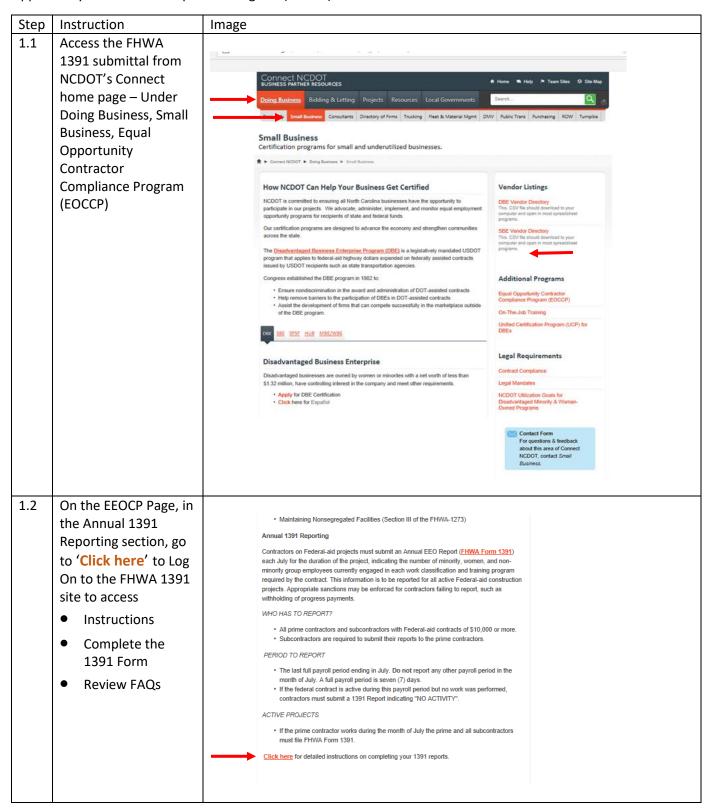
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1.0 FHWA 1391 Instructions for Contractors (FY2022)

Access the FHWA 1391 submittal from NCDOT's Connect home page – Navigate to Doing Business, Small Business, Equal Opportunity Contractor Compliance Program (EOCCP).

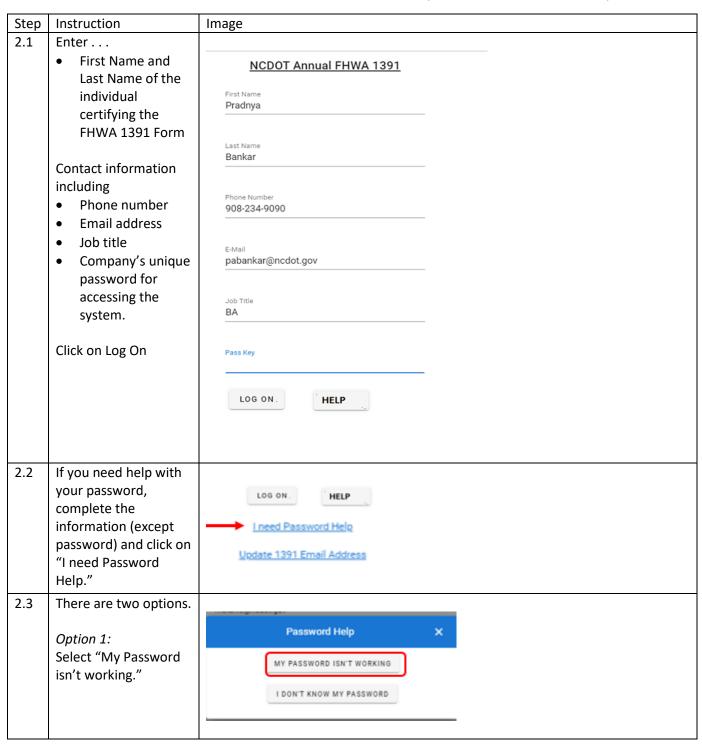


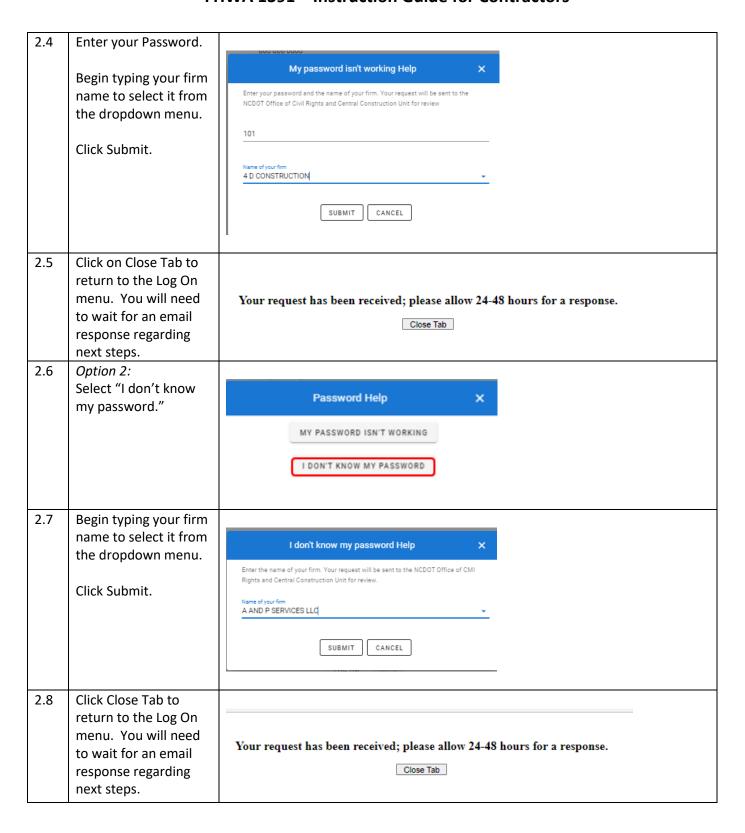
2.0 Log On Information

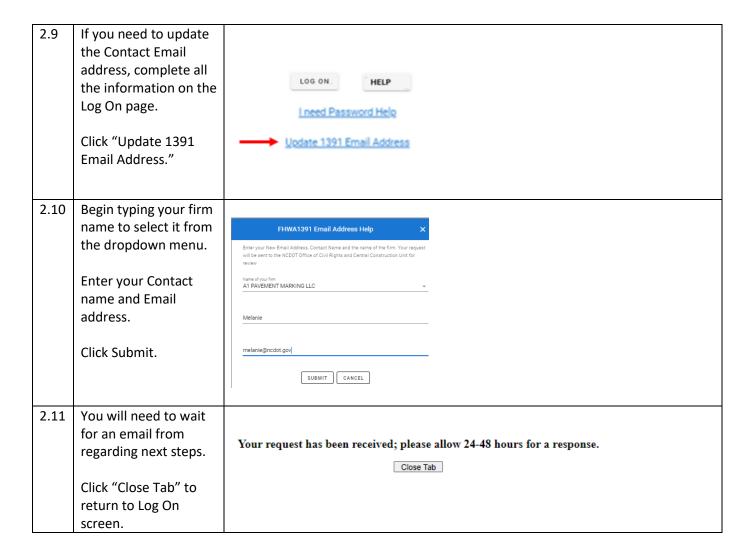
To start, complete the information on the Log On screen.

About your Password and email address . .

- Your company's Password was sent to all contractors who have federal contracts by mid-July.
- Each *company* has their own unique password and should not be shared with other contractors.
- The Email address must be a valid email address as it will receive updates about the FHWA 1391 process.



