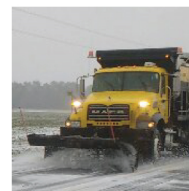
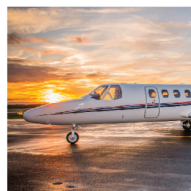




NORTH CAROLINA
Department of Transportation



STIP, State Budget Authority & Cash Flows

Leigh Wing, P.E.

STIP Eastern Regional Manager

June 2020

Today's Discussion

STIP

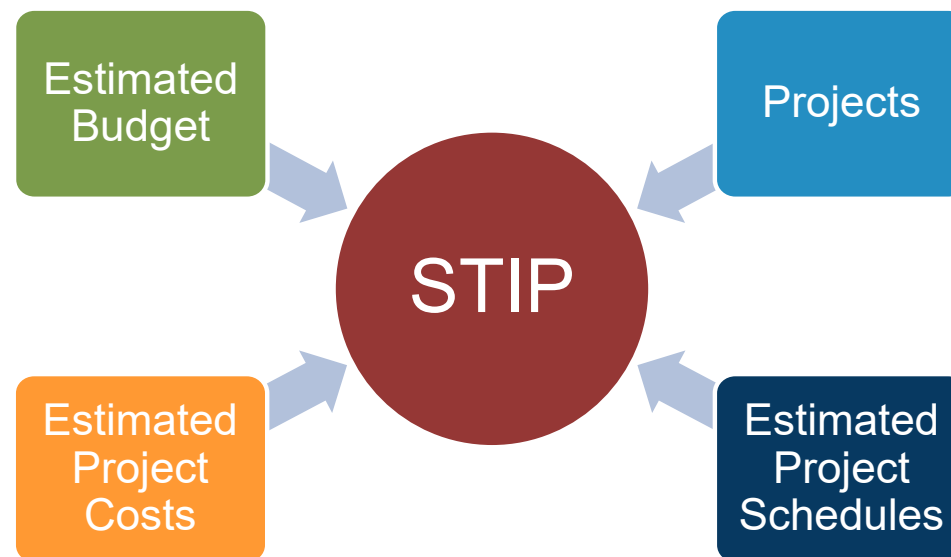
State Budget Authority

Overdrafts & Authorizations

Cash Model

What is the STIP?

State Transportation Improvement Program - planning document listing most capital projects NCDOT expects to deliver over next 10 years



STIP – Cash Flows (Previous Approach)

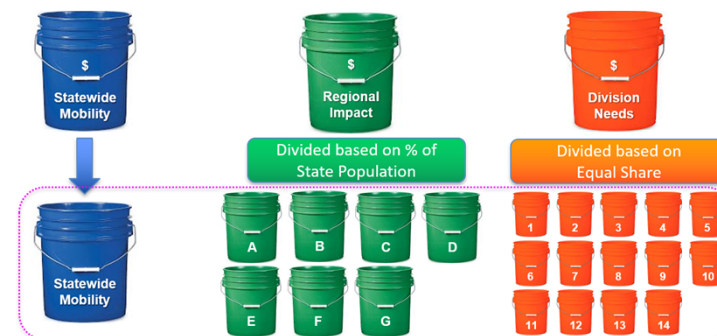
Approximate cash spending for a project (used on most projects)

Cash flows are typically smoothed by year

- Ex: \$40M project → \$10M in 4 consecutive FYs
- If work begins towards end of FY, funds may not be expended in that same year
- Schedule changes w/in a FY typically don't result in STIP cash-flow change

STIP has 22 budgets

- Balanced (5 & 10 years)
- Fiscally constrained by year



State Budget Authority

General Assembly gives NCDOT fixed amount of state budget authority (SBA) each SFY

- Estimate of expected annual Highway Trust Fund (HTF) revenue (~\$1.2B)
- **SBA = Permission to spend HTF**
- Used to start new projects, match fed-aid, add funding to projects (e.g. cover overdrafts)

When SBA is obligated to a project, DOT is committing those funds and they can't be used elsewhere (without additional action)

- Example: \$10M obligated to Project I-6000 → \$10M subtracted from \$1.2B

State Budget Authority

At end of SFY, NCDOT monitors available SBA closely to ensure commitments are met

- If NCDOT runs out of SBA before June 30th, cannot move forward with new activities (until July 1st)

Need to make sure to assign appropriate amount of SBA to each project to cover expected expenses for the SFY

- Assign too much → could impede advancement of other projects and create a large cash balance (with the inability to spend it down)
- Assign too little → could lead to overdrafts

Overdrafts

Occur when Expenditures > Authorized amount
(per phase)

BOT approves authorizations

- Item K (state HTF); Item M (fed-aid)



Harnett Co.	WBS 46377.2.2	\$10,171,142.00
Div. 6	NC 55 from NC 210 to SR 4809 (Jicarilla Lane). Initial funds are requested for full right of way. This is a Cash Flow project with \$5,085,571.00 in SFY 21 and \$5,085,571.00 in SFY 22.	
R-5705B		
REGIONAL		

- Need BOT approval for additional funds to cover overdrafts after the fact
- Required by law to cover state overdrafts at beginning of each SFY – uses SBA

Overdrafts (cont'd)

Important to monitor project spending to ensure projects do not go into overdraft

- Request additional funds if overdraft expected

Authorization Amounts

Initial project authorization amounts based on AFRA requests

- Matches STIP

Funding Request List												
View: [Standard View] <input type="button" value="Print Version"/> <input type="button" value="Export"/>												
Funding Request	User Status	Multi WBS	Created On	Created By	WBS Element	Amount Requested	WBS Description	Division	TIP sub-number	Fund Center	PMU Processor	
000004005509	APPR	<input type="checkbox"/>	01/26/2021	SDKENDALL	46377.2.2	10,171,142.00	R-5705B - ROW - NC 55	06	R-5705B	159075	sjr	

Amount deducted from SBA for each year based on STIP cash flow

- Example Project I-6000

Total Cost	SFY 2021	SFY 2022	SFY 2023	SFY 2024
\$40M	\$10M	\$10M	\$10M	\$10M

SFY 2021 → \$1.2B - \$10M

SFY 2022 → \$1.2B - \$10M ...

Cash Model

Purpose – predict cash needs of project

Uses statistical model to predict cash payout

Based on month of letting and location for more precise payout

Payouts adjusted throughout life of project

STIP (previous method) vs. Cash Model

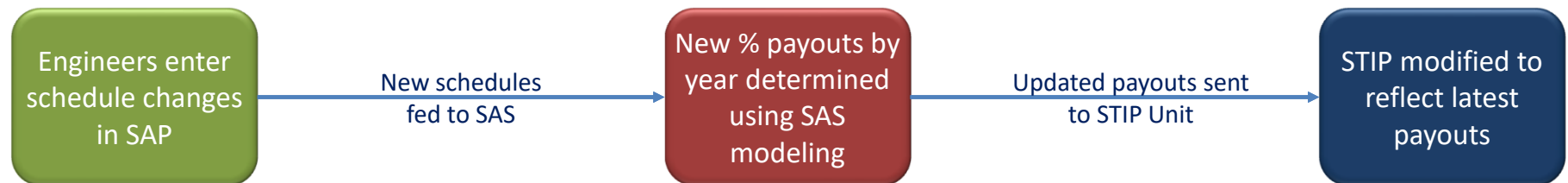
Project	FY 21		FY 22		FY 23		FY 24		FY 25	
	STIP Cashflow	Cash Payout	STIP Cashflow	Cash Payout	STIP Cashflow	Cash Payout	STIP Cashflow	Cash Payout	STIP Cashflow	Cash Payout
B-4484 Let 2/21 State funds	\$7,250	\$1,255	\$7,250	\$7,973		\$4,734		\$537		
W-5600 Let 3/21 Federal funds	\$25,666	\$9,389	\$25,667	\$28,647	\$25,667	\$23,298		\$13,538		\$2,128
U-5724 Let 6/21 State funds	\$7,200	\$2,266	\$7,200	\$7,519		\$4,616				
TOTAL	\$40,116	\$12,910	\$40,117	\$44,139	\$25,667	\$32,648		\$14,075		\$2,128

SBA is based on the STIP Cashflow

STIP – Cash Flows (Moving Forward)

Effort underway to better align STIP with Cash Model

- SAS developing anticipated payout % by year based on phase begin date
- Uses same modeling as cash model → updated daily
- Schedule changes



- More realistic STIP cash flows will ensure that excess budget authority is not tied up → gives NCDOT flexibility to meet financial needs

Questions?





