

TOWN OF HARRISBURG

REQUEST for LETTERS of INTEREST (RFLOI)

CALDWELL ROAD EXTENSION (HL-0063)

ADDENDUM No. 1

November 9, 2023

TITLE: CALDWELL ROAD EXTENSION
ISSUE DATE: OCTOBER 18, 2023
SUBMITTAL DEADLINE: NOVEMBER 15, 2023
ISSUING AGENCY: TOWN OF HARRISBURG

The purpose of this Addendum is to make changes and clarifications to the RFLOI Documents dated October 18, 2023 for solicitation referenced above. Firms shall review the Addendum work and requirements in detail and incorporate any effects the Addendum may have in their letter of interest.

The Town of Harrisburg will not be held liable if a particular Firm doesn't receive this addendum. All requirements of the RFLOI remain unchanged except as cited herein.

QUESTIONS AND CLARIFICATIONS

QUESTION 1 Could you please confirm if you would like this submitted as a hard copy and thumb drive in the mail, or if it should be submitted electronically only? If a hard copy is required, please provide the shipping address and any further details regarding the required number of copies.

CLARIFICATION 1 Electronically only, via email. A drop box link may be provided.

QUESTION 2 If this is to be submitted electronically only, please confirm if tabs are prohibited. If they are not, do they count toward the 15-page limit?

CLARIFICATION 2 Tabs are prohibited. The only acceptable format is .pdf

QUESTION 3 Has any previous concept work been performed on the corridor? If so, can that be provided?

- CLARIFICATION 3** The Town conducted a feasibility study of Caldwell Road Extension, but is not yet available for release.
- QUESTION 4** Please confirm that the correct date of Anticipated Notice to Proceed is February 1, **2024**
- CLARIFICATION 4** The Town has revised the anticipated notice to proceed to March 1, 2024. Updated above in the RFLOI.
- QUESTION 5** It appears the environmental planning document can be completed with a CE (Categorical Exclusion) per STIP from NCDOT. Should code 63 and 66 be removed and code 32 listed?
- CLARIFICATION 5** Code 32 should be used in place of Code 63 and Code 66. Updated above.
- QUESTION 6** Code 168 Project Management is a prequalification code for the Right of Way Unit. Is this needed for the projects?
- CLARIFICATION 6** Code 168 has been eliminated. Code 013 (Appraisal Review) has been added to better fit this need.
- QUESTION 7** Code 314 Roadway Lighting is used for controlled-access facilities at interchanges with high mast poles. If this is not the intent of the project can this code be eliminated?
- CLARIFICATION 7** The code requirement is consistent with the intent is to design required intersection and corridor lighting for the new facility.
- QUESTION 8** Is Code 171 Public Involvement needed?
- CLARIFICATION 8** No.
- QUESTION 9** In the STIP, HL-0063 is a new relocation project from NC-49 to NC 29 but the RFLOI states only for 3000 LF. Which is the correct length?
- CLARIFICATION 9** This project is for Phase 1 of the proposed corridor, 3000 LF.

QUESTION 10

Are any of the following codes required as the scope of work references permitting, environmental permitting, sidewalk:

- a. 24 Bridges – spans under 200’ (RCBC)
- b. 26 Capacity Analysis , Level 1
- c. 36 Community Impact Assessment
- d. 97 Guide Sign Design – Conventional Roads
- e. 132 Landscape & Streetscape Design
- f. 155 Pavement Marking Plans
- g. 243 Threatened & Endangered Species Survey & Studies
- h. 280 Wetland & Stream Delineation
- i. 287 Wetland, Stream and Buffer Permitting
- j. 541 Traffic Management Plan – Level 1 and 2 (over 30,000 AADT)

CLARIFICATION 10

There are no proposed bridges. Code 26 (Capacity Analysis) has been added. There will be no community involvement with the design. Code 97 (Guide Sign Design) has been added. Code 132 (Landscape and Streetscape Design) has been added. Code 155 (Pavement Marking Plans) has been added. Code 243 (Threatened & Endangered Species Survey and Studies) has been added. Code 280 (Wetland & Stream Delineation) and Code 287 (Wetland, Stream and Buffer Permitting) has been added.

Code 123 (Intelligent Transportation System Designs) and Code 253 (Preliminary Traffic Noise Analysis for NEPA Documents) has been added as well.

This addendum must be acknowledged and signed by an authorized representative of the firm and must be included in the LOI. Does not count towards page count. Failure to do so will cause your bid to be rejected:

By: _____

(Signature)

Name: _____

(Printed)

Title: _____

Date: _____

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SYNOPSIS

SUBCONSULTANTS ARE PERMITTED UNDER THIS CONTRACT.

