## **SUBSTITUTE FORM W-9**

REV 09/20

## VENDOR REGISTRATION FORM NORTH CAROLINA DEPARTMENT OF TRANSPORTATION

Pursuant to Internal Revenue Service (IRS) Regulations, vendors must furnish their Taxpayer Identification Number (TIN) to the State. If this number is not provided, you may be subject to a 20% withholding on each payment. To avoid this 20% withholding and to ensure that accurate tax information is reported to the Internal Revenue Service and the State, please use this form to provide the requested information exactly as it appears on file with the IRS.

NAME ON FORM SHOULD BE THE LEGAL ENTITY OR INDIVIDUAL NAME DOING BUSINESS WITH NCDOT: INDIVIDUAL AND SOLE PROPRIETOR - ENTER NAME AS SHOWN ON SOCIAL SECURITY CARD CORPORATION OR PARTNERSHIP - ENTER YOUR LEGAL BUSINESS NAME

| NAME:   |  |  |   |                          |
|---|--|--|---|--------------------------|
| PHYSCIAL ADDRESS: STREET/PO BOX:  | (NAME OF COMPANY OR INDIVIDUAL REGISTERED TO THE PROVIDED TAX ID)  |  |   |                          |
| CITY, STATE, ZIP:   |  |  |   |                          |
| DBA / TRADE NAME (IF APPLICABLE):   |  |  |   |                          |
|   |  |  |   |                          |
| BUSINESS DESIGNATION:   | ☐ INDIVIDUAL (use Social ☐ CORPORATION (use For ESTATE/TRUST (use For OTHER / SPECIFY                        | ederal ID No.)                             | □SOLE PROPRIETOR (use S □PARTNERSHIP (use Feder □STATE OR LOCAL GOVT.         | deral ID No.)            |
| SOCIAL SECURITY NO.   |  |  | (S  | Social Security #)       |
| OR<br>FED.EMPLOYER IDENTIFICATION NO.   |  |  | (E  | (Employer Identification |
| COMPLETE THIS SECTION WITH CHECK MAILING ADDRESS AS IT APPEARS ON INVOICES:  REMIT TO ADDRESS: STREET / PO BOX:  CITY, STATE, ZIP:  |  |  |   |                          |
| Participation in this section is voluntary. You are not require and its sole purpose is to collect statistical data on those vend.  What is your firm's ethnicity? ( Prefer Not  Hispanic American,  Asian-Indian American)   | lors doing business with NCDOT. If yo To Answer, African Ameri   | u choose to participate<br>can, Native A   | e, circle the answer that best fits your firm's                               | group definition.        |
| What is your firm's gender? (☐ Prefer Not to  | Answer, Male, Female)  | Disabled-Own                               | ed Business? ( Prefer Not to Ar   | ıswer, □Yes,□ No)        |
| IRS Certification Under penalties of perjury, I certify that:  1. The number shown on this form is my correct ta 2. I am not subject to backup withholding because: withholding as a result of a failure to report all it 3. I am a U.S. person (including a U.S. resident alie The IRS does not require your consent to any complete certification instructions please see I | (a) I am exempt from backup with aterest or dividends, or (c) the IRS en).  provision of this document other | has notified me that<br>than the certifica | t I am no longer subject to backup with tions required to avoid backup withle | holding, and             |
| NAME (Print or Type)  |  | TITLE (Prin                                | TITLE (Print or Type)   |                          |
| SIGNATURE (Typed, fonted and scripted Signot acceptable. DocuSigned signatures <u>are</u> ac  |  | DATE                                       | PHONE NUMBER  |                          |
|   |  | EMAIL                                      |   |                          |

To avoid payment delays, completed forms should be returned promptly to:

NC Department of Transportation Fiscal /Commercial Accounts 1514 Mail Service Center Raleigh, North Carolina 27699-1514 ap@ncdot.gov FAX (919) 733-9247