NORTH CAROLINA Department of Transportation



Budget Review

June 21, 2021

Why is this important



**"Sometimes I get the crazy feeling
that he blames us for the budget overrun."**

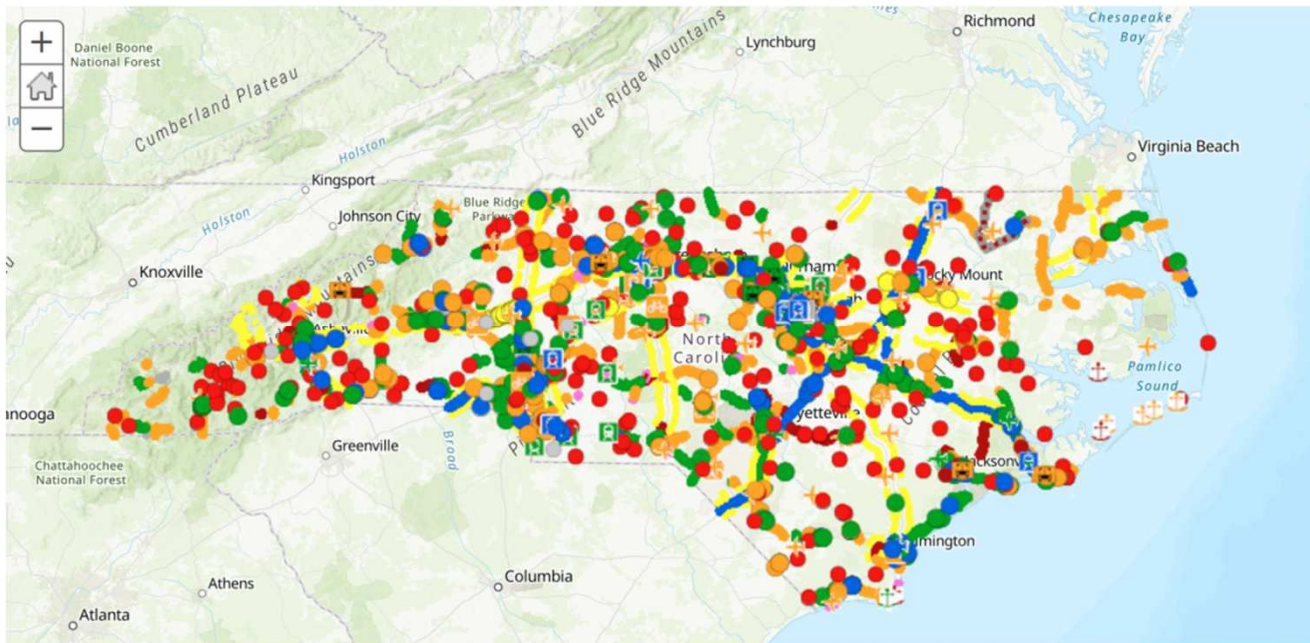
- Budget Authority – NCDOT has a set amount to spend each year. By spending more than what is appropriated in any given fiscal year, it can affect the next year's project load
- By funding project overruns at the time of the overrun, NCDOT can better monitor costs rather than reacting to project overruns at the end of a project.

How is NCDOT going to monitor



- Each Division (DCE) is responsible for managing budget for all contracts
- A quarterly report that summarizes budget is in development
- REs can check status of budget at various times of contract administration.
- Additional training will be provided for managing budgets

Which projects



- Overdraft monitoring and funding requests are required for all STIP contracts.
- Maintenance contracts (resurfacing, pavement preservation, etc.) will not require the same level of monitoring.
- All projects should have accurate overrun monitoring and completion date information in HICAMS

When to review



- Should review the budget several times during the life of the project
- 25%, 50%, 75%, and 90% complete
- Anytime a supplemental agreement is drafted, any claim that will grant compensation is reviewed, a force account is issued, or significant overrun of line code items is noted

How to check budget

The screenshot shows the SAP 'TIP executive summary report' window. At the top, there's a title bar with the text 'TIP executive summary report' and a standard SAP toolbar. Below the title bar, there's a sub-header 'TIP executive summary report' with a small icon. The main area is titled 'Enter selection criteria' and contains a table with selection criteria. The table has columns for the criterion name, a value field, a 'to' label, another value field, and a selection icon (a yellow square with a right-pointing arrow). The criteria listed are: TIP number, TIP sub number, Project definition, WBS element, Phase id, Project type, Project subtype, Division, and County code. At the bottom of the table, there's a 'Layout variant' field with the value '/CALVIN'.

Enter selection criteria		to		
TIP number	<input type="text"/>	to	<input type="text"/>	
TIP sub number	<input type="text"/>	to	<input type="text"/>	
Project definition	<input type="text"/>	to	<input type="text"/>	
WBS element	<input type="text"/>	to	<input type="text"/>	
Phase id	<input type="text"/>	to	<input type="text"/>	
Project type	<input type="text"/>	to	<input type="text"/>	
Project subtype	<input type="text"/>	to	<input type="text"/>	
Division	<input type="text"/>	to	<input type="text"/>	
County code	<input type="text"/>	to	<input type="text"/>	

Layout variant:

Executive Summary Report in SAP (ZPSR18) allows you to review budget for a project. WBS is the most effective means of reviewing. You can use TIP but you will see all phases of the project (Preconstruction, Right of Way, Construction) as well as any sur-TIP numbers (A, B, C, etc)

Verifying By WBS

TIP EXECUTIVE SUMMARY REPORT

TIP Executive Summary Report

Transaction Code: ZPR18
 Date: 06/15/2021
 Time: 14:42:51
 From WBS Elem.: 46325.3.1

Amounts in \$

TIP subno.	Phase ID	Phase Descrip	Funding	Funding date	Σ	Expenditures Σ	Commitments Σ	Unencumbered Balance	WBS elem.	Description
R-5742	0300	Construction	6,764,000.00	02/11/2019					46325.3.1	NC 175 - FROM GEORGIA STATE LINE TO US 64,
			7,268,000.00	02/13/2019						
			7,268,000.00	02/13/2019						
				09/05/2019						
			6,000,000.00	09/05/2019						
			6,000,000.00	09/05/2019						
				05/08/2020						
				07/28/2020						
			432,860.07	09/08/2020						
			432,860.07	09/09/2020						
			12,270,382.90	11/10/2020						
			12,270,382.90	11/10/2020						
			1,000,000.00	06/14/2021						
			1,000,000.00	06/14/2021						
						16,040,246.96	5,787,004.35	527,251.31		
	0300		21,300,000.00			16,040,246.96	5,787,004.35	527,251.31		
R-5742			21,300,000.00			16,040,246.96	5,787,004.35	527,251.31		
TIP number R-5742			21,300,000.00			16,040,246.96	5,787,004.35	527,251.31		
			21,300,000.00			16,040,246.96	5,787,004.35	527,251.31		