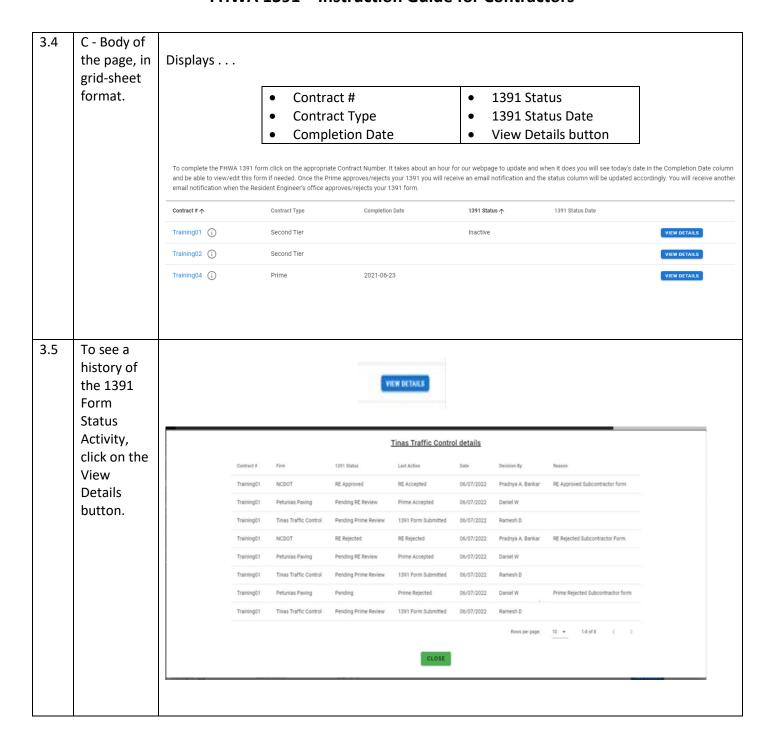




3.0 Contractor Webpage Overview (after successful Log On)

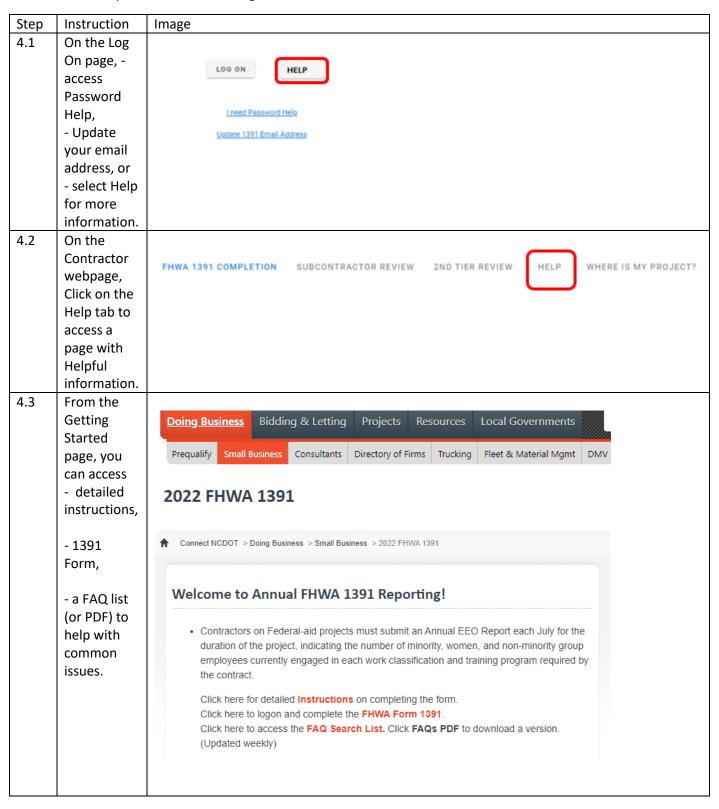
Contractor webpage layout described.





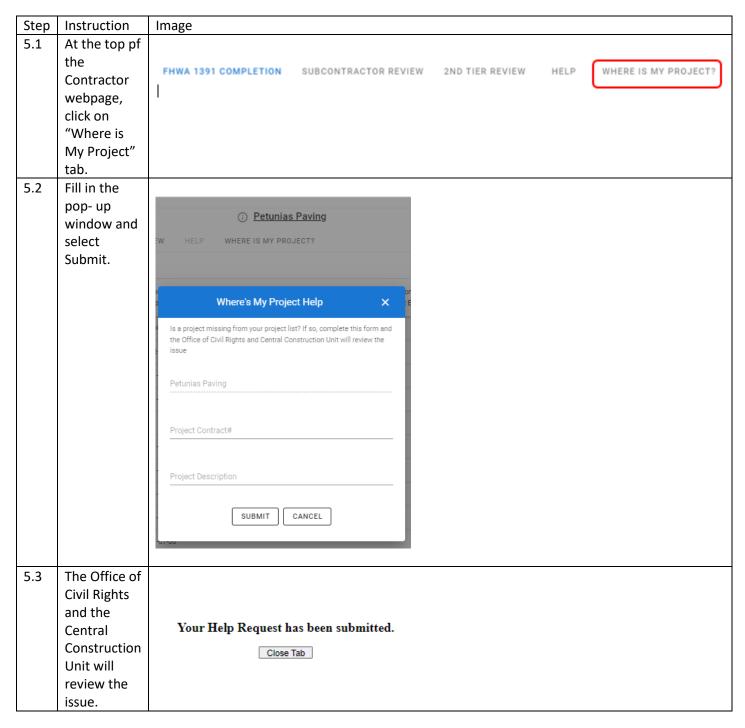
4.0 Help

There are two Help buttons available to get additional information to resolve issues.



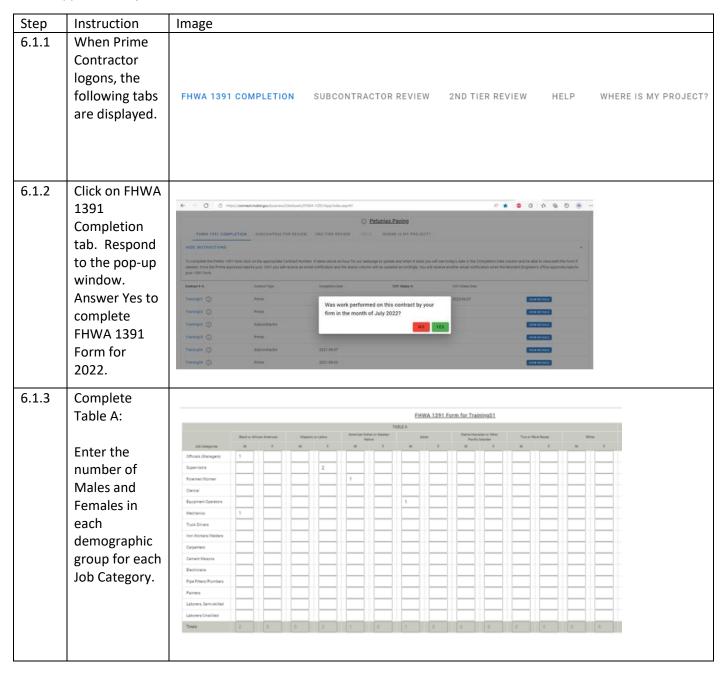
5.0 Where is My Project?

