

2008 Construction Engineers Conference

Subcontractor / POC Application

Greg Keel, PE

State Contractor Qualifications Engineer

Construction Unit

Business and Contractual Management Section



When is an application required?

- For Subcontractors on Central-let projects, prior to going to work on a project.
- They do not have to be approved prior to a Central-let bid. Primes do.
- For Division-let POC's, prior to the bid.



When is an application required?

Continued

- Applicants can submit by hard copy or online.
- The online application is not completely configured for POCs, but they could still use it.
- The link for the current Subcontractor application is:

<http://www.ncdot.org/business/ocs/sub/>



What is the history of POC prequalification?

- Currently, for most Divisions, a W-9 is sufficient to bid.
- Once the new form is adopted and deadline is set, all POC contractors will be required to prequalify through this means.



What are the requirements on the future form?

- GC and EC license information, if relevant
- Letter of bondability, if relevant
- Contractor will select work codes (types)
- Must show necessary equipment for these work codes
- Must show relevant experience for these codes
- Must pass the Safety Index



Upon approval, contractors can:

- With the appropriate license and sufficient bonding, they can bid on Division-let POC work.
- They can sub on POC work.
- They can sub on Central-let contracts.
- They cannot bid on Central-let contracts.
- Without SBE certification, they cannot bid on SBE contracts.



2008 Construction Engineers Conference

Directory of Transportation Firms Online Prequalification System

Mickey Biedell, PE

Private Consultant Qualifications Engineer

Construction Unit

Business and Contractual Management Section



Directory of Transportation Firms

- Contact Information
- Prequalification Status
- Approved work codes
- DBE/MBE/WBE/SBE Status
- Reporting Number



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Welcome to the Directory of Transportation Firms:

The **Directory of Transportation Firms** is a consolidated list of firms which have been certified through North Carolina's Unified Certification Program as a Disadvantaged Business Enterprise (DBE), Airport Concession Disadvantaged Business Enterprise (ACDBE), Small Professional Services Firm (SPSF), Minority Business Enterprise (MBE), Woman Business Enterprise (WBE) and/or Small Business Enterprise (SBE).

This Directory also contains information on firms that have been prequalified to perform work on NCDOT contracts. Prequalification by NCDOT does not relieve the prime contractor of its responsibility for determining if the subcontractors selected are, in fact, qualified to perform the work for which they are engaged. This Directory is provided as an informational source for prime contractors, subcontractors, and consultants as well as local and federal agencies. Certification for participation in any of the programs listed above is not a warranty or assurance that the firm is qualified or has the capacity to perform the type(s) of work the owner has represented the firm as competent to perform.

The Historically Underutilized Businesses (HUB) data displayed in this directory is maintained by the Department of Administration. For information on HUB vendors, contact the Department of Administration at [Office for Historically Underutilized Businesses](#) or (919) 807-2330 Mon. - Fri. 8:00 AM - 5:00 PM EST.

How Do You Want To Search?

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Main Menu > Firm Name

Name Begins with or Includes...

Secondary Search Criteria:

Certification	Type of Firm	Desired Work Location (s)
<input type="checkbox"/> DBE <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> SBE <input type="checkbox"/> ACDBE <input type="checkbox"/> SPSF <input type="checkbox"/> HUB <input checked="" type="checkbox"/> All Firms (Certified & Non-certified)	Architectural/Engineering Concessionaires Goods and Services None Other Professional Services Road & Street Construction Supply Vertical /Building Construction All Firms	Alamance Alexander Alleghany Anson Ashe Avery Beaufort Bertie or Any Division Division 1 Division 2 Division 3 Division 4 Division 5 Division 6 Division 7

Mouse over the highway division number in the state map below to view your counties.



Main Menu>Firm Name>Search Result

Searched using:
Name:hauling Certification:Any County:Any
96 matches found.