Verifying By WBS

TIP subno.	Phase ID	Phase Descrip	Σ	Funding	Funding date	Σ	Expenditures	Σ	Commitments	Σ	Unencumbered Balance	WBS elem
R-5742	0300	Construction		6,764,000.00	02/11/2019							46325.3.1
				7,268,000.00	02/13/2019							
				7,268,000.00	02/13/2019							
					09/05/2019							
				6,000,000.00	09/05/2019							
				6,000,000.00	09/05/2019							
					05/08/2020							
					07/28/2020							
				432,860.07	09/08/2020							
				432,860.07	09/09/2020							
				12,270,382.90	11/10/2020							
				12,270,382.90	11/10/2020							
				1,000,000.00	06/14/2021							
				1,000,000.00	06/14/2021							
							16,040,246.96		5,787,004.35		527,251.31-	
	0300		■	21,300,000.00		■	16,040,246.96	■	5,787,004.35	■	527,251.31-	
R-5742			■	21,300,000.00		■	16,040,246.96	■	5,787,004.35	■	527,251.31-	
TIP number R-5742			■	21,300,000.00		■	16,040,246.96	■	5,787,004.35	■	527,251.31-	
			■	21,300,000.00		■	16,040,246.96	■	5,787,004.35	■	527,251.31-	

Funding – the amount that the WBS is currently funded for. This is for everything associated with construction – contractor payments, contract engineering inspection costs (whether it is internal or external), administrative costs, and any other costs assigned to the WBS

Expenditures – the amount that has been spent to date on the WBS


Multiple WBSs

TIP executive summary report

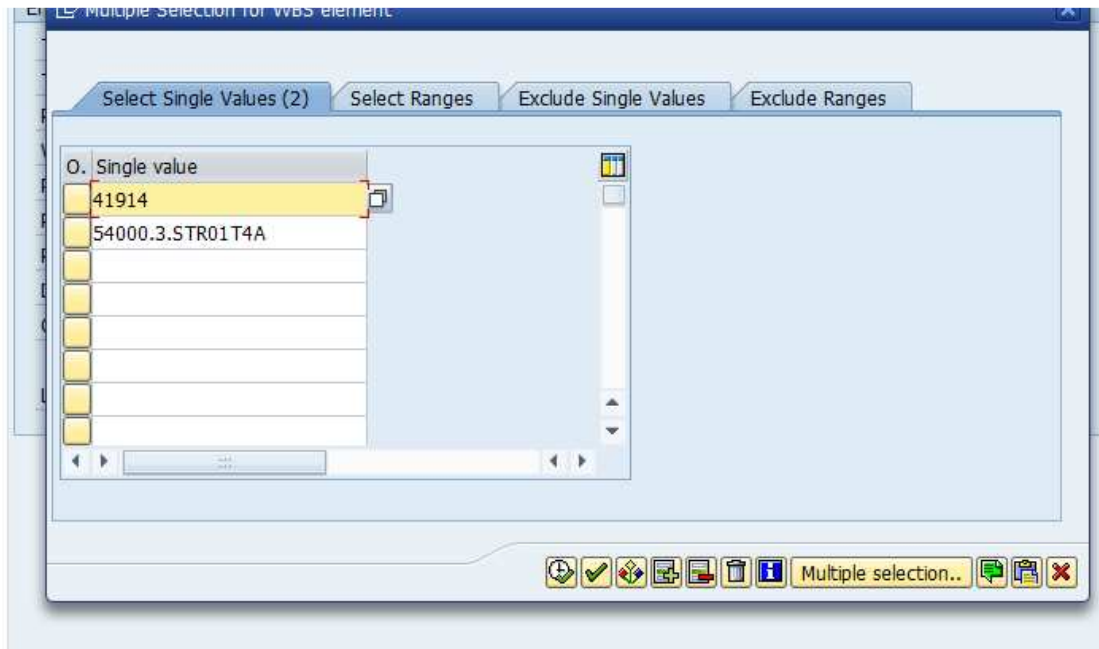
Enter selection criteria

TIP number	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
TIP sub number	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
Project definition	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
WBS element	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
Phase id	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
Project type	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
Project subtype	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
Division	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>
County code	<input type="text"/>	to	<input type="text"/>	<input type="button" value="→"/>

Layout variant

You can review multiple WBSes at one time. In the WBS element field, click on the  button.

Multiple WBSs



Enter the WBS numbers in the fields on the single value tab. Once you have entered all of them, click on the button.



Multiple WBSs

TIP executive summary report

Enter selection criteria

TIP number	<input type="text"/>	to	<input type="text"/>	
TIP sub number	<input type="text"/>	to	<input type="text"/>	
Project definition	<input type="text"/>	to	<input type="text"/>	
WBS element	41914	to	<input type="text"/>	
Phase id	<input type="text"/>	to	<input type="text"/>	
Project type	<input type="text"/>	to	<input type="text"/>	
Project subtype	<input type="text"/>	to	<input type="text"/>	
Division	<input type="text"/>	to	<input type="text"/>	
County code	<input type="text"/>	to	<input type="text"/>	
Layout variant	/CALVIN			

You will only see the first WBS in the window but SAP has all entered WBS stored. Click on the button or F8 to run Report.



Multiple WBSs

TIP EXECUTIVE SUMMARY REPORT

TIP Executive Summary Report

Transaction Code: ZFSR18
 Date: 06/21/2021
 Time: 12:52:27
 From WBS Elem.: 41914

TIP subno.	Phase ID	Phase Descrip	Σ	Funding	Funding date	Σ	Expenditures	Σ	Commitments	Σ	Unencumbered Balance	WBS element	Description
U-4716	9998	N/A		73,000.00	12/10/2007							41914	HOPSON ROAD GRADE SEPARATI
				350,000.00	02/11/2008								
				49,768.46	04/01/2008								
				397,912.57	04/10/2014								
				110,896.77	02/12/2016								
				110,896.77	02/12/2016								
				179,114.33	04/03/2019								
				227,448.68	04/15/2019								
				227,448.68	04/15/2019								
				300,702.92	04/15/2019								
							390,863.78						
U-4716	9998		■	390,863.78		■	390,863.78						
U-4716A	0300	Construction	■	390,863.78		■	390,863.78						
				5,145,724.00	06/03/2011							54000.3.STR01T4A	CLEGG PASSING SIDING & HOPSO
				16,540,251.65	02/28/2013								
				22,500.00	12/13/2013								
				60,000.00	01/16/2014								
				146,136.00	04/28/2014								

You will be provided summaries of multiple WBSs – each one should be evaluated on its own to ensure adequate funding.