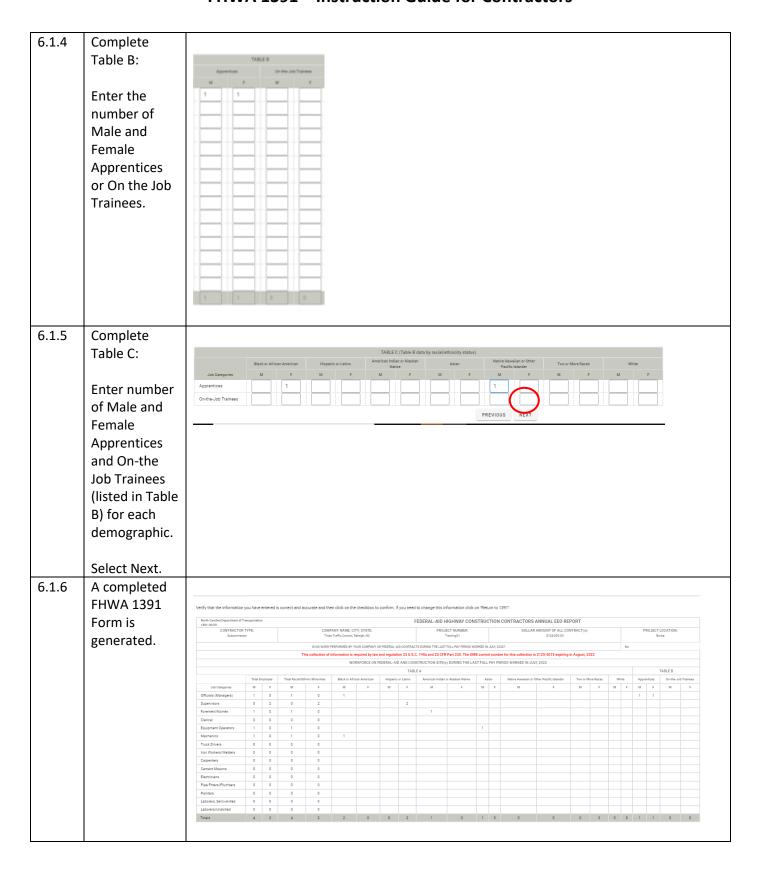
Is a project missing from your project list? If so, complete this form and the Office of Civil Rights and Central Construction Unit will review the issue.

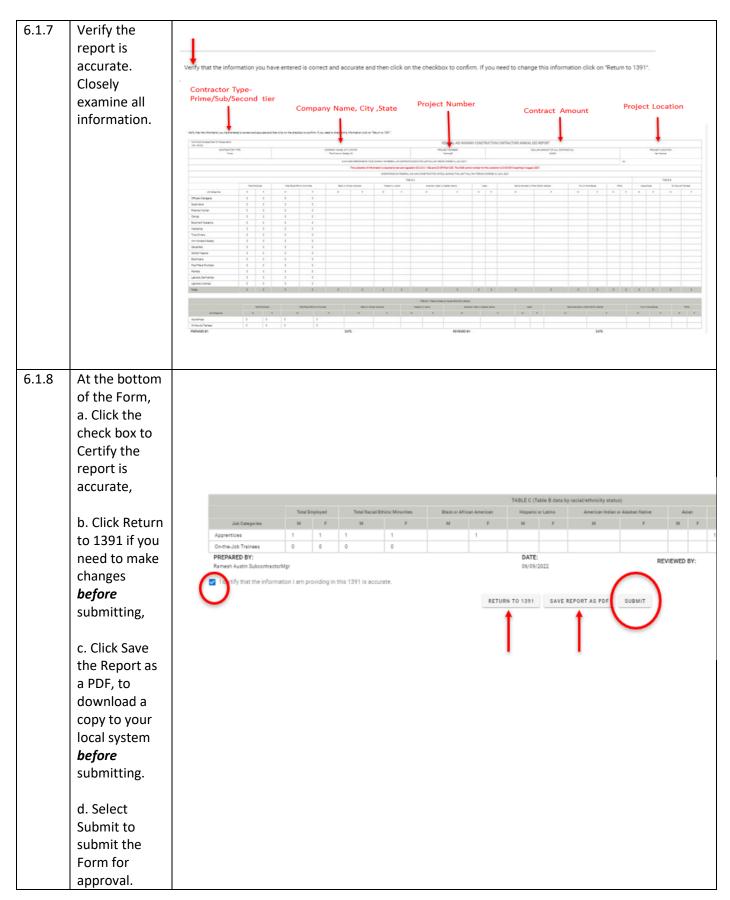


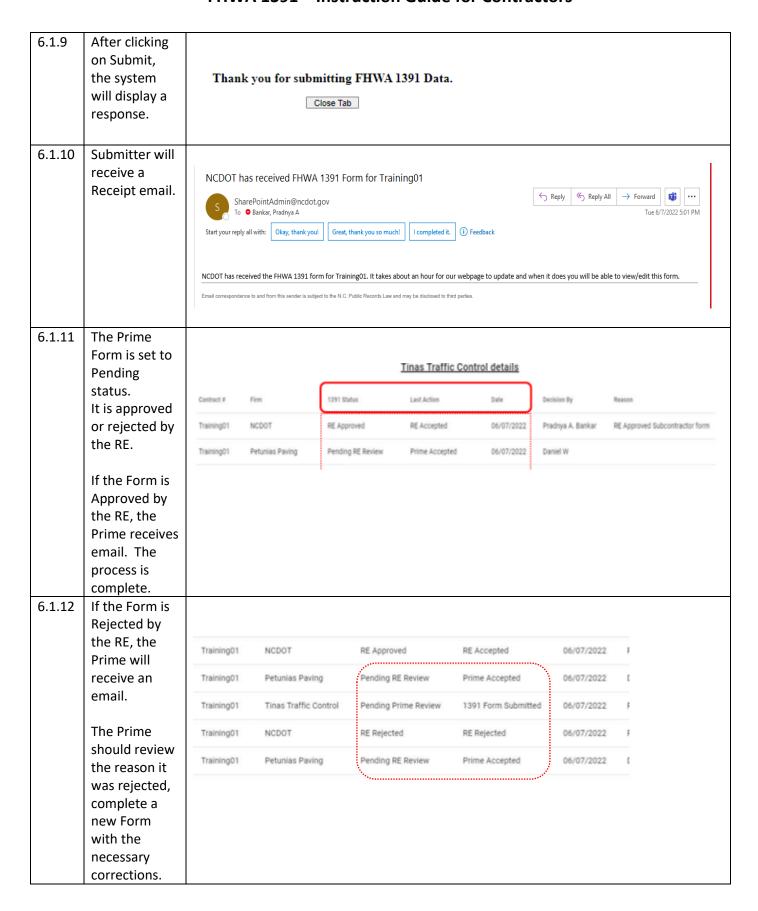
6.1 Prime Contractor – Complete and Submit FHWA 1391 Form

The Prime Contractor completes a FHWA 1391 Form for their firm and submit it. The Form moves to Pending RE review. RE will approve or reject the Prime Contractor Form from the RE Dashboard.



