
BT - BORING TERMINATED	MICA. - MICACEOUS	WEA. - WEATHERED
CL. - CLAY	MOD. - MODERATELY	γ - UNIT WEIGHT
CPT - CONE PENETRATION TEST	NP - NON PLASTIC	γ_d - DRY UNIT WEIGHT
CSE. - COARSE	ORG. - ORGANIC	SAMPLE ABBREVIATIONS
DMT - DILATOMETER TEST	PMT - PRESSUREMETER TEST	S - BULK
DPT - DYNAMIC PENETRATION TEST	SAP. - SAPROLITIC	SS - SPLIT SPOON
e - VOID RATIO	SD. - SAND, SANDY	ST - SHELBY TUBE
F - FINE	SL. - SILT, SILTY	RS - ROCK
FOSS. - FOSSILIFEROUS	SLI. - SLIGHTLY	RT - RECOMPACTED TRIAXIAL
FRAC. - FRACTURED, FRACTURES	TCR - TRICONE REFUSAL	CBR - CALIFORNIA BEARING RATIO
FRAGS. - FRAGMENTS	w - MOISTURE CONTENT	
HI. - HIGHLY	V - VERY	

EQUIPMENT USED ON SUBJECT PROJECT

DRILL UNITS:
- [ ] MOBILE B-___
- [ ] BK-51
- [ ] CME-45C
- [X] CME-550
- [ ] PORTABLE HOIST
- [ ] _____
- [ ] _____

ADVANCING TOOLS:
- [ ] CLAY BITS
- [ ] 6" CONTINUOUS FLIGHT AUGER
- [X] 8" HOLLOW AUGERS
- [ ] HARD FACED FINGER BITS
- [X] TUNG.-CARBIDE INSERTS
- [ ] CASING [ ] W/ ADVANCER
- [ ] TRICONE _____" STEEL TEETH
- [ ] TRICONE _____" TUNG.-CARB.
- [ ] CORE BIT
- [ ] _____

HAMMER TYPE:
- [X] AUTOMATIC [ ] MANUAL

CORE SIZE:
- [ ] B ____
- [ ] N ____
- [ ] H ____

HAND TOOLS:
- [ ] POST HOLE DIGGER
- [ ] HAND AUGER
- [ ] SOUNDING ROD
- [ ] VANE SHEAR TEST
- [ ] _____

REVISED 05/23/09

PROJECT REFERENCE NO.	SHEET NO.
17BPJO.R.23	2

NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT
SOIL AND ROCK LEGEND, TERMS, SYMBOLS, AND ABBREVIATIONS

SOIL DESCRIPTION

SOIL IS CONSIDERED TO BE THE UNCONSOLIDATED, SEMI-CONSOLIDATED, OR WEATHERED EARTH MATERIALS THAT CAN BE PENETRATED WITH A CONTINUOUS FLIGHT POWER AUGER, AND YIELD LESS THAN 100 BLOWS PER FOOT ACCORDING TO STANDARD PENETRATION TEST (AASHTO T206, ASTM D-1586). SOIL CLASSIFICATION IS BASED ON THE AASHTO SYSTEM. BASIC DESCRIPTIONS GENERALLY SHALL INCLUDE: CONSISTENCY, COLOR, TEXTURE, MOISTURE, AASHTO CLASSIFICATION, AND OTHER PERTINENT FACTORS SUCH AS MINERALOGICAL COMPOSITION, ANGULARITY, STRUCTURE, PLASTICITY, ETC. EXAMPLE:

VERY STIFF, GRAY, SILTY CLAY, MOIST WITH INTERBEDDED FINE SAND LAYERS, HIGHLY PLASTIC, A-7-6

SOIL LEGEND AND AASHTO CLASSIFICATION

GENERAL CLASS.	GRANULAR MATERIALS (≤ 35% PASSING #200)						SILT-CLAY MATERIALS (> 35% PASSING #200)				ORGANIC MATERIALS		
GROUP CLASS.	A-1		A-3	A-2			A-4	A-5	A-6	A-7	A-1, A-2, A-3	A-4, A-5, A-6, A-7	
	A-1-a	A-1-b		A-2-4	A-2-5	A-2-6, A-2-7				A-7-5, A-7-6			
SYMBOL													
% PASSING #10	50 MX												
#40	30 MX	50 MX	51 MN										
#200	15 MX	25 MX	10 MX	35 MX	35 MX	35 MX	36 MN	36 MN	36 MN	36 MN			
LIQUID LIMIT	6 MX		NP	40 MX	41 MN	40 MX	41 MN	40 MX	41 MN	41 MN			
PLASTIC INDEX				10 MX	10 MX	11 MN	11 MN	10 MX	10 MX	11 MN			
GROUP INDEX	0		0	0		4 MX	8 MX	12 MX	16 MX	No MX			
USUAL TYPES OF MAJOR MATERIALS	STONE FRAGS. GRAVEL, AND SAND		FINE SAND	SILTY OR CLAYEY GRAVEL AND SAND			SILTY SOILS		CLAYEY SOILS		SOILS WITH LITTLE OR MODERATE AMOUNTS OF ORGANIC MATTER		HIGHLY ORGANIC SOILS
GENERAL RATING AS A SUBGRADE	EXCELLENT TO GOOD						FAIR TO POOR				FAIR TO POOR	POOR	UNSUITABLE

PI OF A-7-5 SUBGROUP IS ≤ LL - 30 ; PI OF A-7-6 SUBGROUP IS > LL - 30

CONSISTENCY OR DENSENESS

PRIMARY SOIL TYPE	COMPACTNESS OR CONSISTENCY	RANGE OF STANDARD PENETRATION RESISTENCE (N-VALUE)	RANGE OF UNCONFINED COMPRESSIVE STRENGTH (TONS/FT²)
GENERALLY GRANULAR MATERIAL (NON-COHESIVE)	VERY LOOSE	<4	N/A
	LOOSE	4 TO 10	
	MEDIUM DENSE	10 TO 30	
	DENSE	30 TO 50	
	VERY DENSE	>50	
GENERALLY SILT-CLAY MATERIAL (COHESIVE)	VERY SOFT	<2	<0.25
	SOFT	2 TO 4	0.25 TO 0.50
	MEDIUM STIFF	4 TO 8	0.5 TO 1.0
	STIFF	8 TO 15	1 TO 2
	VERY STIFF	15 TO 30	2 TO 4
	HARD	>30	>4

TEXTURE OR GRAIN SIZE

U.S. STD. SIEVE SIZE	4	10	40	60	200	270
OPENING (MM)	4.76	2.00	0.42	0.25	0.075	0.053

BOULDER (BLDR.)	COBBLE (COB.)	GRAVEL (GR.)	COARSE SAND (CSE. SD.)	FINE SAND (F SD.)	SILT (SL.)	CLAY (CL.)

GRAIN SIZE	MM	305	75	2.0	0.25	0.05	0.005
	IN.	12	3				

SOIL MOISTURE - CORRELATION OF TERMS

SOIL MOISTURE SCALE (ATTERBERG LIMITS)	FIELD MOISTURE DESCRIPTION	GUIDE FOR FIELD MOISTURE DESCRIPTION
	- SATURATED - (SAT.)	USUALLY LIQUID; VERY WET, USUALLY FROM BELOW THE GROUND WATER TABLE
LL - LIQUID LIMIT		
PLASTIC RANGE (PI)	- WET - (W)	SEMISOLID; REQUIRES DRYING TO ATTAIN OPTIMUM MOISTURE
PL - PLASTIC LIMIT		
OM - OPTIMUM MOISTURE	- MOIST - (M)	SOLID; AT OR NEAR OPTIMUM MOISTURE
SL - SHRINKAGE LIMIT		
	- DRY - (D)	REQUIRES ADDITIONAL WATER TO ATTAIN OPTIMUM MOISTURE

PLASTICITY

	PLASTICITY INDEX (PI)	DRY STRENGTH
NONPLASTIC	0-5	VERY LOW
LOW PLASTICITY	6-15	SLIGHT
MED. PLASTICITY	16-25	MEDIUM
HIGH PLASTICITY	26 OR MORE	HIGH

COLOR

DESCRIPTIONS MAY INCLUDE COLOR OR COLOR COMBINATIONS (TAN, RED, YELLOW-BROWN, BLUE-GRAY). MODIFIERS SUCH AS LIGHT, DARK, STREAKED, ETC. ARE USED TO DESCRIBE APPEARANCE.

GRADATION

WELL GRADED - INDICATES A GOOD REPRESENTATION OF PARTICLE SIZES FROM FINE TO COARSE.
UNIFORM - INDICATES THAT SOIL PARTICLES ARE ALL APPROXIMATELY THE SAME SIZE. (ALSO POORLY GRADED)
GAP-GRADED - INDICATES A MIXTURE OF UNIFORM PARTICLES OF TWO OR MORE SIZES.

ANGULARITY OF GRAINS

THE ANGULARITY OR ROUNDNESS OF SOIL GRAINS IS DESIGNATED BY THE TERMS ANGULAR, SUBANGULAR, SUBROUNDED, OR ROUNDED.

MINERALOGICAL COMPOSITION

MINERAL NAMES SUCH AS QUARTZ, FELDSPAR, MICA, TALC, KAOLIN, ETC. ARE USED IN DESCRIPTIONS WHENEVER THEY ARE CONSIDERED OF SIGNIFICANCE.

COMPRESSIBILITY

SLIGHTLY COMPRESSIBLE	LIQUID LIMIT LESS THAN 31
MODERATELY COMPRESSIBLE	LIQUID LIMIT EQUAL TO 31-50
HIGHLY COMPRESSIBLE	LIQUID LIMIT GREATER THAN 50

PERCENTAGE OF MATERIAL

ORGANIC MATERIAL	GRANULAR SOILS	SILT - CLAY SOILS
TRACE OF ORGANIC MATTER	2 - 3%	3 - 5%
LITTLE ORGANIC MATTER	3 - 5%	5 - 12%
MODERATELY ORGANIC	5 - 10%	12 - 20%
HIGHLY ORGANIC	>10%	>20%

OTHER MATERIAL	
TRACE	1 - 10%
LITTLE	10 - 20%
SOME	20 - 35%
HIGHLY	35% AND ABOVE

GROUND WATER

- WATER LEVEL IN BORE HOLE IMMEDIATELY AFTER DRILLING
- STATIC WATER LEVEL AFTER 24 HOURS
- PW - PERCHED WATER, SATURATED ZONE, OR WATER BEARING STRATA
- SPRING OR SEEP

MISCELLANEOUS SYMBOLS

- ROADWAY EMBANKMENT (RE) WITH SOIL DESCRIPTION
- SOIL SYMBOL
- ARTIFICIAL FILL (AF) (OTHER THAN ROADWAY EMBANKMENT)
- INFERRED SOIL BOUNDARY
- INFERRED ROCK LINE
- ALLUVIAL SOIL BOUNDARY
- 25/005 - DIP & DIP DIRECTION OF ROCK STRUCTURES
- SPT / PMT / VST / PMT - TEST BORING
- AUGER BORING
- CORE BORING
- MONITORING WELL
- PIEZOMETER INSTALLATION
- SLOPE INDICATOR INSTALLATION
- CONE PENETROMETER TEST
- SOUNDING ROD
- TEST BORING W/ CORE
- SPT N-VALUE
- WOR - SPT REFUSAL

ABBREVIATIONS

AR - AUGER REFUSAL	MED. - MEDIUM	VST - VANE SHEAR TEST
BT - BORING TERMINATED	MICA. - MICACEOUS	WEA. - WEATHERED
CL. - CLAY	MOD. - MODERATELY	γ_d - UNIT WEIGHT
CPT - CONE PENETRATION TEST	NP - NON PLASTIC	γ_w - DRY UNIT WEIGHT
CSE. - COARSE	ORG. - ORGANIC	
DMT - DILATOMETER TEST	PMT - PRESSUREMETER TEST	SAMPLE ABBREVIATIONS
DPT - DYNAMIC PENETRATION TEST	SAP. - SAPROLITIC	S - BULK
e - VOID RATIO	SD. - SAND, SANDY	SS - SPLIT SPOON
F - FINE	SL. - SILT, SILTY	ST - SHELBY TUBE
FOSS. - FOSSILIFEROUS	SLI. - SLIGHTLY	RS - ROCK
FRAC. - FRACTURED, FRACTURES	TCR - TRICONE REFUSAL	RT - RECOMPACTED TRIAXIAL
FRAGS. - FRAGMENTS	w - MOISTURE CONTENT	CBR - CALIFORNIA BEARING RATIO
HI. - HIGHLY	V - VERY	

EQUIPMENT USED ON SUBJECT PROJECT

DRILL UNITS:
- [ ] MOBILE B-___
- [ ] B-53
- [ ] CME-45C
- [X] CME-550
- [ ] PORTABLE HOIST
- [ ] _____
- [ ] _____

ADVANCING TOOLS:
- [ ] CLAY BITS
- [ ] 6" CONTINUOUS FLIGHT AUGER
- [ ] 8" HOLLOW AUGERS
- [ ] HARD FACED FINGER BITS
- [ ] TUNG.-CARBIDE INSERTS
- [X] CASING [ ] W/ ADVANCER
- [ ] TRICONE _____" STEEL TEETH
- [X] TRICONE 2 15/16" TUNG.-CARB.
- [ ] CORE BIT
- [ ] _____

HAMMER TYPE:
- [X] AUTOMATIC [ ] MANUAL

CORE SIZE:
- [ ] -B-_____
- [ ] -N-_____
- [ ] -H-_____

HAND TOOLS:
- [ ] POST HOLE DIGGER
- [ ] HAND AUGER
- [ ] SOUNDING ROD
- [ ] VANE SHEAR TEST
- [ ] _____

REVISED 08/23/09

PROJECT REFERENCE NO.	SHEET NO.
I7BP.10.R.29 (I7BP10R29)	2A

NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT
SOIL AND ROCK LEGEND, TERMS, SYMBOLS, AND ABBREVIATIONS

ROCK DESCRIPTION

HARD ROCK IS NON-COASTAL PLAIN MATERIAL THAT IF TESTED, WOULD YIELD SPT REFUSAL. AN INFERRED ROCK LINE INDICATES THE LEVEL AT WHICH NON-COASTAL PLAIN MATERIAL WOULD YIELD SPT REFUSAL. SPT REFUSAL IS PENETRATION BY A SPLIT SPOON SAMPLER EQUAL TO OR LESS THAN 0.1 FOOT PER 60 BLOWS. IN NON-COASTAL PLAIN MATERIAL, THE TRANSITION BETWEEN SOIL AND ROCK IS OFTEN REPRESENTED BY A ZONE OF WEATHERED ROCK.
ROCK MATERIALS ARE TYPICALLY DIVIDED AS FOLLOWS:

WEATHERED ROCK (WR)		NON-COASTAL PLAIN MATERIAL THAT WOULD YIELD SPT N VALUES > 100 BLOWS PER FOOT IF TESTED.
CRYSTALLINE ROCK (CR)		FINE TO COARSE GRAIN IGNEOUS AND METAMORPHIC ROCK THAT WOULD YIELD SPT REFUSAL IF TESTED. ROCK TYPE INCLUDES GRANITE, GNEISS, GABBRO, SCHIST, ETC.
NON-CRYSTALLINE ROCK (NCR)		FINE TO COARSE GRAIN METAMORPHIC AND NON-COASTAL PLAIN SEDIMENTARY ROCK THAT WOULD YIELD SPT REFUSAL IF TESTED. ROCK TYPE INCLUDES PHYLLITE, SLATE, SANDSTONE, ETC.
COASTAL PLAIN SEDIMENTARY ROCK (CP)		COASTAL PLAIN SEDIMENTS CEMENTED INTO ROCK, BUT MAY NOT YIELD SPT REFUSAL. ROCK TYPE INCLUDES LIMESTONE, SANDSTONE, CEMENTED SHELL BEDS, ETC.

