

NORTH CAROLINA

Department of Transportation



















Lessons Learned Updating the Hydraulics Guidelines

Brian Radakovic, PE, CFM

April 26, 2022

Ancient History

NORTH CAROLINA STATE HIGHWAY COMMISSION Raleigh, N. C.

HANDBOOK OF DESIGN FOR
HIGHWAY SURFACE DRAINAGE STRUCTURES

Prepared by

Bridge Location & Hydrographic Department C. R. Edgerton, State Hydrographic Engineer 1973

Approved by

John H. Davis Chief Engineer & Asst. Administrator

1973

Handbook of Design for Highway Surface Drainage Structures NORTH CAROLINA DIVISION OF HIGHWAYS

GUIDELINES FOR DRAINAGE STUDIES AND HYDRAULIC DESIGN



PREPARED BY
A. L. HANKINS, JR., P.E.
STATE HYDRAULICS ENGINEER

1999

1999

Guidelines for Drainage Studies and Hydraulic Design

Motivation to Update

In 2009, FHWA reviewed the Hydraulics Program.

 Overall, the review team was impressed with the Unit and its role within NCDOT.



Process Review of the Hydraulics Program of the North Carolina Department of Transportation

January 27 - 29, 2009

By

Federal Highway Administration and

North Carolina Department of Transportation

FHWA Suggestion

the Review Team also recognized a possible areas for improvement with the Hydraulics Manual.



- Portions of the 1999 manual were outdated and didn't reflect the current standards and practices within NCDOT nor FHWA.
- The online and printed versions were not consistently maintained.

First Modern Update

In 2011 we started a **2-year** process to update the 1999 guidelines.

GOALS

- Review and update all hydrologic and hydraulic policies and procedures, currently written or unwritten
- Include the floodplain and environmental aspects of hydraulic design.



2016 Update

Why did it take 5 years

- Limited personnel
- No schedule
- No set process to incorporate new and updated information

NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION

GUIDELINES FOR DRAINAGE STUDIES AND HYDRAULIC DESIGN



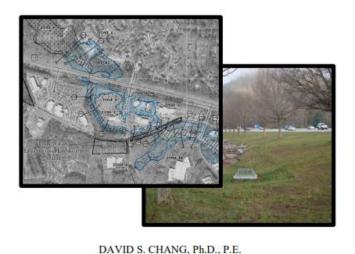
DAVID S. CHANG, Ph.D., P.E. STATE HYDRAULICS ENGINEER

NOVEMBER 21, 2016

Commitments

NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION

GUIDELINES FOR DRAINAGE STUDIES AND HYDRAULIC DESIGN



Update on a 5-year cycle

No actual changes will be made to the electronic version until a new edition is published

STATE HYDRAULICS ENGINEER

NOVEMBER 21, 2016

Travel to 2018-2019



Preparing for Next Update Wants

- Review and update our guidance in support of the Integrated Project Delivery (IPD) initiative.
- Integrate policy and procedural changes
- Address comments collected over the past three years.
- Develop additional guidance needs



- How do we address Integrated Project Delivery (IPD)?
- What new guidance is need or wanted?
- How do we eliminate memos and additional documents between updates which may contradict the published Guidelines?
- How can we complete this effort efficiently?

Answer

STIC Incentive Program

Develop a detailed "roadmap" for comprehensive update of the collective Hydraulics Unit's library of documents, and to make recommendation to transition the library to more of a "living document" format subject to a cycle of continuous improvement.

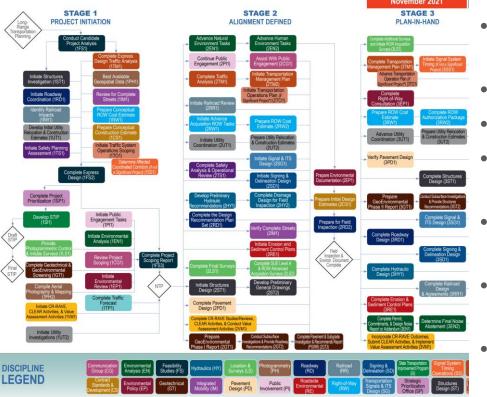


Strategic Guideline Update Plan (SGUP) Major Objectives

- identifying any policies and procedures which are inconsistent with IPD workflows
- update guidance to reflect the state-of-thepractice
- riangle and a second corrections or inadequacies with the current guidance.
- ➤ Develop a procedure to make the guidance a "living document"

Identify and Prioritize Updates

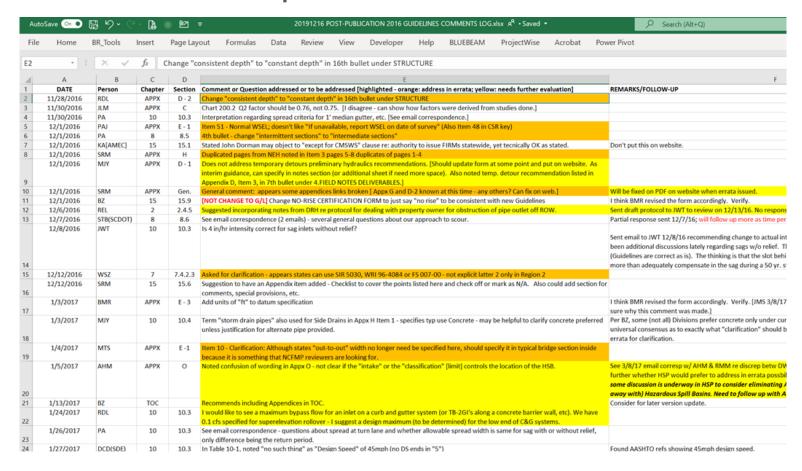
 Internal Review of Existing Guidance as it relates to the IPD, focusing on how the Guidelines tie into the overall Project Delivery Network (PDN)



