***This instructional page contains hidden text and should not be printed***

Instructional Information:

* Please refer to the [2019 NCDOT-FHWA CE Agreement](https://connect.ncdot.gov/resources/Environmental/EPU/NEPA/Documents/2019_NCDOT-FHWA_Categorical_Exclusion_%28CE%29_Programmatic_Agreement.pdf) with questions about the CE documentation process.
* Please review NCDOT’s [CE Checklist training module](https://connect.ncdot.gov/resources/DMPDT/DMPDT%20Documents/Categorical%20Exclusion%20Checklist/CE%20Checklist%20Training%20-%20Presentation/CE%20Checklist%20Training%20Presentation.pdf) from 2017 for additional direction (slightly dated, but generally applicable).
* You can Enable/Disable hidden text by selecting File>Options>Display> tick/untick hidden text.
* Some hidden text is contained in the checklist in this or a *similar font* – it will not PDF or print
* The green background on the Commitments page is a shape that is slightly larger than the page. You can delete or modify this if needed to customize your own formatting.
* Please contact the Environmental Policy Unit at EPU@ncdot.gov with questions or comments regarding this documentation.

|  |
| --- |
| **Programmatic Categorical Exclusion Types and Approval** |
| *Type* | *Descriptions* | *Identified Actions* | *Required Documentation* | *Grey Box**Checked*  | *Who**Approves* |
| **I(C)** | **Pre-Approved (No Further CE Approval Required)** | **Appendix A Actions including: 1, 5, 8 (signs and pavement markings only), 11, 13, 14, 15, 16, 17, 19, and 20 and several other Type I Action subcategories identified in past programmatic agreements included in Appendix D.** | **Appendix E Section****A-D** | **N/A** | **N/A** |
| **I(A)** | No Ground Disturbance or Limited Ground Disturbance within the Operational ROW  | Appendix A Actions including 4, 7, 8 (except for signs and pavement markings), 10, 22 (no to limited ground disturbing) and/or 29 and that result in either no, or limited, ground disturbance within the operational ROW. | Appendix E SectionA-E, **F1**, & G-I | No | NCDOT |
| **I(B)** | No Ground Disturbance or Limited Ground Disturbance within the Operational ROW  | Appendix A Actions including 4, 7, 8 (except for signs and pavement markings), 10, 22 (no to limited ground disturbing) and/or 29 and that result in either no, or limited, ground disturbance within the operational ROW. | Appendix E Section A-E, **F1**, & G-I | Yes | FHWA |
| **I(A)**  | Ground Disturbing Actions | Appendix A Actions including: 2, 3, 6, 7, 9, 12, 18, 21, 22 (ground disturbing), 23, 24, 25, 26, 27, 28, &/or 30  | Appendix E SectionA-E, **F2**, & G-I | No | NCDOT |
| **II(A)** | Appendix B Actions where project impact thresholds are not met nor exceeded. |
| **I(B)**  | Ground Disturbing Actions | Appendix A Actions including: 2, 3, 6, 7, 9, 12, 18, 21, 22 (ground disturbing), 23, 24, 25, 26, 27, 28, &/or 30  | Appendix E SectionA-E, **F2**, & G-I | Yes | FHWA |
| **II(B)**  | Appendix B Actions where project impact thresholds are met or exceeded. |
| **III** | Other Ground Disturbing Actions | These are activities not identified as Type I or II and do not involve significant environmental impacts.  | Appendix E SectionsA-E, **F3**, & G-I | N/A | FHWA |

# Type I(C) Categorical Exclusion Action Classification Form

|  |  |
| --- | --- |
| STIP Project No. | Project Number (e.g. R-1234) |
| WBS Element | WBS Element Number (e.g. 12345.1.1) |
| Federal Project No. | Federal Aid Number |

1. Project Description:

Include STIP description, project scope and location, including Municipality and County. Refer to the attached vicinity map.

1. Description of Need and Purpose:

Clearly state the project’s need(s) and the purpose of the project.

1. Categorical Exclusion Action Classification:

|  |  |
| --- | --- |
| **Type** | **I(C) - Pre-Approved Action** |

1. Proposed Improvements:

Include ALL applicable Type I Actions (included in NCDOT-FHWA CE Programmatic Agreement, Appendix A) and/or Type II Actions (included in NCDOT-FHWA CE Programmatic Agreement, Appendix B). For Type III CEs, leave blank.
*Example:*
3. Construction of bicycle and pedestrian lanes, paths, and facilities.

|  |
| --- |
| *NOTE: The following Type I(C) Actions (NCDOT-FHWA 2019 CE Agreement, Appendix A) only require completion of Sections A through D to substantiate and document the CE classification: 1, 5, 8 (signs and pavement markings only), 11, 13, 14, 15, 16, 17, 19, and 20; or several other Type I Action subcategories identified in past NCDOT-FHWA CE Programmatic Agreements (see Appendix D).* ***Pre-approval as a CE does not exempt activities from compliance with other federal environmental laws.***  |

 **Prepared By (*Name/Signature of Preparer Is Optional*):**

|  |  |  |
| --- | --- | --- |
|  |  | *Signature line for primary preparer* |

 Date Name of Preparer, Title of Preparer

 Preparer’s Organization/Division

*Type I-C projects will rarely require Greensheet Commitments; however, in the rare event commitments are needed, please use the Commitments Dashboard in the Preconstruction Site and attach a greensheet and provide it to relevant parties (environmental permitting lead, contract officer, etc.). Where necessary, attachments should include vicinity map and relevant resource agency concurrence letters, Section 106 effect/no effect forms or MOA (without maps and photos), etc.*

*\* - Please ensure completion of this CE is documented in ATLAS Workbench, SAP, or email the completed form to* *EPU@ncdot.gov*