WEATHERING

FRESH	ROCK FRESH, CRYSTALS BRIGHT, FEW JOINTS MAY SHOW SLIGHT STAINING. ROCK RINGS UNDER HAMMER IF CRYSTALLINE.
VERY SLIGHT (V SLI.)	ROCK GENERALLY FRESH, JOINTS STAINED, SOME JOINTS MAY SHOW THIN CLAY COATINGS IF OPEN, CRYSTALS ON A BROKEN SPECIMEN FACE SHINE BRIGHTLY. ROCK RINGS UNDER HAMMER BLOWS IF OF A CRYSTALLINE NATURE.
SLIGHT (SLI.)	ROCK GENERALLY FRESH, JOINTS STAINED AND DISCOLORATION EXTENDS INTO ROCK UP TO 1 INCH. OPEN JOINTS MAY CONTAIN CLAY. IN GRANITOID ROCKS SOME OCCASIONAL FELDSPAR CRYSTALS ARE DULL AND DISCOLORED. CRYSTALLINE ROCKS RING UNDER HAMMER BLOWS.
MODERATE (MOD.)	SIGNIFICANT PORTIONS OF ROCK SHOW DISCOLORATION AND WEATHERING EFFECTS. IN GRANITOID ROCKS, MOST FELDSPARS ARE DULL AND DISCOLORED, SOME SHOW CLAY. ROCK HAS DULL SOUND UNDER HAMMER BLOWS AND SHOWS SIGNIFICANT LOSS OF STRENGTH AS COMPARED WITH FRESH ROCK.
MODERATELY SEVERE (MOD. SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. IN GRANITOID ROCKS, ALL FELDSPARS DULL AND DISCOLORED AND A MAJORITY SHOW KAOLINIZATION. ROCK SHOWS SEVERE LOSS OF STRENGTH AND CAN BE EXCAVATED WITH A GEOLOGIST'S PICK. ROCK GIVES "CLUNK" SOUND WHEN STRUCK. *IF TESTED, WOULD YIELD SPT REFUSAL*
SEVERE (SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. ROCK FABRIC CLEAR AND EVIDENT BUT REDUCED IN STRENGTH TO STRONG SOIL. IN GRANITOID ROCKS ALL FELDSPARS ARE KAOLINIZED TO SOME EXTENT. SOME FRAGMENTS OF STRONG ROCK USUALLY REMAIN. *IF TESTED, YIELDS SPT N VALUES > 100 BPF*
VERY SEVERE (V SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. ROCK FABRIC ELEMENTS ARE DISCERNIBLE BUT THE MASS IS EFFECTIVELY REDUCED TO SOIL STATUS, WITH ONLY FRAGMENTS OF STRONG ROCK REMAINING. SAPROLITE IS AN EXAMPLE OF ROCK WEATHERED TO A DEGREE SUCH THAT ONLY MINOR VESTIGES OF THE ORIGINAL ROCK FABRIC REMAIN. *IF TESTED, YIELDS SPT N VALUES < 100 BPF*
COMPLETE	ROCK REDUCED TO SOIL. ROCK FABRIC NOT DISCERNIBLE, OR DISCERNIBLE ONLY IN SMALL AND SCATTERED CONCENTRATIONS. QUARTZ MAY BE PRESENT AS DIKES OR STRINGERS. SAPROLITE IS ALSO AN EXAMPLE.

ROCK HARDNESS

VERY HARD	CANNOT BE SCRATCHED BY KNIFE OR SHARP PICK. BREAKING OF HAND SPECIMENS REQUIRES SEVERAL HARD BLOWS OF THE GEOLOGIST'S PICK.
HARD	CAN BE SCRATCHED BY KNIFE OR PICK ONLY WITH DIFFICULTY. HARD HAMMER BLOWS REQUIRED TO DETACH HAND SPECIMEN.
MODERATELY HARD	CAN BE SCRATCHED BY KNIFE OR PICK. GOUGES OR GROOVES TO 0.25 INCHES DEEP CAN BE EXCAVATED BY HARD BLOW OF A GEOLOGIST'S PICK. HAND SPECIMENS CAN BE DETACHED BY MODERATE BLOWS.
MEDIUM HARD	CAN BE GROOVED OR GOUGED 0.05 INCHES DEEP BY FIRM PRESSURE OF KNIFE OR PICK POINT. CAN BE EXCAVATED IN SMALL CHIPS TO PIECES 1 INCH MAXIMUM SIZE BY HARD BLOWS OF THE POINT OF A GEOLOGIST'S PICK.
SOFT	CAN BE GROVED OR GOUGED READILY BY KNIFE OR PICK. CAN BE EXCAVATED IN FRAGMENTS FROM CHIPS TO SEVERAL INCHES IN SIZE BY MODERATE BLOWS OF A PICK POINT. SMALL, THIN PIECES CAN BE BROKEN BY FINGER PRESSURE.
VERY SOFT	CAN BE CARVED WITH KNIFE. CAN BE EXCAVATED READILY WITH POINT OF PICK. PIECES 1 INCH OR MORE IN THICKNESS CAN BE BROKEN BY FINGER PRESSURE. CAN BE SCRATCHED READILY BY FINGERNAIL.

FRACTURE SPACING

TERM	SPACING
VERY WIDE	MORE THAN 10 FEET
WIDE	3 TO 10 FEET
MODERATELY CLOSE	1 TO 3 FEET
CLOSE	0.16 TO 1 FEET
VERY CLOSE	LESS THAN 0.16 FEET

BEDDING

TERM	THICKNESS
VERY THICKLY BEDDED	> 4 FEET
THICKLY BEDDED	1.5 - 4 FEET
THINLY BEDDED	0.16 - 1.5 FEET
VERY THINLY BEDDED	0.03 - 0.16 FEET
THICKLY LAMINATED	0.008 - 0.03 FEET
THINLY LAMINATED	< 0.008 FEET

INDURATION

FOR SEDIMENTARY ROCKS, INDURATION IS THE HARDENING OF THE MATERIAL BY CEMENTING, HEAT, PRESSURE, ETC.

FRIABLE	RUBBING WITH FINGER FREES NUMEROUS GRAINS; GENTLE BLOW BY HAMMER DISINTEGRATES SAMPLE.
MODERATELY INDURATED	GRAINS CAN BE SEPARATED FROM SAMPLE WITH STEEL PROBE; BREAKS EASILY WHEN HIT WITH HAMMER.
INDURATED	GRAINS ARE DIFFICULT TO SEPARATE WITH STEEL PROBE; DIFFICULT TO BREAK WITH HAMMER.
EXTREMELY INDURATED	SHARP HAMMER BLOWS REQUIRED TO BREAK SAMPLE; SAMPLE BREAKS ACROSS GRAINS.

TERMS AND DEFINITIONS

ALLUVIUM (ALLUV.) - SOILS THAT HAVE BEEN TRANSPORTED BY WATER.

AQUIFER - A WATER BEARING FORMATION OR STRATA.

ARENACEOUS - APPLIED TO ROCKS THAT HAVE BEEN DERIVED FROM SAND OR THAT CONTAIN SAND.

ARGILLACEOUS - APPLIED TO ALL ROCKS OR SUBSTANCES COMPOSED OF CLAY MINERALS, OR HAVING A NOTABLE PROPORTION OF CLAY IN THEIR COMPOSITION, AS SHALE, SLATE, ETC.

ARTESIAN - GROUND WATER THAT IS UNDER SUFFICIENT PRESSURE TO RISE ABOVE THE LEVEL AT WHICH IT IS ENCOUNTERED, BUT WHICH DOES NOT NECESSARILY RISE TO OR ABOVE THE GROUND SURFACE.

CALCAREOUS (CALC.) - SOILS THAT CONTAIN APPRECIABLE AMOUNTS OF CALCIUM CARBONATE.

COLLUVIUM - ROCK FRAGMENTS MIXED WITH SOIL DEPOSITED BY GRAVITY ON SLOPE OR AT BOTTOM OF SLOPE.

CORE RECOVERY (REC.) - TOTAL LENGTH OF ALL MATERIAL RECOVERED IN THE CORE BARREL DIVIDED BY TOTAL LENGTH OF CORE RUN AND EXPRESSED AS A PERCENTAGE.

DIKE - A TABULAR BODY OF IGNEOUS ROCK THAT CUTS ACROSS THE STRUCTURE OF ADJACENT ROCKS OR CUTS MASSIVE ROCK.

DIP - THE ANGLE AT WHICH A STRATUM OR ANY PLANAR FEATURE IS INCLINED FROM THE HORIZONTAL.

DIP DIRECTION (DIP AZIMUTH) - THE DIRECTION OR BEARING OF THE HORIZONTAL TRACE OF THE LINE OF DIP, MEASURED CLOCKWISE FROM NORTH.

FAULT - A FRACTURE OR FRACTURE ZONE ALONG WHICH THERE HAS BEEN DISPLACEMENT OF THE SIDES RELATIVE TO ONE ANOTHER PARALLEL TO THE FRACTURE.

FISSILE - A PROPERTY OF SPLITTING ALONG CLOSELY SPACED PARALLEL PLANES.

FLOAT - ROCK FRAGMENTS ON SURFACE NEAR THEIR ORIGINAL POSITION AND DISLODGED FROM PARENT MATERIAL.

FLOOD PLAIN (FP) - LAND BORDERING A STREAM, BUILT OF SEDIMENTS DEPOSITED BY THE STREAM.

FORMATION (FM.) - A MAPPABLE GEOLOGIC UNIT THAT CAN BE RECOGNIZED AND TRACED IN THE FIELD.

JOINT - FRACTURE IN ROCK ALONG WHICH NO APPRECIABLE MOVEMENT HAS OCCURRED.

LEDGE - A SHELF-LIKE RIDGE OR PROJECTION OF ROCK WHOSE THICKNESS IS SMALL COMPARED TO ITS LATERAL EXTENT.

LENS - A BODY OF SOIL OR ROCK THAT THINS OUT IN ONE OR MORE DIRECTIONS.

MOTTLED (MOT.) - IRREGULARLY MARKED WITH SPOTS OF DIFFERENT COLORS. MOTTLING IN SOILS USUALLY INDICATES POOR AERATION AND LACK OF GOOD DRAINAGE.

PERCHED WATER - WATER MAINTAINED ABOVE THE NORMAL GROUND WATER LEVEL BY THE PRESENCE OF AN INTERVENING IMPERVIOUS STRATUM.

RESIDUAL (RES.) SOIL - SOIL FORMED IN PLACE BY THE WEATHERING OF ROCK.

ROCK QUALITY DESIGNATION (RQD) - A MEASURE OF ROCK QUALITY DESCRIBED BY TOTAL LENGTH OF ROCK SEGMENTS EQUAL TO OR GREATER THAN 4 INCHES DIVIDED BY THE TOTAL LENGTH OF CORE RUN AND EXPRESSED AS A PERCENTAGE.

SAPROLITE (SAP.) - RESIDUAL SOIL THAT RETAINS THE RELIC STRUCTURE OR FABRIC OF THE PARENT ROCK.

SILL - AN INTRUSIVE BODY OF IGNEOUS ROCK OF APPROXIMATELY UNIFORM THICKNESS AND RELATIVELY THIN COMPARED WITH ITS LATERAL EXTENT, THAT HAS BEEN EMPLACED PARALLEL TO THE BEDDING OR SCHISTOSITY OF THE INTRUDED ROCKS.

SLICKENSIDE - POLISHED AND STRIATED SURFACE THAT RESULTS FROM FRICTION ALONG A FAULT OR SLIP PLANE.

STANDARD PENETRATION TEST (PENETRATION RESISTANCE) (SPT) - NUMBER OF BLOWS (N OR BPF) OF A 140 LB. HAMMER FALLING 30 INCHES REQUIRED TO PRODUCE A PENETRATION OF 1 FOOT INTO SOIL WITH A 2 INCH OUTSIDE DIAMETER SPLIT SPOON SAMPLER. SPT REFUSAL IS PENETRATION EQUAL TO OR LESS THAN 0.1 FOOT PER 60 BLOWS.

STRATA CORE RECOVERY (SREC.) - TOTAL LENGTH OF STRATA MATERIAL RECOVERED DIVIDED BY TOTAL LENGTH OF STRATUM AND EXPRESSED AS A PERCENTAGE.