- 3D Series Hydraulic Summary Plan Sheets
- Coastal Guidelines
- Drainage Summary Sheets
- BMP Support Matrix and Summary Table
- Hydraulic Planning Report Template and Guidance
- Guidance to Workflow for 15A-NCAC-04B.0109 Rule
- Concurrence Point (2A/4B)
 Meeting Guidance

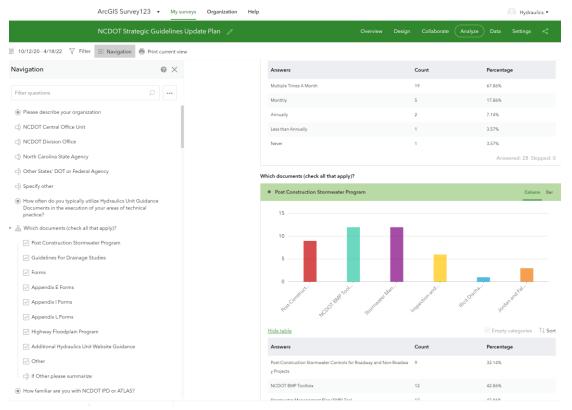
Identify and Prioritize Updates

Comments and questions collected since 2016



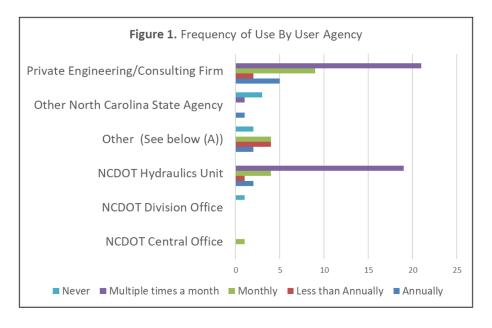
Identify and Prioritize Updates

 Detailed Survey to Identify Critical Gaps and Needs in the Existing Guidance



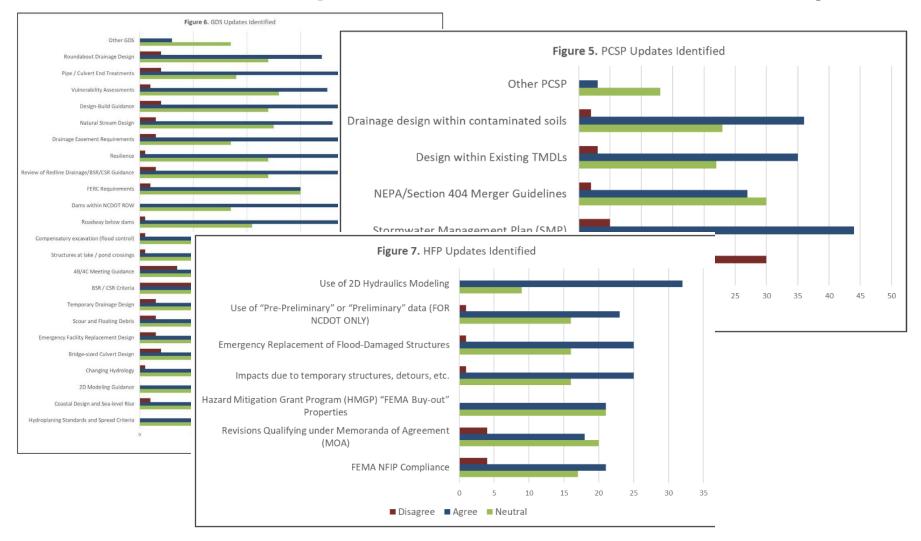
Survey

- 82 Responses
 - 28 NCDOT Staff
 - 54 External Sources



- Survey questions were tailored to a respondent's familiarity with a specific Guidance topic
 - Guidelines for Drainage Studies and Hydraulic Design, 2016
 - Post Construction Stormwater Program Guidance (PCSP)
 - Highway Floodplain Program (HFP)

Critical Gaps Identified in Survey



Critical Gaps Identified in Survey

- Hydroplaning
- Coastal Design
- Risk Analysis / Performance-Based Standards
- Outlet Analysis Guidance and Downstream Impacts
- Pipe Liners
- Property Owners Obstructing Outlets that Impact NCDOT Right-of-Way (ROW)
- 2D Modeling
- Climate Change
- Pipe End Treatments
- Fish / Aquatic Organism Passage
- Spread Criteria
- Lakes / Dams / Impoundments
- Roundabout Drainage
- Nature-Based Design / Green Infrastructure / Low Impact Design

Long List of Improvements

- Hydroplaning
- Coastal Design
- Risk Analysis / Performance-Based Standards
- Outlet Analysis Guidance and Downstream Impacts
- Pipe Liners
- Property Owners
 Obstructing Outlets that
 Impact NCDOT Right-of Way (ROW)
- 2D Modeling
- Climate Change
- Pipe End Treatments
- Fish / Aquatic Organism Passage

- Spread Criteria
- Lakes / Dams / Impoundments
- Roundabout Drainage
- Nature-Based Design / Green Infrastructure / Low Impact Design
- Definition of Major Structures
- Drainage Summary Sheet
- BMP Support Matrix
- New MOA Guidance
- Updates to Permit Drawings
- BSR / CSR Format Revisions
- Hydraulic Planning Report

- Sample Scope of Work for General Hydraulics Projects
- Use of Stochastic Empirical Loading and Dilution Model (SELDM)
- Pipe Selection Criteria
- Scour Monitoring Update
- Traffic Bearing Grates (Steel vs Iron)
- Appendices Tables
- Conceptual Design Cost Estimates
- Floodplain Bench Modeling
- Gage Relocation
- Culvert Backfill
- and more......