This contract shall be partially reimbursed with Federal-aid funding through the North Carolina Department of Transportation (hereinafter referred to as the Department). The solicitation, selection, and negotiation of a contract shall be conducted in accordance with all Department requirements and guidelines.

The primary and/or subconsultant firm(s) shall be pre-qualified by the Department to perform **ANY COMBINATION** of the Discipline Codes listed below for the Town of Harrisburg. Discipline Codes required are:

- 013 – Appraisal Review
- 26 – Capacity Analysis
- 32 – Categorical Exclusions/Minimum Criteria Determination Checklists
- 70 – Erosion and Sediment Control Design
- 97 – Guide Sign Design
- 123 – Intelligent Transportation System Designs
- 132 – Landscape and Streetscape Design
- 152 – Pavement Design
- 155 – Pavement Marking Plans
- 192 – Right of Way Appraisals
- 194 – Right of Way Negotiators
- 199 – Route Location Surveys
- 207 – Signal Design
- 208 – Signal Equipment Design
- 209 – Signal Communications Designs
- 210 – Signal System Timing

- 235 – SUE
- 243 – Threatened & Endangered Species Survey and Studies
- 253 – Preliminary Traffic Noise Analysis for NEPA Documents
- 269 – Urban Roadway Design
- 270 – Utility Coordination
- 280 – Wetland & Stream Delineation
- 287 – Wetland, Stream and Buffer Permitting
- 294 – Roadway Foundation Investigation & Design
- 314 – Roadway Lighting
- 360 – Topographic Surveying
- 361 – Boundary surveying
- 362 – Easement Surveying
- 433 – Tier 1 Basic Hydrologic and Hydraulic Design

WORK CODES for each primary and/or subconsultant firm(s) SHALL be listed on the respective RS-2 FORMS (see section ‘SUBMISSION ORGANIZATION AND INFORMATION REQUIREMENTS’).

This RFLOI is to solicit responses (LETTERS of INTEREST, or LOIs) from qualified firms to provide professional consulting services to:

furnish engineering, designs, drawings, details, analysis, special provisions, estimates and other items incidental and necessary to develop final contract plans and documents in English units for the subject project. The design shall follow NCDOT design standards, the current AASHTO A Policy on Geometric Designs of Highways and Streets, and recommendations set forth in the Caldwell Road Extension Feasibility Study. The plans and specifications shall be in accordance with NCDOT policies and procedures, the National Environmental Policy Act (NEPA) and all other appropriate environmental laws and regulations, applicable local, North Carolina state, and federal laws, guidelines, and standards.

Electronic LOIs should be submitted in .pdf format using software such as Adobe, CutePDF PDF Writer, Docudesk deskPDF, etc.

LOIs SHALL be received ELECTRONICALLY no later than 5:00 PM, NOVEMBER 15, 2023.

The address for electronic deliveries is: Mary Herrington, P.E. - mherington@harrisburgnc.org

LOIs received after this deadline will not be considered.

Except as provided below any firm wishing to be considered must be properly registered with the Office of the Secretary of State and with the North Carolina Board of Examiners for Engineers and Surveyors. Any firm proposing to use corporate subsidiaries or subcontractors must include a statement that these companies are properly registered with the North Carolina Board of Examiners for Engineers and Surveyors and/or the NC Board for Licensing of Geologists. The Engineers performing the work and in responsible charge of the work must be registered Professional Engineers in the State of North Carolina and must have a good ethical and professional standing. It will be the responsibility of the selected private firm to verify the registration of any corporate subsidiary or subcontractor prior to submitting a Letter of Interest. Firms which are not providing engineering services need not be registered with the North

Carolina Board of Examiners for Engineers and Surveyors. Some of the services being solicited may not require a license. It is the responsibility of each firm to adhere to all laws of the State of North Carolina.

The firm must have the financial ability to undertake the work and assume the liability. The selected firm(s) will be required to furnish proof of Professional Liability insurance coverage in the minimum amount of \$1,000,000.00. The firm(s) must have an adequate accounting system to identify costs chargeable to the project.

SCOPE OF WORK

The **Town of Harrisburg** is soliciting proposals for the services of a firm/team for the following contract scope of work:

This project consists of the design and permitting of Phase 1 of improvements to extend Caldwell Road (SR 1173) north of NC 49 for approximately 3,000 LF and includes all modifications to the intersection of Caldwell Road and NC 49. The design shall include roadway, alignment, survey, and right-of-way plans. The design will also incorporate items including, but not limited to, design of sidewalk, permitting, environmental permitting, signal timing and design, and intersection improvements.

PROPOSED CONTRACT TIME: PROPOSED CONTRACT TIME 2 YEAR(S); and EXTENSION(S).