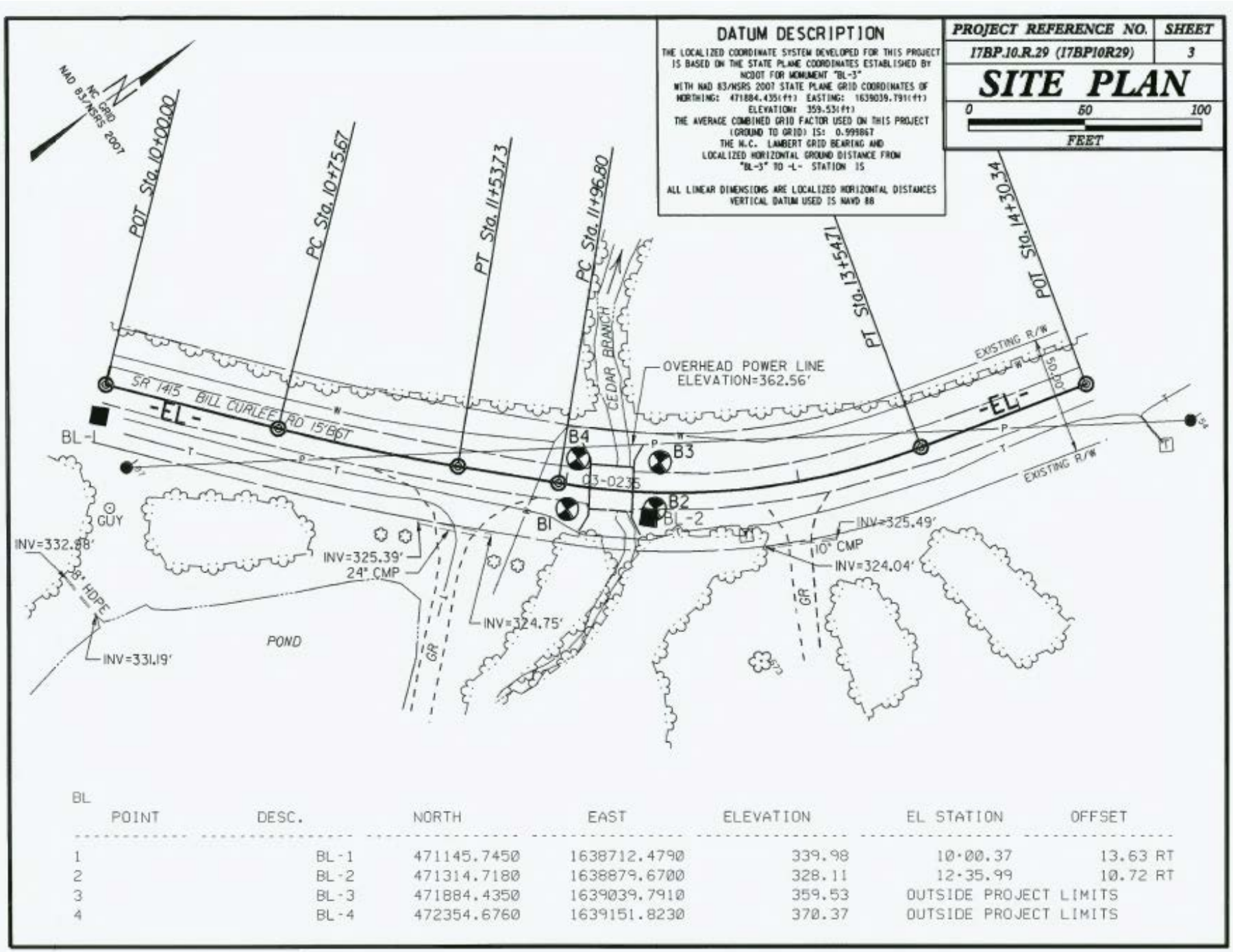
STRATA ROCK QUALITY DESIGNATION (SRQD) - A MEASURE OF ROCK QUALITY DESCRIBED BY TOTAL LENGTH OF ROCK SEGMENTS WITHIN A STRATUM EQUAL TO OR GREATER THAN 4 INCHES DIVIDED BY THE TOTAL LENGTH OF STRATA AND EXPRESSED AS A PERCENTAGE.

TOPSOIL (TS.) - SURFACE SOILS USUALLY CONTAINING ORGANIC MATTER.

BENCH MARK: BL-2
STA. 12+35.99 -EL- 10.72 RT.
N 471314.7180 E 1638879.6700 ELEVATION: 328.1 FT.

NOTES:

REVISED 09/23/09



BL

POINT	DESC.	NORTH	EAST	ELEVATION	EL STATION	OFFSET
1	BL-1	471145.7450	1638712.4790	339.98	10+00.37	13.63 RT
2	BL-2	471314.7180	1638879.6700	328.11	12+35.99	10.72 RT
3	BL-3	471884.4350	1639039.7910	359.53	OUTSIDE PROJECT LIMITS	
4	BL-4	472354.6760	1639151.8230	370.37	OUTSIDE PROJECT LIMITS	

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 17BP.10.R.29	**TIP** 17BP10R29	**COUNTY** ANSON	**GEOLOGIST** Stickney, J. K.	
SITE DESCRIPTION REPLACE BRIDGE NO. 253 ON SR 1415 (BILL CURLEE RD.) OVER CEDAR BRANCH				**GROUND WTR (ft)**
BORING NO. B1	**STATION** N/A	**OFFSET** N/A	**ALIGNMENT** -EL-	**0 HR.** Dry
COLLAR ELEV. 328.0 ft	**TOTAL DEPTH** 8.7 ft	**NORTHING** 471,288	**EASTING** 1,638,857	**24 HR.** NM
DRILL RIG/HAMMER EFF./DATE HFC0072 CME-550 89% 09/02/2009		**DRILL METHOD** H.S. Augers		**HAMMER TYPE** Automatic
DRILLER Smith, M. L.	**START DATE** 09/08/11	**COMP. DATE** 09/08/11	**SURFACE WATER DEPTH** N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION ELEV. (ft)	DEPTH (ft)
330										328.0 GROUND SURFACE	0.0
325	324.8	3.2	5	6	7	13		M		ROADWAY EMBANKMENT TAN-ORANGE LOOSE MOIST SILTY SAND 325.0	3.0
										RESIDUAL TAN-BRN STIFF MOIST SANDY SILTY CLAY 320.7	7.3
320	319.8	8.2	100/0.4			100/0.4		D		WEATHERED ROCK 319.3 SEV. WEATH. NON-CRYSTALLINE ROCK (ARGILLITE)	8.7
										Boring Terminated BY AUGER REFUSAL at Elevation 319.3 ft ON NON-CRYSTALLINE ROCK (ARGILLITE)	

NCDOT BORE SINGLE 00468(00_GEO_BH_BRDG0233_ANSON.GPJ NC_DOT.GDT 11/21/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 17BP.10.R.29	**TIP** 17BP10R29	**COUNTY** ANSON	**GEOLOGIST** Stickney, J. K.
SITE DESCRIPTION REPLACE BRIDGE NO. 253 ON SR 1415 (BILL CURLEE RD.) OVER CEDAR BRANCH			**GROUND WTR (ft)**
BORING NO. B2	**STATION** N/A	**OFFSET** N/A	**ALIGNMENT** -EL-	**0 HR.** 8.6
COLLAR ELEV. 328.4 ft	**TOTAL DEPTH** 12.4 ft	**NORTHING** 471,319	**EASTING** 1,638,878	**24 HR.** NM
DRILL RIG/HAMMER EFF./DATE HFC0072 CME-550 89% 09/02/2009		**DRILL METHOD** H.S. Augers	**HAMMER TYPE** Automatic
DRILLER Smith, M. L.	**START DATE** 09/08/11	**COMP. DATE** 09/08/11	**SURFACE WATER DEPTH** N/A

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION	ELEV. (ft)	DEPTH (ft)
330												
										GROUND SURFACE	328.4	0.0
										ROADWAY EMBANKMENT TAN-ORANGE LOOSE MOIST SILTY SAND	325.4	3.0
325	324.9	3.5	1	2	3	5		M		**ROADWAY EMBANKMENT** TAN-BRN-RED MED. STIFF MOIST SILTY CLAY	323.4	5.0
										RESIDUAL TAN-BRN-RED MED. STIFF MOIST SILTY CLAY	320.9	7.5
320	319.9	8.5	40	60/0.2		100/0.7				**WEATHERED ROCK** BRN-GRAY SEV. WEATH. MUD / SILTSTONE & ARGILLITE	316.0	12.4
										Boring Terminated BY AUGER REFUSAL at Elevation 316.0 ft ON NON-CRYSTALLINE ROCK (ARGILLITE)		

NCDOT BORE SINGLE 0948005 GEO_BH_BRIDGE253_ANSON.GPJ_NC_DOT.GDT 11/21/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 17BP.10.R.29	**TIP** 17BP10R29	**COUNTY** ANSON	**GEOLOGIST** Stickney, J. K.
SITE DESCRIPTION REPLACE BRIDGE NO. 253 ON SR 1415 (BILL CURLEE RD.) OVER CEDAR BRANCH			**GROUND WTR (ft)**
BORING NO. B3	**STATION** N/A	**OFFSET** N/A	**ALIGNMENT** -EL-	**0 HR.** Dry
COLLAR ELEV. 327.8 ft	**TOTAL DEPTH** 17.4 ft	**NORTHING** 471,332	**EASTING** 1,638,863	**24 HR.** NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		**DRILL METHOD** H.S. Augers	**HAMMER TYPE** Automatic
DRILLER Smith, M. L.	**START DATE** 09/08/11	**COMP. DATE** 09/08/11	**SURFACE WATER DEPTH** N/A

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION
										ELEV. (ft) DEPTH (ft)
330										
										327.8 GROUND SURFACE 0.0
325	324.6	3.2	7	5	4	9		M		ROADWAY EMBANKMENT TAN-ORANGE LOOSE MOIST SILTY SAND 324.8 3.0
										ROADWAY EMBANKMENT TAN-BRN-RED STIFF MOIST SILTY CLAY 323.6 4.2
320	319.6	8.2	15	65	35/0.1	100/0.6		D		RESIDUAL TAN-BRN-GRAY MED. STIFF TO V. STIFF MOIST TO DRY SANDY SILTY CLAY 319.1 8.7
315	314.6	13.2	22	54	46/0.4	100/0.9		MW		WEATHERED ROCK BRN-GRAY DRY TO WET SEV. WEATH. NON-CRYSTALLINE ROCK 310.4 17.4
										Boring Terminated BY AUGER REFUSAL at Elevation 310.4 ft ON NON-CRYSTALLINE ROCK (ARGILLITE)

NCDOT BORE SINGLE 6048030 GEO_BH_BRDG0253_ANSON.GPJ NC_DOT.GDT 11/1/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 17BP.10.R.29	**TIP** 17BP10R29	**COUNTY** ANSON	**GEOLOGIST** Stickney, J. K.	
SITE DESCRIPTION REPLACE BRIDGE NO. 253 ON SR 1415 (BILL CURLEE RD.) OVER CEDAR BRANCH				**GROUND WTR (ft)**
BORING NO. B4	**STATION** N/A	**OFFSET** N/A	**ALIGNMENT** -EL-	**0 HR.** Dry
COLLAR ELEV. 328.1 ft	**TOTAL DEPTH** 8.3 ft	**NORTHING** 471,304	**EASTING** 1,638,842	**24 HR.** NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		**DRILL METHOD** H.S. Augers		**HAMMER TYPE** Automatic
DRILLER Smith, M. L.	**START DATE** 09/08/11	**COMP. DATE** 09/08/11	**SURFACE WATER DEPTH** N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION
330										328.1 GROUND SURFACE 0.0
325	324.6	3.5	2	2	5			M		ROADWAY EMBANKMENT TAN-ORANGE LOOSE MOIST SILTY SAND 324.6 3.5
										ROADWAY EMBANKMENT TAN-BRN-RED SOFT TO MED. STIFF MOIST SILTY CLAY 323.6 4.5
320										RESIDUAL TAN-BRN MED. STIFF MOIST SANDY SILTY CLAY 320.6 7.5 319.8 8.3
										WEATHERED ROCK SEV. WEATH. NON-CRYSTALLINE ROCK
										Boring Terminated BY AUGER REFUSAL at Elevation 319.8 ft ON NON-CRYSTALLINE ROCK (ARGILLITE)

NCDOT BORE SINGLE 004a020 GEO_BH_BRDG0253_ANSON.GPJ NC_DOT.GDT 11/1/11


DATUM DESCRIPTION
THE LOCALIZED COORDINATE SYSTEM DEVELOPED FOR THIS PROJECT IS BASED ON THE STATE PLANE COORDINATES ESTABLISHED BY NCDOT FOR MONUMENT "BL-3"
WITH NAD 83/NSRS 2007 STATE PLANE GRID COORDINATES OF NORTHING: 471884.4350(FT) EASTING: 1639039.7910(FT)
ELEVATION: 359.53(GVD88)
THE AVERAGE COMBINED GRID FACTOR USED ON THIS PROJECT (GROUND TO GRID) IS: 0.999867
THE N.C. LAMBERT GRID BEARING AND LOCALIZED HORIZONTAL GROUND DISTANCE [redacted] "BL-3" RP -L- STATION 15.
ALL LINEAR DIMENSIONS ARE LOCALIZED HORIZONTAL DISTANCES
VERTICAL DATUM USED IS NAVD 88
PROJECT REFERENCE NO.
17BP.10.R.29 (17BP10R29)
SHEET
8
AERIAL PHOTO
0
50
100
FEET
NC GRID NAD 83/NSRS 2007
SR 1415 BILL CURLEE RD 15'BST
CEDAR BRANCH
BL-1
BL-2
POND
BL
POINT
DESC.
NORTH
EAST
ELEVATION
EL STATION
OFFSET
1 BL-1 471145.7450 1638712.4790 339.98 10+00.37 13.63 RT
2 BL-2 471314.7180 1638879.6700 328.11 12+35.99 10.72 RT
3 BL-3 471884.4350 1639039.7910 359.53 OUTSIDE PROJECT LIMITS
4 BL-4 472354.6760 1639151.8230 370.37 OUTSIDE PROJECT LIMITS

The following Structure Subsurface Investigation for Structure #310 over Caudle Branch references a temporary Benchmark. The location and elevation of the temporary benchmark is equivalent to Sta. 13+85.22 –L-, 31.36' LT. EL. 458.01'.

17BP.10.L.32

STATE	STATE PROJECT REFERENCE NO.	SHEET NO.	TOTAL SHEETS
N.C.	10B.200411 (MAINT)	1	10

STATE OF NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT

STRUCTURE SUBSURFACE INVESTIGATION

PROJ. REFERENCE NO. 10B.200411 (MAINT) F.A. PROJ. _____

COUNTY ANSON

PROJECT DESCRIPTION CROWNSPAN #310 AT STA. 10+11 –L– ON SR 1410 (PHIFER RD.) OVER CAUDLE CREEK

SITE DESCRIPTION _____

CONTENTS

PERSONNEL

J.K. STICKNEY

M.L. SMITH

C.L. SMITH

INVESTIGATED BY J.E. BEVERLY

CHECKED BY C.B. LITTLE

SUBMITTED BY C.B. LITTLE

DATE FEBRUARY 2011

CAUTION NOTICE

THE SUBSURFACE INFORMATION AND THE SUBSURFACE INVESTIGATION ON WHICH IT IS BASED WERE MADE FOR THE PURPOSE OF STUDY, PLANNING, AND DESIGN AND NOT FOR CONSTRUCTION OR PAY PURPOSES. THE VARIOUS FIELD BORING LOGS, ROCK CORES, AND SOIL TEST DATA AVAILABLE MAY BE REVIEWED OR INSPECTED IN RALEIGH BY CONTACTING THE N.C. DEPARTMENT OF TRANSPORTATION, GEOTECHNICAL ENGINEERING UNIT AT (919) 250-4088. NEITHER THE SUBSURFACE PLANS AND REPORTS, NOR THE FIELD BORING LOGS, ROCK CORES, OR SOIL TEST DATA ARE PART OF THE CONTRACT.

