## STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION



#### **DIVISION 3**

# CONTRACT PROPOSAL SMALL BUSINESS ENTERPRISE

WBS ELEMENT: 3B.201012, 3B.101012, 3B.203112, 3B.103112, 3B.206512, 3B.106512, 3B.206712, 3B.106712, 3B.207112, 3B.107112, 3B.208212, 3B.108212

ROUTE: Various Primary and Secondary Routes

COUNTY: Brunswick, Duplin, New Hanover, Onslow, Pender and Sampson

DESCRIPTION: Repair and/or Replacement of Bridge Expansion Joints in Brunswick,

Duplin, New Hanover, Onslow, Pender & Sampson Counties.

BID OPENING: Thursday October 22, 2015

#### **NOTICE:**

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD OR SBE PROJECT. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA.

NAME OF BIDDER	 	
NAME OF BIDDER		
ADDRESS OF BIDDER		

RETURN BIDS TO: North Carolina Department of Transportation Mr. Lloyd G. Royall, Jr, PLS 5501 Barbados Blvd. Castle Hayne, NC 28429

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#### PROJECT SPECIAL PROVISIONS

#### **GENERAL:**

This contract is for: Repair and/or Replacement of Bridge Expansion Joints in Brunswick, Duplin, New Hanover, Onslow, Pender & Sampson Counties.

All work and materials shall be in accordance with the provisions of the General Guidelines of this contract, the Project Special Provisions, the North Carolina Department of Transportation Standard Specifications for Roads and Structures 2012, the North Carolina Department of Transportation Roadway Standards Drawings and the current edition of the Manual of Uniform Traffic Control Devices (MUTCD), with the exception that bid bonds are *not* required.

The quantities stated in the Bid Form are estimates and are not guaranteed.

The Contractor shall keep himself fully informed of all Federal, State and local laws, ordinances, and regulations, and shall comply with the provisions of Section 107 of the <u>Standard Specifications</u>.

#### SMALL BUSINESS ENTERPRISE PROGRAM

(8-13-2013)

DIV 3 – SBE

Bids are being solicited for this project under the provisions of the NCDOT's Small Business Enterprise Program.

Your firm does not have to be formally identified as a minority, woman or disabled business to qualify for this Program, but you must have had an annual gross income of \$1.5 million or less, exclusive of materials, for the previous calendar year.

The maximum contract limit is set at \$500,000. If the total bid amount of the contract exceeds this amount, the bid will not be considered for award.

Under the provisions of this Program, a NC General Contractor's License **is not required** nor are Contract Payment and Contract Performance Bonds required. Bidders shall comply with all other applicable laws, including but not limited to, those regulating the practices of electrical, plumbing, heating and air conditioning and refrigeration contracting as contained in Chapter 87 of the General Statutes of North Carolina.

Only contractors currently certified as a SBE Contractor by the Contractual Services Unit of NCDOT and is listed in the Directory of Transportation Firms at bid opening will be eligible to bid on this project.

Prospective bidders who qualify for the SBE Program and are not currently certified should submit a completed application packet prior to bid submittal to allow for review time. The application packet and additional information on the program may be obtained online at:

**Contractual Services Unit** 

ATTN: SBE

#### 1509 Mail Service Center Raleigh, NC 27699-1509

#### Additional information on the program may be obtained online at:

http://www.ncdot.org/business/ocs/sbe/

#### NON-EXCLUSIVE CONTRACT

The Department may, as it deems to be in the best interest of the State and the Department of Transportation, execute more than one contract based on this proposal. The Contractor(s) understands and agrees, by signature on the Purchase Order Contract Bid Form, that this agreement <u>does not</u> constitute an exclusive contract. If awarded, the contracts will be executed with the lowest responsible bidders. The Department of Transportation reserves the right to make multiple awards for the services provided by this contract. Furthermore, the Department reserves the right to reject all bids received.

#### **PUBLIC ADVERTISEMENT:**

There will NOT be a Pre-Bid Conference for this project. Plans and Proposal packages must be obtained by visiting: http://www.ncdot.org/doh/operations/division3/ and clicking on "Bid Opportunities and Recent Bid Results".

#### **CONTRACT TIME AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 12-18-07) 108 SP1 G10 A

The date of availability for this contract is **November 23, 2015.** 

The completion date for this contract is **June 24, 2016.** 

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

No work will be permitted and the purchase order will not be issued until all required insurance certifications have been satisfied.

#### HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS:

1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.

- 2. For **New Year's Day**, between the hours of **6:00AM** December 31st and **6:00am** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **6:00am** the following Tuesday.
- 3. For **Easter**, between the hours of **6:00pm** Thursday and **6:00am** Monday.
- 4. For **Memorial Day**, between the hours of **6:00pm** Friday and **6:00am** Tuesday.
- 5. For **Labor Day**, between the hours of **6:00pm** Friday and **6:00am** Tuesday.
- 6. For **Thanksgiving Day**, between the hours of **6:00pm** Tuesday and **6:00am** Monday.
- 7. For **Christmas**, between the hours of **6:00pm** on Friday December 14, 2012 and **6:00am** the following Tuesday after the week of Christmas Day.

Holidays and holiday weekends shall include New Year's, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas. The Contractor shall schedule his work so that lane closures are not required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated herein and place traffic in the existing traffic pattern.

Liquidated damages for this contract are **Three Hundred and Fifty Dollars** (\$350.00) per fifteen (15) minutes or portion thereof.

#### **BANKRUPTCY:**

The Department of Transportation, at its option, may terminate the contract upon filing by the Contractor of any petition for protection under the provisions of the Federal Bankruptcy Act.

#### **BIDS**:

In accordance with GS 136-28.1(b), if the total bid amount of the contract exceeds \$2,500,000, the bid will not be considered for award.

#### **CLAIMS FOR ADDITIONAL COMPENSATION OR EXTENSION OF TIME:**

Any claims for additional compensation and/or extensions of the completion date shall be submitted to the Division Engineer with detailed justification within thirty (30) days after receipt of the final invoice payment. The failure of the Contractor to submit the claim(s) within thirty days shall be a bar to recovery.

#### **CONTRACTOR CLAIM SUBMITTAL FORM:**

(9-16-08) SP1G140

If the Contractor elects to file a written claim or requests an extension of contract time, it shall be submitted on the *Contractor Claim Submittal Form (CCSF)* available through the Construction Unit or <a href="http://ncdot.org/doh/operations/dp\_chief\_eng/constructionunit/formsmanuals/">http://ncdot.org/doh/operations/dp\_chief\_eng/constructionunit/formsmanuals/</a>.

#### **DEFAULT OF CONTRACT:**

The Department of Transportation shall have the right to declare a default of contract for breach by the Contractor of any material term or condition of the contract. Default of contract shall be in accordance with the terms, conditions, and procedures of Article 108-9 of the <u>Standard</u> Specifications.