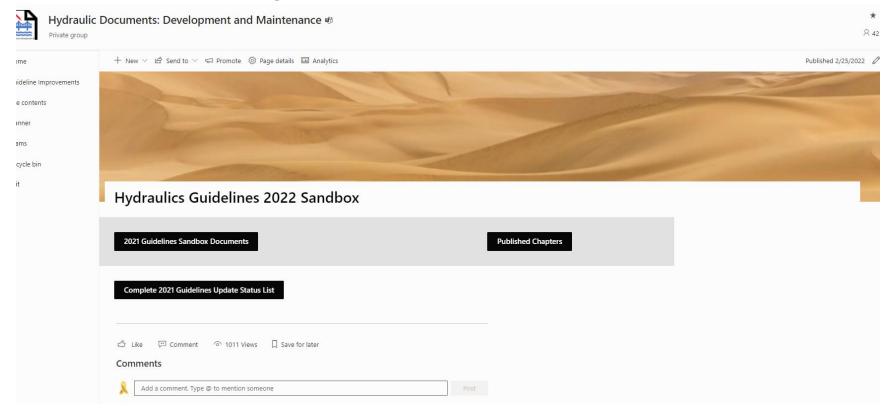
Prioritization

Prioritized improvements to next updated based on:

- Importance indicated in survey results
- Project Delivery Network (PDN)
- HFP and HSP updates
- The Unit Head's preference

Implementing the SGUP or "the light update"

 Created a SharePoint Site to collaborate and track update tasks



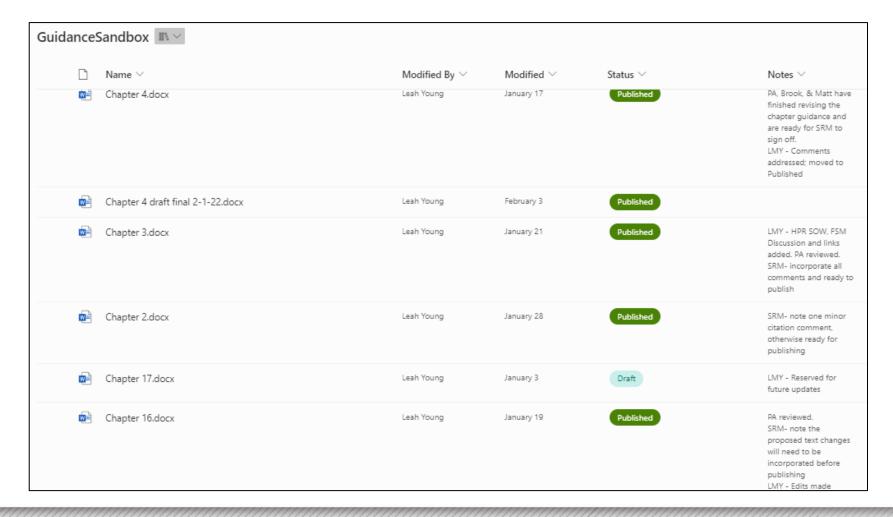
Update Status List

	Opt	Jaic	Statu	3 LIST	
2021 Guidelir	nes Update 🌣				
Attachn	n ∨ Name ∨		Hydro Project Manager ∨	Guidance to be Incorporated into Guidelines \vee	Draft Guidance Status
Û	Soil Material ID Guidance - 15A NCAC 04B .01	109	Andy McDaniel	Yes	Completed
0	Guidance for Completing 3D Series Hydraulic	Summary Plan Sheets	Brian Lipscomb	Yes	Completed
Û	Hydraulic Predesign Meeting Guidance		Brook Anderson	Yes	Completed
n\ Review ∨	Hydraulic Management Review \vee	Integrated into Sa	ndbox Guidelines \vee	Descriptions \vee	
~	✓	Yes		Includes explanation of the rule; resources for det conditions; general instructions for completing th	
~	~	Yes		Includes instructions for how to fill out the two su formats, sheet numbering, and submittal instructi be provided to/coordinated with Roadway	

∥ Remarks ∨	PDN v.2 Critical \vee	PEF Support Needed V
7/6 - archived on IPD site and moved to Hydro Management review site	~	~
	✓	
Hydro staff and HNTB have reviewed. The guidance is ready for Management review.		