PROPOSED CONTRACT PAYMENT TYPE: Lump Sum

SUBMITTAL REQUIREMENTS

All LOIs are limited to **FIFTEEN (15)** pages (RS-2 forms are not included in the page count) inclusive of the cover sheet, and shall be typed on 8-1/2" x 11" sheets, single-spaced, one-sided.

Fold out pages are not allowed. In order to reduce costs and to facilitate recycling; binders, dividers, tabs, etc. are prohibited. One staple in the upper left-hand corner is preferred.

LOIs containing more than FIFTEEN (15) pages will not be considered.

One (1) signed original and one (1) digital copy of the LOI in PDF format should be submitted.

Firms submitting LOIs are encouraged to carefully check them for conformance to the requirements stated above. If LOIs do not meet ALL of these requirements, they will be disqualified. No exception will be granted.

SELECTION PROCESS

Following is a general description of the selection process:

- The Town of Harrisburg's Selection Committee will review all qualifying LOI submittals.
- For Limited Services Contracts (On-Call type contracts), the Town of Harrisburg's Selection Committee MAY, at the Town's discretion, choose any number of firms to provide the services being solicited.
- For Project-Specific Contracts (non On-Call type contracts), the Town of Harrisburg's Selection Committee MAY, at the Town's discretion, shortlist a minimum of three (3) firms to be interviewed. IF APPLICABLE, dates of shortlisting and dates for interviews are shown in the section SUBMISSION SCHEDULE AND KEY DATES at the end of this RFLOI.
- In order to be considered for selection, consultants must submit a complete response to this RFLOI prior to the specified deadlines. Failure to submit all information in a timely manner will result in disqualification.

TITLE VI NONDISCRIMINATION NOTIFICATION

The Town of Harrisburg in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all RESPONDENTS that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit LETTERS of INTEREST (LOIs) in response to this ADVERTISEMENT and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.

SMALL PROFESSIONAL SERVICE FIRM (SPSF) PARTICIPATION

The Department of Transportation encourages the use of Small Professional Services Firms (SPSF). Small businesses determined to be eligible for participation in the SPSF program are those meeting size standards defined by Small Business Administration (SBA) regulations, 13 CFR Part 121 in Sector 54 under the North American Industrial Classification System (NAICS). The SPSF program is a race, ethnicity, and gender neutral program designed to increase the availability of contracting opportunities for small businesses on federal, state or locally funded contracts. SPSF participation is not contingent upon the funding source.

The Firm, at the time the Letter of Interest is submitted, shall submit a listing of all known SPSF firms that will participate in the performance of the identified work. The participation shall be submitted on the Department's Subconsultant Form RS-2. RS-2 forms may be accessed on the Department's website at [NCDOT Connect Guidelines & Forms](#).

The SPSF must be qualified with the North Carolina Department of Transportation to perform the work for which they are listed.

PREQUALIFICATION

The North Carolina Department of Transportation maintains on file the qualifications and key personnel for each approved discipline, as well as any required samples of work. Each year on

the anniversary date of the company, the firm shall renew their prequalified disciplines. If your firm has not renewed its application as required by your anniversary date or if your firm is not currently prequalified, please submit an application to the Department **prior to submittal of your LOI**. An application may be accessed on the Department's website at [Prequalifying Private Consulting Firms](#) -- Learn how to become Prequalified as a Private Consulting Firm with NCDOT. Having this data on file with the Department eliminates the need to resubmit this data with each letter of interest.

Professional Services Contracts are race and gender neutral and do not contain goals. However, the Respondent is encouraged to give every opportunity to allow Disadvantaged, Minority-Owned and Women-Owned Business Enterprises (DBE/MBE/WBE) subconsultant utilization on all LOIs, contracts and supplemental agreements. The Firm, subconsultant and subfirm shall not discriminate on the basis of race, religion, color, national origin, age, disability or sex in the performance of this contract.

DIRECTORY OF FIRMS AND DEPARTMENT ENDORSEMENT

Real-time information about firms doing business with the Department, and information regarding their prequalifications and certifications, is available in the Directory of Transportation Firms. The Directory can be accessed on the Department's website at [Directory of Firms](#) -- Complete listing of certified and prequalified firms.

The listing of an individual firm in the Department's directory shall not be construed as an endorsement of the firm.

SELECTION CRITERIA

All prequalified firms who submit responsive letters of interest will be considered.

In selecting a firm/team, the selection committee will take into consideration qualification information including such factors as:

1. **25% = Past Performance:** Performance evaluation from historical performance of past projects.
2. **20% = Capacity of Team to do Work:** Evaluation of the team's personnel and equipment to perform the project on time.
3. **20% = Team's Demonstrated Qualifications:** Technical expertise, unique resources that yield a relevant added value or efficiency to the deliverable.
4. **20% = Project Management:** Predicted ability to manage the project, based on experience in size, complexity, type, subcontractors, and documentation skills.
5. **15% = Approach to Project:** Project understanding and innovation that provides cost and/or time savings.