Funding Summary

Funding	Funding date	Σ
6,764,000.00	02/11/2019	
7,268,000.00	02/13/2019	
7,268,000.00	02/13/2019	
	09/05/2019	
6,000,000.00	09/05/2019	
6,000,000.00	09/05/2019	
	05/08/2020	
	07/28/2020	
432,860.07	09/08/2020	
432,860.07	09/09/2020	
12,270,382.90	11/10/2020	
12,270,382.90	11/10/2020	
1,000,000.00	06/14/2021	
1,000,000.00	06/14/2021	
21,300,000.00		-
21,300,000.00		-
21,300,000.00		-
21,300,000.00		-

	Amount
Funding Authorized	\$21,300,000.00
HICAMS Contract Amount	\$19,735,711.70
Other Expenses	\$1,564,288.30

HICAMS - [hcamsprod]

File Edit Functions Inquiries References Admin Tools Window Help

Review Contract Details (C204291)

Contract: C204291 Contractor: WATSON CONTRACTING INC Status: Active

Description (nickname): NC 175

General Routes/Counties Staff Goals Dates Financials Status Completion Project Guarantee Project Closeout M&T Status History

Nickname: NC 175 Bid Amount: \$19,735,711.70

Location: NC-175 FROM THE GEORGIA STATE LINE TO US-64. Contract Type: Other

Contractor: 7896 - WATSON CONTRACTING INC WBS: 46325.3.1

Fiscal Vendor #: 65917 Spec Year: 2018

Physical Len: 3.925 Miles - English

Comment:

☐ Step By Step ☒ 100% State Funded
☐ Railroad Insurance ☐ A Plus B
☐ No Plan ☐ Blanket Consent
☐ Seed Mulch Incentive ☐ SBE
☒ Vegetation ICT ☐ Floating Availability Date
☐ Incentive/Bonus

☒ Project Guarantee Project Guarantee Months: 12
 Funding Source
☐ Garvee Funds ☐ USDOT Build Bonds
☒ NC Build Bonds ☐ Other Federal Bond

Work Order Federal Aid Number Merge TIP

46325.3.1 STATE FUNDED N R-5742

Regional Category: Regional

At the beginning of every contract, you should verify how much funding is available for each WBS on the contract. Generally, this is initially set at 15% over preliminary estimates. There is an effort to update these to construction costs but by verifying budget amounts at the beginning of a contract, you will have an idea if you the WBS has been set up with enough funded

Expenditures Summary

[illegible]

	Amount
Total Amount Spent	\$16,040,246.96
Paid to Contractor	\$13,624,292.52
Other Expenses Spent	\$2,415,954.44

You can compare total amount spent to how much the contractor has been paid to determine the value of other expenditures. This will include CEI, admin costs, maintenance costs, etc.

Contractor costs can be found a couple different places in HICAMS.

HICAMS - [hicamsprod] File Edit Functions Inquiries References Admin Tools Window Help

View WBS Details (C204291)

Contract: C204291 Contractor: WATSON CONTRACTING INC Status: Active

Description (nickname): NC 175

WBS	Federal Aid Number	TIP	Original LI Total	Line Item Total	Amount on Last Estimate	Amount to Date	Resurfacing
46325.3.1	STATE FUNDED	R 5742	\$19,400,584.30	\$19,735,711.70	\$956,717.14	\$13,624,292.66	<input type="checkbox"/>

Line Items Subcontracts

Line Item	Contract Adj.	Description	UOM	Non Part	Quantity	Unit Price	Amount
1		MOBILIZATION	LS		1.000	\$900,000.0000	\$900,000.00
2		CONSTRUCTION SURVEYING	LS		1.000	\$175,000.0000	\$175,000.00
2 SA8		CONSTRUCTION SURVEYING	LS		1.000	\$12,500.0000	\$12,500.00
3		CLEARING & GRUBBING	LS		1.000	\$750,000.0000	\$750,000.00
4		SUPP CLEARING & GRUBBING	ACR		1.000	\$7,000.0000	\$7,000.00
5		UNCLASSIFIED EXCAVATION	CY		111,000.000	\$13.0000	\$1,443,000.00
5 SA8		UNCLASSIFIED EXCAVATION	CY		-806.000	\$13.0000	(\$10,478.00)
6		UNDERCUT EXCAVATION	CY		5,200.000	\$20.0000	\$104,000.00
7		DRAINAGE DITCH EXCAVATION	CY		5,450.000	\$6.0000	\$32,760.00
8		BERM DITCH CONSTRUCTION	LF		730.000	\$3.2500	\$2,372.50
9		REMOVAL OF EXT ASPHALT P/MT	SY		4,820.000	\$6.0000	\$28,920.00
10		SELECT GRANULAR MATL CLASS III	CY		600.000	\$65.0000	\$39,000.00
11		SELECT GRANULAR MATERIAL	CY		1,100.000	\$65.0000	\$71,500.00
12		GEOTEXTILE SOIL STABILIZATION	SY		9,400.000	\$1.7500	\$16,450.00

Expenditures – SAP Breakdown

Find on page when No results < > Options

Enterprise Business Services
Welcome: PBW~611/MHGADDY | Applications | Preferences Help menu | Log off

Home Documents CEI % Report

CEI Expenditure %

Measures (8) CEI % (710WTI6S79GXUTNW9L9T... Division (1) 1...14 Project subtype (2) I(=303), I(=301)

Division	CEI %	Total Expenditure	CEI Expenditure	Contractor Payment	Administration and Overhead	PE & ROW Costs	Maintenance Expenditures Contract	Contract Amount
		\$	\$	\$	\$		\$	\$
14	11.1	16,050,440.52	1,506,874.13	13,624,292.66	828,059.90		88,193.85	19,735,711.70
Overall Result	11.1	16,050,440.52	1,506,874.13	13,624,292.66	828,059.90		88,193.85	19,735,711.70

If you want to review the breakdown of Other expenditures, you can use the CEI % report in BW Warehouse. It was developed to assist Divisions with tracking CEI expenditures. For the project previously shown, you can see the breakdown of other costs – CEI expenditures, contractor payments, administration and overhead, and maintenance expenditures.

In this report, you can also compare contractor payments to the contract amount. This report can be used as an additional resource if you choose.

Expenditures – Costs in HICAMS

Review WBS Details (C203744)

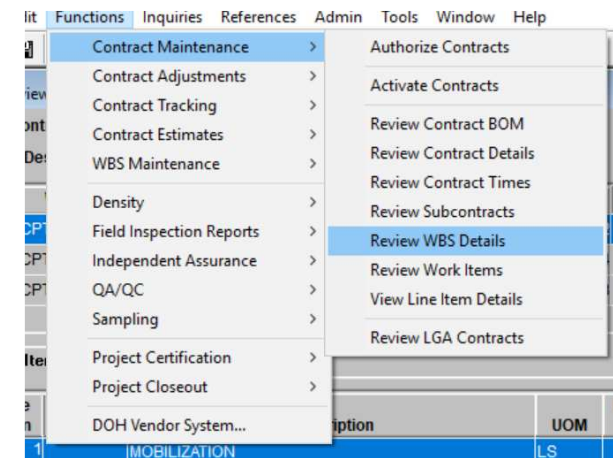
Contract: C203744 Contractor: MAYMEAD INC Status: Accepted

Description (nickname): Yancey Resurfacing 2015

WBS	Federal Aid Number	TIP	Original LI Total	Line Item Total	Amount on Last Estimate	Amount to Date	Resurfacing
2016CPT.13.07.11001			\$715,277.42	\$715,277.42	\$5,120.50	\$632,288.63	<input checked="" type="checkbox"/>
2016CPT.13.07.21001			\$812,165.04	\$812,165.04	\$5,354.80	\$661,087.76	<input checked="" type="checkbox"/>
2016CPT.13.07.21002			\$67,887.43	\$67,887.43	\$524.70	\$64,828.14	<input checked="" type="checkbox"/>