A & C HAULING INC Certifications: DBE, MBE Pre-Qualification Status: Subcontractor	A & S HAULING Certifications: None Pre-Qualification Status: Subcontractor
A R GRADING & HAULING LLC Certifications: None Pre-Qualification Status: None	ALEXIS MARIE GETER DBA GETER'S TRUCKING & HAULING CO. Certifications: SBE Pre-Qualification Status: None
ALLEGIAN T HAULING, INC Certifications: DBE, SBE, WBE Pre-Qualification Status: None	ALSTON'S HAULING, INC Certifications: DBE, MBE Pre-Qualification Status: None
ANDREWS HAULING AND GRADING INC ← Certifications: DBE, WBE Pre-Qualification Status: Subcontractor	B & N HAULING AND TRUCKING LLC Certifications: DBE, MBE, SBE Pre-Qualification Status: None
B & P HAULING Certifications: None Pre-Qualification Status: Subcontractor	BENNIE ALBERT GASKIN DBA BAG HAULING Certifications: DBE, MBE Pre-Qualification Status: None
BENNIE C. JONES DBA CJ HAULING	BLACK PINE HAULING, LLC. Certifications:

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Main Menu > Firm Name > Firm Detail



Company Name	ANDREWS HAULING AND GRADING INC
Address	4640 BOILING SPRINGS RD TOBACCOVILLE, NC 27050
Contact Name	ALENE ANDREWS
Phone	(336) 983-8954
Fax	(336) 985-3273
Email	ANDREWSHAULING1@HOTMAIL
Reporting Number	46008
Type of Firm	Road & Street Construction
Certification(s)	DBE, WBE
Qualification Status	Subcontractor
Types of Work	000050 - Hauling (Except Asphalt) 000200 - Clearing And Grubbing 000210 - Building Removal And Demolition
NAICS	237310 - Highway, Street, And Bridge Construction
Desired Work Location(s)	Davidson Forsyth Stokes Yadkin Davie Rowan Surry



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Find firms that perform work in these codes:

- [Sort by Code](#) or [Alphabetical Name](#)
- 000050 - Hauling (Except Asphalt)
 - 000055 - Hauling (Asphalt)
 - 000060 - Asphalt Saw Cutting
 - 000065 - Concrete Saw Cutting
 - 000070 - Rock Blasting



Search List

Find firms that perform work in this code:

Secondary Search Criteria:

Certification	Type of Firm	Desired Work Location(s)
<input type="checkbox"/> DBE <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> SBE <input type="checkbox"/> SPSF <input type="checkbox"/> ACDBE <input type="checkbox"/> HUB <input checked="" type="checkbox"/> All Firms (Certified & Non-certified)	<ul style="list-style-type: none">Architectural/EngineeringConcessionairesGoods and ServicesNoneOther Professional ServicesRoad & Street ConstructionSupplyVertical /Building ConstructionAll Firms	<ul style="list-style-type: none">AlamanceAlexanderAlleghanyAnsonAsheAveryBeaufortBertie <p>or</p> <ul style="list-style-type: none">Any DivisionDivision 1Division 2Division 3Division 4Division 5Division 6Division 7



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Name Begins with or Includes...

Secondary Search Criteria:

Work Code

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- 000050 - Hauling (Except Asphalt)
 - 000055 - Hauling (Asphalt)
 - 000060 - Asphalt Saw Cutting
 - 000065 - Concrete Saw Cutting
 - 000070 - Rock Blasting



Search List

NAICS Code

- [Sort by Code:](#) or [Alphabetical Name:](#)
- 111110 - Soybean Farming
 - 111120 - Oilseed (Except Soybean) Fa
 - 111130 - Dry Pea And Bean Farming
 - 111140 - Wheat Farming
 - 111150 - Corn Farming



Search List

Qualification Status	Certification	Desired Work Location(s)
<input type="checkbox"/> Prequalified Bidders Only	<input type="checkbox"/> DBE	Alamance
<input checked="" type="checkbox"/> All Prequalified Bidders and Subcontractors	<input type="checkbox"/> MBE	Alexander
	<input type="checkbox"/> WBE	Alleghany
	<input type="checkbox"/> SBE	Anson
	<input type="checkbox"/> SPSF	Ashe
	<input checked="" type="checkbox"/> All Firms (Certified & Non-certified)	Avery
		Beaufort
		Bertie
		or
		Any Division
		Division 1
		Division 2

Online Prequalification System

- Firms can now apply for prequalification online in lieu of submitting paper application
- All information can be found on our website:

<http://www.ncdot.org/business/ocs/sub/>



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Doing Business with NCDOT

NCDOT provides documents for use by Contractors and Private Engineering Firms.