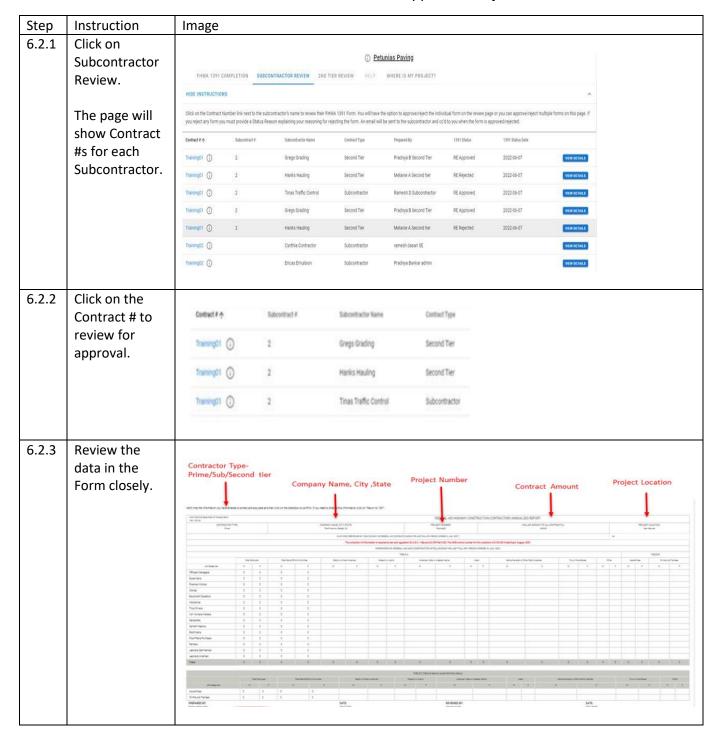


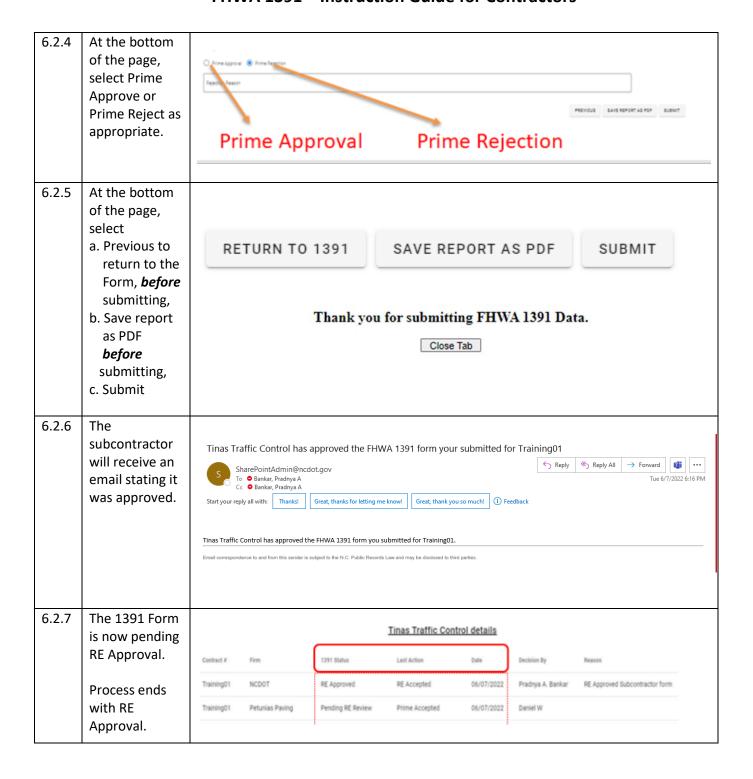




6.2 Prime Contractor – Review / Approve Subcontractor FHWA 1391 Form

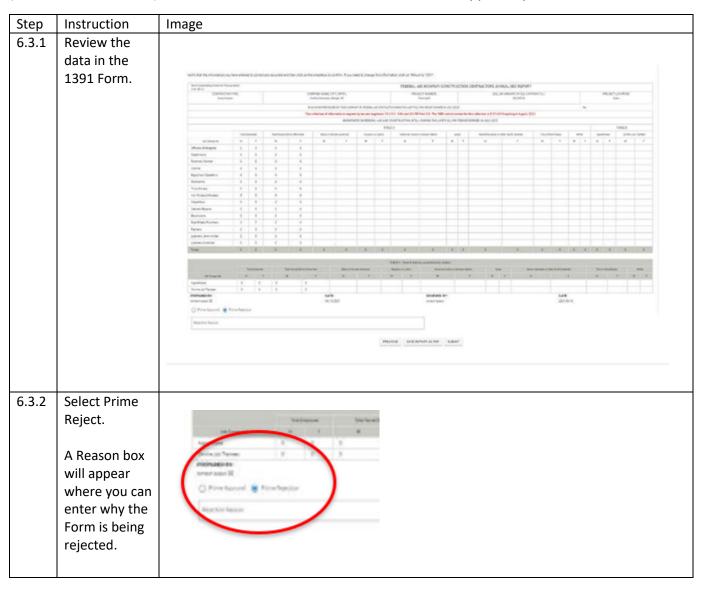
The Prime Contractor will review Subcontractor Forms and approve or reject.

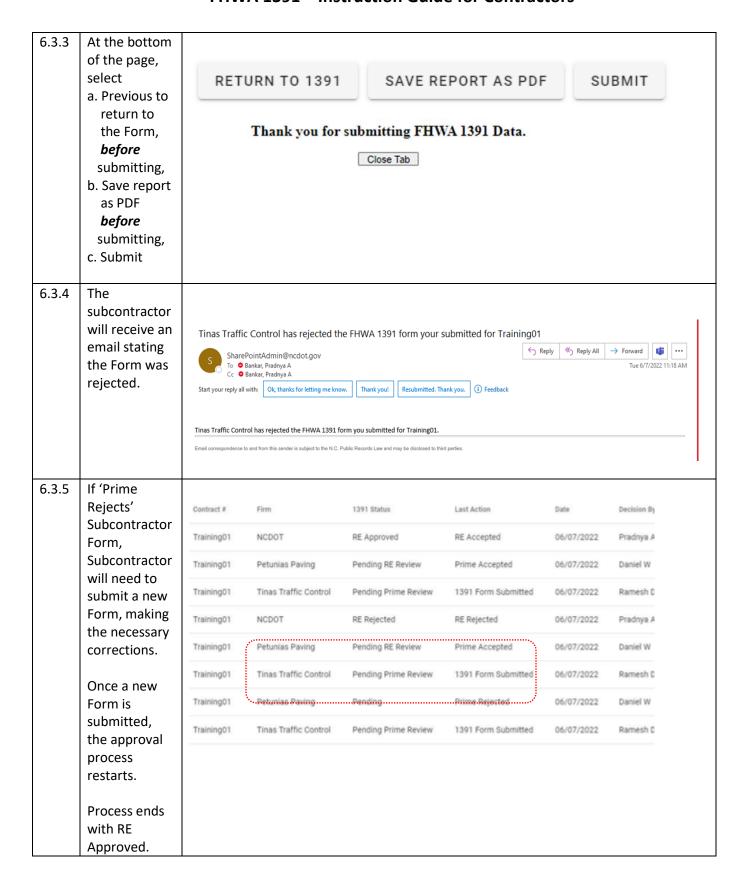




6.3 Prime Contractor – Review / Reject FHWA 1391 Form

The Prime Contractor may reject a Subcontractor (or 2^{nd} Tier Contractor) Form. If rejected, the Subcontractor (or 2^{nd} Tier Contractor) will submit a new Form, which will restart the approval process.





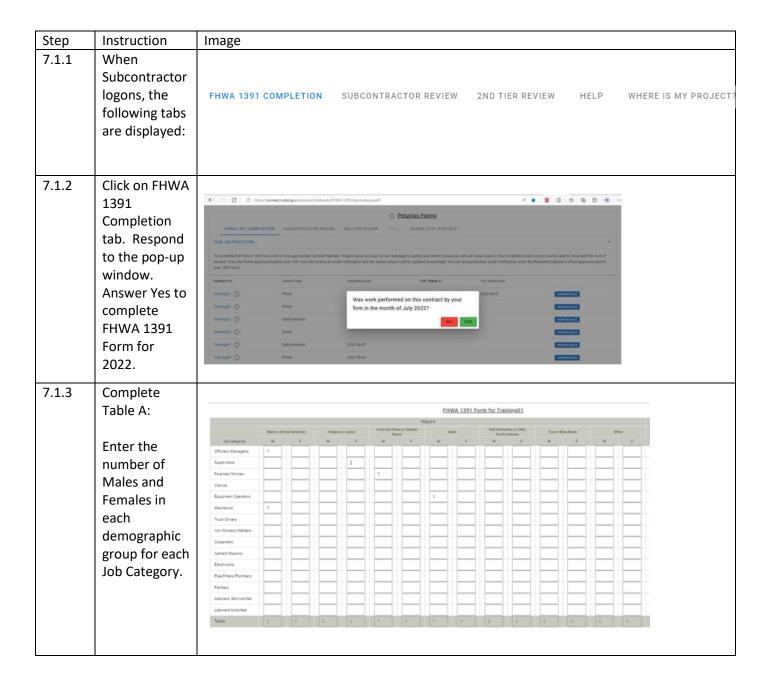
7.1 Subcontractor – Complete and Submit FHWA 1391 Form