After reviewing qualifications, if firms are equal on the evaluation review, then those qualified firms with proposed SPSF participation will be given priority consideration.

SUBMISSION ORGANIZATION AND INFORMATION REQUIREMENTS

The LOI should be addressed to **Mary Herington, Town Engineer** and must include the name, address, telephone number, and e-mail address of the prime consultant's contact person for this RFLOI.

The LOI must also include the information outlined below:

Chapter 1 - Introduction

The Introduction should demonstrate the consultant's overall qualifications to fulfill the requirements of the scope of work and should contain the following elements of information:

- Expression of firm's interest in the work;
- Statement of whether firm is on register;
- Date of most recent private engineering firm qualification;
- Statement regarding firm's(') possible conflict of interest for the work; and
- Summation of information contained in the letter of interest.

Chapter 2 - Team Qualifications

This chapter should elaborate on the general information presented in the introduction, to establish the credentials and experience of the consultant to undertake this type of effort. The following must be included:

1. Identify recent, similar projects the firm, acting as the prime contractor, has conducted which demonstrates its ability to conduct and manage the project. Provide a synopsis of each project and include the date completed, and contact person.
2. If subconsultants are involved, provide corresponding information describing their qualifications as requested in bullet number 1 above.

Chapter 3 - Team Experience

This chapter must provide the names, classifications, and location of the firm's North Carolina employees and resources to be assigned to the advertised work; and the professional credentials and experience of the persons assigned to the project, along with any unique qualifications of key personnel. Although standard personnel resumes may be included, identify pertinent team experience to be applied to this project. Specifically, the Town is interested in the experience, expertise, and total quality of the consultant's proposed team. If principals of the firm will not be actively involved in the study/contract/project, do not list them. The submittal shall clearly indicate the Consultant's Project Manager, other key Team Members and his/her qualifications for the proposed work. Also, include the team's organization chart for the Project / Plan. A Capacity Chart / Graph (available work force) should also be included. Any other pertinent information should also be listed in this section.

Note: If a project team or subconsultant encounters personnel changes, or any other changes of significance dealing with the company, the Town should be notified immediately.

Chapter 4 - Technical Approach

The consultant shall provide information on its understanding of, and approach to accomplish, this project, including their envisioned scope for the work and any innovative ideas/approaches, and a schedule to achieve the dates outlined in this RFLOI (if any project-specific dates are outlined below).

APPENDICES-

CONSULTANT CERTIFICATION Form RS-2

Completed Form RS-2 forms SHALL be submitted with the firm's letter of interest. This section is limited to the number of pages required to provide the requested information.

Submit Form RS-2 forms for the following:

- **Prime Consultant firm**
 - Prime Consultant Form RS-2 Rev 1/14/08; and

- **ANY/ALL Subconsultant firms** to be, or anticipated to be, utilized by your firm.
 - Subconsultant Form RS-2 Rev 1/15/08.
 - In the event the firm has no subconsultant, it is required that this be indicated on the Subconsultant Form RS-2 by entering the word "None" or the number "ZERO" and signing the form.

Complete and sign each Form RS-2 (instructions are listed on the form).

The required forms are available on the Department's website at:

<https://connect.ncdot.gov/business/consultants/Pages/Guidelines-Forms.aspx>

[Prime Consultant Form RS-2](#)

[Subconsultant Form RS-2](#)

All submissions, correspondence, and questions concerning this RFLOI should be directed to Mary Herington, P.E. at mherington@harrisburgnc.org.

IF APPLICABLE, questions may be submitted electronically only, to the contact above. Responses will be issued in the form of an addendum available to all interested parties. Interested parties should also send a request, by email only, to the person listed above to be placed on a public correspondence list to ensure future updates regarding the RFLOI or other project information can be conveyed. Questions must be submitted to the person

listed above no later than **NOVEMBER 3, 2023** The last addendum will be issued no later than **NOVEMBER 10, 2023**.

SUBMISSION SCHEDULE AND KEY DATES

RFLOI Release – **OCTOBER 18, 2023**

Deadline for Questions – **NOVEMBER 3, 2023**

Issue Final Addendum – **NOVEMBER 10, 2023**

Deadline for LOI Submission – **NOVEMBER 15, 2023**

Firm Selection and Notification **** - DECEMBER 5, 2023**

Anticipated Notice to Proceed – **MARCH 1, 2024**

**** Notification will ONLY be sent to selected firms.**