Line Items Subcontracts

Line Item	Contract Adj	Description	UOM	Non-Part	Quantity	Unit Price	Amount
1		MOBILIZATION	LS		0.350	\$16,000.0000	\$5,600.00
2		INCIDENTAL STONE BASE	TON		298.000	\$25.0000	\$7,450.00
3		SHOULDER RECONSTRUCTION	SMI		11.900	\$3,250.0000	\$38,675.00
4		MILLN ASPHALT PVT ***** [(0" TO 1-1/2")]	SY		165.000	\$25.0000	\$4,125.00
5		INCIDENTAL MILLING	SY		1,070.000	\$4.5000	\$4,815.00
6		ASP CONC SURF CRS S9.5B	TON		6,491.000	\$39.5000	\$256,394.50
8		ASP FOR PLANT MIX	TON		389.000	\$475.0000	\$184,775.00
9		PATCHING EXIST PAVEMENT	TON		990.000	\$95.0000	\$94,050.00
14		WORK ZONE ADV/GEN WARN SIGN	SF		668.000	\$5.1000	\$3,406.80
15		TEMP TRAFFIC CONTROL (SP)	LS		0.350	\$7,500.0000	\$2,625.00
16		24"WIDE THERMO 120 MILS	LF		32.000	\$12.5000	\$400.00
17		THERMO PVT SYMBOL 90MILS	EA		2.000	\$165.0000	\$330.00
19		POLYUREA LINES (4" *****) [(HIGHLY REFLECTIVE ELE	LF		125,664.000	\$0.8300	\$104,301.12
20		SNOWPLB PVT MRKR	EA		340.000	\$24.5000	\$8,330.00



You can find contractor totals by the WBS a couple different places in HICAMS – WBS details (under Functions, Contract Maintenance, Review WBS details will allow you to review how much has been spent at WBS level in one place.

Expenditures – Costs in HICAMS

Review Estimates (C203744)

Contract: C203744 Contractor: MAYMEAD INC Status: Accepted

Description (nickname): Yancey Resurfacing 2016

Estimate	Remarks	From	Thru	Amount	Status
8			11/04/2016	\$11,000.00	Paid
7		10/16/2016	11/04/2016	\$29,685.92	Paid

General Escrow Totals Line Items **WBS** Item Details Prepayments Pay Factors Damages Open Issues Completion History

WBS: 2016CPT.13.07.1100

	Participating	Non-Part.	Total
Estimate to Date:	\$650,389.95	\$0.00	\$650,389.95
Material Prepayments:	\$0.00	\$0.00	\$0.00
Adjustments to Date:	(\$10,187.82)	\$0.00	(\$10,187.82)
Less Retainage:	\$0.00	\$0.00	\$0.00
Assessed Liq Damages:	\$7,913.50	\$0.00	\$7,913.50
Less Previous Payments:	\$627,168.13	\$0.00	\$627,168.13
Amount of Estimate:	\$5,120.50	\$0.00	\$5,120.50

You can also review WBS total costs in the WBS window of estimates. You can select each WBS to review. The one downside to this window is you have to manually subtract adjustments and LDs from the estimate to date.

Expenditures – Costs in HICAMS

Review Estimates (C203744)

Contract: C203744 Contractor: MAYMEAD INC Status: Accepted

Description (nickname): Yancey Resurfacing 2016

Estimate	Remarks	From	Thru	Amount	Status
8			11/04/2016	\$11,000.00	Paid
7		10/16/2016	11/04/2016	\$29,685.92	Paid

General Escrow Totals Line Items **WBS** Item Details Prepayments Pay Factors Damages Open Issues Completion History

WBS: 2016CPT.13.07.1100

	Participating	Non-Part.	Total
Estimate to Date:	\$650,389.95	\$0.00	\$650,389.95
Material Prepayments:	\$0.00	\$0.00	\$0.00
Adjustments to Date:	(\$10,187.82)	\$0.00	(\$10,187.82)
Less Retainage:	\$0.00	\$0.00	\$0.00
Assessed Liq Damages:	\$7,913.50	\$0.00	\$7,913.50
Less Previous Payments:	\$627,168.13	\$0.00	\$627,168.13
Amount of Estimate:	\$5,120.50	\$0.00	\$5,120.50

You can also review WBS total costs in the WBS tab under Estimate review. By using the drop down window, you can select any of the WBSes on the project. The one downside to this window is you have to manually subtract adjustments and LDs from the estimate to date.

Expenditures – Costs in HICAMS

Estimate Report							
Estimate Report with WBSs		<input type="checkbox"/> Show Zero Quantities		<input type="checkbox"/> Show Full Item Description			
Estimate Report with WBSs		SF	668.000	\$5.1000	0.00000	336.00000	\$1,713.60
Estimate Report for Contractors		LS	0.350	\$7,500.0000	0.00000	0.35000	\$2,625.00
Estimate Report for Contract Final Quantities		LF	32.000	\$12.5000	0.00000	38.00000	\$475.00
Estimate Report Snapshot		EA	2.000	\$165.0000	0.00000	2.00000	\$330.00
17	THERMO PVT SYMBOL 90MILS	EA	2.000	\$165.0000	0.00000	2.00000	\$330.00
19	POLYUREA LINES (4" *****) [(HIGHLY REFLECTIVE ELEM	LF	125,664.000	\$0.8300	0.00000	113,387.00000	\$94,111.21
20	SNOWPLB PVMT MRKER	EA	340.000	\$24.5000	0.00000	398.00000	\$9,751.00
* indicates a minor line item which has overrun by more than 100%							
** indicates a major line item which has overrun by more than 15%							
		Participating	Non-Participating	Total			
Contract Line Items:		\$650,389.95	\$0.00	\$650,389.95			
Material Prepayments:		\$0.00	\$0.00	\$0.00			
Fuel Adjustments:		(\$10,187.82)	\$0.00	(\$10,187.82)			
Total Estimate:		\$640,202.13	\$0.00	\$640,202.13			
Less Retainage:		\$0.00	\$0.00	\$0.00			
Less Assessed Liq Damages:		\$7,913.50	\$0.00	\$7,913.50			
Less Previous Payments:		\$627,168.13	\$0.00	\$627,168.13			
Amount of Estimate:		\$5,120.50	\$0.00	\$5,120.50			

3rd option is to use the Print button to view an estimate – you will need to click on “Estimate Report with WBSs”. This option will provide a summary of each estimate in the report. Total estimate will provide the value on the WBS but you will need to deduct the value of assessed liquidated damages.

Projecting Contract Expenditures



Overruns should take into account any change in quantities that was not anticipated in the original bid amount

- Accurate line code overrun/underrun values
- All anticipated SA work, claim compensation, force account work is considered a fiscal overrun
- Any adjustments – AC/Fuel adjustments or line code adjustments (pay reductions/incentive payments)

Projecting Contract Expenditures

Review Estimates (C204291)

Contract: C204291 Contractor: WATSON CONTRACTING INC Status: Active

Description (nickname): NC 175

Estimate	Remarks	From	Thru	Amount	Status
26		04/08/2021	05/07/2021	\$956,717.14	Paid
25		03/08/2021	04/07/2021	\$462,272.09	Paid

General | Escrow | Totals | Line Items | WBS | Item Details | Prepayments | Pay Factors | Damages | Open Issues | Completion | History