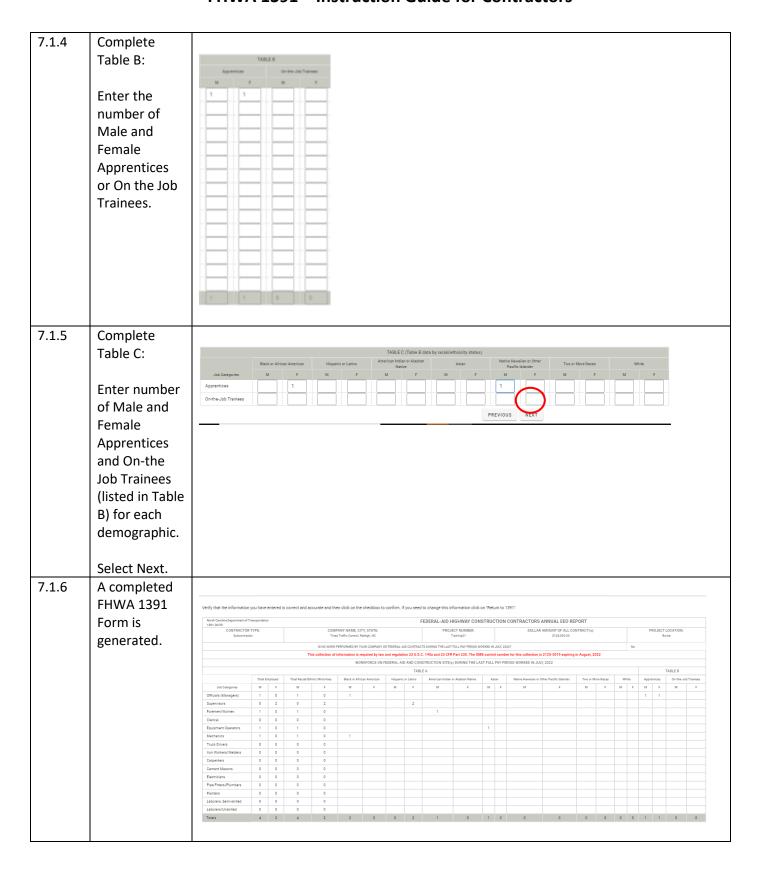
The Subcontractor completes a FHWA 1391 Form for their firm and submit it. The Form moves to Pending Prime review. The Prime will Approve or Reject the Subcontractor Form.

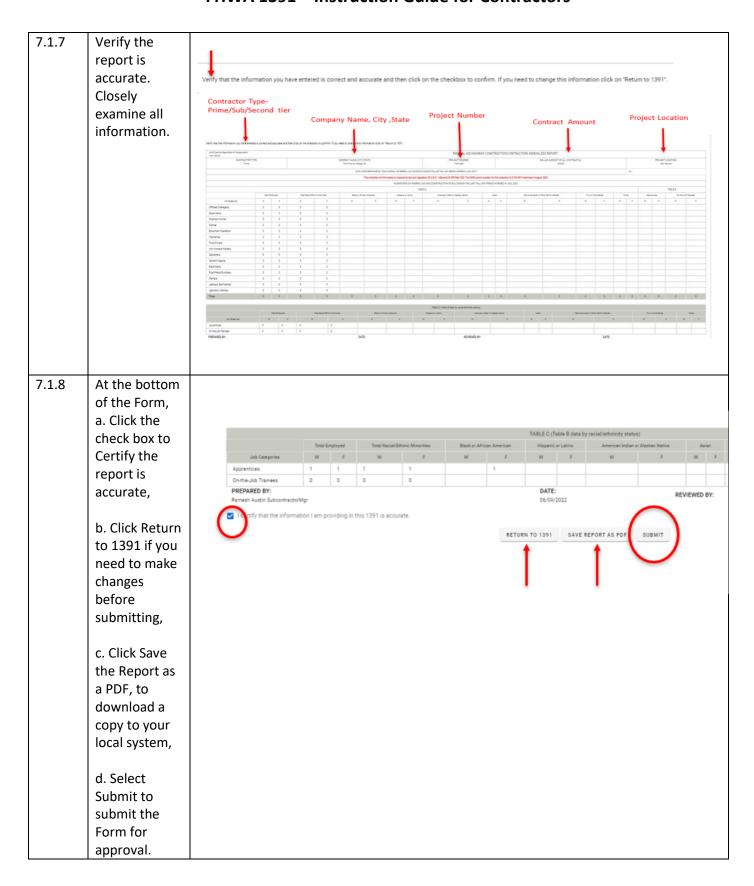
If Prime Approves, the Form moves to Pending RE Review. The RE will Approve or Reject the Form.

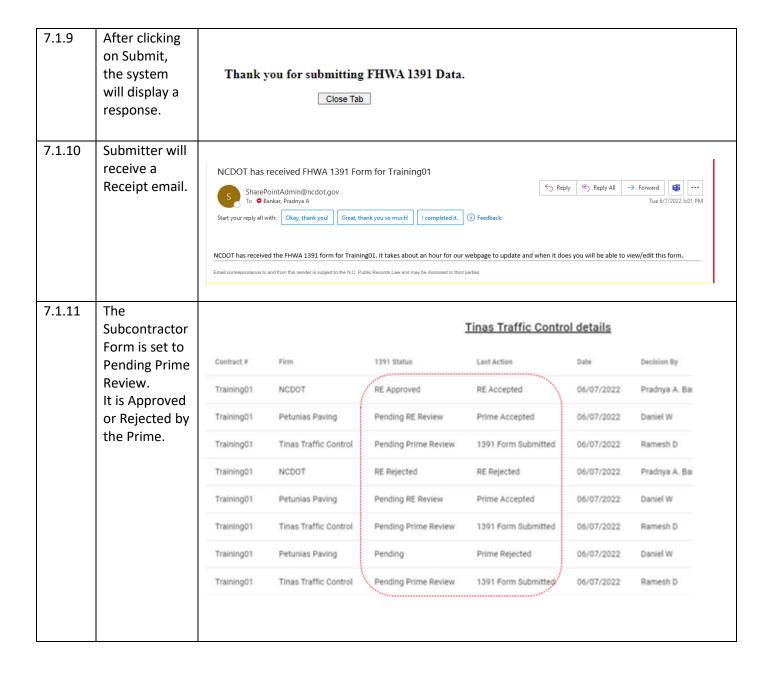
If RE Approves the Form, the process is complete.

If Prime Contractor or RE Rejects the Form, the subcontractor will submit a new Form, with necessary corrections, based on reason for the Rejection. The approval cycle restarts.