GENERAL SOIL AND ROCK STRATA DESCRIPTIONS AND INDICATED BOUNDARIES ARE BASED ON A GEOTECHNICAL INTERPRETATION OF ALL AVAILABLE SUBSURFACE DATA AND MAY NOT NECESSARILY REFLECT THE ACTUAL SUBSURFACE CONDITIONS BETWEEN BORINGS OR BETWEEN SAMPLED STRATA WITHIN THE BOREHOLE. THE LABORATORY SAMPLE DATA AND THE IN SITU (IN-PLACE) TEST DATA CAN BE RELIED ON ONLY TO THE DEGREE OF RELIABILITY INHERENT IN THE STANDARD TEST METHOD. THE OBSERVED WATER LEVELS OR SOIL MOISTURE CONDITIONS INDICATED IN THE SUBSURFACE INVESTIGATION(S) ARE AS RECORDED AT THE TIME OF THE INVESTIGATION. THESE WATER LEVELS OR SOIL MOISTURE CONDITIONS MAY VARY CONSIDERABLY WITH TIME ACCORDING TO CLIMATIC CONDITIONS INCLUDING TEMPERATURES, PRECIPITATION, AND WIND, AS WELL AS OTHER NON-CLIMATIC FACTORS.

THE BIDDER OR CONTRACTOR IS CAUTIONED THAT DETAILS SHOWN ON THE SUBSURFACE PLANS ARE PRELIMINARY ONLY AND IN MANY CASES THE FINAL DESIGN DETAILS ARE DIFFERENT. FOR BIDDING AND CONSTRUCTION PURPOSES, REFER TO THE CONSTRUCTION PLANS AND DOCUMENTS FOR FINAL DESIGN INFORMATION ON THIS PROJECT. THE DEPARTMENT DOES NOT WARRANT OR GUARANTEE THE SUFFICIENCY OR ACCURACY OF THE INVESTIGATION MADE, NOR THE INTERPRETATIONS MADE, OR OPINION OF THE DEPARTMENT AS TO THE TYPE OF MATERIALS AND CONDITIONS TO BE ENCOUNTERED. THE BIDDER OR CONTRACTOR IS CAUTIONED TO MAKE SUCH INDEPENDENT SUBSURFACE INVESTIGATIONS AS HE DEEMS NECESSARY TO SATISFY HIMSELF AS TO CONDITIONS TO BE ENCOUNTERED ON THIS PROJECT. THE CONTRACTOR SHALL HAVE NO CLAIM FOR ADDITIONAL COMPENSATION OR FOR AN EXTENSION OF TIME FOR ANY REASON RESULTING FROM THE ACTUAL CONDITIONS ENCOUNTERED AT THE SITE DIFFERING FROM THOSE INDICATED IN THE SUBSURFACE INFORMATION.

NOTE - THE INFORMATION CONTAINED HEREIN IS NOT IMPLIED OR GUARANTEED BY THE N.C. DEPARTMENT OF TRANSPORTATION AS BEING ACCURATE NOR IT IS CONSIDERED TO BE PART OF THE PLANS, SPECIFICATIONS, OR CONTRACT FOR THE PROJECT.

NOTE - BY HAVING REQUESTED THIS INFORMATION THE CONTRACTOR SPECIFICALLY WAIVES ANY CLAIMS FOR INCREASED COMPENSATION OR EXTENSION OF TIME BASED ON DIFFERENCES BETWEEN THE CONDITIONS INDICATED HEREIN AND THE ACTUAL CONDITIONS AT THE PROJECT SITE.

NORTH CAROLINA LICENSED SEAL 1104 GEOLOGIST CLINTON B. LITTLE

DRAWN BY: J.K. McCLURE

PROJECT REFERENCE NO.	SHEET NO.
108.2004II (MAINT)	2

NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT
SOIL AND ROCK LEGEND, TERMS, SYMBOLS, AND ABBREVIATIONS

SOIL DESCRIPTION

SOIL IS CONSIDERED TO BE THE UNCONSOLIDATED, SEMI-CONSOLIDATED, OR WEATHERED EARTH MATERIALS THAT CAN BE PENETRATED WITH A CONTINUOUS FLIGHT POWER AUGER, AND YIELD LESS THAN 100 BLOWS PER FOOT ACCORDING TO STANDARD PENETRATION TEST (AASHTO T206, ASTM D-1586). SOIL CLASSIFICATION IS BASED ON THE AASHTO SYSTEM. BASIC DESCRIPTIONS GENERALLY SHALL INCLUDE: CONSISTENCY, COLOR, TEXTURE, MOISTURE, AASHTO CLASSIFICATION, AND OTHER PERTINENT FACTORS SUCH AS MINERALOGICAL COMPOSITION, ANGULARITY, STRUCTURE, PLASTICITY, ETC. EXAMPLE:

VERY STIFF, GRAY, SILTY CLAY, MOIST WITH INTERBEDDED FINE SAND LAYERS, HIGHLY PLASTIC, A-7-6

SOIL LEGEND AND AASHTO CLASSIFICATION

GENERAL CLASS.	GRANULAR MATERIALS (≤ 35% PASSING #200)							SILT-CLAY MATERIALS (> 35% PASSING #200)				ORGANIC MATERIALS		
GROUP CLASS.	A-1		A-3	A-2				A-4	A-5	A-6	A-7	A-1, A-2 A-3	A-4, A-5 A-6, A-7	
	A-1-a	A-1-b		A-2-4	A-2-5	A-2-6	A-2-7				A-7-5 A-7-6			
SYMBOL														
% PASSING • 10 • 40 • 200	50 MX 30 MX 15 MX		51 MN 10 MX	35 MX	35 MX	35 MX	35 MX	36 MN	36 MN	36 MN	36 MN	GRANULAR SOILS	SILT-CLAY SOILS	MUCK, PEAT
LIQUID LIMIT PLASTIC INDEX	6 MX		NP	40 MX 10 MX	41 MN 10 MX	40 MX 11 MN	41 MN 11 MN	40 MX 10 MX	41 MN 10 MX	40 MX 11 MN	41 MN 11 MN	SOILS WITH LITTLE OR MODERATE AMOUNTS OF ORGANIC MATTER		HIGHLY ORGANIC SOILS
GROUP INDEX	0		0	0		4 MX		8 MX	12 MX	16 MX	No MX			
USUAL TYPES OF MAJOR MATERIALS	STONE FRAGS. GRAVEL, AND SAND		FINE SAND	SILTY OR CLAYEY GRAVEL AND SAND				SILTY SOILS		CLAYEY SOILS				
GEN. RATING AS A SUBGRADE	EXCELLENT TO GOOD							FAIR TO POOR				FAIR TO POOR	POOR	UNSUITABLE

PI OF A-7-5 SUBGROUP IS ≤ LL - 30 ; PI OF A-7-6 SUBGROUP IS > LL - 30

CONSISTENCY OR DENSENESS

PRIMARY SOIL TYPE	COMPACTNESS OR CONSISTENCY	RANGE OF STANDARD PENETRATION RESISTENCE (N-VALUE)	RANGE OF UNCONFINED COMPRESSIVE STRENGTH ($TONS/FT^2$)
GENERALLY GRANULAR MATERIAL (NON-COHESIVE)	VERY LOOSE LOOSE MEDIUM DENSE DENSE VERY DENSE	<4 4 TO 10 10 TO 30 30 TO 50 >50	N/A
GENERALLY SILT-CLAY MATERIAL (COHESIVE)	VERY SOFT SOFT MEDIUM STIFF STIFF VERY STIFF HARD	<2 2 TO 4 4 TO 8 8 TO 15 15 TO 30 >30	<0.25 0.25 TO 0.50 0.5 TO 1.0 1 TO 2 2 TO 4 >4

TEXTURE OR GRAIN SIZE

U.S. STD. SIEVE SIZE	4	10	40	60	200	270
OPENING (MM)	4.76	2.00	0.42	0.25	0.075	0.053

BOULDER (BLDR.)	COBBLE (COB.)	GRAVEL (GR.)	COARSE SAND (CSE. SD.)	FINE SAND (F SD.)	SILT (SL.)	CLAY (CL.)

GRAIN SIZE	MM	305	75	2.0	0.25	0.05	0.005
	IN.	12	3				

SOIL MOISTURE - CORRELATION OF TERMS

SOIL MOISTURE SCALE (ATTERBERG LIMITS)	FIELD MOISTURE DESCRIPTION	GUIDE FOR FIELD MOISTURE DESCRIPTION
	- SATURATED - (SAT.)	USUALLY LIQUID; VERY WET, USUALLY FROM BELOW THE GROUND WATER TABLE
LL — LIQUID LIMIT PLASTIC RANGE (PI) PL — PLASTIC LIMIT	- WET - (W)	SEMISOLID; REQUIRES DRYING TO ATTAIN OPTIMUM MOISTURE
OM — OPTIMUM MOISTURE SL — SHRINKAGE LIMIT	- MOIST - (M)	SOLID; AT OR NEAR OPTIMUM MOISTURE
	- DRY - (D)	REQUIRES ADDITIONAL WATER TO ATTAIN OPTIMUM MOISTURE

PLASTICITY

	PLASTICITY INDEX (PI)	DRY STRENGTH
NONPLASTIC	0-5	VERY LOW
LOW PLASTICITY	6-15	SLIGHT
MED. PLASTICITY	16-25	MEDIUM
HIGH PLASTICITY	26 OR MORE	HIGH

COLOR

DESCRIPTIONS MAY INCLUDE COLOR OR COLOR COMBINATIONS (TAN, RED, YELLOW-BROWN, BLUE-GRAY), MODIFIERS SUCH AS LIGHT, DARK, STREAKED, ETC. ARE USED TO DESCRIBE APPEARANCE.

GRADATION

WELL GRADED - INDICATES A GOOD REPRESENTATION OF PARTICLE SIZES FROM FINE TO COARSE.
UNIFORM - INDICATES THAT SOIL PARTICLES ARE ALL APPROXIMATELY THE SAME SIZE. (ALSO POORLY GRADED)
GAP-GRADED - INDICATES A MIXTURE OF UNIFORM PARTICLES OF TWO OR MORE SIZES.

ANGULARITY OF GRAINS

THE ANGULARITY OR ROUNDNESS OF SOIL GRAINS IS DESIGNATED BY THE TERMS ANGULAR, SUBANGULAR, SUBROUNDED, OR ROUNDED.

MINERALOGICAL COMPOSITION

MINERAL NAMES SUCH AS QUARTZ, FELDSPAR, MICA, TALC, KAOLIN, ETC. ARE USED IN DESCRIPTIONS WHENEVER THEY ARE CONSIDERED OF SIGNIFICANCE.

COMPRESSIBILITY

SLIGHTLY COMPRESSIBLE	LIQUID LIMIT LESS THAN 31
MODERATELY COMPRESSIBLE	LIQUID LIMIT EQUAL TO 31-50
HIGHLY COMPRESSIBLE	LIQUID LIMIT GREATER THAN 50

PERCENTAGE OF MATERIAL

ORGANIC MATERIAL	GRANULAR SOILS	SILT - CLAY SOILS
TRACE OF ORGANIC MATTER	2 - 3%	3 - 5%
LITTLE ORGANIC MATTER	3 - 5%	5 - 12%
MODERATELY ORGANIC	5 - 10%	12 - 20%
HIGHLY ORGANIC	>10%	>20%

OTHER MATERIAL	
TRACE	1 - 10%
LITTLE	10 - 20%
SOME	20 - 35%
HIGHLY	35% AND ABOVE

GROUND WATER

- WATER LEVEL IN BORE HOLE IMMEDIATELY AFTER DRILLING
- STATIC WATER LEVEL AFTER 24 HOURS
- PW — PERCHED WATER, SATURATED ZONE, OR WATER BEARING STRATA
- SPRING OR SEEP

MISCELLANEOUS SYMBOLS

- ROADWAY EMBANKMENT (RE) WITH SOIL DESCRIPTION
- SOIL SYMBOL
- ARTIFICIAL FILL (AF) OTHER THAN ROADWAY EMBANKMENT
- INFERRED SOIL BOUNDARY
- INFERRED ROCK LINE
- ALLUVIAL SOIL BOUNDARY
- 25/025 — DIP & DIP DIRECTION OF ROCK STRUCTURES
- SPT / CPT / DMT / VST / PMT — TEST BORING
- AUGER BORING
- CORE BORING
- MW — MONITORING WELL
- PIEZOMETER INSTALLATION
- SLOPE INDICATOR INSTALLATION
- CONE PENETROMETER TEST
- SOUNDING ROD
- TEST BORING W/ CORE
- SPT N-VALUE
- REF — SPT REFUSAL

ABBREVIATIONS

AR - AUGER REFUSAL
BT - BORING TERMINATED
CL. - CLAY
CPT - CONE PENETRATION TEST
CSE. - COARSE
DMT - DILATOMETER TEST
DPT - DYNAMIC PENETRATION TEST
e - VOID RATIO
F - FINE
FOSS. - FOSSILIFEROUS
FRAC. - FRACTURED, FRACTURES
FRAGS. - FRAGMENTS
HI. - HIGHLY
MED. - MEDIUM
MICA. - MICACEOUS
MOD. - MODERATELY
NP - NON PLASTIC
ORG. - ORGANIC
PMT - PRESSUREMETER TEST
SAP. - SAPROLITIC
SD. - SAND, SANDY
SL. - SILT, SILTY
SLI. - SLIGHTLY
TCR - TRICONE REFUSAL
W - MOISTURE CONTENT
V - VERY
VST - VANE SHEAR TEST
WEA. - WEATHERED
γ - UNIT WEIGHT
γ_d - DRY UNIT WEIGHT

SAMPLE ABBREVIATIONS
S - BULK
SS - SPLIT SPOON
ST - SHELBY TUBE
RS - ROCK
RT - RECOMPACTED TRIAXIAL
CBR - CALIFORNIA BEARING RATIO