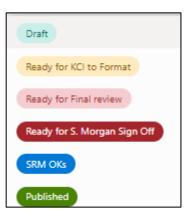
Sandbox Library

Draft Chapters



Sandbox Library

Document Status



Version History

Ver	sion history				
Delet	e All Versions				
No. ↓	Modified		Modified By	Size	Comments
72.0	1/21/2022 5:35 P	PM	□ Leah Young	2.5 MB	
	Status	Published			
71.0	1/21/2022 5:35 P	M	☐ Leah Young	2.5 MB	
70.0	1/20/2022 9:15 P	M	☐ Morgan, Stephen R	2.4 MB	
	Notes	LMY - HPR SOW, FSM Discussion and lin	ks added. PA reviewed. SRM- incorporate all comments	and ready to publis	sh
69.0	1/20/2022 9:14 F	M	☐ Morgan, Stephen R	2.4 MB	
	Status	SRM OKs			
68.0	1/20/2022 2:13 P	M	☐ McDaniel, Andrew H.	2.4 MB	
67.0	1/10/2022 2:30 P	M	☐ York, Matthew J	2.4 MB	
66.0	1/10/2022 2:18 P	PM	☐ York, Matthew J	2.4 MB	
65.0	1/10/2022 1:59 P	M	☐ York, Matthew J	2.3 MB	
64.0	1/7/2022 4:05 PN	И	☐ Atkinson, Paul	2.4 MB	
	Notes	LMY - HPR SOW, FSM Discussion and lin	ks added. PA reviewed.		
63.0	1/7/2022 3:28 PM	И	☐ York, Matthew J	2.3 MB	
62.0	1/7/2022 3:17 PM	И	☐ Atkinson, Paul	2.4 MB	
61.0	1/7/2022 3:07 PM	И	☐ Atkinson, Paul	2.4 MB	

Published Library

	Name ∨	Chapter ∨	Date Published ∨	Modified ∨	Modified By ∨	Notes ∨	Working Copy ∨	+ A
<u></u>	Chapter 6-Resilience, pdf	Chapter 06	2/13/2022	February 17	Leah M. Young			
G	Chapter7-Hydrology.pdf	Chapter 07	2/14/2022	February 17	Leah M. Young			
	Chapter8-Bridges.pdf	Chapter 08	2/16/2022	February 17	Leah M. Young			
G	Chapter9-Culverts.pdf	Chapter 09	2/16/2022	February 17	Leah M. Young			
	Checklist for Drainage Study and Hydraulic	Chapter 05	1/1/2022	December 17, 2021	Leah Young	Not revised from previous version	Working Copy	
	Check list for Drain age Study and Hydraulic Des	Chapter 05	1/1/2022	December 17, 2021	Leah Young	Not modified from pervious version		
	CSR_Guide.pdf	Chapter 09	2/22/2022	February 21	Radakovic, Brian M			
O	Drainage Design Field Investigation Checklist	Chapter 05 Chapter 04	2/10/2022	February 13	Leah M. Young	Referenced in Chapter 5 - Should this be combined with Preliminary Hydraulic Field Visit Checklist in Chapter 3? SRM- yes. I updated language accordingly in Chapter 3. Also keep in Chapter 5.		
	DSR_Guide.pdf	Chapter 08	2/22/2022	February 21	Radakovic, Brian M			
×.	${\it Example NCDOTHy drop laning Assessment. xlsx}$	Chapter 04	2/3/2022	February 16	York, Matthew J			
G	GroutingHostSpecialProvision.pdf	Chapter 09	1/1/2022	December 17, 2021	Leah Young	No revisions made from previous version		
	Guidance for CP2A.docx	Chapter 03	1/3/2022	January 3	Leah Young	SRM- note this may be published without additional formatting if necessary.	Working Copy	
	Guidance for CP4B.docx	Chapter 04	1/3/2022	January 3	Leah Young	48 guidance from Hydro Connect page, planned	Working Copy	

Draft to Published

Workflow for Updating Guideline Chapters and Content (in general)
https://ncconnect.sharepoint.com/sites/HydraulicsProductsDevMaint/GuidenceSandbox/Forms/AllItem
s.aspx

1. While content updates are being made the chapter is marked as Draft



 Once all the content changes have been made the status is changed to KCI Ready to Format. KCI will update the format, references, errata, ect...



Once formatted KCI will change status to Ready for S. Morgan Sign Off. At this time
Stephen adjust the content as needed or provide KCI comments to change items. Once
Stephen has completed his review, KCI will incorporate any changes or comments
making sure they follow the correct formatting.



Draft to Published

 Once the changes from step 3 have been completed the status is set to Ready for Final Review. At the time the content writers and Stephen can view the document one last time.



Stephen then informs KCl that the Chapter ready to be published. Status is set to SRM.
 Oks

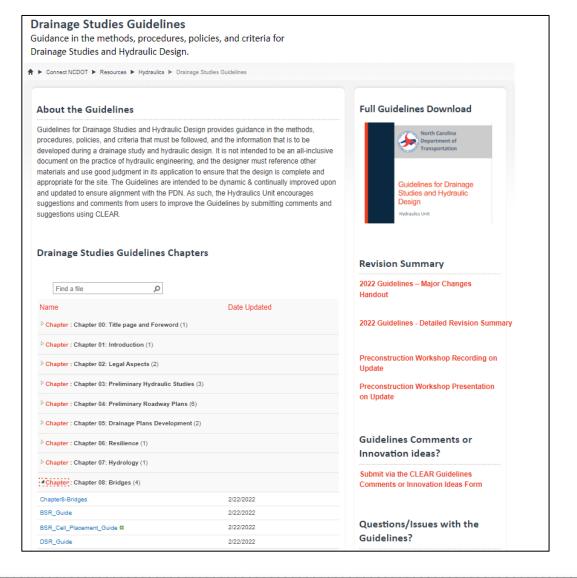


6. KCI creates a published version of the Chapter and the status is set to Published



- 7. KCI Saves the Published content to the sandbox site here
- Once all the published chapters and content have been completed the files will be copied to the new Hydraulics Guidelines Connect page for everyone to access.