#### EROSION, SILTATION, AND POLLUTION CONTROL:

The Contractor shall exercise every reasonable precaution and take all necessary measures throughout the life of the project to prevent erosion, siltation, and pollution in accordance with Section 107-13 of the Standard Specifications.

#### **OVERRUNS AND UNDERRUNS**

The provisions of article 104-5 of the standard specifications shall not apply to this contract.

#### **SAFETY AND ACCIDENT PROTECTION**

In accordance with Article 107-21 of the Standard Specifications, the Contractor shall comply with all applicable Federal, State, and local laws, ordinances, and regulations governing safety, health, and sanitation, and shall provide all safeguards, safety devices, and protective equipment, and shall take any other needed actions, on his own responsibility that are reasonably necessary to protect the life and health of employees on the job and the safety of the public, and to protect property in connection with the performance of the work covered by the contract.

#### **UTILITY CONFLICTS**

The Contractor shall adhere to all applicable regulations and follow accepted safety procedures when working in the vicinity of utilities in order to insure the safety of construction personnel and the public.

#### WORKERS' COMPENSATION INSURANCE

Pursuant to N.C.G.S. § 97-19, all contractors of the Department of Transportation are, prior to beginning services, required to show proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured subcontractors stating that it has complied with N.C.G.S. § 97-93 irrespective of whether subcontractors have regularly in service fewer than three employees in the same business within the State of North Carolina, and subcontractors shall be hereinafter liable under the Workers' Compensation Act for payment of

compensation and other benefits to its employees for any injury or death due to an accident arising out of and in the course of performance of the work insured by the subcontractor.

#### PROSECUTION OF WORK:

(7-1-95) (Rev. 8-21-12) 108 SPI G15R

The Contractor will be required to prosecute the work in a continuous and uninterrupted manner from the time he begins the work until completion and final acceptance of the project. The Contractor will not be permitted to suspend his operations except for reasons beyond his control or except where the Engineer has authorized a suspension of the Contractor's operations in writing.

In the event that the Contractor's operations are suspended in violation of the above provisions, the sum of \$ 500.00 will be charged the Contractor for each and every calendar day that such suspension takes place. The said amount is hereby agreed upon as liquidated damages due to extra engineering and maintenance costs and due to increased public hazard resulting from a suspension of the work. Liquidated damages chargeable due to suspension of the work will be additional to any liquidated damages that may become chargeable due to failure to complete the work on time.

#### POSTED WEIGHT LIMITS

(7-1-95) (Rev. 8-21-12) 107 SP1 G 24R

The Contractor's attention is directed to the fact that many Primary and Secondary Roads and bridges are posted with weight limits less than the legal limit. Do not exceed the posted weight limits in transporting materials and/or equipment to the projects, unless otherwise indicated below. Make a thorough examination of all projects and haul routes and be prepared to discuss them at the Preconstruction Conference.

#### **PAYMENT**

The Contractor may submit a request for partial payment on a monthly basis, or other interval as approved by the Engineer. The amount of partial payments will be based on the work accomplished and accepted as the last day of the approved pay period.

Requests for payment can be made by Contractor's Invoice, the Invoice shall be submitted to:

Mr. Trevor K. Carroll, PE 5501 Barbados Blvd. Castle Havne, NC 28429

All invoice items and unit costs shall correspond to the contract pay items. In the event of error or discrepancy in items or unit costs, the Department may return the invoice to the contractor for correction.

The DBE-IS form, listing of Minority Business (MB) and Women's Business (WB) participation, shall be submitted with all requests for payment. If there is no participation the word "None" or the figure "0" shall be shown. This form may be found on the NCDOT forms website.

#### **SUBSURFACE INFORMATION:**

(7-1-95) 450 SPI GI12 A

There is **no** subsurface information available on this project. The Contractor shall make his own investigation of subsurface conditions.

### COOPERATION BETWEEN CONTRACTORS 105-7

(7-1-95) 105-7 SPI G133

The Contractor's attention is directed to Article 105-7 of the 2012 Standard Specifications.

The Contractor on this project shall cooperate with the Contractor working within or adjacent to the limits of this project to the extent that the work can be carried out to the best advantage of all concerned.

#### **OUTSOURCING OUTSIDE THE USA**

(9-21-04) (Rev. 5-16-06) SP1 G150

All work on consultant contracts, services contracts, and construction contracts shall be performed in the United States of America. No work shall be outsourced outside of the United States of America.

*Outsourcing* for the purpose of this provision is defined as the practice of subcontracting labor, work, services, staffing, or personnel to entities located outside of the United States.

The North Carolina Secretary of Transportation shall approve exceptions to this provision in writing.

#### GIFTS FROM VENDORS AND CONTRACTORS:

(12-15-09) SPI G152

By Executive Order 24, issued by Governor Perdue, and *N.C. G.S.§ 133-32*, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (A) Have a contract with a governmental agency; or
- (B) Have performed under such a contract within the past year; or
- (C) Anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and G.S. § 133-32.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24..

**EMPLOYMENT** 

(11-15-11) (Rev. 1-17-12) 108, 102 SPI G184

Revise the 2012 Standard Specifications as follows:

**Page 1-20, Subarticle 102-15(O)**, delete and replace with the following:

(O) Failure to restrict a former Department employee as prohibited by Article 108-5.

Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

#### STATE HIGHWAY ADMINISTRATOR TITLE CHANGE

(9-18-12

SP1 G185

Revise the 2012 Standard Specifications as follows:

Replace all references to "State Highway Administrator" with "Chief Engineer".

#### DAMAGE TO EXISTING PAVEMENT, BASE, SUBGRADE AND PROPOSED PAVEMENT

In addition to the requirements of the <u>Standard Specifications</u> concerning this subject, the Contractor is cautioned that he will be held responsible for all damages to the pavement, base, and subgrade caused by his operations, including but not limited to, rutting and shoving of the existing or proposed pavement and yielding or rutting of the existing base and subgrade.

The Contractor is cautioned to limit the weight of his equipment and the frequency of hauls so as to not damage the existing pavement, base, subgrade and the proposed pavement.

Any subgrade or base failures which the Contractor finds prior to the beginning of his operations or during the conditioning of the existing base are to be brought to the attention of the Engineer in writing. Repairs to those areas will be made by DOT forces. Once these deficient areas have been repaired, the requirements of this Special Provision will fully apply.

#### **DRIVEWAYS AND PRIVATE PROPERTY**

The Contractor shall maintain access to driveways for all residents and property owners throughout the life of the project.

The Contractor shall not perform work for private citizens or agencies in conjunction with this project or within the project limits of this contract.

#### NOTIFICATION OF OPERATIONS

The Contractor shall notify the Engineer three weeks in advance of beginning work on this project. The Contractor shall give the Engineer sufficient notice of all operations for any sampling, inspection or acceptance testing required.