EQUIPMENT USED ON SUBJECT PROJECT

DRILL UNITS:
- [ ] MOBILE B-___
- [ ] BK-51
- [ ] CME-45C
- [X] CME-550
- [ ] PORTABLE HOIST
- [ ] _____
- [ ] _____

ADVANCING TOOLS:
- [ ] CLAY BITS
- [ ] 6" CONTINUOUS FLIGHT AUGER
- [X] 8" HOLLOW AUGERS
- [ ] HARD FACED FINGER BITS
- [X] TUNG.-CARBIDE INSERTS
- [ ] CASING [ ] W/ ADVANCER
- [ ] TRICONE _____" STEEL TEETH
- [ ] TRICONE _____" TUNG.-CARB.
- [ ] CORE BIT
- [ ] _____

HAMMER TYPE:
- [X] AUTOMATIC [ ] MANUAL

CORE SIZE:
- [ ] -B-____
- [ ] -N-____
- [ ] -H-____

HAND TOOLS:
- [ ] POST HOLE DIGGER
- [ ] HAND AUGER
- [ ] SOUNDING ROD
- [ ] VANE SHEAR TEST
- [ ] _____

REVISED 09/23/09

PROJECT REFERENCE NO.	SHEET NO.
108.2004II (MAINT)	2A

NORTH CAROLINA DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
GEOTECHNICAL ENGINEERING UNIT
SOIL AND ROCK LEGEND, TERMS, SYMBOLS, AND ABBREVIATIONS

ROCK DESCRIPTION

HARD ROCK IS NON-COASTAL PLAIN MATERIAL THAT IF TESTED, WOULD YIELD SPT REFUSAL. AN INFERRED ROCK LINE INDICATES THE LEVEL AT WHICH NON-COASTAL PLAIN MATERIAL WOULD YIELD SPT REFUSAL. SPT REFUSAL IS PENETRATION BY A SPLIT SPOON SAMPLER EQUAL TO OR LESS THAN 0.1 FOOT PER 60 BLOWS. IN NON-COASTAL PLAIN MATERIAL, THE TRANSITION BETWEEN SOIL AND ROCK IS OFTEN REPRESENTED BY A ZONE OF WEATHERED ROCK.
ROCK MATERIALS ARE TYPICALLY DIVIDED AS FOLLOWS:

WEATHERED ROCK (WR)	NON-COASTAL PLAIN MATERIAL THAT WOULD YIELD SPT N VALUES > 100 BLOWS PER FOOT IF TESTED.
CRYSTALLINE ROCK (CR)	FINE TO COARSE GRAIN IGNEOUS AND METAMORPHIC ROCK THAT WOULD YIELD SPT REFUSAL IF TESTED. ROCK TYPE INCLUDES GRANITE, GNEISS, GABBRO, SCHIST, ETC.
NON-CRYSTALLINE ROCK (NCR)	FINE TO COARSE GRAIN METAMORPHIC AND NON-COASTAL PLAIN SEDIMENTARY ROCK THAT WOULD YEILD SPT REFUSAL IF TESTED. ROCK TYPE INCLUDES PHYLLITE, SLATE, SANDSTONE, ETC.
COASTAL PLAIN SEDIMENTARY ROCK (CP)	COASTAL PLAIN SEDIMENTS CEMENTED INTO ROCK, BUT MAY NOT YIELD SPT REFUSAL. ROCK TYPE INCLUDES LIMESTONE, SANDSTONE, CEMENTED SHELL BEDS, ETC.

WEATHERING

FRESH	ROCK FRESH, CRYSTALS BRIGHT, FEW JOINTS MAY SHOW SLIGHT STAINING. ROCK RINGS UNDER HAMMER IF CRYSTALLINE.
VERY SLIGHT (V SLI.)	ROCK GENERALLY FRESH, JOINTS STAINED, SOME JOINTS MAY SHOW THIN CLAY COATINGS IF OPEN, CRYSTALS ON A BROKEN SPECIMEN FACE SHINE BRIGHTLY. ROCK RINGS UNDER HAMMER BLOWS IF OF A CRYSTALLINE NATURE.
SLIGHT (SLI.)	ROCK GENERALLY FRESH, JOINTS STAINED AND DISCOLORATION EXTENDS INTO ROCK UP TO 1 INCH. OPEN JOINTS MAY CONTAIN CLAY. IN GRANITOID ROCKS SOME OCCASIONAL FELDSPAR CRYSTALS ARE DULL AND DISCOLORED. CRYSTALLINE ROCKS RING UNDER HAMMER BLOWS.
MODERATE (MOD.)	SIGNIFICANT PORTIONS OF ROCK SHOW DISCOLORATION AND WEATHERING EFFECTS. IN GRANITOID ROCKS, MOST FELDSPARS ARE DULL AND DISCOLORED, SOME SHOW CLAY. ROCK HAS DULL SOUND UNDER HAMMER BLOWS AND SHOWS SIGNIFICANT LOSS OF STRENGTH AS COMPARED WITH FRESH ROCK.
MODERATELY SEVERE (MOD. SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. IN GRANITOID ROCKS, ALL FELDSPARS DULL AND DISCOLORED AND A MAJORITY SHOW KAOLINIZATION. ROCK SHOWS SEVERE LOSS OF STRENGTH AND CAN BE EXCAVATED WITH A GEOLOGIST'S PICK. ROCK GIVES "CLUNK" SOUND WHEN STRUCK. *IF TESTED, WOULD YIELD SPT REFUSAL*
SEVERE (SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. ROCK FABRIC CLEAR AND EVIDENT BUT REDUCED IN STRENGTH TO STRONG SOIL. IN GRANITOID ROCKS ALL FELDSPARS ARE KAOLINIZED TO SOME EXTENT. SOME FRAGMENTS OF STRONG ROCK USUALLY REMAIN. *IF TESTED, YIELDS SPT N VALUES > 100 BPF*
VERY SEVERE (V SEV.)	ALL ROCK EXCEPT QUARTZ DISCOLORED OR STAINED. ROCK FABRIC ELEMENTS ARE DISCERNIBLE BUT THE MASS IS EFFECTIVELY REDUCED TO SOIL STATUS, WITH ONLY FRAGMENTS OF STRONG ROCK REMAINING. SAPROLITE IS AN EXAMPLE OF ROCK WEATHERED TO A DEGREE SUCH THAT ONLY MINOR VESTIGES OF THE ORIGINAL ROCK FABRIC REMAIN. *IF TESTED, YIELDS SPT N VALUES < 100 BPF*
COMPLETE	ROCK REDUCED TO SOIL. ROCK FABRIC NOT DISCERNIBLE, OR DISCERNIBLE ONLY IN SMALL AND SCATTERED CONCENTRATIONS. QUARTZ MAY BE PRESENT AS DIKES OR STRINGERS. SAPROLITE IS ALSO AN EXAMPLE.

ROCK HARDNESS

VERY HARD	CANNOT BE SCRATCHED BY KNIFE OR SHARP PICK. BREAKING OF HAND SPECIMENS REQUIRES SEVERAL HARD BLOWS OF THE GEOLOGIST'S PICK.
HARD	CAN BE SCRATCHED BY KNIFE OR PICK ONLY WITH DIFFICULTY. HARD HAMMER BLOWS REQUIRED TO DETACH HAND SPECIMEN.
MODERATELY HARD	CAN BE SCRATCHED BY KNIFE OR PICK. GOUGES OR GROOVES TO 0.25 INCHES DEEP CAN BE EXCAVATED BY HARD BLOW OF A GEOLOGIST'S PICK. HAND SPECIMENS CAN BE DETACHED BY MODERATE BLOWS.
MEDIUM HARD	CAN BE GROOVED OR GOUGED 0.05 INCHES DEEP BY FIRM PRESSURE OF KNIFE OR PICK POINT. CAN BE EXCAVATED IN SMALL CHIPS TO PEICES 1 INCH MAXIMUM SIZE BY HARD BLOWS OF THE POINT OF A GEOLOGIST'S PICK.
SOFT	CAN BE GROVED OR GOUGED READILY BY KNIFE OR PICK. CAN BE EXCAVATED IN FRAGMENTS FROM CHIPS TO SEVERAL INCHES IN SIZE BY MODERATE BLOWS OF A PICK POINT. SMALL, THIN PIECES CAN BE BROKEN BY FINGER PRESSURE.
VERY SOFT	CAN BE CARVED WITH KNIFE. CAN BE EXCAVATED READILY WITH POINT OF PICK. PIECES 1 INCH OR MORE IN THICKNESS CAN BE BROKEN BY FINGER PRESSURE. CAN BE SCRATCHED READILY BY FINGERNAIL.

FRACTURE SPACING

TERM	SPACING
VERY WIDE	MORE THAN 10 FEET
WIDE	3 TO 10 FEET
MODERATELY CLOSE	1 TO 3 FEET
CLOSE	0.16 TO 1 FEET
VERY CLOSE	LESS THAN 0.16 FEET

BEDDING

TERM	THICKNESS
VERY THICKLY BEDDED	> 4 FEET
THICKLY BEDDED	1.5 - 4 FEET
THINLY BEDDED	0.16 - 1.5 FEET
VERY THINLY BEDDED	0.03 - 0.16 FEET
THICKLY LAMINATED	0.008 - 0.03 FEET
THINLY LAMINATED	< 0.008 FEET

INDURATION

FOR SEDIMENTARY ROCKS, INDURATION IS THE HARDENING OF THE MATERIAL BY CEMENTING, HEAT, PRESSURE, ETC.

FRIABLE	RUBBING WITH FINGER FREES NUMEROUS GRAINS; GENTLE BLOW BY HAMMER DISINTEGRATES SAMPLE.
MODERATELY INDURATED	GRAINS CAN BE SEPARATED FROM SAMPLE WITH STEEL PROBE; BREAKS EASILY WHEN HIT WITH HAMMER.
INDURATED	GRAINS ARE DIFFICULT TO SEPARATE WITH STEEL PROBE; DIFFICULT TO BREAK WITH HAMMER.
EXTREMELY INDURATED	SHARP HAMMER BLOWS REQUIRED TO BREAK SAMPLE; SAMPLE BREAKS ACROSS GRAINS.

TERMS AND DEFINITIONS

ALLUVIUM (ALLUV.) - SOILS THAT HAVE BEEN TRANSPORTED BY WATER.

AQUIFER - A WATER BEARING FORMATION OR STRATA.

ARENACEOUS - APPLIED TO ROCKS THAT HAVE BEEN DERIVED FROM SAND OR THAT CONTAIN SAND.

ARGILLACEOUS - APPLIED TO ALL ROCKS OR SUBSTANCES COMPOSED OF CLAY MINERALS, OR HAVING A NOTABLE PROPORTION OF CLAY IN THEIR COMPOSITION, AS SHALE, SLATE, ETC.

ARTESIAN - GROUND WATER THAT IS UNDER SUFFICIENT PRESSURE TO RISE ABOVE THE LEVEL AT WHICH IT IS ENCOUNTERED, BUT WHICH DOES NOT NECESSARILY RISE TO OR ABOVE THE GROUND SURFACE.

CALCAREOUS (CALC.) - SOILS THAT CONTAIN APPRECIABLE AMOUNTS OF CALCIUM CARBONATE.

COLLUVIUM - ROCK FRAGMENTS MIXED WITH SOIL DEPOSITED BY GRAVITY ON SLOPE OR AT BOTTOM OF SLOPE.

CORE RECOVERY (REC.) - TOTAL LENGTH OF ALL MATERIAL RECOVERED IN THE CORE BARREL DIVIDED BY TOTAL LENGTH OF CORE RUN AND EXPRESSED AS A PERCENTAGE.

DIKE - A TABULAR BODY OF IGNEOUS ROCK THAT CUTS ACROSS THE STRUCTURE OF ADJACENT ROCKS OR CUTS MASSIVE ROCK.

DIP - THE ANGLE AT WHICH A STRATUM OR ANY PLANAR FEATURE IS INCLINED FROM THE HORIZONTAL.

DIP DIRECTION (DIP AZIMUTH) - THE DIRECTION OR BEARING OF THE HORIZONTAL TRACE OF THE LINE OF DIP, MEASURED CLOCKWISE FROM NORTH.

FAULT - A FRACTURE OR FRACTURE ZONE ALONG WHICH THERE HAS BEEN DISPLACEMENT OF THE SIDES RELATIVE TO ONE ANOTHER PARALLEL TO THE FRACTURE.

FISSILE - A PROPERTY OF SPLITTING ALONG CLOSELY SPACED PARALLEL PLANES.

FLOAT - ROCK FRAGMENTS ON SURFACE NEAR THEIR ORIGINAL POSITION AND DISLODGED FROM PARENT MATERIAL.

FLOOD PLAIN (FP) - LAND BORDERING A STREAM, BUILT OF SEDIMENTS DEPOSITED BY THE STREAM.

FORMATION (FM.) - A MAPPABLE GEOLOGIC UNIT THAT CAN BE RECOGNIZED AND TRACED IN THE FIELD.

JOINT - FRACTURE IN ROCK ALONG WHICH NO APPRECIABLE MOVEMENT HAS OCCURRED.

LEDGE - A SHELF-LIKE RIDGE OR PROJECTION OF ROCK WHOSE THICKNESS IS SMALL COMPARED TO ITS LATERAL EXTENT.

LENS - A BODY OF SOIL OR ROCK THAT THINS OUT IN ONE OR MORE DIRECTIONS.

MOTTLED (MOT.) - IRREGULARLY MARKED WITH SPOTS OF DIFFERENT COLORS. MOTTLING IN SOILS USUALLY INDICATES POOR AERATION AND LACK OF GOOD DRAINAGE.

PERCHED WATER - WATER MAINTAINED ABOVE THE NORMAL GROUND WATER LEVEL BY THE PRESENCE OF AN INTERVENING IMPERVIOUS STRATUM.

RESIDUAL (RES.) SOIL - SOIL FORMED IN PLACE BY THE WEATHERING OF ROCK.

ROCK QUALITY DESIGNATION (RQD) - A MEASURE OF ROCK QUALITY DESCRIBED BY TOTAL LENGTH OF ROCK SEGMENTS EQUAL TO OR GREATER THAN 4 INCHES DIVIDED BY THE TOTAL LENGTH OF CORE RUN AND EXPRESSED AS A PERCENTAGE.

SAPROLITE (SAP.) - RESIDUAL SOIL THAT RETAINS THE RELIC STRUCTURE OR FABRIC OF THE PARENT ROCK.

SILL - AN INTRUSIVE BODY OF IGNEOUS ROCK OF APPROXIMATELY UNIFORM THICKNESS AND RELATIVELY THIN COMPARED WITH ITS LATERAL EXTENT, THAT HAS BEEN EMPLACED PARALLEL TO THE BEDDING OR SCHISTOSITY OF THE INTRUDED ROCKS.

SLICKENSIDE - POLISHED AND STRIATED SURFACE THAT RESULTS FROM FRICTION ALONG A FAULT OR SLIP PLANE.