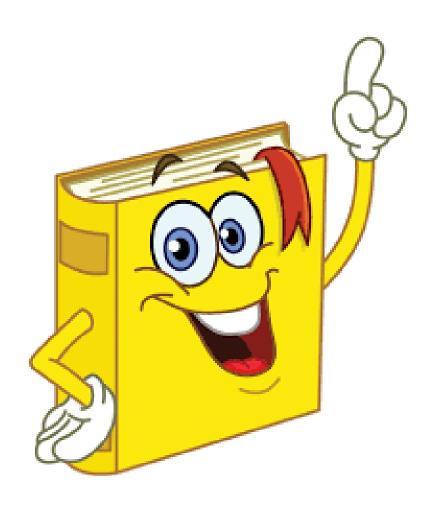
Published



Major Objectives

- identifying any policies and procedures which are inconsistent with IPD workflows
- update guidance to reflect the state-of-thepractice
- → address any needed corrections or inadequacies with the current guidance.
- Develop a procedure to make the guidance a "living document"

"Living Document"



GLUW Guidance Library Update Workflow

NCDOT HYDRAULICS UNIT GUIDANCE LIBRARY UPDATE WORKFLOW

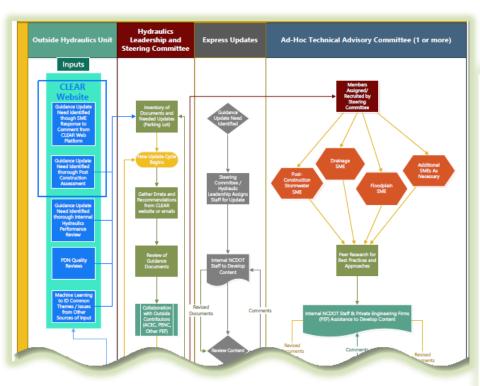
Outside Hydraulics Unit

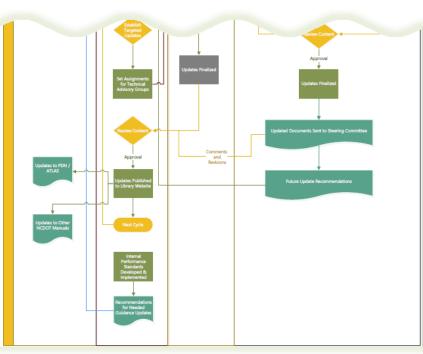
Hydraulics Leadership and Steering Committee

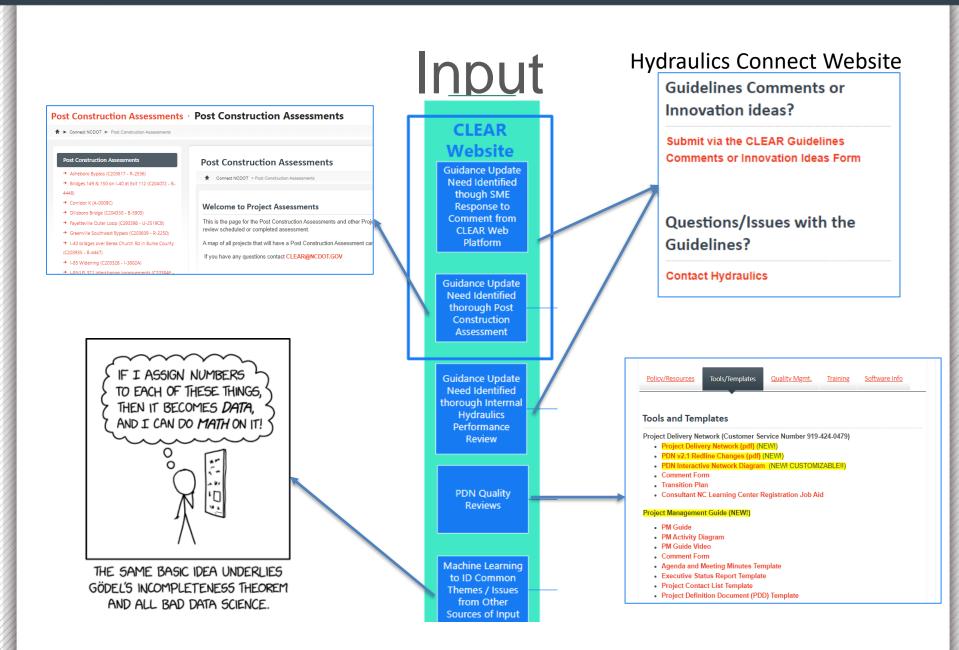
Express Updates

Ad-Hoc Technical Advisory Committee (1 or more)