#### PLAN, DETAIL AND QUANTITY ADJUSTMENTS

The Department reserves the right to make, at any time during the progress of the work, such alterations in plans or the details of construction as may be found necessary or desirable by the Engineer to complete the project.

#### PRECONSTRUCTION CONFERENCE

In accordance with Section 108-3 of the Standard Specifications, a preconstruction conference will be required prior to beginning work.

#### PROSECUTION AND PROGRESS

The Contractor shall prepare and submit to the Engineer a proposed schedule of operations prior to beginning work on this project. The schedule should indicate the proposed chronological sequence of operations and may be revised within the limits of the contract with the approval of the Engineer.

No work may be performed on legal State holidays. Work shall only be performed when weather and visibility conditions allow safe operations. The Contractor's vehicles and equipment shall not be parked within the State Highway System right of way overnight or at other times when work has been suspended unless approved by the Engineer. The Engineer may designate specific locations for parking equipment.

The Contractor shall temporarily remove his equipment from the travelway for emergency vehicles and school buses as directed by the Engineer.

#### **UTILITY COORDINATION**

It shall be the responsibility of the Contractor to contact all affected utility owners and determine the precise locations of all utilities prior to beginning construction. Utility owners shall be contacted a minimum of 72 hours prior to the commencement of operations. Special care shall be used in working around or near existing utilities, protecting them when necessary to provide uninterrupted service. In the event that any utility service is interrupted, the Contractor shall notify the utility owner immediately and shall cooperate with the owner, or his representative, in the restoration of service in the shortest time possible. Existing fire hydrants shall be kept accessible to fire departments at all times.

The Contractor shall make a reasonable effort to prevent utility relocations. The Engineer shall be notified for approval prior to any utility relocation. The Contractor shall be responsible for all other utility coordination.

Utility coordination will be incidental to the project for which no direct compensation will be made.

#### **PROJECT SPECIAL PROVISIONS**

#### **NOTES TO CONTRACTOR:**

The Contractors attention is directed to the following:

The Contractor shall not close more than one lane of traffic without prior approval of the engineer.

Portable "Road Construction Ahead" signs may be used in lieu of post mounted signs, as directed by the engineer.

#### **MAINTENANCE OF PROJECT:**

The Contractor shall be responsible for maintaining the project as directed by Section 104-10 in the Standard Specifications.

#### **COOPERATION WITH STATE FORCES AND OTHER CONTRACTORS:**

The Contractor must cooperate with State forces and other contractors working within the limits of this project as directed by the Engineer

#### **VICINITY MAPS:**

Vicinity Maps for Brunswick, Duplin, New Hanover, Onslow, Pender and Sampson Counties may be viewed on the website at <a href="http://www.ncdot.org/doh/operations/dp\_chief\_eng/maintenance/bridge/">http://www.ncdot.org/doh/operations/dp\_chief\_eng/maintenance/bridge/</a>. Please note that the maps on the website may not contain all of the information relevant to this contract.

#### **SILICON EXPANSION JOINT REPLACEMENT:**

Contractor shall remove the existing expansion joint, clean the area in accordance with the manufacturer's recommendations, and place the silicon expansion joint in accordance with the manufacturer's recommendations. The expansion joint shall be replaced with the materials stated in this contract.

All materials shall be delivered unopened in their original containers bearing the manufacturer's label, date of manufacture, batch number, trade name brand, and quantity. Sufficient material to perform the entire expansion joint shall be "on hand" prior to removing the existing expansion joint. Stored materials may be inspected prior to their use and shall meet the requirements of these provisions. Each shipment of repair material shall be accompanied by Material Safety Data Sheets (MSDS) and a certificate of compliance certifying that the materials conform to the requirements of these provisions. The repair material shall be one of the following approved products:

#### Joints less than or equal to one (1) inch in width:

Sealant – Dow 888

Baysilon 960 (silicon based)

#### Joints greater than one (1) inch in but less than three (3) inches in width:

Sealant – Dow 902 (silicon based)

The entire cost for the silicon expansion joint replacement including but not limited to labor, maintenance, equipment, tools, and incidentals will be included in the unit prices for Silicon Joint Replacement.

#### Payments shall be made under:

Linear Feet"

#### **EVAZOTE EXPANSION JOINT REPLACEMENT:**

#### Joint openings less than or equal to five (5) inches in width.

Contractor shall remove the existing expansion joint, clean the area in accordance with the manufacturer's recommendations, and place the evazote expansion joint in accordance with the manufacturer's recommendations. Contractor shall have a manufacturer's representative present during the installation of the first evazote expansion joint of the project. The expansion joint shall be replaced with the materials stated in this contract.

All materials shall be delivered unopened in their original containers bearing the manufacturer's label, date of manufacture, batch number, trade name brand, and quantity. Sufficient material to perform the entire expansion joint shall be "on hand" prior to removing the existing expansion joint. Stored materials may be inspected prior to their use and shall meet the requirements of these provisions. Each shipment of repair material shall be accompanied by Material Safety Data Sheets (MSDS) and a certificate of compliance certifying that the materials conform to the requirements of these provisions.

#### **Evazote Joint Seal Specifications**

Use preformed seals compatible with concrete and resistant to abrasion, oxidation, oils, gasoline, salt and other materials that are spilled on or applied to the surface. Use a low-density closed cell, cross-linked ethylene vinyl acetate polyethylene copolymer nitrogen blown material for the seal. Use seals manufactured with grooves 1/8" (3 mm) ± wide by 1/8" (3 mm) ± deep and spaced between 1/4 (6 mm) and 1/2 inch (13 mm) apart along the bond surface running the length of the joint. Use seals sized so that the depth of the seal meets the manufacturer's recommendation, but is not less than 70% of the uncompressed width. Provide a seal designed so that, when compressed, the center portion of the top does not extend upward above the original height of the seal by more than 1/4 inch (6 mm). Splice the seal using the heat welding method by placing the joint material ends against a Teflon heating iron of 350°F (177°C) for 7 - 10 seconds, then pressing the ends together tightly. Do not test the welding until the material has completely cooled. Use material that resists weathering and ultraviolet rays. Provide a seal that has a working range of 30% tension and 60% compression and is watertight along its entire length including the ends. Have the top of the evazote seal clearly shop marked. Inspect the evazote seals upon receipt to ensure that the marks are clearly visible upon installation. Provide seals that meet the requirements given below:

REQUIREMENT **TEST TEST METHOD** 110 - 130 psiTensile strength ASTM D3575-08, Suffix T (758 - 896 kpa)Compression Set **ASTM D1056** 10% - 16% Suffix B, 2 hr recovery Water Absorption  $< 0.03 \text{ lb/ft}^2$ **ASTM D3575** (< 0.001 kpa)180% - 210% Elongation at Break **ASTM D3575** Tear Strength ASTM D624 (D3575-08, Suffix G) 14 - 20 pli $1.8 - 2.2 \text{ lb/ft}^3$ ASTM D3575-08, Density