STANDARD PENETRATION TEST (PENETRATION RESISTANCE) (SPT) - NUMBER OF BLOWS (N OR BPF) OF A 140 LB. HAMMER FALLING 30 INCHES REQUIRED TO PRODUCE A PENETRATION OF 1 FOOT INTO SOIL WITH A 2 INCH OUTSIDE DIAMETER SPLIT SPOON SAMPLER. SPT REFUSAL IS PENETRATION EQUAL TO OR LESS THAN 0.1 FOOT PER 60 BLOWS.

STRATA CORE RECOVERY (SREC.) - TOTAL LENGTH OF STRATA MATERIAL RECOVERED DIVIDED BY TOTAL LENGTH OF STRATUM AND EXPRESSED AS A PERCENTAGE.

STRATA ROCK QUALITY DESIGNATION (SRQD) - A MEASURE OF ROCK QUALITY DESCRIBED BY TOTAL LENGTH OF ROCK SEGMENTS WITHIN A STRATUM EQUAL TO OR GREATER THAN 4 INCHES DIVIDED BY THE TOTAL LENGTH OF STRATA AND EXPRESSED AS A PERCENTAGE.

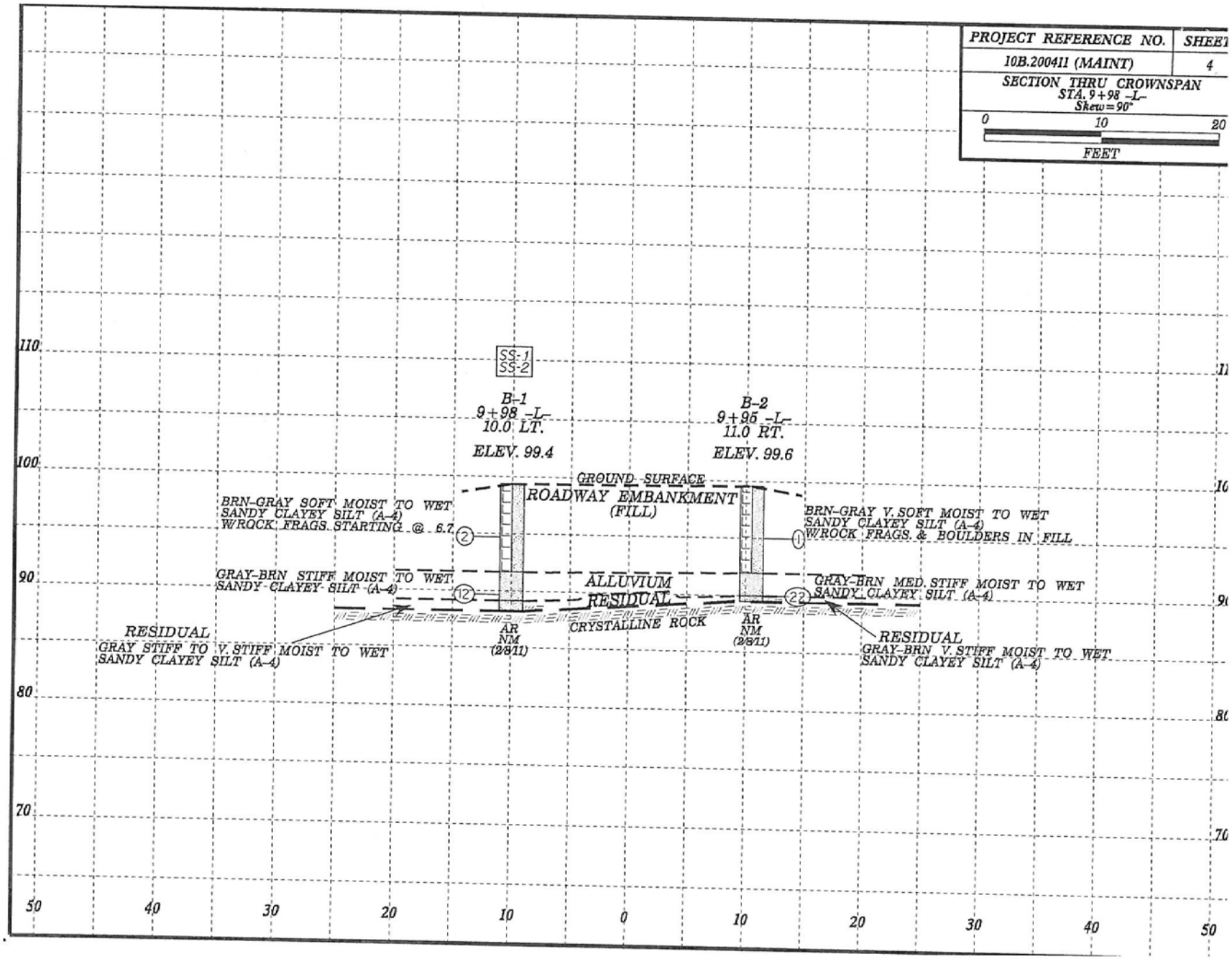
TOPSOIL (TS.) - SURFACE SOILS USUALLY CONTAINING ORGANIC MATTER.

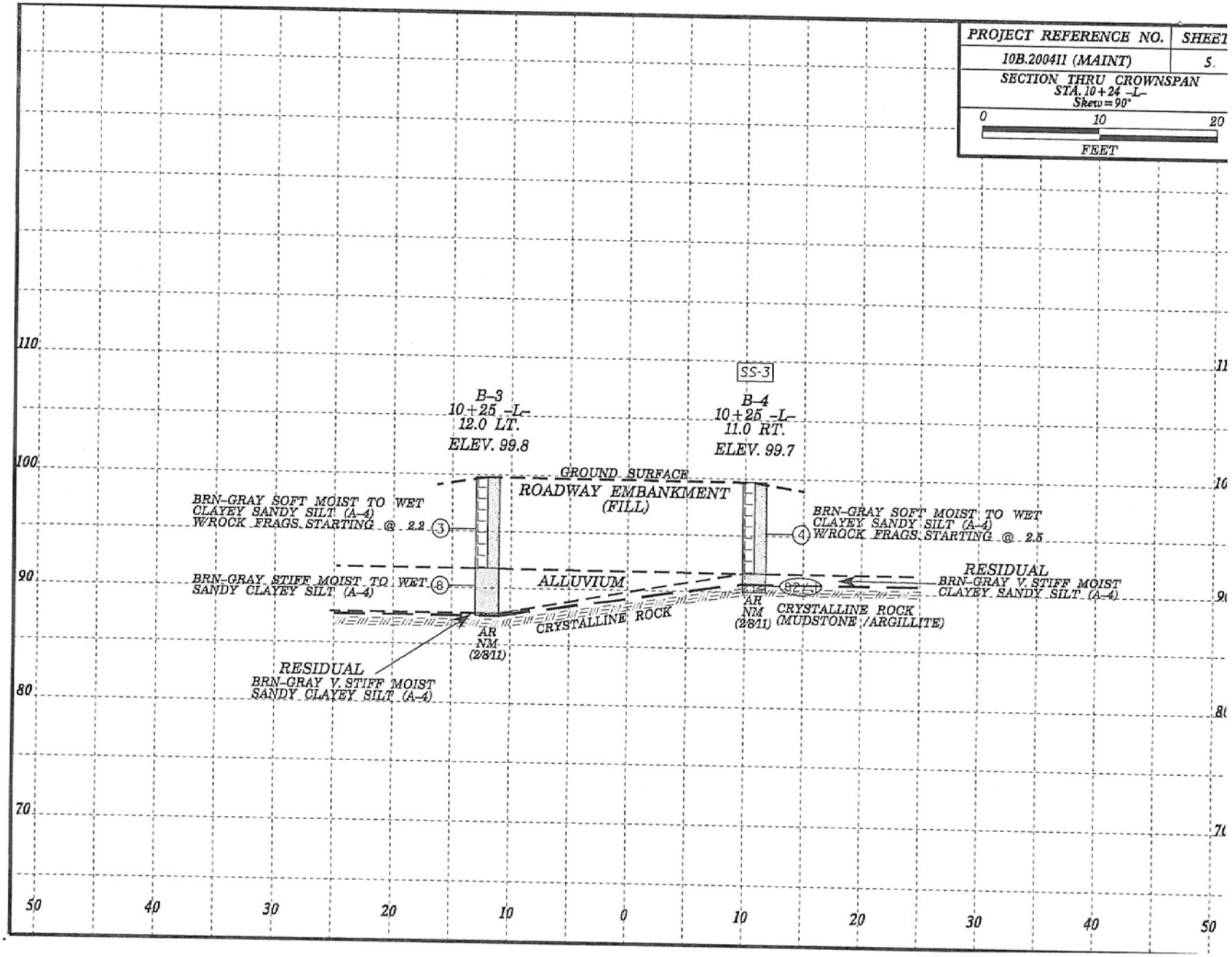
BENCH MARK: TBM "IRON NAIL SET IN UTILITY POLE
STA. 8+58 -L- 31'RT. (ASSUMED)
ELEVATION: 98.20 FT.

NOTES:

REVISED 09/23/09

PROJECT REFERENCE NO.
SHEET
10B.20041I (MAINT.)
3
SITE PLAN
0
60
100
FEET
PT#1
NAIL SET IN SHOULDER
STA. 9+96, 11' LT
11+00
10+00
9+00
WOODS
Pasture
Unknown Underground Utility
B-1
B-2
B-3
B-4
N 57° 8'41"W
TO SR 1409
TO SR 1408
PHIFER RD. (SR 1410)
19' PAVED RDWY.
Caudle Branch
TBM #1
Iron Nail set in Utility Pole
Sta. 8+58, 31' Right
Elevation 98.2
PT #2
NAIL SET IN SHOULDER
STA. 8+79, 15' RT.


PROJECT REFERENCE NO.
SHEET
10B.200411 (MAINT)
4
SECTION THRU CROWNSPAN
STA. 9+98 –L–
Skew=90°
0
10
20
FEET
SS-1
SS-2
B–1
9+98 –L–
10.0 LT.
ELEV. 99.4
B–2
9+95 –L–
11.0 RT.
ELEV. 99.6
GROUND SURFACE
ROADWAY EMBANKMENT
(FILL)
BRN–GRAY SOFT MOIST TO WET
SANDY CLAYEY SILT (A–4)
W/ROCK FRAGS. STARTING @ 6.7'
BRN–GRAY V. SOFT MOIST TO WET
SANDY CLAYEY SILT (A–4)
W/ROCK FRAGS. & BOULDERS IN FILL
GRAY–BRN STIFF MOIST TO WET
SANDY CLAYEY SILT (A–4)
ALLUVIUM
RESIDUAL
GRAY–BRN MED. STIFF MOIST TO WET
SANDY CLAYEY SILT (A–4)
RESIDUAL
GRAY STIFF TO V. STIFF MOIST TO WET
SANDY CLAYEY SILT (A–4)
CRYSTALLINE ROCK
AR
NM
(2/8/11)
AR
NM
(2/8/11)
RESIDUAL
GRAY–BRN V. STIFF MOIST TO WET
SANDY CLAYEY SILT (A–4)
110
100
90
80
70
50
40
30
20
10
0
10
20
30
40
50


PROJECT REFERENCE NO.
SHEET
10B.200411 (MAINT)
5
SECTION THRU CROWNSPAN
STA. 10+24 -L-
Skew = 90°
0
10
20
FEET
SS-3
B-3
10+25 -L-
12.0 LT.
ELEV. 99.8
B-4
10+25 -L-
11.0 RT.
ELEV. 99.7
GROUND SURFACE
ROADWAY EMBANKMENT
(FILL)
BRN-GRAY SOFT MOIST TO WET
CLAYEY SANDY SILT (A-4)
W/ROCK FRAGS. STARTING @ 2.2
3
BRN-GRAY SOFT MOIST TO WET
CLAYEY SANDY SILT (A-4)
W/ROCK FRAGS. STARTING @ 2.5
4
BRN-GRAY STIFF MOIST TO WET
SANDY CLAYEY SILT (A-4)
8
ALLUVIUM
RESIDUAL
BRN-GRAY V. STIFF MOIST
CLAYEY SANDY SILT (A-4)
AR
NM
(2/8/11)
CRYSTALLINE ROCK
AR
NM
(2/8/11)
CRYSTALLINE ROCK
(MUDSTONE / ARGILLITE)
RESIDUAL
BRN-GRAY V. STIFF MOIST
SANDY CLAYEY SILT (A-4)
110
100
90
80
70
50
40
30
20
10
0
10
20
30
40
50

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 10B.200411	TIP (MAINT.)	COUNTY ANSON	GEOLOGIST Stickney, J. K.	
SITE DESCRIPTION CROWNSPAN 310 ON SR 1410 OVER CAUDLE CREEK				GROUND WTR (ft)
BORING NO. B-1	STATION 9+98	OFFSET 10 ft LT	ALIGNMENT -L-	0 HR. Dry
COLLAR ELEV. 99.4 ft	TOTAL DEPTH 11.1 ft	NORTHING N/A	EASTING N/A	24 HR. NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		DRILL METHOD H.S. Augers		HAMMER TYPE Automatic
DRILLER Smith, M. L.	START DATE 02/08/11	COMP. DATE 02/08/11	SURFACE WATER DEPTH N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION	ELEV. (ft)	DEPTH (ft)
										GROUND SURFACE	99.4	0.0
	95.7	3.7	0	1	1	2	SS-1			ROADWAY EMBANKMENT BRN-GRAY SOFT MOIST TO WET SANDY CLAYEY SILT (A-4) W/ ROCK FRAGS. STARTING @ 6.7		
	90.7	8.7	7	8	4	12	SS-2			ALLUVIAL GRAY-BRN STIFF MOIST TO WET SANDY CLAYEY SILT (A-4)	91.7 / 89.2	7.7 / 10.2
										RESIDUAL GRAY STIFF TO V. STIFF MOIST TO WET SANDY CLAYEY SILT (A-4)	88.3	11.1
										Boring Terminated BY AUGER REFUSAL at Elevation 88.3 ft ON CRYSTALLINE ROCK		