Inputs







Questions / Issues

HydroConnect ☆

Commenter Name ∨	Commenter Em ∨	Comments ∨	Created \vee	Response ∨
Anne Gamber	agamber@gfnet.com	I have a question about the "living" guidelines. If a PEF has a contract using the most current guidelines for their estimate and the guidelines are updated after that with a new or more detailed procedure, will a supplemental contract be required or can the PEF firm use the guidelines as posted when they did the estimate?	February 23	The PEF firm can use the guidelines as posted when project was assigned/contracted. As the guidelines are updated, a dated version of the guidelines will be archived on the
Stephen Morgan	smorgan@ncdot.gov	Is Chapter 5 in the new guidelines titled correctly?	February 24	Chapter title on site has been changed to match actual chapter title.
Ray Lovinggood	rlovinggood@ncdot.gov	Add spaces or underscores to chapter titles in new Hydraulic Guidelines. Also, do not use all upper case letters except in abbreviations such as NCDOT, AASHTO, R/W, etc. For example, see the page at: https://connect.ncdot.gov/resources/hydro/Pages/DrainageStudiesGuidelines.aspx	March 7	The chapter and support material current configurations are tied to the links with in the chapters. In order to add spaces or underscores would require every link in every chapter to
Ray Lovinggood	rlovinggood@ncdot.gov	Add to the new Guidelines wording similar to that in the 1999 Guidelines regarding frequency of flooding. See page 18 of those guidelines for. If within 5% of the 200 or 500-year estimated discharge, list as 200-year +/- or 500-year +/- If greater than 100-year flood but not within 5% of 200-year, list as 100-year +. If greater than 5% of the 200-year but not within 5% of the 500-year, list as the 200-year +.	March 15	Added to tracker
Ernest Hahn	ejhahn@ncdot.gov	Please consider renumbering pages within the new Guidelines according to printing conventions namely, Chapters should begin on odd-number pages. (the convention for page numbering puts an odd-numbered page on the front of a sheet and the following even-numbered page on the back of that sheet that is, when a book is opened, the even-numbered page is always on the left) Also, if all Chapters begin on page one, consider using a chapter-page numbering system; for example, 2-1, 2-2, 2-3		BMR For the individual chapters, the intent was to make the document more web friendly and not print friendly. In order to follow the typical Printed
Adam	Anfreeman@ncdot.gov	I am looking for information on installing pipe with camber. is there an NCDOT educational "how to" or best practices? Drainage manual describes how to calculate/ when to use, but does not show best field practice. Thanks	April 12	The Hydraulics Unit is not the Subject Matter Experts on camber. The Drainage Manual you referenced is actually the Material and Tests guidance on the materials selection,

Submit

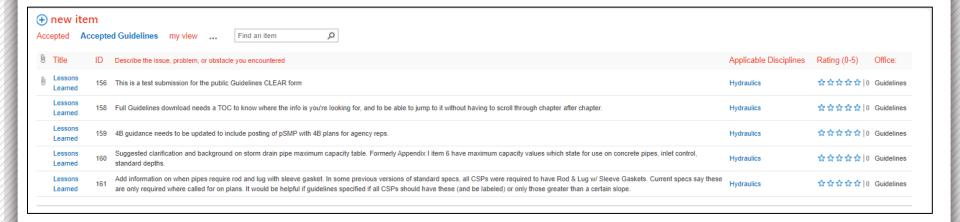
CLEAR Form

Guidelines Comments or Innovation Ideas

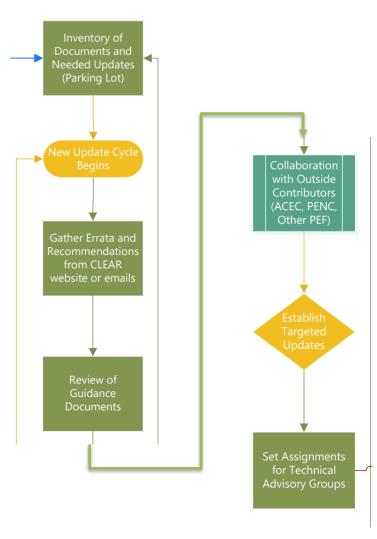
Name *	
Email *	
Phone *	*(Name, Office, Email, Phone) - For Gatekeeper to get back in case of needing additional information. Contact information will not be shared.
	Select the Discipline that manages this guidance
Applicable Disciplines	Select
	Describe the suggested guideline improvement or comment
Describe the suggested guideline improvement or comment	You may also submit an attached markup of the guidelines section.
ocation	Example: Chapter 3 section 3.3.2 or Chapter 4 reference document NCDOT Hydroplaning Assessment Tool.
Attach Issue Reference Document(s)	Choose Files No file chosen
Do you	have an idea on what next steps the Department should take to implement this submission?
Open next steps	
Should this I	esson require additional development and implementation - do you wish to be a part of this effort?
Do you wish to be a part of his effort?	Select

CLEAR Lists





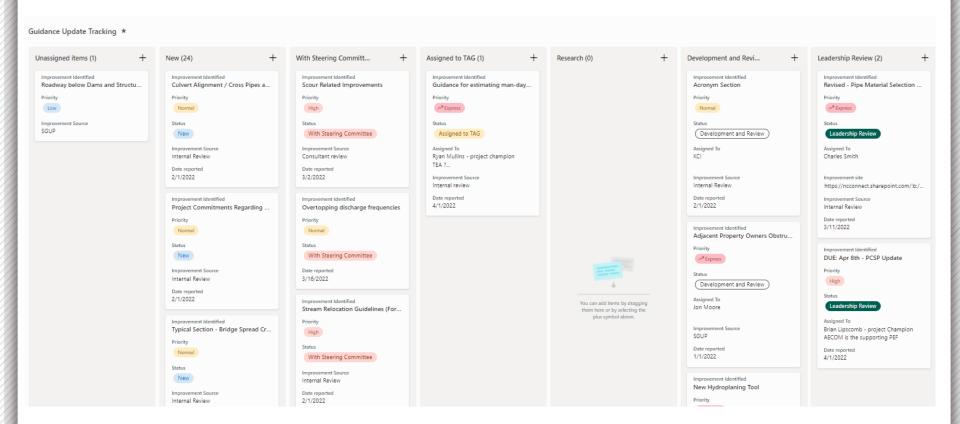
Steering Committee



Steering Committee

- Members are SMEs from each internal group (Operations, Design, Stormwater, Floodplain)
 - Supervisors and Engineer III
 - PEF (they help keep us on track)
- Currently meeting weekly
- Limit to one hour