	Suffix W, Method A	$(28.8 - 35.2 \text{ kg/m}^3)$
Toxicity	ISO-10993.5	Pass (not cytotoxic)

#### **Adhesives**

Use a two component, 100% solid, modified epoxy adhesive with the seal that meets the requirements of ASTM C881, Type 1, Grade 3, Class B & C and has the following physical properties:

TEST	TEST METHOD	REQUIREMENT
Tensile strength	ASTM D638	3000 psi (20.7 MPa) min.
Compressive strength	ASTM D695	7000 psi (48.3 MPa) min.
Hardness	Shore D Scale	75-85 psi (0.51-0.58 MPa)
Water Absorption	ASTM D570	0.25% by weight max.
Elongation to Break	ASTM D638	5% max.
Bond Strength	ASTM C882	2000 psi (13.8 MPa) min.

Use an adhesive that is workable to 40°F (4°C). When installing in temperatures below 40°F (4°C) or for application on moist, difficult to dry concrete surfaces, use an adhesive specified by the manufacturer of the joint material.

#### **Sawing the Joint**

The joint opening shall be initially formed to the width shown on the plans including the blockout for the elastomeric concrete.

The elastomeric concrete shall cure a minimum of 2 days prior to sawing the elastomeric concrete to the final width and depth as specified in the plans.

When sawing the joint to receive the evazote seal, always use a rigid guide to control the saw in the desired direction. To control the saw and to produce a straight line as indicated on the plans, anchor and positively connect a template or a track to the bridge deck. Do not saw the joint by visual means such as a chalk line. Fill the holes used for holding the template or track to the deck with an approved, flowable non-shrink, non-metallic grout.

Saw cut to the desired width and depth in one or two passes of the saw by placing and spacing two metal blades on the saw shaft to the desired width for the joint opening.

The desired depth is the depth of the seal plus 1/4" (6 mm) above the top of the seal plus approximately 1" (25 mm) below the bottom of the seal. An irregular bottom of sawed joint is permitted as indicated on the plans. Grind exposed corners on saw cut edges to a 1/4" (6 mm) chamfer.

Saw cut a straight joint, centered over the formed opening and to the desired width specified in the plans. Prevent any chipping or damage to the sawed edges of the joint.

Remove any staining or deposited material resulting from sawing with a wet blade to the satisfaction of the Engineer.

#### **Preparation of Sawed Joint for Seal installation**

After sawing the joint, the Engineer will thoroughly inspect the sawed joint opening for spalls, popouts, cracks, etc. All necessary repairs will be made by the Contractor prior to blast cleaning and installing the seal.

Clean the joints by sandblasting with clean dry sand immediately before placing the bonding agent. Sandblast the joint opening to provide a firm, clean joint surface free of curing compound, loose material and any foreign matter. Sandblast the joint opening without causing pitting or uneven surfaces. The aggregate in the elastomeric concrete may be exposed after sandblasting.

After blasting, either brush the surface with clean brushes made of hair, bristle or fiber, blow the surface with compressed air, or vacuum the surface until all traces of blast products and abrasives are removed from the surface, pockets, and corners.

If nozzle blasting is used to clean the joint opening, use compressed air that does not contain detrimental amounts of water or oil.

Examine the blast cleaned surface and remove any traces of oil, grease or smudge deposited in the cleaning operations.

Bond the seal to the blast cleaned surface on the same day the surface is blast cleaned.

#### **Seal Installation**

Install the joint seal according to the manufacturer's procedures and recommendations and as recommended below. Do not install the joint seal if the ambient air or surface temperature is below 45°F (7°C). Evazote joint splicing shall not exceed 2 splices per joint.

Have a manufacturer's certified trained factory representative present during the installation of the first seal of the project.

Before installing the joint seal, check the uninstalled seal length to insure the seal is the same length as the deck opening. When the joint seal requires splicing, use the heat welding method by placing the joint material ends against a teflon heating iron of 425-475°F (218-246°C) for 7 - 10 seconds, then pressing the ends together tightly. Do not test the welding until the material has completely cooled.

Begin installation by protecting the top edges of the concrete deck adjacent to the vertical walls of the joint as a means to minimize clean up. After opening both cans of the bonding agent, stir each can using separate stirring rods for each component to prevent premature curing of the bonding agent. Pour the two components, at the specified mixing ratio, into a clean mixing bucket. Mix the components with a low speed drill (400 rpm max.) until a uniform gray color is achieved without visible marbling. Apply bonding agent to both sides of the elastomeric concrete as well as both sides of the joint seal, making certain to completely fill the grooves with epoxy. With gloved hands, compress the joint seal and with the help of a blunt probe, push the seal into the joint opening until the seal is recessed approximately 1/4" (6 mm) below the surface. When pushing down on the joint seal, apply pressure only in a downward direction. Do not push the joint seal into the joint opening at an angle that would stretch the material. Seals that are stretched during installation shall be removed and rejected. Once work on

placing a seal begins, do not stop until it is completed. Clean the excess epoxy from the top of the joint seal immediately with a trowel. Do not use solvents or any cleaners to remove the excess epoxy from the top of the seal. Remove the protective cover at the joint edges and check for any excess epoxy on the surface. Remove excess epoxy with a trowel, the use of solvents or any cleaners will not be allowed.

The installed system shall be watertight and will be monitored until final inspection and approval.

#### Payments shall be made under:

"Evazote Joint Replacement "Evazote Joint Replacement w/ Saw Cut

Linear Feet" Linear Feet"

#### **ELASTOMERIC CONCRETE PLACEMENT:**

Elastomeric concrete is a mixture of a two-part polymer consisting of polyurethane and/or epoxy and kiln-dried aggregate. Provide an elastomeric concrete and binder system that is preapproved.

Contractor shall repair damaged concrete adjacent to joints as directed by the Engineer with elastomeric concrete.

Contractor shall submit falsework plans for approval. Falsework plans shall take into account expansion of the bridge deck due to changes in temperature.

Do not place elastomeric concrete if the ambient air temperature is below 45°F (7°C). Prior to placing the elastomeric concrete, thoroughly clean and dry all concrete surfaces. Sandblast the concrete surface in the blockout and clear the surface of all loose debris. Prepare and apply a primer, as per manufacturer's recommendations, to all vertical concrete faces, all steel components to be in contact with elastomeric concrete, and to areas specified by the manufacturer.

Prepare, batch, and place the elastomeric concrete in accordance with the manufacturer's instructions. Place the elastomeric concrete while the primer is still tacky and within 2 hours after applying the primer. Pay careful attention to properly consolidate the elastomeric concrete around the steel and anchors.