NCDOT BORE SINGLE 0048000_GEO_BH_CULV0310_ANSON.GPJ NC_DOT.GDT 02/25/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 10B.200411	TIP (MAINT.)	COUNTY ANSON	GEOLOGIST Stickney, J. K.	
SITE DESCRIPTION CROWNSPAN 310 ON SR 1410 OVER CAUDLE CREEK				GROUND WTR (ft)
BORING NO. B-2	STATION 9+95	OFFSET 11 ft RT	ALIGNMENT -L-	0 HR. Dry
COLLAR ELEV. 99.6 ft	TOTAL DEPTH 10.1 ft	NORTHING N/A	EASTING N/A	24 HR. NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		DRILL METHOD H.S. Augers		HAMMER TYPE Automatic
DRILLER Smith, M. L.	START DATE 02/08/11	COMP. DATE 02/08/11	SURFACE WATER DEPTH N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION ELEV. (ft)	DEPTH (ft)
										99.6 GROUND SURFACE	0.0
	96.0	3.6	0	0	1	1				ROADWAY EMBANKMENT BRN-GRAY V. SOFT MOIST TO WET SANDY CLAYEY SILT (A-4) W/ ROCK FRAGS. & BOULDERS IN FILL	
	91.0	8.6	3	4	18	22				92.0 ALLUVIAL GRAY-BRN MED. STIFF MOIST TO WET SANDY CLAYEY SILT (A-4)	7.6
										90.0 RESIDUAL GRAY-BRN V. STIFF MOIST TO WET SANDY CLAYEY SILT (A-4)	9.6
										89.5 Boring Terminated BY AUGER REFUSAL at Elevation 89.5 ft ON CRYSTALLINE ROCK	10.1

NCDOT BORE SINGLE 004&000_GEO_BH_CULV0310_ANSON.GPJ NC_DOT.GDT 02/25/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 10B.200411	TIP (MAINT.)	COUNTY ANSON	GEOLOGIST Stickney, J. K.	
SITE DESCRIPTION CROWNSPAN 310 ON SR 1410 OVER CAUDLE CREEK				GROUND WTR (ft)
BORING NO. B-3	STATION 10+25	OFFSET 12 ft LT	ALIGNMENT -L-	0 HR. Dry
COLLAR ELEV. 99.8 ft	TOTAL DEPTH 12.2 ft	NORTHING N/A	EASTING N/A	24 HR. NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		DRILL METHOD H.S. Augers		HAMMER TYPE Automatic
DRILLER Smith, M. L.	START DATE 02/08/11	COMP. DATE 02/08/11	SURFACE WATER DEPTH N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION
										ELEV. (ft) DEPTH (ft)
100										99.8 GROUND SURFACE 0.0
	96.2	3.6	1	1	2	3				**ROADWAY EMBANKMENT** BRN-GRAY SOFT MOIST TO WET CLAYEY SANDY SILT (A-4) W/ ROCK FRAGS. STARTING @ 2.2
95										
	91.2	8.6	3	4	4	8				91.8 8.0 **ALLUVIAL** BRN-GRAY STIFF MOIST TO WET SANDY CLAYEY SILT (A-4)
90										87.8 12.0
										87.6 12.2 **RESIDUAL** BRN-GRAY V. STIFF MOIST SANDY CLAYEY SILT (A-4)
85										Boring Terminated BY AUGER REFUSAL at Elevation 87.6 ft ON CRYSTALLINE ROCK
80										
75										
70										
65										
60										
55										
50										
45										
40										
35										
30										
25										
20										

NCDOT BORE SINGLE 0048000_GEO_BH_CULV0310_ANSON.GPJ NC_DOT.GDT 02/25/11

NCDOT GEOTECHNICAL ENGINEERING UNIT
BORELOG REPORT

WBS 10B.200411	TIP (MAINT.)	COUNTY ANSON	GEOLOGIST Stickney, J. K.	
SITE DESCRIPTION CROWNSPAN 310 ON SR 1410 OVER CAUDLE CREEK				GROUND WTR (ft)
BORING NO. B-4	STATION 10+25	OFFSET 11 ft RT	ALIGNMENT -L-	0 HR. Dry
COLLAR ELEV. 99.7 ft	TOTAL DEPTH 9.7 ft	NORTHING N/A	EASTING N/A	24 HR. NM
DRILL RIG/HAMMER EFF./DATE HFO0072 CME-550 89% 09/02/2009		DRILL METHOD H.S. Augers		HAMMER TYPE Automatic
DRILLER Smith, M. L.	START DATE 02/08/11	COMP. DATE 02/08/11	SURFACE WATER DEPTH N/A	

ELEV (ft)	DRIVE ELEV (ft)	DEPTH (ft)	BLOW COUNT 0.5ft	0.5ft	0.5ft	BLOWS PER FOOT 0 25 50 75 100	SAMP. NO.	MOI	LOG	SOIL AND ROCK DESCRIPTION
										ELEV. (ft) DEPTH (ft)
100										99.7 GROUND SURFACE 0.0
	96.2	3.5	1	2	2		SS-3			ROADWAY EMBANKMENT BRN-GRAY SOFT MOIST TO WET CLAYEY SANDY SILT (A-4) W/ ROCK FRAGS. STARTING @ 2.5
95										
	91.2	8.5	18	82/.1		82/.1				91.7 8.0
90										90.7 9.0 RESIDUAL BRN-GRAY V. STIFF MOIST CLAYEY SANDY SILT (A-4)
										90.0 9.7 CRYSTALLINE ROCK (MUDSTONE/ARGILLITE)
85										Boring Terminated BY AUGER REFUSAL at Elevation 90.0 ft ON CRYSTALLINE ROCK
80										
75										
70										
65										
60										
55										
50										
45										
40										
35										
30										
25										
20										

NCDOT BORE SINGLE 0048000_GEO_BH_CULV0310_ANSON.GPJ NC_DOT.GDT 02/25/11

SOIL TEST RESULTS

PROJECT: 10B.200411 (MAINT.)
COUNTY: ANSON
SITE DESCRIPTION: CROWNSPAN 310 AT STA. 10+11 -L- ON SR 1410 OVER CAUDLE CREEK

SAMPLE NO.	OFFSET	STATION	DEPTH INTERVAL	AASHTO CLASS	N	L.L.	P.I.	% BY WEIGHT				% PASSING SIEVES			% MOISTURE	% ORGANIC
								C. SAND	F. SAND	SILT	CLAY	10	40	200		
		B-1														
SS-1	10.0 LT.	9+98 -L-	4.2-5.2	A-4(2)	2	28	6	18.2	8.5	45.1	28.3	84	73	62		
SS-2			9.2-10.2	A-4(3)	12	28	6	13.7	6.7	21.3	28.3	91	82	73		
		B-4														
SS-3	11.0 RT.	10+25 -L-	4.0-5.0	A-4(0)	4	30	5	31.3	6.9	37.6	24.2	77	56	48		

DIVISION CONTRACT
STANDARD SPECIAL PROVISIONS

AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c).* Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the *2012 Standard Specifications*.

NCDOT GENERAL SEED SPECIFICATION FOR SEED QUALITY

(5-17-11) Z-3

Seed shall be sampled and tested by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory. When said samples are collected, the vendor shall supply an independent laboratory report for each lot to be tested. Results from seed so sampled shall be final. Seed not meeting the specifications shall be rejected by the Department of Transportation and shall not be delivered to North Carolina Department of Transportation warehouses. If seed has been delivered it shall be available for pickup and replacement at the supplier's expense.

Any re-labeling required by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory, that would cause the label to reflect as otherwise specified herein shall be rejected by the North Carolina Department of Transportation.

Seed shall be free from seeds of the noxious weeds Johnsongrass, Balloonvine, Jimsonweed, Witchweed, Itchgrass, Serrated Tussock, Showy Crotalaria, Smooth Crotalaria, Sicklepod, Sandbur, Wild Onion, and Wild Garlic. Seed shall not be labeled with the above weed species on the seed analysis label. Tolerances as applied by the Association of Official Seed Analysts will NOT be allowed for the above noxious weeds except for Wild Onion and Wild Garlic.

Tolerances established by the Association of Official Seed Analysts will generally be recognized. However, for the purpose of figuring pure live seed, the found pure seed and found germination

percentages as reported by the North Carolina Department of Agriculture and Consumer Services, Seed Testing Laboratory will be used. Allowances, as established by the NCDOT, will be recognized for minimum pure live seed as listed on the following pages.

The specifications for restricted noxious weed seed refers to the number per pound as follows:

Restricted Noxious **Weed**	Limitations per Lb. Of Seed	Restricted Noxious **Weed**	Limitations per Lb. of Seed
Blessed Thistle	4 seeds	Cornflower (Ragged Robin)	27 seeds
Cocklebur	4 seeds	Texas Panicum	27 seeds
Spurred Anoda	4 seeds	Bracted Plantain	54 seeds
Velvetleaf	4 seeds	Buckhorn Plantain	54 seeds
Morning-glory	8 seeds	Broadleaf Dock	54 seeds
Corn Cockle	10 seeds	Curly Dock	54 seeds
Wild Radish	12 seeds	Dodder	54 seeds
Purple Nutsedge	27 seeds	Giant Foxtail	54 seeds
Yellow Nutsedge	27 seeds	Horsenettle	54 seeds
Canada Thistle	27 seeds	Quackgrass	54 seeds
Field Bindweed	27 seeds	Wild Mustard	54 seeds
Hedge Bindweed	27 seeds		

Seed of Pensacola Bahiagrass shall not contain more than 7% inert matter, Kentucky Bluegrass, Centipede and Fine or Hard Fescue shall not contain more than 5% inert matter whereas a maximum of 2% inert matter will be allowed on all other kinds of seed. In addition, all seed shall not contain more than 2% other crop seed nor more than 1% total weed seed. The germination rate as tested by the North Carolina Department of Agriculture shall not fall below 70%, which includes both dormant and hard seed. Seed shall be labeled with not more than 7%, 5% or 2% inert matter (according to above specifications), 2% other crop seed and 1% total weed seed.

Exceptions may be made for minimum pure live seed allowances when cases of seed variety shortages are verified. Pure live seed percentages will be applied in a verified shortage situation. Those purchase orders of deficient seed lots will be credited with the percentage that the seed is deficient.

FURTHER SPECIFICATIONS FOR EACH SEED GROUP ARE GIVEN BELOW:

Minimum 85% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 83% pure live seed will not be approved.

Sericea Lespedeza
Oats (seeds)

Minimum 80% pure live seed; maximum 1% total weed seed; maximum 2% total other crop; maximum 144 restricted noxious weed seed per pound. Seed less than 78% pure live seed will not be approved.

Tall Fescue (all approved varieties)
Kobe Lespedeza
Korean Lespedeza
Bermudagrass
Browntop Millet
German Millet – Strain R

Weeping Lovegrass	Clover – Red/White/Crimson
Carpetgrass	

Minimum 78% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 76% pure live seed will not be approved.

Common or Sweet Sundangrass

Minimum 76% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 restricted noxious weed seed per pound. Seed less than 74% pure live seed will not be approved.

Rye (grain; all varieties)
Kentucky Bluegrass (all approved varieties)
Hard Fescue (all approved varieties)
Shrub (bicolor) Lespedeza

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 144 noxious weed seed per pound. Seed less than 70% pure live seed will not be approved.

Centipedegrass	Japanese Millet
Crownvetch	Reed Canary Grass
Pensacola Bahiagrass	Zoysia
Creeping Red Fescue	

Minimum 70% pure live seed; maximum 1% total weed seed; maximum 2% total other crop seed; maximum 5% inert matter; maximum 144 restricted noxious weed seed per pound.

Barnyard Grass
Big Bluestem
Little Bluestem
Bristly Locust
Birdsfoot Trefoil
Indiangrass
Orchardgrass
Switchgrass
Yellow Blossom Sweet Clover

ERRATA

(1-17-12) (Rev. 9-18-12) Z-4

Revise the *2012 Standard Specifications* as follows:

Division 2

Page 2-7, line 31, Article 215-2 Construction Methods, replace “Article 107-26” with “Article 107-25”.

Page 2-17, Article 226-3, Measurement and Payment, line 2, delete “pipe culverts,”.

Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows:

Line 1, replace “(4) Buffer Zone” with “(c) Buffer Zone”; **Line 12,** replace “(5) Evaluation for

Potential Wetlands and Endangered Species" with "(d) Evaluation for Potential Wetlands and Endangered Species"; and **Line 33,** replace "(6) Approval" with "(4) Approval".

Division 4

Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping, replace "sheet pile" with "reinforcement".

Division 6

Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments, replace "30" with "45".

Page 6-10, line 42, Subarticle 609-6(C)(2), replace "Subarticle 609-6(E)" with "Subarticle 609-6(D)".

Page 6-11, Table 609-1 Control Limits, replace "Max. Spec. Limit" for the Target Source of $P_{0.075}/P_{be}$ Ratio with "1.0".

Page 6-40, Article 650-2 Materials, replace "Subarticle 1012-1(F)" with "Subarticle 1012-1(E)"

Division 10

Page 10-74, Table 1056-1 Geotextile Requirements, replace "50%" for the UV Stability (Retained Strength) of Type 5 geotextiles with "70%".

Division 12

Page 12-7, Table 1205-3, add "FOR THERMOPLASTIC" to the end of the title.

Page 12-8, Subarticle 1205-5(B), line 13, replace "Table 1205-2" with "Table 1205-4".

Page 12-8, Table 1205-4 and 1205-5, replace "THERMOPLASTIC" in the title of these tables with "POLYUREA".

Page 12-9, Subarticle 1205-6(B), line 21, replace "Table 1205-4" with "Table 1205-6".

Page 12-11, Subarticle 1205-8(C), line 25, replace "Table 1205-5" with "Table 1205-7".

Division 15

Page 15-6, Subarticle 1510-3(B), after line 21, replace the allowable leakage formula with the following: $W = LD\sqrt{P} \div 148{,}000$

Page 15-6, Subarticle 1510-3(B), line 32, delete "may be performed concurrently or" and replace with "shall be performed".

Page 15-17, Subarticle 1540-3(E), line 27, delete "Type 1".

Division 17

Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center, delete this subarticle.

Revise the *2012 Roadway Standard Drawings* as follows:

1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation, replace "1633.01" with "1631.01".

PLANT AND PEST QUARANTINES

(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)

(3-18-03) Z-04a

Within quarantined area

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

Originating in a quarantined county

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

Contact

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or *http://www.ncagr.com/plantind/* to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

Regulated Articles Include

1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
2. Plants with roots including grass sod.
3. Plant crowns and roots.
4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
5. Hay, straw, fodder, and plant litter of any kind.
6. Clearing and grubbing debris.
7. Used agricultural cultivating and harvesting equipment.
8. Used earth-moving equipment.
9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

MINIMUM WAGES
(7-21-09) Z-5

FEDERAL: The Fair Labor Standards Act provides that with certain exceptions every employer shall pay wages at the rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS ($7.25) per hour.

STATE: The North Carolina Minimum Wage Act provides that every employer shall pay to each of his employees, wages at a rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS ($7.25) per hour.