- [Business Programs Management](#)
- [Highway Letting / Advertisements](#)
- [New Product Information](#)

Business Programs Management:



Civil Rights & Business Development:
 NCDOT is committed to advancing North Carolina's economy by ensuring that ALL businesses have an equal opportunity to succeed. Our focus is on businesses that have been historically underutilized: minority, women and disabled-owned businesses. Increased participation by these businesses strengthens communities across the state.
[...read more](#)



Disadvantaged Businesses:
 NCDOT sponsors many programs and services designed to help pave the way for DBE success. They are offered to certified DBEs and funded by the Federal Highway Administration (FHWA) to support the DBE Supportive Services program under the provisions of 49 Code of Federal Regulations Part 26.
[...read more](#)



Contractual Services:
 The Contractual Services Unit's mission is to provide optimal service to the contracting community as they conduct business with the NCDOT and other partners throughout the state.



Purchasing Section:
 The purchasing section of the department of transportation reports to the chief financial officer and has the authority and responsibility of obtaining all commodities necessary for the operation and maintenance of the Department of Transportation.

Highway Letting / Advertisements:



Design Build:
 The Design Build group administers the



Project Letting:
 This section provides project letting

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The Contractual Services Unit's mission is to provide optimal service to the contracting community as they conduct business with the North Carolina Department of Transportation and other partners throughout the state. The sections within the Contractual Services Unit are responsible for DBE, MBE/WBE and SBE certification, contractor qualification, contractor training, and contractor utilization.

The [Contractor Management Section](#) ensures that those individuals who meet the eligibility standards for inclusion in the DBE, MBE, WBE, and SBE programs are given maximum consideration and afforded opportunities to participate on contracts let by the Department of Transportation.

The purpose of contractor training is to provide training opportunities for women and minorities that will increase their participation in every skilled craft classification in the highway construction industry. The [On-the-Job Training Program](#) is one of the many programs designed to meet contractor's training needs.

The [Contractor Qualification Section](#) prequalifies prime contractors and subcontractors to work on NCDOT construction projects. The section also prequalifies Construction Engineering and Inspection (CEI) and Claims Analysis engineering firms.

The [Contractor Utilization Section](#) evaluates whether bidders on Raleigh let projects or projects which require Board of Transportation approval have met advertised DBE/MBE/WBE goals for given projects, and have used certified DBE/MBE/WBE contractors.





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Subcontractors

Subcontractors are firms that submit bids to prime contractors who are bidding on Department Centrally-let projects (projects that are statewide, but are let out of Raleigh). Before beginning work, subcontractors must be prequalified by the NCDOT. A firm that is not prequalified as a subcontractor will not be allowed to begin work on a Department project until they become prequalified. Once prequalified, firms must requalify every three (3) years, but must also renew their status annually. The purpose of the annual renewal is to make sure the Department has a current Safety Index on file.

Once your firm is approved, you will show up in the Department's Directory of Transportation Firms as a "subcontractor". Firm's that are already prequalified as bidders or prime contractors may also perform work on Department projects as a subcontractor, and do not need to complete the Subcontractor Approval Form. You can access the Directory of Transportation Firms by clicking on Contractor Directory under Navigation to the left.

All applications for prequalification (1st time, renewals, and requalifications) can now be completed online, in lieu submitting a paper application. In order to complete the application online, please go to the following website:

<https://apps03.dot.state.nc.us/vendor/prequal>

Instructions on how to use the Online Prequalification System can be downloaded [here](#).

If you are unable to use the Online Prequalification System, and require a paper copy of the application to submit, it can be downloaded below. However, it is recommended that you try to use the Online Prequalification System prior to downloading and submitting the paper application. If you have any questions regarding prequalification as a subcontractor, or about the Online Prequalification System, please contact either Mr. Greg Keel, PE or Mr. Mickey Biedell, PE at (919) 733-2210. Their address information is below.

- Certificación DBE (Spanish)
- MBE/WBE Program
- Small Business Enterprise
- Empresa Pequeña (Spanish)
- Certification Appeals

- Contractor Utilization
 - About Utilization
 - DBE Goal for FFY07
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Safety index on file.

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Mailing Address	Physical Address
1543 Mail Service Center Raleigh, NC 27699-1543	1 S. Wilmington St. Raleigh, NC 27601

Paper Applications: 

[1st Time Prequalification Form](#)
[Annual Renewal Form](#)
[3 Year Requalification Form](#)