Tarps are to be utilized under the mixing areas, and the bridge deck joint shall be taped off to protect the bridge deck from spills during elastomeric concrete installation.

Provide materials that comply with the following minimum requirements at 14 days.

ELASTOMERIC CONCRETE PROPERTIES	TEST METHOD	MINIMUM REQUIREMENT
Compressive Strength, psi (MPa)	ASTM D695	2000 (13.8)
5% Deflection Resilience	ASTM D695	95
Splitting Tensile Strength	ASTM D3967	625 (4.31)
Bond Strength to Concrete, psi (MPa)	ASTM C882 (C882M)	450 (3.10)

BINDER PROPERTIES (without aggregate)	TEST METHOD	MINIMUM REQUIREMENT
Tensile Strength, psi (MPa)	ASTM D638	1000 (6.89)
Ultimate Elongation	ASTM D638	150%
Tear Resistance, lb/in (kN/m)	ASTM D624	200 (34.9)

In addition to the requirements above, use elastomeric concrete that also resists water, chemical, UV, and ozone exposure and withstands extreme temperature (freeze-thaw) changes. Elastomeric concrete systems requiring preheated aggregates are not allowed.

Furnish a manufacturer's certification verifying that the materials satisfy the above requirements. Provide samples of elastomeric concrete to the Engineer, if requested, to independently verify conformance with the above requirements.

The entire cost for joint repair using elastomeric concrete including but not limited to labor, maintenance, equipment, tools, and incidentals will be included in the unit prices for Joint Repair using Elastomeric Concrete. Linear feet measurement will include both sides of the joint to be repaired.

#### Payments shall be made under:

"Joint Repair using Elastomeric Concrete (less than 16" wide and less than 2" deep) "Joint Repair using Elastomeric Concrete (greater than 16" wide or greater than 2"deep)

**Linear Feet**"

Cubic Feet"

#### **ASPHALT JOINT REPAIR AND REPLACEMENT:**

Joint material shall be hot applied, pre-mixed bituminous material that will provide a smooth riding surface and a waterproof joint. The contractor shall prepare the surface to be repaired by saw cutting to the desired width, removing all loose material, and cleaning the area with compressed air.

No repairs shall be made during periods of rain, snow, or sleet. Standing water shall be removed prior to placement of material.

Steel bridging plates shall be used as needed to provide load transfer across the joint opening. Plates shall be galvanized mild steel, 1/8" to 1/4" thick, and 4" to 9" wide.

Bulking aggregate shall be chosen from the Basalt, Gritstone, Gabbro, or Granite Family. Only ½" or ¾" size stone shall be used. The size of the stone to be used shall be in accordance with the following joint depths:

2"-3" depth ½" stone Greater than 3" depth ¾" stone

#### **Material Requirements**

All materials shall meet the specifications as approved by the Engineer prior to use. The joint material shall be one of the following approved products:

FibreJoint, A/P Bridge Flex Joint or other NCDOT approved material.

#### Payments shall be made under:

"Asphalt Joint Repair/Replacement (18"-24" wide, w/ plate)"

**Linear Feet** 

#### **QUALITY:**

Completed work shall be clean and free of all accumulated debris immediately after sweeping as determined by the Engineer's Inspector.

The Contractor shall correct any deficiency no later than seventy-two (72) hours following receipt of such notice.

The Department of Transportation will verify completion of the Contractor's work on a monthly basis before payment of invoice.

#### **LIABILITY INSURANCE:**

(11-18-08) SPI G80

### Page 1-68, Article 107-16 is amended to include the following as the first, second, third and fourth paragraphs:

The Contractor shall be liable for any losses resulting from a breach of the terms of this contract. The Contractor shall be liable for any losses due to the negligence or willful misconduct of its agents, assigns and employees including any sub-contractors which causes damage to others for which the Department is found liable under the Torts Claims Act, or in the General Courts of Justice, provided the Department provides prompt notice to the Contractor and that the Contractor has an opportunity to defend against such claims. The Contractor shall not be responsible for punitive damages.

The Contractor shall at its sole cost and expense obtain and furnish to the Department an original standard ACORD form certificate of insurance evidencing commercial general liability with a limit for bodily injury and property damage in the amount of \$5,000,000.00 per occurrence and general aggregate, covering the Contractor from claims or damages for bodily injury, personal injury, or for property damages which may arise from operating under the contract by the employees and agents of the Contractor. The required limit of insurance may be obtained by a single general liability policy or the combination of a general liability and excess liability or umbrella policy. The State of North Carolina shall be named as an additional insured on this commercial general liability policy. The policy may contain the following language as relates to the State as an additional insured: "This insurance with respect to the additional insured applies only to the extent that the additional insured is held liable for your or your agent's acts or omissions arising out of and in the course of operations performed for the additional insured."

The Contractor shall maintain all legally required insurance coverage, including without limitation, worker's compensation and vehicle liability, in the amounts required by law. Providing and maintaining adequate insurance coverage is a material obligation of the contractor and is of the essence of this contract. All such insurance shall meet all laws of the State of North Carolina. Such insurance coverage shall be obtained from companies that are authorized to provide such coverage and that are authorized by the Commissioner of Insurance to do business in North Carolina. The Contractor shall at all times comply with the terms of such insurance policies.

Upon execution of the contract, provide evidence of the above insurance requirements to the Engineer.

The successful bidder, within fourteen (14) days after notice of award, shall provide the Department with proof of insurance.

#### **MATERIALS AND TESTING:**

The Engineer reserves the right to perform all sampling and testing in accordance with Section 106 of the <u>Standard Specifications</u> and the Department's "Materials and Test Manual." However the Engineer may reduce the frequency of sampling and testing where he deems it appropriate for the project under construction.

The Contractor shall furnish the applicable certifications and documentation for all materials as required by the <u>Standard Specifications</u>. Material which is not properly certified will not be accepted. Delivery tickets for all asphalt material shall be furnished in accordance with Section 106-7 of the <u>Standard Specifications</u> and shall include the following information:

- 1. NCDOT WBS Element
- 2. Date
- 3. Time issued
- 4. Type of Material
- 5. Gross weight
- 6. Tare Weight
- 7. Net weight of material
- 8. Plant Location
- 9. Truck Number
- 10. Contractor's name
- 11. Public weighmaster's stamp or number
- 12. Public weighmaster's signature or initials in ink
- 13. Job mix formula number

#### **WORK ZONE TRAFFIC CONTROL GENERAL REQUIREMENTS**

#### TEMPORARY TRAFFIC CONTROL (TTC)

(7-16-13) (Rev. 2-18-14) RWZ-1

Maintain traffic in accordance with Divisions 10, 11 and 12 of the 2012 Standard Specifications and the following provisions:

Install Work Zone Advance Warning Signs in accordance with the detail drawing provided in these plans prior to beginning any other work. Use a lane closure or slow moving operation to complete the work, as necessary, unless otherwise indicated. Refer to Standard Drawing No. 1101.02, 1101.11, 1110.01, 1110.02, 1130.01 1135.01 and 1180.01 of the 2012 Roadway Standard Drawings. Use a moving operation only if the minimum speed maintained at all times is 3 mph with no stops that narrow or close a lane of travel. If the moving operation is progressing slower than 3 mph at any time, install a lane closure. Maintain the existing traffic pattern at all times, except in the immediate work zone where lane closures are allowed as determined by the Engineer.