Contractor on Estimate: WATSON CONTRACTING INC

Type: Partial

Current Days Used: 30

Percent Complete: 66.48 %

Previous Days Used: 738

% Complete by Progress Chart: 70.00 %

Days Used to Date: 768

Overrun/Underrun to Date: \$758,274.46

Completion Date: 03/30/2022

% Overrun/Underrun to Date: 3.84 %

Revised Completion Date: 05/09/2022

Total Retainage to Date: \$0.00

Estimated Completion Date: 05/09/2022

Less Amount Previously Retained: \$0.00

Supplemental Amount: \$-341,367.40

Current Retainage: \$0.00

Retainage Percent: 0.00 %

Comment:

	Value
Contract Bid Amount	\$19,735,711.70
Overrun/Underrun	\$758,274.46
Spent to Date	\$13,624,292.52
Amount Left to Spend	6,6869,693.64

Projecting Other Expenditures

	Amount
Total Amount Spent	\$16,040,246.96
Paid to Contractor	\$13,624,292.52
Other Expenses Spent	\$2,415,954.44

Current Days Used:	30
Previous Days Used:	738
Days Used to Date:	768
Completion Date:	03/30/2022
Revised Completion Date:	05/09/2022
Estimated Completion Date:	05/09/2022
Supplemental Amount:	\$-341,367.40
Retainage Percent:	0.00 %

We previously established just over \$2.4M in other expenses had been used at this point in the project. The contract has been ongoing for 768 days (approximate 26 months) as found in the details window of the last paid estimate. This averages out to approximate expenditures of \$92,921.32 per month. As noted from the CEI report, this is a combination of CEI, admin costs, and maintenance costs. It can be assumed that the costs will continue throughout the life of the project. You can take into consideration if you know of any one-time expenses that have been needed.

Projecting Other Expenditures

id

Length: 3.925

Contract Calendar Days: 1095
 Revised Calendar Days: 1135
 Curr Calendar Days Used: 30
 Previous Cal Days Used: 738
 Cal Days Used to Date: 768
 Percent Complete: 66.48
 % Complete By Progress Chart: 70.00
 % Overrun/Underrun to Date: 3.84

Remark

	Amount
Monthly Expenditures	\$92,921.32 / month
Time Left on Contract	1135 – 768 days = 367 days = 12 months
Total Left to Spend	\$1,115,055.90

Remaining contract time can be determined by subtracting revised calendar days from the calendar days used to date from the last estimate paid. The average monthly rate can be multiplied times the number of months left on the contract to determine anticipated other expenditures needed to complete the project.

Determining New Requests

	Amount
Contract Bid Amount	\$19,735,711.70
Overrun/Underrun	\$758,274.46
Spent to Date	\$13,624,292.52
Amount Left to Spend	6,6869,693.64

	Amount
Monthly Expenditures	\$92,921.32 / month
Time Left on Contract	1135 – 768 days = 367 days = 12 months
Total Left to Spend	\$1,115,055.90

- Total funding needed to complete project is
 $\$6,6869,693.64 + \$1,115,055.90 = \$7,984,749.54$

Determining New Requests

trip	Σ	Funding	Funding date	Σ	Expenditures	Σ
in		6,764,000.00	02/11/2019			
		7,268,000.00	02/13/2019			
		7,268,000.00	02/13/2019			
			09/05/2019			
		6,000,000.00	09/05/2019			
		6,000,000.00-	09/05/2019			
			05/08/2020			
			07/28/2020			
		432,860.07-	09/08/2020			
		432,860.07	09/09/2020			
		12,270,382.90	11/10/2020			
		12,270,382.90-	11/10/2020			
		1,000,000.00	06/14/2021			
		1,000,000.00-	06/14/2021			
					16,040,246.96	
■		21,300,000.00		■	16,040,246.96	■
■ ■		21,300,000.00		■ ■	16,040,246.96	■ ■
■ ■ ■		21,300,000.00		■ ■ ■	16,040,246.96	■ ■ ■
■ ■ ■ ■		21,300,000.00		■ ■ ■ ■	16,040,246.96	■ ■ ■ ■

	Amount
Total Funding	\$21,300,000.00
Total Spent	\$16,040,246.96
Left to Spend	\$5,259,753.04
Amount Left to Spend (contractor and CEI)	\$7,984,749.54
Difference	\$2,724,996.50

At the time of this review it appears that approximately \$2.75M in additional funding is needed

Documenting Budget Check

The screenshot displays the 'Approval' tab in the HICAMS system. The form includes the following fields and sections:

- General Tab:** Active, with sub-tabs for Contract Times, Line Items, WBS Detail, Approval, and History.
- Type Of Work:** Dropdown menu set to 'Roadway'.
- Authority for Change:** Dropdown menu set to '104-7 Extra Work'.
- Verbal Approval:** A checkbox that is currently unchecked.
- Description:** A text area containing the text: 'LC #217 "Clearing and Grubbing"'. Below this, a larger text area contains: 'This supplemental agreement is written in accordance with Article 104-3 of the 2012 Standard Specifications for Roads and Structures and provides compensation for clearing and grubbing. The clearing and grubbing shall be performed in all areas required to complete construction as shown in the attached plans. This construction was shown as "Work'.
- Justification:** A text area containing the text: 'Budget has been verified'.
- Comment:** A large empty text area for additional notes.
- Buttons:** At the bottom, there are four buttons: 'Activate', 'Indicators', 'Process Approval', and 'Void'.

- For supplemental agreements, include “Budget has been verified” in the justification box.
- We are working with HICAMS team to add a Budget verification check box.

Documenting Budget Check

Claim ID: 19 Type: Active Claim Status: New Filter by Issue Status: (All)

Claim Description: Test Claim

Issue ID	Issue Type	Issue Reason	Specification	Compensation Amt Requested	Compensation Amt Granted	Time Requested	Time Granted	Rate UOM	Issue Status
A	Compensation	Extra Work	104-7	\$1,000,000.00	\$1,000,000.00				Pending

General Contract Times History

Decision: Last Decision:

Issue Description: Additional Work was performed. NCDOT has agreed to compensate

Delay Cause:

Alleged Controlling Operation(s):

Actual Controlling Operation(s):

Comment: Budget has been verified Documenting Budget Check

- For Claims, include “Budget has been verified” in the comment box.
- We are working with HICAMS team to add a Budget verification check box.

Documenting Budget Check

General | **Line Items** | WBS Detail | History

Description	UOM	Quantity	Unit Price	Function Code
Credit for DOT Erosion Control	DOL		\$-1.0000	7640
Credit for DOT Field Surveying	HR	.0000	\$-100.0000	2650
Credit for DOT Maintenance Work	DOL	.0000	\$-1.0000	7640
Credit for DOT Office Calculations	HR	.0000	\$-50.0000	2650
Credit for Replacement Signs	DOL	.0000	\$-1.0000	7640
Final Surface Testing - Disincentive	DOL	.0000	\$-1.0000	7640
Final Surface Testing - Incentive	DOL	.0000	\$1.0000	7640

Contract Adjustment Line Items:

Line Item	Cont Adj	Short Description	UOM	Quantity	Average Unit Price	Unit Price	Amount	Gen

- Any other additions to the contract will require a budget check.
- Standard line items such as AST adjustment, supplemental surveying, final surface testing, etc. do not require a budget check but costs should be included in overrun calculations.
- Any other item that CCU is requested to add will require a budget check. The RE office is responsible for this check.