The minimum wage paid to all skilled labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS ($7.25) per hour.

The minimum wage paid to all intermediate labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS ($7.25) per hour.

The minimum wage paid to all unskilled labor on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS ($7.25) per hour.

This determination of the intent of the application of this act to the contract on this project is the responsibility of the Contractor.

The Contractor shall have no claim against the Department of Transportation for any changes in the minimum wage laws, Federal or State. It is the responsibility of the Contractor to keep fully informed of all Federal and State Laws affecting his contract.

ON-THE-JOB TRAINING
(10-16-07) (Rev 7-21-09) Z-10

Description

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

Minorities and Women

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

Assigning Training Goals

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year. A sample agreement is available at www.ncdot.org/business/ocs/ojt/.

Training Classifications

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators	Office Engineers
Truck Drivers	Estimators
Carpenters	Iron / Reinforcing Steel Workers
Concrete Finishers	Mechanics
Pipe Layers	Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

Records and Reports

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

Trainee Interviews

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

Trainee Wages

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

60 percent	of the journeyman wage for the first half of the training period
75 percent	of the journeyman wage for the third quarter of the training period
90 percent	of the journeyman wage for the last quarter of the training period

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

Achieving or Failing to Meet Training Goals

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

Measurement and Payment

No compensation will be made for providing required training in accordance with these contract documents.

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

CORPORATION

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

_____
Full name of Corporation

_____
Address as Prequalified

Attest _____ By _____
Secretary/Assistant Secretary — *Select appropriate title*
President/Vice President/Assistant Vice President — *Select appropriate title*

_____ _____
Print or type Signer's name — Print or type Signer's name

CORPORATE SEAL

AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to before me this the

_____ day of _____ 20__.

NOTARY SEAL

_____
Signature of Notary Public

of _____County

State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

PARTNERSHIP

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection wi th any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

_____
Full Name of Partnership

_____
Address as Prequalified

_____ By _____
Signature of Witness Signature of Partner

_____ _____
Print or type Signer's name Print or type Signer's name

AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to before me this the

_____ day of _____ 20__.

NOTARY SEAL

_____
Signature of Notary Public

of _____County

State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

LIMITED LIABILITY COMPANY

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

_____
Full Name of Firm

_____
Address as Prequalified

_____ _____
Signature of Witness Signature of Member/Manager/Authorized Agent
Select appropriate title

_____ _____
Print or type Signer's name Print or type Signer's Name

AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to before me this the

_____ day of _____ 20__.

NOTARY SEAL

_____
Signature of Notary Public

of _____County

State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION
JOINT VENTURE (2) or (3)

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

Instructions: **2 Joint Venturers** Fill in lines (1), (2) and (3) and execute. **3 Joint Venturers** Fill in lines (1), (2), (3) and (4) and execute. On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of one of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

(1)_____
Name of Joint Venture

(2)_____
Name of Contractor

_____
Address as Prequalified

_____ _____
Signature of Witness or Attest By Signature of Contractor

_____ _____
Print or type Signer's name Print or type Signer's name

If Corporation, affix Corporate Seal and

(3)_____
Name of Contractor

_____
Address as Prequalified

_____ _____
Signature of Witness or Attest By Signature of Contractor

_____ _____
Print or type Signer's name Print or type Signer's name

If Corporation, affix Corporate Seal and

(4)_____
Name of Contractor *(for 3 Joint Venture only)*

_____
Address as Prequalified

_____ _____
Signature of Witness or Attest By Signature of Contractor

_____ _____
Print or type Signer's name Print or type Signer's name

If Corporation, affix Corporate Seal

NOTARY SEAL
Affidavit must be notarized for Line (2)
Subscribed and sworn to before me this
The_____day of _____ 20____

_____
Signature of Notary Public
of _____ County
State of _____

My Commission Expires:_____

NOTARY SEAL
Affidavit must be notarized for Line (3)
Subscribed and sworn to before me this
The_____day of _____ 20____

_____
Signature of Notary Public
of _____ County
State of _____

My Commission Expires:_____

NOTARY SEAL
Affidavit must be notarized for Line (4)
Subscribed and sworn to before me this
The_____day of _____ 20

_____
Signature of Notary Public
of _____ County
State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

Name of Contractor

_____
Individual name

Trading and doing business as

_____
Full name of Firm

_____
Address as Prequalified

_____ _____
Signature of Witness Signature of Contractor, Individually

_____ _____
Print or type Signer's name Print or type Signer’s name

AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to before me this the

_____ day of _____ 20__.

NOTARY SEAL

_____
Signature of Notary Public

of _____County

State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

EXECUTION OF BID
NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor an y official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S. § 133-24* within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty o f perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in thi s procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR

Name of Contractor

_____
Print or type Individual name

_____
Address as Prequalified

_____
Signature of Contractor, Individually

_____
Print or type Signer's Name

_____
Signature of Witness

_____
Print or type Signer’s name

AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to before me this the

_____ day of _____ 20__.

NOTARY SEAL

_____
Signature of Notary Public

of _____County

State of _____

My Commission Expires:_____

Contract No. _____ Rev. 4-19-11

County _____

DEBARMENT CERTIFICATION

Conditions for certification:

1. The prequalified bidder shall provide immediate written notice to the Municipality if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Municipality, or has become erroneous because of changed circumstances.

2. The terms *covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal,* and *voluntarily excluded,* as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Municipality project representative.

3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in Municipal contracts, unless authorized by the Municipality.

4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Municipality, without subsequent modification, in all lower tier covered transactions.

5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.

6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

7. Except as authorized in paragraph 6 herein, the Municipality may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

Contract No. _____ Rev. 4-19-11

County _____

DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and

d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

☐ Check here if an explanation is attached to this certification.

_____ County (ies)

LISTING OF MBE & WBE SUBCONTRACTORS

Sheet _____ of _____

FIRM NAME AND ADDRESS	MBE or WBE	ITEM NO.	ITEM DESCRIPTION	* AGREED UPON UNIT PRICE	** DOLLAR VOLUME OF ITEM

Contract No. _____ **County** _____ **Firm** _____

This form must be completed in order for the Bid to be considered responsive and be publicly read.
Bidders with no MBE and/or WBE participation must so indicate this on the form by entering the word or number *zero*.

_____ County (ies)

LISTING OF MBE & WBE SUBCONTRACTORS

Sheet _____ of _____

FIRM NAME AND ADDRESS	MBE or WBE	ITEM NO.	ITEM DESCRIPTION	* AGREED UPON UNIT PRICE	** DOLLAR VOLUME OF ITEM

* The Dollar Volume shown in this column shall be the Actual Price Agreed Upon by the Prime Contractor and the MBE and/or WBE subcontractor, and these prices will be used to determine the percentage of the MBE and/or WBE participation in the contract.

** Must have entry even if figure to be entered is zero.

** Dollar Volume of MBE Subcontractor $ _____

MBE Percentage of Total Contract Bid Price _____ %

** Dollar Volume of WBE Subcontractor $ _____

WBE Percentage of Total Contract Bid Price _____ %

This form must be completed in order for the Bid to be considered responsive and be publicly read.
Bidders with no MBE and/or WBE participation must so indicate this on the form by entering the word or number *zero*.

SUBSTITUTE FORM W-9

VENDOR REGISTRATION FORM
NORTH CAROLINA DEPARTMENT OF TRANSPORTATION

Pursuant to Internal Revenue Service (IRS) Regulations, vendors must furnish their Taxpayer Identification Number (TIN) to the State. If this number is not provided, you may be subject to a 20% withholding on each payment. To avoid this 20% withholding and to insure that accurate tax information is reported to the Internal Revenue Service and the State, please use this form to provide the requested information exactly as it appears on file with the IRS.

INDIVIDUAL AND SOLE PROPRIETOR: ENTER NAME AS SHOWN ON SOCIAL SECURITY CARD
CORPORATION OR PARTNERSHIP : ENTER YOUR LEGAL BUSINESS NAME

NAME: _____

MAILING ADDRESS: STREET/PO BOX: _____

CITY, STATE, ZIP: _____

DBA / TRADE NAME (IF APPLICABLE): _____

BUSINESS DESIGNATION:

☐ INDIVIDUAL (use Social Security No.) ☐ SOLE PROPRIETER (use SS No. or Fed ID No.)
☐ CORPORATION (use Federal ID No.) ☐ PARTNERSHIP (use Federal ID No.)
☐ ESTATE/TRUST (use Federal ID no.) ☐ STATE OR LOCAL GOVT. (use Federal ID no.)
☐ OTHER / SPECIFY _____

SOCIAL SECURITY NO. ___ ___ ___ - ___ ___ - ___ ___ ___ ___ (Social Security #)
OR
FED.EMPLOYER IDENTIFICATION NO. ___ ___ - ___ ___ ___ ___ ___ ___ ___ (Employer Identification #)

COMPLETE THIS SECTION IF PAYMENTS ARE MADE TO AN ADDRESS OTHER THAN THE ONE LISTED ABOVE:

REMIT TO ADDRESS: STREET / PO BOX: _____

CITY, STATE, ZIP: _____

Participation in this section is voluntary. You are not required to complete this section to become a registered vendor. The information below will in no way affect the vendor registration process and its sole purpose is to collect statistical data on those vendors doing business with NCDOT. If you choose to participate, circle the answer that best fits your firm's group definition.

What is your firm's ethnicity? (☐Prefer Not To Answer, ☐African American, ☐Native American, ☐Caucasian American, ☐Asian American, ☐Hispanic American, ☐Asian-Indian American, ☐Other: _____)

What is your firm's gender? (☐Prefer Not to Answer, ☐Male, ☐Female) **Disabled-Owned Business?** (☐Prefer Not to Answer, ☐Yes, ☐ No)

IRS Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding. For complete certification instructions please see IRS FORM W-9 at http://www.irs.gov/pub/irs-pdf/fw9.pdf .

NAME (Print or Type) _____ TITLE (Print or Type) _____

SIGNATURE _____ DATE _____ PHONE NUMBER _____

CONTRACT ITEMS

Work Order No. WBS# 17BP.10.R.29/WBS# 17BP.10.R.32

Replace Structure # 253 Over Cedar Branch On SR# 1415/Replace Structure #310 Over Caudle Branch On SR# 1410

County Anson

LINE NO.	MASTER ITEM NO.	SEC. NO.	ITEM DESCRIPTION	ESTIMATED QUANTITY	UNIT	UNIT PRICE	TOTAL AMOUNT
1	0000100000-N	800	MOBILIZATION	1	LS		
2	0000400000-N	801	CONSTRUCTION SURVEYING	1	LS		
3	0057000000-E	226	UNDERCUT EXCAVATION`	360	CY		
4	0063000000-N	SP	GRADING	1	LS		
5	0134000000-E	240	DRAINAGE DITCH EXCAVATION	60	CY		
6	0196000000-E	270	GEOTEXTILE FOR SOIL STABILIZATION	400	SY		
7	0318000000-E	300	FOUNDATION CONDITIONING MATERIAL	120	TON		
8	1220000000-E	545	INCIDENTAL STONE BASE	170	TON		
9	1330000000-E	607	INCIDENTAL MILLING	240	SY		
10	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	190	TON		
11	1498000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	180	TON		
12	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	130	TON		
13	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	30	TON		
14	3030000000-E	862	STEEL BM GUARDRAIL	300	LF		
15	3270000000-N	SP	GUARDRAIL ANCHOR UNITS, TYPE 350	8	EA		
16	3628000000-E	876	RIP RAP, CLASS I	102	TON		
17	3656000000-E	876	GEOTEXTILE FOR DRAINAGE	159	SY		
18	4400000000-E	1110	WORK ZONE SIGNS (STATIONARY)	384	SF		
19	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	40	SF		
20	4445000000-E	1145	BARRICADES (TYPE III)	80	LF		
21	5325600000-E	1510	6” WATER LINE	250	LF		
22	5800000000-E	1530	ABANDON 6" UTILITY PIPE	280	LF		
23	5871400000-E	1550	TRENCHLESS INSTALLATION OF 6” IN SOIL	40	LF		
24	6000000000-E	1605	TEMPORARY SILT FENCE	1265	LF		
25	6012000000-E	1610	SEDIMENT CONTROL STONE	35	TON		
26	6015000000-E	1615	TEMPORARY MULCHING	1	ACR		
27	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	100	LB		
28	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEEDING	0.50	TON		
29	6024000000-E	1622	TEMPORARY SLOPE DRAINS	400	LF		
30	6029000000-E	SP	SAFETY FENCE	200	LF		
31	6030000000-E	1630	SILT EXCAVATION	60	CY		
32	6036000000-E	1631	MATTING FOR EROSION CONTROL:	1740	SY		
33	6037000000-E	SP	COIR FIBER MATTING	190	SY		
34	6038000000-E	SP	PERMANENT SOIL REINFORCEMENT MAT	90	SY		
35	6048000000-E	SP	FLOATING TURBIDITY CURTAIN	30	SY		
36	6069000000-E	1638	STILLING BASINS	76	CY		
37	6070000000-N	1639	SPECIAL STILLING BASINS	2	EA		
38	6071010000-E	SP	WATTLE	290	LF		
39	6071020000-E	SP	POLYACRYLAMIDE (PAM)	15	LB		
40	6084000000-E	1660	SEEDING AND MULCHING	1	ACR		
41	6087000000-E	1660	MOWING	1	ACR		
42	6090000000-E	1661	SEED FOR REPAIR SEEDING	100	LB		

43	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	0.50	TON		
44	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	100	LB		
45	6108000000-E	1665	FERTILIZER TOPDRESSING	0.50	TON		
46	6114500000-N	SP	SPECIALIZED HAND MOWING	20	MHR		
47	6117000000-N	SP	RESPONSE FOR EROSION CONTROL	26	EA		
			STRUCTURE ITEMS				
48	8056000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION 12+31.31 -L-	1	LS		
49	8056000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION 12+33.22 -L-	1	LS		
50	8804000000-N	SP	GENERIC CULVERT ITEM - 112" X 75" CORRUGATED ALUMINUM ALLOY PIPE ARCH CULVERT & HEADWALLS	1	LS		
51	8804000000-N	SP	GENERIC CULVERT ITEM - INSTALLATION PRECAST REINFORCED CONCRETE 3-SIDED CULVERT AT STATION 12+33.22 -L-	1	LS		

Total Bid for Project **$** _____

CONTRACTOR _____

ADDRESS _____

_____

Federal ID No. _____

Contr. License No. _____

Telephone No. _____

Vendor No. _____

CORPORATE SEAL

Authorized Agent _____ **Title** _____

Signature _____ **Date** _____

Witness _____ **Title** _____

Signature **Date**