7.1.12	If the Form is Approved by the Prime,			:	Tinas Traffic Contro	l details			
	subcontractor	Contract #	Firm	1391 Status	Last Action	Date	Decision By		
	receives email. The	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bai		
	Form is now	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W		
	Pending RE Review.	Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D		
	Nevicu.	Training01	NCDOT	RE Rejected	RE Rejected	06/07/2022	Pradnya A. Bai		
		Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W		
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D		
		Training01	Petunias Paving	Pending	Prime Rejected	06/07/2022	Daniel W		
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D		
7.1.13	If the Form is Approved by	Tinas Traffic Control details							
	the RE, the Form status	Contract #	Firm	1391 Status	Last Action	Date	Decision By		
	changes to RE	Training01							
	Approved.		NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bai		
	Approved.	Training01	NCDOT Petunias Paving	RE Approved Pending RE Review	RE Accepted Prime Accepted	06/07/2022	Pradnya A. Bai Daniel W		
	Subcontractor	Training01 Training01							
			Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W		
	Subcontractor receives email.	Training01	Petunias Paving Tinas Traffic Control	Pending RE Review Pending Prime Review	Prime Accepted 1391 Form Submitted	06/07/2022	Daniel W Ramesh D		
	Subcontractor receives	Training01	Petunias Paving Tinas Traffic Control NCDOT	Pending RE Review Pending Prime Review RE Rejected	Prime Accepted 1391 Form Submitted RE Rejected	06/07/2022 06/07/2022 06/07/2022	Daniel W Ramesh D Pradnya A. Bai		
	Subcontractor receives email.	Training01 Training01 Training01	Petunias Paving Tinas Traffic Control NCDOT Petunias Paving	Pending RE Review Pending Prime Review RE Rejected Pending RE Review	Prime Accepted 1391 Form Submitted RE Rejected Prime Accepted	06/07/2022 06/07/2022 06/07/2022 06/07/2022	Daniel W Ramesh D Pradnya A. Bai Daniel W		

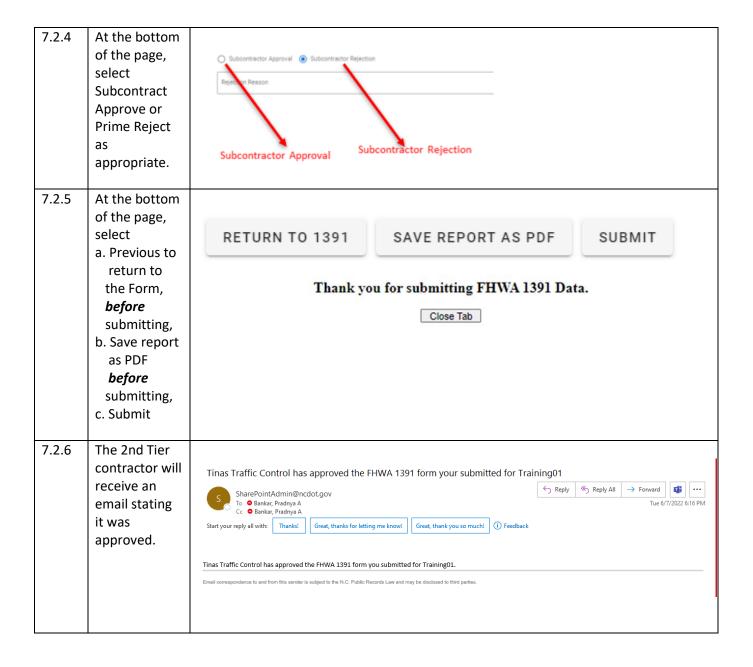
7.1.14	If the Form is Rejected by the Prime,				Tinas Traffic Contro	ol details	
	Subcontractor	Contract #	Firm	1391 Status	Last Action	Date	Decision By
	receives email.	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bai
	eman.	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
		Training01	NCDOT	RE Rejected	RE Rejected	06/07/2022	Pradnya A. Bar
		Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
		Training01	Petunias Paving	Pending	Prime Rejected	06/07/2022	Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
7.1.15	The subcontractor submits a new				Tinas Traffic Contro	ol details	
	Form with	Contract #	Firm	1391 Status	Last Action	Date	Decision By
	corrections. Approval cycle	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bai
	restarts.	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
		Training01	NCDOT	RE Rejected	RE Rejected	06/07/2022	Pradnya A. Bai
		Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
		Training01	Petunias Paving	Pending	Prime Rejected	06/07/2022	Daniel W
				Pending Prime Review			Ramesh D

7.1.16	If the Form is Rejected by the RE,			:	Tinas Traffic Contro	ol details	
	Subcontractor	Contract #	Firm	1391 Status	Last Action	Date	Decision By
	receives email.	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bai
	Th	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
	The subcontractor submits a new Form with	Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
		Training01	NCDOT	RE Rejected	RE Rejected	06/07/2022	Pradnya A. Bai
	corrections.	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
	Approval cycle restarts with	Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
	Form status	Training01	Petunias Paving	Pending	Prime Rejected	06/07/2022	Daniel W
	set to Pending Prime Review.	Training01	Tinas Traffic Control	Pending Prime Review	1391 Form Submitted	06/07/2022	Ramesh D
	Process ends with RE Approved.						

7.2 Subcontractor – Review / Accept 2nd Tier FHWA 1391 Form

The Subcontractor will review and accept or reject 2nd Tier Contractor 1391 Form.

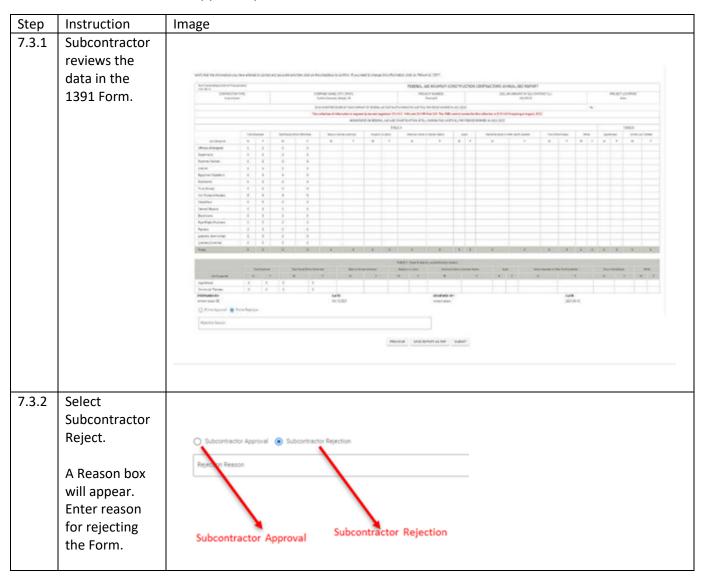
Step	Instruction	Image											
7.2.1	Click on 2 nd												
	Tier Review.					① Petur	nias Paving						
		FHWA 1391	OMPLETION S	UBCONTRACTOR	REVIEW 2ND T	TIER REVIEW HELP	WHERE IS MY PROJECT	7					
	The page will	HIDE INSTRUCTIO	NS									^	
	show Contract					HWA 1391 Form. You will have the rejecting the form. An email will be				ove/reject multiple for	ms on this pa	ege. If	
	#s for 2 nd Tier	Contract ₹ ₼	Subcontract #	Subco	intractor Name	Contract Type	Prepared By	1391 Status	1391 Status	Date			
	Contractors.	Training01 ①	2	Greg	s Grading	Second Tier	Pradnya B Second Tier	RE Approved	2022-06-07		VIEW DETA	MLS	
		Training01 🕠	2	Hank	s Hauling	Second Tier	Melanie A Second tier	RE Rejected	2022-06-07		VIEW DETA	MLS	
		Training01 (i)	2	Tinas	Traffic Control	Subcontractor	Ramesh D Subcontract	or RE Approved	2022-06-07		VIEW DETA	MLS	
		Training01 ①	2	Greg	s Grading	Second Tier	Pradnya B Second Tier	RE Approved	2022-06-07		VIEW DETA	MLS	
		Training01 ①	2	Hank	s Hauling	Second Tier	Melanie A Second tier	RE Rejected	2022-06-07		VIEW DETA	MLS	
		Training02 ①		Cynth	nia Contractor	Subcontractor	ramesh dasari SE				VIEW DETA	MLS	
		Training02 ①		Erica	s Emulsion	Subcontractor	Pradnya Bankar admin				VIEW DETA	MILE	
7.2.2	Click on the												
	Contract # to	Contract # 4	0	Subcontract	ř.	Subcontractor Name	Cor	dract Type					
	review for approval.	Training01	0	2		Gregs Grading	Se	cond Tier					
		Training01	0	2		Hanks Hauling	Se	cond Tier					
		Training01	0	2		Tinas Traffic Contro	s,	bcontractor					
7.2.3	Review the data in the Form closely.	Services of the services of th	Stranger Str	Section Sect	To the state of th		PERSONAL PROPERTY OF THE PERSONAL PROPERTY OF	AE RECHREN CORETY ACT (CYT NAME) AND	A STATE OF THE STATE OF T	Acceptance of the control of the con		-	 9033 (2004) (3004) (4004) (3004) (400
		4(45)	Nace				PROFINE MICH	12 Table 40 Table 15 All 18 Al					