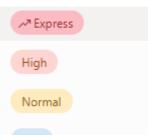
Tracking Board



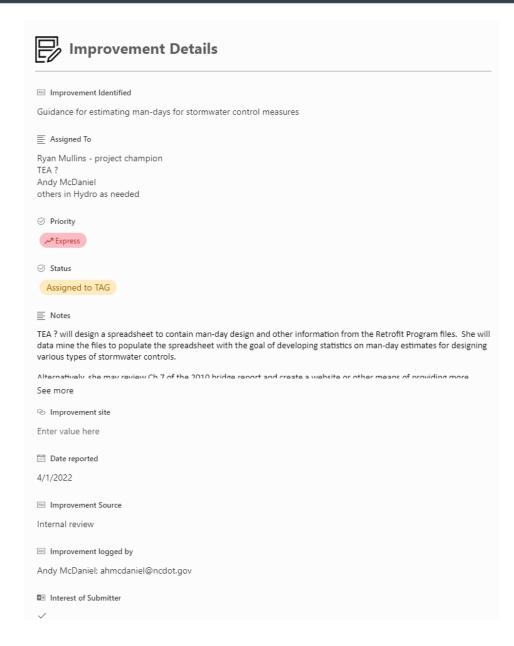
Improvement

Improvement Identified Guidance for estimating man-day... Priority ✓ Express Status Assigned to TAG Assigned To Ryan Mullins - project champion TEA ?... Improvement Source Internal review Date reported 4/1/2022

Priority



Low



Status

New
With Steering Committee

Assigned to TAG

Research

Development and Review

Leadership Review

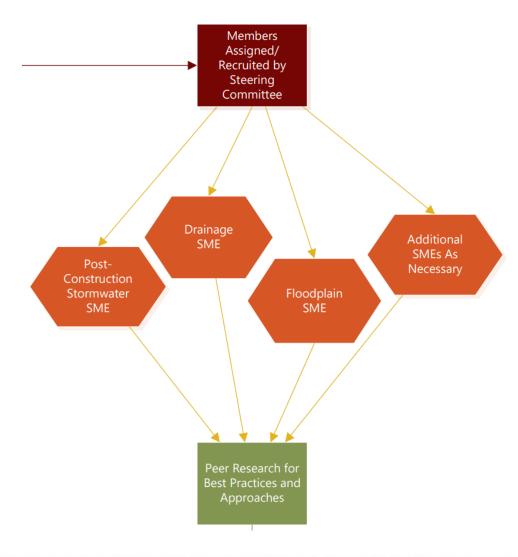
To be Published

Published

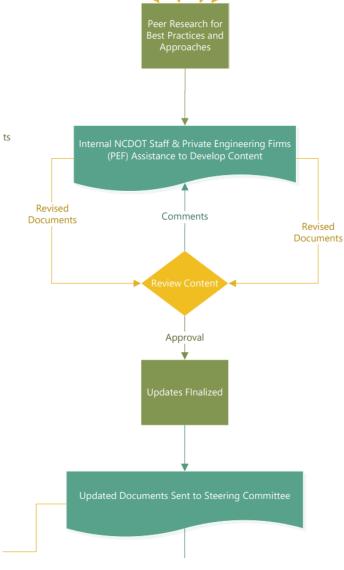
No Change

Technical Advisory Groups

(People who do the actual work)