Refer to attached details and Standard Drawing No. 1101.02, 1101.03, 1101.04, 1101.05, 1101.11, 1110.01, 1110.02, 1115.01, 1130.01, 1135.01, 1145.01, 1150.01, 1165.01, and 1180.01 of the 2012 Roadway Standard Drawings when closing a lane of travel in a stationary work zone such as pavement patching resurfacing, or pavement marking removal. Properly ballasted cones and skinny drums may be used instead of drums. However, drums are required for the upstream taper portion of lane closures in all applications. The stationary work zone shall be a maximum of 1 mile in length at any given time on 2 Lane, 2 Way facilities unless otherwise approved by the Engineer. A pilot vehicle operation may be used in conjunction with flaggers and the appropriate pilot vehicle warning signing as directed by the Engineer. During periods of construction inactivity, return the traffic pattern to the existing alignment and remove or cover any work zone signs. When covering work zone signs, use an opaque material that prevents reading of the sign at night by a driver using high beam headlights. Use material, which does not damage the sign sheeting. Replace any obliterated markings as required by other sections of the 2012 Standard Specifications and the Engineer.

When personnel and/or equipment are working on the shoulder adjacent to and within 5 feet of an open travel lane, close the nearest open travel lane using Standard Drawing No. 1101.02 of the 2012 Roadway Standard Drawings. When personnel and/or equipment are working within a lane of travel of an undivided facility, close the lane according to the traffic control plans, 2012 Roadway Standard Drawings or as directed by the Engineer. Conduct the work so that all personnel and/or equipment remain within the closed travel lane. Do not work simultaneously, on both sides of an open travel way, within the same location, on a two-lane, two-way road. Perform work only when weather and visibility conditions allow safe operations as directed by the Engineer.

When utilizing a slow-moving operation for such items as pavement marking and marker placement, as a minimum the slow moving operation caravan shall consist of the vehicles and devices shown on the Moving Operation Caravan Details according to Roadway Standard Drawing No. 1101.02, sheet 11 of the 2012 Roadway Standard Drawings. Traffic cones may be used when necessary to provide additional protection of wet pavement markings. Ballast all traffic cones so they will not be blown over by traffic.

#### **Work Zone Signing:**

#### **Description**

Install advance/general warning work zone signs according to the Detail Drawing provided in these plans prior to beginning of work. Install and maintain signing in accordance with the attached drawings and Divisions 11 and 12 of the 2012 Standard Specifications.

#### (A) Installation

All stationary Advance/General warning work zone signs require notification to existing Utility owners per Article 105-8 of the 2012 Standard Specifications and Special Provision SP1 G115 within 3 to 12 full working days prior to installation.

Install all Advance/General warning work zone signs before beginning work on a particular map. If signs are installed more than seven (7) calendar days prior to the beginning of work on a particular map, cover the signs until the work begins. Install each work zone Advance/General warning sign separately and not on the same post or stand with any other sign except where an advisory speed plate or directional arrow is used.

All stationary signing is to be installed as shown on the detail drawing unless otherwise directed by the Engineer. Once the signs have been installed, any sign relocations requested by the Department will be compensated in accordance with Article 104-7. Any additional signs other than the ones shown in the drawing will be compensated in accordance with Article 104-7.

No stationary -Y- Line advance warning signage is required unless there's more than 1,000 feet of resurfacing along the -Y- line. Whenever work proceeds through an intersection, portable signs shall be used for traffic control. There will be no direct compensation for any portable signing.

If there is a period of construction inactivity longer than 14 calendar days, remove or cover advance/general warning work zone signs. Uncover advance/general warning work zone signs no more than 7 calendar days before work resumes. All other operations may be suspended upon failure to comply with the above requirements. Such suspended operations would not be resumed until the above requirements are fulfilled.

#### (B) Sign Removal

All stationary work zone signs shall be removed once the project is substantially complete. The project is substantially complete when the resurfacing operations are completed and the shoulders are brought up to the same elevation as the proposed pavement and when pavement markings are installed. The pavement marking doesn't have to be the final marking material to be considered substantially complete. Any remaining punch list items are to be completed with portable work zone signing. There will be no compensation for any portable signing. Sign removal is a condition of final project acceptance.

#### (C) Lane Closure Work Zone Signs

Install any required lane closure signing needed during the life of the project in accordance with the Standard Drawing No. 1101.02, 1101.11 and 1110.02 of the 2012 Roadway Standard Drawings. Any required portable signs for lane closures are compensated in the contract pay item for Temporary Traffic Control.

#### **Measurement and Payment**

Payment will be made for the work zone signing items that have been included in the contract. No direct payment will be made for providing other work zone signing as required herein, as the cost of same will be considered incidental to the work being paid for under those various work zone signing items that have been included. Where the Contractor provides work zone signing as required herein but no specific pay items have been included in the contract, all associated costs will be considered incidental to the work being paid for under the various items in the contract.

## STANDARD SPECIAL PROVISION AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the 2012 Standard Specifications

#### **STANDARD SPECIAL PROVISION**

#### **ERRATA**

(1-17-12) (Rev. 04-21-15) Z-4

Revise the 2012 Standard Specifications as follows:

#### **Division 2**

Page 2-7, line 31, Article 215-2 Construction Methods, replace "Article 107-26" with "Article 107-25".

Page 2-17, Article 226-3, Measurement and Payment, line 2, delete "pipe culverts,".

Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows: Line 1, replace "(4) Buffer Zone" with "(c) Buffer Zone"; Line 12, replace "(5) Evaluation for Potential Wetlands and Endangered Species" with "(d) Evaluation for Potential Wetlands and Endangered Species"; and Line 33, replace "(6) Approval" with "(4) Approval".

#### **Division 3**

Page 3-1, after line 15, Article 300-2 Materials, replace "1032-9(F)" with "1032-6(F)".

#### **Division 4**

Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping, replace "sheet pile" with "reinforcement".

#### Division 6

Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments, replace "30" with "45".

Page 6-10, line 42, Subarticle 609-6(C)(2), replace "Subarticle 609-6(E)" with "Subarticle 609-6(D)".

**Page 6-11, Table 609-1 Control Limits,** replace "Max. Spec. Limit" for the Target Source of  $P_{0.075}/P_{be}$  Ratio with "1.0".