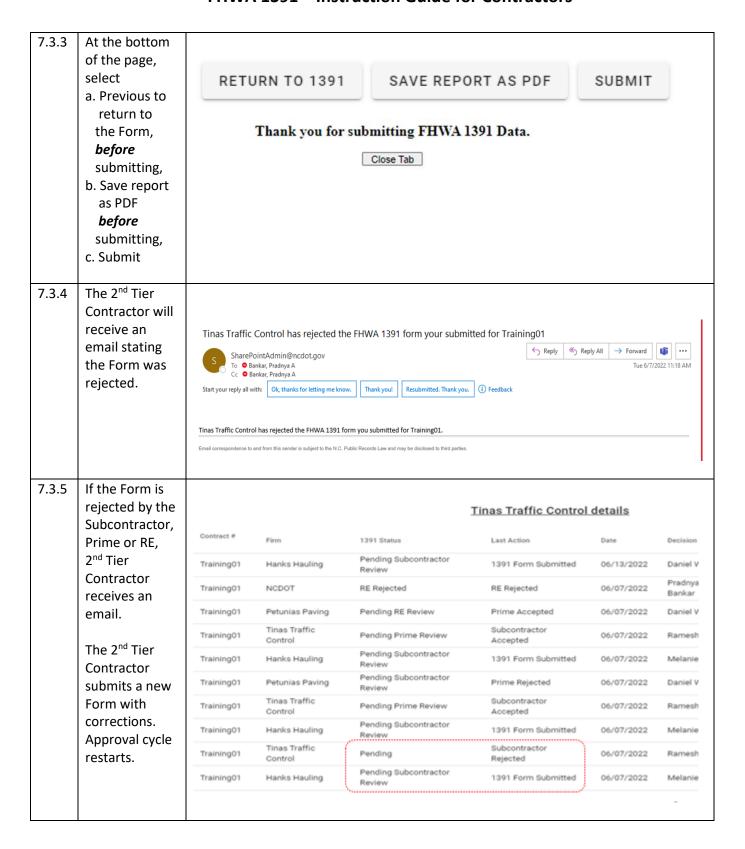


7.2.7	The 1391 Form is now pending Prime approval. If the Form is Approved by the Prime, 2 nd			G	<u>regs Grading details</u>		
	Tier Contractor	Contract #	Firm	/1391 Status	Last Action	Date	Decision By
	receives	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A. Bar
	email. The Form is now	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	test test
	Pending RE Review.	Training01	Tinas Traffic Control	Pending Prime Review	Subcontractor Accepted	06/07/2022	Ramesh D
		Training01	Gregs Grading	Pending Subcontractor Review	1391 Form Submitted	06/07/2022	Pradnya B
	If the Form is Approved by the RE, 2 nd Tier Contractor receives email.						
	Form status changes to RE Approved. Process is complete.						

7.3 Subcontractor – Review / Reject 2nd Tier Contractor FHWA 1391 Form

The Subcontractor may reject a 2nd Tier contractor Form. If rejected, the 2nd Tier Contractor will submit a new Form, which will restart the approval process.





8.0 2nd Tier Contractor – Complete and Submit FHWA 1391 Form

The 2nd Tier Contractor completes a FHWA 1391 Form for their firm and submit it.

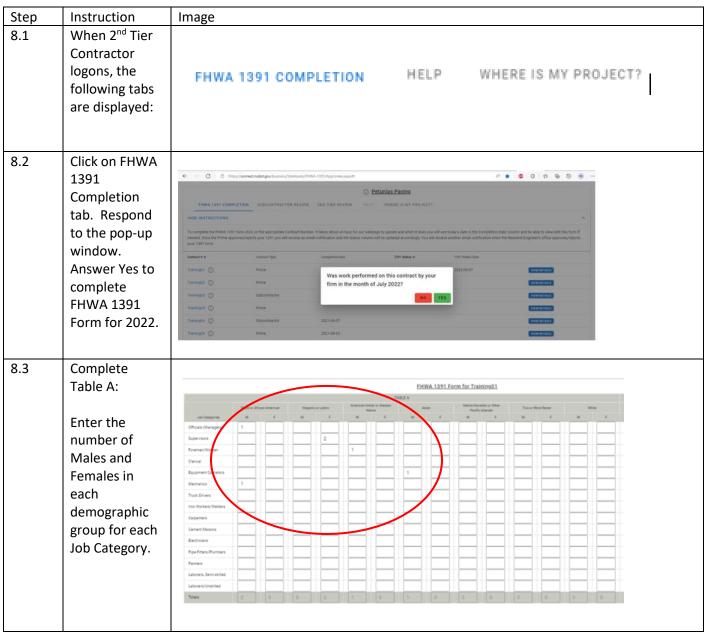
The Form moves to Pending Subcontractor review. The Subcontractor will Approve or Reject the 2nd Tier Form.

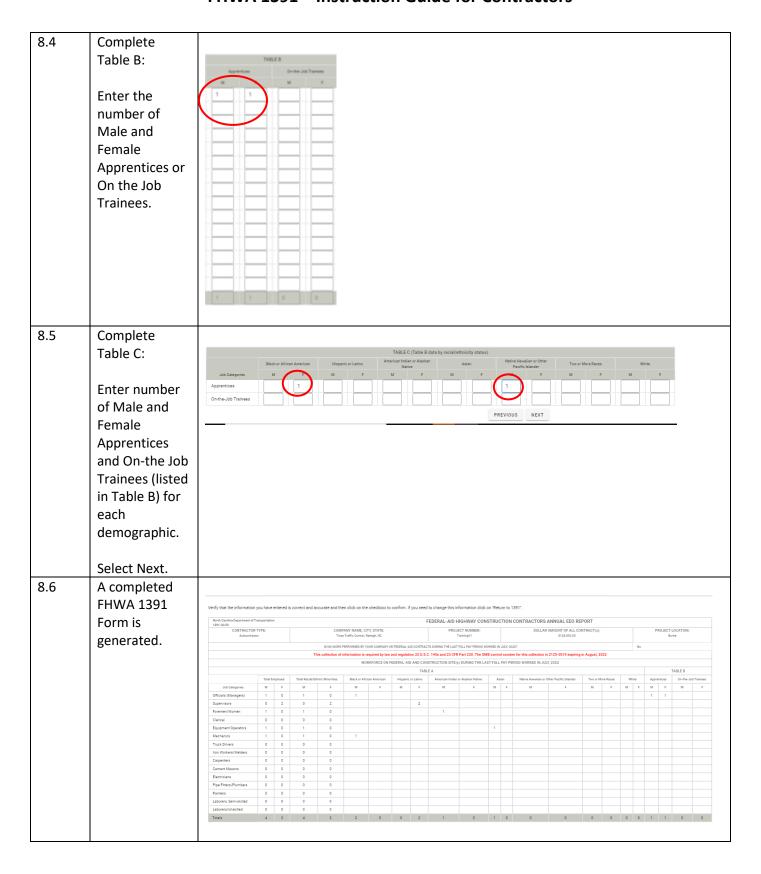
If Subcontractor Approves the Form, the Form moves to Pending Prime Review. The Prime will Approve or Reject the Form.