Documenting Budget Check

General | **Line Items** | WBS Detail | History

Description	UOM	Quantity	Unit Price	Function Code
Credit for DOT Erosion Control	DOL		\$-1.0000	7640
Credit for DOT Field Surveying	HR	.0000	\$-100.0000	2650
Credit for DOT Maintenance Work	DOL	.0000	\$-1.0000	7640
Credit for DOT Office Calculations	HR	.0000	\$-50.0000	2650
Credit for Replacement Signs	DOL	.0000	\$-1.0000	7640
Final Surface Testing - Disincentive	DOL	.0000	\$-1.0000	7640
Final Surface Testing - Incentive	DOL	.0000	\$1.0000	7640

Contract Adjustment Line Items:

Line Item	Cont Adj	Short Description	UOM	Quantity	Average Unit Price	Unit Price	Amount	Gen

- Any other additions to the contract will require a budget check.
- Standard line items such as AST adjustment, supplemental surveying, final surface testing, etc. do not require a budget check but costs should be included in overrun calculations.
- Any other item that CCU is requested to add will require a budget check. The RE office is responsible for this check.

Documenting Budget Check

Contract: 0203120 WBS: 04000.0310011 Estimate: 40 From: 01/06/2010 To: 06/01/2010									
Line	Contract Adj.	Description	Unit of Measure	Plan Quantity	Unit Price	Current Quantity	Previous Quantity	Total Quantity	Total Amount
1		MOBILIZATION	LS	1.000	\$545,000.0000	0.00000	1.00000	1.00000	\$545,000.00
2		CONSTRUCTION SURVEYING	LS	1.000	\$65,000.0000	0.00000	1.00000	1.00000	\$65,000.00
3		CLEARING & GRUBBING	LS	1.000	\$1,064,000.0000	0.00000	1.00000	1.00000	\$1,064,000.00
3 SA14		CLEARING & GRUBBING	LS	1.000	\$46,365.0000	0.00000	1.00000	1.00000	\$46,365.00
4		SUPP CLEARING & GRUBBING	ACR	5.000	\$2,500.0000	0.00000	1.98800	1.98800	\$4,970.00
5		UNCLASSIFIED EXCAVATION	CY	46,800.000	\$8.5000	0.00000	43,899.16000	43,899.16000	\$373,142.86
* 6		UNDERCUT EXCAVATION	CY	3,140.000	\$12.0000	0.00000	30,920.43000	30,920.43000	\$371,045.16
** 7		BORROW EXCAVATION	CY	201,680.000	\$7.0000	0.00000	338,737.54400	338,737.54400	\$2,371,162.81
8		DRAINAGE DITCH EXCAVATION	CY	13,964.000	\$8.5000	0.00000	778.40000	778.40000	\$6,616.40
9		BERM DITCH CONSTRUCTION	LF	260.000	\$7.5000	0.00000	0.00000	0.00000	\$0.00
10		REMOVAL OF EXT ASPHALT PVT	SY	4,950.000	\$5.0000	0.00000	2,828.00000	2,828.00000	\$14,140.00
11		SELECT GRANULAR MATERIAL	CY	2,000.000	\$15.0000	0.00000	227.19600	227.19600	\$3,407.94
* 12		GEOTEXTILE SOIL STABILIZATION	SY	5,800.000	\$1.7500	0.00000	33,295.10000	33,295.10000	\$58,266.43
12 SA3		GEOTEXTILE SOIL STABILIZATION	SY	500.000	\$1.7500	0.00000	0.00000	0.00000	\$0.00
13		GEOGRID FOR SOIL STABILIZATION	SY	8,350.000	\$2.2500	0.00000	3,611.11000	3,611.11000	\$8,125.00
* 14		FND CONDIT MATL MINOR STRS	TON	320.000	\$30.0000	0.00000	1,363.73000	1,363.73000	\$40,911.90
15		FND CONDIT GEOTEXTILE	SY	1,000.000	\$1.7500	0.00000	1,188.90000	1,188.90000	\$2,080.58
16		15" DRAINAGE PIPE	LF	340.000	\$35.0000	0.00000	300.00000	300.00000	\$10,500.00
17		18" DRAINAGE PIPE	LF	60.000	\$40.0000	0.00000	28.00000	28.00000	\$1,120.00
18		30" DRAINAGE PIPE	LF	16.000	\$60.0000	0.00000	0.00000	0.00000	\$0.00
* 19		15" RC PIPE CULV III	LF	64.000	\$37.0000	0.00000	180.00000	180.00000	\$6,660.00
20		15" RCP CULV CLASS IV	LF	692.000	\$30.0000	0.00000	735.20000	735.20000	\$22,056.00
21		18" RCP CULV CLASS IV	LF	344.000	\$34.0000	0.00000	323.60000	323.60000	\$11,002.40
22		24" RCP CULV CLASS IV	LF	148.000	\$40.0000	0.00000	147.00000	147.00000	\$5,880.00
23		30" RCP CULV CLASS IV	LF	340.000	\$50.0000	0.00000	322.40000	322.40000	\$16,120.00
* 24		15" CS PIPE CULV 0.064"	LF	32.000	\$56.0000	0.00000	167.00000	167.00000	\$9,352.00
25		24" CS PIPE CULV 0.064"	LF	20.000	\$63.0000	0.00000	20.00000	20.00000	\$1,260.00
26		*** CS ELBOW ***** THICK [(15", 0.064")]	EA	1.000	\$400.0000	0.00000	1.00000	1.00000	\$400.00
27		*** CS ELBOW ***** THICK [(24", 0.064")]	EA	1.000	\$500.0000	0.00000	1.00000	1.00000	\$500.00
28		***WLD STL PIPE GRAD B IN SOIL [(18", 0.5")]	LF	52.000	\$159.0000	0.00000	104.00000	104.00000	\$16,536.00
29		***WLD STL PIPE GRAD B NOT IN SOIL [(18", 0.5")]	LF	52.000	\$159.0000	0.00000	0.00000	0.00000	\$0.00
30		18" BCCMP PIPE CULVERTS, 0.079" THICK	LF	100.000	\$55.0000	0.00000	100.00000	100.00000	\$5,500.00

* indicates a minor line item which has overrun by more than 100%

** indicates a major line item which has overrun by more than 15%

- Overrun of line code items should be captured in your monthly overrun analysis.
- * - Minor Line item that has overrun more than 100%
- ** - Major line items that has overrun by more than 15%
- Even if you don't have SAs or claims, line code overruns should be checked at 25%, 50%, 75%, and 90% of contract time.
- Budget has been verified can be added in the Estimate Comment window.

* indicates a minor line item which has overrun by more than 100%

** indicates a major line item which has overrun by more than 15%

How to make request

Automated Funding Request Application (AFRA)

How to request funding?
STIP Funding Request Application

- Purpose of Application – Allow anyone in the DOT with Enterprise Business Services (EBS Portal) Access to request STIP funding from PMU and provide the ability to process those requests.
- Start by logging into the Enterprise Business Services portal.

www.ebs.nc.gov



**Enterprise
Business
Services**

User *

Password *

Passwords are case sensitive

Log On

[Login Help](#) • [Browser Support](#)

When to make the request



- Not required to make a funding request every SA, claim, etc.
- Divisions should monitor expenses and make a request when significant overrun costs have occurred and it is clear that there is insufficient budget to cover expenses
- REs should talk with DCEs and ACEs to determine best time to make request
- DO NOT allow the WBS to go into overdraft!!!

Any Questions???



Prompt Payment Audit



An email should be sent out within the next week regarding FHWA audit on prompt payment.

Prompt Payment Audit



What is the audit reviewing?

- How does NCDOT monitor prompt payment/return of retainage
- NCDOT's enforcement mechanisms for violations of prompt payment/return of retainage
- Dispute resolution process to resolve payment disputes
- Does NCDOT investigate prompt payment/return of retainage – what are those procedures?