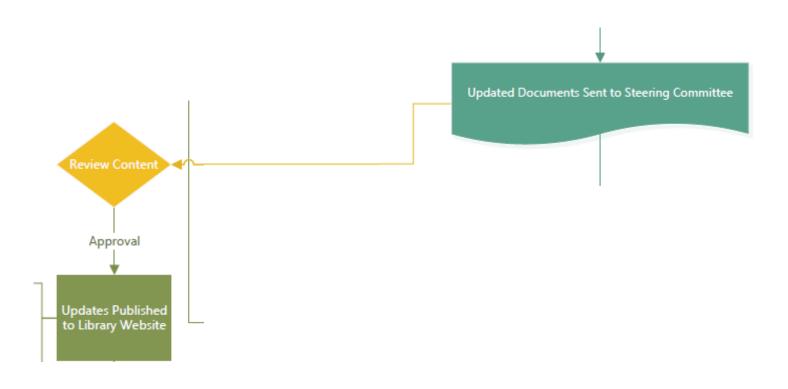


Technical Advisory Groups

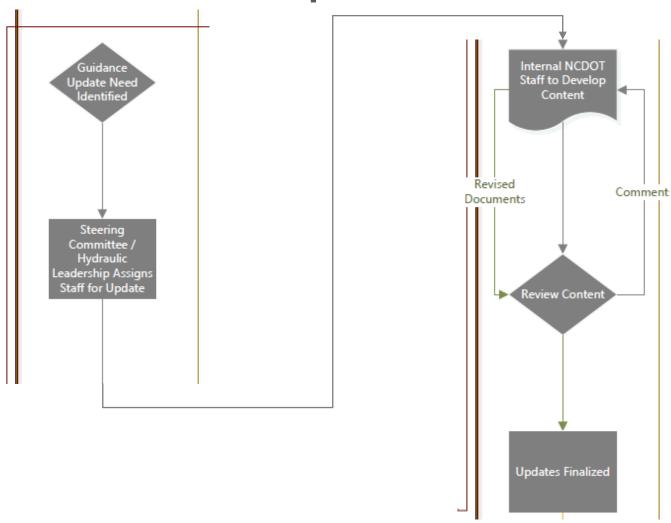


Hydraulics Leadership

Unit Manager and Assistants



Express



Guideline Issue Tracker

Guideline Issue Tracker ★							
Issue ∨	Issue description \vee	Location \vee	Status ∨	Assigned to \vee	Date reported \vee	Associated files \vee	Corrected Document \vee
Add chapter to Page number	using a chapter-page numbering system; for example, 2-1, 2-2, 2-3	All Chapters	New		3/30/2022		
BSR/CSR Lat/long	BSR and CSR templates have coordinates as Longitude and Latitude. We typical list Latitude first. The flip in coordinates happened during the 2016 update in the Guidelines appendix 3/11/2022 EH has updated the Cells	BSR/CSR Guides and Cells	In progress	Hahn, Ernest J	3/9/2022		
Ch 03 formatting errors	- 3.4 pg 6,7 the word "drainage is split between the two pages		New	Leah M. Young	4/12/2022	0	
Ch 04 formatting errors	- 4.2 pg 2 last item on Typical Sections: Missing bullet point in front of "Complete a Hydroplaning Assessment if required per section 4.3 below."	Chapter 4	New	Leah M. Young	3/11/2022		
Ch 08 formatting errors	- 8.8.2.3 Abutment Scour- missing reference on page 23. see attached image	Chapter 8	New	Leah M. Young	3/7/2022	0	
Ch 09 formatting errors	- pg 11 first sentence, reference printing error	Chapter 9	New	Leah M. Young	3/25/2022		
Ch 10 link errors	Following Links point to the old connect libraries.		New		4/8/2022		

Publishing Cycle

Express – as soon as its ready

 Quarterly – Minor updates or Major updates that are ready (per chapter)

 Major updates on a two years cycle (republish every chapter)

Keeping everyone up to date

Stay in the Flow

Keep up-to-date on the Hydraulics Unit policies, procedures, innovations, updates, advertisements, etc.... by signing up to receive the Hydraulics Unit Newsletter. To sign up, just complete the simple form @ Hydraulics Unit Announcement Notifications.



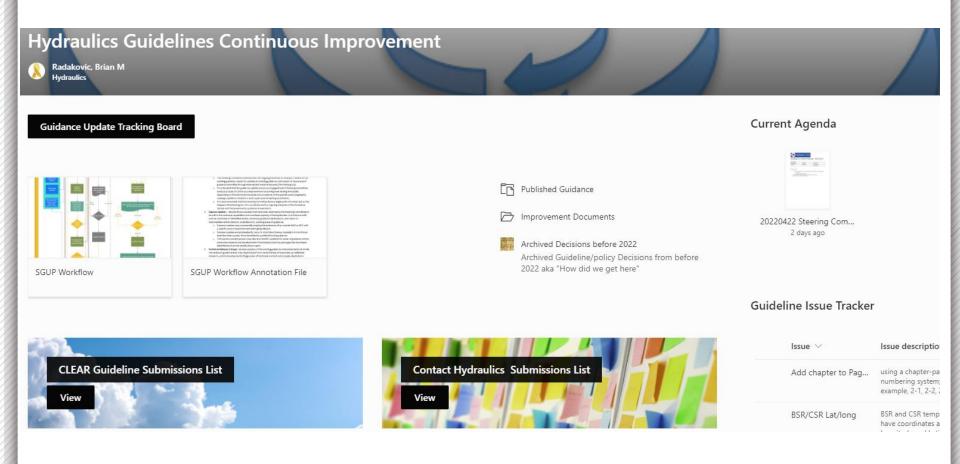
NCDOT Hydraulics Unit Announcements and **Updates** Here you can sign up to be put on an email list to receive announcements/updates with regards to NCDOT Hydraulics Unit. You can also use this to remove/update your existing contact information if you are already on Inquiry 1. Please choose the option that you wish to do. I would like to be added to the email list I would like to remove/update my existing contact information for the email list Next Never give out your password. Report abuse This content is created by the owner of the form. The data you submit will be sent to the form owner. Microsoft is not responsible for the privacy or security practices of its customers, including those of this form owner. Never give out your password. The owner of this form has not provided a privacy statement as to how they will use your response data. Do not provide personal or sensitive information.

Currently have over 340 signed up

Keeping everyone up to date

Name	Date Updated	
■ Chapter: Chapter 00: Title page and Foreword (1)		
Title Page	2/22/2022	
Chapter: Chapter 01: Introduction (1)		
Chapter1-Introduction	2/22/2022	
Chapter: Chapter 02: Legal Aspects (2)		
Chapter: Chapter 03: Preliminary Hydraulic Studies (3)		
Chapter: Chapter 04: Preliminary Roadway Plans (6)		
△ Chapter : Chapter 05: Drainage Plans Development (2)		
Chapter5-DrainagePlansDevelopment	2/22/2022	
ChecklistforDrainageStudyandHydraulicDesign	1/1/2022	
■ Chapter: Chapter 06: Resilience (1)		
Chapter6-Resilience	2/22/2022	
■ Chapter: Chapter 07: Hydrology (1)		
Chapter7-Hydrology	2/22/2022	
■ Chapter: Chapter 08: Bridges (4)		
Chapter8-Bridges	2/22/2022	
BSR_Guide	2/22/2022	
BSR_Cell_Placement_Guide	2/22/2022	
DSR_Guide	2/22/2022	

Managing Everything





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Highway Floodplain Manager
bmradakovic@ncdot.gov