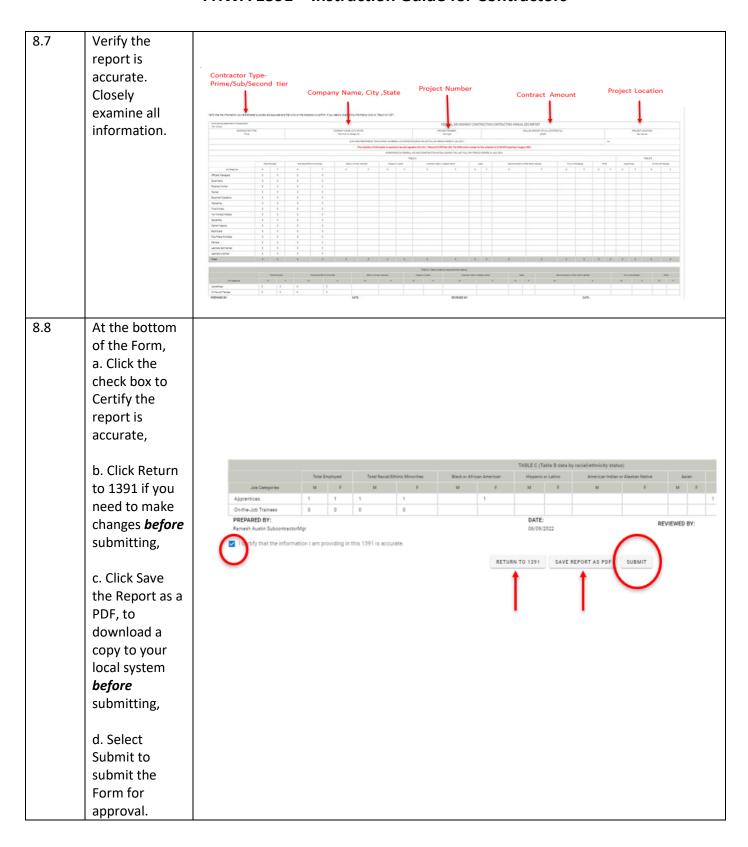
If Prime Approves the Form, the Form moves to Pending RE Review. The RE will Approve or Reject the Form.

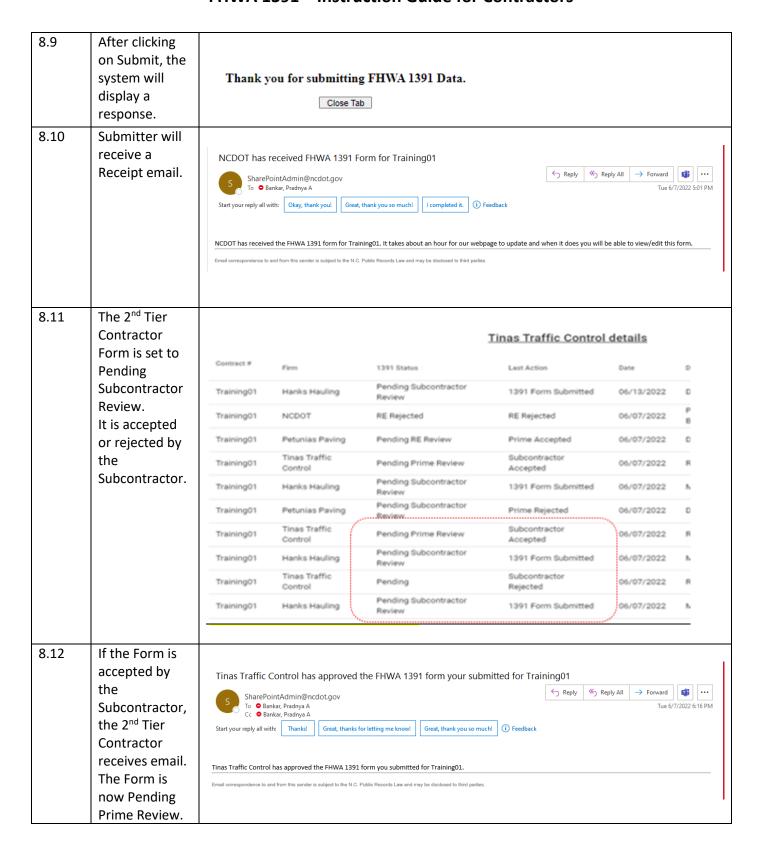
If RE Approves the Form, the Form is approved, and the process is complete.

If the Subcontractor, Prime, or RE Rejects the Form, the 2nd Tier Contractor will submit a new Form, with necessary corrections. The approval process restarts.

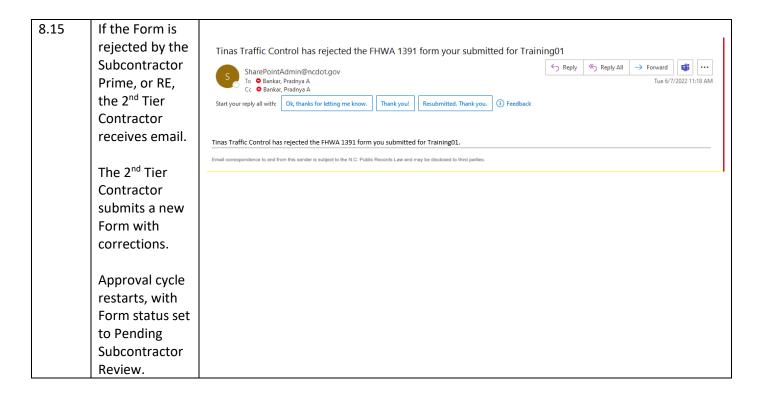








8.13	If the Form is accepted by				Tinas Traffic Con	trol details	
	the Prime, the Form status	Contract #	Firm	1391 Status	Last Action	Date	Decision By
	changes to	Training01	Hanks Hauling	Pending Subcontractor Review	1391 Form Submitte	ed 06/13/20	22 Daniel Wal
	Pending RE	Training01	NCDOT	RE Rejected	RE Rejected	06/07/20	22 Pradnya A. Bankar
	Review.	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/20	22 Daniel W
	2 nd Tier	Training01	Tinas Traffic Control	Pending Prime Review	Subcontractor Accepted	06/07/20	22 Ramesh D
	Contractor	Training01	Hanks Hauling	Pending Subcontractor Review	1391 Form Submitte	ed 06/07/20	22 Melanie A
	receives email.	Training01	Petunias Paving	Pending Subcontractor Review	Prime Rejected	06/07/20	22 Daniel W
		Training01	Tinas Traffic Control	Pending Prime Review	Subcontractor Accepted	06/07/20	22 Ramesh D
		Training01	Hanks Hauling	Pending Subcontractor Review	1391 Form Submitte	ed 06/07/20	22 Melanie A
		Training01	Tinas Traffic Control	Pending	Subcontractor Rejected	06/07/20	22 Ramesh D
		Training01	Hanks Hauling	Pending Subcontractor Review	1391 Form Submitte	ed 06/07/20	22 Melanie A
8.14	If the RE Accepts the Form, the				Tinas Traffic Control	details	
	status is changed to RE	Contract #	Firm	1391 Status	Last Action	Date	Decision By
	Approved.	Training01	NCDOT	RE Approved	RE Accepted	06/07/2022	Pradnya A.
	2 nd Tier	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
	2 nd Tier Contractor Receives email.	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W
	Contractor	Training01	Petunias Paving	Pending RE Review	Prime Accepted	06/07/2022	Daniel W



END