How does NCDOT monitor prompt payment/return of retainage

The Contractor shall provide the Engineer with an accounting of payments made to all DBE firms, including material suppliers and contractors at all tier levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. This information is entered into the NCDOT Payment Tracking system. The Contractor certifies that payments are accurate and that actual payments have been made to subcontractors and suppliers. The Resident Engineer's office is responsible for reviewing and approving this information to ensure timely payments are made prior to making payments on estimates.

RE office should review DBE work on a monthly basis and ensure that all DBE payments are being reported in the DBE payment tracking system. Any questions or concerns about about payments entered or lack of reporting should be discussed with the contractor.

NCDOT's enforcement mechanisms for violations of prompt payment/return of retainage

Failure to submit this information accordingly may result in the following action:

(A) Withholding of money due in the next partial pay estimate; or

(B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

If payments are not entered in a timely manner, the RE should withhold the next partial pay estimate. This should be documented in a letter to the contractor. If the contractor fails to respond to requests regarding prompt payment, contact ACE to discuss further measures, which may include removal of prequalifications.

Dispute resolution process to resolve payment disputes

NCDOT may facilitate a meeting to resolve payment disputes between a prime contractor and a subcontractor or material supplier.

If the prime and subcontractor are at an impasse on a payment dispute, NCDOT may facilitate a meeting to assist with resolving these issues. This is reserved for cases in which it is not a prompt payment issue, but a contractual payment issue between the prime and sub. Prompt payment issues should follow the procedures discussed on previous slide

Does NCDOT investigate prompt payment/return of retainage – what are those procedures?

The Resident Engineer will notify the prime contractor and request supporting documentation of payments made to a subcontractor. The subcontractor may be contacted to request information on payments made, work performed, and any other details necessary to complete the investigation. Additionally a comparison of work performed on the contract utilizing diaries, invoices, and subcontractor agreement forms will be used to assist in the investigation. Upon review of all documentation, the Resident Engineer will advise the prime contractor and subcontractor of the results of the investigation.

If the RE discovers or is notified of a prompt payment allegation, they should send a certified letter to the prime contractor requesting supporting documentation that the sub has been paid within 7 days. The prime should provide proof of payment or documentation supporting a contractual dispute. If the prime fails to respond, contact your ACE for further steps.

Projects that will be audited

Contract Number	Contract Type	Prime Contractor	Division	Contract Bid Amount
6300049123	A3 - Consultant	WETHERILL ENGINEERING, INC.	1	\$913,728
6300052364	A3 - Consultant	HDR ENGINEERING INC OF THE CAROLINAS	14	\$1,538,569
6300052404	A3 - Consultant	DRMP INC	9	\$3,987,079
6300052981	A3 - Consultant	KCI ASSOCIATES OF NORTH CAROLINA PA	6	\$1,051,135
7500023702	B5 - Municipals	CITY OF CONCORD FINANCE DEPT.	Statewide Planning	\$376,156
7500023703	B5 - Municipals	CITY OF RALEIGH	Statewide Planning	\$2,524,055
C203567	C1 - C1/C2 Constr	ZACHRY CONSTRUCTION CORPORATION	5	\$39,756,917
C203772	C1 - C1/C2 Constr	SEALAND CONTRACTORS CORP	3	\$22,000,001
C203801	C1 - C1/C2 Constr	VECELLIO & GROGAN INC	11	\$53,058,545
C203831	C1 - C1/C2 Constr	BLYTHE CONSTRUCTION INC	12	\$15,329,149
C203941	C1 - C1/C2 Constr	W C ENGLISH INCORPORATED	1	\$15,361,279
C204055	C1 - C1/C2 Constr	GLF CONSTRUCTION CORPORATION	13	\$8,672,509
C204174	C1 - C1/C2 Constr	DIAMOND SURFACE INC	7	\$13,393,321
C204329	C1 - C1/C2 Constr	BARNHILL CONTRACTING CO	8	\$5,620,739
DB00455	D1 - Div HiCAMS	PALMETTO INFRASTRUCTURE INC	2	\$1,184,712
DD00295	D1 - Div HiCAMS	CALVIN DAVENPORT INC	4	\$2,446,972
DG00472	D1 - Div HiCAMS	SMITH-ROWE, LLC	7	\$1,689,143
DI00213	D1 - Div HiCAMS	NJR GROUP INC	9	\$2,107,908
DJ00351	D1 - Div HiCAMS	BOGGS CONTRACTING INC	10	\$1,185,532
DN00650	D1 - Div HiCAMS	GRAHAM COUNTY LAND COMPANY LLC	14	\$3,499,917

Questions

1. Who specifically is responsible for monitoring prompt pay?
2. How do you monitor PP/RR?
3. Are there written procedures in place to ensure prompt pay? If yes, can you provide a copy?
4. Are you aware that NCDOT's approved DBE program plan requires PP/RR to be completed within 7 days?
5. Do you monitor prompt pay for all subcontractor tiers?
6. What action have you taken/would you take if/when a sub has not been paid promptly or retainage has not been returned promptly?
7. How frequently do you receive complaints about prompt pay?
8. Do subcontractors/subconsultants know who to contact if they have a prompt pay complaint?

Spreadsheet to Complete

State:		NC		Project Name:		Slocum Gate Flyover	
Name of Prime:		S T WOOTEN CORPORATION		Federal Aid Number (FAN):		NHS-0070(154)	
Name of Subcontractor:		K & D LOGISTICS LLC		State Project Number:		C203955	
Tier level of Subcontractor:		1st		Contract Amount:		\$ 24,370,634.28	
Type of work contracted:		Hauling		Award Date:		7/20/2017	
Number of days state requires prime to pay Subcontractor		7		Project Projected Completion Date:		4/30/2020	
Number of days state requires release of retainage or		N/A		Amount Paid to Date:		\$ 23,700,242.52	
				Date Audit completed:		3/2/2020	
				Division Point of Contact:		Brad McMannen	

2019	Was Prime* paid for the work completed by Subcontractor?	Date Prime received payment	Date Subcontractor received payment	Was payment received on time?	Date Subcontractor received retainage	Was retainage released on time?	How was late payment/ retainage issues addressed or resolved?	Did the State take enforcement actions due to late payment/retainage release?
January		1/9/2019		On Time Payment		Release of Retainage		no
February	yes	2/7/2019	2/15/2019	Late Payment		Release of Retainage	*Note: Sub is paid on weekly basis whereas NCDOT issues monthly payments	no
March	yes	3/12/2019	3/22/2019	Late Payment		Release of Retainage	*Note: Sub is paid on weekly basis whereas NCDOT issues monthly payments	no
April				On Time Payment		Release of Retainage		
May	yes	5/10/2019	5/10/2019	On Time Payment		Release of Retainage	*Note: Sub is paid on weekly basis whereas NCDOT issues monthly payments	no
June	yes	6/11/2019	6/14/2019	On Time Payment		Release of Retainage	*Note: Sub is paid on weekly basis whereas NCDOT issues monthly payments	no
July				On Time Payment		Release of Retainage		
August	yes	9/4/2019	9/6/2019	On Time Payment		Release of Retainage		no
September	yes			On Time Payment		Release of Retainage		
October				On Time Payment		Release of Retainage		
November				On Time Payment		Release of Retainage		
				On Time		Release		

- Will be required to complete a sheet for all subcontractors, 2nd tier subcontractors, suppliers, and manufacturers on the project
- Request this information from the prime contractor. Subs may need to request 2nd tier information.

Any Questions???