**Page 6-40, Article 650-2 Materials,** replace "Subarticle 1012-1(F)" with "Subarticle 1012-1(E)"

#### **Division 7**

Page 7-1, Article 700-3, CONCRETE HAULING EQUIPMENT, line 33, replace "competion" with "completion".

#### **Division 8**

Page 8-23, line 10, Article 838-2 Materials, replace "Portland Cement Concrete, Class B" with "Portland Cement Concrete, Class A".

#### **Division 10**

**Page 10-166, Article 1081-3 Hot Bitumen,** replace "Table 1081-16" with "Table 1081-2", replace "Table 1081-17" with "Table 1081-3", and replace "Table 1081-18" with "Table 1081-4".

#### **Division 12**

Page 12-7, Table 1205-3, add "FOR THERMOPLASTIC" to the end of the title.

Page 12-8, Subarticle 1205-5(B), line 13, replace "Table 1205-2" with "Table 1205-4".

Page 12-8, Table 1205-4 and 1205-5, replace "THERMOPLASTIC" in the title of these tables with "POLYUREA".

Page 12-9, Subarticle 1205-6(B), line 21, replace "Table 1205-4" with "Table 1205-6".

Page 12-11, Subarticle 1205-8(C), line 25, replace "Table 1205-5" with "Table 1205-7".

#### **Division 15**

**Page 15-4, Subarticle 1505-3(F) Backfilling, line 26,** replace "Subarticle 235-4(C)" with "Subarticle 235-3(C)".

Page 15-6, Subarticle 1510-3(B), after line 21, replace the allowable leakage formula with the following:  $W = LD\sqrt{P} + 148,000$ 

Page 15-6, Subarticle 1510-3(B), line 32, delete "may be performed concurrently or" and replace with "shall be performed".

Page 15-17, Subarticle 1540-3(E), line 27, delete "Type 1".

#### **Division 17**

Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center, delete this subarticle.

Revise the 2012 Roadway Standard Drawings as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation,** replace "1633.01" with "1631.01".

#### PLANT AND PEST QUARANTINES

(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)

(3-18-03) (Rev. 10-15-13) Z-04a

#### Within Quarantined Area

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

#### Originating in a Quarantined County

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

#### Contact

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <a href="http://www.ncagr.gov/plantind/">http://www.ncagr.gov/plantind/</a> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

#### **Regulated Articles Include**

- 1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
- 2. Plants with roots including grass sod.
- 3. Plant crowns and roots.
- 4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
- 5. Hay, straw, fodder, and plant litter of any kind.
- 6. Clearing and grubbing debris.
- 7. Used agricultural cultivating and harvesting equipment.
- 8. Used earth-moving equipment.
- 9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

#### **MINIMUM WAGES:**

 $\overline{(7-21-09)}$ 

#### FEDERAL:

The Fair Labor Standards Act provides that with certain exceptions every employer shall pay wages at the rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

#### STATE:

The North Carolina Minimum Wage Act provides that every employer shall pay to each of his employees, wages at a rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all skilled labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all intermediate labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all unskilled labor on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

This determination of the intent of the application of this act to the contract on this project is the responsibility of the Contractor.

The Contractor shall have no claim against the Department of Transportation for any changes in the minimum wage laws, Federal or State. It is the responsibility of the Contractor to keep fully informed of all Federal and State Laws affecting his contract.

#### **ON-THE-JOB TRAINING**

(10-16-07) (Rev. 5-21-13)

Z-10

#### **Description**

The North Carolina Department of Transportation will administer a custom version of the Federal Onthe-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

#### **Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

#### **Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year. A sample agreement is available at <a href="https://www.ncbowd.com/section/on-the-job-training">www.ncbowd.com/section/on-the-job-training</a>.

#### **Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators Office Engineers

Truck Drivers Estimators

Carpenters Iron / Reinforcing Steel Workers

Concrete Finishers Mechanics
Pipe Layers Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

#### **Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

#### **Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

#### **Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

of the journeyman wage for the first half of the training period
of the journeyman wage for the third quarter of the training period
of the journeyman wage for the last quarter of the training period

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

#### **Achieving or Failing to Meet Training Goals**

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

#### **Measurement and Payment**

No compensation will be made for providing required training in accordance with these contract documents.

# EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### CORPORATION

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

	Full name of	Corporation
	Address as F	Prequalified
Attest		Ву
	istant Secretary ropriate title	By President/Vice President/Assistant Vice President  Select appropriate title
Print or type	Signer's name	Print or type Signer's name
	AFFIDAVIT MU	CORPORATE SEAL UST BE NOTARIZED
Subscribed and sworn t		
day of	20	
		NOTARY SEAL
Signature of	Notary Public	<del>-</del>
of State of		
My Commission Expire	es:	

### EXECUTION OF BID NON-COLLUSION AFFIDAVIT. DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### **PARTNERSHIP**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Full Nar	me of Partnersh	ip
Addres	s as Prequalifie	d
	By	
Signature of Witness		Signature of Partner
Print or type Signer's name		Print or type Signer's name
AFFIDAVIT	Γ MUST BI	E NOTARIZED
Subscribed and sworn to before me this the		NOTARY SEAL
day of 20_	_•	
Signature of Notary Public		
ofCounty	7	
State of	_	
My Commission Expires:		

### EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### LIMITED LIABILITY COMPANY

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

	Full Name of Firm	
	Address as Prequalified	
	Signature of Manager	
Signature of Witness		Individually
Print or type Signer's name		Print or type Signer's Name
$\mathbf{A}$	FFIDAVIT MUST BE NO	DTARIZED
Subscribed and sworn to before m	ne this the	NOTARY SEAL
day of	20 .	
	<del></del>	
Signature of Notary Public	<u>c</u>	
of	County	
State of		
My Commission Expires:		

**EXECUTION OF BID** 

### NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION JOINT VENTURE (2) or (3)

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Instructions: **2 Joint Venturers** Fill in lines (1), (2) and (3) and execute. **3 Joint Venturers** Fill in lines (1), (2), (3) and (4) and execute. On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of one of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

(1)					
(2)		Name of Joint Venture	•		
( )		Name of Contractor			
		Address as Prequalifie	d		
	Signature of Witness or Attest	Ву		Signature of Contractor	
	Print or type Signer's name			Print or type Signer's name	
	If Corporation, affix Corporate Seal	and			
(3)					
		Name of Contractor			
		Address as Prequalifie	d		
	Signature of Witness or Attest	Ву		Signature of Contractor	
	Print or type Signer's name			Print or type Signer's name	
	If Corporation, affix Corporate Seal	and			
(4)		Name of Contractor (for 3 Joint Venta	ure only)		
		Address as Prequalifie	d		
	Signature of Witness or Attest	By		Signature of Contractor	
	Print or type Signer's name			Print or type Signer's name	
RY SEA	If Corporation, affix Corporate Seal ML	NOTARY SEAL		Λ	NOTARY S
	t be notarized for Line (2) and sworn to before me this	Affidavit must be notarized for L Subscribed and sworn to before		Affidavit must be notarized for Li Subscribed and sworn to before n	
ibad on	ia swoin to ocioic inc uns	Subscribed and sworm to before			
	20	day of	20	day of	20
day of_ ure of N	20 Notary Public	Signature of Notary Public		Signature of Notary Public	
day of_ ure of N	20		County		

### EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Name of Contractor		
	Individual name	
Trading and doing business as		
Trading and doing business as	Full name of Firm	
	Address as Prequalified	
	Address as Frequanned	
Signature of Witness	Signature of Contractor, Ind	ividually
Print or type Signer's name	Print or type Signer's n	ame
AFFI	DAVIT MUST BE NOTARIZED	
Subscribed and sworn to before me th	s the <b>NOTARY</b>	SEAL
day of	_ 20	
Signature of Notary Public		
of		
State of		
My Commission Expires:		

### EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

*N.C.G.S.* § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Name of Contractor	
Pi	rint or type Individual name
Address as Pr	equalified
_	Signature of Contractor, Individually
_	
	Print or type Signer's Name
Signature of Witness	
Print or type Signer's name	
AFFIDAVIT MU	ST BE NOTARIZED
Subscribed and sworn to before me this the	NOTARY SEAL
day of 20	
Signature of Notary Public	
ofCounty	
State of	
My Commission Expires:	

#### **DEBARMENT CERTIFICATION**

#### Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

#### **DEBARMENT CERTIFICATION**

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.
Check here if an explanation is attached to this certification.

# State of North Carolina DEPARTMENT OF TRANSPORTATION

LETTER OF INTENT TO PE	ERFORM AS A SUBCONTRACTOR
CONTRACT:	NAME OF BIDDER:
The undersigned intends to perform work in conne and subsequent award of contract by the Board of	ection with the above contract upon execution of the bid Transportation as:
Name of MBE/WBE/DBE Subcontractor	
Address	
CityS	StateZip
Please che	ck all that apply:
Minority Business	Enterprise (MBE)
Women Business	Enterprise (WBE)
	less Enterprise (DBE)
listed on the attached MBE/WBE/DBE Commitmupon execution of the bid and subsequent award named subcontractor is prepared to perform the or	subcontractor is prepared to perform the described work nent Items sheet, in connection with the above contract of contract by the Board of Transportation. The above described work at the estimated Commitment Total for E/DBE Commitment Items sheet and amount indicated
Commitment Total based on estimated Unit Price	ces and Quantities on the "attached" MBE/WBE/DBE
Commitment Items sheet. Amount \$	50 
Unit Prices and Quantities. This commitment tota will vary up or down as the project is completed. work performed and accepted during the pursual entire dollar amount quoted based on these estimates.	ually accepts the Commitment Total estimated for the all is based on estimated quantities only and most likely Final compensation will be based on actual quantities of nice of work. The above listed amount represents the nated quantities. No conversations, verbal agreements, shall serve to add, delete, or modify the terms as stated.
	actual subcontract between the two parties. A separate il the contractual obligations of the bidder and the
Affirmation	
The above named MBE/ WBE/ DBE subcontract contract for the estimated dollar value as stated above.	ctor affirms that it will perform the portion(s) of the ove.
Name of MBE/ WBE/ DBE Subcontractor	Name of Bidder
Signature / Title	Signature / Title

Date

Date

#### **North Carolina Department of Transportation BID FORM**

WBS Elements: 3B.101012, 3B.201012, 3B.101012, 3B.201012, 3B.101012, 3B.201012,

3B.101012, 3B.201012, 3B.101012, 3B.201012, 3B.101012, 3B.201012,

Project Description: Repair and/or Replacement of Bridge Expansion Joints in Brunswick,

Duplin, New Hanover, Onslow, Pender & Sampson Counties.

ITEM	DESC NO.	SECT	DESCRIPTION	QTY	UNIT	UNIT PRICE	AMOUNT BID
10	0000100000-N	SP	Mobilization	1	Each		
20	460000000-N	SP	Temporary Lane Closure (2-Lane, 2-Way Roadway)	100	Each		
30	4600000000-N	SP	Temporary Lane Closure (Multi-Lane Roadway)	7	Each		
40	460000000-N	SP	Temporary Nighttime Lane Closure (Multi-Lane Roadway)	7	Each		
50	460000000-N	SP	Lane Closure Shift (Multi-Lane Roadway)	7	Each		
60	460000000-N	SP	Nighttime Lane Closure Shift (Multi-Lane Roadway)	7	Each		
70	460000000-N	SP	Nighttime Flagging	7	Each		
80	8867000000-E	SP	Asphalt Joint Repair/Replacement (18"- 24" wide, w/ plate)	2500	Linear Feet		
90	8867000000-E	SP	Joint Repair using Elastomeric Concrete (less than 16" wide and less than 2" deep)	100	Linear Feet		
100	8867000000-E	SP	Silicone Joint Replacement	100	Linear Feet		
110	8867000000-E	SP	Evazote Joint Replacement	100	Linear Feet		
120	8867000000-E	SP	Evazote Joint Replacement w/ Saw Cut	100	Linear Feet		
130	8882000000-E	SP	Joint Repair using Elastomeric Concrete (greater than 16" wide or greater than 2"deep)	25	Cubic Feet		
TOTAL BID FOR PROJECT:							

120	8867000000-E	SP	Evazote Joint Replacement w/ Saw Cut	100	Feet		
130	8882000000-E	SP	Joint Repair using Elastomeric Concrete (greater than 16" wide or greater than 2"deep)	25	Cubic Feet		
TOTA	AL BID FOR	PROJ	ECT:				
							•

CONTRACTOR		
ADDRESS		
Federal Identification Number		
Contractors License Number		CORPORATE
Authorized Agent	Title	SEAL
Signature	Date	
Witness	Title	
Signature	Date	
NameEmail	res (e.g., Letters of Intent, Insurance, F	Sonds, and Contract Execution)
THIS SECTION TO BE	COMPLETED BY NORTH CAR TRANSPORTATION	OLINA DEPARTMENT OF
This bid has been reviewed in accordance	with Article 103-1 of the Standard Specific	ations for Roads and Structures 2006.
Reviewed by		Date
Accepted by NCDOT		Date

### **Execution of Contract**

Contract No: D3POC0009
County: Division Wide
ACCEPTED BY THE <b><u>DEPARTMENT</u></b>
Proposals Engineer
Date
EXECUTION OF CONTRACT AND BONDS APPROVED AS TO FORM:
<u>Division Project Manager</u>
Date

Signature Sheet (Bid) - ACCEPTANCE SHEET